

## SUPPORTING STATEMENT - PART A

Data Call for Former/Retired General/Flag Officers and SES – OMB Control Number

0704-XXXX

### 1. Need for the Information Collection

A conference report to the NDAA for FY22 (H. Rept. 116-617) requested that the Secretary of Defense brief the House and Senate Committees on the Armed Forces on the implementation and effect of Section 1045 of the NDAA for FY18. Specifically, the briefing should include an “evaluation of the effects, if any, of the limitations imposed by Section 1045 [of the NDAA for FY18] on the Department’s ability to both attract experienced and qualified persons to public service in the DOD, and to derive benefit from communications with former senior employees and officers.” In order to inform this portion of the briefing, the Standards of Conduct Office must collect information from former/retired General and Flag Officer and members of the SES that are subject to the post-Government employment restrictions imposed by Section 1045 of the NDAA for FY18.

### 2. Use of the Information

The respondents are former/retired General and Flag Officers and members of the SES. They are responding to the information collection to inform the Department’s upcoming briefing to the House and Senate Committees on the Armed Forces. The collection instrument will be in the form of 13 survey questions. The end result or successful effect of the information collection as a whole would be for the Department to provide an informative and meaningful briefing to the House and Senate Committees on the Armed Forces.

An email will be sent to retired/former General and Flag Officers and members of the SES who left the DoD on or after January 1, 2018. The email will contain instructions and a link to an online survey instrument hosted on Max.gov. The former/retired General and Flag Officers and members of the SES will be asked to complete the online survey. No more than two follow-up emails will be sent. The responses to the survey will be stored by Max.gov until the response deadline. Once the deadline to respond to the survey has passed, all of the responses will be downloaded to an Excel spreadsheet. No personal information, to include names, will be collected. The survey is confidential.

### 3. Use of Information Technology

100% of the responses will be collected electronically through an online system called Max.gov.

### 4. Non-duplication

The information obtained through this collection is unique and is not already available for use or adaptation from another cleared source.

5. Burden on Small Businesses

This information collection does not impose a significant economic impact on a substantial number of small businesses or entities.

6. Less Frequent Collection

This is a one-time collection.

7. Paperwork Reduction Act Guidelines

This collection of information does not require collection to be conducted in a manner inconsistent with the guidelines delineated in 5 CFR 1320.5(d)(2).

8. Consultation and Public Comments

Part A: PUBLIC NOTICE

A 15-Day Federal Register Notice (FRN) for the collection published on (Monday, July 19, 2021). The 15-Day FRN citation is 86 FR 38054.

Part B: CONSULTATION

No additional consultation apart from soliciting public comments through the Federal Register was conducted for this submission.

9. Gifts or Payment

No payments or gifts are being offered to respondents as an incentive to participate in the collection.

10. Confidentiality

A Privacy Act Statement is not required for this collection because we are not requesting individuals to furnish personal information for a system of records.

A System of Record Notice (SORN) is not required for this collection because records are not retrievable by PII.

A Privacy Impact Assessment (PIA) is not required for this collection because PII is not being collected electronically.

11. Sensitive Questions

No questions considered sensitive are being asked in this collection.

12. Respondent Burden and its Labor Costs

a. Estimation of Respondent Burden

- 1) Data Call for Former/Retired General/Flag Officers and SES
  - a) Number of Respondents: 500
  - b) Number of Responses Per Respondent: 1
  - c) Number of Total Annual Responses: 500
  - d) Response Time: 0.25 hours (15 minutes)
  - e) Respondent Burden Hours: 125 hours
  
- 2) Total Submission Burden
  - a) Total Number of Respondents: 500
  - b) Total Number of Annual Responses: 500
  - c) Total Respondent Burden Hours: 125 hours

b. Labor Cost of Respondent Burden

- 1) Data Call for Former/Retired General/Flag Officers and SES
  - a) Number of Total Annual Responses: 500
  - b) Response Time: 0.25 hours (15 minutes)
  - c) Respondent Hourly Wage: \$80
  - d) Labor Burden per Response: \$20
  - e) Total Labor Burden: \$10,000
  
- 2) Overall Labor Burden
  - a) Total Number of Annual Responses: 500
  - b) Total Labor Burden: \$10,000

The Respondent hourly wage was determined by using the Office of Personnel's Management 2021 Executive & Senior Level Employee Pay Tables (<https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/pdf/2021/ES.pdf>)

13. Respondent Costs Other Than Burden Hour Costs

There are no annualized costs to respondents other than the labor burden costs addressed in Section 12 of this document to complete this collection.

14. Cost to the Federal Government

Part A: LABOR COST TO THE FEDERAL GOVERNMENT

- 1) Data Call for Former General/Flag Officers and SES
  - a) Number of Total Annual Responses: 500
  - b) Processing Time per Response: 0.167 hours (10 minutes)
  - c) Hourly Wage of Worker(s) Processing Responses: \$80
  - d) Cost to Process Each Response: \$13.33
  - e) Total Cost to Process Responses: \$6,667

- 2) Overall Labor Burden to the Federal Government
  - a) Total Number of Annual Responses: 500
  - b) Total Labor Burden: \$6,667

Part B: OPERATIONAL AND MAINTENANCE COSTS

- 1) Cost Categories
  - a) Equipment: \$0
  - b) Printing: \$0
  - c) Postage: \$0
  - d) Software Purchases: \$0
  - e) Licensing Costs: \$0
  - f) Other: \$0
  
- 2) Total Operational and Maintenance Cost: \$0

Part C: TOTAL COST TO THE FEDERAL GOVERNMENT

- 1) Total Labor Cost to the Federal Government: \$6,667
  
- 2) Total Operational and Maintenance Costs: \$0
  
- 3) Total Cost to the Federal Government: \$6,667

15. Reasons for Change in Burden

This is a new collection with a new associated burden.

16. Publication of Results

The results of this information collection will not be published.

17. Non-Display of OMB Expiration Date

We are not seeking approval to omit the display of the expiration date of the OMB approval on the collection instrument.

18. Exceptions to "Certification for Paperwork Reduction Submissions"

We are not requesting any exemptions to the provisions stated in 5 CFR 1320.9.