

OPTN Membership Application for Lung Transplant Programs

CERTIFICATION

The undersigned, a duly authorized representative of the applicant, does hereby certify that the answers and attachments to this application are true, correct and complete, to the best of his or her knowledge after investigation. I understand that the intentional submission of false data to the OPTN may result in action by the Secretary of the Department of Health and Human Services, and/or civil or criminal penalties. By submitting this application to the OPTN, the applicant agrees: (i) to be bound by OPTN Obligations, including amendments thereto, if the applicant is granted membership and (ii) to be bound by the terms, thereof, including amendments thereto, in all matters relating to consideration of the application without regard to whether or not the applicant is granted membership.

If you have any questions, please call the UNOS Membership Team at 833-577-9469 or email MembershipRequests@unos.org.

OPTN Representative

Printed Name	Signature	Email Address
Position _____		
Printed Name	Signature	Email Address
Position _____		
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Position _____		
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Position _____

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Part 1: General Information

Name of Transplant Hospital: _____

OPTN Member Code (4 Letters): _____

Transplant Hospital Address (where transplants occur)

Street: _____ Ste: _____ Phone #: _____

City: _____ ST: _____ Zip: _____ Fax #: _____

Name of Person Completing Form: _____ Title: _____

Email Address of Person Completing Form: _____

Date Form is submitted to OPTN Contractor: _____

Part 2: Program Director(s)

A lung transplant program must identify at least one designated staff member to act as the transplant program director. The director must be a physician or surgeon who is a member of the transplant hospital staff.

Name of Program Director (list all):	New	Existing
_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	<input type="checkbox"/>	<input type="checkbox"/>
_____	<input type="checkbox"/>	<input type="checkbox"/>

Include the resume/CV of each new individual listed.

Part 3: Primary Program Administrator

A primary program administrator is the identified administrative lead for the transplant program.

Complete this section only if you are updating the Primary Program Administrator position for the program.

Name of Primary Program Administrator:

Credentials:

Title at Hospital:

Phone Number:

Email:

Part 4: Primary Data Coordinator

A primary data coordinator is the identified data lead for the transplant program.

Complete this section only if you are updating the Primary Data Coordinator position for the program.

Name of Primary Data Coordinator:

Credentials:

Title at Hospital:

Phone Number:

Email:

Part 5: Primary Lung Transplant Surgeon Requirements

1. Name of Proposed Primary Lung Transplant Surgeon (as indicated in Certificate of Assessment):

Name	NPI #
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2. Check yes or no for each of the following. Provide documentation where applicable:

Yes No

- 2a. Does the surgeon have an M.D., D.O., or equivalent degree from another country, with a current license to practice medicine in the hospital's state or jurisdiction?

Provide a copy of the surgeon's resume/CV.

- 2b. Has the surgeon been accepted onto the hospital's medical staff, and is practicing on site at this hospital?

Provide documentation from the hospital credentialing committee that it has verified the surgeon's state license, board certification, training, and transplant continuing medical education, and that the surgeon is currently a member in good standing of the hospital's medical staff.

3. Certification. Check one and provide corresponding documentation:

- 3a. The surgeon is currently certified by the American Board of Thoracic Surgery or currently certified in thoracic surgery by the Royal College of Physicians and Surgeons of Canada.

Provide a copy of the surgeon's current board certification.

- 3b. The surgeon has just completed training and is pending certification by the American Board of Thoracic Surgery. Therefore, the surgeon is requesting conditional approval for 24 months to allow time to complete board certification, with the possibility of renewal for one additional 24-month period.

Provide documentation supporting that training has been completed and certification is pending, which must include the anticipated date of board certification and where the surgeon is in the process to be certified.

- 3c. The surgeon is without American Board of Thoracic Surgery certification or current certification in thoracic surgery by the Royal College of Physicians and Surgeons of Canada. If this option is selected:

- **The surgeon must be ineligible for American board certification. Provide an explanation why the individual is ineligible:**

- **Provide a plan for continuing education that is comparable to American board maintenance of certification; and**
- **Provide at least 2 two letters of recommendation from directors of designated transplant programs not employed by the applying hospital that address**

- o why an exception is reasonable,*
- o the individual's overall qualifications to act as a primary lung transplant surgeon,*
- o the individual's personal integrity and honesty,*
- o the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and*
- o any other matters judged appropriate.*

4. Summarize the surgeon's training and experience in transplant:

Training and Experience	Approved Fellowship Program? Y/N	Date (MM/DD/YY)		Transplant Hospital	Program Director	# of Lung /Heart-Lung Transplants	# of Lung Procurements
		Start	End				
Residency							
Fellowship Training							
Experience Post Fellowship							

5. Which of the following pathways is the proposed primary surgeon applying (check one, and complete the corresponding pathway section below):

- The **formal cardiothoracic surgery residency pathway**, as described in *Section 5* below.
- The **12-month lung transplant fellowship pathway**, as described in *Section 5* below.
- The **lung transplant program clinical experience pathway**, as described in *Section 5* below.
- The **alternative pathway for predominantly pediatric programs**, as described in *Section 5* below.

5A. Cardiothoracic Surgery Residency Pathway

Surgeons can meet the training requirements for primary lung transplant surgeon by completing a cardiothoracic surgery residency if *all* of the following conditions are met:

1. *During the cardiothoracic surgery residency, the surgeon has performed **at least 15** lung or heart/lung transplants as primary surgeon or first assistant under the direct supervision of a qualified lung transplant surgeon and in conjunction with a lung transplant physician at a lung transplant program. **At least half** of these transplants must be lung procedures. **This experience must be documented on a log that includes the date of transplant, the role of the surgeon, the medical record number or other unique identifier, and the training program director's signature.***
2. *The surgeon has performed **at least 10** lung procurements as primary surgeon or first assistant under the supervision of a qualified lung transplant surgeon. These procurements must have been performed anytime during the surgeon's cardiothoracic surgery residency and the two years immediately following cardiothoracic surgery residency completion. **This experience must be documented on a log that includes the date of procurement and Donor ID.***
3. *The surgeon must maintain a current working knowledge of all aspects of lung transplantation, defined as a direct involvement in lung transplant patient care within the last 2 years.*

Check all that apply

- The surgeon has experience with acute lung failure.*
- The surgeon has experience with chronic lung failure.*
- The surgeon has experience with cardiopulmonary bypass.*
- The surgeon has experience with donor selection.*
- The surgeon has experience with recipient selection.*
- The surgeon has experience with pre- and postoperative ventilator care.*
- The surgeon has experience with postoperative immunosuppressive therapy.*
- The surgeon has experience with histological interpretation and grading lung biopsies for rejection.*
- The surgeon has experience with long-term outpatient follow-up.*

If a box is not checked, please provide an explanation:

4. **Provide the following letters with the application:**

- A letter from the director of the training program verifying that the surgeon has met the above requirements and is qualified to direct a lung transplant program.

