

# Electric Quarterly Report (EQR)

## Web Interface and XML System Guide



**Federal Energy Regulatory  
Commission**

## TABLE OF CONTENTS

I.	EQR Redesign for 3rd Quarter 2013 and Beyond .....	1
	EQR Application Overview .....	1
	EQR Filing System Rules .....	2
	Filing for 2 <sup>nd</sup> Quarter 2013 and Earlier .....	2
II.	Getting Started through Web Interface .....	3
	Logging In .....	3
	Sellers Screen Field Descriptions .....	4
	Filing Period .....	4
	Seller Name (Seller CID) .....	4
	Last Action Date .....	4
	Filer .....	5
	Status .....	5
	Entering Data through Actions on Seller Screen .....	6
	EQR Filing Steps: “CSV New” Action .....	7
	EQR Filing Steps: Uploading Large CSV Files .....	9
	EQR Filing Steps: “Prefill from Prior Filing Period” Action .....	14
	EQR Filing Steps: “Manual New” Action .....	24
III.	Data Review and Verification in the Web Interface .....	34
	ID Data Tab .....	34
	Filer Radio Button .....	34
	Sellers .....	36
	Customer Company Information on the Buyer Tab .....	38
	Contracts Tab .....	39
	Contracts Details Tab .....	41
	Contract Products Tab .....	42
	Transactions Tab .....	43
IV.	Submitting the EQR Filing .....	45
V.	EQR Test Only Option .....	47
	Accessing the Test Only Option .....	47
	Sending Data for Test Only Validation .....	49
	Test Only – CSV File Download .....	50
	Test Only XML Submission Download .....	51
	Delete Working Data .....	54
VI.	Notifications .....	55
	FERC Receipt of EQR data .....	56
	FERC Validation Processing .....	57
	FERC Rejection of EQR Filing .....	58
	FERC Acceptance of EQR Filing .....	59
VII.	Uploading an XML File .....	60
	Option-1: Direct XML Upload .....	60
	Option-2: Web Service .....	63
	XML Data Validation .....	64
	Direct XML Submissions Test Only .....	65
	Appendix A – The CSV File .....	i
	Appendix B – Error Messages for CSV Upload and Pre-Validation .....	ii

## I. EQR Redesign for 3rd Quarter 2013 and Beyond

The new Electric Quarterly Reports (EQR) system provides a technical solution to collect, analyze, and manage the data reported in the EQRs.

The EQR system will allow required Sellers (“Sellers”) to file their EQRs by use of a web interface or by submitting an Extensible Mark-Up Language-formatted (XML) data.

The redesigned system will engage a service-oriented architecture and capitalize on the existing systems in the FERC enterprise specifically. EQR Sellers and their designated Agents will be required to use the FERC’s eRegistration and Company Registration portals.

- The existing eRegistration services are used for authentication and authorization of the individual(s) using the system. Only individuals registered in the FERC eRegistration system are designated to file EQRs on behalf of one or more Seller(s). Information regarding eRegistration is found on the FERC website at <http://www.ferc.gov/docs-filing/eregistration.asp>. Please contact [ferconlinesupport@ferc.gov](mailto:ferconlinesupport@ferc.gov) with additional eRegistration questions.
- The existing Company Registration system is used for authorization and authentication of the Companies that do business with FERC, including Sellers and Agents. Sellers will be required to use the Company Registration system to obtain a Company Identifier (if the company does not already have a Company Identifier). A Seller may also designate employees or registered third party individuals or companies to submit EQR filings on its behalf. Information regarding Company Registration is found on the FERC website at <http://www.ferc.gov/docs-filing/company-reg.asp>. Please contact [ferconlinesupport@ferc.gov](mailto:ferconlinesupport@ferc.gov) with additional Company Registration questions.

### ***EQR Application Overview***

The EQR application is designed to gather and manage information about these four main data elements:

- ID Data
- Contract Data
- Transaction Data
- Index Reporting Data

## ***EQR Filing System Rules***

The rules governing the flow of information are contained in the Data Dictionary and validation rules at the following links. Error messages and their cause are listed in Appendix B of this guide.

**Data Dictionary:** <http://www.ferc.gov/docs-filing/eqr/order770/data-dictionary.pdf>

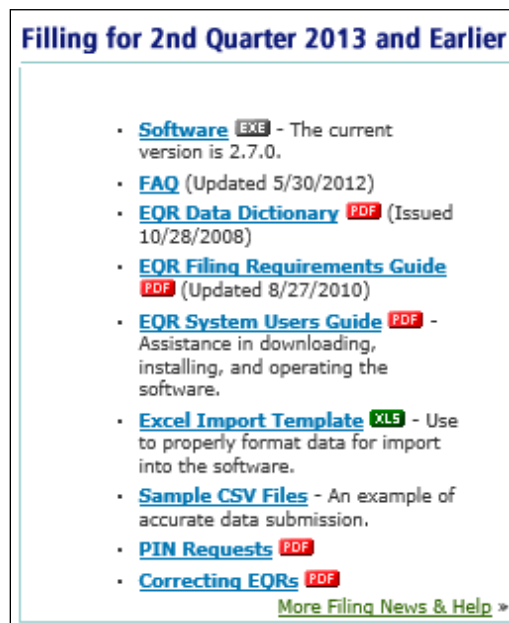
**Validation:** <http://www.ferc.gov/docs-filing/eqr/order768/validation-rules.pdf>

**Error Message Table:** (See Appendix B in this guide for a listing of all error messages)

## **Filing for 2<sup>nd</sup> Quarter 2013 and Earlier**

Filings prior to 3<sup>rd</sup> Quarter, 2013 should follow the steps and processes described in the “Filing for 2<sup>nd</sup> Quarter 2013 and Earlier” portion of the EQR page at the link below.

<http://www.ferc.gov/docs-filing/eqr.asp>



**Filing for 2nd Quarter 2013 and Earlier**

- [Software](#) **EXE** - The current version is 2.7.0.
- [FAQ](#) (Updated 5/30/2012)
- [EQR Data Dictionary](#) **PDF** (Issued 10/28/2008)
- [EQR Filing Requirements Guide](#) **PDF** (Updated 8/27/2010)
- [EQR System Users Guide](#) **PDF** - Assistance in downloading, installing, and operating the software.
- [Excel Import Template](#) **XLS** - Use to properly format data for import into the software.
- [Sample CSV Files](#) - An example of accurate data submission.
- [PIN Requests](#) **PDF**
- [Correcting EQRs](#) **PDF**

[More Filing News & Help >](#)

**Figure 1 – Where to Find Prior Filing Information**

## II. Getting Started through Web Interface

The EQR system provides a web-based user interface where users can create, edit, update, copy, and delete EQR filings. Filings for 3<sup>rd</sup> Quarter 2013 and beyond should follow the steps in this Guide as well as any information described in the “Filing for 3<sup>rd</sup> Quarter 2013 and Beyond” portion of the EQR page.

**NOTE:** Prior to using the EQR system, a user must be eRegistered and be delegated by a registered Seller company. <sup>1</sup>

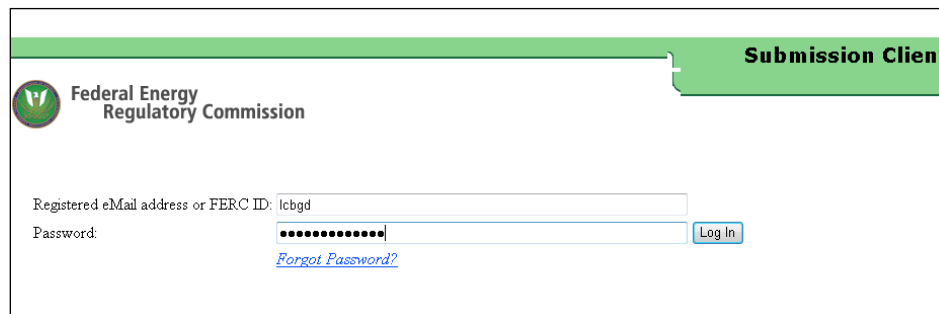
### Logging In

To access the EQR Web client, a user should:

1. Access the public FERC Web Site at <http://www.ferc.gov> .
2. Click on the **Documents & Filing** menu → **Electric Quarterly Report (EQR)**.
3. Click on the EQR Registration link Icon.



4. The following screen displays the EQR Initial Log-In Screen.

A screenshot of the EQR Initial Log-In Screen. The page has a green header bar with the text "Submission Client" on the right. Below the header is the Federal Energy Regulatory Commission logo and name. The main content area contains a login form with two input fields: "Registered eMail address or FERC ID:" with the value "lcbgd" and "Password:" with a masked password "\*\*\*\*\*". A "Log In" button is to the right of the password field. Below the password field is a blue link for "Forgot Password?".

