

# **Organization Workflow**

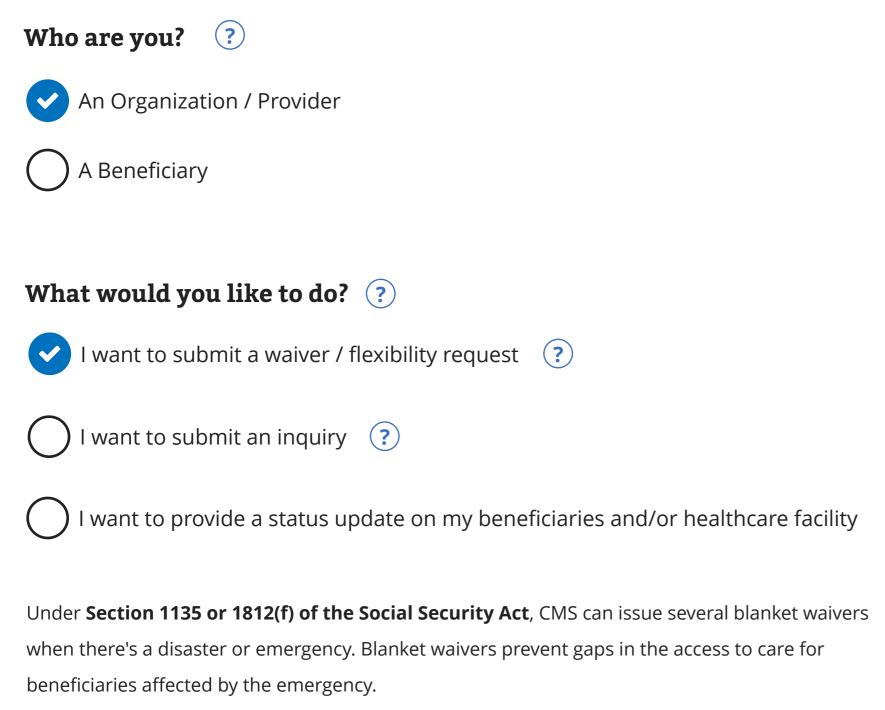
CMS 1135 Waiver / Flexibility Request



According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is **0938-1384 (Expires 05/31/2024)**. This is a **voluntary** information collection. The time required to complete this information collection is estimated to average **1 hour** per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850. **\*\*\*\*CMS Disclosure\*\*\*\* Please do not send applications, claims, payments, medical records or any documents containing sensitive information to the PRA Reports Clearance Office. Please note that any correspondence <b>not pertaining to the information collection burden approved under the associated OMB control number listed on this form will not be reviewed, forwarded, or retained. If you have questions or concerns regarding where to submit your documents, please contact Adriane <b>Saunders at Adriane.Saunders@cms.hhs.gov.** 

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If you have a request or inquiry, please use this form to submit your request to CMS.



When a blanket waiver is issued, providers don't have to apply for an individual waiver. If there's no blanket waiver, providers can ask for an individual Section 1135 waiver.

# Submit a waiver / flexibility request

	Select a Public Health Emergency Select the Public Health Emergency (PHE) that applies to your waiver request						
Public Health Emergency (P	PHE) (required) * ?						
Please select one	•						
2021 Hurricane Ida	08/26/2021 - 11/24/2021						
COVID-19	01/27/2020 - 04/20/2021						
California Wildfire	08/13/2020 - 10/31/2021 CA						
L							



#### **Provide Your Contact Information**

This will help keep you updated on your request's progress

## Point of Contact (?)

Who should CMS contact in response to this waiver request?

Email address (required) \*

**Confirm email address** (required) \*

First name (required) \*

Last name (required) \*

Phone number

(XXX)XXX-XXXX

#### Organization Information ?

Who is the organization making this request?

#### **Organization Name** (required) \*

#### State/US Territory/Federal District (required) \* ?

Alaska	California X Ne
	Nebraska
	Nevada
	New York

#### Organization Categories (required) \* ?

Who is the organization making this request?

General	Emergency Provider / Su	ıpplier Types	Other	
Advocacy	/ Group	State Go	overnment	Tribal Natio
Associati	on	Qualifie	d Health Plan	
Corporat	ion	State M	edicaid Agency	
] Medicare	e Advantage / Part D Plan	🔲 State Su	irvey Agency	

General	Emergency Provider / Suppli	er Types	Other	
Ambulate	ory Surgical Center (ASC)	🗌 Nursii	ng Homes (SNF/N	F)
Commur (CMHC)	nity Mental Health Center	Orgar	n Procurement Or	ganization (OPO)
	ensive Outpatient ation Facility (CORF)		atient Physical The py (OPT/ST)	erapy/Speech
Critical A	ccess Hospital (CAH)		ams of All-Inclusiv y (PACE)	ve Care for
End Stag	e Renal Disease (ESRD)		iatric Residential y (PRTF)	Treatment
Home He	ealth Agencies (HHA)		ous Non-Medical ition (RNCHI)	Health Care
Hospice			Health Clinic/Fed n Center (RHC/FQ	<b>y</b> (
Hospital		Trans	olant Center	
	liate Care Facility for Individuals llectual Disabilities (ICF/IID)			

General	Emergency Provider / S	upplier Types	Other
Ambulano	ce	Palliative	
Durable N	Medical Equipment (DME)	Physician	
🗌 Lab		Other	Other Organizat

#### Organization Identification Numbers (?)

What are the identification numbers for your organization?

These numbers will be different, depending on the categories you have selected for your organization including: CCN/Provider, Medicare Contract Number, or NPI.

For the categories selected above, use:

#### **IDENTIFICATION NUMBER**

Separate multiple identification numbers with a comma.