- A letter of recommendation from the program's primary surgeon and transplant program director outlining
 - the individual's overall qualifications to act as primary transplant surgeon,
 - the individual's personal integrity and honesty,
 - the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - any other matters judged appropriate.

The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the surgeon, at its discretion.
- A letter from the individual that details the training and experience they have gained in lung transplantation.

5B. Twelve-month Lung Transplant Fellowship Pathway

Surgeons can meet the training requirements for primary lung transplant surgeon by completing a 12-month lung transplant fellowship if the following conditions are met:

1. *The surgeon has performed **at least 15** lung or heart/lung transplants under the direct supervision of a qualified lung transplant surgeon and in conjunction with a qualified lung transplant physician as primary surgeon or first assistant during the 12-month lung transplant fellowship. **At least half** of these transplants must be lung procedures. **This experience must be documented on a log that includes the date of transplant, the role of the surgeon, the medical record number or other unique identifier, and the fellowship director's signature.***

2. *The surgeon has performed **at least 10** lung procurements as primary surgeon or first assistant under the supervision of a qualified lung transplant surgeon. These procurements must have been performed anytime during the surgeon's fellowship and the two years immediately following fellowship completion. **This experience must be documented on a log that includes the date of procurement and Donor ID.***

3. *The surgeon has maintained a current working knowledge of all aspects of lung transplantation, defined as a direct involvement in lung transplant patient care within the last 2 years.*

Check all that apply

- The surgeon has experience with acute lung failure.*
- The surgeon has experience with chronic lung failure.*
- The surgeon has experience with cardiopulmonary bypass.*
- The surgeon has experience with donor selection.*
- The surgeon has experience with recipient selection.*
- The surgeon has experience with pre- and postoperative ventilator care.*
- The surgeon has experience with postoperative immunosuppressive therapy.*
- The surgeon has experience with histological interpretation and grading lung biopsies for rejection.*
- The surgeon has experience with long-term outpatient follow-up.*

If a box is not checked, please provide an explanation:

4. Provide the following letters with the application:

- A letter from the director of the training program verifying that the surgeon has met the above requirements and is qualified to direct a lung transplant program.

- A letter of recommendation from the program's primary surgeon and transplant program director outlining
 - the individual's overall qualifications to act as primary transplant surgeon,
 - the individual's personal integrity and honesty,
 - the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - any other matters judged appropriate.

The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the surgeon, at its discretion.

- A letter from the individual that details the training and experience they have gained in lung transplantation.

5C. Clinical Experience Pathway

Surgeons can meet the requirements for primary lung transplant surgeon through clinical experience gained post-fellowship if the following conditions are met:

1. *The surgeon has performed **15 or more** lung or heart/lung transplants over a 2 to 5-year period as primary surgeon or first assistant at a designated lung transplant program. **At least half** of these transplants must be lung procedures, and **at least 10** must be performed as the primary surgeon. The surgeon must also have been actively involved with cardiothoracic surgery.
This experience must be documented on a log that includes the date of transplant, the role of the surgeon, and the medical record number or other unique identifier.*

2. *The surgeon has performed **at least 10** lung procurements.
This experience must be documented on a log that includes the date of procurement and Donor ID.*

3. *The surgeon has maintained a current working knowledge of all aspects of lung transplantation, defined as a direct involvement in lung transplant patient care within the last 2 years.*

Check all that apply

- The surgeon has experience with acute lung failure.*
- The surgeon has experience with chronic lung failure.*
- The surgeon has experience with cardiopulmonary bypass.*
- The surgeon has experience with donor selection.*
- The surgeon has experience with recipient selection.*
- The surgeon has experience with pre- and postoperative ventilator care.*
- The surgeon has experience with postoperative immunosuppressive therapy.*
 - The surgeon has experience with histological interpretation and grading lung biopsies for rejection.*
 - The surgeon has experience with long-term outpatient follow-up.*

If a box is not checked, please provide an explanation:

4. **Provide the following letters with the application:**

- A letter from the director of program where the surgeon gained their experience verifying that the surgeon has met the above requirements and is qualified to direct a lung transplant program.
- A letter of recommendation from the primary surgeon and transplant program director of the program last served by outlining
 - the individual's overall qualifications to act as primary transplant surgeon,

- o the individual's personal integrity and honesty,
- o the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
- o any other matters judged appropriate.

The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the surgeon, at its discretion.

- A letter from the individual that details the training and experience they have gained in lung transplantation.

5D. Alternative Pathway for Predominantly Pediatric Programs

If a surgeon does not meet the requirements for primary lung transplant surgeon through either the training or clinical experience pathways, hospitals that serve predominantly pediatric patients may petition the MPSC in writing to consider the surgeon for primary transplant surgeon if the program can demonstrate that the following conditions are met:

1. **Provide an explanation why the proposed surgeon needs to utilize this pathway:**

2. The surgeon's lung transplant training or experience must be equivalent to the **formal cardiothoracic surgery residency pathway**, as described in *Section 5*, the **12-month lung transplant fellowship pathway**, as described in *Section 5*, or the **lung transplant program clinical experience pathway**, as described in *Section 5* above.
This experience must be documented on a log that includes the date of transplant and/or date of procurement, the role of the surgeon, and the medical record number or other unique identifier.

