GenIC No.:

EPI AID No. (if applicable):

Requesting entity (e.g., jurisdiction):

Title of Investigation:

Purpose of Investigation: (Use as much space as necessary)

202001-0920-007

Council of State and Territorial Epidemiologists

2019-nCoV Investigation, United States, 2020

In December of 2019, an outbreak of a novel coronavirus (SARS-Cov-2), capable of causing severe illness, was identified in in central China. This virus rapidly spread to countries throughout the world including the US. In January of 2020, the first known case of COVID-19 was identified in the US and cases were expected to increase. At that time, human-to-human transmission of the virus had been confirmed. However, how easily or sustainably the virus was spreading between people was not known. In response to this emergency, the Council of State and Territorial Epidemiologists (CSTE) requested CDC assistance with a multi-state response to COVID-19. The forms approved under this EEI GenIC were used to respond to this request.

Objectives of Investigation

The objectives of the investigation were to identify cases and contacts of cases who were at risk for illness, understand the risk factors for disease and transmission, describe the clinical characteristics of disease, and identifying ways to control transmission. The data gathered from this investigation were used to inform the U.S. public health response to COVID-19 and aid efforts to prevent and control the spread of the virus.

This memo describes the burden associated with the use of the three forms approved under the EEI GenIC. Because of the evolving nature of the pandemic, forms used were sometimes modified from the original in individual investigations. Final versions of the forms are attached.

- 1. 2019-nCoV Case Report Form. This form was used to provide information on COVID 19 cases, including demographic information, clinical presentation and course, medical history, 2019-nCoV laboratory testing, and exposure history.
- 2019-nCoV Patient Under Investigation (PUI) Form. This form was used to
 provide information on individuals who were under investigation as a potential
 2019-nCoV case. It was combined with the case report form on February 24th, to
 reduce burden to states.
- 3. Combined CRF PUI form
- 4. 2019-nCoV Household/Close Contact Investigation Form. This form provided information on close contacts of 2019-nCoV cases who were at risk for infection.
- 5. 2019 nCoV Household Questionnaire. This form provided information on households of 2019-nCoV cases who were at risk for infection.
- 6. 2019 nCoV Household member symptom diary. This form provided information on symptoms of household members of cases at risk for infection.
- 7. 2019 nCoV Household animal questionnaire. This form provided information on pets of 2019-nCoV cases who were at risk for infection.
- 8. 2019 nCoV Household close out form. This form provided information on household of 2019-nCoV cases who were at risk for infection.

Duration of Data Collection:

Date Began:

Date Ended:

Lead Investigator

Name:

CIO/Division/Branch:

90 days

1/25/2020

4/23/2020

Dan Jernigan

Incident Manager, CDC - National Center for Immunization and Respiratory Diseases

Complete the following for each instrument used during the investigation.

Data Collection Instrument 1

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Name of Data Collection Instrument: 2019 nCoV Case Report Form	
Type of Respondent	
☐ General public ☐ Healthcare staff ☐ Laboratory staff ☐ Patients ☐ Restaurant staff	• •
Other (describe): Information is gathered and reported by public health department officials but obtained from	om
patients or their medical records	
Data Collection Methods (check all that apply)	
Epidemiologic Study (indicate which type(s) below)	
Descriptive Study (describe):	
Cross-sectional Study (describe):	
Cohort Study (describe):	
Case-Control Study (describe):	
Other (describe): Also used in field studies to investigate risk factors and	
symptoms of cases.	
Environmental Assessment (describe):	
Laboratory Testing (describe):	
Other (describe): Reporting form used to report cases to CDC	
Data Collection Mode (check all that apply)	
Survey Mode (indicate which mode(s) below):	
Face-to-face Interview (describe): When used for field investigations, face-to-face interviews were one way of obtaining information.	•
Telephone Interview (describe): When used for field investigations, telephone interviews were	ne
way of obtaining information.	
Self-administered Paper-and-Pencil Questionnaire (describe):	
Self-administered Internet	
Questionnaire (describe):	
Other (describe):	
Medical Record Abstraction (describe): When used for field investigations, medical record abstraction was of	ne
way of obtaining information.	
Biological Specimen Sample	
Environmental Sample	
Other (describe): Instrument is used for reporting cases to CDC. Data are reported by	
public health officials but can be gathered through patient interviews	
and/or medical record abstraction	
Despense Pate (if applies ble)	
Response Rate (if applicable) Total No. Responded (A): 114,205	
Total No. Sampled/Eligible to Respond (B): n/a	
Response Rate (A/B): n/a	
Data Collection Instrument 2	
Name of Data Collection Instrument: 2019 nCoV PUI Form	
Type of Respondent	
☐ General public ☐ Healthcare staff ☐ Laboratory staff ☐ Patients ☐ Restaurant staff	

