Revised: 06/11/2020 OMB Control No. 0648-0514 Expiration Date:



# Application for CONVERTED CPO QUOTA SHARE (QS) AND CPO IFQ

U.S. Department of Commerce NOAA Fisheries Service, Alaska Region Restricted Access Management (RAM) Post Office Box 21668 Juneau, Alaska 99802-1668 (800) 304-4846 toll free / 586-7202 in Juneau (907) 586-7354 fax



### **Annual Application Deadline - August 1**

# This application must be submitted with a completed Annual Application for an IFQ/IPQ Permit

NOTE: This application will not be considered complete until NMFS verifies that applicant

- 1. Has submitted all required Economic Data Reports
- 2. Has paid all outstanding fee obligations

BLOCK A – IDENTIFICATION OF ENTITY					
Indicate below which of the entities, as described in § 680.40(c)(5)(ii) through (c)(5)(iv), is applying for converted catcher/processor CPO QS/IFQ. <b>NOTE: Only entities listed below may apply for converted CPO QS/IFQ.</b>					
☐ Entity A: Yardarm Knot, Inc.					
Entity B: Blue Dutch, LLC					
Entity C: Trident Seafoods, Inc					
BLO	CK B <sub>1</sub> – ENTITY I	NFORMATION			
1. Name of Entity:		2. NMFS Person ID Number:			
•					
3. Permanent Business Mailing Address:		4. Temporary Business Mailing Address (see			
5. Termanent Business Hammig Hudress		instructions):			
5. Business Telephone Number: 6. Business Fax		Number:	7. Business E-mail Address:		
3. Business rerephone rvamoer.	o. Dasmess rax	(uiiioci.	7. Business E man radress.		

issue	d to this perso	on for convers	sion to	CPO QS:					
Fishery	Sector	Region	Beg	inning Serial Number		Ending S	eria	al Number	Number of QS/PQS Units
BBR	CVO QS	North							
BBR	PQS*	North							
BSS	CVO QS	North							
BSS	PQS*	North							
Person 2						<u> </u>			
Fishery	Sector	Region		inning Serial Number		Ending Se	ria	l Number	Number of QS/PQS Units
BBR	CVO QS*	North							
BBR	PQS	North							
BSS	CVO QS*	North							
BSS	PQS	North							
*Must be	CVO QS ini	tially issued to	o the e	ntity				I	
1 Affilia	ted Person 2:		BLO	CK B <sub>2</sub> – AFFII	LIA	TE INFOR			ENTITY A, B, or C on ID Number:
1. Allilla	icu i ei son 2.	•					<b>∠.</b>	INIVII 5 I CIS	on in ivaliable.
3. Permai	nent Business	Mailing Add	ress:				•	Business M	ailing Address (see
						instruction	s):		
5. Business Telephone Number: 6. Business Fax					ax	Number: 7. Business E-mail Address:		ess E-mail Address:	
1. Affilia	ted Person 2:							2. NMF	S Person ID Number:
3. Permai	nent Business	Mailing Add	ress:			Temporary structions):	Bu	isiness Maili	ng Address (see
5. Business Telephone Number: 6. Business Fax					k N	umber:		7. Busin	ness E-mail Address:

8. . For Entity A or B identify the North Region BBR or BSS CVO QS and North Region BBR or BSS PQS initially

	8. For persons affiliated with Entity A or B, identify the North Region BBR or BSS CVO QS and North Region BBR or BSS PQS initially issued to this person for conversion to CPO QS:							
Person 1								
Fishery	Sector	Region	Beginning Serial Number	<b>Ending Serial Number</b>	Number of QS/PQS Units			
BBR	CVO QS	North						
BBR	PQS*	North						
BSS	CVO QS	North						
BSS	PQS*	North						
		•						

#### Person 2

Fishery	Sector	Region	Beginning Serial Number	Ending Serial Number	Number of QS/PQS Units
BBR	CVO QS*	North			
BBR	PQS	North			
BSS	CVO QS*	North			
BSS	PQS	North			