3. *The surgeon has maintained a current working knowledge of all aspects of lung transplantation, defined as a direct involvement in lung transplant patient care within the last 2 years.*

Check all that apply

- The surgeon has experience with acute lung failure.*
- The surgeon has experience with chronic lung failure.*
- The surgeon has experience with cardiopulmonary bypass.*
- The surgeon has experience with donor selection.*
- The surgeon has experience with recipient selection.*
- The surgeon has experience with pre- and postoperative ventilator care.*
- The surgeon has experience with postoperative immunosuppressive therapy.*
- The surgeon has experience with histological interpretation and grading lung biopsies for rejection.*
- The surgeon has experience with long-term outpatient follow-up.*

If a box is not checked, please provide an explanation:

4. **Provide the following letters with the application:**

- A letter of recommendation from the primary surgeon and transplant program director of the fellowship training program or the transplant program last served by the surgeon outlining
 - the individual's overall qualifications to act as primary transplant surgeon,
 - the individual's personal integrity and honesty,
 - the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - any other matters judged appropriate.The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the surgeon, at its discretion.
5. If this pathway is selected, the OPTN contractor will contact the program to schedule an informal discussion with the MPSC.

Part 6: Primary Lung Transplant Physician Requirements

1. Name of Proposed Primary Lung Transplant Physician (as indicated in Certificate of Assessment):

Name	NPI #
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2. Check yes or no for each of the following. Provide documentation where applicable:

Yes No

- 2a. Does the physician have an M.D., D.O., or equivalent degree from another country, with a current license to practice medicine in the hospital's state or jurisdiction?

Provide a copy of the physician's resume/CV.

- 2b. Has the physician been accepted onto the hospital's medical staff, and is practicing on site at this hospital?

Provide documentation from the hospital credentialing committee that it has verified the physician's state license, board certification, training, and transplant continuing medical education, and that the physician is currently a member in good standing of the hospital's medical staff.

3. Certification. Check one and provide corresponding documentation:

- 3a. The physician is currently certified in adult or pediatric pulmonary medicine by the American Board of Internal Medicine, the American Board of Pediatrics, of the Royal College of Physicians and Surgeons of Canada.

Provide a copy of the physician's current board certification.

- 3b. The physician is without certification or has not achieved eligibility in adult or pediatric pulmonary medicine by the American Board of Internal Medicine, the American Board of Pediatrics, of the Royal College of Physicians and Surgeons of Canada.

- **The physician must be ineligible for American board certification. Provide an explanation why the individual is ineligible:**

- **Provide a plan for continuing education that is comparable to American board maintenance of certification**

- **Provide at least 2 two letters of recommendation from directors of designated transplant programs not employed by the applying hospital that address**

- o why an exception is reasonable,
- o the individual's overall qualifications to act as a primary lung transplant physician,
- o the individual's personal integrity and honesty,
- o the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
- o any other matters judged appropriate.

4. Summarize the physician’s training and experience in transplant:

Training and Experience	Date (MM/DD/YY)		Transplant Hospital	Program Director	# Lung Patients Followed			# Heart/Lung Patients Followed		
	Start	End			Pre	Peri	Post	Pre	Peri	Post
Experience Post Fellowship										
Fellowship Training										

5. Which of the following pathways is the proposed primary physician applying (check one, and complete the corresponding pathway section below):

- The **12-month transplant pulmonary fellowship pathway**, as described in Section 5Name of Proposed Primary Lung Transplant Physician (as indicated in Certificate of Assessment): below.
- The **clinical experience pathway**, as described in *Section 5* below.
- The **alternative pathway for predominantly pediatric programs**, as described in *Section 5* below.
- The **conditional approval pathway**, as described in *Section 5* below, if the primary lung transplant physician changes at an approved lung transplant program.

5A. Twelve-month Transplant Pulmonary Fellowship Pathway

Physicians can meet the training requirements for primary lung transplant physician during a 12-month transplant pulmonary fellowship if *all* of the following conditions are met:

1. *The physician was directly involved in the primary and follow-up care of **at least 15** newly transplanted lung or heart/lung recipients. This training will have been under the direct supervision of a qualified lung transplant physician and in conjunction with a lung transplant surgeon. **At least half** of these patients must be single or double-lung transplant recipients. **This experience must be documented on a log that includes the date of transplant, medical record number or other unique identifier, and the signature of the director of the training program or the transplant program's primary transplant physician.***
2. *The physician has maintained a current working knowledge of all aspects of lung transplantation, defined as a direct involvement in lung transplant patient care within the last 2 years.*

Check all that apply

- The physician has experience with acute lung failure.*
- The physician has experience with chronic lung failure.*
- The physician has experience with cardiopulmonary bypass.*
- The physician has experience with donor selection.*
- The physician has experience with recipient selection.*
- The physician has experience with pre- and postoperative ventilator care.*
- The physician has experience with postoperative immunosuppressive therapy.*
- The physician has experience with histological interpretation and grading lung biopsies for rejection.*
- The physician has experience with long-term outpatient follow-up.*

If a box is not checked, please provide an explanation:

3. *The physician has observed **at least 3** lung or heart/lung procurements. The physician must have observed the evaluation, donation process, and management of these donors. **This experience must be documented on a log that includes the date of procurement and Donor ID.***
4. *The physician has observed **at least 3** lung transplants. **This experience must be documented on a log that includes transplant date and medical record number or other unique identifier.***
5. **Provide the following letters with the application:**

- A letter from the director of the training program verifying that the physician has met the above requirements and is qualified to direct a lung transplant program.
- A letter of recommendation from the training program's primary physician and transplant program director outlining:
 - the individual's overall qualifications to act as primary transplant physician,
 - the individual's personal integrity and honesty,
 - the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - any other matters judged appropriate.

The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the physician, at its discretion.
- A letter from the individual that details the training and experience they have gained in lung transplantation.

5B. Clinical Experience Pathway

A physician can meet the requirements for primary lung transplant physician through acquired clinical experience if the following conditions are met.