#### Request #1

3

Vaiver Request Type (required) *	?		
		•	
Click here if you do not see yo	ur Waiver Request Type	2	
Dogulation Polatod to this Pogu	ost (2)		
Regulation Related to this Requ	est (?)		
Request Description (required)	. ?		
Detail a brief summary of why the w	aiver is needed (For examp	le: CAH is sole community provid	ler

without reasonable transfer options at this point during the specified emergent event (e.g. flooding, tornado, fires, or flu outbreak). CAH needs a waiver to exceed its bed limit by X number of beds for Y days/weeks (be specific)) and the type of relief you are seeking.

Add another waiver request

#### Submit your request

#### Submit

#### Thank You! Your request has been successfully submitted.

Your case number is <Case#>

You will also receive an email confirmation summarizing your request and providing you with additional guidance.

WARNING: Individually identifiable health information in this system is subject to the Health Information Portability and Accountability Act of 1996 and the Privacy Act of 1974. Submission to the 1135 Waivers System that contains Protected Health Information (PHI) is a violation of these Acts. Questions containing PHI will be deleted from the system and not processed. For detailed information regarding safeguarding protected healthcare information or data, please refer to the "HIPAA Security Rule" (https://www.hhs.gov/hipaa/for-professionals/index.html).

INFORMATION NOT TO BE RELEASED TO PUBLIC UNLESS AUTHORIZED BY LAW: This information is for internal Government use only and has not been publicly disclosed. It may contain information that is privileged, confidential, or otherwise protected from disclosure under public law. Do not share Personally Identifiable Information (PII) and/or Protected Health Information (PHI). Unauthorized disclosure may result in prosecution to the full extent of the law.

CMS 1135 Waiver/Flexibility Request and Inquiry



A federal government website managed and paid for by the U.S Centers for Medicare & Medicaid Services. 7500 Security Boulevard, Baltimore MD 21244

## **Drop down options**

### PHE

2021 Hurricane Ida COVID-19 California Wildfire

08/26/2021 - 11/24/2021 01/27/2020 - 04/20/2021 08/13/2020 - 10/31/2020 CA

## State/US Territory/Federal District

Alabama Alaska American Samoa Arizona Arkansas Armed Forces America Armed Forces Europe Armed Forces Pacific California Colorado Connecticut Delaware Florida Georgia Guam Hawaii Idaho Illinois Indiana lowa Kansas Kentucky Louisiana Maine Marshall Islands Maryland Massachusetts Michigan Micronesia Minnesota Mississippi Missouri Montana Nebraska Nevada **New Hampshire** New Jersey New Mexico New York North Carolina North Dakota Northern Mariana Islands Ohio Oklahoma Oregon Palau Pennsylvania Puerto Rico Rhode Island South Carolina South Dakota Tennessee Texas US Virgin islands Utah Vermont Virginia Washington Washington D.C. West Virginia Wisconsin Wyoming

## Waiver/Flexibility Request Type

Accelerated Advanced Payment Accreditation Organizations: Survey, Certification, Quality and Enforcement Acute Care Hospital (ACH) Patient in Excluded Distinct Part Units Acute Care Hospitals (ACH) with Distinct Part Inpatient Psychiatric Units Allow individual's representative to render 1915(j) services Allow individual's representative to render 1915(k) services Allowing legally responsible individuals to render personal care services Allow Private Duty Nursing (PDN) services to be delivered by a graduate registered nurse and/or a graduate licensed practical nurse Alternate Treatment Sites Ambulance Ambulance Services - Medicare Ground Ambulance Data Collection System Ambulance Services - Ambulance Treat in Place Ambulatory Surgery Center (ASC): Survey, Certification, Quality and Enforcement Ambulatory Surgical Center (ASC): Payment Annual Wellness Visit (AWV) ASCs - Medical Staff **ASCs - Nursing Services** Bankruptcy **Beneficiaries Notices & Signature Requirements** Care for Excluded Inpatient Psychiatric Unit Patients in the Acute Care Unit of a Hospital: Allows IPPS and other acute care hospitals that need to relocate inpatients from psychiatric unit to an acute care bed and unit Care for Excluded Inpatient Psychiatric Unit Patients in the Acute Care Unit of a Hospital: Allows IPPS and other acute care hospitals that need to relocate inpatients from rehabilitation unit to an acute care bed and unit