**Figure 2 - EQR Initial Log In Screen**

5. Enter the email address and password created in the eRegistration system.

---

<sup>1</sup> Information regarding Company Registration and eRegistration may be found on the FERC website at <http://www.ferc.gov/docs-filing/company-reg.asp> and <http://www.ferc.gov/docs-filing/eregistration.asp>.

6. The Sellers Screen (Figure 3, below) lists the Seller (Data Dictionary Field 2) names that are assigned to the user who logs in.

### Sellers Screen Field Descriptions

**Filing Period**

**Last Action Date Populated After filing submitted successfully**

**Status populated based on filing status**

**Seller Name List from Company Registration**

**Filer same as Seller plus any designated Agents**

**Action must be selected to process filing**

Seller Name	SellerCID	Last Action Date	Status	Action	Filer
RFC-naruto-NGPA.six	C000514		Editable	No Action	RFC-naruto-NGPA.six
RFC Company Test 2	C000566			No Action	RFC Company Test 2
RFC-tpambprpu-naruto 63	C000652			No Action	RFC-tpambprpu-naruto 63
Order 768 Power LLC	C000738		Locked	No Action	Order 768 Power LLC
Power_LLC	C000739		Editable	No Action	Power_LLC
RFC(311)-naruto 29	C000565			No Action	RFC-naruto-NGPA.six

File Upload: ( Select a Zip File that contains 1 to 4 CSV files for processing. You must select the appropriate action above for the relevant seller data you are uploading. )

Browse Upload

Figure 3 – Sellers Screen Fields

#### Filing Period

The filing period will default to the current filing period.

#### Seller Name (Seller CID)

The Seller is the name of the company that is authorized and required to file as indicated in the Company Registration. The “Seller Names” column displays those companies that have assigned the currently logged-in user as an EQR Seller contact or delegated EQR Agent. The Company Identifier (CID) is a number that is assigned to the Seller during the Company Registration process.

#### Last Action Date

This column will populate with an appropriate date if there is a filing that has been filed and accepted.

## Filer

The Filer list reflects the Sellers and designated Agents registered during the Company Registration Process. In most cases, the Seller is also the Filer, as shown below.

**Electric Quarterly Reports**

Federal Energy Regulatory Commission

Logged in User : Linda.Baker@ferc.gov

Filing Period: Q2, Apr-Jun 2012

Sellers Who have delegated you to file on their behalf:

Seller Name	Last Action Date	Status	Action	Filer
RFC naruto 37abc (C003092)			No Action	RFC naruto 37abc (C003092)
RFC-powadmin-naruto 39 (C003096)		Editable	No Action	RFC-powadmin-naruto 39 (C003096)

**Figure 4 - Seller Name and Filer Name**

**NOTE:** The user may select another Seller from the Filer drop-down menu if the user has been assigned as an EQR Agent for multiple Sellers in the Company Registration process.

## Status

This column displays one of the following:

- **Editable** – This is the status of a previous filing that has been submitted by this seller.
- **Locked** – This is the status of a filing that another user is editing. Please see rules below.
- **Blank** – This is the status if a filing has not been filed by this seller.

### *Locked Status Rules*

It is possible for multiple users at multiple locations to perform edits in the EQR system on the same EQR filing (for the same Seller and for the same Filing Period). However, the following guidelines apply:

- Only one assigned user at a time may edit the same EQR filing in the EQR System for a given Seller and given Filing Period.
- When a user selects a Seller for a filing Action, the system locks the Seller's working data to prevent other users from selecting that same Seller for any filing Action within the same Filing Period.
- As long as the user is actively working on the data, the system will remain locked.
- The user will be automatically logged out if the session is inactive for 20 minutes.
- When a user intentionally logs out, the system will also release the lock on the Seller's working data. The system will save the changes made by that user and will keep the working data available for subsequent users until FERC accepts that filing.
- If a user unintentionally exits the web interface, the working data will be saved for subsequent users.

### ***Entering Data through Actions on Seller Screen***

A user must select an Action from the drop-down menu of available options under the “Action” column to create, review, or modify a filing. The following options are available from the drop-down menu:

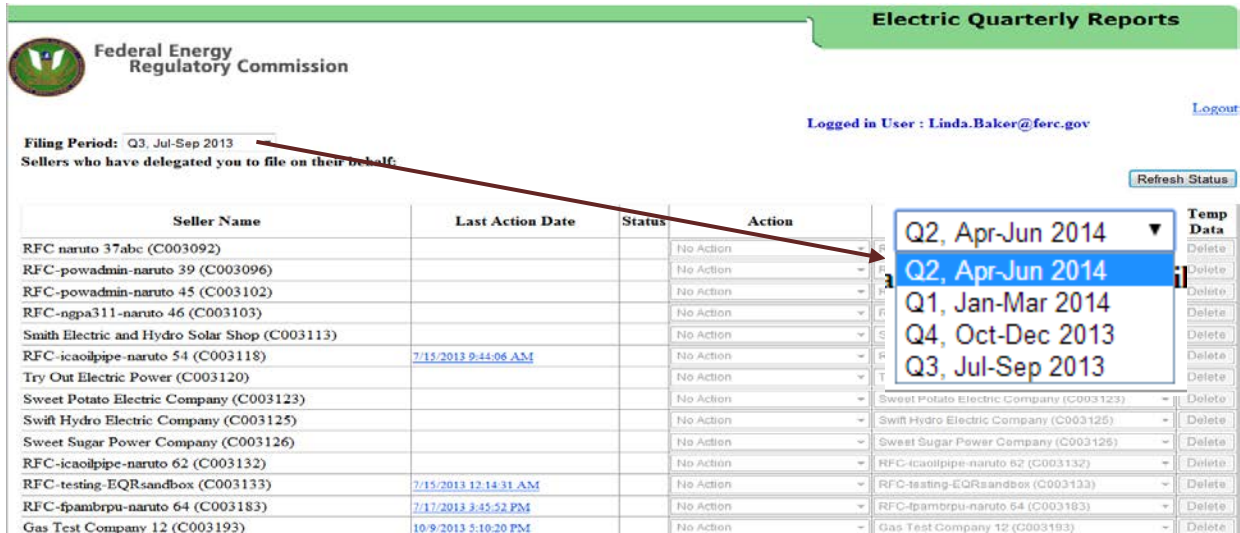
- **No Action** – This default status indicates that the user has not yet selected any action for the Seller/Filing period.
- **CSV New** – This action allows a user to create a new EQR filing from data that is uploaded in a zip file (containing up to four CSV files). This selection creates Seller’s Identification, Contract Information, and Transactions Information.
- **CSV Replace** – This action will override all or a portion of the information in an existing filing of the selected Seller/Filing Period with the data contained in a replacement CSV file uploaded by the user.
- **Manual New** – This action displays blank data entry screens, allows the user to enter all (or a portion of) the filing information manually. The Seller’s Identification information, the Contract Information, and/or the Transactions Information can be keyed in by the user.
- **Manual Edit** – This action retrieves existing data for the selected Seller/Filing period from the EQR database, displays the data on the screen, and allows the user to update and save the data. The Seller’s Identification Information, the Contract Information, and/or the Transactions Information can be updated manually. This option is only available for non-legacy data (*i.e.*, a Seller must have filed at least one quarter of EQR data in the new system before this option becomes available).
- **Prefill from Prior Filing Period** – This action retrieves existing Seller and Contract information from the previous quarter’s filing, and displays the data on the screen. The user can then update the data and reuse it for the current filing period. This option is only available for non-legacy data (*i.e.*, once a user has made a prior-period filing in the new system).



