

Last updated: MONTH XX, 2021

The Centers for Medicaid and Medicare Services (CMS) ("us", "we", or "our") operates http://www.cms.gov/nosurprises (the "Site"). This page informs you of our policies regarding the collection, use and disclosure of Personal Information we receive from users of this form.

By using the No Surprises Complaint form (form), you agree to the collection and use of information in accordance with this policy.

Information Collection And Use

While using our form, we may ask you to provide us with certain personally identifiable information that can be used to contact or identify you. Personally identifiable information (PII), defined by the Office of Management and Budget (OMB), refers to information which can be used to distinguish or trace an individual's identity, such as their name, social security number, biometric records, etc. alone, or when combined with other personal or identifying information which is linked or linkable to a specific individual, such as date and place of birth, mother's maiden name, etc.

When you fill out this form:

After you submit a complaint, your personal information may be disclosed to other federal agencies as they may have jurisdiction authority to review and investigate your complaint, if applicable. These organizations may include the U.S. Office of Personnel Management (OPM), the Treasury Department (Treasury), and the U.S. Department of Labor (DOL).

In accordance with the Privacy Act of 1974, a system of records has been created for the collection of personally identifiable information you submit on this form. The original system of records notice entitled, "No Surprises Complaint Form" was published in the Federal Register on MONTH XX, 2021.

The system of records and modifications can be found at: <u>http://www.cms.gov/Research-Statistics-Data-and-Systems/Computer-Data-and-Systems/Privacy/CMS-Systems-of-Records.html</u>. For specific details on the data collected by the systems that make up the form, please view the Privacy Impact Assessments (PIA) located at: <u>http://www.hhs.gov/pia/</u>.

Log Data

Like many site operators, we collect information that your browser sends whenever you visit our Site ("Log Data").



This Log Data may include information such as your computer's Internet Protocol ("IP") address, browser type, browser version, the pages of our Site that you visit, the time and date of your visit, the time spent on those pages and other statistics.

CMS will keep data collected long enough to achieve the specified objective for which they were collected. Once the specified objective is achieved, the data will be retired or destroyed in accordance with published draft records schedules of CMS as approved by the National Archives and Records Administration.

CMS does not store information from cookies on CMS systems. CMS assesses whether the expiration date of a cookie exceeds one year and provides an explanation as to why cookies with a longer life are used on the site in the associated Third-Party Website or Application Privacy Impact Assessment. These explanations can be found at <u>http://www.hhs.gov/pia#Third-Party</u>.

Communications

We may use your Personal Information to contact you if we need more information or documentation, or to notify you of the resolution to your complaint. We may contact you by phone and/or email, dependent on the contact information that you provided to us.

Cookies

Cookies are files with small amount of data, which may include an anonymous unique identifier. Cookies are sent to your browser from a web site and stored on your computer's hard drive.

Like many sites, we use "cookies" to collect information. You can instruct your browser to refuse all cookies or to indicate when a cookie is being sent. However, if you do not accept cookies, you may not be able to use some portions of our Site.

Security

The security of your Personal Information is important to us, but remember that no method of transmission over the Internet, or method of electronic storage, is 100% secure. While we strive to use commercially acceptable means to protect your Personal Information, we cannot guarantee its absolute security.

Changes To This Privacy Policy

This Privacy Policy is effective as of MONTH XX, 2021 and will remain in effect except with respect to any changes in its provisions in the future, which will be in effect immediately after being posted on this page.

We reserve the right to update or change our Privacy Policy at any time and you should check this Privacy Policy periodically. Your continued use of the Service after we post any modifications to the



Privacy Policy on this page will constitute your acknowledgment of the modifications and your consent to abide and be bound by the modified Privacy Policy.

If we make any material changes to this Privacy Policy, we will notify you either through the email address you have provided us, or by placing a prominent notice on our website.

Contact Us

If you have any questions about this Privacy Policy, please contact us.



No Surprises Consumer Complaint form

* Indicates a required field
Document Submission
Are you submitting documentation for a previous complaint? Yes \Box No \Box
Please provide your ticket number I don't have it. 🗆
Complaint Type
I am reporting a violation against a/an:
□ Air-ambulance provider
Health Care Facility
□ Insurance Company or Plan (Select One*):
What type of coverage or plan is this?
🗆 Non-Federal Governmental Plan
□ Self-funded group health plan from a private employer
Fully-insured group health plan from private-sector employer
Federal Employees Health Benefits (FEHB) Plan
Individual Health Insurance Plan outside the Health Insurance Marketplace
Health Insurance Marketplace Plan
Marketplace Application ID
State-Based Marketplace
Medicaid or the Children's Health Insurance Program (CHIP)
Faith-Based Plan
□ Other:
□ Other
Complaint Category
Emergency services (including inpatient physician or hospital services after emergency room
visit): You visited an emergency room and the hospital and/or provider(s) were out-of-network and
you were charged more than you think you should have been charged.
□ Scheduled non-emergency services at an in-network hospital or ambulatory surgical center:
You received services at an in-network hospital or an ambulatory surgical center and were charged



more than you think you should have been by an out-of-network provider at the facility.

