

<b>Outbound</b>			
US DOL EBSA CE3 2019 Q2		Export Date: 12/2/19	
<b>US Department of Labor</b>			
Project #		Translations: YES	Verbatims: YES
Practice: Customer	QBank Id: 14958	Field Date: February, 2019	Quota:
Eldo Project:	Study Code:	Group ID:	
DOL EBSA QRT 1 2019			
PA:		CS: CAMILLE LLOYD	
		CS: DAWN ROYAL	
DESIGNER:		PROGRAMMER:	

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**CASEID**

QID:36526 I.D.#

CASEID(1-6)

**FVALIFON** ★

QID:1528 \*\*AREA CODE AND TELEPHONE NUMBER:

FVALIFON(1161-1179)

**CINTTIME** ★

QID:963 \*\*INTERVIEW TIME:

CINTTIME(1716-1721)

**SA**

QID:134507 DATE OF INTERVIEW:

SA(1931-1938)

**SB**

QID:134508 INQUIRY RECORD NUMBER (CONTROL\_ID):  
**(Programmer: Code from fone file)**

SB(60-74)

**SC**  
QID:134509 BENEFIT ADVISOR NUMBER/ID (STAFF):  
**(Programmer: Code from fone file)**  
  
SC(195-204)

**SD**  
QID:134510 DATE CLOSED (CLOSING\_DATE):  
**(Programmer: Code from fone file)**  
  
SD(245-264)

**SE**  
QID:45204 INQUIRER FIRST NAME (FIRST\_NAME):  
**(Programmer: Code from fone file)**  
  
SE(75-109)

**SF**  
QID:3213 INQUIRER LAST NAME (LAST\_NAME):  
**(Programmer: Code from fone file)**  
  
SF(110-154)

**SK**  
QID:47255 INQUIRER ZIP CODE (ZIP\_CODE):  
**(Programmer: Code from fone file)**  
  
SK(175-184)

SL

QID:134511

CLOSURE ANALYSIS (CLOSURE\_ANALYSIS):  
**(Programmer: Code from fone file)**

**(Programmer: Allow 5 entries)**

- 01 Benefit Claim – Assistance (BCA)
- 02 Recovery (BVR)
- 03 Referral for Enforcement (BVE)
- 04 Secondary Lead (BVESL)
- 05 Referral as Abandoned Plan (BVADV)
- 06 Not Valid (BN)

**# of Responses: 5**

SL(401-402)  
SL\_1(516-517)  
SL\_2(518-519)  
SL\_3(520-521)  
SL\_4(522-523)  
SL\_5(524-525)

SM

QID:134512

SUBJECT ENTRY CODE (SUBJECT):  
**(Programmer: Code from fone file)**

**(Programmer: Allow 5 entries)**

- 001 NBI: Not Benefits Issue
- 002 NCP: Not Covered Pension
- 003 NCW: Not Covered Welfare
- 004 NTI: Not Title I Issue
- 005 PRE: Pre-ERISA
- 006 UNK: Unknown
- 007 GER: General EBSA Request
- 008 RD: Reporting & Disclosure
- 009 PDD: Disclosure Requirements
- 010 PDR: Reporting Requirements
- 011 JSQDRO: Joint & Survivor, QDRO
- 012 PJS: Joint and Survivor
- 013 PQD: Qualified Domestic Relations  
Order
- 014 PF: Fiduciary
- 015 PFB: Bankruptcy
- 016 PFC: Participant Contributions
- 017 PFE: Employer Contributions
- 018 PFN: Abandoned Plan
- 019 PFA: Administrative Fees
- 020 PFF: Fund Investment Fees
- 021 PFI: Investment of Funds
- 022 PFD: Default Investments
- 023 PFT: Prohibited Transaction
- 024 PGA: Plan General Administration
- 025 PB: Pension Benefits
- 026 PBE: Benefit Eligibility
- 027 PBD: Benefit Distributions
- 028 PBC: Pension Benefits, Can't Locate  
Plan
- 029 PBS: Pension Benefits, Social Security  
Notice
- 030 WRD: Reporting & Disclosure
- 031 WDD: Disclosure Requirements
- 032 WDR: Reporting Requirements
- 033 WF: Fiduciary
- 034 WFA: Administrative Fees
- 035 WFB: Bankruptcy
- 036 WFI: Paid Premiums/Insurance  
Cancelled
- 037 WFS: Self-Insured, No Funds
- 038 WGA: Plan General Administration

