

**Alternative Supporting Statement for Information Collections Designed for
Research, Public Health Surveillance, and Program Evaluation Purposes**

Assessing the Implementation and Cost of High Quality Early Care and Education: Field Test

OMB Information Collection Request

0970 - 0499

Supporting Statement

Part A

September 2021

Submitted By:
Office of Planning, Research, and Evaluation
Administration for Children and Families
U.S. Department of Health and Human Services

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Part A

Executive Summary

- **Type of Request:** This Information Collection Request (ICR) is for a reinstatement with changes. We are requesting 15 months of approval.
- **Progress to Date:** This ICR builds on earlier work of ACF's Assessing the Implementation and Cost of High Quality Early Care and Education (ECE-ICHQ) project. The original request (approved in August 2017) supported the creation of measures of center-based early care and education (ECE) implementation and costs and the first information collection for that effort has been completed. A revised request (approved in November 2019) supported a field test of the measures through data collection in 80 early care and centers. That effort was first launched in March 2020, was postponed due to the COVID-19 pandemic, started again in March 2021 and is currently underway. That effort included the implementation interview, cost workbook, and staff time-use survey. Most recently a request to add a teaching staff survey to the data collection in progress was approved. There are no changes proposed to the previously approved data collection. This request combines the administration of the time use survey and teaching staff survey to be at the same time and combines the tokens of appreciation and tokens of appreciation experiment for the surveys.
- **Timeline:** The timeline for the original request was met. Data collection for the second request that built on the original ICR by further testing and modifying the measures created under the original request was delayed due to the COVID-19 pandemic but is currently in progress. This request will maintain the recently approved timeline associated with adding a teaching staff survey to validate the newly created measures.
- **Previous Terms of Clearance:** There were no previous terms of clearance.
- **Summary of changes requested:** The current request is to field test refined instruments based on measures developed in previous phases of the study (implementation interview, cost workbook, and staff time-use survey) and includes an established, validated teaching staff survey to allow ACF to validate key program implementation measures or further improve their psychometric properties . The proposed revisions reflect previously-approved study methodology, with one change:
 - We propose to combine the administration of the staff time use survey and the Supportive Environmental Quality Underlying Adult Learning (SEQUAL) into one fall survey. By releasing the surveys at the same time, we will combine the instruments and tokens of appreciations and conduct one token of appreciation experiment.

We do not intend for this information to be used as the principal basis for public policy decisions.

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A1. Necessity for Collection

This information collection is part of the project, Assessing the Implementation and Cost of High Quality Early Care and Education (ECE-ICHQ), a study funded by the Administration for Children and Families (ACF) at the U.S. Department of Health and Human Services to further inform the development of measures of high quality early care and education program implementation and costs.

Study background

States and the federal government have increased financial support to improve the quality of early care and education (ECE) services for children aged birth to five. However, there is a lack of evidence on how to effectively target funds to increase ECE quality. ACF's Office of Planning, Research, and Evaluation (OPRE) contracted with Mathematica and consultant Elizabeth Davis of the University of Minnesota to conduct the ECE-ICHQ project to create an instrument to measure the implementation of key functions supporting quality in center-based ECEs and the associated costs.¹

Since the fall of 2014, the ECE-ICHQ study team has developed a conceptual framework (See Attachment A); conducted a review of the literature (Caronongan et al. 2016); consulted with a technical expert panel; collected and summarized findings from Phase 1 of the study (completed under ACF's generic clearance 0970-0355); and collected and summarized findings from Phase 2 of the study (completed under 0970-0499). The current approval expands the field test, currently in progress, to test the revised instruments (implementation interview, cost workbook, and staff time-use survey) based on the measures developed in previous phases of the study and updating them to include information about the COVID-19 pandemic. The study will validate the measures using an existing teaching staff survey—the Supportive Environmental Quality Underlying Adult Learning (SEQUAL)—and administrative data from Quality Rating Improvement Systems. We will also use the measures to examine preliminary associations between cost and quality.