**EQR Filing Steps: “CSV New” Action**

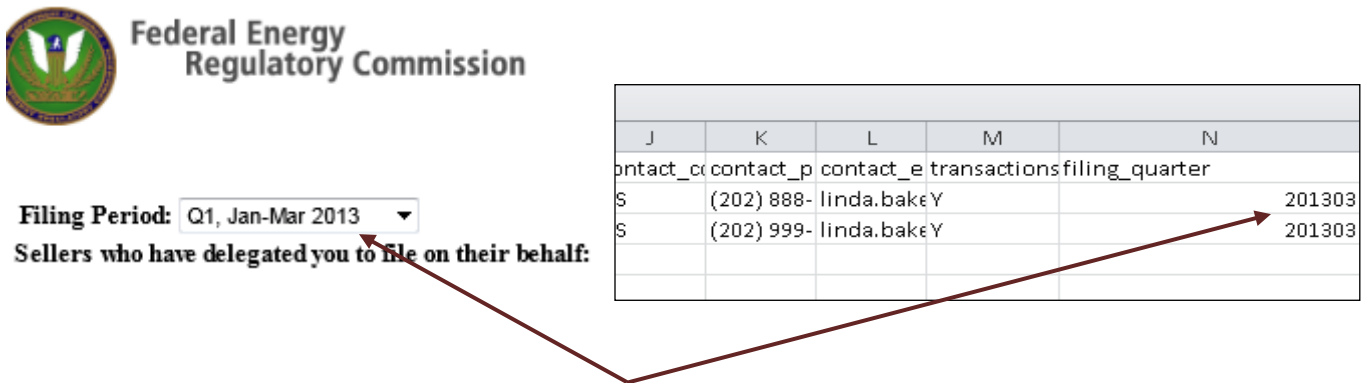
If the user selects the CSV New Action, (s)he will perform the following steps:

1. Select the correct **Filing Period** from the drop-down box on the initial Seller’s Screen.



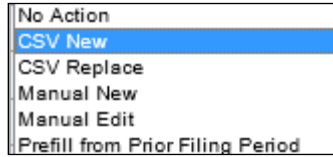
**Figure 5 - Initial Seller’s Screen and Fiscal Quarter Drop Down**

**IMPORTANT:** The filing period selected from the drop-down menu must correspond to the filing quarter indicated in the Identification CSV file or the system will not accept the filing.



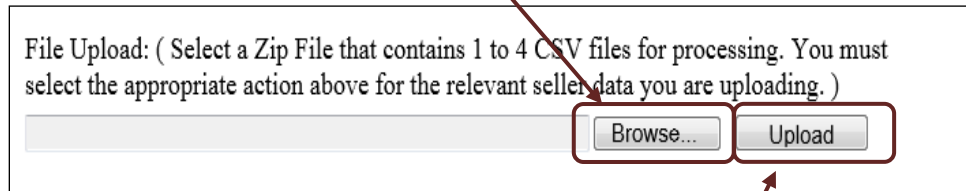
**Figure 6 – Filing Period Must Match Filing Quarter Column in CSV File**

2. Select **CSV New** from the Action drop-down list.



**Figure 7 – Action List**

3. Click **Browse** to locate the CSV File to upload in the EQR system.



**Figure 8 – File Selection**

4. Click **Upload** to upload the CSV Zip file in the EQR System.

If you entered data using CSV new and received a large CSV file notification, please skip to EQR Filing Steps: Uploading Large CSV Files on the next page.

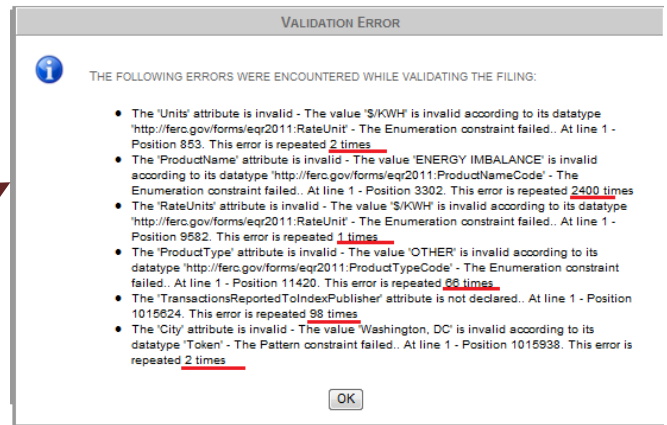
**IMPORTANT:**

Loading the data, is not the same as filing. Users must proceed to step 5 (“click enabled Seller name, Figure 10 Figure 10 – Zip File loaded and Seller Name enabled) and click on “**submit**” (Figure 11 Figure 11 – Submit Button) to complete an EQR filing.

**NOTE:** If the uploaded data contain errors, the screen will display error information in one of two ways shown in Figure 9 below. The errors listed in the screen must be corrected before the data can be successfully uploaded. For a list of error messages, please see Appendix B of this guide.

- The file you tried to upload is not recognized as a '.zip' file  
- Start uploading: 7/22/2014 4:00:17 PM  
- End uploading: 7/22/2014 4:00:21 PM  
- Total time: 00:00:03.0781250

**Figure 9 – Examples of Validation Error Notices**



5. Click on the **enabled Seller name**. The loaded Zip file is now associated with this Seller name and will display the data detailed in the **Data Verification and Filing** section of this guide.

Power Admin Company Test 1 (C003363)		No Action	Power Admin Company Test 1 (C003363)	Delete
Power Admin Company Test 130 (C003366)		No Action	Power Admin Company Test 130 (C003366)	Delete
FPA Company Test 131 (C003367)		No Action	FPA Company Test 131 (C003367)	Delete
Oil Company Test 132 (C003368)		No Action	Oil Company Test 132 (C003368)	Delete
Gas Company Test 135 (C003372)		No Action	Gas Company Test 135 (C003372)	Delete
<b>Jones Water Power (C003379)</b>	Editable	CSV New	Jones Water Power (C003379)	Delete
Gas Company Test 141 (C003432)		No Action	Gas Company Test 141 (C003432)	Delete
Oil Company Test 145 (C003532)		No Action	Oil Company Test 145 (C003532)	Delete
Gas Company Test 150 (C003537)		No Action	Gas Company Test 150 (C003537)	Delete

**Figure 10 – Zip File loaded and Seller Name enabled**

**IMPORTANT:**

Loading the data, is not the same as filing. Users must proceed to step 5 (“click enabled Seller name, Figure 10 Figure 10 – Zip File loaded and Seller Name enabled) and click on “**submit**” (Figure 11 Figure 11 – Submit Button) to complete an EQR filing.

Contact Name and Email\*

Select Contact

\*If a contact is not on the select list you can go to Company Registration to add the contact as a company representative. Once added as a company representative, the contact will be available on the list.

Test

**Figure 11 – Submit Button**

**NOTE:** Before hitting submit, users are encouraged to proceed to instructions in III. Data Review and Verification in the Web Interface beginning on page 34.

### EQR Filing Steps: Uploading Large CSV Files

CSV files over a certain size will prompt notification that the file is too large to be submitted for pre-validation and provide an option to submit the file for Test Only or Direct CSV Submission. This accommodates the system’s process queue management. At any given time, the system may adjust one or more size thresholds in order to optimize queue management. Upon receiving the notification, users can select the option to submit the file for **Test Only** or for **Direct CSV Submission**.

**NOTE:** The Test Only process does not write to the database so we encourage use of the Test Only process to improve submission response time and queue management. Once testing is completed, users may submit tested files in Direct CSV Submission to complete filing requirements.

1. Select the correct Filing Period from the drop-down box on the initial Seller's Screen.

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Federal Energy Regulatory Commission

Logged in User : Linda.Baker@ferc.gov [Logout](#)

Filing Period: Q3, Jul-Sep 2013

Sellers who have delegated you to file on their behalf:

Seller Name	Last Action Date	Status	Action
RFC naruto 37abc (C003092)		No Action	RFC naruto 37
RFC-powadmin-naruto 39 (C003096)		No Action	RFC-powadm
RFC-powadmin-naruto 45 (C003102)		No Action	RFC-powadm
RFC-ngpa311-naruto 46 (C003103)		No Action	RFC-ngpa311
Smith Electric and Hydro Solar Shop (C003113)		No Action	Smith Electric and Hydro Solar Shop (C003113)
RFC-icaolpipe-naruto 54 (C003118)	7/15/2013 9:44:06 AM	No Action	RFC-icaolpipe-naruto 54 (C003118)
Try Out Electric Power (C003120)		No Action	Try Out Electric Power (C003120)
Sweet Potato Electric Company (C003123)		No Action	Sweet Potato Electric Company (C003123)
Swift Hydro Electric Company (C003125)		No Action	Swift Hydro Electric Company (C003125)
Sweet Sugar Power Company (C003126)		No Action	Sweet Sugar Power Company (C003126)
RFC-icaolpipe-naruto 62 (C003132)		No Action	RFC-icaolpipe-naruto 62 (C003132)
RFC-testing-EQRsandbox (C003133)	7/15/2013 12:14:31 AM	No Action	RFC-testing-EQRsandbox (C003133)
RFC-fpambrpu-naruto 64 (C003183)	7/17/2013 3:45:52 PM	No Action	RFC-fpambrpu-naruto 64 (C003183)
Gas Test Company 12 (C003193)	10/9/2013 5:10:20 PM	No Action	Gas Test Company 12 (C003193)
Oil Company test 71 (C003284)		No Action	Oil Company test 71 (C003284)
Gas Company Test 84 (C003305)		No Action	Gas Company Test 84 (C003305)
RFC-powadmin-naruto 82 (C003307)		No Action	RFC-powadmin-naruto 82 (C003307)

**Figure 12 - Initial Seller's Screen and Fiscal Quarter Drop Down**

**IMPORTANT:** The filing period selected from the drop-down menu must correspond to the filing quarter indicated in the Identification CSV file or the system will not accept the filing.

