

**Community Development Financial Institutions Fund  
Performance Progress Report (PPR) Data Points**

NOTE: All capitalized terms used herein but not defined have such definitions as specified in the Interim Rule, Notice of Fund Availability, or Assistance Agreement.

Recipients submit the PPR in the Awards Management Information System Database (AMIS) once a year, three (3) months after their fiscal year end. Recipients respond to the questions below by providing numerical figures, yes or no answers, or narrative responses, as appropriate. This report is used to determine Recipient compliance with the applicable performance goals in their assistance agreement.

Prior to submitting the report, the Recipient will be required to select checkboxes to certify, on behalf of the organization, the following:

1. The person entering the data and making these certifications is an Authorized Representative.
2. Any information or data provided to the CDFI Fund that is input in AMIS (or other submission method) is true, accurate, and complete, and accurately represents the activities and/or performance of the Recipient.
3. The Recipient is compliant with all applicable assurances, certifications, representations and warranties, covenants, and agreements set forth in the Assistance Agreement or, alternatively, has notified the CDFI Fund of the Recipient's inability to be compliant with such provisions of the Assistance Agreement through the submission of a Material Event notification.

**Paperwork Burden Statement**

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid Office of Management and Budget (OMB) Approval Number. This form's Approval Number is 1559-00XX. Public reporting burden for this collection of information is estimated to average .5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Community Development Financial Institutions Fund, 1500 Pennsylvania Ave, NW, Washington, DC 20220.

<b>Performance Goal</b>	<b>Applicable Award Year</b>	<b>Data Points</b>
1-1: Prompt corrective action net worth ratio of at least 6%	FY 2016 awards and older	<ul style="list-style-type: none"> <li>• Total net worth</li> <li>• Total assets</li> </ul>
1-2: Tier 1 leverage capital of at least 8%	FY 2016 awards and older	<ul style="list-style-type: none"> <li>• Adjusted average assets</li> <li>• Tier 1 capital</li> </ul>
1-3: Net loans & leases to deposits ratio of at least 50%	FY 2016 awards and older	<ul style="list-style-type: none"> <li>• Net loans and leases</li> <li>• Total deposits</li> </ul>
1-4: Operating liquidity ratio of at least 1.0	FY 2016 awards and older	<ul style="list-style-type: none"> <li>• Cash and cash equivalents available for operating expenses</li> <li>• Total (pre-tax) operating expenses for the most recently completed fiscal year</li> <li>• Provision of loan loss reserves</li> </ul>
1-5: Portfolio at risk no greater than 15%,	FY 2016 awards and older	<ul style="list-style-type: none"> <li>• Aggregate dollar amount of all loans outstanding which have payments that are 90 days or more past due</li> <li>• Aggregate dollar amount of gross loans outstanding</li> </ul>
1-6: Change in value of each equity investment over 12 months must be less than 50%	FY 2016 awards and older	<ul style="list-style-type: none"> <li>• Number of equity investments held for 12 months or more</li> <li>• Number of equity investments held for 12 months or more that have decreased in value in the organization's prior fiscal year</li> </ul>
1-1: Increase volume of financial products	FY 2017 FA awards and newer	<ul style="list-style-type: none"> <li>• Total dollar amount of financial products closed (exclusive of PPC, HFFI-FA, or DF-FA) in eligible market(s) or recipient's approved target market(s) during period of performance</li> </ul>
1-2: Increase volume of financial services	FY 2017 FA awards and newer	<ul style="list-style-type: none"> <li>• Total number of financial services provided in eligible market(s) or recipient's approved target market(s) during period of performance</li> </ul>
1-3: New geographic area(s) (GA)	FY 2017 FA awards and newer	<ul style="list-style-type: none"> <li>• Total dollar amount of financial products closed (exclusive of PPC, HFFI-FA, or DF-FA) in eligible market(s) in new GA(s) during period of performance</li> </ul>
1-4: New financial product(s)	FY 2017 FA awards and newer	<ul style="list-style-type: none"> <li>• Total dollar amount of new financial products closed (exclusive of PPC, HFFI-FA, or DF-FA) in eligible market(s) or recipient's approved target market(s) during period of performance</li> </ul>
1-5: New financial service(s)	FY 2017 FA awards and newer	<ul style="list-style-type: none"> <li>• Total number of new financial services provided in eligible market(s) or recipient's approved target market(s) during period of performance</li> </ul>
1-6: New development service(s)	FY 2017 FA awards and newer	<ul style="list-style-type: none"> <li>• Total number of new clients served in eligible market(s) or recipient's approved target market(s) during period of performance</li> </ul>