Legal or administrative requirements that necessitate the collection

There are no legal or administrative requirements that necessitate this collection. ACF is undertaking the collection at the discretion of the agency.

A2. Purpose

Purpose and Use

The purpose of this information collection is to field test instruments using measures developed in previous phases of the study and updated to include information about the COVID-19 pandemic (implementation interview, cost workbook, and staff time-use survey). The goals are to (1) refine the implementation measures to further improve their psychometric properties, and (2) test potential associations between implementation, cost, and quality measures.

The information will be used for two main purposes. First, the information about the methods of creating the tools will be documented (in technical reports and journal articles) to assist the field in understanding the measures development process. Second, the information will help ACF produce valid

¹

The ECE-ICHQ conceptual framework includes five key functions: (1) structural supports for instruction and caregiving; (2) instructional planning, coordination, and child assessment; (3) center administration and planning; (4) child and family support; and (5) workforce development

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tools to measure how centers use resources to support high-quality early care and education and identify any preliminary associations between cost and quality. Final reports, presentations, and possibly journal articles will be avenues for dissemination. Data from the field test may be archived at the Child and Family Data Archive at the University of Michigan for future research and analyses by qualified researchers.

The information collected is meant to contribute to the body of knowledge on ACF programs. It is not intended to be used as the principal basis for a decision by a federal decision-maker, and is not expected to meet the threshold of influential or highly influential scientific information.

Research Questions or Tests

The table below presents the research questions for the full ECE ICHQ study. The field test proposed in this ICR is focused on refining implementation measures and initial testing for observed associations between implementation, cost, and quality measures.

| Questions focused on ECE centers: |
|--|
| Are differences in center characteristics, contexts, and conditions related to implementation and costs? |
| What key center-level and classroom-level functions do center-based ECE providers pursue, and what implementation activities support each function? |
| What are the costs associated with the implementation of key functions? |
| How do staff members use their time in support of key functions within the center? |
| Questions focused on the purpose and relevance of the measures for policy and practice: |
| How can implementation and cost data be aligned to produce relevant and useful evidence to inform decisions about implementation activities and key functions likely to lead to quality improvement? |

Study Design

The field test will build on earlier data collection efforts: Phase 1 (completed under ACF’s generic clearance 0970-0355) and Phase 2 (completed under 0970-0499) of the study. During the field test, we will collect data from 80 centers in five states. We will start with a smaller sample of centers from Phase 2 to test the feasibility of the measures in the current COVID-19 context and then recruit new centers to achieve the 80 center sample. We will collect data through telephone interviews, electronic cost workbooks, time-use surveys (web-based) and classroom observations. Table A.1 includes each of the data collection activities by respondent and format.

Table A.1. Data collection activities for the ECE-ICHQ field test

| Data collection activity | Respondents | Format | Estimated time to complete | Purpose |
|--|--|-----------|----------------------------|---|
| Center recruitment call (Instrument 1) | Site administrator or center director Umbrella organization | Telephone | 20 minutes | Discuss the study, recruit centers, and obtain agreements as needed |

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| Data collection activity | Respondents | Format | Estimated time to complete | Purpose |
|---|--|---|----------------------------|--|
| | administrator (as applicable) | | | |
| Center engagement call (Instrument 2) | Site administrator or center director | Telephone | 30 minutes | Collect information about the characteristics of the center |
| Implementation interview (Instrument 3) | Site administrator or center director Education specialist Umbrella organization administrator (as applicable) | Telephone | 3 hours | Gather information about what a center does to support quality early care and education. |
| Cost workbook (Instrument 4) | Financial manager at site Financial manager of umbrella organization (as applicable) | Excel workbook; telephone and email follow-up | 8 hours | Collect information on all costs for the center for the previous 12-months. |
| Initial staff rosters (Instrument 5) | Site administrator or center director | CADE on the web ^a | 15 minutes | Collect a list of potential respondents for the time-use survey |
| Time-use survey (Instrument 6) | Site administrator or center director Education specialist Lead and assistant teachers | Web | 11-15 minutes | Collect information on teaching and administrative staff time use that will help transform labor hours into costs associated with the key functions. |
| Center re-engagement call and roster update for fall survey (Instrument 7) | Site administrator or center director | Telephone and CADE (if needed) ^a | 30 minutes | Discuss the teaching staff survey as an added activity to the center's participation in the study and confirm list of teaching staff respondents for the teaching staff survey |
| Teaching staff survey (SEQUAL) | Lead and assistant teachers | Web | 30 minutes | Collect information about center practices and working environment from |