Disclosure of Patient Protections: Your provider or health care facility did not disclose (e.g., display a sign, post on website) patient protections against balance billing.

Do you wish to submit your complaint anonymously? Yes \Box No \Box

□ **Continuity of Care:** You were not told that your provider has left the network **or** you were not charged in-network cost (i.e., deductible, copayment, coinsurance) for up to 90 days after the provider left the network.

□ **Mental Health Parity and Addiction Equity Act (MHPAEA):** Your health insurance company or plan is not following a protection provided to you under MHPAEA.

For uninsured or self-pay consumers:

□ **Patient Provider Dispute Resolution (PPDR):** You are uninsured or a self-pay individual, and eligible for the PPDR process, and you believe your provider or facility is not complying.

□ Advanced Cost Information: You are uninsured or a self-pay individual, and were not given upfront information (also known as a "good-faith estimate") on costs ahead of a service *or* there is a difference between the information provided to you and the actual amount you were charged.

 \Box I have a complaint related to the No Surprises Act that is not listed above.

Contact Information
Are you reporting a violation on behalf of someone else? Yes \Box No \Box
Name:
Telephone Number:
Email:
Name:
Address:
City:
State:
Zip:
Telephone Number
Email:
Do you prefer to be contacted by: Telephone \Box Email \Box
Insurance Company/Plan Information
Are you insured? Yes 🗆 No 🗆 N/A 🗆
Name of Health Insurance Plan or Company (at time of violation):
Policy or ID #
Group Number: N/A
Claim Numbers:
CPT Codes:
Employer/Union Information (If Job-Based Coverage)

INFORMATION NOT RELEASABLE TO THE PUBLIC UNLESS AUTHORIZED BY LAW: This information has not been publicly disclosed and may be privileged and confidential. It is for internal government use only and must not be disseminated, distributed, or copied to persons not authorized to receive the information. Unauthorized disclosure may result in prosecution to the full extent of the law.



Employer/Union	Name:
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Employer/Union Telephone Number (Human Resources):

Policyholder information (if different than above)

Name of policyholder:

Address:

City:

State:

Zip:

Telephone Number:

Email:

Medical provider, Air-Ambulance Provider, and/or Health care facility Information
Click all that apply: Provider \Box Air-Ambulance Provider \Box Health Care Facility \Box
Provider/Air-Ambulance Provider Name:
Address:
City:
State:
Zip:
Telephone Number:
Email:
Provider/Air-Ambulance Provider Employer Identification Number (EIN):
Provider/Air-Ambulance Provider National Provider Identifier (NPI)
In-Network Provider Out-of-Network Provider
Name of Health Care Facility:
Address:
City:
State:
Zip:
Telephone Number:
Email:
Facility Specialty Type:
Health Care Facility Employer Identification Number (EIN):
Health Care Facility National Provider Identifier (NPI)
\Box In-Network Health Care Facility \Box Out-of-Network Health Care Facility

Explain the specific problem you are having

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[Free Text]

State where service was received (if different from current address)

State

Date(s) of Service Related to the Complaint

[Free Text]

Action(s) Previously Taken to Resolve

[Free Text]

Documentation
Please submit applicable supporting documentation below. Failure to provide supporting
documentation may prevent us from investigating your complaint.
□ Notice and Consent form(s) (Signed and Dated)
\Box Advanced Cost Information (also known as a "Good Faith Estimate")
\Box Bill(s) from plan, issuer, provider, air ambulance provider, or health care facility
Correspondence
\Box Explanation of Benefits received from your health plan or insurer (for date of service)
Notices of Appeal decision(s)
\Box Front and back of health insurance card
Claim(s)
Evidence of Coverage (Examples - Summary plan description, Policy, Certificate, Contract of
insurance, Membership booklet, or Outline of coverage)
□ Other



Demographic Information (Optional)
Consumer Ethnicity
Are you Hispanic or Latino? Yes \Box No \Box Prefer not to answer \Box
Consumer Race
One or more categories may be selected:
□ White
American Indian or Alaska Native
Black or African American
Native Hawaiian or Other Pacific Islander
Asian
Prefer not to answer
Consumer Age:
Consumer Gender: Male 🗆 Female 🗆 Non-Binary 🗆 Prefer not to answer 🗆

Declaration

By filling in my name and date below, I declare the information contained on this form is true and accurate.

Name:

Signature:

Nature of Representation (Parent, Guardian, Power of Attorney, etc.):

Date: MM/DD/YYYY

Submit

Once you've completed this form and attached supporting documentation, please click on the Submit button below:

Questions?

Call the No Surprises Help Desk at: 1-800-985-3059 https://www.cms.gov/nosurprises