

**Appendix 1:**

**The Omnibus Crime Control and Safe Streets Act of 1968**

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DERIVATION

Title I

THE OMNIBUS CRIME CONTROL AND SAFE STREETS ACT OF 1968  
(Public Law 90-351)

42 U.S.C. § 3711, *et seq.*

*AN ACT to assist State and local governments in reducing the incidence of crime, to increase the effectiveness, fairness, and coordination of law enforcement and criminal justice systems at all levels of government, and for other purposes.*

As Amended By

THE OMNIBUS CRIME CONTROL ACT OF 1970  
(Public Law 91-644)

THE CRIME CONTROL ACT OF 1973  
(Public Law 93-83)

THE JUVENILE JUSTICE AND DELINQUENCY PREVENTION ACT OF 1974  
(Public Law 93-415)

THE PUBLIC SAFETY OFFICERS' BENEFITS ACT OF 1976  
(Public Law 94-430)

THE CRIME CONTROL ACT OF 1976  
(Public Law 94-503)

THE JUSTICE SYSTEM IMPROVEMENT ACT OF 1979  
(Public Law 96-157)

THE JUSTICE ASSISTANCE ACT OF 1984  
(Public Law 98-473)

STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE ACT OF 1986  
(Public Law 99-570-Subtitle K)

THE ANTI-DRUG ABUSE ACT OF 1988  
TITLE VI, SUBTITLE C - STATE AND LOCAL NARCOTICS CONTROL  
AND JUSTICE ASSISTANCE IMPROVEMENTS  
(Public Law 100-690)

THE CRIME CONTROL ACT OF 1990  
(Public Law 101-647)

BRADY HANDGUN VIOLENCE PROTECTION ACT  
(Public Law 103-159)

VIOLENT CRIME CONTROL AND LAW ENFORCEMENT ACT OF 1994  
(Public Law 103-322)

NATIONAL CHILD PROTECTION ACT OF 1993, AS AMENDED  
(Public Law 103-209)

and

CRIME IDENTIFICATION TECHNOLOGY ACT OF 1998  
(Public Law 105-251)

**BUREAU OF JUSTICE STATISTICS**  
**CHAPTER 46 - SUBCHAPTER III**  
**[TITLE I - PART C]**

*42 USC § 3731* [Sec. 301.] **Statement of purpose**

It is the purpose of this subchapter [part] to provide for and encourage the collection and analysis of statistical information concerning crime, juvenile delinquency, and the operation of the criminal justice system and related aspects of the civil justice system and to support the development of information and statistical systems at the Federal, State, and local levels to improve the efforts of these levels of government to measure and understand the levels of crime, juvenile delinquency, and the operation of the criminal justice system and related aspects of the civil justice system. The Bureau shall utilize to the maximum extent feasible State governmental organizations and facilities responsible for the collection and analysis of criminal justice data and statistics. In carrying out the provisions of this subchapter [part], the Bureau shall give primary emphasis to the problems of State and local justice systems.

*42 USC § 3732* [Sec. 302.] **Bureau of Justice Statistics**

(a) Establishment. There is established within the Department of Justice, under the general authority of the Attorney General, a Bureau of Justice Statistics (hereinafter referred to in this subchapter [part] as “Bureau”).

(b) Appointment of Director; experience; authority; restrictions. The Bureau shall be headed by a Director appointed by the President, by and with the advice and consent of the Senate. The Director shall have had experience in statistical programs. The Director shall have final authority for all grants, cooperative agreements, and contracts awarded by the Bureau. The Director shall report to the Attorney General through the Assistant Attorney General. The Director shall not engage in any other employment than that of serving as Director; nor shall the Director hold any office in, or act in any capacity for, any organization, agency, or institution with which the Bureau makes any contract or other arrangement under this Act.

(c) Duties and functions of Bureau. The Bureau is authorized to—

(1) make grants to, or enter into cooperative agreements or contracts with public agencies, institutions of higher education, private organizations, or private individuals for purposes related to this subchapter [part]; grants shall be made subject to continuing compliance with standards for gathering justice statistics set forth in rules and regulations promulgated by the Director;

(2) collect and analyze information concerning criminal victimization, including crimes against the elderly, and civil disputes;

(3) collect and analyze data that will serve as a continuous and comparable national social indication of the prevalence, incidence, rates, extent, distribution, and attributes of crime, juvenile delinquency, civil disputes, and other statistical factors related to crime, civil disputes, and juvenile delinquency, in support of national, State, and local justice policy and decision making;

(4) collect and analyze statistical information, concerning the operations of the criminal justice system at the Federal, State, and local levels;

(5) collect and analyze statistical information concerning the prevalence, incidence, rates, extent, distribution, and attributes of crime, and juvenile delinquency, at the Federal, State, and local levels;

(6) analyze the correlates of crime, civil disputes and juvenile delinquency, by the use of statistical information, about criminal and civil justice systems at the Federal, State, and local levels, and about the extent, distribution and attributes of crime, and juvenile delinquency, in the Nation and at the Federal, State, and local levels;

(7) compile, collate, analyze, publish, and disseminate uniform national statistics concerning all aspects of criminal justice and related aspects of civil justice, crime, including crimes against the elderly, juvenile delinquency, criminal offenders, juvenile delinquents, and civil disputes in the various States;

(8) recommend national standards for justice statistics and for insuring the reliability and validity of justice statistics supplied pursuant to this chapter [title];

(9) maintain liaison with the judicial branches of the Federal and State Governments in matters relating to justice statistics, and cooperate with the judicial branch in assuring as much uniformity as feasible in statistical systems of the executive and judicial branches;

(10) provide information to the President, the Congress, the judiciary, State and local governments, and the general public on justice statistics;

(11) establish or assist in the establishment of a system to provide State and local governments with access to Federal informational resources useful in the planning, implementation, and evaluation of programs under this Act;

(12) conduct or support research relating to methods of gathering or analyzing justice statistics;

(13) provide for the development of justice information systems programs and assistance to the States and units of local government relating to collection, analysis, or dissemination of justice statistics;

(14) develop and maintain a data processing capability to support the collection, aggregation, analysis and dissemination of information on the incidence of crime and the operation of the criminal justice system;

(15) collect, analyze and disseminate comprehensive Federal justice transaction statistics (including statistics on issues of Federal justice interest such as public fraud and high technology crime) and to provide technical assistance to and work jointly with other Federal agencies to improve the availability and quality of Federal justice data;

(16) provide for the collection, compilation, analysis, publication and dissemination of information and statistics about the prevalence, incidence, rates, extent, distribution and attributes of drug offenses, drug related offenses and drug dependent offenders and further provide for the establishment of a national clearinghouse to maintain and update a comprehensive and timely data base on all criminal justice aspects of the drug crisis and to disseminate such information;

(17) provide for the collection, analysis, dissemination and publication of statistics on the condition and progress of drug control activities at the Federal, State and local levels with particular attention to programs and intervention efforts demonstrated to be of value in the overall national anti- drug strategy and to provide for the establishment of a national clearinghouse for the gathering of data generated by Federal, State, and local criminal justice agencies on their drug enforcement activities;

(18) provide for the development and enhancement of State and local criminal justice information systems, and the standardization of data reporting relating to the collection, analysis or dissemination of data and statistics about drug offenses, drug related offenses, or drug dependent offenders;

(19) provide for research and improvements in the accuracy, completeness, and inclusiveness of criminal history record information, information systems, arrest warrant, and stolen vehicle record information and information systems and support research concerning the accuracy, completeness, and inclusiveness of other criminal justice record information;

(20) maintain liaison with State and local governments and governments of other nations concerning justice statistics;

(21) cooperate in and participate with national and international organizations in the development of uniform justice statistics;

(22) ensure conformance with security and privacy requirement of section 3789g of this title and identify, analyze, and participate in the development and implementation of privacy, security and information policies which impact on Federal and State criminal justice operations and related statistical activities; and

(23) exercise the powers and functions set out in subchapter VIII [part H] of this chapter [title].