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| Data collection activity | Respondents | Format | Estimated time to complete | Purpose |
|--------------------------|-------------|--------|----------------------------|--|
| (Instrument 8) | | | | teaching staff to validate measures associated with the key functions. |

^aCADE = computer-assisted data entry

Other Data Sources and Uses of Information

This data collection is one component of the information that will be used. We intend to access administrative data from the state about the center’s quality rating and improvement system (QRIS). No burden will be required to access this data, but it will allow selection of sites and further validation of the measures.

A3. Use of Information Technology to Reduce Burden

Using feedback collected in Phase 1 of measurement development, the study team altered the approach to data collection for Phase 2 by relying on telephone interviews for the implementation interview and cost workbook rather than in-person data collection and offering a web-based version of the time-use survey. We will continue this approach in the proposed field test. As in Phase 2 of measurement development, a cost workbook will be provided in an electronic spreadsheet format that respondents can complete at their own pace and submit electronically. The time-use survey and SEQUAL teaching staff survey will be available in a web-based application or hard copy form to accommodate the preferences and schedules of center staff. Study team members will provide individualized telephone and email follow-up as necessary.

A4. Use of Existing Data: Efforts to reduce duplication, minimize burden, and increase utility and government efficiency

None of the study instruments will ask for information that can be reliably obtained from alternative data sources, in a format that assigns costs to key functions. No comparable data have been collected on the costs of key functions associated with providing quality services at the center level for ECE centers serving children from birth to age 5.

Furthermore, the design of the study instruments ensures no duplication of data collected through each instrument. Each center will complete one cost workbook and one implementation interview; these have been developed to be complementary to obtain necessary information with the least burden to respondents.

A5. Impact on Small Businesses

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The team will recruit small ECE centers (those serving fewer than 100 children and having fewer than five classrooms) to participate. To minimize the burden on these centers, the study team will carefully schedule telephone interviews with the directors and managers at times that are most convenient for them, and when it will not interfere with the care of children. For example, the team will schedule interviews with directors in the early mornings or late afternoons when there are fewer children at the center. The team will not interview teachers; teachers will be able to complete the time use and teaching staff surveys (via web) when it is convenient for them. Respondents will be able to complete the cost workbook at their own pace, at times convenient to their schedules.

A6. Consequences of Less Frequent Collection

This is a one-time data collection.

A7. Now subsumed under 2(b) above and 10 (below)

A8. Consultation

Federal Register Notice and Comments

In accordance with the Paperwork Reduction Act of 1995 (Pub. L. 104-13) and Office of Management and Budget (OMB) regulations at 5 CFR Part 1320 (60 FR 44978, August 29, 1995), ACF published a notice in the Federal Register announcing the agency's intention to request an OMB review of this information collection activity. This notice was published on June 18, 2019, Volume 84, Number 117, page 28305-28306, and provided a sixty-day period for public comment. During the notice and comment period, no substantive comments were received.

ACF published another notice in the Federal Register announcing the agency's intention to request an OMB review to add the SEQUAL teaching staff survey to this information collection activity. This notice was published on April 30, 2021, Volume 86, Number 82, page 22969-22970, and provided a sixty-day period for public comment. During the notice and comment period, no comments were received.

