Attachment 3

Alabama State Survey Responses

State Agency Information Agency with primary responsibility for enforcing underage drinking laws: Alabama Law Enforcement Agency (ALEA) Enforcement Strategies State law enforcement agencies use: Cops in Shops Shoulder Tap Operations Programs Underage Alcohol-Related Fatality Investigations Yes Local law enforcement agencies use: Cops in Shops No Shoulder Tap Operations or Programs Underage Alcohol-Related Fatality Investigations No Shoulder Tap Operations No Shoulder Tap Operations No Shoulder Tap Operations No Shoulder Tap Operations or Programs No Underage Alcohol-Related Fatality Investigations No Underage Alcohol-Related Fatality Investigations No State has a program to investigate and enforce direct sales/shipment laws Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Yes Number of minors found in possession ¹ by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies Yes State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ 10,300 Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Number of licensees that failed state compliance checks Number of licensees subject to random state compliance checks/decoy operations Number of licensees subject to random state compliance checks N/A Number of licensees subject to random state compliance checks N/A	Alabama State Survey Responses	
Alabama Law Enforcement Agency (ALEA) Enforcement Strategies State law enforcement agencies use: Cops in Shops Shoulder Tap Operations or Programs Ves Underage Alcohol-Related Fatality Investigations Ves Underage Alcohol-Related Fatality Investigations No Shoulder Tap Operations or Programs Ves Underage Alcohol-Related Fatality Investigations No Shoulder Tap Operations or Programs No State has a program to investigate and enforce direct sales/shipment laws Yes Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Number of minors found in possession¹ by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of ricensees that failed state compliance checks Number of licensees checked for compliance by state agencies (including random checks) Number of licensees checked for compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations	State Agency Information	
Alabama Law Enforcement Agency (ALEA) Enforcement Strategies State law enforcement agencies use: Cops in Shops Shoulder Tap Operations or Programs Party Patrol Operations or Programs Underage Alcohol-Related Fatality Investigations Yes Local law enforcement agencies use: Cops in Shops No Shoulder Tap Operations or Programs No Shoulder Tap Operations or Programs No Underage Alcohol-Related Fatality Investigations No State Party Patrol Operations or Programs No Underage Alcohol-Related Fatality Investigations No State has a program to investigate and enforce direct sales/shipment laws Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Number of minors found in possession No Enforcement agencies Number pertains to the 12 months ending O9/30/2019 Data include arrests/citations issued by local law enforcement agencies Yes State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ 10,300 Number of retail licensees in state³ 10,300 Number of licensees that failed state compliance checks Ounding random checks) Number of licensees that failed state compliance checks Number spertain to the 12 months ending O9/30/2019 Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations N/A		
Enforcement Strategies State law enforcement agencies use: Cops in Shops Shoulder Tap Operations or Programs Underage Alcohol-Related Fatality Investigations Local law enforcement agencies use: Cops in Shops No Shoulder Tap Operations or Programs Underage Alcohol-Related Fatality Investigations Local law enforcement agencies use: Cops in Shops No Shoulder Tap Operations Party Patrol Operations Party Patrol Operations or Programs No Underage Alcohol-Related Fatality Investigations No State has a program to investigate and enforce direct sales/shipment laws Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State conlects data on the number of minors found in possession Number of minors found in possession¹ by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ 10,300 Number of licensees that failed state compliance checks (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations NyA		
Cops in Shops Shoulder Tap Operations Party Patrol Operations or Programs Ves Underage Alcohol-Related Fatality Investigations Ves Local law enforcement agencies use: Cops in Shops No Shoulder Tap Operations No Party Patrol Operations or Programs No Underage Alcohol-Related Fatality Investigations No Party Patrol Operations or Programs No Underage Alcohol-Related Fatality Investigations No State has a program to investigate and enforce direct sales/shipment laws Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Number of minors found in possession Number of minors found in possession Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of iticensees in state³ 10,300 Number of licensees that failed state compliance checks Number of licensees that failed state compliance checks Number of licensees that failed state compliance checks Number spertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations N/A	Enforcement Strategies	