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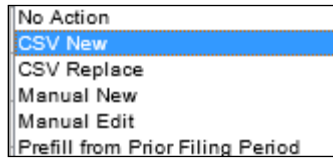
Filing Period: Q1, Jan-Mar 2013

Sellers who have delegated you to file on their behalf:

J	K	L	M	N
contact_c	contact_p	contact_e	transactions	filing_quarter
S	(202) 888-	linda.bake	Y	201303
S	(202) 999-	linda.bake	Y	201303

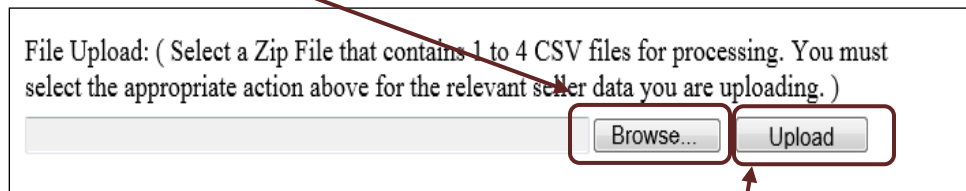
**Figure 13 – Filing Period Must Match Filing Quarter Column in CSV File**

2. Select **CSV New** from the Action drop-down list.



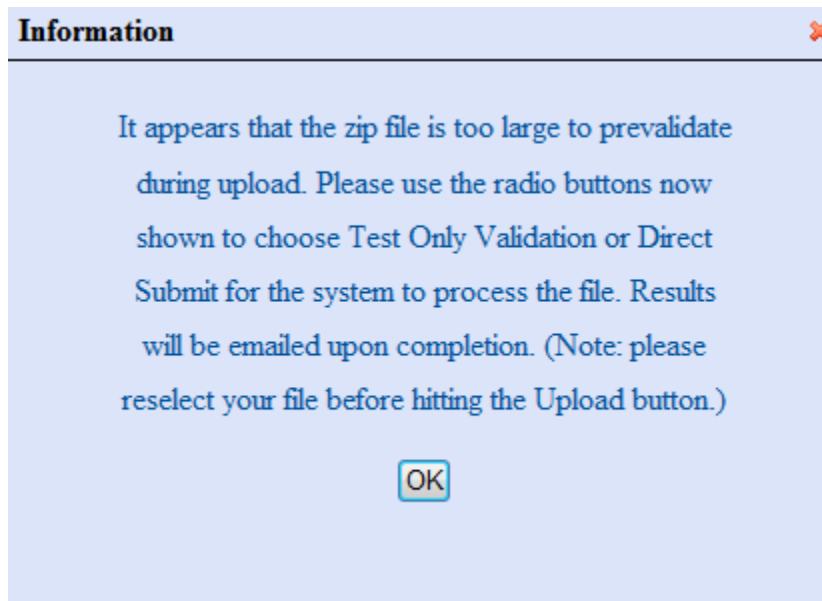
**Figure 14 - Action List**

3. Click **Browse** to locate the CSV File to upload in the EQR system.



**Figure 15 – File Browse Selection**

4. Click **Upload** to upload the CSV Zip file in the EQR System.
5. A CSV file too large will display the following message.



**Figure 16 – Large File Message**

6. Click **OK**. New filing options will display at the bottom of the screen as in Figure 17 below.

Oil Company Test 100 (C004217)	<a href="#">1/21/2014 5:43:05 PM</a>	No Action	Oil Company Test 100 (C004217)
Oil Company Test 180 (C004218)	<a href="#">1/27/2014 4:17:41 PM</a>	No Action	Oil Company Test 180 (C004218)

The File you have just uploaded is classified as a large file because of the amount of data in the file. This file will now be forwarded for validation/submission through an offline process. Please click one of the options and select and upload your file again.

▶ **Large File Processing:**     Test Only Validation     Direct Submit

File Upload: ( Select a Zip File that contains 1 to 4 CSV files for processing. You must select the appropriate action above for the relevant seller data you are uploading. )

**Figure 17 – Large File Options displayed**

7. Select from one of the following Options
- Test Only Validation
  - Direct Submission
8. Click the **Browse** button to select the file again.
9. Click the **Upload** button to load the file.
10. The file will now be processed for Large CSV File Processing. The system will email results of the selected process upon processing completion.

LARGE DATA NOTIFICATION:

- The large data file for Seller C004029 - Q3/2013 is being uploaded and will be directly submitted for Validation/Submission through an offline process. You will be notified by email on the status of the filing.

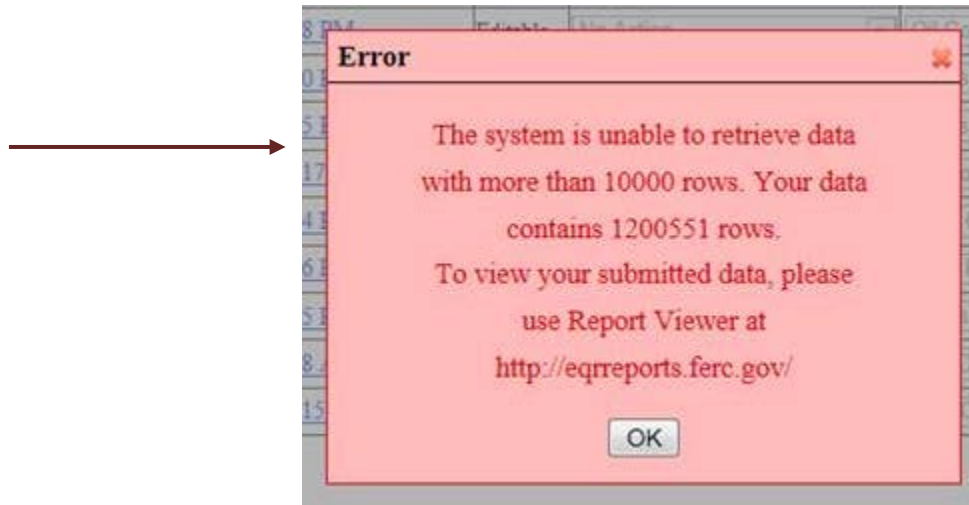
- Start uploading: 1/27/2014 2:39:49 PM  
- End uploading: 1/27/2014 2:40:01 PM  
- Total time: 00:00:11.7034995

Version 2.4 SAT [Release Notes](#) [Reports](#)

**Figure 18 – Message Confirming Large File Sent**

11. The Large File Processing buttons list can be closed by clicking into the blue triangle on the left of the buttons list (see Figure 17, above). System function will be returned back to regular file size. You may upload the file again.

**NOTE:** To view data from a submission containing over 10,000 rows of data, use the report viewer after the file is accepted at <http://eqrreportviewer.ferc.gov>



**Figure 19 – Error Retrieval Message**

**EQR Filing Steps: “Prefill from Prior Filing Period” Action**

This action retrieves existing Seller’s identification and contract information from the prior quarter’s filing, and displays the data on the screen. The user can then update the data and reuse it for the current filing period.

1. Select the current **Filing Period** from the Filing Period drop-down menu.

**NOTE:** The Filing Period selection is critical to this action. Users who select “prefill” must be able to retrieve data already stored in the system from a preceding quarter. Prefill works in the current quarter only if there is a **Last Action Date** message displayed for the seller reflecting that there is an earlier quarter’s data.

Filing Period: Q2, Apr-Jun 2013	
Sellers who have delegated you to file on their behalf:	
Seller Name	Last Action Date
Linda Company (C000347)	
RFC-naruto-NGPA.six (C000514)	
RFC Company Test 2 (C000566)	
RFC-fpambr-naruto 35 (C000592)	
RFC-fpambrpu-naruto 63 (C000652)	
Order 768 Power LLC (C000738)	<a href="#">10/9/2013 11:43:33 PM</a>
Power_LLC (C000739)	
RFC-powerdms-naruto 168 (C000865)	

**Figure 20 – Seller Names and Last Action Date**

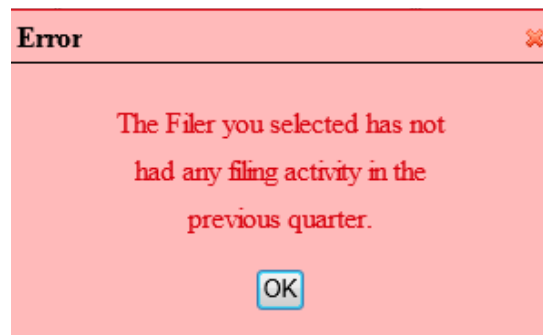
2. Select the **Prefill from Prior Filing Period** action from the Action drop-down box.