Consultation with Experts Outside of the Study

In designing the ECE-ICHQ, the team drew on a pool of experts (See Table A.2) to complement the knowledge and experience of the study team. To ensure the representation of multiple perspectives and areas of expertise, the expert consultants included program administrators, policy experts, and researchers. Collectively, the study team and external experts have specialized knowledge in measuring child care quality, cost-benefit analysis, time-use analysis, and implementation associated with high quality child care.

Study experts have provided input to help the team (1) define what ECE-ICHQ will measure; (2) identify elements of the conceptual framework and the relationships between them; (3) make key decisions about the approach, sampling, and methods of all phases of the study, including the field test. Select members of the expert panel also reviewed findings from Phases 1 and 2 of measurement development and gave input on revisions to the data collection process and tools for the field test that would reduce the burden on respondents, improve the accuracy of data collection, and support development of systematic measures of implementation and costs across a range of ECE centers.

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Table A.2. ECE-ICHQ technical expert panel members

| Experts consulted for initial study design and Phase 1 (2014-2016) | |
|---|--|
| Name | Affiliation at time of consultation |
| Melanie Brizzi | Office of Early Childhood and Out of School Learning, Indiana Family Social Services Administration (no longer in this position) |
| Rena Hallam | Delaware Institute for Excellence in Early Childhood, University of Delaware |
| Lynn Karoly | RAND Corporation |
| Mark Kehoe | Brightside Academy (no longer in this position) |
| Henry Levin | Teacher's College, Columbia University |
| Katherine Magnuson | School of Social Work, University of Wisconsin-Madison |
| Tammy Mann | The Campagna Center |
| Nancy Marshall | Wellesley Center for Women, Wellesley College |
| Allison Metz | National Implementation Research Network, Frank Porter Graham Child Development Institute, University of North Carolina at Chapel Hill |
| Louise Stoney | Alliance for Early Childhood Finance |
| Experts consulted for Phase 2 and field test (2017-2021) | |
| Name | Affiliation |
| Sally Atkins-Burnett | Mathematica |
| Margaret Burchinal | Frank Porter Graham Child Development Institute, University of North Carolina |
| Rena Hallam | Delaware Institute for Excellence in Early Childhood, University of Delaware |
| JoAnn Hsueh | MDRC |
| Lynn Karoly | RAND Corporation |
| Nancy Marshall | Wellesley Center for Women, Wellesley College |
| Lorraine McKelvey | University of Arkansas for Medical Sciences, Department of Family and Preventive Medicine, Research and Evaluation Division |
| Marisa Schlieber | Center for the Study of Child Care Employment, University of California, Berkeley |

A9. Tokens of Appreciation

The multi-part, nested structure of this proposed data collection and analysis plan requires a high level of participation from center staff in the time-use survey. The team will present the time use survey (Instrument 6) and SEQUAL (Instrument 8) as a single two-part survey in the respondent invitations, with only teaching staff being invited to complete the second part. To support a successful data collection, the team will provide a \$50 token of appreciation to each teaching staff member that completes the combined time-use and teaching staff survey, estimated to total 45 minutes. The \$50 is the combined approved amount for the time use survey (approved at \$20) and the SEQUAL (approved at \$30). This

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amount of token of appreciation is in-line with those approved for other ECE studies. The team will conduct an experiment to compare a low pre-payment with a 50% pre-payment. Centers will be randomly assigned to two groups. Teaching staff at half of participating field test centers will receive a \$10 gift card with the initial survey invitation and an additional \$40 after completing the survey. Teaching staff in the other half of participating field test centers will receive a \$25 gift card with the initial survey invitation and an additional \$25 after completing the survey. We will then compare the speed of response, the response rate, and the data collection costs between the two groups. Eligible center administrators will only complete the 15-minute time-use survey. They will not be part of the experiment but we will provide them with a \$20 token of appreciation. They will receive a \$10 gift card with the initial survey invitation and an additional \$10 after completing the survey.