Shoulder Tap Operations or Programs Yes Underage Alcohol-Related Fatality Investigations Yes Underage Alcohol-Related Fatality Investigations Yes Local law enforcement agencies use: Cops in Shops No Shoulder Tap Operations Programs No Party Patrol Operations or Programs No Underage Alcohol-Related Fatality Investigations No Underage Alcohol-Related Fatality Investigations No State has a program to investigate and enforce direct sales/shipment laws Yes Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Yes Number of minors found in possession by state law enforcement agencies 2,178 enforcement agencies Yes State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Yes Number of licensees checked for compliance by state agencies (nicluding random checks) Number of licensees that failed state compliance checks 432 Number of licensees that failed state compliance checks 109/30/2019 Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations N/A	State law enforcement agencies use:	
Party Patrol Operations or Programs Underage Alcohol-Related Fatality Investigations Local law enforcement agencies use: Cops in Shops No Shoulder Tap Operations Party Patrol Operations or Programs Underage Alcohol-Related Fatality Investigations State has a program to investigate and enforce direct sales/shipment laws Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Number of minors found in possession' by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² State conducts underage compliance checks/decoy operations Data are collected on these activities Number of retail licensees in state³ 10,300 Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Number of licensees that failed state compliance checks A32 Number pertain to the 12 months ending Compliance checks/decoy operations onducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Yes Number of licensees that failed state compliance checks/decoy operations Ny/A	Cops in Shops	No
Underage Alcohol-Related Fatality Investigations Local law enforcement agencies use: Cops in Shops No Shoulder Tap Operations Party Patrol Operations or Programs Underage Alcohol-Related Fatality Investigations No State has a program to investigate and enforce direct sales/shipment laws Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Number of minors found in possession¹ by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Yes Number of retail licensees in state³ 10,300 Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Number of licensees subject to r	Shoulder Tap Operations	No
Local law enforcement agencies use: Cops in Shops No Shoulder Tap Operations Party Patrol Operations or Programs Underage Alcohol-Related Fatality Investigations State has a program to investigate and enforce direct sales/shipment laws Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Number of minors found in possession¹ by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ Number of retail licensees in state³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Number of licensees checked for compliance checks Number of licensees that failed state compliance checks Number of licensees that failed state compliance checks Number of licensees checked for operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees checked for candom state compliance checks/decoy operations Number of licensees checked for candom state compliance checks/decoy operations Number of licensees checked for candom state compliance checks/decoy operations Number of licensees checked for candom state compliance checks/decoy operations Number of licensees checked for random state compliance checks/decoy operations Number of licensees checked for random state compli	Party Patrol Operations or Programs	Yes
Cops in Shops Shoulder Tap Operations Party Patrol Operations or Programs Underage Alcohol-Related Fatality Investigations State has a program to investigate and enforce direct sales/shipment laws Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Number of minors found in possession¹ by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks decoy operations Number of licensees that failed state compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations	Underage Alcohol-Related Fatality Investigations	Yes
Shoulder Tap Operations Party Patrol Operations or Programs Underage Alcohol-Related Fatality Investigations No State has a program to investigate and enforce direct sales/shipment laws Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Number of minors found in possession¹ by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Number of licensees subject to random	Local law enforcement agencies use:	
Party Patrol Operations or Programs Underage Alcohol-Related Fatality Investigations State has a program to investigate and enforce direct sales/shipment laws Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies State collects data on the number of minors found in possession Number of minors found in possession¹ by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ 10,300 Number of licensees checked for compliance by state agencies (including random checks) Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations N/A	Cops in Shops	No