<sup>\*</sup>Must be CVO QS initially issued to the entity

9. For Entity C identify the North Region BBR or BSS CVO QS initially issued to this person and North Region BBR or BSS PQS for conversion to CPO QS: **Beginning Serial Number of QS/PQS Units Fishery** Sector Region **Ending Serial Number** Number BBR CVO OS\* North BBR **PQS** North BSS CVO QS\* North BSS PQS North

### Person 2

Fishery	Sector	Region	Beginning Serial Number	Ending Serial Number	Number of QS/PQS Units
BBR	CVO QS*	North			
BBR	PQS	North			
BSS	CVO QS*	North			
BSS	PQS	North			

<sup>\*</sup>Must be CVO QS initially issued to the entity

# **BLOCK C - SIGNATURE OF ENTITY AND AFFILIATES** (each member contributing CVO QS or PQS to this request must sign and date this form) Under penalty of perjury, I certify by my signature below that I have examined the information and the claims provided on this application and, to the best of my knowledge and belief, the information presented here is true, correct, and complete. 2. Date: 1. Signature of Entity 3. Printed Name of Entity: (Note: If completed by an authorized representative, attach authorization.): Under penalty of perjury, I certify by my signature below that I have examined the information and the claims provided on this application and, to the best of my knowledge and belief, the information presented here is true, correct, and complete. 1. Signature of Affiliate Person 1: 2. Date: 3. Printed Name of Affiliate: (Note: If completed by an authorized representative, attach authorization.): Under penalty of perjury, I certify by my signature below that I have examined the information and the claims provided on this application and, to the best of my knowledge and belief, the information presented here is true, correct, and complete. 1. Signature of Affiliate Person 2: 2. Date: 3. Printed Name of Entity: (If completed by an authorized representative, attach authorization.):

## Instructions – APPLICATION FOR CONVERTED CPO QUOTA SHARE (QS) AND CPO IFQ

# This Application is due August 1<sup>st</sup>. This Application must be submitted with a completed Annual Application for IFQ or IPQ Permit.

Under 50 CFR 680.40(c)(5), any persons who are members of or affiliated with the following entities, as described in 50 CFR 680.40(c), may request an issuance of converted CPO QS on an application for IFQ/IPQ for that crab fishing year:

- Entity A: Yardarm Knot, Inc. and any person who is affiliated with Yardarm Knot, Inc.;
- Entity B: Blue Dutch, LLC and any person who is affiliated with Blue Dutch, LLC; and
- Entity C: Trident Seafoods, Inc. and any person who is affiliated with Trident Seafoods, Inc.

Such requests must meet the criteria listed in regulations at 50 CFR 680.40 in order to be approved.

# This application cannot be processed or approved unless applicant has met all the requirements and conditions of the CR Program, including (as appropriate)

Submit an crab Economic Data Report (EDR). A crab EDR is required from any owner or leaseholder of a vessel or processing plant that harvested or processed crab in specified CR Program crab fisheries during the prior calendar year. The annual EDR submission deadline is June 28.

To request that a printed EDR be mailed to you (at no cost), contact

Pacific States Marine Fisheries Commission 205 SE Spokane, Suite 100 Portland, OR 97202

Telephone: 1-(503) 595-3100

e-mail: info@psmfc.org

• Payment of all outstanding fees must be submitted to NMFS on or before July 31.

Submit the completed application, which must be received no later than August 1, to:

By mail to: NMFS Alaska Region

Restricted Access Management (RAM)

P.O. Box 21668

Juneau, AK 99802-1668

By delivery to:

Room 713, Federal Building

709 West 9th Street Juneau, AK 99801

Or, by fax to: 907-586-7354

If you need assistance in completing this application or need additional information,

call Restricted Access Management (RAM) at (800) 304-4846 (Option 2) or (907) 586-7202 (Option 2)

RAM's program information, applications, and reports can also be located on the Alaska Region Internet site at <a href="http://alaskafisheries.noaa.gov">http://alaskafisheries.noaa.gov</a>

#### **COMPLETING THE APPLICATION**

### **BLOCK A – IDENTIFICATION OF ENTITY**

Only the Entities described in § 680.40(c)(5)(ii) through (c)(5)(iv) may apply for converted CPO QS/IFQ. Those Entities are: Yardarm Knot, Inc., Blue Dutch, LLC and Trident Seafoods, Inc.