The goal is to ensure we have a large enough sample size for analysis and address lower-than-expected response rates and observable non-response bias in the data we collect. In Phase 1, a \$10 gift card was offered through field staff on site and, in combination with other techniques, supported a 90 percent response rate among center staff (over 70 percent completed on hard-copy). The increase in the gift card value will help offset the increased effort required of staff to access and complete a web-based survey and is expected to result in more center staff completing the surveys and getting the response rate for the surveys close to levels seen in Phase 2 of data collection. The addition of a *prepaid* token of appreciation is a mechanism to replicate the success of face-to-face contact with respondents. In addition, research has shown that offering a respondent a small token of appreciation with survey invitation materials (pre) and an additional token of appreciation after completing the survey (post) can be more effective in improving response rates compared to only offering a token of appreciation after completing the survey.^{2,3} While we are confident that the addition of a prepaid will improve the response because prepaid and postpaid gifts have a different motivating impact on response, the experiment will help us better understand the scale of the improvement for this population.

While proven effective in many settings, the prepaid gift card is somewhat less efficient because it is given to some potential respondents who never finish the survey. The dosage experiment will help guide the optimal balance between pre and post token gifts for future surveys of this population.

A10. Privacy: Procedures to protect privacy of information, while maximizing data sharing

Personally Identifiable Information

To enable the distribution of time-use and SEQUAL surveys, this study will collect names and email addresses of center staff. Information will not be maintained in a paper or electronic system from which data are actually or directly retrieved by an individuals' personal identifier.

Assurances of Privacy

Information collected will be kept private to the extent permitted by law. Respondents will be informed of all planned uses of data, that their participation is voluntary, and that their information will be kept

² Singer, Eleanor and Ye, Conge, 2013. *The Use and Effects of Incentives in Surveys*. The ANNALS of the American Academy of Political and Social Science. Volume 645, Issue 1, January 2013, Pages 112-141

³ Andrew Mercer, Andrew Caporaso, David Cantor, and Reanne Townsend. "How Much Gets You How Much? Monetary Incentives and Response Rates in Household Surveys." *Public Opinion Quarterly*, Volume 79, Issue 1, Spring 2015, pp 105-129.

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private to the extent permitted by law. As specified in the contract, the Contractor will comply with all Federal and Departmental regulations for private information.

Data Security and Monitoring

The study team (Mathematica) has developed a data safety and monitoring plan that assesses all protections of respondents' personally identifiable information. Mathematica will ensure that all of its employees and consultants who perform work under this contract are trained on data privacy issues and comply with the above requirements. Upon hire, every Mathematica employee signs a Confidentiality Pledge stating that any identifying facts or information about individuals, businesses, organizations, and families participating in projects conducted by Mathematica are private and are not for release unless authorized.

As specified in OPRE's contract, Mathematica will use Federal Information Processing Standard (currently, FIPS 140-2) compliant encryption (Security Requirements for Cryptographic Module, as amended) to protect all instances of sensitive information during storage and transmission. Mathematica will securely generate and manage encryption keys to prevent unauthorized decryption of information, in accordance with the Federal Processing Standard. Mathematica will (1) ensure that this standard is incorporated into the company's property management and control system; and (2) establish a procedure to account for all laptop computers, desktop computers, and other mobile devices and portable media that store or process sensitive information. Any data stored electronically will be secured in accordance with the most current National Institute of Standards and Technology requirements and other applicable federal and departmental regulations. In addition, Mathematica must submit a plan for minimizing to the extent possible the inclusion of sensitive information on paper records and for protecting any paper records, field notes, or other documents that contain sensitive or personally identifiable information to ensure secure storage and limits on access.

A restricted use data set will be created based on this data collection. Disclosure analyses will be done prior to releasing the data file, and masking of data will occur to ensure privacy of respondents. The data will be archived at the Child and Family Data Archive at the University of Michigan for future research and analyses by qualified researchers.

A11. Sensitive Information⁴

Calculating accurate estimates of center costs requires collecting information on staff compensation and other center operating costs. The study team will explain the importance of this information to respondents and will ask sites to report salary information only by staff title or initials, not personal name.