Underage Alcohol-Related Fatality Investigations State has a program to investigate and enforce direct sales/shipment laws Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Number of minors found in possession Number of minors found in possession Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations N/A	Shoulder Tap Operations	No
State has a program to investigate and enforce direct sales/shipment laws Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Number of minors found in possession¹ by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of icensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations N/A Yes Number of licensees subject to random state compliance checks/decoy operations N/A	Party Patrol Operations or Programs	No
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Number of minors found in possession¹ by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A		No
sales/shipments of alcohol to minors Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Number of minors found in possession by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Yes Number of retail licensees in state 10,300 Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A	State has a program to investigate and enforce direct sales/shipment laws	Yes
Such laws are also enforced by local law enforcement agencies No Enforcement Statistics State collects data on the number of minors found in possession Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations N/A	Primary state agency responsible for enforcing laws addressing direct	ΛΙΕΛ
Enforcement Statistics State collects data on the number of minors found in possession Number of minors found in possession¹ by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies Yes State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Nyes Number of licensees subject to random state compliance checks/decoy operations N/A		ALLA
State collects data on the number of minors found in possession Number of minors found in possession¹ by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A		No
Number of minors found in possession¹ by state law enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A		
enforcement agencies Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A		Yes
Number pertains to the 12 months ending Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A		2 178
Data include arrests/citations issued by local law enforcement agencies State conducts underage compliance checks/decoy operations² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A		
State conducts underage compliance checks/decoy operations ² to determine whether alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state ³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A		09/30/2019
alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state ³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A	Data include arrests/citations issued by local law enforcement agencies	Yes
alcohol retailers are complying with laws prohibiting sales to minors Data are collected on these activities Number of retail licensees in state ³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A	State conducts underage compliance checks/decoy operations ² to determine whether	Voc
Number of retail licensees in state ³ Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A	alcohol retailers are complying with laws prohibiting sales to minors	163
Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A	Data are collected on these activities	Yes
Number of licensees checked for compliance by state agencies (including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A	Number of retail licensees in state ³	10,300
(including random checks) Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A		
Number of licensees that failed state compliance checks Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A		6,995
Numbers pertain to the 12 months ending Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A		432
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A	·	09/30/2019
establishments State conducts random underage compliance checks/decoy operations Number of licensees subject to random state compliance checks/decoy operations N/A		
Number of licensees subject to random state compliance checks/decoy operations N/A		establishments
Number of licensees subject to random state compliance checks/decoy operations N/A	State conducts random underage compliance checks/decoy operations	Yes
		N/A
·		N/A
Local agencies conduct underage compliance checks/decoy operations to determine	·	
whether alcohol retailers are complying with laws prohibiting sales to minors Yes		Yes
Data are collected on these activities No		No
Number of licensees checked for compliance by local agencies	Number of licensees checked for compliance by local agencies	Not applicable
Number of licensees that failed local compliance checks Not applicable		
Numbers pertain to the 12 months ending Not applicable		
Sanctions	•	
State collects data on fines imposed on retail establishments that furnish to minors Yes	State collects data on fines imposed on retail establishments that furnish to minors	Yes
Number of fines imposed by the state ⁴ 1,119	Number of fines imposed by the state ⁴	1,119
Total amount in fines across all licensees \$696,950	· · · · · · · · · · · · · · · · · · ·	\$696.950