Care for Patients in Extended Neoplastic Disease Care Hospitals Care for Patients in Extended Neoplastic Disease Care Hospitals - Comprehensive Care for Joint Replacement (CJR) Model Certification Certified Nursing Assistants: Survey, Certification, Quality and Enforcement Clinical Laboratory Improvement Amendments (CLIA): Survey, Certification, Quality and Enforcement CMHS - Quality assessment and performance improvement (QAPI) CMHS - Provision of Services CMHS - 40 Percent Rule Community Health Center (CHC): Payment Community Mental Health Center (CHC): Payment Community Mental Health Center (CHC): Survey, Certification, Quality and Enforcement Comprehensive Outpatient Rehabilitation facilities (CORF): Payment Comprehensive Outpatient Rehabilitation facilities (CORF): Survey, Certification, Quality and Enforcement Conditions of Participation (COP) **Cost Reports** Critical Access Hospital (CAH): Survey, Certification, Quality and Enforcement Critical Access Hospital (CAH): Waive the requirements that limit the number of beds to 25 and the length of stay to 96 hours Critical Access Hospital (CAH): Payment Diabetes Self-Management: Payment Diabetes Self-Management: Survey, Certification, Quality and Enforcement Durable Medical Equipment (DME): If lost, destroyed, irreparably damaged or otherwise rendered unusable, waive requirements such that face-to-face requirements, a new physician's order and new medical necessity doc **Eligible Practitioners Emergency Preparedness EMTALA:** Payment EMTALA: Survey, Certification, Quality and Enforcement End Stage Renal Disease (ESRD): Payment End Stage Renal Disease (ESRD): Survey, Certification, Quality and Enforcement Ensuring Correct Processing of Home Health Disaster Related Claims: Allow MACs to extend auto-cancellation date of Requests for Anticipated Payment (RAPs) during emergencies ESRD Facilities - Training Program and Periodic Audits ESRD Facilities - Defer Equipment Maintenance & Fire Safety Inspections ESRD Facilities - Emergency Preparedness ESRD Facilities - Ability to Delay Some Patient Assessments ESRD Facilities - Time Period for Initiation of Care Planning and Monthly Physician Visits ESRD Facilities - Dialysis Home Visits to Assess Adaptation and Home Dialysis Machine Designation ESRD Facilities - Home Dialysis Machine Designation – Clarification ESRD Facilities - Special Purpose Renal Dialysis Facilities (SPRDF) Designation Expanded ESRD Facilities - Dialysis Patient Care Technician (PCT) Certification ESRD Facilities - Transferability of Physician Credentialing ESRD Facilities - Expanding Availability of Renal Dialysis Services to ESRD Patients - Furnishing Dialysis Services on the Main Premises ESRD Facilities - Clarification for Billing Procedures **Evaluation and Management: Payment Exhaustion of Part A Benefits** Extended Repayment Schedule for Overpayments Extension for Inpatient Prospective Payment System (IPPS) Wage Index Revisions Extension for Inpatient Prospective Payment System (IPPS) Wage Index Revisions: Allows Hospital Wage Index development Time Table for hospitals to request revisions Extension for Medicare Geographic Classification Review Board (MGCRB) Applications: Allows an extension to the deadline of application re-classification requirements Extension of pre-existing fee-for-service prior authorizations Extension of timeframe for reinstatement of services and benefits for a fair hearing request after the date of the action Extension of timelines for state fair hearing requests and appeals Federally Qualified Health Center (FQHC): Payment Federally Qualified Health Center (FQHC): Survey, Certification, Quality and Enforcement Flexibility for Medicare Telehealth Services - Eligible Practitioners Flexibility for Medicare Telehealth Services - Audio-Only Telehealth for Certain Services Flexibility for Inpatient Rehabilitation Facilities Regarding the "60 Percent Rule" HHAs - Initial Assessments HHAs - Waive Onsite Visits for HHA Aide Supervision HHAs - Allow OTs, PTs, and SLPs to Perform Initial and Comprehensive Assessment for all Patients HHAs - 12-hour Annual In-service Training Requirement for Home Health Aides HHAs - Detailed Information Sharing for Discharge Planning for Home Health Agencies HHAs - Clinical Records HHAs - Training and Assessment of Aides HHAs - Quality Assurance and Performance Improvement (QAPI) Home Health Agency (HHA): Timeframe for OASIS transmission Home Health Agency (HHA): Payment Home Health Agency (HHA): Survey, Certification, Quality and Enforcement Home Infusion Therapy: Payment Home Infusion Therapy: Survey, Certification, Quality and Enforcement Hospice: Payment Hospice: Survey, Certification, Quality and Enforcement Hospice - Waive Requirement for Hospices to Use Volunteers Hospice - Comprehensive Assessments Hospice - Waive Non-Core Services Hospice - Waived Onsite Visits for Hospice Aide Supervision Hospice - Hospice Aide Competency Testing Allow Use of Pseudo Patients Hospice - 12 hour Annual In-service Training Requirement for Hospice Aides Hospice - Annual Training Hospital Inpatient: Payment Hospital Outpatient: Payment Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - EMTALA Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Verbal Orders Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Reporting Requirements Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Patient Rights [Only for hospitals that are considered to be impacted by a widespread outbreak of COVID-19] Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Sterile Compounding Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Detailed Information Sharing for Discharge Planning for Hospitals and CAHs Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Limiting Detailed Discharge Planning for Hospitals Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Medical Staff Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Medical Records Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Flexibility inPatient Self Determination Act **Requirements (Advance Directives)** Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Physical Environment Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Telemedicine Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Physician Services Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Anesthesia Services Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Utilization Review Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Written Policies and Procedures for Appraisal of Emergencies at Off Campus Hospital Departments [With respect to surge facilities only] Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Emergency Preparedness Policies and Procedures Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Quality Assessment and Performance Improvement Program Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Nursing Services Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Food and Dietetic Services Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Respiratory Care Services Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Expanded Ability for Hospitals to Offer Long-term Care Services ("Swing-Beds") for Patients Who do not Require Acute Care but do Meet the Skilled Nursing Facility (SNF) Level of Care Criteria as Set Forth at 42 CFR 409.31 Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Medicare Graduate Medical Education (GME) Affiliation Agreement Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - CAH Personnel Qualifications Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - CAH Staff Licensure Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - CAH Status and Location Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Temporary Expansion Locations Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Responsibilities of Physicians in CAHs Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - Long Term Care Hospitals - Site Neutral Payment **Rate Provisions** Hospitals, Psych. Hospitals, and CAHs, including Cancer Ctrs and LTCHs - CoP for COVID-19 Vaccinations Hospitals Classified as Sole Community Hospitals (SCHs) Hospitals Classified as Medicare-Dependent, Small Rural Hospitals (MDHs) Hospital: Survey, Certification, Quality and Enforcement Housing Acute Care Patients in Excluded Distinct Part Units: Allows the authority to house acute care inpatients in excluded distinct part units (where appropriate) **ICF/IID** - Staffing Flexibilities ICF/IID - Suspension of Community Outings ICF/IID - Suspend Mandatory Training Requirements ICF/IID - Modification of Adult Training Programs and Active Treatment Inpatient Rehab Facility (IRF): Survey, Certification, Quality and Enforcement Inpatient Rehab Facility (IRF): Payment Inpatient Rehabilitation Facility – Intensity of Therapy Requirement ("3-Hour Rule") Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICFs/IID): Survey, Certification, Quality and Enforcement Intermediate Care Facility (ICF): Payment Lab: Payment Level of Care Requirement Life Safety Code (LSC) Long Term Care Hospital (LTCH) Benefit Requirements LTC Facilities and SNFs and/or NFs - PASRR LTC Facilities and SNFs and/or NFs - Physical Environment LTC Facilities and SNFs and/or NFs - Resident Groups LTC Facilities and SNFs and/or NFs - Training and Certification of Nurse Aides LTC Facilities and SNFs and/or NFs - Physician Visits in Skilled Nursing Facilities/Nursing Facilities LTC Facilities and SNFs and/or NFs - Resident Roommates and Grouping LTC Facilities and SNFs and/or NFs - Resident Transfer and Discharge LTC Facilities and SNFs and/or NFs - Physician Services - Physician Delegation of Tasks in SNFs LTC Facilities and SNFs and/or NFs - Physician Services - Physician Visits LTC Facilities and SNFs and/or NFs - Physician Services - Note to Facilities LTC Facilities and SNFs and/or NFs - Quality Assurance and Performance Improvement (QAPI) LTC Facilities and SNFs and/or NFs - In-Service Training LTC Facilities and SNFs and/or NFs - Detailed Information Sharing for Discharge Planning for Long-Term Care (LTC) Facilities LTC Facilities and SNFs and/or NFs - Clinical Records LTC Facilities and SNFs and/or NFs - Paid Feeding Assistants Medicaid and CHIP (as of 3/13/2020) Medicare Appeals in Fee for Service (FFS), Medicare Advantage (MA) and Part D Medicare Provider Locations (Billing) Medicare Telehealth Minimum Data Set (MDS): Payment Minimum Data Set (MDS): Survey, Certification, Quality and Enforcement Modification of 60-Day Limit for Substitute Billing Arrangements (Locum Tenens) Modification of deadline for a face-to-face encounter for 1905(a)(7) home health state plan services Modification of deadline for annual review of the service plan required for the 1915(j) state plan benefit Modification of deadline for conducting annual monitoring and follow up activities for targeted case management Modification of deadline for conducting initial assessments for 1915(j) self-directed Personal Assistance Services Modification of deadline for initial evaluations of eligibility and assessments of functional needs for 1915(i) state plan benefits Modification of deadline for initial level of care determinations for 1915(c) HCBS waivers Modification of deadline for initial level of care determinations for 1915(k) state plan benefits Modification of deadline for level of care redeterminations for 1915(c) HCBS waivers Modification of deadline for initial level of care determinations for 1915(k) state plan benefits and 1915(c) HCBS waivers Modification of deadline for re-evaluations of eligibility and reassessments of functional needs for 1915(i) state plan benefits Modification of deadline for reassessments of functional need for 1915(k) state plan benefits Nursing Homes (SNF/NF): Survey, Certification, Quality and Enforcement **OASIS:** Payment OASIS: Survey, Certification, Quality and Enforcement Organ Procurement Organizations: Survey, Certification, Quality and Enforcement Outpatient Physical Therapy/Outpatient Speech Pathology: Payment Outpatient Physical Therapy/Outpatient Speech Pathology: Survey, Certification, Quality and Enforcement Part A or B Appeals Part A or B Claims Processing Part A or B Authorizations Part A or B Provider Audits Part A or B Provider Licensure Requirements Part A or B Provider Locations (Billing) Part A or B Provider: Payment Part B Drug Coverage Part B Drug Payment Part B Outpatient Claims Part B Outpatient: Payment Permit state and clinic to temporarily designate a clinic practioner's location as part of the clinic facility so that clinic services may be provided via telehealth Physical Environment for Multiple Providers/Suppliers - Inspection, Testing & Maintenance (ITM) under the Physical Environment Conditions of Participation - Specific Physical Environment Waiver Information Portable X-Ray: Payment Portable X-Ray: Survey, Certification, Quality and Enforcement Preadmission Screen and Resident Review (PASARR): Survey, Certification, Quality and Enforcement **Practitioner Locations Provider Enrollment Requirements** Provision of clinic services within scope without supervision of physician or dentist Psychiatric Residential Treatment Facility (PRTF): Payment Psychiatric Residential Treatment Facility (PRTF): Survey, Certification, Quality and Enforcement Religious Nonmedical Health Care Institution Coverage (RNHCI): Payment Religious Nonmedical Health Care Institution Coverage (RNHCI): Survey, Certification, Quality and Enforcement RHCs and FQHCs - Certain Staffing Requirements RHCs and FQHCs - Physician Supervision of NPs in RHCs and FQHCs **RHCs and FQHCs - Temporary Expansion Locations** 