(d) Justice statistical collection, analysis, and dissemination. To insure that all justice statistical collection, analysis, and dissemination is carried out in a coordinated manner, the Director is authorized to—

(1) utilize, with their consent, the services, equipment, records, personnel, information, and facilities of other Federal, State, local, and private agencies and instrumentalities with or without reimbursement therefore, and to enter into agreements with such agencies and instrumentalities for purposes of data collection and analysis;

(2) confer and cooperate with State, municipal, and other local agencies;

(3) request such information, data, and reports from any Federal agency as may be required to carry out the purposes of this chapter [title];

(4) seek the cooperation of the judicial branch of the Federal Government in gathering data from criminal justice records; and

(5) encourage replication, coordination and sharing among justice agencies regarding information systems, information policy, and data.

(e) Furnishing of information, data, or reports by Federal agencies. Federal agencies requested to furnish information, data, or reports pursuant to subsection (d)(3) of this section shall provide such information to the Bureau as is required to carry out the purposes of this section.

(f) Consultation with representatives of State and local government and judiciary. In recommending standards for gathering justice statistics under this section, the Director shall consult with representatives of State and local government, including, where appropriate, representatives of the judiciary.

**42 USC § 3733 [Sec. 303.] Authority for 100 per centum grants**

A grant authorized under this subchapter [part] may be up to 100 per centum of the total cost of each project for which such grant is made. The Bureau shall require, whenever feasible as a condition of approval of a grant under this subchapter [part], that the recipient contribute money, facilities, or services to carry out the purposes for which the grant is sought.

**42 USC § 3735 [Sec. 304.] Use of data**

Data collected by the Bureau shall be used only for statistical or research purposes, and shall be gathered in a manner that precludes their use for law enforcement or any purpose relating to a particular individual other than statistical or research purposes.

**Appendix 2:**

**National Prisoner Statistics Program  
Data collection request letter**



U.S. Department of Justice

Office of Justice Programs

*Bureau of Justice Statistics*

*Washington, D.C. 20531*

December 15, 2019

<<Name>>

<<Title>>

<<Department>>

Dear <<salutation>>,

Enclosed is a copy of the National Prisoner Statistics (NPS 1B) form for your 2019 yearend data. The Bureau of Justice Statistics (BJS) wants to thank you for your past participation in NPS. The 2019 collection is the 93rd year of continuous data collection for the NPS, which was authorized as a national data collection program by Congress in 1926. The NPS data are widely used by practitioners, policy makers, researchers, and the general public.

The Omnibus Crime Control and Safe Streets Act of 1968, as amended (42 USC 3732), authorizes collection of these data. The Office of Management and Budget approved this collection (OMB Control No: 1121-0102; Expiration Date: 06/30/2017). For more information, see [https://www.reginfo.gov/public/do/PRAViewICR?ref\\_nbr=201403-1121-006](https://www.reginfo.gov/public/do/PRAViewICR?ref_nbr=201403-1121-006).

Your participation is voluntary but we need your assistance to make the NPS data complete and accurate. BJS is committed to disseminating accurate and timely statistics, and we plan to publish the *Prisoners in 2019* bulletin in September, 2020 as a final count of prison admissions, releases, and yearend population. For that reason, we request that you complete and submit your form by **February 28, 2020**.

Abt Associates is again the BJS data collection agent for NPS-1B. The majority of NPS respondents are also respondents to the National Corrections Reporting Program (NCRP). Abt Associates serves as data collection agent for both the NPS and the NCRP.

We ask that you submit your NPS-1B data via the web, at **nps.abtassociates.com**. Login and password information are enclosed. If you need technical assistance in submitting your data, please contact Tom Rich via email at Tom\_Rich@abtassoc.com, or by phone at (617) 349-2753.

If you have questions to the NPS collection, please contact the BJS NPS program manager, Ann Carson, at [Elizabeth.Carson@usdoj.gov](mailto:Elizabeth.Carson@usdoj.gov) or (202) 616-3496. We thank you greatly for your continued participation in BJS's statistical programs.

Sincerely,

E. Ann Carson  
Acting Chief, Corrections Statistics Unit

Enclosure



**Appendix 3:**

**National Prisoner Statistics Program  
2019 NPS-1B data collection form**

**RETURN TO**

**Abt Associates  
National Prisoner Statistics  
Survey  
10 Fawcett Street Cambridge,  
MA 02138**

FORM **NPS-1B**  
(7-31-2020)

## National Prisoner Statistics Summary of Sentenced Population Movement 2019

U.S. DEPARTMENT OF JUSTICE  
BUREAU OF JUSTICE STATISTICS  
and ACTING AS COLLECTING AGENT  
ABT ASSOCIATES INC.

### DATA SUPPLIED BY

NAME					Title		
TELEPHONE	Area Code	Number	Extension	FAX NUMBER	Area Code	Number	E-MAIL ADDRESS

### GENERAL INFORMATION

- If you have any questions, contact the **Abt Associates NPS Project Director, Tom Rich (617-349-2753 or tom\_rich@abtassoc.com)** or the **BJS NPS Program Manager, E. Ann Carson (202-616-3496 or elizabeth.carson@ojp.usdoj.gov)**.
- Please complete the questionnaire before **February 29, 2020** by using **nps.abtassociates.com**, by emailing a scanned copy of the form to **tom\_rich@abtassoc.com**, by mailing the completed form to **Abt Associates** at the address above, or by FAXing all pages to 1-617-218-4500.
- Please retain a copy of the completed form for your records.

#### What types of inmates are included?

*Inmates under your jurisdiction on December 31, 2019*

- INCLUDE inmates under your jurisdiction held in your prison facilities (e.g., prisons, penitentiaries, and correctional institutions; boot camps; prison farms; reception, diagnostic, and classification centers; release centers, halfway houses, and road camps; forestry and conservation camps; vocational training facilities; prison hospitals; and drug and alcohol treatment facilities for prisoners).
- INCLUDE inmates who are temporarily absent (less than 30 days), out to court, or on work release.
- INCLUDE inmates under your jurisdiction held in local jails, private facilities, and other States' or Federal facilities.
- INCLUDE inmates in your facilities who are serving a sentence for your jurisdiction and another jurisdiction at the same time.
- EXCLUDE inmates held in your facilities for another jurisdiction.