1. *The physician has been directly involved in the primary care of **15 or more** newly transplanted lung or heart/lung recipients and continued to follow these recipients for a minimum of 3 months from the time of transplant. **At least half** of these transplant must be lung transplants. This patient care must have been provided over a 2 to 5-year period at a designated lung transplant program. This care must have been provided as the lung transplant physician or directly supervised by a qualified lung transplant physician along with a lung transplant surgeon.*

This experience must be documented on a log that includes the date of transplant and the medical record number or other unique identifier.

2. *The physician has maintained a current working knowledge of all aspects of lung transplantation, defined as a direct involvement in lung transplant patient care within the last 2 years.*

Check all that apply

- The physician has experience with acute lung failure.*
- The physician has experience with chronic lung failure.*
- The physician has experience with cardiopulmonary bypass.*
- The physician has experience with donor selection.*
- The physician has experience with recipient selection.*
- The physician has experience with pre- and postoperative ventilator care.*
- The physician has experience with postoperative immunosuppressive therapy.*
- The physician has experience with histological interpretation and grading lung biopsies for rejection.*
- The physician has experience with long-term outpatient follow-up.*

If a box is not checked, please provide an explanation:

-
3. *The physician has observed **at least 3** lung or heart/lung procurements. The physician must have observed the evaluation, donation process, and management of these donors.*

This experience must be documented on a log that includes the date of procurement and Donor ID.

4. *The physician has observed **at least 3** lung transplants.*

This experience must be documented on a log that includes transplant date and medical record number or other unique identifier.

5. ***Provide the following letters with the application:***

- A letter from the lung transplant physician or surgeon of the training program who has been directly involved with the physician verifying that the physician has met the above requirements and is qualified to direct a lung transplant program.
- A letter of recommendation from the program's primary physician and transplant program director at the program last served outlining
 - the individual's overall qualifications to act as primary transplant physician,
 - the individual's personal integrity and honesty,
 - the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - any other matters judged appropriate.

The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the physician, at its discretion.

- A letter from the individual that details the training and experience they have gained in lung transplantation.

5C. Alternative Pathway for Predominantly Pediatric Programs

If a physician does not meet the requirements for primary physician through any of the transplant fellowship or clinical experience pathways as described above, hospitals that serve predominantly pediatric patients may petition the MPSC in writing to consider the physician for primary transplant physician if the program can demonstrate that the following conditions are met:

1. Provide an explanation why the proposed physician needs to utilize this pathway:

2. That the physician’s lung transplant training or experience is equivalent to the fellowship or clinical experience pathways as described in the **12-month transplant pulmonary fellowship pathway, as described in Section 5Name of Proposed Primary Lung Transplant Physician (as indicated in Certificate of Assessment): or the **clinical experience pathway**, as described in Section 5 above.**

This experience must be documented on a log that includes the date of transplant and/or procurement and the medical record number or other unique identifier.

3. The physician has maintained a current working knowledge of all aspects of lung transplantation, defined as direct involvement in lung transplant patient care within the last 2 years.

Check all that apply

- The physician has experience with acute lung failure.
- The physician has experience with chronic lung failure.
- The physician has experience with cardiopulmonary bypass.
- The physician has experience with donor selection.
- The physician has experience with recipient selection.
- The physician has experience with pre- and postoperative ventilator care.
- The physician has experience with postoperative immunosuppressive therapy.
- The physician has experience with histological interpretation and grading lung biopsies for rejection.
- The physician has experience with long-term outpatient follow-up.

If a box is not checked, please provide an explanation:

4. **Provide letter(s) of recommendation** from the primary physician and transplant program director of the fellowship training program or transplant program last served by the physician outlining:
- o the individual's overall qualifications to act as primary transplant physician,
 - o the individual's personal integrity and honesty,
 - o the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - o any other matters judged appropriate.

The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the physician, at its discretion.

5. If this pathway is selected, the OPTN contractor will contact the program to schedule an informal discussion with the MPSC.

5D. Conditional Approval for Primary Transplant Physician

If the primary lung transplant physician changes at an approved lung transplant program, a physician can serve as the primary lung transplant physician for a maximum of 12 months if the following conditions are met:

1. *The physician has **12 months of experience** on an active lung transplant service as the primary lung transplant physician or under the direct supervision of a qualified lung transplant physician and in conjunction with a lung transplant surgeon at a designated lung transplant program. These 12 months of experience must be acquired within a 2-year period.*
2. *The physician has been involved in the primary care of **8 or more** newly transplanted lung or heart/lung transplant recipients as the lung transplant physician or under the direct supervision of a qualified lung transplant physician and in conjunction with a lung transplant surgeon. **At least half** of these patients must be lung transplant recipients. **This experience must be documented on a log that includes the date of transplant and medical record number or other unique identifier.***
3. *The physician has maintained a current working knowledge of all aspects of lung transplantation, defined as a direct involvement in lung transplant patient care within the last 2 years.*

Check all that apply

- The physician has experience with acute lung failure.*
- The physician has experience with chronic lung failure.*
- The physician has experience with cardiopulmonary bypass.*
- The physician has experience with donor selection.*
- The physician has experience with recipient selection.*
- The physician has experience with pre- and postoperative ventilator care.*
- The physician has experience with postoperative immunosuppressive therapy.*
- The physician has experience with histological interpretation and grading lung biopsies for rejection.*
- The physician has experience with long-term outpatient follow-up.*

If a box is not checked, please provide an explanation:

4. *The physician has observed **at least 3** lung or heart/lung procurements. The physician must have observed the evaluation, donation process, and management of these donors. **This experience must be documented on a log that includes the date of procurement and Donor ID.***
5. *The physician has observed **at least 3** lung transplants.*

This experience must be documented on a log that includes transplant date and medical record number or other unique identifier.

6. ***Provide documentation*** that supports that the program has established and documented a ***consulting relationship*** with counterparts at another lung transplant program.

7. ***Provide the following letters with the application:***

- A letter from the supervising lung transplant physician or surgeon at the training program verifying that the physician has met the above requirements and is qualified to direct a lung transplant program.
- A letter of recommendation from the training program's primary physician and transplant program director outlining
 - the individual's overall qualifications to act as primary transplant physician,
 - the individual's personal integrity and honesty,
 - the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - any other matters judged appropriate.