Rural Health Clinic: Payment Rural Health Clinic: Survey, Certification, Quality and Enforcement

Safety Skilled Nursing Facility (SNF): 3-day Prior Hospitalization

Skilled Nursing Facility (SNF): For beneficiaries who exhausted their SNF benefits, renewed SNF coverage without first having to start a new benefit period

Skilled Nursing Facility (SNF): Timeframe for MDS assessments and transmission

Special Enrollment Period Specific LSC for Multiple Providers - Waiver Information - Alcohol-based Hand-Rub (ABHR) Dispensers

Supporting Care for Patients in LTCHs

Specific LSC for Multiple Providers - Waiver Information - Fire Drills

Specific LSC for Multiple Providers - Waiver Information - Temporary Construction Temporary provision of HCBS by entities also providing case management services under a temporary waiver of conflict of interest requirements

Temporary provision of HCBS in specified settings that have not been determined to meet HCBS settings criteria

Temporary suspension of fee-for-services prior authorization requirements Temporary suspension of Pre-Admission Screening and Annual Resident Review (PASRR) Level 1 and

Temporary suspension of Pre-Admission Screening and Annual Resident Review (PASRR) Level 1 and Level 2 assessments Transplant: Patient Care

Transplant: Payment

Transplant: Survey, Certification, Quality and Enforcement

Waive beneficiary and provider written consent of new or amended HCBS person-centered service plans

Waiver of certain provider enrollment requirements

Waiver of provider conditions to allow for provisions of services in alternative settings

# Help Tooltips

## Who are you?

This information helps CMS understand who you are so we can better assist you.