Smallest fine imposed

\$500

Largest fine imposed	\$1,000
Numbers pertain to the 12 months ending	12/31/2019
State collects data on license suspensions imposed on retail establishments specifically for furnishing to minors	Yes
Number of suspensions imposed by the state ⁵	8
Total days of suspensions across all licensees	208
Shortest period of suspension imposed (in days)	3
Longest period of suspension imposed (in days)	133
Numbers pertain to the 12 months ending	12/31/2019
State collects data on license revocations imposed on retail establishments specifically for furnishing to minors	Yes
Number of license revocations imposed ⁶	0
Numbers pertain to the 12 months ending	12/31/2019

Additional Clarification

No data

- ¹Or having consumed or purchased per state statutes.
- ² Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.
- ³ Excluding special licenses such as temporary, seasonal, and common carrier licenses.
- ⁴ Does not include fines imposed by local agencies.
- ⁵ Does not include suspensions imposed by local agencies.
- ⁶Does not include revocations imposed by local agencies.

Underage Drinking Prevention Programs Operated or Funded by the State

Drug Education Council

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report	Not applicable
URL for more program information	https://
	www.drugeducation.org
	/

Program Description: Drug Education Council (DEC) is a voluntary, nonprofit organization dedicated to promoting a drug-free society, preventing chemical dependency, and providing quality education, information, and intervention programs. DEC is located in Mobile, Alabama, and it is certified in prevention services through the Alabama Department of Mental Health (ADMH). DEC uses three of the six Center for Substance Abuse Prevention (CSAP) strategies, to include information dissemination, community-based processes, and problem identification and referral. DEC's target focus is high-risk youth in rural, urban, and suburban communities. The council provides an array of prevention services and community service activities to youth through evidence-based programming for universal populations. DEC provides information dissemination with a focus on the "Talk. They Hear You." Campaign. DEC promotes community-based processes by focusing on coalition-building and stakeholder activities to include youth-adult partnerships addressing community issues; needs assessments and resource assessments; community and volunteer training; multiagency coordination and collaboration/coalition; community teambuilding activities; and coalitions, collaborations and/or wellness teams, town hall meetings, and coalition meetings. DEC incorporates the problem identification and referral strategy by utilizing the "Staying Connected with Your Teen" program.

Α	Icohol	and	Drug.	Abuse	Treatment	Center,	inc.
---	--------	-----	-------	-------	-----------	---------	------

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data

Program has been evaluated Yes
Evaluation report is available No
URL for evaluation report Not applicable
URL for more program information https://adatc.org/

Program Description: Alcohol and Drug Abuse Treatment Center, Inc. (ADATC) is a certified prevention provider located in Birmingham, Alabama. ADATC utilizes four of the six CSAP strategies—education, environmental, community-based processes, and alternatives—to implement prevention services to high-risk youth. These services include coordinated efforts with the Boys and Girls Club, law enforcement, education, and Children's Policy Council. Strategies are implemented in a variety of mediums at various venues in the community, including "Too Good for Drugs and Violence" (an evidence-based curriculum), recreational and social events, town hall meetings, a youth prevention network of peer leaders/helpers, community service projects, and others. The environmental strategy focuses on compliance checks and the utilization of the "Parents Who Host, Lose the Most" campaign for all five counties served.

Aletheia House

Number of youth served Number of parents served Number of caregivers served Program has been evaluated Evaluation report is available URL for evaluation report URL for more program information No data
No data
No data
Yes
No
Not applicable
http://
www.specialkindofcarin
g.org/

Program Description: Aletheia House (AH) is a community-based organization committed to empowering individuals and the communities in which they live with the skills and services they need to become responsible for their own well-being. AH is certified as a substance abuse prevention provider by ADMH and provides prevention services to youth in the Birmingham area (considered urban), as well as Macon County (considered rural). AH utilizes education, alternative, and community-based process strategies to implement its prevention programs. AH uses the evidence-based Positive Action curriculum to deliver prevention programs during summer programs. Alternative strategies are implemented by providing a summer camp for youth, recognition events, culturally based activities, and intergenerational events that promote positive family and community interaction. AH anticipates serving approximately 100 youth through these efforts. Signature youth prevention programming includes Kids Who Care. The community-based process strategy is allowing for the creation of a "Teens Who Care" group and the organization of town hall meetings. It is anticipated that 40 teens will be recruited for Teens Who Care.

The Mountain Lakes Behavioral Healthcare Substance Abuse Prevention Program

Number of youth served Number of parents served Number of caregivers served Program has been evaluated Evaluation report is available URL for evaluation report URL for more program information No data
No data
No data
Yes
No
Not applicable
https://
www.mlbhcwebpage.co
m/

Program Description: The Mountain Lakes Behavioral Healthcare (MLBH) Substance Abuse Prevention Program focuses on utilizing the Too Good for Drugs curriculum with fifth grade students. MLBH is certified by ADMH to deliver prevention services. MLBH implements four of the six CSAP strategies: Education is implemented using the Too Good for Drugs evidence-based curriculum to fifth graders in Jackson and Marshall Counties. Alternative

strategies are implemented with students receiving prevention education through MLBH. The community-based process strategy is designed to create a subcommittee through the Children's Policy Council. The environmental strategy focuses on school policy and DUI checkpoints and utilizes the Talk. They Hear You. Campaign and the "Drive Sober or Get Pulled Over" campaign.