- 039 WWB: Welfare Benefits
- 040 WBE: Benefit Eligibility/Participating
- 041 WBP: Benefit Payments
- 042 WRC: Retiree Health
- 043 WCOBRA: COBRA
- 044 WCE: COBRA Eligibility
- 045 WCU: COBRA Under 20 Employees
- 046 WCN: COBRA Notices
- 047 WCD: COBRA Duration Coverage
- 048 WCP: COBRA Premiums
- 049 WCS: COBRA Successor  
Plans/Employer
- 050 WCI: COBRA Conversion to Individual  
Coverage
- 051 COBRA\_CPA: COBRA Premium  
Assistance
- 052 WCX: General ARRA Assistance
- 053 WCC: COBRA Subsidy Denial
- 054 WHIPAA: HIPAA
- 055 WHP: HIPAA Pre-Existing Conditions
- 056 WHC: HIPAA Certificates of Creditable  
Coverage
- 057 WHS: HIPAA Special Enrollment Rights
- 058 WHD: HIPAA Discrimination/Health  
Status
- 059 WHG: HIPAA Group to Individual Policy
- 060 WHM: Mental Health Parity
- 061 WHN: Newborns' & Mothers' Act
- 062 WHW: Women's Health & Cancer  
Rights Act
- 063 WRA: Health Reform Assistance
- 064 OTH: Other

**# of Responses: 5**

SM\_1(501-503)  
SM\_2(504-506)  
SM\_3(507-509)  
SM\_4(510-512)  
SM\_5(513-515)

**SN**

QID:134513

REGION:

**(Programmer: Code from fone file)**

- 01 Atlanta and Miami (40 and 42)
- 02 Boston (31)
- 03 Chicago (50)
- 04 Cincinnati (43)
- 05 Dallas (63)
- 06 Kansas City (60)
- 07 Los Angeles (72)
- 08 New York (30)
- 09 Philadelphia and Washington DC (20 and 22)
- 10 San Francisco and Seattle (70 and 71)
- 11 National Office/OPA/DTAI (88)

SN(403-404)

**SQ**

QID:512331

OFFICE:

**(Programmer: Code from fone file)**

- 01 Atlanta (40)
- 02 Boston (31)
- 03 Chicago (50)
- 04 Cincinnati (43)
- 05 Dallas (63)
- 06 Kansas City (60)
- 07 Los Angeles (72)
- 08 Miami (42)
- 09 New York (30)
- 10 Philadelphia (20)
- 11 San Francisco (70)
- 12 Seattle (71)
- 13 Washington DC (22)

SQ(427-428)

**SO**

QID:134979

METHOD OF INQUIRY (CORRESPONDENCE\_TYPE):

**(Programmer: Code from fone file)**

- 01 Telephone (T)
- 02 Routine Mail (M)
- 03 Web site (W)

SO(405-406)

**SP**

QID:153217 INITIAL DATE OF INQUIRY (INQUIRY START DATE):  
**(Programmer: Code from fone file)**

SP(300-309)

**SR**

QID:134923 FORM  
**(Programmer: Randomly assign each respondent)**

- 1 Form 1
- 2 Form 2

SR(2002)

**SS**

QID:13xxx LEFT MESSAGE  
**(Programmer: Code from fone file)**

- 1 Waiting

SS(xxxxx)

INTRO1

QID:120821

**(Interviewer: ASK TO SPEAK TO INQUIRER NAME FROM FONE FILE:)**

Hello, this is \_\_\_\_\_, from The Gallup Poll. We are calling on behalf of the Employee Benefits Security Administration or EBSA (**say: E-B-S-A**) of the U.S. Department of Labor. Our records indicate that you recently contacted EBSA concerning a retirement, health, disability, or other employee benefits issue. We are conducting a very short poll about your interaction with this agency. It should take less than five minutes.