The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the physician, at its discretion.

- A letter from the physician that details the training and experience the physician has gained in lung transplantation.

Part 7: Pediatric Transplant Component

Lung Transplant Programs that Register Candidates Less than 18 Years Old

A designated lung transplant program that registers candidates less than 18 years old must have an approved pediatric component. To be approved for a pediatric component, the designated lung transplant program must identify a qualified primary pediatric lung transplant surgeon and a qualified primary pediatric lung transplant physician, as described below.

Part 7A: Primary Pediatric Lung Transplant Surgeon Requirements

1. Name of Proposed Primary Pediatric Lung Transplant Surgeon (as indicated in Certificate of Assessment):

Name	NPI #
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2. Check yes or no for each of the following. Provide documentation where applicable:

Yes No

- 2a. Does the surgeon have an M.D., D.O., or equivalent degree from another country, with a current license to practice medicine in the hospital's state or jurisdiction?

Provide a copy of the surgeon's resume/CV.

- 2b. Has the surgeon been accepted onto the hospital's medical staff, and is practicing on site at this hospital?

Provide documentation from the hospital credentialing committee that it has verified the surgeon's state license, board certification, training, and transplant continuing medical education, and that the surgeon is currently a member in good standing of the hospital's medical staff.

3. Certification. Check one and provide corresponding documentation:

- 3a. The surgeon is currently certified by the American Board of Thoracic Surgery or currently certified in thoracic surgery by the Royal College of Physicians and Surgeons of Canada.

Provide a copy of the surgeon's current board certification.

- 3b. The surgeon has just completed training and is pending certification by the American Board of Thoracic Surgery. Therefore, the surgeon is requesting conditional approval for 24 months to allow time to complete board certification, with the possibility of renewal for one additional 24-month period.

Provide documentation supporting that training has been completed and certification is pending, which must include the anticipated date of board certification and where the surgeon is in the process to be certified.

- 3c. The surgeon is without American Board of Thoracic Surgery certification or current certification in thoracic surgery by the Royal College of Physicians and Surgeons of Canada. If this option is selected:

- **The surgeon must be ineligible for American board certification. Provide an explanation why the individual is ineligible:**

- **Provide a plan for continuing education that is comparable to American board maintenance of certification; and**

- **Provide at least 2 two letters of recommendation from directors of designated transplant programs not employed by the applying hospital that address**
 - o *why an exception is reasonable,*
 - o *the individual's overall qualifications to act as a primary lung transplant surgeon,*
 - o *the individual's personal integrity and honesty,*
 - o *the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and*
 - o *any other matters judged appropriate.*

4. Summarize the surgeon's training and experience in transplant:

Training and Experience	Approved Fellowship Program? Y/N	Date (MM/DD/YY)		Transplant Hospital	Program Director	# of Lung /Heart-Lung Transplants	# of Lung Procurements
		Start	End				
Residency							
Fellowship Training							
Experience Post Fellowship							

5. Which of the following pathways is the proposed primary surgeon applying (check one, and complete the corresponding pathway section below):

- The **formal cardiothoracic surgery residency pathway**, as described in *Section 5* below.
- The **12-month lung transplant fellowship pathway**, as described in *Section 5* below.
- The **lung transplant program clinical experience pathway**, as described in *Section 5* below.
- The **alternative pathway for predominantly pediatric programs**, as described in *Section 5* below.

5A. Cardiothoracic Surgery Residency Pathway

Surgeons can meet the training requirements for primary lung transplant surgeon by completing a cardiothoracic surgery residency if *all* of the following conditions are met:

1. *During the cardiothoracic surgery residency, the surgeon has performed **at least 15** lung or heart/lung transplants as primary surgeon or first assistant under the direct supervision of a qualified lung transplant surgeon and in conjunction with a lung transplant physician at a lung transplant program. **At least half** of these transplants must be lung procedures. **This experience must be documented on a log that includes the date of transplant, the role of the surgeon, the medical record number or other unique identifier, and the training program director's signature.***

2. *The surgeon has performed **at least 10** lung procurements as primary surgeon or first assistant under the supervision of a qualified lung transplant surgeon. These procurements must have been performed anytime during the surgeon's cardiothoracic surgery residency and the two years immediately following cardiothoracic surgery residency completion. **This experience must be documented on a log that includes the date of procurement and Donor ID.***

3. *The surgeon must maintain a current working knowledge of all aspects of lung transplantation, defined as a direct involvement in lung transplant patient care within the last 2 years. **Check all that apply***
 - The surgeon has experience with acute lung failure.*
 - The surgeon has experience with chronic lung failure.*
 - The surgeon has experience with cardiopulmonary bypass.*
 - The surgeon has experience with donor selection.*
 - The surgeon has experience with recipient selection?*
 - The surgeon has experience with pre- and postoperative ventilator care.*
 - The surgeon has experience with postoperative immunosuppressive therapy.*
 - The surgeon has experience with histological interpretation and grading lung biopsies for rejection.*
 - The surgeon has experience with long-term outpatient follow-up.*

If a box is not checked, please provide an explanation:

4. ***Provide the following letters with the application:***
 - *A letter from the director of the training program verifying that the surgeon has met the above requirements and is qualified to direct a lung transplant program.*
 - *A letter of recommendation from the program's primary surgeon and transplant program director outlining
 - o *the individual's overall qualifications to act as primary transplant surgeon,*
 - o *the individual's personal integrity and honesty,*
 - o *the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and**

o any other matters judged appropriate.

The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the surgeon, at its discretion.

- A letter from the individual that details the training and experience they have gained in lung transplantation.