A12. Burden

⁴ Examples of sensitive topics include (but not limited to): social security number; sex behavior and attitudes; illegal, anti-social, self-incriminating and demeaning behavior; critical appraisals of other individuals with whom respondents have close relationships, e.g., family, pupil-teacher, employee-supervisor; mental and psychological problems potentially embarrassing to respondents; religion and indicators of religion; community activities which indicate political affiliation and attitudes; legally recognized privileged and analogous relationships, such as those of lawyers, physicians and ministers; records describing how an individual exercises rights guaranteed by the First Amendment; receipt of economic assistance from the government (e.g., unemployment or WIC or SNAP); immigration/citizenship status.

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Explanation of Burden Estimates

Newly requested information collections

Table A.3 summarizes the estimated reporting burden and costs for each of the study tools included in this information collection request. The estimates include time for respondents to review instructions, search data sources, complete and review their responses, and transmit or disclose information. Figures are estimated as follows:

1. **Center recruitment call** (Instrument 1). Based on Phases 1 and 2, the study team expects to reach out to 800 centers to secure the participation of the 80 centers necessary for this study. We anticipate the recruitment call with center directors to take about 20 minutes. The team anticipates that for three-quarters of centers that agree to participate (75 centers), they will need to speak with an administrator of a larger umbrella organization with which the center is affiliated to fully obtain agreement for the center's participation in the study. This discussion will be similar to the center recruitment call and will take about 20 minutes, on average.
2. **Center engagement call** (Instrument 2). The study team expects about 100 centers to agree to participate. When a center has agreed to participate, recruiters will use the second part of the recruitment and engagement call script, which is estimated to take about 30 minutes. Based on Phases 1 and 2, the study team assumes that 20 percent may withdraw after this step.
3. **Implementation interview** (Instrument 3). The team will conduct the three-hour implementation interview with the center director at each of the 80 centers. Based on the experience in Phase 2, the team anticipates that in one-quarter of the centers (20 centers), additional respondents will be involved in parts of the interview. On average, the team estimates that additional respondents in the 20 centers will be involved in up to 3 hours of interview time. The additional respondents could include an assistant center director, education program manager or specialist, or executive staff from an umbrella organization (such as a Head Start grantee, or corporate office of a chain).
4. **Electronic cost workbook** (Instrument 4). The financial manager at each center or umbrella organization will be the primary person to complete the cost workbook with support from the data collection team as necessary. In Phase 2, 11 centers had more than one respondent for the cost workbook.

Given the experience in Phase 2, the study team estimates that it will take 8 hours, on average, for respondents at each center to complete the cost workbook by assembling records, entering data, and responding to follow-up communication. The estimated average assumes some variation among centers in the extent to which respondents complete the workbook independently or with the assistance of the study team. The team further assumes that respondents in all centers will participate in follow-up communication to confirm the information provided and review portions of the workbook with members of the study team.

5. **Initial staff rosters** (Instrument 5). The study team will work with a center administrator to obtain a roster with contact information for all teaching staff and select center administrators as identified in the implementation interview. The team expects it will take about 15 minutes for the center administrator to provide information to complete the roster.
6. **Time-use survey** (Instrument 6). The study team will target the time-use survey to an average of 16 staff per center (1 or 2 administrators, up to 14 teaching staff) at each of the 80 centers, for a total of 1,280 center staff.

