

# Application For Annual Crab Individual Processing Quota (IPQ) Permit

U.S. Dept. of Commerce/NOAA National Marine Fisheries Service Restricted Access Management P.O. Box 21668 Juneau, AK 99802-1668 (800) 304-4846 toll free / 586-7202 in Juneau (907) 586-7354 fax

## **Annual Application Deadline – June 15**

Applications received after June 15 may not be processed and Individual Processing Quota (IPQ) may not be issued to the applicant.

BLOCK A -APPLICANT INFORMATION				
1. Name of Applicant:		2. Applicant's NMFS Person ID:		
3. Business Mailing Address	Permanent Temporary			
4. Business Telephone Number:	5. Business Fax Number:	6. Business E-mail Address:		
BLOCK B – TYPE	OF ANNUAL IPO FOR WHICH APPLA	ICATION IS MADE		

Indicate the type of annual IPQ requested. If selecting fisheries, check those boxes that apply. If selecting all fisheries, check the ALL FISHERIES box.

ALL FISHERIES for which applicant holds PQS

Only those fisheries checked below:

BBR	BSS	EAG	EBT	WBT	
PIK	SMB	WAG	WAI		

# BLOCK C – IDENTIFICATION OF OWNERSHIP INTEREST (to be completed by Applicants who are not individuals (i.e., corporations, partnerships, etc.)

If the Applicant identified in Block A is NOT an individual (i.e. is a corporation, partnership or some other entity) the name(s) of all owners of the Applicant must be provided, together with the percent of ownership. If a listed owner is not an individual, provide the same information for each owner until all owners and their percent of ownership are revealed to the individual level.

	N 40	%		%
	Name of Owner	Interest	Name of Owner	Interest
	Duplicate this form as necessary to	display all of	the Applicant's owners (and owners of owners)	
	BLO	CKD – RO	FR CONTRACT	
Is an	y of the PQS you hold subject to right of first	refusal (RO	FR) with an Eligible Crab Community (ECC)	)?
	YES		NO [ ]	
If Y	ES, provide the name of the ECC entity assoc	iated with th	ne PQS:	
ROF		ract terms sp	ve , have in place at the time of this application pecified in Chapter 11 section 3.4.4.1.2 of the lanner Crabs?	
	YES 🗌 [ ] N	IO [ ]	l	
			CANT SIGNATURE	
			I have examined the information and the claim the information presented here is true, correct,	
	ignature of Applicant:	5	2. Date:	1
3. P	rinted Name of Applicant: (Note: If complete	ed by an aut	horized representative, <b>attach</b> authorization.):	:

#### Instructions

# APPLICATION FOR ANNUAL CRAB INDIVIDUAL PROCESSING QUOTA (IPQ) PERMIT

IPQ permits are issued annually to eligible persons who hold Processing Quota (PQS). These permits authorize their holders to process a specific amount of crab, under the terms and conditions set out on the permit. Individual Processing Quota (IPQ) permits are valid for one year -- the crab year for which they are issued.

Issuance of the correct amount and type of IPQ is entirely dependent on information provided by PQS holders on their annual IPQ applications. The completed application must be received by NMFS **no later than June 15**. An application that is received after June 15 may not be processed and may not yield annual IPQ.

Submit the completed application:

By mail to:	NMFS Alaska Region Restricted Access Management (RAM) P.O. Box 21668 Juneau, AK 99802-1668
By delivery to:	Room 713, Federal Building 709 West 9th Street Juneau, AK 99801
By fax to:	907-586-7354
Online to:	http://alaskafisheries.noaa.gov/ram/crab/crabipq_transfer.pdf

If you need assistance in completing this application or need additional information, call Restricted Access Management at (800) 304-4846 (Option 2) or (907) 586-7202 (Option 2).

RAM's program information, applications, and reports can also be located on the Alaska Region Internet site at http://alaskafisheries.noaa.gov.

# **COMPLETING THE APPLICATION**

## **BLOCK A – APPLICANT INFORMATION**

- 1. Provide the Applicant's name.
- 2. Provide the Applicant's NMFS Person ID.
- 3. Enter the business mailing address for use with this transaction. Indicate if this is a permanent change to your business mailing address or if this is a temporary business mailing address for this transaction only.
- 4-6. Provide the business telephone number, business fax number, and business e-mail address for the Applicant or the Applicant's designated representative.

## BLOCK B – TYPE OF ANNUAL QUOTA FOR WHICH APPLICATION IS MADE

Indicate the type of annual IPQ requested. If selecting fisheries, check those boxes that apply. If selecting all fisheries, check the ALL FISHERIES box.

# **BLOCK C – IDENTIFICATION OF OWNERSHIP INTEREST**

If the Applicant identified in Block A is NOT an individual (i.e. is a corporation, partnership or some other entity) the name(s) of all owners of the Applicant must be provided, together with the percent of ownership. Provide the same information for each owner until all owners and their percent of ownership are revealed to the individual level. See example below:

Name of Owner	% Interest
Joe Potpuller	25%
Alice Potpuller	25%
Quotaholder Family Holdings, Inc.	50%
C. Quotaholder	25% (of 50%)
R. Quotaholder	25% (of 50%)
A. Quotaholder	25% (of 50%)
B. Quotaholder	25% (of 50%)

Duplicate this form, or attach a separate sheet of paper if necessary to display all of the Applicant's owners (and owners of the Applicant's owners to the individual level).

# **BLOCK D – CERTIFICATION OF ROFR CONTRACT**

## NOTE: An annual IPQ Permit will not be issued if this block is not completed.

Indicate whether any of the PQS you hold is associated with an eligible crab community (ECC).

**IF YES**, provide the name of the ECC entity associated with the PQS and indicate whether the PQS holder and the ECC entity, at the time of this application, have in place a current ROFR contract. The contract in place between the ECC entity and the PQS holder must include the terms specified in Chapter 11 section 3.4.4.1.2 of the Fishery Management Plan for Bering Sea/Aleutian Islands King and Tanner Crabs and at http://alaskafisheries.noaa.gov/sustainablefisheries/crab/crfaq.htm.

## **BLOCK E – APPLICANT SIGNATURE**

Applicant must print and sign his or her name and enter the date the application was signed. If the application is completed by the Applicant's authorized representative, **attach** proof of authorization.

#### **Paperwork Reduction Act Statement**

A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995 unless the information collection has a currently valid OMB Control Number. The approved OMB Control Number for this information collection is 0648-0514. Without this approval, we could not conduct this information collection. Public reporting for this information collection is estimated to be approximately 2 hours per non-electronic response and 1 hour per electronic response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. All responses to this information collection are required to obtain benefits. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to the Assistant Regional Administrator, Sustainable Fisheries Division, NMFS Alaska Region, P.O. Box 21668, Juneau, AK 99802-1668.

#### **Privacy Act Statement**

Authority: The collection of this information is authorized under the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C. 1801, *et seq*.

Purpose: NMFS is collecting this information to manage the Crab Rationalization Program.

**Routine Uses:** NMFS will use this information to issue crab IPQ permits. Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act. They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics. Disclosure of this information is permitted under the Privacy Act of 1974 (5 U.S.C. Section 552a) to be shared among authorized staff for work-related purposes. Disclosure of this information is also subject to the published routine uses identified in the Privacy Act System of Records Notice <u>COMMERCE/NOAA-19</u>, Permits and Registrations for the United States Federally Regulated Fisheries. **Disclosure:** Furnishing this information is required to obtain or retain benefits. Failure to provide complete and accurate information may delay or prevent an applicant from receiving a crab IPQ permit.