

OMB Nonsubstantive Change Request

Department: Commerce

Agency: U.S. Census Bureau

Title: 2020 Census Post-Enumeration Survey Initial and Final Housing Unit Follow-up Operations

OMB Control Number: 0607-1010

Expiration Date: 11/30/2022

Request: The Census Bureau is submitting this Nonsubstantive Change to provide final materials, schedules, and burden hours for the 2020 Census Post-Enumeration Survey (PES) Final Housing Unit Follow-Up (FHUFU) operation. Only draft materials were available when this collection was approved on 11/27/2019. Updated versions are attached to this submission. In addition, the coronavirus pandemic has resulted in changes to the schedule and the total burden hours for these operations, as described below.

The PES FHUFU and FHUFU Quality Control (QC) operations were planned to occur May 2021 through June 2021. As a result of the impact of the coronavirus pandemic on the schedule of the preceding census operations and staffing challenges, the Census Bureau is proposing to change the data collection schedule for the PES FHUFU and FHUFU QC operations from what was previously stated to November 2021 through February 2022.

Table 1: Schedule Revision

Activity	Original Date	Revised Date
PES Final Housing Unit Follow-up	May 2021 - June 2021	November 2021 - February 2022

Additionally, the Census Bureau is proposing an increase of estimated hours of burden for the PES FHUFU and FHUFU QC operations because of an increase in workload. Table 2 below shows the original estimate.

The PES FHUFU attempts to collect additional information to resolve match and status codes for addresses in the PES address list and the final census address list, and to resolve potential duplicates. The preliminary final housing unit match results show a higher number of nonmatches than expected based on the 2010 results and need to be sent to field follow-up to confirm that the addresses existed as housing units on Census Day, April 1, 2020. These nonmatches are units added to the final census address list after the initial housing unit matching and follow-up operations. There is a higher number of potential duplicate records that were not field confirmed during the Initial Housing Unit Follow-up (IHUFU) operations because of the coronavirus pandemic, which caused changes to PES procedures. Reduced respondent contact during the early days of the pandemic led to more potential duplicate records needing to be resolved during FHUFU. Additionally, there are more changes to the final census address list than expected based on 2010 results, specifically more deleted address records. The PES addresses that were linked to the census deletes need to be sent to the field to confirm their housing unit status as of Census Day (April 1, 2020).

The estimated workload for PES FHUFU is now approximately 14,500 (8,000 original estimate) housing units in selected basic collection units (BCUs) in the 50 states, District of Columbia, and Puerto Rico.

The FHUFU QC sample is expected to increase from 15 percent originally estimated to 55 percent because one or more housing units in each of the FHUFU BCU will be selected for QC. Preliminary data suggest that most BCUs have a small number of housing units selected for FHUFU; often 100 percent of housing units in a BCU will require QC. For the FHUFU QC, a 55 percent sample of the PES FHUFU workload results in the selection of 7,975 (1,200 original estimate) housing units from BCUs in the 50 states, District of Columbia, and Puerto Rico. The small number of housing units in each FHUFU BCU does not allow for a simple reworking of the QC sampling scheme used in 2010, in which 60 percent of the production workload was reviewed.

To calculate the estimated burden hours, we assumed a theoretical 100 percent response rate and a completion time of 5 minutes per case. The total estimated respondent burden for the 2020 Census PES FHUFU and FHUFU QC operations is 1,874 (767 original estimate) hours. Table 3 below shows the revised estimate.

Table 2: Burden Hours - Original Estimate

2020 Census Post-Enumeration Survey - Original Estimate			
Operation	Estimated Number of Housing Units	Estimated Time per Response (in Minutes)	Total Burden Hours
Final Housing Unit Follow-up	8,000	5	667
Final Housing Unit Follow-up Quality Control	1,200	5	100
Total	9,200 housing units		767 hours

Table 3: Burden Hours - Revised Estimate

2020 Census Post-Enumeration Survey - Revised Estimate			
Operation	Estimated Number of Housing Units	Estimated Time per Response (in Minutes)	Total Burden Hours
Final Housing Unit Follow-up	14,500	5	1,209
Final Housing Unit Follow-up Quality Control	7,975	5	665
Total	22,475 housing units		1,874 hours

There are no substantive changes to the program resulting from these proposed changes.

Updated Attachments:

D-1340 Cover Sheet and FHUFU Forms - English

D-1340.Ref Reference List English

D-1325 Quality Control Form English

D-1340(PR) Cover Sheet and (PR) FHUFU Forms -Spanish

D-1340.Ref (PR) Reference List Spanish

D-1325 (PR) Quality Control Form Spanish