By law, I must inform you that the Paperwork Reduction Act requires Federal agencies to obtain Office of Management and Budget authorization before conducting any information collection, and persons are not required to respond to an information collection that is not currently approved. The Office of Management and Budget has authorized this survey for use through February 2019 under control number XXXXXXXX I want to assure you that Gallup and EBSA will protect your privacy. Your voluntary cooperation is requested to make the results of this study complete and accurate. Gallup will not share your individual responses with EBSA and your data will only be used in aggregate with responses of others like you.

- 1 Respondent available - **(Continue)**
- 4 No such person - **(Thank and Terminate)**
- 7 Respondent not available - **(Set time to call back)**
- 8 (Soft Refusal)
- 9 (Hard Refusal) - **(Thank and Terminate)**

INTRO1(2001)

**(Programmer: All interviews are recorded. The recording begins when the respondent answers the phone. This statement is read after the "Continue" response is entered after the Introduction and before the first question.)**

FRECCONS

QID:98881

This interview will be recorded for internal quality assurance.

- 1 (Continue)
- 2 (Refused) - **(Thank and Terminate)**

FRECCONS(1984)



S1

QID:134516

Do you recall communicating with the Employee Benefits Security Administration or EBSA? This probably would have occurred within the past few weeks. **(If necessary, read:)** Again, this agency is part of the Department of Labor and provides assistance to employees and beneficiaries regarding employee retirement, health, disability, and other employee benefit plans. EBSA oversees laws such as ERISA (say ur-RIS-uh), the Affordable Care Act, COBRA (**say: CO-bruh**) and HIPAA (**say: HIP-uh**).

- 1 Yes
- 2 No
- 3 (DK)
- 4 (Refused)

S1(2011)

QID:135095

**Skip: (If code 1 in S1, Continue; Otherwise, Thank and Terminate)**

**(Interviewer: READ:)**

QID:564262

Throughout the remainder of the survey, we will refer to the Employee Benefits Security Administration as EBSA.

**CE1** ★ HC - DB, CE - DB

QID:21495

Taking into account all the information, products, and services you receive from them, how satisfied are you with EBSA overall? Please use a five-point scale, where 5 means you are extremely satisfied and 1 means you are not at all satisfied. You may use any of the numbers 1, 2, 3, 4, or 5 for your rating.

- 1 Not at all satisfied
- 2
- 3
- 4
- 5 Extremely satisfied
- 7 (Not applicable)
- 8 (DK)
- 9 (Refused)

CE1(2101)

CE3 ★ HC - DB, CE - DB

QID:21497

If a friend or a colleague had a similar need for information or assistance, how likely would you be to recommend EBSA? Please use a five-point scale, where 5 means extremely likely and 1 means not at all likely. You may use any of the numbers 1, 2, 3, 4, or 5.

- 1 Not at all likely
- 2
- 3
- 4
- 5 Extremely likely
- 7 (Not applicable)
- 8 (DK)
- 9 (Refused)

CE3(2103)

**(Interviewer: READ:)**

QID:589137

Now, I am going to read a number of statements. Using a five-point scale, where 5 means you strongly agree and 1 means you strongly disagree, please tell me how much you agree or disagree with each statement as it applies to EBSA. You may use any of the numbers 1, 2, 3, 4, or 5 for your rating. How about **(read CE5-CE10, as appropriate):**

CE5 ★ ★ HC - DB, CE - DB

QID:21500

EBSA always delivers on what they promise.

- 1 Strongly disagree
- 2
- 3
- 4
- 5 Strongly agree
- 7 (Not applicable)
- 8 (DK)
- 9 (Refused)

CE5(2112)

**CE6A** ★★ *HC - DB, CE - DB*

*QID:21501* EBSA always treats me fairly.

- 1 Strongly disagree
- 2
- 3
- 4
- 5 Strongly agree
- 7 (Not applicable)
- 8 (DK)
- 9 (Refused)

CE6A(2113)

**CE8**

*QID:572144* I am proud to have used EBSA's services.

- 1 Strongly disagree
- 2
- 3
- 4
- 5 Strongly agree
- 7 (Not applicable)
- 8 (DK)
- 9 (Refused)

CE8(2104)

**CE9A** ★★ *HC - DB, CE - DB*

*QID:21504* EBSA always treats me with respect.

- 1 Strongly disagree
- 2
- 3
- 4
- 5 Strongly agree
- 7 (Not applicable)
- 8 (DK)
- 9 (Refused)

CE9A(2115)

**CE10**

QID:572146

EBSA is the perfect federal agency for people with needs like mine.