Wellstone Behavioral Health

Number of youth served No data
Number of parents served No data
Number of caregivers served No data
Program has been evaluated Yes
Evaluation report is available No
URL for evaluation report Not applicable
URL for more program information https://
www.wellstone.com/

Program Description: Wellstone is certified by ADMH to provide prevention services. Located in Huntsville, Alabama, Wellstone primarily serves the residents of Madison County in northern Alabama. Since merging with Mental Healthcare of Cullman, prevention programming also extends to Cullman County. It implements three of the six CSAP strategies in Cullman County to include information dissemination, environmental, and community-based processes.

Wellstone has established partnerships with the following agencies: Wallace State Community College, Cullman County Sheriff Office, Cullman County Police Department, Hanceville Police Department, Cullman County Coroner, The Bridge Adolescent Treatment Center, Cullman County Department of Human Resources, Cullman City and County Schools, coalitions, advocacy organizations, media, local churches, and businesses. In Cullman County, prevention programming is located on the campus of Wallace State Community College in Hanceville, Alabama. Prevention resources are available to all students and faculty Monday through Friday. The office provides a relaxed environment that promotes healthy peer interactions while providing information about the risks of alcohol/drug use and abuse. Through this program, the prevention coordinator has the opportunity to build and establish healthy relationships with students and coordinate efforts with campus police. The overall goal is to reduce underage drinking. The prevention coordinator works with campus law enforcement and the administration to collect data on related incidents and reviews infractions with campus police to identify areas to increase, enhance, or implement further prevention efforts. The goals related to the community-based process strategy include the creation of a community coalition and community needs assessment. The environmental strategy incorporates countywide DUI checkpoints.

Agency for Substance Abuse Prevention

Number of youth servedNo dataNumber of parents servedNo dataNumber of caregivers servedNo dataProgram has been evaluatedYesEvaluation report is availableNoURL for evaluation reportNot applicableURL for more program informationhttp://asaprev.com/

Program Description: The Agency for Substance Abuse Prevention (ASAP) is dedicated to strengthening community awareness through substance abuse education and prevention services provided to individuals, schools, industry, and affected individuals and families residing in the State of Alabama. ASAP implements four of the six CSAP strategies to include environmental, community-based processes, education, and alternatives. The agency also utilizes community events as additional forums. In addition, ASAP plans to focus on community planning for prevention efforts by the establishment of a subcommittee. The evidence-based program, "Mendez Too Good for Drugs," targets fifth through eighth graders in the Anniston City and Calhoun County area as an educational strategy. Alternative strategies include summer activities at the following locations: Tenth Street

Elementary; Boys and Girls Clubs: Norwood Community Center, Constantine Community Center, and Glen Addie Community Center. The summer enrichment activities include the implementation of recreational activities, community service, prevention board games, etc. organized and lead by ASAP. ASAP uses the environmental strategy through its coordination with law enforcement to identify "hot spots" in targeted geographic areas and initiate prevention initiatives and activities to prevent underage drinking and raise community awareness.

Northwest Alabama Mental Health Center

Number of youth served
No data
Number of parents served
No data
Number of caregivers served
No data
Program has been evaluated
Program has been evaluated
Ves
Evaluation report is available
URL for evaluation report
URL for more program information
No applicable
URL for more program information
Www.nwamhc.com

Program Description: Northwest Alabama Mental Health Center (NWAMHC) is certified by ADMH to provide prevention services. NWAMHC uses five of the six CSAP strategies, including education, alternatives, community-based processes, environmental, and problem identification and referral to address underage drinking prevention. NWAMHC uses various community events and venues to provide information, including student-designed materials, such as health fairs, Sober Prom activities, and kids promotional week. Too Good For Drugs is the evidence-based curriculum used in county schools, along with after-school and summer programs. Youth participating in educational programs are provided opportunities to participate in alternative activities in classroom sessions, after school, and during the summer. Problem identification and referral utilizes the "Project Toward No Drugs" curriculum. Community-based processes focus on community needs assessments and other collaborative efforts with community stakeholders. NWAMHC Prevention intends to collaborate with Colbert County Children's Policy Council and other community partner agencies for community events, capacity building, and networking. Environmental strategies consist of working with the ALEA in Walker County to increase compliance checks. Past efforts in this area resulted in significant reduction in purchase of alcohol by minors as reported by the ABC Board.