Performance Goal	Applicable Award Year	Data Points
1-7: Serve new targeted population(s)	FY 2017 FA awards and newer	<ul style="list-style-type: none"> <li>Recipient responds “yes” or “no” to the following question for year 2: Did the recipient submit appropriate target market modification document(s) to the CDFI Fund to serve new targeted population(s)?</li> <li>Recipient responds “yes” or “no” to the following question for year 3: Did recipient become certified to serve new targeted population(s)?</li> </ul>
1-8: Investment in native communities	FY 2017 FA awards and newer	<ul style="list-style-type: none"> <li>Total dollar amount of financial products closed (exclusive of PPC, HFFI-FA, or DF-FA) in native communities and native populations</li> <li>Total dollar amount of loans and investments closed during current period of performance</li> </ul>
2-1 Persistent poverty counties (PPC)	FY 2017 FA awards (FY 16 and older PG&M 2-3)	<ul style="list-style-type: none"> <li>Total dollar amount of financial products closed (exclusive of FA, HFFI-FA, or DF-FA) in eligible market(s) in PPC during current period of performance</li> </ul>
2-1: Deployment to target market	FY 2016 FA awards and older	<ul style="list-style-type: none"> <li>Total dollar amount of financial products and services deployed to recipient’s target market in current period of performance</li> <li>Cumulative dollar amount of financial products and services deployed to recipient’s target market during period of performance</li> <li>Current percentage of the award deployed to target market</li> </ul>
3-1: Deployment of resources (HFFI-FA)	All FA awards (FY 16 and older PG&M 2-2)	<ul style="list-style-type: none"> <li>Total dollar amount of financial products closed (exclusive of FA, PPC-FA, or DF-FA) in recipient’s target market for HFFI activities in current period of performance</li> <li>Total dollar amount of financial products closed (exclusive of FA, PPC-FA, or DF-FA) in recipient’s target market to healthy food retail outlets located in food deserts during current period of performance</li> </ul>
Serving individuals with disabilities	FY 2017 FA awards and newer	<ul style="list-style-type: none"> <li>Total dollar amount of financial products closed (exclusive of FA, HFFI-FA, or PPC) in eligible market(s) or recipient’s approved target market(s) that have a primary purpose of benefitting individuals with disabilities during current period of performance</li> </ul>
1-1: Build capacity through technical assistance (certified)	All TA awards (FY 16 and older PG&M 3-1)	<ul style="list-style-type: none"> <li>Total dollar amount of technical assistance expended</li> </ul>
1-2: Build capacity through technical assistance (sponsoring entities)	All TA awards (FY 16 and older PG&M 3-2)	<ul style="list-style-type: none"> <li>Total dollar amount of technical assistance expended</li> </ul>

Performance Goal	Applicable Award Year	Data Points
1-3: Build capacity through technical assistance (uncertified)	All TA awards (FY 16 and older PG&M 3-3)	<ul style="list-style-type: none"> <li>Total dollar amount of technical assistance expended</li> </ul>
2-1: CDFI creation	All TA awards (FY 16 and older PG&M 4-1)	<ul style="list-style-type: none"> <li>Legal entity name</li> <li>Date of incorporation</li> <li>Attach supporting documentation</li> </ul>
3-1: CDFI certification (uncertified)	All TA awards (FY 16 and older PG&M 5-1)	<ul style="list-style-type: none"> <li>Recipient responds “yes” or “no” to the following question for year 2: Did the recipient submit a CDFI certification application to the CDFI Fund?</li> <li>Recipient responds “yes” or “no” to the following question at the end of the period of performance: Did the recipient become a certified CDFI?</li> </ul>
3-2: CDFI certification (sponsoring entities)	All TA awards (FY 16 and older PG&M 5-2)	<ul style="list-style-type: none"> <li>Recipient responds “yes” or “no” to the following question for year 3: Did the legal entity created by the recipient submit a CDFI certification application to the CDFI Fund?</li> <li>Recipient responds “yes” or “no” to the following question at the end of the period of performance: Did the legal entity created by the recipient, the co-recipient, become a certified CDFI?</li> </ul>

**Explanation of noncompliance questions Recipient must respond to if it misses the benchmark for a performance goal. Recipient must provide a narrative (as applicable).**

- Why did you fail to meet this performance goal? [Narrative]
- What actions will you take to meet this performance goal? [Narrative]
- What is the current status for this performance goal? [Narrative]
- Will you meet this performance goal next fiscal year? [Yes/No]
- Why will you not meet this performance goal by next fiscal year? [Narrative]