*Inmates under your custody on December 31, 2019*

- INCLUDE all inmates held in your facilities.
- INCLUDE inmates housed in your facilities for other jurisdictions.
- EXCLUDE inmates held in local jails, private facilities, and facilities in other jurisdictions.

### BURDEN STATEMENT

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection of information is estimated to average 6.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspects of this collection of information, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, Washington, DC 20531; and to the Office of Management and Budget, OMB No. 1121-0102, Washington, DC 20503.

**REPORTING INSTRUCTIONS**

- If you are unable to report an item using NPS definitions and reporting criteria, describe the definitions or criteria you used in the **NOTES** section.
- If your jurisdiction, by law or regulation, cannot have the type of inmate described by an item, write **"NA"** (Not Applicable) in the space provided.
- If your jurisdiction had the type of inmate but you are unable to determine the number separately by item, report the combined count in one item, write **"NR"** (Not Reported) in the remaining items, and specify in **NOTES**.
- If your jurisdiction can have the type of inmate described, but did not have any during December 31, 2019, enter **"0"** (Zero) in the space provided.

**SECTION I – YEAR-END PRISON COUNTS**

**1. On December 31, 2019, how many inmates under your custody —**

- Exclude inmates held in local jails, private facilities, and facilities in other jurisdictions.
- Include inmates held in any public facility run by your state, including halfway houses, camps, farms, training/treatment centers, and hospitals.

	Male	Female
<b>a. Had a total maximum sentence of more than 1 year</b> (Include inmates with consecutive sentences that add to more than 1 year.)		
<b>b. Had a total maximum sentence of 1 year or less</b>		
<b>c. Were unsentenced</b>		
<b>d. TOTAL</b> (Sum of items 1a to 1c)		

Mark (X) this box if custody numbers for 2019 are not comparable to 2018. Explain in NOTES.

**2. On December 31, 2019, how many inmates under your jurisdiction —**

	Male	Female
<b>a. Had a total maximum sentence of more than 1 year</b> (Include inmates with consecutive sentences that add to more than 1 year.)		
<b>b. Had a total maximum sentence of 1 year or less</b>		
<b>c. Were unsentenced</b>		
<b>d. TOTAL</b> (Sum of items 2a to 2c)		

Mark (X) this box if jurisdiction numbers for 2019 are not comparable to 2018. Explain in NOTES.

**Data reported for December 31, 2018**

	Male	Female

← Update as needed

← Update as needed

**3. On December 31, 2019, how many inmates under your jurisdiction were housed in a privately operated correctional facility —**

- Exclude inmates housed in any publicly operated facility, even if under contract.
- Include inmates housed in any privately operated halfway houses, treatment facilities, hospitals, or other special facility.

	Male	Female
<b>a. In your State</b> .....		

	Male	Female
<b>b. In another State</b> .....		

**c. Are these inmates included in item 2?** .....

1 <input type="checkbox"/> Yes	<input type="checkbox"/> Yes
2 <input type="checkbox"/> No	<input type="checkbox"/> No

(If item 3c is "NO", explain in the NOTES section.)

**4. On December 31, 2019, how many inmates under your jurisdiction were housed in local facilities operated by a county or other local authority?**

- Exclude inmates housed in privately operated facilities (reported in items 3a and 3b).
- Include inmates housed in local facilities under contract or other arrangement.

	Male	Female
<b>a. TOTAL</b> .....		

(If "0" (zero), skip to item 5.)

**b. Are these inmates included in item 2?** .....

1 <input type="checkbox"/> Yes	<input type="checkbox"/> Yes
2 <input type="checkbox"/> No	<input type="checkbox"/> No

(If item 4b is "NO", explain in the NOTES section.)

**5. On December 31, 2019, how many inmates under your jurisdiction were housed —**

- Exclude inmates housed in privately operated facilities (reported in items 3a and 3b) and inmates housed in local jails (reported in item 4a).

	Male	Female
<b>a. In Federal facilities</b> .....		

**b. In other States' facilities —**

- Include only those inmates housed in State-operated facilities in other States.

	Male	Female
.....		

(If "0" (zero) in items 5a and 5b, skip to item 6.)

**c. Are these inmates included in item 2?** .....

1 <input type="checkbox"/> Yes	<input type="checkbox"/> Yes
2 <input type="checkbox"/> No	<input type="checkbox"/> No

(If item 5c is "NO", explain in the NOTES section.)

**Data reported for December 31, 2018**

	Male	Female

← Update as needed

	Male	Female

	Male	Female

← Update as needed

	Male	Female

← Update as needed

	Male	Female

← Update as needed

**SECTION I - YEAR-END PRISON COUNTS - Continued**

**6. On December 31, 2019, how many inmates under your jurisdiction were —**

	Male	Female
<b>a. White</b> (not of Hispanic origin.)		
<b>b. Black or African American</b> (not of Hispanic origin.)		
<b>c. Hispanic or Latino</b>		
<b>d. American Indian/Alaska Native</b> (not of Hispanic origin.)		
<b>e. Asian</b> (not of Hispanic origin.)		
<b>f. Native Hawaiian or other Pacific Islander</b> (not of Hispanic origin.)		
<b>g. Two or more races</b> (not of Hispanic origin.)		
<b>h. Additional categories in your information system - Specify</b>		
<b>i. Not known</b>		
<b>j. TOTAL</b> (Sum of items 6a to 6i should equal item 2d)		

**7. Between January 1, 2019 and December 31, 2019, how many inmates sentenced to more than 1 year under your jurisdiction were admitted as —**

	Male	Female
<b>a. New court commitments</b> (Include probation violators entering prison on probated sentence, split sentences, and! shock probation.)		
<b>b. Parole violators —</b>		
<b>(1) with a new sentence</b>		
<b>(2) without a new sentence</b> (Include violators returned without a new sentence, those held pending a hearing, and those not formally revoked.)		
<b>c. Other conditional release violators</b> (Include returns from mandatory release other than parole.)		
<b>(1) with a new sentence</b>		
<b>(2) without a new sentence</b>		
<b>d. Transfers from other jurisdictions</b> (Include inmates received from other jurisdictions to continue sentences already in force.)		
<b>e. AWOL returns, with or without new sentences</b>		
<b>f. Escapee returns, with or without new sentences</b>		
<b>g. Returns from appeal or bond</b> (Include all inmates reinstated after long-term absences of more than 30 days.)		
<b>h. Other admissions - Specify</b>		
<b>i. TOTAL</b> (Sum of items 7a to 7h)		

**SECTION II - ADMISSIONS AND RELEASES DURING 2019**

**Reporting Instructions**

- Include only those inmates with a total maximum sentence of more than 1 year.
- Include inmates under your jurisdiction, regardless of where they are housed.
- Exclude short-term movements (less than 30 days) where jurisdiction is retained (e.g., to court and on furlough.)
- Escape include inmates that were physically within facility boundaries at time of disappearance
- AWOLs include inmates that were physically outside facility boundaries at time of disappearance, example-workrelease

**8. Between January 1, 2019 and December 31, 2019, how many inmates sentenced to more than 1 year under your jurisdiction were released as—**

**a. Unconditional releases**

	Male	Female
<b>(1) Expirations of sentence</b> (Include inmates who served their maximum sentence minus credits.)		
<b>(2) Commutations</b> (Include inmates whose sentence was lowered to time served to allow for an immediate unconditional release.)		
<b>(3) Other unconditional releases – Specify</b> _____		

**b. Conditional releases**

<b>(1) Probations</b> (Include inmates released on shock probation or placed on probation and conditionally released.)		
<b>(2) Supervised mandatory releases</b> (Include inmates who by law had to be conditionally released.)		
<b>(3) Discretionary paroles</b>		
<b>(4) Other conditional releases – Specify</b> _____		

**c. Deaths**

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**d. AWOLs**

--	--	--

**e. Escapes from confinement**

--	--	--

**f. Transfers to other jurisdictions** (Include inmates sent to other jurisdictions to continue sentences already in force.)