5B. Twelve-month Lung Transplant Fellowship Pathway

Surgeons can meet the training requirements for primary lung transplant surgeon by completing a 12-month lung transplant fellowship if the following conditions are met:

1. *The surgeon has performed **at least 15** lung or heart/lung transplants under the direct supervision of a qualified lung transplant surgeon and in conjunction with a qualified lung transplant physician as primary surgeon or first assistant during the 12-month lung transplant fellowship. **At least half** of these transplants must be lung procedures. **This experience must be documented on a log that includes the date of transplant, the role of the surgeon, the medical record number or other unique identifier, and the fellowship director's signature.***
2. *The surgeon has performed **at least 10** lung procurements as primary surgeon or first assistant under the supervision of a qualified lung transplant surgeon. These procurements must have been performed anytime during the surgeon's fellowship and the two years immediately following fellowship completion. **This experience must be documented on a log that includes the date of procurement and Donor ID.***
3. *The surgeon has maintained a current working knowledge of all aspects of lung transplantation, defined as a direct involvement in lung transplant patient care within the last 2 years.*

Check all that apply

- The surgeon has experience with acute lung failure.*
- The surgeon has experience with chronic lung failure.*
- The surgeon has experience with cardiopulmonary bypass.*
- The surgeon has experience with donor selection.*
- The surgeon has experience with recipient selection.*
- The surgeon has experience with pre- and postoperative ventilator care.*
- The surgeon has experience with postoperative immunosuppressive therapy.*
- The surgeon has experience with histological interpretation and grading lung biopsies for rejection.*
- The surgeon has experience with long-term outpatient follow-up.*

If a box is not checked, please provide an explanation:

4. Provide the following letters with the application:

- A letter from the director of the training program verifying that the surgeon has met the above requirements and is qualified to direct a lung transplant program.

- A letter of recommendation from the program's primary surgeon and transplant program director outlining
 - the individual's overall qualifications to act as primary transplant surgeon,
 - the individual's personal integrity and honesty,
 - the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - any other matters judged appropriate.

The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the surgeon, at its discretion.

- A letter from the individual that details the training and experience they have gained in lung transplantation.

5C. Clinical Experience Pathway

Surgeons can meet the requirements for primary lung transplant surgeon through clinical experience gained post-fellowship if the following conditions are met:

1. *The surgeon has performed **15 or more** lung or heart/lung transplants over a 2 to 5-year period as primary surgeon or first assistant at a designated lung transplant program. **At least half** of these transplants must be lung procedures, and **at least 10** must be performed as the primary surgeon. The surgeon must also have been actively involved with cardiothoracic surgery.*

This experience must be documented on a log that includes the date of transplant, the role of the surgeon, and the medical record number or other unique identifier.

2. *The surgeon has performed **at least 10** lung procurements.*
This experience must be documented on a log that includes the date of procurement and Donor ID.

3. *The surgeon has maintained a current working knowledge of all aspects of lung transplantation, defined as a direct involvement in lung transplant patient care within the last 2 years.*

Check all that apply

- The surgeon has experience with acute lung failure.*
- The surgeon has experience with chronic lung failure.*
- The surgeon has experience with cardiopulmonary bypass.*
- The surgeon has experience with donor selection.*
- The surgeon has experience with recipient selection.*
- The surgeon has experience with pre- and postoperative ventilator care.*
- The surgeon has experience with postoperative immunosuppressive therapy.*
- The surgeon has experience with histological interpretation and grading lung biopsies for rejection.*
- The surgeon has experience with long-term outpatient follow-up.*

If a box is not checked, please provide an explanation:

4. **Provide the following letters with the application:**

- A letter from the director of program where the surgeon gained their experience verifying that the surgeon has met the above requirements and is qualified to direct a lung transplant program.
- A letter of recommendation from the primary surgeon and transplant program director of the program last served by outlining
 - o the individual's overall qualifications to act as primary transplant surgeon,

- o the individual's personal integrity and honesty,
- o the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
- o any other matters judged appropriate.

The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the surgeon, at its discretion.

- A letter from the individual that details the training and experience they have gained in lung transplantation.

5D. Alternative Pathway for Predominantly Pediatric Programs

If a surgeon does not meet the requirements for primary lung transplant surgeon through either the training or clinical experience pathways, hospitals that serve predominantly pediatric patients may petition the MPSC in writing to consider the surgeon for primary transplant surgeon if the program can demonstrate that the following conditions are met:

1. **Provide an explanation why the proposed surgeon needs to utilize this pathway:**

2. The surgeon's lung transplant training or experience must be equivalent to the **formal cardiothoracic surgery residency pathway**, as described in *Section 5*, the **12-month lung transplant fellowship pathway**, as described in *Section 5*, or the **lung transplant program clinical experience pathway**, as described in *Section 5* above.
This experience must be documented on a log that includes the date of transplant and/or procurement, the role of the surgeon, and the medical record number or other unique identifier.

3. *The surgeon has maintained a current working knowledge of all aspects of lung transplantation, defined as a direct involvement in lung transplant patient care within the last 2 years.*

Check all that apply

- The surgeon has experience with acute lung failure.*
- The surgeon has experience with chronic lung failure.*
- The surgeon has experience with cardiopulmonary bypass.*
- The surgeon has experience with donor selection.*
- The surgeon has experience with recipient selection.*
- The surgeon has experience with pre- and postoperative ventilator care.*
- The surgeon has experience with postoperative immunosuppressive therapy.*
- The surgeon has experience with histological interpretation and grading lung biopsies for rejection.*
- The surgeon has experience with long-term outpatient follow-up.*

If a box is not checked, please provide an explanation:

4. *Provide the following letters with the application:*

- A letter of recommendation from the primary surgeon and transplant program director of the fellowship training program or the transplant program last served by the surgeon outlining
 - the individual's overall qualifications to act as primary transplant surgeon,
 - the individual's personal integrity and honesty,
 - the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - any other matters judged appropriate.The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the surgeon, at its discretion.
5. If this pathway is selected, the OPTN contractor will contact the program to schedule an informal discussion with the MPSC.

Part 7B: Primary Pediatric Lung Transplant Physician Requirements

1. Name of Proposed Primary Pediatric Lung Transplant Physician (as indicated in Certificate of Assessment):

Name	NPI #
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2. Check yes or no for each of the following. Provide documentation where applicable:

Yes No

- 2a. Does the physician have an M.D., D.O., or equivalent degree from another country, with a current license to practice medicine in the hospital's state or jurisdiction?