- 1 Strongly disagree
- 2
- 3
- 4
- 5 Strongly agree
- 7 (Not applicable)
- 8 (DK)
- 9 (Refused)

CE10(2105)

**Q1**

QID:134520

Again using a five-point scale, where 5 means you strongly agree and 1 means you strongly disagree, please tell me how much you agree or disagree with each statement as it applies to EBSA. How about \_\_\_\_\_:

**(Interviewer: read and rotate Q1A-Q1J, then read Q1K)**

- 1 Strongly disagree
- 2
- 3
- 4
- 5 Strongly agree
- 7 (Not applicable)
- 8 (DK)
- 9 (Refused)

Q1A	QID:134521	EBSA treats me like a valued customer.	Q1A(2121)
Q1B	QID:134522	EBSA is willing to work with me to make sure my needs are met.	Q1B(2122)
Q1C	QID:134523	EBSA acts in a timely fashion.	Q1C(2123)
Q1D	QID:134524	EBSA does what it says it will do.	Q1D(2124)
Q1E	QID:134525	HOLD	Q1E(2125)
Q1F	QID:134526	EBSA is easy to reach.	Q1F(2126)
Q1G	QID:134527	The information I receive from EBSA is clear and easy to understand.	Q1G(2127)
Q1H	QID:134528	EBSA does its best to help me out.	Q1H(2128)
Q1I	QID:564264	EBSA thoroughly answers all of my questions.	Q1I(2129)
Q1J	QID:564265	EBSA is proactive in addressing my question or issue.	Q1J(2130)
Q1K	QID:564266	If you had a need to work with EBSA again in the future, you would want to interact with this same benefits advisor.	Q1K(2131)

QID:564268

**Skip: (If code 1-4 in Q1K, Continue; Otherwise, Skip to Q14A)**

**Q13**

QID:564269

What could have the benefits advisor **[(If necessary, read:)]** the person you talked with on the phone] have done differently to make you want to interact with them again if you needed help from EBSA?

**(Interviewer: Open ended and code)**

- 01 Other (list)
- 02 (DK)
- 03 (Refused)
- 04 HOLD
- 05 HOLD

**List Other:Y**

Q13(2201-2202)  
Q13\_T(8005)

**Q14A**

QID:564270

Were you referred to another person, agency, or company for you to follow up with to resolve your issue or question?

- 1 Yes
- 2 No
- 7 (Not applicable)
- 8 (DK)
- 9 (Refused)

Q14A(2205)

QID:572153

**Skip: (If code 2, 7, 8, or 9 in Q14A, Skip to Note before Q2; Otherwise, Continue)**

**Q14B**

QID:564272

Please tell me whether or not each of the following happened during your referral.  
**(Interviewer: Read Q14BA-Q14BD)**

- 1 Yes
- 2 No
- 7 (Not applicable)
- 8 (DK)
- 9 (Refused)

**Q14BA**

QID:564273

The referral phone number was a working number.

Q14BA(2211)

**Q14BB**

QID:564276

The EBSA representative made the call with you on the line.

Q14BB(2212)

**Q14BC**

QID:564274

The referral was to the right agency, organization, or person.

Q14BC(2213)

**Q14BD**

QID:564275

The referral resulted in an answer to your question or a resolution to your issue.

Q14BD(2214)

QID:572147

**Skip: (If code 2 in SR, Skip to Q3; Otherwise, Continue)**

**Q2**

QID:135100

After your interaction with EBSA, did you feel **(read 3-1)**?

- 3 Much more knowledgeable about your benefits rights
- 2 Somewhat more knowledgeable about your benefits rights, or
- 1 Not any more knowledgeable about your benefits rights
- 7 (Not applicable)
- 8 (DK)
- 9 (Refused)

**(Skip: All in Q2, Skip to Note before Q8)**

Q2(2141)

**Q3**

QID:134530

Please rate your level of agreement with each of the following statements using a five-point scale, where 5 means you strongly agree and 1 means you strongly disagree. You may use any of the numbers 1, 2, 3, 4, or 5 for your rating. How about \_\_\_\_\_:

**(Interviewer: read Q3A-Q3B)**

- 1 Strongly disagree
- 2
- 3
- 4
- 5 Strongly agree
- 7 (Not applicable)
- 8 (DK)
- 9 (Refused)

<b>Q3A</b>	QID:134531	As a result of the interaction I had with EBSA, I feel better informed to protect my benefits in the future.	Q3A(2151)
<b>Q3B</b>	QID:134532	As a result of the interaction I had with EBSA, I feel my benefits are more secure.	Q3B(2152)



QID:213175 **Skip: (If code 02 OR 03 in SO, Skip to Q8A; Otherwise, Continue)**

**Q8**

QID:134983 When you first contacted EBSA, did you speak with a benefits advisor right away, or did you leave a message to have someone return your call?

- 1 Spoke with a benefits advisor right away
- 2 Left a message
- 3 (Left a message and no one called me back—I called again)
- 8 (DK)
- 9 (Refused)

**Q8A**

QID:134988 When you first contacted EBSA, how long did it take them to respond?  
**(Interviewer: Open ended and code)**

- 1 Responded the same day
- 2 Responded within one day
- 3 Responded within two days
- 4 Responded within three or more days
- 7 (Have not yet responded)
- 8 (DK)
- 9 (Refused)

Q8A(2187)

**Q8B** At any during a telephone conversation with EBSA, did you experience: **(read A-E)**

		Yes	No	(DK)	(Refused)
A	[xxxxxx] unprofessional noises in the background (for example a dog barking or child talking)	1	2	8	9
B	Static on the line that came from EBSA's end	1	2	8	9
C	Difficulty being heard by the benefits advisor	1	2	8	9
D	Difficulty hearing the benefits advisor	1	2	8	9
E	Other unprofessional experience	1	2	8	9

**Q15**

QID:564281 Did you access the EBSA website at any point in your inquiry process?

- 1 Yes
- 2 No
- 8 (DK)
- 9 (Refused)

Q15(2221)

QID:572155 **Skip: (If code 2, 8, or 9 in Q15, Skip to Note before Q9; Otherwise, Continue)**

**Q15A**

QID:564283 Did you access the website **(read 1-2)**?

- 1 Before you called EBSA, or
- 2 During the time EBSA was handling your inquiry
- 8 (DK)
- 9 (Refused)

Q15A(2223)

QID:564284 **Skip: (If code 2, 8, or 9 in Q15A, Skip to Q15C; Otherwise, Continue)**

**Q15B**

QID:564285 Did you use the website **(read 1-4)**?

- 1 To look for a phone number
- 2 To try to answer your question
- 3 To submit an inquiry, or
- 4 For some other reason
- 8 (DK)
- 9 (Refused)

Q15B(2225)

**Q15C**

QID:564286

Using a scale from one-to-five, with 1 being not at all helpful and 5 being very helpful, how helpful was the EBSA website?

- 1 Not at all helpful
- 2
- 3
- 4
- 5 Very helpful
- 7 (Not applicable)
- 8 (DK)
- 9 (Refused)

Q15C(2227)

QID:572149

**Skip: (If code 2 in SR, Skip to Q10; Otherwise, Continue)**

**Q9**

QID:134594

In the future, would you prefer to contact EBSA **(read and rotate 1-5)**?

- 1 By phone
- 2 By email
- 3 By submitting an online form
- 4 Through live chat on the Internet
- 5 Through the mail
- 6 (Other)
- 7 (No preference)
- 8 (DK)
- 9 (Refused)

Q9(2189)

**Q10**

QID:134989

Was EBSA able to assist you with your questions, problem, or recovering the benefit?

- 1 Yes
- 2 No
- 3 (Still working on it/Not yet resolved)
- 4 (DK)
- 5 (Refused)

Q10(2191)

QID:564287

**Skip: (If code 2 in Q10, Continue; Otherwise, Skip to D1)**

**Q11**

QID:134605

Why was EBSA not able to assist you with your question or problem?  
**(Interviewer: Read 06-10, then read 01)**

- 01 Or some other reason (list)
- 02 (DK)
- 03 (Refused)
- 04 (No reason given)
- 05 HOLD
- 06 There were limitations because of the law
- 07 There were limitations because of the plan rules
- 08 The company has terminated the plan
- 09 The company went bankrupt
- 10 The EBSA representative did not understand your question or problem