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**g. Releases to appeal or bond**

--	--	--

**h. Other releases – Specify**  
\_\_\_\_\_

--	--	--

**i. TOTAL**  
(Sum of items 8a to 8h)

--	--	--

**9. How many inmates with a total maximum sentence of more than one year were —**

	Male	Female
<b>a. Under your jurisdiction on January 1, 2019</b>		
<b>b. Admitted during 2019</b> (Transcribe from item 7i)		
<b>c. Released during 2019</b> (Transcribe item 8i)		
<b>d. Under your jurisdiction on December 31, 2019</b> (Add items 9a and 9b, subtract item 9c, should equal item 2a.)		

**SECTION III – PRISON SYSTEM CAPACITY**

**10. On December 31, 2019, what was the capacity of your prison system? (Exclude capacity of private facilities.)**

	Male	Female
<b>a. Rated capacity</b> (The number of beds or inmates assigned by rating officials to institutions within your jurisdiction.)		
<b>b. Operational capacity</b> (The number of inmates that can be accommodated based on staff, existing programs, and services in institutions within your jurisdiction.)		
<b>c. Design capacity</b> (The number of inmates that planners or architects intended for all institutions within your jurisdiction.)		

**SECTION IV – SPECIAL CUSTODY POPULATIONS**

**11. On December 31, 2019, how many inmates in your custody, plus those held in private prisons, were under age 18?**

Male	Female

**12a. On December 31, 2019, how many inmates in your custody, plus those held in private prisons, were —**

U.S. Citizens	Non-U.S. Citizens

**12b. On December 31, 2019, how many inmates in your custody who were citizens of the United States, plus those held in private prisons who were citizens of the United States —**

	Male	Female
a. Had a sentence of more than 1 year		
b. Had a sentence of 1 year or less		
c. Were unsentenced		
d. TOTAL		

**SECTION IV – SPECIAL CUSTODY POPULATIONS – Continued**

**12c. On December 31, 2019, how many inmates in your custody who were not citizens of the United States, plus those held in private prisons who were not citizens of the United States —**

	Male	Female
a. Had a sentence of more than 1 year		
b. Had a sentence of 1 year or less		
c. Were unsentenced		
d. TOTAL		

**13. What is the source of inmates' citizenship status that is recorded in your system (check all that apply):**

- Inmate self-report
- Law enforcement or court documents accompanying inmate upon admission to prison
- Verification of citizenship status using an external data source

**16. On December 31, 2019, which of the following described HIV testing among inmates already in custody? (Check all that apply).**

- Exclude all testing done during the entry and discharge processes.
- Offered HIV test during routine medical examinations
- Tested inmates in high-risk groups
- Tested upon request from the inmate
- Tested upon clinical indication
- Tested upon court order
- Tested following involvement in an incident
- Other (Please specify)
- Did not provide HIV testing

**SECTION V – HIV/AIDS**

**Reporting Instructions**

- For the following section **HIV test** includes any type of test, oral or blood, used to diagnose HIV among adults.
- If you are unable to report an item using NPS definitions and reporting criteria, describe the definitions or criteria you used in the **NOTES** section.
- Exclude inmates held in local jails, private facilities and facilities in other jurisdictions.
- Include inmates held in any public facility run by your state, including halfway houses, camps, farms, training/treatment centers, and hospitals.

**14. On December 31, 2019, which of the following best described HIV testing among inmates entering your facilities? (Check only one).**

- Include all testing done upon entry such as during the intake process, reception or shortly thereafter.

- All inmates were tested for HIV regardless of whether the inmate agreed (**Skip to Question 16**)
- All inmates were told that an HIV test will be performed, and the test was given unless the inmate declined
- All inmates were told that HIV testing was available, and the inmate must have requested a test
- Inmates were only tested based upon an assessment of high-risk behavior, medical history, or other clinical evaluation
- Not all inmates were told that an HIV test is available, but were tested if they requested one
- Other (Please specify)
- Did not provide HIV testing (**Skip to Question 16**)

**15. On December 31, 2019, which of the following best described consent for HIV testing among inmates entering your facility? (Check only one).**

- General consent for medical services was obtained
- Separate consent, specifically for HIV testing, was obtained
- Inmate consent was not obtained

**17. On December 31, 2019, which of the following best described HIV testing among inmates during discharge planning?(Check only one).**

- Include all testing done upon exit or during the discharge process.
- Exclude all testing done upon entry or among inmates already in custody.
- All inmates were offered HIV testing
- Some inmates were offered HIV testing
- Inmates were only tested upon request from the inmate
- Other (Please specify)
- Did not provide HIV testing

**18. On December 31, 2019, how many inmates under your custody were —**

- Include all inmates under your custody, regardless of sentence length.

	Male	Female
<b>a. Asymptomatic HIV positive</b> (Inmates who were HIV positive but had no HIV-related symptoms.)		
<b>b. Infected with lesser forms of symptomatic HIV disease</b> (Inmates who had symptoms of HIV infection but without a confirmed AIDS diagnosis.)		
<b>c. Confirmed to have AIDS</b>		
<b>d. TOTAL</b> (Sum of items 18a to 18c)		

## SECTION VI – NOTES

Please review last year's explanatory notes and make any corrections, additions, or deletions necessary for 2019.

Please mark (X) box to indicate that you have reviewed and updated the notes.



## **Appendix 4:**

### **National Prisoner Statistics Program Screenshots of 2018 NPS-1B secure web data collection tool**



# 2018 NATIONAL PRISONER STATISTICS - 1B SUMMARY OF SENTENCED POPULATION MOVEMENT

- [Dashboard](#)
- [Reports](#)
- [Information](#)
- [Contact Us](#)
- [Logout](#)

Congratulations, your survey has been approved.

You can review your responses, edit your contact information, and print a copy of your survey but you cannot change your responses.