<a href="#">Smith Electric and Hydro Solar Shop (C003113)</a>	Editable	Prefill from Prior Filing Period	Smith Electric and Hydro Solar Shop (C003113)
---	----------	----------------------------------	---

**Figure 21 – Prefill from Prior Period Action**

3. Click the **Seller name**.

**NOTE:** If the Seller has not filed the previous quarter, the prefill option is not available and the system will display the message in Figure 22.



**Figure 22 – No Prior Filing Error**



4. If prefill data is available, the ID Data Tab is displayed with data as shown in Figure 23.

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**Electric Quarterly Reports**  
SANDBOX

Swift Hydro Electric Company - C003125

User : Linda.Baker@ferc.gov Q2, Apr-Jun 2014

[ID Data](#) [Buyers](#) [Contracts](#)

Select an option to add contact:

Filer  
 Seller

Assigned Contact(s) for Swift Hydro Electric Company:  
(Regulatory Program: FPA (Market Based Rate) Public Utilities)

Email	Name	Phone	Remove
renee.ng@ferc.gov	Renee Mary Mcgregor	55 55703741	

Contact Name and Email\*

Baker, Linda --- Linda.Baker@ferc.gov

\*If a contact is not on the select list you can go to Company Registration to add the contact as a company representative. Once added as a company representative, the contact will be available on the list.

Figure 23 – ID Data Tab

5. Click **Filer** radio button to verify contact information for Agent.

**NOTE:** There can be only one Filer Agent Contact.

6. If the logged in filer has changed, click **Remove**. Then select the logged in filer from the contact drop-down list and click **Assign Contact** to assign Filer Agent Contact.

Select an option to add contact:

Filer  
 Seller

Assigned Contact(s) for Swift Hydro Electric Company:  
(Regulatory Program: FPA (Market Based Rate) Public Utilities)

Email	Name	Phone	remove
Linda.Baker@ferc.gov	Linda C. Baker	2025028411	

Contact Name and Email\*  
[Mcgregor, Renee --- renee.ng@ferc.gov]

\*If a contact is not on the select list you can go to Company Registration to add the contact as a company representative. Once added as a company representative, the contact will be available on the list.

Filer Contact Details:

Display Name: Renee Mary Mcgregor  
 Email: renee.ng@ferc.gov  
 Name Prefix: MS  
 First Name: Renee  
 Middle Initial: Mary  
 Last Name: Mcgregor  
 Name Suffix:  
 Title: Comedian  
 Street Address: Vasco de Quiroga 3800  
 City: Mexico City  
 State: MX  
 Zip Code: 15109  
 Country: MEXICO  
 Phone: 55 55703741 i.e. 555-555-5555 or 011-52-555-555-5555

Assign Contact

**Figure 24 – Contact Selected**

7. Click **Seller** radio button to verify the Seller contact information. As above, the Seller Contact may be Removed and a new Contact Assigned from the drop-down list.

ID Data | Buyers | Contracts

Select an option to add contact:

Filer

Seller

Assigned Contact(s) for Swift Hydro Electric Company:  
(Regulatory Program: FPA (Market Based Rate) Public Utilities)

Email	Name	Phone	Remove
renee.ng@ferc.gov	Renee Mary McGregor	55 55703741	

Contact Name and Email\*

Select Contact

\*If a contact is not on the select list you can go to Company Registration to add the contact as a company representative. Once added as a company representative, the contact will be available on the list.

Transactions Reported To Index Publisher:

Index Publisher:

<< Back to Select Filing Period page Submit

Figure 25 – Seller Screen

8. Check the box beside **Transactions Reported to Index Publisher**, if applicable, at the bottom of the ID Data tab. Using CSV upload, please indicate Y or N as applicable.
9. Select a Price Publisher (Field 73) (such as EIG, IP, DJ) from the drop-down list.

\*If a contact is not on the select list you can go to Company Registration to add the contact as a company representative. Once added as a company representative, the contact will be available on the list.

Transactions Reported To Index Publisher:

Index Price Publisher(s) To Which Sales Transactions Have Been Reported

Energy Intelligence Group, Inc. | EIG

Transactions Reported

Index Publisher: \* Test

To delete a row, choose 'Please select' from the dropdown list

Save Add

<< Back to Select Filing Period page Submit

Test

Figure 26 – Index Price Publisher Information

10. Click **Save** to save the information.
11. Click **Add** to add an additional Index Price Publisher.

**IMPORTANT:**

If the Seller has no further contracts or transaction data to report, the Seller may click on “**submit**” to proceed with the EQR filing.

12. Click on **Buyers** Tab to view Customer Company information.

The screenshot shows the 'Electric Quarterly Reports' web interface. At the top left is the Federal Energy Regulatory Commission logo. The page title is 'Electric Quarterly Reports'. Below the logo, there are three tabs: 'ID Data', 'Buyers', and 'Contracts'. The 'Buyers' tab is selected and circled in red, with an arrow pointing to it from the left. Below the tabs, the user information is displayed: 'User : Linda.Baker@ferc.gov Q3, Jul-Sep 2013'. There is a 'Logout' link. Below the user information, there is a section for 'Buyers:'. It contains a table with one row: 'Trusted Company'. To the right of the table is an 'Add' button. Below the table, there is a text input field with 'Name: Trusted Company' and three buttons: 'Edit', 'Delete', and 'Reset'. At the bottom right, there are two buttons: '<< Back to Select Filing Period page' and 'Submit'.

**Figure 27 – Customer Company Information on Buyers Tab**

13. Click **Add** to add any additional Customer Companies.

The screenshot shows the 'Electric Quarterly Reports' web interface. At the top left is the Federal Energy Regulatory Commission logo. The page title is 'Electric Quarterly Reports'. Below the logo, there is a breadcrumb trail: 'Jones Water Power - C003379'. The user information is displayed: 'User : Linda.Baker@ferc.gov Q3, Jul-Sep 2013'. There is a 'Logout' link. Below the user information, there are three tabs: 'ID Data', 'Buyers', and 'Contracts'. The 'Buyers' tab is selected. Below the tabs, there is a section for 'Buyers:'. It contains a table with multiple rows of customer company names. The 'Add' button is circled in red. Below the table, there is a '1 2 3' link.

Company Name
American Electric Power Service Corporation
Alpena Power Company
Ameren Energy, Inc.
Aquila Merchant Services, Inc.
BP Energy Company
Carolina Power & Light Company
CEM Energy Resources Management Company
The Detroit Edison Company
The Dayton Power and Light Company
DTE Energy Trading, Inc.
Duke Energy Carolinas, LLC
E-Pass Merchant Energy, L.P.
EnergyUSA-TPC Corp.
Euron Power Marketing, Inc.
Holland Board of Public Works
Louisville Gas and Electric Company/Kentucky Utilities
Michigan Electric Transmission Company, LLC
Municipal Cooperative Coordinated Fund
Michigan Public Power Agency
Michigan South Central Power Agency

**Figure 28 – Adding Customer Company Information**

14. Enter the new Customer Company name in the field provided.

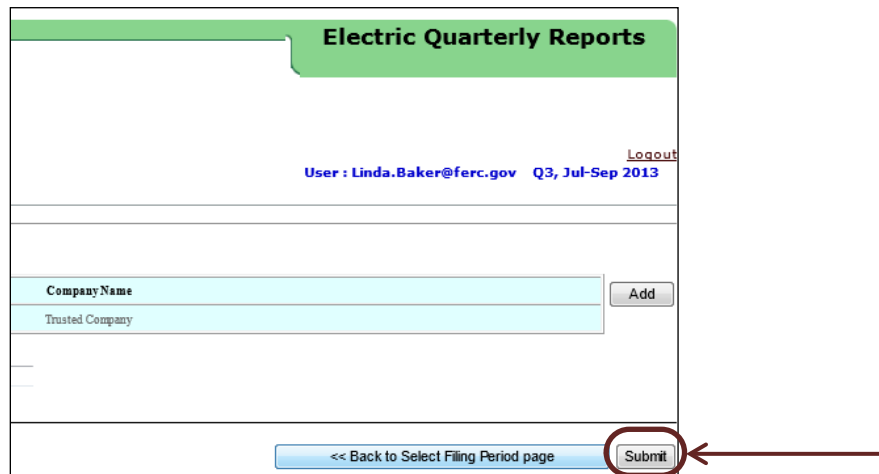
15. Click **Save** to save the changes.