Provide a copy of the physician's resume/CV.

- 2b. Has the physician been accepted onto the hospital's medical staff, and is practicing on site at this hospital?

Provide documentation from the hospital credentialing committee that it has verified the physician's state license, board certification, training, and transplant continuing medical education, and that the physician is currently a member in good standing of the hospital's medical staff.

3. Certification. Check one and provide corresponding documentation:

- 3a. The physician is currently certified in adult or pediatric pulmonary medicine by the American Board of Internal Medicine, the American Board of Pediatrics, of the Royal College of Physicians and Surgeons of Canada.

Provide a copy of the physician's current board certification.

- 3b. The physician is without certification or has not achieved eligibility in adult or pediatric pulmonary medicine by the American Board of Internal Medicine, the American Board of Pediatrics, of the Royal College of Physicians and Surgeons of Canada.

- **The physician must be ineligible for American board certification. Provide an explanation why the individual is ineligible:**

- **Provide a plan for continuing education that is comparable to American board maintenance of certification**
- **Provide at least 2 two letters of recommendation from directors of designated transplant programs not employed by the applying hospital that address**
 - o why an exception is reasonable,
 - o the individual's overall qualifications to act as a primary lung transplant physician,
 - o the individual's personal integrity and honesty,
 - o the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - o any other matters judged appropriate.

4. Summarize the physician’s training and experience in transplant:

Training and Experience	Date (MM/DD/YY)		Transplant Hospital	Program Director	# Lung Patients Followed			# Heart/Lung Patients Followed		
	Start	End			Pre	Peri	Post	Pre	Peri	Post
Experience Post Fellowship										
Fellowship Training										

5. Which of the following pathways is the proposed primary physician applying (check one, and complete the corresponding pathway section below):

- The **12-month transplant pulmonary fellowship pathway**, as described in Section 5Name of Proposed Primary Lung Transplant Physician (as indicated in Certificate of Assessment): below.
- The **clinical experience pathway**, as described in *Section 5* below.
- The **alternative pathway for predominantly pediatric programs**, as described in *Section 5* below.
- The **conditional approval pathway**, as described in *Section 5* below, if the primary lung transplant physician changes at an approved lung transplant program.

5A. Twelve-month Transplant Pulmonary Fellowship Pathway

Physicians can meet the training requirements for primary lung transplant physician during a 12-month transplant pulmonary fellowship if *all* of the following conditions are met:

1. *The physician was directly involved in the primary and follow-up care of **at least 15** newly transplanted lung or heart/lung recipients. This training will have been under the direct supervision of a qualified lung transplant physician and in conjunction with a lung transplant surgeon. **At least half** of these patients must be single or double-lung transplant recipients. **This experience must be documented on a log that includes the date of transplant, medical record number or other unique identifier, and the signature of the director of the training program or the transplant program's primary transplant physician.***
2. *The physician has maintained a current working knowledge of all aspects of lung transplantation, defined as a direct involvement in lung transplant patient care within the last 2 years.*

Check all that apply

- The physician has experience with acute lung failure.*
- The physician has experience with chronic lung failure.*
- The physician has experience with cardiopulmonary bypass.*
- The physician has experience with donor selection.*
- The physician has experience with recipient selection.*
- The physician has experience with pre- and postoperative ventilator care.*
- The physician has experience with postoperative immunosuppressive therapy.*
- The physician has experience with histological interpretation and grading lung biopsies for rejection.*
- The physician has experience with long-term outpatient follow-up.*

If a box is not checked, please provide an explanation:

-
3. *The physician has observed **at least 3** lung or heart/lung procurements. The physician must have observed the evaluation, donation process, and management of these donors. **This experience must be documented on a log that includes the date of procurement and Donor ID.***
 4. *The physician has observed **at least 3** lung transplants. **This experience must be documented on a log that includes transplant date and medical record number or other unique identifier.***
 5. **Provide the following letters with the application:**

- A letter from the director of the training program verifying that the physician has met the above requirements and is qualified to direct a lung transplant program.
- A letter of recommendation from the training program's primary physician and transplant program director outlining:
 - the individual's overall qualifications to act as primary transplant physician,
 - the individual's personal integrity and honesty,
 - the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - any other matters judged appropriate.

The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the physician, at its discretion.
- A letter from the individual that details the training and experience they have gained in lung transplantation.

5B. Clinical Experience Pathway

A physician can meet the requirements for primary lung transplant physician through acquired clinical experience if the following conditions are met.

1. *The physician has been directly involved in the primary care of **15 or more** newly transplanted lung or heart/lung recipients and continued to follow these recipients for a minimum of 3 months from the time of transplant. **At least half** of these transplant must be lung transplants. This patient care must have been provided over a 2 to 5-year period at a designated lung transplant program. This care must have been provided as the lung transplant physician or directly supervised by a qualified lung transplant physician along with a lung transplant surgeon.*

This experience must be documented on a log that includes the date of transplant and the medical record number or other unique identifier.

2. *The physician has maintained a current working knowledge of all aspects of lung transplantation, defined as a direct involvement in lung transplant patient care within the last 2 years.*

Check all that apply

- The physician has experience with acute lung failure.*
- The physician has experience with chronic lung failure.*
- The physician has experience with cardiopulmonary bypass.*
- The physician has experience with donor selection.*
- The physician has experience with recipient selection.*
- The physician has experience with pre- and postoperative ventilator care.*
- The physician has experience with postoperative immunosuppressive therapy.*
- The physician has experience with histological interpretation and grading lung biopsies for rejection.*
- The physician has experience with long-term outpatient follow-up.*

If a box is not checked, please provide an explanation:

3. *The physician has observed **at least 3** lung or heart/lung procurements. The physician must have observed the evaluation, donation process, and management of these donors.*

This experience must be documented on a log that includes the date of procurement and Donor ID.

4. *The physician has observed **at least 3** lung transplants.*

This experience must be documented on a log that includes transplant date and medical record number or other unique identifier.