If you need to make a correction, please contact:

**Tom Rich (Abt Associates)**  
[tom\\_rich@ablassoc.com](mailto:tom_rich@ablassoc.com)  
 Phone: (617) 349-2753

**Jennifer Bronson (BJS)**  
[Jennifer.Bronson@ojp.usdoj.gov](mailto:Jennifer.Bronson@ojp.usdoj.gov)  
 Phone: (202) 616-8937  
**E. Ann Carson (BJS)**  
[Elizabeth.carson@ojp.usdoj.gov](mailto:Elizabeth.carson@ojp.usdoj.gov)  
 Phone: (202) 616-3496

## Main Menu

### North Dakota Department Of Corrections & Rehabilitation

Action	Status	Section	Description
<a href="#">Review</a>	Complete		Contact Information
<a href="#">Review</a>	Complete 03/13/2019 12:12 p.m.	1	Year-End Prison Counts
<a href="#">Review</a>	Complete 03/13/2019 12:16 p.m.	2	Admissions and Releases During 2018
<a href="#">Review</a>	Complete 03/13/2019 12:25 p.m.	3	Prison System Capacity
<a href="#">Review</a>	Complete 03/13/2019 12:26 p.m.	4	Special Custody Populations
<a href="#">Review</a>	Complete 03/13/2019 12:27 p.m.	5	HIV/AIDS
<a href="#">Review</a>	Complete 03/13/2019 12:28 p.m.	6	Notes

[Print Survey](#)

## Reporting Instructions

- If you are unable to report an item using NPS definitions and reporting criteria, describe the definitions or criteria you used in the NOTES section.
- If your jurisdiction, by law or regulation, cannot have the type of inmate described by an item, select "NA" (Not Applicable) from the drop down list.
- If your jurisdiction had the type of inmate but you are unable to determine the number separately by item, report the combined count in one item, select "NR" (Not Reported) for the remaining items, and specify in NOTES.
- If your jurisdiction can have the type of inmate described, but did not have any on December 31, 2018, enter "0" (Zero) in the space provided.

### 1. On December 31, 2018, how many inmates under your custody —

- Exclude inmates held in local jails, private facilities, and facilities in other jurisdictions.
- Include inmates held in any public facility run by your state, including halfway houses, camps, farms, training/treatment centers, and hospitals.

	December 31, 2018				December 31, 2017			
	Male		Female		Male		Female	
a. Had a total maximum sentence of more than 1 year (Include inmates with consecutive sentences that add to more than 1 year.)	1329	▼	0	▼	1328	▼	0	▼
b. Had a total maximum sentence of 1 year or less	7	▼	0	▼	9	▼	0	▼
c. Were unsentenced		NA ▼		NA ▼		NA ▼		NA ▼
d. TOTAL (Sum of items 1a to 1c)	1336	▼	0	▼	1335	▼	0	▼

Mark (X) this box if custody numbers for 2018 are not comparable to 2017. Explain in NOTES.

Year-End Prison Counts

2. On December 31, 2018, how many inmates under your jurisdiction —

	December 31, 2018		December 31, 2017	
	Male	Female	Male	Female
a. Had a total maximum sentence of more than 1 year <i>(Include inmates with consecutive sentences that add to more than 1 year.)</i>	1491	195	1514	197
b. Had a total maximum sentence of 1 year or less	8	1	10	2
c. Were unsentenced	NA	NA	NA	NA
d. TOTAL <i>(Sum of items 2a to 2c)</i>	1499	196	1524	199

Mark (X) this box if jurisdiction numbers for 2018 are not comparable to 2017. Explain in NOTES.

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Year-End Prison Counts

3. On December 31, 2018, how many inmates under your jurisdiction were housed in a privately operated correctional facility —

- Exclude inmates housed in any publicly operated facility, even if under contract.
- Include inmates housed in any privately operated halfway houses, treatment facilities, hospitals, or other special facility.

	December 31, 2018				December 31, 2017			
	Male		Female		Male		Female	
a. In your State	<input type="text" value="141"/>	<input type="text"/>	<input type="text" value="198"/>	<input type="text"/>	<input type="text" value="188"/>	<input type="text"/>	<input type="text" value="84"/>	<input type="text"/>
b. In another State	<input type="text" value="0"/>	<input type="text"/>	<input type="text" value="0"/>	<input type="text"/>	<input type="text" value="0"/>	<input type="text"/>	<input type="text" value="0"/>	<input type="text"/>
c. Are these inmates included in item 2? (If item 3c is "NO", explain in the NOTES section.)	Male		Female		Male		Female	
	<input checked="" type="radio"/> Yes	<input type="text"/>	<input checked="" type="radio"/> Yes	<input type="text"/>	<input checked="" type="radio"/> Yes	<input type="text"/>	<input checked="" type="radio"/> Yes	<input type="text"/>
	<input type="radio"/> No	<input type="text"/>	<input type="radio"/> No	<input type="text"/>	<input type="radio"/> No	<input type="text"/>	<input type="radio"/> No	<input type="text"/>

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## Year-End Prison Counts

4. On December 31, 2018, how many inmates under your jurisdiction were housed in local facilities operated by a county or other local authority? —

- Exclude inmates housed in privately operated facilities (reported in items 3a and 3b).
- Include inmates housed in local facilities under contract or other arrangement.

	December 31, 2018				December 31, 2017			
	Male		Female		Male		Female	
a. TOTAL	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>	<input type="text" value="0"/>
<i>(If "0" (zero), skip to item 5.)</i>								

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Year-End Prison Counts

5. On December 31, 2018, how many inmates under your jurisdiction were housed

- Exclude inmates housed in privately operated facilities (reported in items 3a and 3b) and inmates housed in local jails (reported in item 4a).

	December 31, 2018				December 31, 2017			
	Male		Female		Male		Female	
a. In Federal facilities	<input type="text" value="10"/>	<input type="text"/>	<input type="text" value="0"/>	<input type="text"/>	<input type="text" value="10"/>	<input type="text"/>	<input type="text" value="0"/>	<input type="text"/>
b. In other States' facilities								
<ul style="list-style-type: none"> <li>Include only those inmates housed in State-operated facilities in other States.</li> </ul> <p><i>(If "0" (zero) in items 5a and 5b, skip to item 6.)</i></p>	<input type="text" value="12"/>	<input type="text"/>	<input type="text" value="0"/>	<input type="text"/>	<input type="text" value="11"/>	<input type="text"/>	<input type="text" value="0"/>	<input type="text"/>
c. Are these inmates included in item 2? <i>(If item 5c is "NO", explain in the NOTES section.)</i>	<p>Male</p> <p><input checked="" type="radio"/> Yes <input type="text"/></p> <p><input type="radio"/> No</p>							

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Year-End Prison Counts

6. On December 31, 2018, how many inmates under your jurisdiction were —  
*(Hover over each race/ethnicity name for race/ethnicity definitions.)*