16. Click on a Customer Company to edit the Customer Company name.

17. Click **Edit** to modify the company name.

18. Click **Delete** to remove a company name from the list.
19. Click **Reset** to reset to the previous data.
20. Click **Save** to save the changes.

**NOTE:** If there are no additional changes, the user can send the EQR data by clicking **Submit**.



The screenshot displays the 'Electric Quarterly Reports' web interface. At the top, there is a green header with the title 'Electric Quarterly Reports'. Below the header, the user information is shown: 'User : Linda.Baker@ferc.gov Q3, Jul-Sep 2013' and a 'Logout' link. The main content area contains a table with the following structure:

Company Name	
Trusted Company	<input type="button" value="Add"/>

At the bottom of the interface, there is a navigation bar with a button labeled '<< Back to Select Filing Period page' and a 'Submit' button. The 'Submit' button is circled in red, and a red arrow points to it from the right side of the image.

**Figure 29 – Showing Submit Button**

21. Click **Contracts Tab** (Figure 30 below) to view Contracts Information.
22. Click **Add** to add Contract Details information.



27. Click **Add** to add additional Contract Products.

28. Click **Edit** to edit existing data.

The screenshot displays the FERC Electric Quarterly Reports web interface. At the top, the FERC logo and 'Electric Quarterly Reports' title are visible. Below this, the user information 'Jones Water Power - C003379' and 'User : Linda.Baker@ferc.gov Q3, Jul-Sep 2013' is shown. The navigation bar includes 'ID Data', 'Buyers', and 'Contracts' tabs. The main form area is titled 'Contract Products' and contains several fields: 'Seller Company Name' (Jones Water Power), 'Customer Company Name' (American Electric Power Service Corporation), 'Ferc Tariff Reference' (FERC Electric Tariff, Volume No. 9), 'Contract Service Agreement ID' (T9-36), 'Contract Execution Date' (2013-08-02), 'Commencement Date of Contract' (2013-08-02), and 'Extension Provision Description' (Active Until Terminated by Either Party). The 'Add', 'Edit', 'Cancel', 'Delete', and 'Reset' buttons are located at the bottom of the form. A red arrow points to the 'Add' button, and another red arrow points to the 'Edit' button.

**Figure 31 – Contract Products Tab**

29. Click **Delete** to delete selected Contract Products.

30. Click **Save** to save changes.

**IMPORTANT:** Every field containing an asterisk must be reported as required and defined in the Data Dictionary at <http://www.ferc.gov/docs-filing/eqr/order770/data-dictionary.pdf>.

31. Click the **Transactions Tab** (Figure 32) to view Transaction Details.

The screenshot displays the 'Electric Quarterly Reports' web interface. At the top, it shows the Federal Energy Regulatory Commission logo and user information: 'User: Linda.Baker@ferc.gov Q1, Jan-Mar 2014'. Below this, there are navigation tabs for 'ID Data', 'Buyers', and 'Contracts'. The 'Contracts' tab is active, showing a table with columns for 'Contract UID', 'Buyer', 'Ferc Tariff Reference', and 'Service Agreement ID'. Below the table, there are fields for 'Seller Company Name', 'Customer Company Name', 'Ferc Tariff Reference', 'Contract Service Agreement ID', 'Contract Execution Date', and 'Contract Termination Date'. The 'Transactions' tab is selected and circled in red. An 'Add' button is also circled in red. Below the tabs, there are fields for contract details and a 'Transaction Details' section with various input fields.

**Transaction Details**

Transaction Unique Identifier: \* 3244  
 Begin Date: \* 2014-03-12T00:00  
 Trade Date:   
 Type of Rate: Fixed  
 Point of Delivery Balancing Authority (PODBA): \* HUB  
 Class Name: \* F  
 Increment Name: \* M  
 Product Name: \* BLACK START SERVICE  
 Price: \* 1.000000  
 Standardized Quantity:   
 Total Transmission Charge: \*

End Date: \* 2014-03-13T00:00  
 Exchange/Brokerage Service: BROKER  
 Time Zone: \* AD  
 Point of Delivery Specific Location (PODSL): \* --Select--  
 Term Name: \* --Select--  
 Increment Peaking Name: \* --Select--  
 Transaction Quantity: \*   
 Rate Units: \* FLAT RATE  
 Standardized Price:   
 Total Transaction Charge: \* 21.00

Buttons: Save, Cancel, Delete, Reset

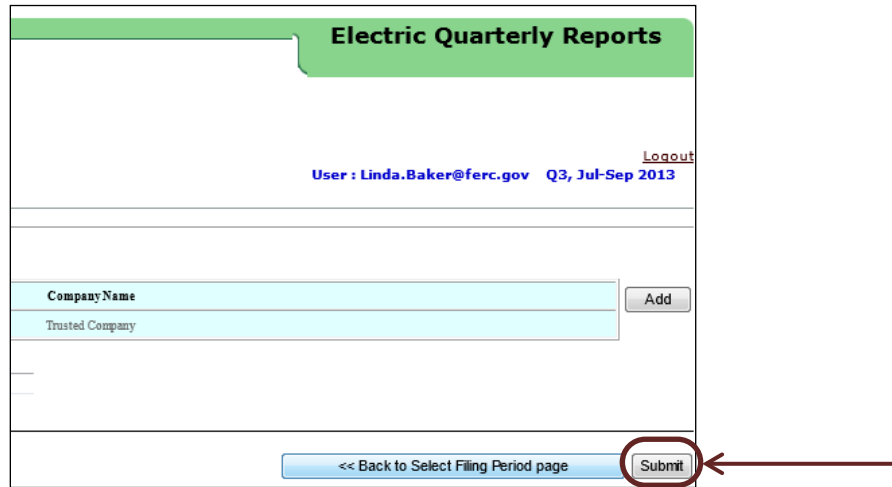
**Figure 32 – Transactions Tab**

32. Click **Add** to add additional Transactions. The fields will now be enabled.

**IMPORTANT:** Every field containing an asterisk must be reported as required and defined in the Data Dictionary at <http://www.ferc.gov/docs-filing/eqr/order770/data-dictionary.pdf>.