5. ***Provide the following letters with the application:***

- A letter from the lung transplant physician or surgeon of the training program who has been directly involved with the physician verifying that the physician has met the above requirements and is qualified to direct a lung transplant program.
- A letter of recommendation from the program's primary physician and transplant program director at the program last served outlining
 - the individual's overall qualifications to act as primary transplant physician,
 - the individual's personal integrity and honesty,
 - the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - any other matters judged appropriate.

The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the physician, at its discretion.

- A letter from the individual that details the training and experience they have gained in lung transplantation.

5C. Alternative Pathway for Predominantly Pediatric Programs

If a physician does not meet the requirements for primary physician through any of the transplant fellowship or clinical experience pathways as described above, hospitals that serve predominantly pediatric patients may petition the MPSC in writing to consider the physician for primary transplant physician if the program can demonstrate that the following conditions are met:

1. Provide an explanation why the proposed physician needs to utilize this pathway:

2. That the physician’s lung transplant training or experience is equivalent to the fellowship or clinical experience pathways as described in the **12-month transplant pulmonary fellowship pathway, as described in Section 5Name of Proposed Primary Lung Transplant Physician (as indicated in Certificate of Assessment): or the **clinical experience pathway**, as described in Section 5 above.**

This experience must be documented on a log that includes the date of transplant and/or procurement and the medical record number or other unique identifier.

3. The physician has maintained a current working knowledge of all aspects of lung transplantation, defined as direct involvement in lung transplant patient care within the last 2 years.

Check all that apply

- The physician has experience with acute lung failure.
- The physician has experience with chronic lung failure.
- The physician has experience with cardiopulmonary bypass.
- The physician has experience with donor selection.
- The physician has experience with recipient selection.
- The physician has experience with pre- and postoperative ventilator care.
- The physician has experience with postoperative immunosuppressive therapy.
- The physician has experience with histological interpretation and grading lung biopsies for rejection.
- The physician has experience with long-term outpatient follow-up.

If a box is not checked, please provide an explanation:

4. ***Provide letter(s) of recommendation*** from the primary physician and transplant program director of the fellowship training program or transplant program last served by the physician outlining:
- o the individual's overall qualifications to act as primary transplant physician,
 - o the individual's personal integrity and honesty,
 - o the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - o any other matters judged appropriate.

The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the physician, at its discretion.

5. If this pathway is selected, the OPTN contractor will contact the program to schedule an informal discussion with the MPSC.

5D. Conditional Approval for Primary Transplant Physician

If the primary lung transplant physician changes at an approved lung transplant program, a physician can serve as the primary lung transplant physician for a maximum of 12 months if the following conditions are met:

1. *The physician has **12 months of experience** on an active lung transplant service as the primary lung transplant physician or under the direct supervision of a qualified lung transplant physician and in conjunction with a lung transplant surgeon at a designated lung transplant program. These 12 months of experience must be acquired within a 2-year period.*
2. *The physician has been involved in the primary care of **8 or more** newly transplanted lung or heart/lung transplant recipients as the lung transplant physician or under the direct supervision of a qualified lung transplant physician and in conjunction with a lung transplant surgeon. **At least half** of these patients must be lung transplant recipients. **This experience must be documented on a log that includes the date of transplant and medical record number or other unique identifier.***
3. *The physician has maintained a current working knowledge of all aspects of lung transplantation, defined as a direct involvement in lung transplant patient care within the last 2 years.*

Check all that apply

- The physician has experience with acute lung failure.*
- The physician has experience with chronic lung failure.*
- The physician has experience with cardiopulmonary bypass.*
- The physician has experience with donor selection.*
- The physician has experience with recipient selection.*
- The physician has experience with pre- and postoperative ventilator care.*
- The physician has experience with postoperative immunosuppressive therapy.*
- The physician has experience with histological interpretation and grading lung biopsies for rejection.*
- The physician has experience with long-term outpatient follow-up.*

If a box is not checked, please provide an explanation:

4. *The physician has observed **at least 3** lung or heart/lung procurements. The physician must have observed the evaluation, donation process, and management of these donors. **This experience must be documented on a log that includes the date of procurement and Donor ID.***
5. *The physician has observed **at least 3** lung transplants.*

This experience must be documented on a log that includes transplant date and medical record number or other unique identifier.

6. *Provide documentation that supports that the program has established and documented a consulting relationship with counterparts at another lung transplant program.*

7. *Provide the following letters with the application:*

- A letter from the supervising lung transplant physician or surgeon at the training program verifying that the physician has met the above requirements and is qualified to direct a lung transplant program.
- A letter of recommendation from the training program's primary physician and transplant program director outlining
 - the individual's overall qualifications to act as primary transplant surgeon,
 - the individual's personal integrity and honesty,
 - the individual's familiarity with and experience in adhering to OPTN obligations and compliance protocols, and
 - any other matters judged appropriate.

The MPSC may request additional recommendation letters from the primary physician, primary surgeon, director, or others affiliated with any transplant program previously served by the physician, at its discretion.

- A letter from the physician that details the training and experience the physician has gained in lung transplantation.

PUBLIC BURDEN STATEMENT

The private, non-profit Organ Procurement and Transplantation Network (OPTN) collects this information in order to perform the following OPTN functions: to assess whether applicants meet OPTN Bylaw requirements for membership in the OPTN; and to monitor compliance of member organizations with OPTN Obligations. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this information collection is 0915-0184 and it is valid until 08/31/2023. This information collection is required to obtain or retain a benefit per 42 CFR §121.11(b)(2). All data collected will be subject to Privacy Act protection (Privacy Act System of Records #09-15-0055). Data collected by the private non-profit OPTN also are well protected by a number of the Contractor's security features. The Contractor's security system meets or exceeds the requirements as prescribed by OMB Circular A-130, Appendix III, Security of Federal Automated Information Systems, and the Departments Automated Information Systems Security Program Handbook. The public reporting burden for this collection of information is estimated to average 3 hours per response, including the time for reviewing instructions, searching existing data sources, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to HRSA Reports Clearance Officer, 5600 Fishers Lane, Room 14N136B, Rockville, Maryland, 20857 or paperwork@hrsa.gov.