	Male		Female	
a. White (not of Hispanic origin.)	<input type="text" value="933"/>	<input type="text" value=""/>	<input type="text" value="121"/>	<input type="text" value=""/>
b. Black or African American (not of Hispanic origin.)	<input type="text" value="177"/>	<input type="text" value=""/>	<input type="text" value="11"/>	<input type="text" value=""/>
c. Hispanic or Latino	<input type="text" value="97"/>	<input type="text" value=""/>	<input type="text" value="7"/>	<input type="text" value=""/>
d. American Indian/Alaska Native (not of Hispanic origin.)	<input type="text" value="282"/>	<input type="text" value=""/>	<input type="text" value="54"/>	<input type="text" value=""/>
e. Asian (not of Hispanic origin.)	<input type="text" value="5"/>	<input type="text" value=""/>	<input type="text" value="0"/>	<input type="text" value=""/>
f. Native Hawaiian or other Pacific Islander (not of Hispanic origin.)	<input type="text" value="2"/>	<input type="text" value=""/>	<input type="text" value="1"/>	<input type="text" value=""/>
g. Two or more races (not of Hispanic origin.)	<input type="text" value="3"/>	<input type="text" value=""/>	<input type="text" value="2"/>	<input type="text" value=""/>
h. Additional categories in your information system – Specify: <input type="text" value=""/>	<input type="text" value=""/>	<input type="text" value="NA"/>	<input type="text" value=""/>	<input type="text" value="NA"/>
i. Not known	<input type="text" value=""/>	<input type="text" value="NA"/>	<input type="text" value=""/>	<input type="text" value="NA"/>
j. TOTAL <i>(Sum of items 6a to 6i should equal item 2d)</i>	<input type="text" value="1499"/>	<input type="text" value=""/>	<input type="text" value="196"/>	<input type="text" value=""/>



7. Between January 1, 2018, and December 31, 2018, how many inmates sentenced to more than 1 year under your jurisdiction were admitted as —

	Male		Female	
<b>a. New court commitments</b> (Include probation violators entering prison on probated sentence, split sentences, and shock probation)	<input type="text" value="1231"/>	<input type="text" value="-"/>	<input type="text" value="296"/>	<input type="text" value="-"/>
<b>b. Parole violators —</b>				
(1) with a new sentence	<input type="text"/>	<input type="text" value="NA"/>	<input type="text"/>	<input type="text" value="NA"/>
(2) Without a new sentence (Include violators returned without a new sentence, those held pending a hearing, and those not formally revoked.)	<input type="text"/>	<input type="text" value="NA"/>	<input type="text"/>	<input type="text" value="NA"/>
<b>c. Other conditional release violators</b> (Include returns from mandatory release other than parole)				
(1) With a new sentence	<input type="text"/>	<input type="text" value="NA"/>	<input type="text"/>	<input type="text" value="NA"/>
(2) Without a new sentence	<input type="text"/>	<input type="text" value="NA"/>	<input type="text"/>	<input type="text" value="NA"/>
<b>d. Transfers from other jurisdictions</b> (Include inmates received from other jurisdictions to continue sentences already in force.)	<input type="text"/>	<input type="text" value="NA"/>	<input type="text"/>	<input type="text" value="NA"/>
<b>e. AWOL returns, with or without new sentences</b>	<input type="text"/>	<input type="text" value="NA"/>	<input type="text"/>	<input type="text" value="NA"/>
<b>f. Escapee returns, with or without new sentences</b>	<input type="text"/>	<input type="text" value="NA"/>	<input type="text"/>	<input type="text" value="NA"/>
<b>g. Returns from appeal or bond</b> (Include all inmates reinstated after long-term absences of more than 30 days.)	<input type="text"/>	<input type="text" value="NA"/>	<input type="text"/>	<input type="text" value="NA"/>
<b>h. Other admissions- Specify:</b> <input type="text"/>	<input type="text"/>	<input type="text" value="NA"/>	<input type="text"/>	<input type="text" value="NA"/>
<b>I. TOTAL (Sum of items 7a to 7h)</b>	<input type="text" value="1231"/>	<input type="text" value="-"/>	<input type="text" value="296"/>	<input type="text" value="-"/>

8. Between January 1, 2018, and December 31, 2018, how many inmates sentenced to more than 1 year under your jurisdiction were released as —

	Male		Female	
<b>a. Unconditional releases:</b>				
(1) Expirations of sentence (Include inmates who served their maximum sentence minus credits.)	1256	-	299	-
(2) Commutations (Include inmates whose sentence was lowered to time served to allow for an immediate unconditional release.)	NA	-	NA	-
(3) Other unconditional releases Specify:	NA	-	NA	-
<b>b. Conditional releases:</b>				
(1) Probations (Include inmates released on shock probation or placed on probation and conditionally released.)	NA	-	NA	-
(2) Supervised mandatory releases (Include inmates who by law had to be conditionally released.)	NA	-	NA	-
(3) Discretionary paroles	NA	-	NA	-
(4) Other conditional releases Specify:	NA	-	NA	-
c. Deaths	NA	-	NA	-
d. AWOLs	NA	-	NA	-
e. Escapes from confinement	NA	-	NA	-
f. Transfers to other jurisdictions (Include inmates sent to other jurisdictions to continue sentences already in force.)	NA	-	NA	-
g. Releases to appeal or bond	NA	-	NA	-
h. Other releases- Specify:	NA	-	NA	-
<b>I. TOTAL (Sum of items 5a to 5h)</b>	<b>1256</b>	<b>-</b>	<b>299</b>	<b>-</b>

Admissions and Releases During 2018

9. How many inmates with a total maximum sentence of more than one year were

	Male		Female	
a. Under your jurisdiction on January 1, 2018	<input type="text" value="1524"/>	<input type="text" value=""/>	<input type="text" value="199"/>	<input type="text" value=""/>
b. Admitted during 2018 (Transcribe from item 7i)	<input type="text" value="1231"/>	<input type="text" value=""/>	<input type="text" value="296"/>	<input type="text" value=""/>
c. Released during 2018 (Transcribe from item 8i)	<input type="text" value="1256"/>	<input type="text" value=""/>	<input type="text" value="299"/>	<input type="text" value=""/>
d. Under your jurisdiction on December 31, 2018 (Add items 9a and 9b subtract item 9c, should equal item 2a.)	<input type="text" value="1499"/>	<input type="text" value=""/>	<input type="text" value="196"/>	<input type="text" value=""/>

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Prison System Capacity

10. On December 31, 2018, what was the capacity of your prison system?  
(Exclude capacity of private facilities)

	Male		Female	
<b>a. Rated capacity</b> (The number of beds or inmates assigned by rating officials to institutions within your jurisdiction.)	<input type="text" value="1403"/>	<input type="text" value=""/>	<input type="text" value="0"/>	<input type="text" value=""/>
<b>b. Operational capacity</b> (The number of inmates that can be accommodated based on staff, existing programs, and services in institutions within your jurisdiction.)	<input type="text" value="1403"/>	<input type="text" value=""/>	<input type="text" value="0"/>	<input type="text" value=""/>
<b>c. Design capacity</b> (The number of inmates that planners or architects intended for all institutions within your jurisdiction.)	<input type="text" value="1403"/>	<input type="text" value=""/>	<input type="text" value="0"/>	<input type="text" value=""/>

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## Special Custody Populations

11. On December 31, 2018, how many inmates in your custody AND those held in private prisons were under age 18?

Male	0	▼
Female	0	▼

12. On December 31, 2018, how many inmates in your custody AND those held in private prisons who were not citizens of the United States?