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Other (describe): Information was gathered and reported by public health department officials but obtained from patients or their medical records
Data Collection Methods (check all that apply)
Epidemiologic Study (indicate which type(s) below)
Descriptive Study (describe):
Cross-sectional Study (describe): Cohort Study (describe):
Conort Study (describe):
Other (describe):
Environmental Assessment (describe):
Laboratory Testing (describe):
Other (describe): Information is gathered and reported by public health department officials but obtained from patients or their medical records. This form was used early in the time period of the epidemic to collect information on suspected cases. The case report form was solely used after February 24, 2020
Data Collection Mode (check all that apply)
Survey Mode (indicate which mode(s) below):
Face-to-face Interview (describe): Patient interviews either face-to-face or by telephone were the most
 Common method of data collection. ✓ Telephone Interview (describe): Patient interviews either face-to-face or by telephone were the most
common method of data collection.
Self-administered Paper-and-Pencil
Questionnaire (describe): Self-administered Internet
Questionnaire (describe):
Other (describe):
Medical Record Abstraction (describe): Although a less common method, information was sometimes obtained from clinical records.
Biological Specimen Sample
Environmental Sample
Other (describe):
Response Rate (if applicable) N/A
Total No. Responded (A):
Total No. Sampled/Eligible to Respond (B): n/a
Response Rate (A/B): n/a
Data Collection Instrument 3
Name of Data Collection Instrument: Combined CRF PUI form
Type of Respondent
☐ General public ☐ Healthcare staff ☐ Laboratory staff ☐ Patients ☐ Restaurant staff
Other (describe): Information is gathered and reported by public health department officials but obtained from patients or their medical records

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Data Collection Methods (check all that apply)	
Epidemiologic Study (indicate which typ	e(s) below)
Descriptive Study (describe):	
Cross-sectional Study (describe):	
Cohort Study (describe):	
Case-Control Study (describe):	
Other (describe):	Also used in field studies to investigate risk factors and
Descinant and Assessment (describe).	symptoms of cases.
Environmental Assessment (describe):	
☐ Laboratory Testing (describe): ☐ Other (describe):	Reporting form used to report cases to CDC
Other (describe).	Reporting form used to report cases to CDC
Data Collection Mode (check all that apply)	
Survey Mode (indicate which mode(s) be	low):
Face-to-face Interview (describe):	When used for field investigations, face-to-face interviews were
	one way of obtaining information.
Telephone Interview (describe):	When used for field investigations, telephone interviews were one way of obtaining information.
Self-administered Paper-and-Penc	
Questionnaire (describe):	
Self-administered Internet	
Questionnaire (describe):	
Other (describe):	
	When used for field investigations, medical record abstraction was one way of obtaining information.
☐ Biological Specimen Sample	vay of obtaining information.
Environmental Sample	
	nstrument is used for reporting cases to CDC. Data are reported by
	public health officials but can be gathered through patient interviews
8	and/or medical record abstraction
Response Rate (if applicable)	
Total No. Responded (A):	268,269
Total No. Sampled/Eligible to Respond (B):	n/a
Response Rate (A/B):	n/a
Data Collection Instrument 4	
	oV Household Close Contact Investigation
Type of Respondent	
☐ General public ☐ Healthcare sta	ff Laboratory staff x Patients Restaurant staff
Other (describe):	
Data Collection Methods (check all that apply)	
Epidemiologic Study (indicate which type	e(s) below)
Descriptive Study (describe):	This form was used in investigations that followed close contacts
	of 2019-nCoV cases who were at risk for infection.

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☐ Cross-sectional Study (describe): ☐ Cohort Study (describe): ☐ Case-Control Study (describe):	
Other (describe):	
Environmental Assessment (describe):	
Laboratory Testing (describe):	
Other (describe):	
Other (describe).	
Data Collection Mode (check all that apply)	
Survey Mode (indicate which mode(s) be	
Face-to-face Interview (describe):	Information from close contacts was collected through face-to face
	or telephone interviews.
Telephone Interview (describe):	Information from close contacts was collected through face-to-face
	or telephone interviews.
Self-administered Paper-and-Penc	11
Questionnaire (describe): Self-administered Internet	
Questionnaire (describe):	
Other (describe):	
Medical Record Abstraction (describe):	
Biological Specimen Sample	
Environmental Sample	
Other (describe):	
Response Rate (if applicable) N/A	
Total No. Responded (A):	318
Total No. Sampled/Eligible to Respond (B):	n/a
Response Rate (A/B):	n/a
Response Rate (A/B).	iv a
Data Collection Instrument 5	
Name of Data Collection Instrument: 2019 nCc	V Household Questionnaire
Type of Respondent	
General public Healthcare sta	ff Laboratory staff x Patients Restaurant staff
Other (describe):	
Data Collection Methods (check all that apply)	
Epidemiologic Study (indicate which type	e(s) below)
☐ Descriptive Study (describe):	This form was used in investigations that followed close contacts
	of 2019-nCoV cases who were at risk for infection.
Cross-sectional Study (describe):	
Cohort Study (describe):	
Case-Control Study (describe):	
Other (describe):	
Environmental Assessment (describe):	
Laboratory Testing (describe):	
Laboratory resting (describe).	