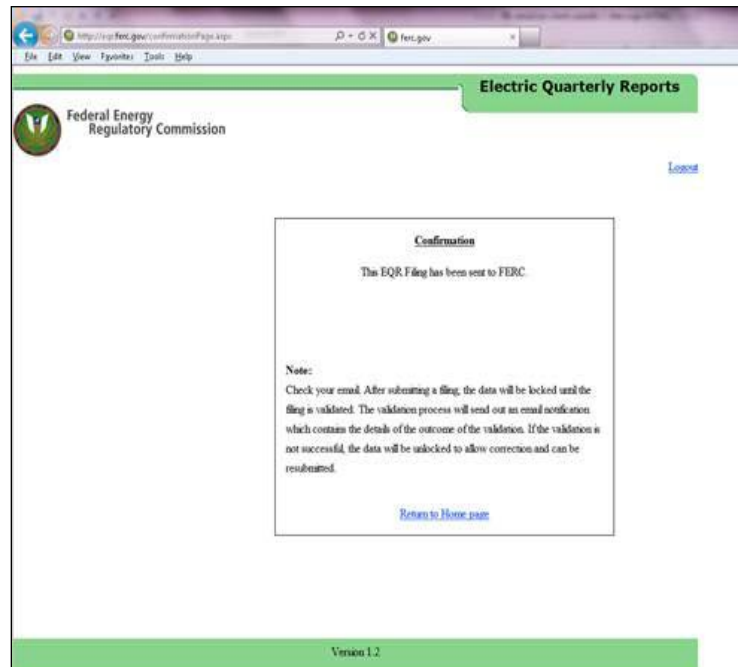


33. Click **Submit** to send the current EQR data for filing.



**Figure 33 – Showing the Submit Button**

34. The following screen displays.



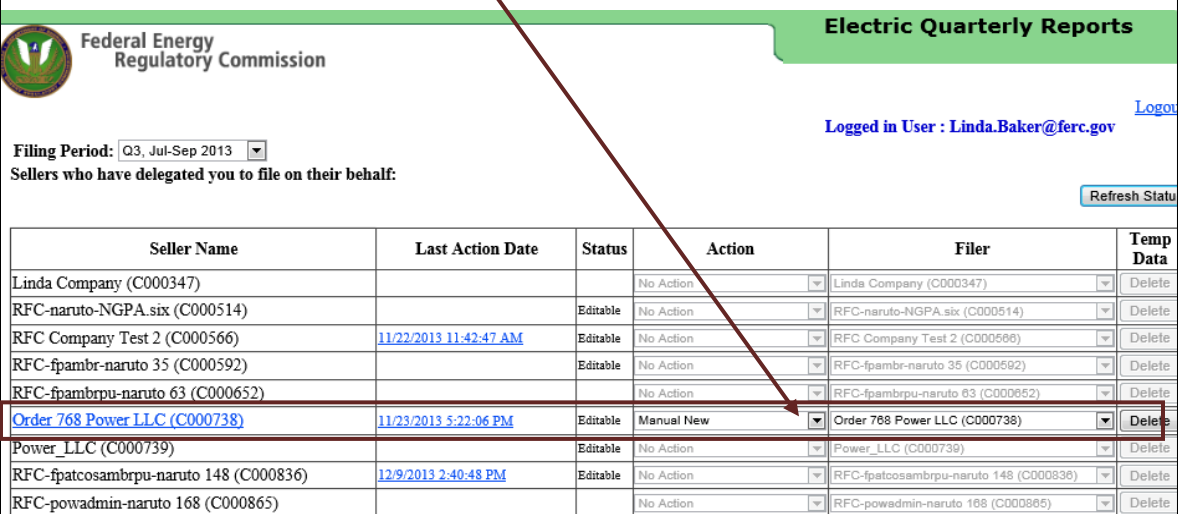
**Figure 34 – Confirmation Screen**

**EQR Filing Steps: “Manual New” Action**

This action displays new data entry screens and allows the user to enter data manually. The minimum required data is the Seller Identification data. If applicable, the user must also report Contract, Transaction, and Index Reporting data.

To create a new EQR filing, the user must perform the following steps:

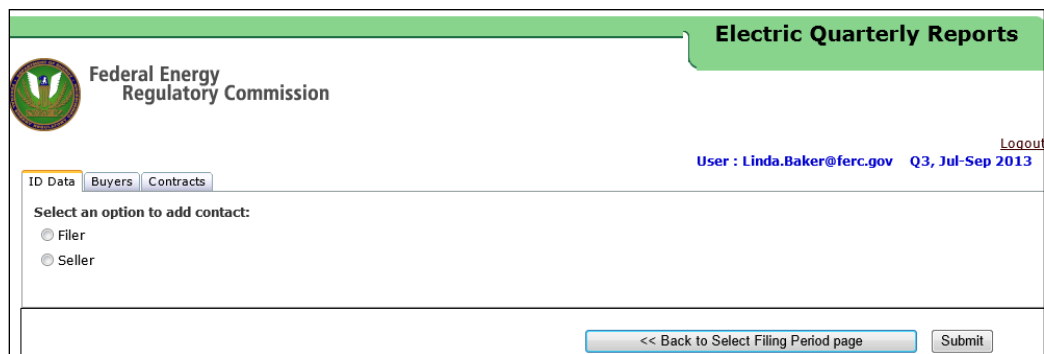
1. Select the **Manual New** action from the drop-down menu in the Action column of the associated seller.



Seller Name	Last Action Date	Status	Action	File	Temp Data
Linda Company (C000347)			No Action	Linda Company (C000347)	Delete
RFC-naruto-NGPA.six (C000514)		Editable	No Action	RFC-naruto-NGPA.six (C000514)	Delete
RFC Company Test 2 (C000566)	<a href="#">11/22/2013 11:42:47 AM</a>	Editable	No Action	RFC Company Test 2 (C000566)	Delete
RFC-fpambr-naruto 35 (C000592)		Editable	No Action	RFC-fpambr-naruto 35 (C000592)	Delete
RFC-fpambrpu-naruto 63 (C000652)			No Action	RFC-fpambrpu-naruto 63 (C000652)	Delete
<a href="#">Order 768 Power LLC (C000738)</a>	<a href="#">11/23/2013 5:22:06 PM</a>	Editable	Manual New	Order 768 Power LLC (C000738)	Delete
Power_LLC (C000739)		Editable	No Action	Power_LLC (C000739)	Delete
RFC-fpatcosambrpu-naruto 148 (C000836)	<a href="#">12/9/2013 2:40:48 PM</a>	Editable	No Action	RFC-fpatcosambrpu-naruto 148 (C000836)	Delete
RFC-powadmin-naruto 168 (C000865)			No Action	RFC-powadmin-naruto 168 (C000865)	Delete

**Figure 35 – Manual New Action**

2. Click the enabled Seller name link as shown in Figure 35, above. The ID Data Screen displays as shown in the example below.



Electric Quarterly Reports

Federal Energy Regulatory Commission

Logged in User : Linda.Baker@ferc.gov [Logout](#)

Filing Period: Q3, Jul-Sep 2013

Sellers who have delegated you to file on their behalf: [Refresh Status](#)

ID Data | Buyers | Contracts

Select an option to add contact:

Filer

Seller

<< Back to Select Filing Period page

**Figure 36 – ID Data Tab**

3. Click the **Filer** radio button (Figure 37, below) to select and/or assign an Agent Contact.

**NOTE:** There can be only one Agent Contact per filing.

Federal Energy Regulatory Commission

Electric Quarterly Reports

User : Linda.Baker@ferc.gov Q2, Apr-Jun 2010 [Logout](#)

ID Data | Buyers | Contracts

Select an option to add contact:

Filer  
 Seller

Assigned Contact(s) for Order 768 Power LLC:

Email	Name	Program	Phone	Remove
Linda.Baker@ferc.gov	Linda C. Baker		202-502-8411	✖

Contact Name and Email\*

FERC, FERCTest2 --- ferctest2@gmail.com

\*If a contact is not on the select list you can go to Company Registration to add the contact as a company representative. Once added as a company representative, the contact will be available on the list.

Filer Contact Details:

Required fields are marked with \*

Display Name: FERCTest2 FERC [Assign Contact](#)

Email: \* ferctest2@gmail.com

Name Prefix: Mr.

First Name: \* FERCTest2

Middle Initial:

Last Name: \* FERC

Name Suffix:

Title: Tester

Street Address: \* 888 1st street NE

City: \* Washington

State: \* DISTRICT OF COLUMBIA

Zip Code: \* 20002

Country: UNITED STATES

Phone: \* 202-502-6215 i.e. 555-555-5555 or 011-52-555-555-5555

Figure 37 – Contact Selected

- Click the **Seller** radio button to verify the Seller contact information. If there are changes in the Seller name, the user may delete the current Seller Contact and add a new Contact from the contact drop-down list. The information must match the contact information in eRegistration and Company Registration.

Federal Energy Regulatory Commission

Electric Quarterly Reports

User : renee.ng@ferc.gov Q3, Jul-Sep 2013 [Logout](#)

ID Data | Buyers | Contracts

Select an option to add contact:

Filer  
 Seller

Assigned Contact(s) for E-naruto 27 (C003068):

Email	Name	Program	Phone	Remove
renee.ng@ferc.gov	Renee W. McGregor	ninja	2025026343	✖

Contact Name and Email\*

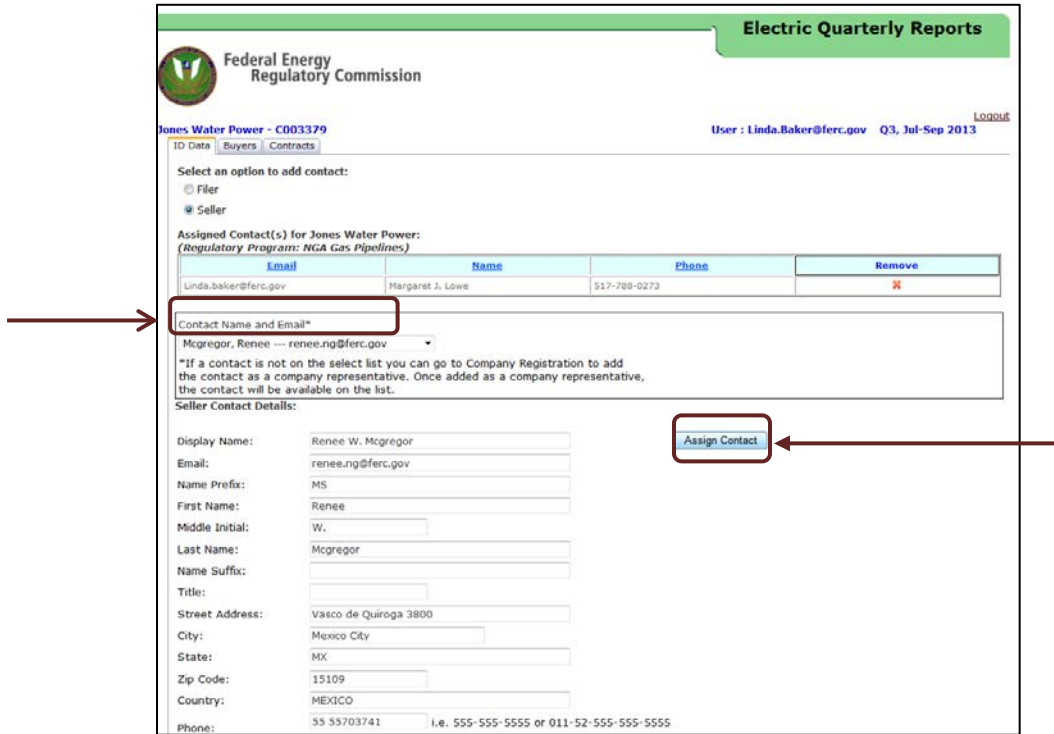
Select Contact

\*If a contact is not on the select list you can go to Company Registration to add the contact as a company representative. Once added as a company representative, the contact will be available on the list.

