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Federal Deposit Insurance Corporation  
**REPRESENTATIONS AND CERTIFICATIONS  
FOR EXPERTS AND LEGAL SUPPORT SERVICES PROVIDER**

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**PRIVACY ACT STATEMENT**

The FDIC is authorized to request this information from you by 12 U.S.C §§ 1819, 1820, 1822(f); Executive Order 9397 as amended; and 12 C.F.R. Part 366. The information collected will be primarily used to determine whether you meet the required fitness and integrity standards to provide expert or other legal support services to the FDIC. Furnishing the requested information is voluntary, but failure to provide the requested information in whole or in part may delay or prohibit any further consideration of you to provide expert or other legal support services to the FDIC. The information you provide may be provided to appropriate Federal, state, local or foreign agencies and law enforcement authorities; to a court, administrative tribunal, or a party in litigation; and to contractors, agents and other third parties as authorized by law and in accordance with any of the other routine uses described in the FDIC Financial Information Management Records (FDIC 30-64-0012) System of Records available at [www.fdic.gov/about/privacy/](http://www.fdic.gov/about/privacy/). If you have questions or concerns about the collection or use of the information, you may contact the FDIC's Chief Privacy Officer at [Privacy@fdic.gov](mailto:Privacy@fdic.gov).

**PAPERWORK REDUCTION ACT NOTICE**

Public reporting burden for this collection of information is estimated to average 1.0 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to the Public Reporting Act Clearance Officer, Legal Division, Room 3082, Federal Deposit Insurance Corporation, 550 17th Street, N.W., Washington, D.C. 20429, and the Office of Management and Budget, Paperwork Reduction Project (3064-01220), Washington, D.C. 20503. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Page down to access form 5210/09

Federal Deposit Insurance Corporation  
**REPRESENTATIONS AND CERTIFICATIONS  
FOR EXPERTS AND LEGAL SUPPORT SERVICES (LSS) PROVIDER**

**INSTRUCTIONS:** If your response is "Yes" to any of the questions in Section I or II, provide a detailed explanation in the provided space. Refer to 12 C.F.R. Part 366 for the definition of terms.

**SECTION I - DISQUALIFYING CONDITIONS/CONFLICTS OF INTEREST**

Among the contractor, any management officials, or affiliated business entities of the contractor, or any employees, agents or subcontractors of the contractor who will perform services under a proposed or existing contract with the FDIC:

1. Have any been convicted of a felony?  Yes  No

Explain

2. Have any been removed or prohibited from participating in the affairs of any insured depository institution pursuant to any final enforcement action by the Office of the Comptroller of the Currency, the Office of Thrift Supervision, the Board of Governors of the Federal Reserve System, the FDIC, or successors?  Yes  No

Explain

3. Have any demonstrated a pattern or practice of defalcation regarding obligations?  Yes  No

Explain

4. Have any caused a substantial loss (\$50,000 or more) to federal deposit insurance funds?  Yes  No

Explain

5. Do any have any personal or business, or financial interest or relationship which would cause a reasonable individual with knowledge of the relevant facts to question their integrity or impartiality?  Yes  No

Explain

6. Are you an adverse party to the FDIC, RTC, FSLIC, or their successors in a lawsuit?  Yes  No

Explain

7. Have you ever been suspended, excluded, or debarred from contracting with a federal entity?  Yes  No

Explain

8. Have you ever had a contract with the FDIC, RTC, FSLIC, or their successors rescinded or terminated prior to the contractor's completion involving issues of conflicts of interest or ethical responsibilities?  Yes  No

Explain

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## SECTION II - DEFAULTS

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9. Has the contractor or any company under the contractor's control defaulted on a material obligation during the ten (10) years preceding this submission?  Yes  No

Explain

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## SECTION III - EMPLOYEES, AGENTS, AND SUBCONTRACTORS

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10. Does the contractor agree that it will prohibit any employee, agent, or subcontractor from performing services under the contract until the contractor verifies that the employee, agent, or subcontractor, to the best of that person's knowledge, would answer "No" to the questions above?  Yes  No

Explain

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## SECTION IV - EXPERT/LSS PROVIDER CERTIFICATION

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**NOTICE:** The individual signing this application represents and warrants that he/she has authority to execute this application on behalf of the contractor, certifies that all of the representations and certifications contained herein are complete and accurate, and is aware of the penalty prescribed in 18 U.S.C. §1001 for making false statements.

11. Name

12. Title

13. Name of Firm

14. Signature

15. Date