	Male		Female	
a. Had a sentence more than one year (include inmates with consecutive sentences that add to more than 1 year)	16	▼	0	▼
b. Had a sentence of 1 year or less	0	▼	0	▼
c. Were unsentenced	0	▼	0	▼
Total	16	▼	0	▼

13. What is the source of inmates' citizenship status that is recorded in your system (please check all that apply):

- Inmate self-report
- Law enforcement or court documents accompanying inmate upon admission to prison
- Verification of citizenship status using an external data source

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**Reporting Instructions**

- For the following section **HIV test** includes any type of test, oral or blood, used to diagnose HIV among adults.
- If you are unable to report an item using NPS definitions and reporting criteria, describe the definitions or criteria you used in the **NOTES** section.
- Exclude inmates held in local jails, private facilities and facilities in other jurisdictions.
- Include inmates held in any public facility run by your state, including halfway houses, camps, farms, training/treatment centers, and hospitals.

14. On December 31, 2018, which of the following *best* described HIV testing among inmates entering your facility? (Check only one)

- Include all testing done upon entry such as during the intake process, reception or shortly thereafter.
  - All inmates were tested for HIV regardless of whether the inmate agreed (Skip to Question 16)
  - All inmates were told that an HIV test will be performed, and the test was given unless the inmate declined
  - All inmates were told that HIV testing was available, and the inmate must have requested a test
  - Inmates were only tested based upon an assessment of high-risk behavior, medical history, or other clinical evaluation
  - Not all inmates were told that an HIV test is available, but were tested if they requested one
  - Other (Please specify)

Specify:

- Did not provide HIV testing (Skip to Question 16)

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15. On December 31, 2018, which of the following *best* described consent for HIV testing among inmates entering your facility? (Check only one)

- General consent for medical services was obtained
- Separate consent, specifically for HIV testing, was obtained
- Inmate consent was not obtained

16. On December 31, 2018, which of the following described HIV testing among inmates already in custody? (Check all that apply).

- Exclude all testing done during the entry and discharge processes.

- Offered HIV test during routine medical examinations
- Tested inmates in high-risk groups
- Tested upon request from the inmate
- Tested upon clinical indication
- Tested upon court order
- Tested following involvement in an incident
- Other (Please specify)

Specify:

- Did not provide HIV testing

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17. On December 31, 2018, which of the following *best* described HIV testing among inmates during discharge planning? (Check only one)

- Include all testing done upon exit or during the discharge process.
- Exclude all testing done upon entry or among inmates already in custody.

- All inmates were offered HIV testing
- Some inmates were offered HIV testing
- Inmates were only tested upon request from the inmate
- Other (Please specify)

Specify:

- Did not provide HIV testing

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18. On December 31, 2018, how many inmates under your custody were -

- Include all inmates under your custody regardless of sentence length

	Male		Female	
a. Asymptomatic HIV positive (Inmates who were HIV positive but had no HIV-related symptoms.)	<input type="text" value="7"/>	<input type="text" value=""/>	<input type="text" value="2"/>	<input type="text" value=""/>
b. Infected with lesser forms of symptomatic HIV disease (Inmates who had symptoms of HIV infection, but without a confirmed AIDS diagnosis.)	<input type="text" value="0"/>	<input type="text" value=""/>	<input type="text" value="0"/>	<input type="text" value=""/>
c. Confirmed to have AIDS	<input type="text" value="1"/>	<input type="text" value=""/>	<input type="text" value="2"/>	<input type="text" value=""/>
d. TOTAL (Sum of items 18a to 18c.)	<input type="text" value="8"/>	<input type="text" value=""/>	<input type="text" value="4"/>	<input type="text" value=""/>

## Notes

Please review last year's explanatory notes and make any corrections, additions, or deletions necessary for 2018.

Q7 (admissions): Q7a represents the total number of admissions in 2018. The [REDACTED] is unable to provide a breakdown of admissions by the categories in Q7a thru Q7h.

Q8 (releases): Q8a(1) represents the total number of releases in 2018. The [REDACTED] is unable to provide a breakdown of releases by the categories in Q8a(1) to Q8h.

Please mark (X) box to indicate that you have reviewed and updated the notes.

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**Appendix 5:**

**National Prisoner Statistics Program  
Non-response follow-up email**

Date

Contact

Title

Department

Address 1

City, State Zipcode

Dear Contact,

Thank you for participating in the National Prisoner Statistics Program (NPS). The Bureau of Justice Statistics (BJS) is getting ready release its annual *Prisoners in 2019* bulletin at the end of September 2020.

Attached are 8 draft tables using data on prisoners under your jurisdiction and in your custody on December 31, 2019. We are sending you this draft before the public release to give you an opportunity to verify the accuracy of your data. We have also attached a blank NPS form should you need to refer back to BJS definitions for various measures. Please review these tables and provide any revisions before **July 15, 2020**. If we do not hear from you by that time, we will assume that your state's statistics are correct and will proceed with publication.

The numbers contained in the tables are preliminary and may be revised. Please do not cite data for any other jurisdictions prior to publication of the report.

On behalf of the Bureau of Justice Statistics, I thank you for providing these data to us. If you have any questions about your data, please contact me at 202/616.3496 or [elizabeth.carson@usdoj.gov](mailto:elizabeth.carson@usdoj.gov).

Sincerely,

E. Ann Carson  
Acting Chief, Corrections Statistics Unit  
Bureau of Justice Statistics  
U.S. Department of Justice

Attachment.

## **Appendix 6:**

**National Prisoner Statistics Program  
BJS email request for final tabulation review and approval**

Date

Contact  
Title  
Department  
Address 1  
City, State Zipcode

Dear Contact,

Thank you for your continued participation in the National Prisoner Statistics 1B year-end data collection program.

**BJS intends to publish its annual *Prisoners in 2019* bulletin in September 2020 to provide the public with more timely statistics. To meet this deadline, we request that you submit your 2019 form as soon as possible.** If you have inquiries regarding the collection, please contact Tom Rich, our data collection agent at Abt Associates, Inc, via telephone at 617-349-2753 or email at [tom\\_rich@abtassociates.com](mailto:tom_rich@abtassociates.com). If you have any general comments about these collections, you may also contact me 202-616-3496 or [Elizabeth.Carson@usdoj.gov](mailto:Elizabeth.Carson@usdoj.gov).

Sincerely,



E. Ann Carson, Ph.D.  
*Acting Chief*, Corrections Statistics Program

**Appendix 7:**

**National Prisoner Statistics Program  
2019 NPS-1B(T) data collection form**

**RETURN TO**

Abt Associates  
National Prisoner Statistics Survey  
10 Fawcett Street  
Cambridge, MA 02138

FORM **NPS-1B(T)**  
(7-31-2020)

**National Prisoner Statistics  
Prison Population Report  
2019**

U.S. DEPARTMENT OF JUSTICE  
BUREAU OF JUSTICE STATISTICS  
and ACTING AS COLLECTING AGENT  
**ABT ASSOCIATES INC.**

## DATA SUPPLIED BY

NAME					Title		
TELEPHONE	Area Code	Number	Extension	FAX NUMBER	Area Code	Number	E-MAIL ADDRESS

## GENERAL INFORMATION

- If you have any questions, contact the **Abt Associates NPS Project Director, Tom Rich (617-349-2753 or tom\_rich@abtassoc.com)** or the **BJJ NPS Program Manager, E. Ann Carson (202-616-3496 or elizabeth.carson@ojp.usdoj.gov)**.
- Please submit the completed questionnaire by emailing a scanned copy of the form to **tom\_rich@abtassoc.com**, by mailing the completed form to **Abt Associates** at the address above, or by FAXing all pages to 1-617-218-4500.
- Please retain a copy of the completed form for your records.