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Data Collection Mode (check all that apply)	
Survey Mode (indicate which mode(s) below	v):
☐ Face-to-face Interview (describe):	Information from close contacts was collected through face-to face or telephone interviews.
☐ Telephone Interview (describe):	Information from close contacts was collected through face-to-face or telephone interviews.
Self-administered Paper-and-Pencil Questionnaire (describe):	
Self-administered Internet Questionnaire (describe):	
Other (describe):	
Medical Record Abstraction (describe):	
Biological Specimen Sample	
Environmental Sample	
Other (describe):	
Response Rate (if applicable) N/A	
	06
Total No. Sampled/Eligible to Respond (B):	
Response Rate (A/B):	
Response Rate (A/B).	u e
Data Collection Instrument 6	
	Household member symptom diary
Type of Respondent	Todoonola mondoi dympiom diary
_	
General public Healthcare staff	☐ Laboratory staff x☐ Patients ☐ Restaurant staff
Other (describe):	
Data Collection Methods (check all that apply)	
Epidemiologic Study (indicate which type(s)	below)
Descriptive Study (describe):	This form was used in investigations that followed close contacts
Z z z z z z z z z z z z z z z z z z z z	of 2019-nCoV cases who were at risk for infection.
Cross-sectional Study (describe):	
Cohort Study (describe):	
Case-Control Study (describe):	
Other (describe):	
Environmental Assessment (describe):	
Laboratory Testing (describe):	
_ =	
Other (describe):	
Data Collection Mode (check all that apply)	
Survey Mode (indicate which mode(s) below	v):
☐ Face-to-face Interview (describe):	Information from cases close contacts was collected through face-to face or telephone interviews.
☐ Telephone Interview (describe):	Information from cases and close contacts was collected through face-to-face or telephone interviews.
Self-administered Paper-and-Pencil Ouestionnaire (describe):	

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Self-administered Internet	
Questionnaire (describe):	
Other (describe):	
Medical Record Abstraction (describe):	
Biological Specimen Sample	
☐ Environmental Sample	
Other (describe):	
Response Rate (if applicable) N/A	
Total No. Responded (A):	318
Total No. Sampled/Eligible to Respond (B):	n/a
Response Rate (A/B):	n/a
Response Rate (141).	II a
Data Collection Instrument 7	
Name of Data Collection Instrument: 2019 nCc	V Household animal questionnaire
Type of Respondent	
☐ General public ☐ Healthcare sta	ff Laboratory staff x Patients Restaurant staff
Other (describe):	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
Data Collection Methods (check all that apply)	
Epidemiologic Study (indicate which typ	e(s) below)
Descriptive Study (describe):	This form was used in investigations that followed close contacts
	of 2019-nCoV cases who were at risk for infection.
Cross-sectional Study (describe):	
Cohort Study (describe):	
Case-Control Study (describe):	
Other (describe):	
Environmental Assessment (describe):	
Laboratory Testing (describe):	
Other (describe):	
Data Collection Mode (check all that apply)	
Survey Mode (indicate which mode(s) be	low):
☐ Face-to-face Interview (describe):	Information from close contacts was collected through face-to face
	or telephone interviews.
☐ Telephone Interview (describe):	Information from close contacts was collected through face-to-face
	or telephone interviews.
Self-administered Paper-and-Penc	il
Questionnaire (describe):	
Self-administered Internet Questionnaire (describe):	
Other (describe):	
Medical Record Abstraction (describe):	
Biological Specimen Sample	
☐ Environmental Sample	
Other (describe):	
Other (describe).	