**List Other:Y**

Q11(2193-2194)  
Q11\_T(8002)

QID:68754

**DEMOGRAPHICS BEGIN HERE:**

**D1** ★

QID:30962

**GENDER:**  
**(Interviewer: Code only; Do NOT ask)**

- 1 Male
- 2 Female

D1(2301)  
D3(2547)

**AGE**

QID:609934

Can you please tell me your age?  
**(Interviewer: Open ended and code actual age)**

- 01 01-
- 96 96
- 97 97+
- 98 (DK)
- 99 (Refused)

D4(2449-2450)

**D3**

QID:xx

Is the area where you live considered to be **(read 1-3)**

- 1 Urban
- 2 Suburban
- 3 Rural
- 8 (DK)
- 9 (Refused)

D3(2438)

**D5**

QID:142578

Are you of Hispanic, Latino, OR Spanish origin - such as Mexican, Puerto Rican, Cuban, or other Spanish origin?

- 1 Yes
- 2 No
- 3 (DK)
- 4 (Refused)

D5(2438)

D69

QID:610195

**(RACE)**

Which of the following describes your race? You may select one or more.

**(Interviewer: Read 1-5)**

**(Programmer: Allow FIVE responses)**

- 1 White
- 2 Black or African-American
- 3 Asian
- 4 American Indian or Alaska Native, or
- 5 Native Hawaiian or Pacific Islander
- 8 (DK)
- 9 (Refused)
- 0 (No more responses)

# of Responses: 5

D69\_1(2439)

D69\_2(2440)

D69\_3(2441)

D69\_4(2442)

**Veteran**

QID:610xxx

Have you ever served on active duty in the U.S. Armed Forces, Reserves or National Guard?

- 1 Yes, on active duty now
- 2 Yes, on active duty in the past
- 3 No, never served in the military
- 4 Only on active duty for training in the Reserves or National Guard (Vol.)
  
- 8 (DK)
- 9 (Refused)

**Disability**

QID:610xxx

Do you have any disabilities, such as any conditions that make it hard to see, hear, make decisions, or do your daily activities?

- 1 Yes
- 2 No
- 3 (DK)
- 4 (Refused)

D5(2438)

**QLGBT**

QID:610200

Do you think of yourself as **(read 1-6)**?

**Interviewer note:** you can stop and code as soon as the respondent gives an answer. You do not need to read all options.

- 1 Straight or heterosexual
- 2 Lesbian
- 3 Gay
- 4 Bisexual
- 5 Transgender
- 6 Other LGBT (VOL.) (Queer, Same-sex-loving, Pansexual)
- 8 (DK)
- 9 (Refused)

QLGBT(2446)

**LANG**

QID:xxxxx

In which language do you prefer to read written documents? **(open ended and code)**

- 1. English
- 2. Arabic
- 3. Chinese (Traditional and Simplified)
- 4. French
- 5. Haitian Creole
- 6. Korean
- 7. Polish
- 8. Portuguese
- 9. Russian
- 10. Spanish
- 11. Tagalog
- 12. Vietnamese
- 13. Other (do not list)



D4

QID:610204

Just to make sure we talk to a broad range of people, can you tell me your total household annual income, before taxes? I will read you several categories and you can stop me when I get to the category that includes your income.

**(Interviewer: Read 01-09)**

**(Interviewer: You can stop reading categories once the respondent tells you his/her income. If the respondent volunteers income level before you read the category, you may code appropriately and move to the next item, you do not need to continue to read categories.)**

- 01 Under \$20,000
- 02 \$20,000-\$25,999
- 03 \$26,000-\$29,999
- 04 \$30,000-\$35,999
- 05 \$36,000-\$39,999
- 06 \$40,000-\$49,999
- 07 \$50,000-\$75,999
- 08 \$76,000-\$99,999
- 09 \$100,000 or more
- 98 (DK)
- 99 (Refused)

**(Interviewer: THANK RESPONDENT BY SAYING:)**

QID:229796

Again, this is \_\_\_\_\_, with Gallup. I would like to thank you on behalf of EBSA and Gallup for your time. Our mission is to "help people be heard" and your opinions are important to Gallup in accomplishing this.

FINTVID

QID:98976

INTERVIEWER I.D. #:

FINTVID(1571-1574)