East Alabama Mental Health Center

Number of youth served
Number of parents served
Number of caregivers served
Number of caregivers served
No data
Program has been evaluated
Yes
Evaluation report is available
URL for evaluation report
URL for more program information
No data
Yes
No
No
No
Http://eamhc.org/

Program Description: East Alabama Mental Health Center (EAMHC) is certified by ADMH to provide prevention services. Located in Opelika, Alabama, it provides an array of prevention services through education, alternatives, and environmental prevention strategies. EAMHC is active and present in the community and assists with multiple community service projects. EAMHC utilizes Too Good for Drugs as an educational curriculum with high school students. EAMHC's environmental strategy is to work on school policy and implement the Talk. They Hear You. Campaign. EAMHC Prevention services also worked with community stakeholders to develop and implement a campaign to recognize vendors that are compliant in refusing to serve underage customers (i.e., compliance window clings, signs, posters, etc.). The recognition campaign aimed to encourage other vendors to refuse to sell to minors.

Altapointe Health Systems

Number of youth served

Number of parents served Number of caregivers served Program has been evaluated Evaluation report is available URL for evaluation report URL for more program information No data
No data
Yes
No
Not applicable
https://altapointe.org/

Program Description: Altapointe Health Systems is certified by ADMH and serves Baldwin County. Altapointe implements the information dissemination strategy to address underage drinking. The information dissemination strategy includes the distribution of the 2020 ID Checking guides to vendors and law enforcement and a media campaign that will be geofenced in the beach communities and run during the peak beach times of summer and spring break.

Mental Health Center of North Central Alabama/Quest Recovery Center

Number of youth servedNo dataNumber of parents servedNo dataNumber of caregivers servedNo dataProgram has been evaluatedYesEvaluation report is availableNoURL for evaluation reportNot applicableURL for more program informationhttps://
www.mhcnca.org/

Program Description: Mental Health Center (MHC) of North Central Alabama/Quest Recovery Center is located in Decatur, Alabama, and is certified by ADMH to provide prevention services. MHC implements four of the six CSAP strategies to address underage drinking. Too Good for Drugs and Violence is the educational curriculum utilized within the school system and community boys and girls clubs. Community-based processes aim to meet with community leaders, community stakeholders, and agency representatives to plan and implement prevention strategies throughout the community. Alternative strategies include community service activities, crafts, games, and physical activities at the Limestone County Boys and Girls Club during the school year and summer breaks. Environmental strategies include addressing the compliance rate through compliance checks and the media campaign Parents Who Host, Lose the Most.

Additional Underage Drinking Prevention Programs Operated or Funded by the State

Council on Substance Abuse-National Council on Alcoholism and Drug Dependency (COSA-NCADD) is a private nonprofit organization founded in 1973 to promote the understanding that dependence on alcohol and other drugs is a preventable and treatable disease. COSA is the state affiliate of the NCADD, and is a member of the Community Anti-Drug Coalition of America (CADCA). COSA is certified by ADMH, serving Montgomery (considered urban) and primary Black Belt areas (considered rural). COSA implements four of the six CSAP strategies to include community-based processes, alternatives, education, and environmental prevention. Activities include the implementation of the LifeSkills curriculum with elementary and high school students, creation of a student organization to provide peer prevention awareness that addresses young adult problems regarding drinking and underage/binge drinking, and drug-free recreational activities and school policy initiation. To support the school policy initiation, two underage drinking campaigns serve as the focus: "Let's Talk Prevention" and "5 Bees of Success." (https://cosancadd.org/)

SpectraCare Health Systems comprises a team of dynamic individuals who take pride in promoting physical and emotional health and wellness. Certified by ADMH, SpectraCare provides prevention services and implements four of the six CSAP strategies: environmental, information dissemination, education, and problem identification and referral. Prevention activities are designed to provide education and increase awareness among individuals and communities to promote choices that lead to healthy living. Comprehensive, evidence-based prevention activities are available to provide the tools necessary for healthy living. Services are provided in Barbour, Dale, Geneva,