## What would you like to do?

Choose the applicable option below.

## I want to submit a waiver / flexibility request option

When there's a disaster or emergency, waivers and flexibilities help health care facilities give timely care to as many people who've been affected as possible. This means we're helping States, Federal Districts and U.S. territories to make sure people with Medicare and/or Medicaid continue to have access to care.

"Waiver" refers to a waiver or modification of a statutory requirement of the Social Security Act (Act) or its implementing regulations that may be waived or modified under the authority of § 1135 of the Act or § 1812(f). A "flexibility" is an agency policy or procedure that can be adjusted under current authority – and generally speaking, can be adjusted without reprogramming CMS's systems. CMS will implement these waivers and flexibilities as necessary and appropriate to accommodate the needs of those impacted by an emergency or disaster.

## I want to submit an inquiry request option

When there's a disaster or emergency, waivers and flexibilities help health care facilities give timely care to as many people who've been affected as possible. This means we're helping States, Federal Districts and U.S. territories to make sure people with Medicare and/or Medicaid continue to have access to care.

## I want to provide a status update on my patients and/or healthcare facility residents

You may use this option to report any impact on normal operations.

## Select a Public Health Emergency

Select the applicable Public Health Emergency from the dropdown list.

## **Provide Your Contact Information - Point of Contact**

CMS uses your contact information to send responses and ask follow up questions.

## **Organization Information**

An organization is an organized body of people with a particular purpose (e.g., State, Corporation, Health System, etc.). Please provide the required information for your organization.

## Organization Information - State/US Territory/Federal District dropdown

Choose all applicable States, US Territories and/or Federal Districts where your healthcare facilities are located.

## **Provide Your Contact Information - Organization Categories**

This provides CMS additional information on the type of organization requesting a waiver. Please select all applicable organizations by reviewing the data on all three tabs (At least one category must be selected).

## **Provide Your Contact Information - Organization Identification Numbers**

Indicate all applicable identification numbers for the healthcare facilities/providers affiliated with your organization impacted by the PHE.

## Describe Your 1135 Waiver / Flexibility Request

CMS uses this information to route your request to the appropriate area for faster response.

## Describe Your 1135 Waiver / Flexibility Request - Waiver Request Type dropdown

Start typing key words for your request. A list of waiver option(s) that match your key word(s) will appear to choose from.

# Describe Your 1135 Waiver / Flexibility Request - Regulation Related to this Request dropdown

Cite the regulation(s) you are requesting be waived (if applicable).



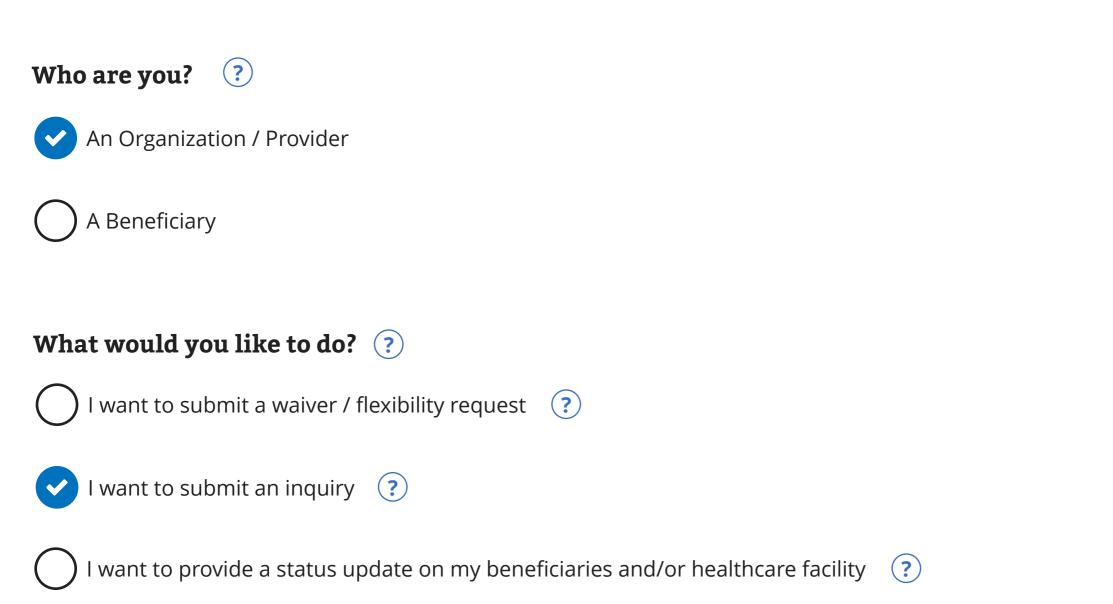
# **Organization Workflow**

CMS 1135 Inquiry Request



According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is **0938-1384 (Expires 05/31/2024)**. This is a **voluntary** information collection. The time required to complete this information collection is estimated to average **1 hour** per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850. **\*\*\*\*CMS Disclosure\*\*\*\* Please do not send applications, claims, payments, medical records or any documents containing sensitive information to the PRA Reports Clearance Office. Please note that any correspondence <b>not pertaining to the information collection burden approved under the associated OMB control number listed on this form will not be reviewed, forwarded, or retained. If you have questions or concerns regarding where to submit your documents, please contact Adriane <b>Saunders at <u>Adriane.Saunders@cms.hhs.gov.</u>** 

If you have a request or inquiry, please use this form to submit your request to CMS.