Indicate which entity is applying for converted CPO QS/IFQ.

### **BLOCK B<sub>1</sub> – ENTITY INFORMATION**

- 1. Provide the Entity's name.
- 2. Provide the Entity's NMFS Person ID Number.
- 3. Provide the Entity's permanent mailing address.
- 4. Provide the Entity's temporary mailing address (if any); if this information is provided, it will be to this address to which the IFQ/IPQ permit(s) will be mailed.
- 5-7. Provide the business telephone number, business fax number, and business e-mail address (if available)
  - 8. For Entity A or B -- identify the North Region BBR or BSS CVO QS and North Region BBR or BSS PQS initially issued to this person for conversion to CPO QS for the current crab fishing year.
  - 9. For Entity C identify the North Region BBR or BSS CVO QS initially issued to this person and North Region BBR or BSS PQS for conversion to CPO QS for the current crab fishing year.

**Note:** Only 90% of the PQS units identified will be used in the calculation of CPO IFQ. Please ensure that you identify the number of PQS units necessary to obtain the desired number of CPO IFQ pounds.

### BLOCK B<sub>2</sub> - AFFILIATE INFORMATION FOR ENTITY A, B, or C

Provide the following for each Affiliated Person whose CVO QS or PQS will be used in this transaction. **Attach additional pages as necessary**.

- 1. Provide the Affiliated Person's name.
- 2. Provide the Affiliated Person's NMFS Person ID Number.
- 3. Provide the Affiliated Person's permanent mailing address.
- 4. Provide the Affiliated Person's temporary mailing address (if any); if this information is provided, it will be to this address to which the IFQ/IPQ permit(s) will be mailed.

- 5-7. Provide the business telephone number, fax number, and e-mail address (if available) for the Affiliated Person's designated representative.
  - 8. For person's affiliated with Entity A or B, identify the North Region BBR or BSS CVO QS and North Region BBR or BSS PQS initially issued to this person for conversion to CPO QS/IPQ for the current fishing year.
  - 9. For persons affiliated with Entity C, identify the North Region BBR or BSS CVO QS initially issued to this person and North Region BBR or BSS PQS for conversion to CPO QS/IPQ for the current fishing year.

#### **BLOCK C – SIGNATURE OF ENTITY AND AFFILIATES**

Each Entity and each Affiliated Person submitting CVO QS or PQS for conversion to CPQ QS/IFQ must print and sign his or her name and enter the date the application was signed.

If the application is completed by a designated representative, attach proof of authorization.

Attach additional signature pages as necessary.

### **Paperwork Reduction Act Statement**

A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995 unless the information collection has a currently valid OMB Control Number. The approved OMB Control Number for this information collection is 0648-0514. Without this approval, we could not conduct this information collection. Public reporting for this information collection is estimated to be approximately 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. All responses to this information collection are required to obtain benefits. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to the Assistant Regional Administrator, Sustainable Fisheries Division, NMFS Alaska Region, P.O. Box 21668, Juneau, AK 99802-1668.

#### **Privacy Act Statement**

**Authority:** The collection of this information is authorized under the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C. 1801, *et seq*.

Purpose: NMFS is collecting this information to manage the Crab Rationalization Program.

Routine Uses: NMFS will use this information to convert CPO QS and CPO IFQ if requested by eligible entities. Responses to this information request are confidential under section 402(b) of the Magnuson-Stevens Act. They are also confidential under NOAA Administrative Order 216-100, which sets forth procedures to protect confidentiality of fishery statistics. Disclosure of this information is permitted under the Privacy Act of 1974 (5 U.S.C. Section 552a) to be shared among authorized staff for work-related purposes. Disclosure of this information is also subject to the published routine uses identified in the Privacy Act System of Records Notice COMMERCE/NOAA-19, Permits and Registrations for the United States Federally Regulated Fisheries Disclosure: Furnishing this information is required to obtain or retain benefits. Failure to provide complete and accurate information may delay or prevent an eligible entity from converting CPO QS and CPO IFQ.