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- Spring and Summer: The time use survey was administered to 249 staff in 39 centers with an average estimated length of 15 minutes to complete before we paused data collection due to data errors (see Nonsubstantive Change Memo from September 2021 for details about these changes).
 - Fall 2021: The study team will administer a revised time use survey to all respondents in the fall of 2021. The team plans on an 87.5 percent response rate (1,120 respondents) and expects the revised time-use survey to take just over 11 minutes to complete.
7. **Center re-engagement call and roster update for the fall survey** (Instrument 7). The study team will contact 80 centers in fall 2021 that have completed implementation interviews and will be at various stages of cost data collection. A study team member will thank the site administrator or center director for their participation in the study and discuss the fall survey to invite the center’s participation in this additional activity that has been added to the data collection for the study. The study team estimates this call to take 20 minutes. During the call, the study team will work with the site administrator or center administrator to confirm or update the contact information for all eligible staff in a center. The study team will ask the center administrator to confirm that staff are still at the center and update any information that has changed for them. The study team will ask center administrators to remove staff who have left but not add new staff who have come to the center since the time of the implementation interview. The team expects it will take about 10 minutes for the center administrator to provide information to complete the roster.
8. **SEQUAL, teaching staff survey** (Instrument 8). The study team will target the teaching staff survey to an average of 14 teaching staff per center at each of the 80 centers, for a total of 1,120 teaching staff. The team expects the teaching staff survey to take 30 minutes to complete.

Estimated Annualized Cost to Respondents

Table A.3. Total burden requested under this information collection

| Instrument | Total/Annual number of respondents | Number of responses per respondent | Average burden hours per response | Annual burden hours | Average hourly wage | Total annual cost |
|-------------------------------------|------------------------------------|------------------------------------|-----------------------------------|---------------------|---------------------|-------------------|
| Center recruitment call | | | | | | |
| Center director | 800 | 1 | .33 | 264 | \$26.41 | \$6,972.24 |
| Umbrella organization administrator | 75 | 1 | .33 | 25 | \$26.41 | \$660.25 |
| Center engagement call | 100 | 1 | .50 | 50 | \$26.41 | \$1,320.50 |
| Implementation interview protocol | | | | | | |
| Center | 80 | 1 | 3 | 240 | \$26.41 | \$6,338.40 |

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| Instrument | Total/Annual number of respondents | Number of responses per respondent | Average burden hours per response | Annual burden hours | Average hourly wage | Total annual cost |
|--|---|---|--|----------------------------|----------------------------|--------------------------|
| director Additional center staff | 20 | 1 | 3 | 60 | \$26.41 | \$1,584.60 |
| Electronic cost workbook | 80 | 1 | 8 | 640 | \$26.41 | \$16,902.40 |
| Initial staff rosters | 80 | 1 | .25 | 20 | \$26.41 | \$528.20 |
| Time use survey (Spring and Summer 2021 Fielding) ⁵ | 249 | 1 | .25 | 62 | \$18.68 | \$1,158.16 |
| Fall 2021 fielding | 1,120 | 1 | .19 | 213 | \$18.68 | \$3,975.10 |
| Center re- engagement call and roster update for fall survey | 80 | 1 | .50 | 40 | \$26.41 | \$1,056.40 |
| SEQUAL teaching staff surveys | 1,120 | 1 | .50 | 560 | \$17.57 | \$9,839.20 |
| Estimated annual burden total | | | | 2,174 | | \$50,335.45 |

Total annual cost

The study team expects the total annual cost to collect information using all the instruments in the field test to be \$50,432.59. The annual cost for the SEQUAL teaching staff survey added in this information collection request is estimated at \$9,839.20.

The study team used the Bureau of Labor Statistics, Occupational Employment Statistics (2021)⁶ to estimate the average hourly wage and derive total annual costs. For each instrument included in Table A.3, the team calculated the total annual cost by multiplying the annual burden hours by the average hourly wage.

⁵ The burden presented in this row accounts for respondents who responded to the initial fielding of the time use survey (spring 2021) and will also be administered the revised time use survey in fall 2021 (see NonSubstantive Change Memo from September 2021 for details about these changes).

⁶ U.S. Bureau of Labor Statistics. "Occupational Employment and Wages." Washington, DC: U.S. Bureau of Labor Statistics, March 2021.