Henry, and Houston counties. Underage drinking prevention activities include the utilization of Ripple Effects and Active Parenting evidence-based curricula to support the problem identification and educational strategies, and the implementation of the Parents Who Host, Lose the Most campaign. The campaign also serves as support to the environmental strategies that include school policy initiation and DUI checkpoints. (http://www.spectracare.org/our-services/community-services/prevention-services)

Parents Resource Institute Drug Education (PRIDE) of Tuscaloosa is certified by ADMH, serving Bibb, Pickens, Tuscaloosa, Sumter, Marengo, Hale, Greene, and Choctaw Counties. PRIDE implements five of the six CSAP strategies, to include environmental, community-based processes, information dissemination, alternatives, and education. PRIDE remains the only community-based nonprofit organization in the Tuscaloosa area that is dedicated to drug and alcohol prevention, education, and awareness. PRIDE implements strategies to address underage drinking and binge drinking through provision of services to students ages 18-25 in the community college system. Educational and alternative strategies are provided within Pickens County to address ages 12-18. PRIDE continues to attain goals within the community by forming partnerships with Shelton State Community College, the University of Alabama, the Tuscaloosa County District Attorney's Office, the West Alabama Narcotics Task Force, the Tuscaloosa Police Department, the County Sheriff's Office, the Northport Police Department, the Children's Policy Council, the Tuscaloosa Mental Health Alliance, the West Alabama Chamber of Commerce, and multiple community organizations. Additional strategy implementation includes a media campaign, establishment of a subcommittee through the Children's Policy Council, and school policy initiation. In addition, collaboration with local police departments to increase patrols around bars and areas known for high alcohol consumption in those ages 18-25 resulted in a 5 percent decrease in DUIs (http://www.prideoftuscaloosa.org/).

South Central Alabama Mental Health Center (SCAMHC) is certified by ADMH and provides prevention services in Butler, Coffee, Covington, and Crenshaw Counties. SCAMHC utilizes four prevention strategies to address underage drinking. These strategies include education, alternatives, community-based processes, and environmental. The educational strategy provides Mental Health First Aid (MHFA) to community members and Too Good for Drugs to fifth-eighth grade students. Students participating in the educational strategy also participate in the alternative program, which is delivered in an after-school setting that is inclusive of substance-free activities. SCAMHC employs the environmental strategy through the collaboration with local law enforcement to provide DUI checkpoints and the promotion of the "Underage Under Arrest" campaign. In addition, SCAMHC aims to establish a subcommittee focusing on alcohol and other substances through the Children's Policy Council. (https://www.scamhc.org/)

Additional Clarification

No data

Additional Information Related to Underage Drinking Prevention Programs

State collaborates with federally recognized tribal governments in the prevention of underage drinking

Description of collaboration: Not applicable

State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing

Yes

No

Description of program: ADMH currently certifies 25 community organizations to provide substance abuse prevention services and contracts with 17* providers covering all 67 counties throughout Alabama. Fifteen of the contracted providers currently receive Block Grant funds; three are state-funded; two are subrecipients of State Prevention Framework Partnerships for Success (SPF PFS) discretionary grant funds implementing services in eight counties; four are funded through the Strategic Prevention Framework for Prescription Drugs (SPF Rx); ten are funded through the State Opioid Response (Opioid SOR); and four are funded through the Overdose Data to Action funding opportunities. *Note: Some agencies are multifunded grant initiative