# Submit an inquiry

## Select a Public Health Emergency

Select the Public Health Emergency (PHE) that applies to your inquiry request

Public Health Emergency (PHE) (required) \* ?

2021 Hurricane Ida	08/26/2021 - 11/24/2021	▼



### **Provide Your Contact Information**

This will help keep you updated on your request's progress

#### Point of Contact (?)

Who should CMS contact in response to this inquiry request?

Confirm email address (required) *	
First name (required) *	
Last name (required) *	
Zip Code (required) * ?	
XXXXX	
Phone number	
(XXX)XXX-XXXX	

Organization Information ?

Who is the organization making this request?

**Organization Name** (required) \*

•

## **Organization Categories** (required) \* ?

Who is the organization making this request?

General Emergency Provider / Sup	plier Types Oth	ner
Advocacy Group	State Governm	ent 🗌 Tribal Nation
Association	Qualified Healt	h Plan
Corporation	State Medicaid	Agency
Medicare Advantage / Part D Plan	State Survey Ag	gency
General Emergency Provider / Sup	plier Types Oth	ner
Ambulatory Surgical Center (ASC)	Nursing Homes	s (SNF/NF)
Community Mental Health Center (CMHC)	Organ Procurer	ment Organization (OPO)
Comprehensive Outpatient Rehabilitation Facility (CORF)	Outpatient Phy Therapy (OPT/S	sical Therapy/Speech ST)
Critical Access Hospital (CAH)	Programs of All Elderly (PACE)	l-Inclusive Care for
End Stage Renal Disease (ESRD)	Psychiatric Resi Facility (PRTF)	idential Treatment
Home Health Agencies (HHA)	Religious Non-N	Medical Health Care CHI)
	Rural Health Cli	inic/Federally Qualified
Hospice	Health Center (	RHC/FQHC)

General	Emergency Provider / Su	upplier Types	Other		
🗌 Ambulan	се	Palliativ	ve		
Durable I	Medical Equipment (DME)	Physici	an		
🗌 Lab		Other	Other Or	ganization Category	

#### Organization Identification Numbers ?

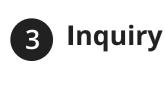
What are the identification numbers for your organization?

These numbers will be different, depending on the categories you have selected for your organization including: CCN/Provider, Medicare Contract Number, or NPI.

For the categories selected above, use:

#### **IDENTIFICATION NUMBER**

Separate multiple identification numbers with a comma.