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The mean hourly wage of \$26.41 for education administrators of preschool and child care centers or programs (occupational code 11-9031) is used for center directors, education managers, and financial managers and applies to all data collection tools except the time-use survey. The mean hourly wage for preschool teachers (occupational code 25-2011) of \$17.57 is used for teachers and assistants. The study team calculated hourly average wage burden for the time-use survey based on 2 staff per center (an administrator and an education specialist) at \$26.41 and 14 child care staff per center at \$17.57, for an average of \$18.68. The study team calculated hourly average wage burden for the SEQUAL teaching staff survey based on 14 child care staff per center at \$17.57.

A13. Costs

Field testing is key to the development of valid, reliable, and practical data collection protocols. The study team has been approved to offer each participating center an honorarium of \$500 in recognition of the time and expertise that center staff contribute to the field test. Within each center, staff will (1) participate in interviews, (2) complete the cost workbook, (3) complete the initial staff roster, and (4) complete updated rosters to support the time use and SEQUAL teaching staff surveys. The honorarium is intended to both encourage center’s initial participation and recognize their efforts to coordinate a timely and complete data collection.

A14. Estimated Annualized Costs to the Federal Government

The total/annual cost for the data collection activities under this current request will be \$241,682. The total/annual cost for the data collection activities for the entire field test, including the teaching staff survey under this current request will be \$3,394,463. These amounts include direct and indirect costs of data collection.

| Cost Category | Estimated Costs for this Information Collection (SEQUAL teaching staff survey) | Estimated Costs for Full Field Test (including SEQUAL) |
|---|---|---|
| Instrument Development and OMB Clearance | \$76,997 | \$351,272 |
| Recruitment and Data Collection | \$79,498 | \$1,983,855 |
| Coding and Analysis | \$50,626 | \$852,527 |
| Publications/Dissemination | \$34,561 | \$206,809 |
| Total/Annual costs over the request period | \$241,682 | \$3,394,463 |

A15. Reasons for changes in burden

This request is for additional information collection under OMB #0970-0499 to validate the measures created under earlier collections.

A16. Timeline

**Alternative Supporting Statement for Information Collections Designed for
Research, Public Health Surveillance, and Program Evaluation Purposes**

Table A.4 shows the schedule for the field test. A first report, expected in fall 2022, will present findings based on data collected from the 12 centers included in the field test and in the prior Phase 2 of this study. Methodological findings of interest from Phase 2 may also be included. Preliminary findings from the field test analysis will be presented in a briefing to ACF by the end of the year.

Table A.4. Multi-case study schedule

| Task | Date |
|---|-----------------------------|
| Field test data collection | March 2021 to December 2021 |
| Report on subset of centers included in field test that participated in Phase 2 | Fall 2021 |
| Briefing on preliminary findings from the field test | December 2021 |
| Data available for secondary analysis | December 2022 |

A17. Exceptions

No exceptions are necessary for this information collection.

Attachments

- ATTACHMENT A: ECE-ICHQ CONCEPTUAL FRAMEWORK
- ATTACHMENT B: ADVANCE MATERIALS
- ATTACHMENT C: EMAIL AND LETTER TO SELECTED CENTERS
- ATTACHMENT D: IMPLEMENTATION INTERVIEW EMAIL
- ATTACHMENT E: COST WORKBOOK EMAIL
- ATTACHMENT F: FALL 2021 SURVEY OUTREACH
- INSTRUMENT 1: CENTER RECRUITMENT CALL SCRIPTS
- INSTRUMENT 2: CENTER ENGAGEMENT CALL SCRIPT
- INSTRUMENT 3: IMPLEMENTATION INTERVIEW PROTOCOL
- INSTRUMENT 4: COST WORKBOOK
- INSTRUMENT 5: INITIAL STAFF ROSTER
- INSTRUMENT 6: TIME-USE SURVEY
- INSTRUMENT 7: CENTER RE-ENGAGEMENT CALL SCRIPT AND ROSTER UPDATE FOR THE FALL 2021 SURVEY
- INSTRUMENT 8: SEQUAL TEACHING STAFF SURVEY (citation only; proprietary instrument)