awardees.		
State collaborates with/participates in media campaigns to prevent underage drinking	Yes	
Federal campaigns: "Talk. They Hear You." (SAMHSA); Drive Sober or Get Pulled Over	Yes	
Regional and local media campaigns: 5 Bees of Success; Let's Talk Prevention; Under	Yes	
Age Under Arrest		
Local school district efforts:	No	
Other: Parents Who Host, Lose the Most	Yes	
State collaborates with/participates in SAMHSA's national media campaign, "Talk. They	Yes	
Hear You." (TTHY)		
State officially endorses TTHY efforts	No	
State commits state resources to TTHY	No	
State forwards TTHY materials to local areas	Yes	
Other:	No	
State procures funding for TTHY	No	
Pro bono	Not applicable	
Donated air time	Not applicable	
Earned media	Not applicable	
Other:	Not applicable	
State has adopted or developed best practice standards for underage drinking prevention	Yes	
programs		
Agencies/organizations that established best practices standards		
Federal agency(ies): SAMHSA/CSAP/SPF	Yes	
Agency(ies) within your state: Alabama Department of Mental Health Substance Abuse	Yes	
Prevention Standards		
Nongovernmental agency(ies):	No	
Other: Evidence-Based Practices Resource Center	Yes	
Best practice standards description: To ensure compliance, all certified agencies are provide	_	
SPF Model and must utilize this model in the development of their prevention plans. All pro		
to State Prevention Standards, which include standards for personnel, performance improvement,		

documentation, and prevention records, and community planning and definitions. Strategy implementation

Additional Clarification

No data

State Interagency Collaboration

A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities

Yes

Committee contact information:

Name: Brandon Folks

Email: brandon.folks@mh.alabama.gov

Address: 100 North Union Street, RSA Suite 420, Montgomery, AL, 36130

Phone: 334-242-3230

Agencies/organizations represented on the committee:

Substance Abuse and Mental Health Services Administration

must encompass use of evidence-based programs and practices.

Agency for Substance Abuse Prevention Alabama Department of Corrections Alabama State Department of Education

Auburn University at Montgomery

Drug Education Council

Alabama Department of Human Resources Alabama Department of Public Health Alabama State University

University of Alabama Tuscaloosa

COMPACT2020

Healthy Sexual Solutions, LLC

Family Guidance Center

Addiction Prevention Coalition

Council on Substance Abuse

Cherokee, Etowah, Dekalb Mental Health Center

SpectraCare Health Systems

Medical Advocacy and Outreach

Franklin Primary Health Center, Inc.

AIDS Alabama

Alabama Children's Policy Council

Mental Health Center of North Central Alabama

Alabama Administrative Office of Courts

Parent Resource Institute for Drug Education

Sylacauga Alliance for Family Enhancement

A website or other public source exists to describe committee activities

URL or other means of access: https://mh.alabama.gov/prevention/

Yes

Underage Drinking Reports

State has prepared a plan for preventing underage drinking in the last three years

Yes

Prepared by: ADMH, Office of Prevention, State Prevention Advisory Board, Alabama Epidemiological

Outcomes Workgroup

Plan can be accessed via: No data

State has prepared a report on preventing underage drinking in the last three years

Yes

Prepared by: ADMH, Mental Health and Substance Services Division, Office of Prevention

Report can be accessed via: https://mh.alabama.gov/prevention/

Additional Clarification

No data

State Expenditures for the Prevention of Underage Drinking	
Compliance checks in retail outlets:	
Estimate of state funds expended	\$20,419.65
Estimate based on the 12 months ending	09/30/2019
Checkpoints and saturation patrols:	
Estimate of state funds expended	Not applicable
Estimate based on the 12 months ending	09/30/2019
Community-based programs to prevent underage drinking:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
K–12 school-based programs to prevent underage drinking:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Programs targeted to institutes of higher learning:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Programs that target youth in the juvenile justice system:	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
Programs that target youth in the child welfare system:	
Estimate of state funds expended	Data not available

Estimate based on the 12 months ending	Data not available
Other programs:	
Programs or strategies included: Data not available	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

Funds Dedicated to Underage Drinking

State derives funds dedicated to underage drinking from the following revenue streams:

Taxes	N
Fines	N
Fees	N
Other: Not applicable	N

Description of funding streams and how they are used:

Not applicable

Additional Clarification

Pertaining to expenditures for compliance checks: The cost of evidence for fiscal year 2019 was \$1,461.65 and our minor op payments were \$18,958.00 for the total of \$20,419.65.

Complete data on the use of state funds for underage drinking prevention are not available. State funding data are only available for the Division of Mental Health & Substance Abuse Services. Of the state funds received by this agency, \$388,539 goes toward underage drinking prevention efforts through community programming and enforcement.