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Response Rate (if applicable) N/A	
Total No. Responded (A):	106
Total No. Sampled/Eligible to Respond (B):	n/a
Response Rate (A/B):	n/a
_	
Data Collection Instrument 7	
Name of Data Collection Instrument: 2019 nCoV	/ Household Close Contact Investigation
Type of Respondent	
☐ Healthcare staff	Laboratory staff $x \square$ Patients \square Restaurant staff
Other (describe):	
Data Collection Methods (check all that apply)	
Epidemiologic Study (indicate which type)	s) helow)
Descriptive Study (describe):	This form was used in investigations that followed close contacts
Descriptive Study (describe).	of 2019-nCoV cases who were at risk for infection.
Cross-sectional Study (describe):	
Cohort Study (describe):	
Case-Control Study (describe):	
Other (describe):	
Environmental Assessment (describe):	
Laboratory Testing (describe):	
Other (describe):	
Data Collection Mode (check all that apply)	
Survey Mode (indicate which mode(s) belo	w).
Face-to-face Interview (describe):	Information from close contacts was collected through face-to face
2 race to face merview (assertes).	or telephone interviews.
☐ Telephone Interview (describe):	Information from close contacts was collected through face-to-face
_ •	or telephone interviews.
Self-administered Paper-and-Pencil	
Questionnaire (describe):	
Self-administered Internet	
Questionnaire (describe):	
Other (describe):	
Medical Record Abstraction (describe):	
Biological Specimen Sample	
Environmental Sample	
Other (describe):	
Response Rate (if applicable) N/A	
	106
• • • • • • • • • • • • • • • • • • • •	
	n/a
Response Rate (A/B):	n/a
Data Collection Instrument 8	
	/ Household close out form
Type of Respondent	

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			,		
☐ General public	Healthcare s	taff Labor	ratory staff	x Patients	Restaurant staff
Other (describe):					
_					
Data Collection Methods (che	eck all that apply)				
☐ Epidemiologic Study		ne(s) helow)			
Descriptive St	- ·		vas used in inv	estigations that f	followed close contacts
Descriptive St	ady (describe).			were at risk for i	
Cross-sectiona	al Study (describe)	:			
Cohort Study	(describe):				
Case-Control	Study (describe):				
Other (describ	e):				
☐ Environmental Asses	sment (describe):				
Laboratory Testing (d	lescribe):				
Other (describe):					
Data Collection Mode (check	all that apply)				
Survey Mode (indicate	te which mode(s) b	elow):			
☐ Face-to-face In	nterview (describe	Information	from close co	ntacts was collec	ted through face-to face
		or telephone	e interviews.		
Telephone Into	erview (describe):			ntacts was collec	eted through face-to-face
			e interviews.		
	red Paper-and-Per	icil			
Questionnaire					
Self-administe Questionnaire					
Other (describ					
Medical Record Abst	·				
☐ Biological Specimen	,				
Environmental Sample	-				
Other (describe):					
other (describe).					
Response Rate (if applicable)	N/A				
Total No. Responded (A)		106			
Total No. Sampled/Eligit					
Response Rate (A/B):	•	n/a			
•					
(Additional Data Collection	Instrument section	ons may be adde	d if necessary.)	
Complete the following bure	den table. Each d	ata collection in	strument shou	ld be included a	ıs a separate row.
F					<u>.</u>
Burden Table (insert rows for	additional respon	dent types if need	(ed)	,	
		No.	No. Respon	ses Burden	per Total Burden
Data Collection Instrument	Type of	Respondents	per Respond		1
Name	Respondent	(A)	(B)	Minutes	$(C) (A \times B \times C)/60*$

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1. 2019-nCoV Case Report Form	Information reported by public health official gathered from patient	228,409	1	30	114,205
2. 2019 nCoV Patient Under Investigation Form	Case/patient	39,859	1	30	19,923
3. Combined CRF and PUI form	Information reported by public health official gathered from patient	536,537	1	30	268,269
4. 2019 nCoV Household/Close Contact Investigation Form	Case or contact of the case	318	1	30	159
5. 2019 nCoV Household Questionnaire	Case or contact of the case	106	1	30	53
6. 2019 nCoV Household member symptom diary	Case or contact of the case	318	1	30	159
7. 2019 nCoV Household animal questionnaire	Case or contact of the case	106	1	30	53
8. 2019 nCoV Household close out form	Case or contact of the case	106	1	30	53

Return completed form and a blank copy of each final data collection instrument within 5 business days of data collection completion to the EEI Information Collection Request Liaison, Danice Eaton (dhe0@cdc.gov).

EEI Information Collection Request Liaison:

Danice Eaton, PhD, MPH
EIS Program Staff Epidemiologist
Epidemiology Workforce Branch
Division of Scientific Education and Professional Development
Centers for Disease Control and Prevention
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