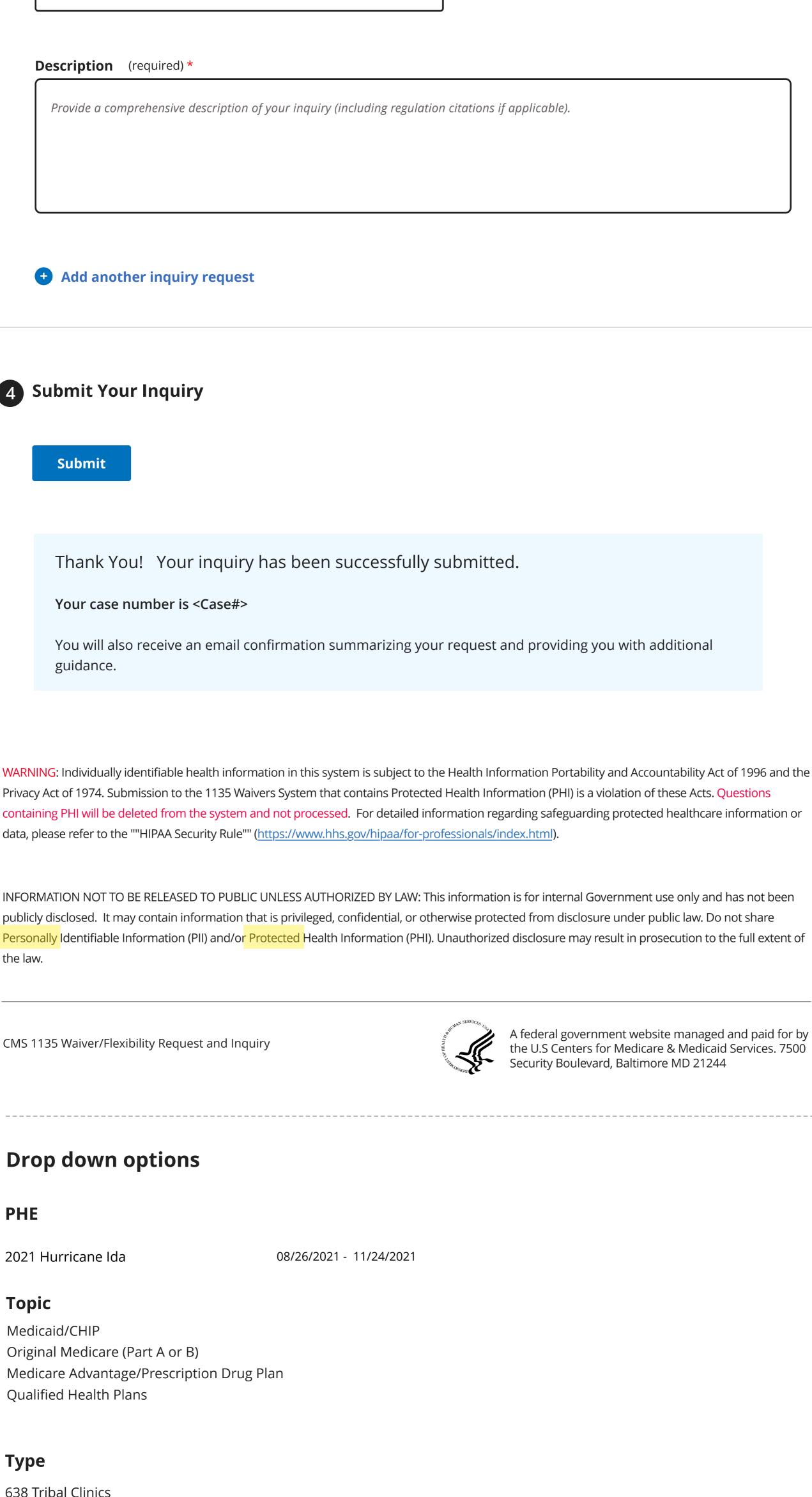
### Request #1

Торіс	(required) *	?		
Plea	se select an c	ption		•

Type (required) \* ?

Please select an option

Click here if you do not see your type



638 Tribal Clinics Original Medicare (Part A or B) Academia Medicare Advantage/Prescription Drug Plan Access To Care **Qualified Health Plans** Advocate Ambulance Ambulatory Care Center Appeals Appendix K Association/Society for Provider/Facility Attorney for Provider/Facility **Billing Agency** Consultant for Provider/Facility **Critical Access Hospital** Denials **Dialysis Facility** Eligibility Employer Facility Fair Hearings Federal/State Government Agency Federally Qualified Health Center (FQHC)

**General Public HCBS** Waivers Home Health Hospice Hospital **Insurance** Company Long Term Care Services And Supports Managed Care Medical Supplier/DME Nurse/Nurse Practitioner Nursing Home Other Payment Methodology/Rates Pharmacist/Pharmacy Physical/Occupational Therapy Physician Physician Assistant Provider – Mental Health Provider - Other **Provider Enrollment** Respite **Retainer Payments Rural Health Clinic** Rural Health Clinic (RHC) **Skilled Nursing Facility** State Agency Telehealth

## **Help Tooltips**

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### What would you like to do?

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"Waiver" refers to a waiver or modification of a statutory requirement of the Social Security Act (Act) or its implementing regulations that may be waived or modified under the authority of § 1135 of the Act or § 1812(f). A "flexibility" is an agency policy or procedure that can be adjusted under current authority – and generally speaking, can be adjusted without reprogramming CMS's systems. CMS will implement these waivers and flexibilities as necessary and appropriate to accommodate the needs of those impacted by an emergency or disaster.

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You may use this option to report any impact on normal operations.

### Select a Public Health Emergency

Select the applicable Public Health Emergency from the dropdown list.

### **Point of Contact**

CMS uses your contact information to send responses and ask follow up questions.

### **Organization Information**

An organization is an organized body of people with a particular purpose (e.g., State, Corporation, Health System, etc.). Please provide the required information for your organization.

### **Zip Code**

Please enter your 5 digit zip code.

#### **Organization Categories**

This provides CMS additional information on the type of organization requesting a inquiry. Please select all applicable organizations by reviewing the data on all three tabs (At least one category must be selected).

#### **Organization Identification Numbers**

Indicate all applicable identification numbers for the healthcare facilities/providers affiliated with your organization impacted by the PHE.

### Inquiry - Type dropdown

Choose your inquiry type from the dropdown list.

### Inquiry - Topic dropdown

Choose from the dropdown list which category your inquiry would fall under.



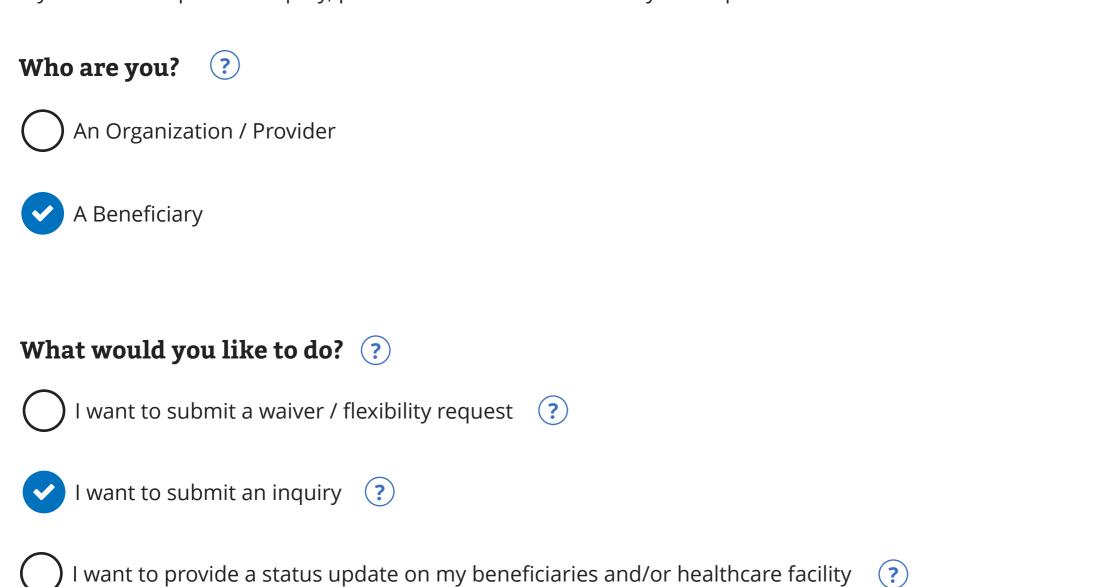
# **Beneficiary Workflow**

CMS 1135 Inquiry Request



According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is **0938-1384 (Expires 05/31/2024)**. This is a **voluntary** information collection. The time required to complete this information collection is estimated to average **1 hour** per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850. **\*\*\*\*CMS Disclosure\*\*\*\* Please do not send applications, claims, payments, medical records or any documents containing sensitive information to the PRA Reports Clearance Office. Please note that any correspondence <b>not pertaining to the information collection burden approved under the associated OMB control number listed on this form will not be reviewed, forwarded, or retained. If you have questions or concerns regarding where to submit your documents, please contact Adriane <b>Saunders at <u>Adriane.Saunders@cms.hhs.gov.</u>** 

If you have a request or inquiry, please use this form to submit your request to CMS.