### Who does this survey cover?

*This survey covers all sentenced and unsentenced inmates under your jurisdiction on **December 31, 2019**, regardless of the location of the inmates.*

- INCLUDE inmates under your Territory's/Commonwealth's jurisdiction held in your prison facilities (e.g., prisons, penitentiaries and! correctional institutions; reception, diagnostic and classification centers; half-way houses, treatment centers, release centers, work farms,! bootcamps, and prison farms).
- INCLUDE inmates under your jurisdiction backed up in local jails or held in another jurisdiction's facilities.
- INCLUDE inmates who are temporarily absent (less than 30 days), out to court, or on work release.
- INCLUDE inmates who are serving a sentence for your Territory/Commonwealth and another jurisdiction at the same time in your facilities.
- EXCLUDE pre-trial detainees and other inmates held in your Territory's/Commonwealth's facilities for another jurisdiction.

This survey covers all sentenced and unsentenced inmates in your custody on **December 31, 2019**.

- INCLUDE your jurisdiction's inmates and inmates your Territory/Commonwealth housed for other jurisdictions who were physically located in your prison facilities on **December 31, 2019**.
- EXCLUDE your Territory's/Commonwealth's inmates held outside of your prison facilities.

## INSTRUCTIONS

- **Please do not leave any item blank.**
- If the answer to a question is "not available" or "unknown," write "DK" in the space provided.
- If the answer to a question is "not applicable" write "NA" in the space provided.
- If the answer to a question is "none" or "zero," write "0" in the space provided.
- Please give the name, title, telephone number, fax number and e-mail address of the person filling out the report in the space provided above.



## SECTION I – YEAR-END JURISDICTION COUNTS

### 1. On December 31, 2019, how many inmates under your jurisdiction—

Please do not count any inmates in more than one category.

- Include all inmates for whom your Territory/Commonwealth government has the legal authority and responsibility for the enforcement of their prison sentence, regardless of their location.

#### a. Had a total maximum sentence of more than 1 year?

- Include inmates serving consecutive sentences that add to more than 1 year.
- Include inmates serving concurrent sentences in which the sentence for the most serious offense is more than 1 year.

**December 31, 2019**

**December 31, 2018  
(If Available)**

Male                  Female

Male                  Female

--	--	--	--	--

--	--	--	--	--

#### b. Had a total maximum sentence of 1 year or less?

--	--	--	--	--

#### c. Were unsentenced?

--	--	--	--	--

#### d. TOTAL

(Sum of Items 1a through 1c)

--	--	--	--	--

### 2. How complete are the counts in item 1, above? Mark (X) one.

- Complete count** — The figures are based on actual counts of all inmates under your jurisdiction.
- Partial count** — The figures are based on actual counts of inmates under your jurisdiction but exclude certain types of inmates who should be included in the counts (e.g., inmates housed in other jurisdictions, facilities due to crowding). *Please identify the types of inmates excluded in Section V on page 4.*
- Estimate** — The figures are based on estimates rather than actual counts of inmates under your jurisdiction. *Please identify which items were estimated, and how the estimates were derived in Section V on page 4.*

## SECTION II – YEAR-END CUSTODY COUNTS

### 3. On December 31, 2019, how many inmates under your custody—

Please do not count any inmates in more than one category.

- Include all inmates who are physically located in your Territorial facilities only, including those your Territory housed for another jurisdiction.

#### a. Had a total maximum sentence of more than 1 year?

- Include inmates serving consecutive sentences that add to more than 1 year.
- Include inmates serving concurrent sentences in which the sentence for the most serious offense is more than 1 year.

**December 31, 2019**

**December 31, 2018  
(If Available)**

Male                  Female

Male                  Female

--	--	--	--	--

--	--	--	--	--

#### b. Had a total maximum sentence of 1 year or less?

--	--	--	--	--

#### c. Were unsentenced?

--	--	--	--	--

#### d. TOTAL

(Sum of Items 3a through 3c)

--	--	--	--	--

### 4. How complete are the counts in item 3, above? Mark (X) one.

- Complete count** — The figures are based on actual counts of all inmates under your custody.
- Partial count** — The figures are based on actual counts of inmates in your custody but exclude certain types of inmates who should be included in the counts (e.g., inmates from another jurisdiction housed in your facilities). *Please identify the types of inmates excluded in Section V on page 4.*
- Estimate** — The figures are based on estimates rather than actual counts of inmates in your custody. *Please identify which items were estimated, and how the estimates were derived in Section V on page 4.*

### SECTION III RACIAL AND ETHNIC COMPOSITION

**5. On December 31, 2019, how many inmates under your jurisdiction—**

*Please do not count any inmates in more than one category.*

- Include all inmates for whom your Territory/Commonwealth government has the legal authority and responsibility for the enforcement of their prison sentence, regardless of their location.

**December 31, 2019**

**December 31, 2018  
(If Available)**

Male                      Female                      Male                      Female

<b>a. White</b> (not of Hispanic origin) .....				
<b>b. Black</b> (not of Hispanic origin) .....				
<b>c. Hispanic or Latino</b> (If your system records indicate Hispanic origin separately from race, enter "NR" in item 5c and report count in NOTES.) .....				
<b>d. American Indian/Alaska Native</b> (not of Hispanic origin) .....				
<b>e. Asian</b> (not of Hispanic origin) .....				
<b>f. Native Hawaiian or Other Pacific Islander</b> (not of Hispanic origin) .....				
<b>g. Two or more races</b> (not of Hispanic origin) .....				
<b>h. Additional categories in your information system – Specify</b>  				
<b>i. Not known</b> .....				
<b>j. TOTAL</b> (Sum of 5a through 5i) .....				

### SECTION IV – CROWDING AND CAPACITY

**6. a. On December 31, 2019, how many inmates were under your jurisdiction but were housed in facilities operated by other Commonwealth/Territory, State, or Federal authorities, solely to ease prison crowding?**

- INCLUDE only inmates that were held in a prison in another Commonwealth/Territory, State, or in the Federal system, solely to ease prison crowding.
- EXCLUDE inmates held outside your jurisdiction's facilities for reasons other than crowding (e.g., work release, court appearance, hospitals, treatment programs).

**December 31, 2019**

Male                      Female

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**b. Are these inmates included in the counts in Section I, item 1d?**

- Yes                       No — *Please explain in Section V on page 4.*

**7. On December 31, 2019, what was the capacity of your prison system?**

- If your system has more than one prison, enter the combined capacity.
- If the answer is "not available" or "unknown," write "DK" in the space provided.
- If the answer is "not applicable," write "NA" in the space provided.

**December 31, 2019**

Male                      Female

**a. What was the rated capacity?**

- The number of beds or inmates assigned by rating officials to institutions. ....

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**b. What was the operational capacity?**

- The number of inmates that can be accommodated based on staff, existing programs, and services in institutions. ....

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**c. What was the design capacity?**

- The number of inmates that planners or architects intended for all institutions within your jurisdiction. ....

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**SECTION V - EXPLANATORY NOTES**