# Submit an inquiry

## Select a Public Health Emergency

Select the Public Health Emergency (PHE) that applies to your inquiry request

Public Health Emergency (PHE) (required) \* ?

2021 Hurricane Ida	08/26/2021 - 11/24/2021	



### **Provide Your Contact Information**

This will help keep you updated on your request's progress

#### Point of Contact (?)

Who should CMS contact in response to this inquiry request?

Confirm email address (required) * First name (required) *	
First name (required) *	
First name (required) *	
First name (required) *	
Last name (required) *	
Zip Code (required) * ?	
XXXXX	
Phone number	
(XXX)XXX-XXXX	

Organization Information ?

Who is the organization making this request?

**Organization Name** (required) \*

- 1			
- 1			
- 1			
- 1			
- 1			
- 1			

General	Emergency Provider / Supp	lier Types	Other	
Advocac	y Group	State 🗌	Government	Tribal Nation
Associat	ion	Qualif	ied Health Plan	
Corpora	tion	State	Medicaid Agency	
_ Medicar	e Advantage / Part D Plan	State S	Survey Agency	
General	Emergency Provider / Supp	lier Types	Other	
Ambulat	tory Surgical Center (ASC)	🗌 Nursir	ng Homes (SNF/NF	)
Commu (CMHC)	nity Mental Health Center	🗌 Organ	n Procurement Org	anization (OPO)
Comprehensive Outpatient Rehabilitation Facility (CORF)			itient Physical Thei py (OPT/ST)	rapy/Speech
_	Access Hospital (CAH)		ams of All-Inclusive y (PACE)	e Care for
<ul> <li>End Stage Renal Disease (ESRD)</li> <li>Home Health Agencies (HHA)</li> <li>Hospice</li> </ul>		Psychiatric Residential Treatment Facility (PRTF)		
			ous Non-Medical H ition (RNCHI)	ealth Care
		Rural Health Clinic/Federally Qualified Health Center (RHC/FQHC)		
Hospital		Transp	olant Center	
	diate Care Facility for Individuals ellectual Disabilities (ICF/IID)			

Ambulance	Palliative
Durable Medical Equipment (DME)	Physician
Lab	Other Organization Category

Organization Identification Numbers	?
-------------------------------------	---

What are the identification numbers for your organization?

These numbers will be different, depending on the categories you have selected for your organization including: CCN/Provider, Medicare Contract Number, or NPI.

For the categories selected above, use:

### **IDENTIFICATION NUMBER**

Separate multiple identification numbers with a comma.

# 3 Inquiry

## Request #1

Topic (required) * ?	
Please select an option	•
<b>Type</b> (required) * ?	

 -
Please select an option

Click here if you do not see your type

Submit         Thank You! Your inquiry has been successfully submitted.         Your case number is <case#>         You will also receive an email confirmation summarizing your request and providing you with additional guidance.         ING: Individually identifiable health information in this system is subject to the Health Information Portability and Accountability Act of 19 y Act of 1974. Submission to the 1135 Waivers System that contains Protected Health Information (PHI) is a violation of these Acts. Question in the system and not processed. For detailed information regarding safeguarding protected healthcare informates refer to the ""HIPAA Security Rule"" (https://www.hhs.gov/hipaa/for-professionals/index.html).         MATION NOT TO BE RELEASED TO PUBLIC UNLESS AUTHORIZED BY LAW: This information is for internal Government use only and has no by disclosed. It may contain information that is privileged, confidential, or otherwise protected from disclosure under public law. Do not shally identifiable Information (PHI) and/or Protected Health Information (PHI). Unauthorized disclosure may result in prosecution to the full</case#>	Add another inquiry request Submit Your Inquiry Submit Thank You! Your inquiry has been successfully submitted. Your case number is <case#> You will also receive an email confirmation summarizing your request and providing you with additional</case#>				
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**Topic** Medicaid/CHIP Original Medicare (Part A or B) Medicare Advantage/Prescription Drug Plan Qualified Health Plans

## Туре

638 Tribal Clinics Original Medicare (Part A or B) Academia Medicare Advantage/Prescription Drug Plan Access To Care **Qualified Health Plans** Advocate Ambulance Ambulatory Care Center Appeals Appendix K Association/Society for Provider/Facility Attorney for Provider/Facility **Billing Agency** Consultant for Provider/Facility Critical Access Hospital Denials **Dialysis Facility** Eligibility Employer Facility Fair Hearings Federal/State Government Agency Federally Qualified Health Center (FQHC)

General Public **HCBS** Waivers Home Health Hospice Hospital **Insurance Company** Long Term Care Services And Supports Managed Care Medical Supplier/DME Nurse/Nurse Practitioner Nursing Home Other Payment Methodology/Rates Pharmacist/Pharmacy Physical/Occupational Therapy Physician Physician Assistant Provider – Mental Health Provider - Other Provider Enrollment Respite **Retainer Payments Rural Health Clinic** Rural Health Clinic (RHC) **Skilled Nursing Facility** State Agency Telehealth

## **Help Tooltips**

### Who are you?

This information helps CMS understand who you are so we can better assist you.

### What would you like to do?

Choose the applicable option below.

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### Inquiry - Type dropdown

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