<< Back to Select Filing Period page [Submit](#)

Figure 38 – Seller Screen

5. Select another name from the Contact Name and Email list.
6. Click the **Assign Contact** button to add additional Seller Contacts.



**Figure 39 – Assign Seller Contact**

**NOTE:** The Seller name can be changed by clicking the **X** under “Remove” to delete the current name and selecting another contact name from the drop-down menu.

Federal Energy Regulatory Commission

Electric Quarterly Reports

User : Linda.Baker@ferc.gov Q3, Jul-Sep 2013

ID Data Buyers Contracts

Select an option to add contact:

Filer

Seller

Assigned Contact(s) for Order 768 Power LLC:

Email	Name	Program	Phone	Remove
Linda.Baker@ferc.gov	Linda C. Baker		202-502-8411	X

Contact Name and Email\*

Select Contact

\*If a contact is not on the select list you can go to Company Registration to add the contact as a company representative. Once added as a company representative, the contact will be available on the list.

Transactions Reported To Index Publisher:

Index Publisher:

<< Back to Select Filing Period page Submit

Test

**Figure 40 – Seller Contact Screen**

7. Click on the **Buyers** Tab to proceed to Customer Company data entry.

Federal Energy Regulatory Commission

Electric Quarterly Reports

User : Linda.Baker@ferc.gov Q3, Jul-Sep 2013

ID Data Buyers Contracts

Buyers:

There are no buyer records Add

Name: \_\_\_\_\_

Edit Delete Reset

<< Back to Select Filing Period page Submit

**Figure 41 – Customer Company Information on Buyers Screen**

8. Click **Add** to add Customer Company Data.
9. Enter Customer Company Name in the available field.

The screenshot shows the 'Electric Quarterly Reports' web interface for the Federal Energy Regulatory Commission. The user is logged in as Linda.Baker@ferc.gov for the period Q3, Jul-Sep 2013. The 'Buyers' tab is active, displaying a table with one entry: 'Shatter Electric Company'. An 'Add' button is located at the end of this row and is highlighted with a red box and an arrow. Below the table, there is a form field for 'Name' containing 'Shatter Electric Company', with 'Edit', 'Delete', and 'Reset' buttons. At the bottom, there are 'Back to Select Filing Period page' and 'Submit' buttons.

**Figure 42 – Add Customer Company**

10. Click **Save** to save Customer Company information. The Customer Company name will display in the list of Customer Companies.

11. Click the **Contracts** Tab.

12. Click **Add** to add a Contract and Associated Contract Details.

**Figure 43 – Contracts Tab**

13. Enter data in the Contract Details Tab as required and defined in the [Data Dictionary](#) and [Validation Rules](#).

14. Click **Save** (Figure 43, above). The data entered will display in the top section of the contracts screen as shown (in Figure 44, below)

**IMPORTANT:** The Contract Execution Date must be earlier than or equal to the last day of the selected filing period.

After clicking **Save**, the data entered will display in the top section of the Contracts screen as shown below.

The screenshot displays the 'Contracts' section of the FERC web interface. At the top, the FERC logo and 'Electric Quarterly Reports' are visible. The user is identified as 'Linda.Baker@ferc.gov' for the period 'Q2, Apr-Jun 2013'. The 'Contracts' tab is active, showing a table with one contract entry. Below the table, a form allows for editing contract details, including fields for Seller and Customer Company Names, Ferc Tariff Reference, Contract Service Agreement ID, and various dates. A red box highlights the 'Contracts' tab, and a red arrow points to the first row of the table.

Contract UID	Buyer	Ferc Tariff Reference	Service Agreement ID
1	A new buyer here	Rwwe	5334

Contract Details | Contract Products | Transactions

Seller Company Name: Oil Company test 71  
Customer Company Name: A new buyer here  
Ferc Tariff Reference: Rwwe  
Contract Service Agreement ID: 5334  
Contract Execution Date: 2013-05-15  
Commencement Date of Contract Terms: 2013-05-15  
Contract Termination Date: 2013-06-13  
Actual Termination Date: 2013-06-13  
Extension Provision Description: A provision to sell  
Note:

Figure 44 – Contracts Details Screen



15. Click the **Contract Products** Tab.

**Figure 45 – Contract Products Fields**

16. Click **Add** to enable data input. The drop-down menus appear after the **Add** button is clicked.

**Figure 46 – Contracts Products Data**

17. Click **Save** to save the new Contract Products data. The new data record will display in the Contracts Product screen.

Product Type	Product Name	Class Name	Term Name	Increment Name
CB	CAPACITY	F	LT	D

**Figure 47 – Contract Product Data**

18. Click the **Transactions** Tab to display the Transaction Details Screen as shown below.

19. Click **Add** to enable the Transaction Details Fields.

20. Enter the information in the Transaction Details Fields as required and defined by the [Data Dictionary](#).

**Figure 48 – Transactions Screen**

21. Click **Save**.

**IMPORTANT:** The begin and end dates in Transaction Details must fall within the filing period selected.

22. The Transaction data that was entered and saved displays as shown in Figure 49, below.

Transaction Unique ID	Identifier	Product	Term	Quantity	Price	Units	Total Transmission Charge	Transaction Charge	Begin Date	End Date
1	34	BLACK START SERVICE	LT	2.0000	\$2.000000	FLAT RATE	\$0.40	\$4.00	2014-03-24T00:00	2014-03-28T00:00

Jump to Page:  / 1

**Figure 49 – Transactions Data**

23. Click **Submit** to send the data to FERC for Filing.

The screenshot shows the 'Electric Quarterly Reports' page for the Federal Energy Regulatory Commission. The user is logged in as Linda.Baker@ferc.gov for the period Q3, Jul-Sep 2013. The 'Buyers' section is active, showing a table with one entry: 'Shatter Electric Company'. Below the table, there are 'Edit', 'Delete', and 'Reset' buttons. At the bottom right, the 'Submit' button is circled in red, with a red arrow pointing to it from the right side of the page.

**Figure 50 – Submit Button**

24. The following screen will display after the data is submitted.

The screenshot shows a confirmation message in a browser window. The message reads: 'Confirmation: The EQR Filing has been sent to FERC.' Below this, a note states: 'Note: Check your email. After submitting a filing, the data will be locked until the filing is validated. The validation process will send out an email notification which contains the details of the outcome of the validation. If the validation is not successful, the data will be unlocked to allow corrections and can be resubmitted.' There is a 'Return to Home page' link at the bottom of the message box. The page footer indicates 'Version 1.2'.

**Figure 51 – Confirmation Screen**

### III. Data Review and Verification in the Web Interface

Once data is uploaded, manually entered, or edited, the information will be available for review on the system Tabs and in Fields, as displayed in the screen below.

**NOTE:** The system will only display up to 10,000 rows of data for users to review in the User Interface.

1. Click the **enabled Seller Name** from the web Interface Screen to open the ID Data tab.

Seller Name	Last Action Date	Status	Action	Filer
Linda Company (C000347)		No Action		Linda Company (C000347)
RFC-naruto-NGPA.six (C000514)		No Action		RFC-naruto-NGPA.six (C000514)
DF-naruto 16 (C000531)		No Action		DF-naruto 16 (C000531)
RFC Company Test 2 (C000566)		No Action		RFC Company Test 2 (C000566)
RFC-ipambapu-naruto 63 (C000652)		No Action		RFC-ipambapu-naruto 63 (C000652)
Order 768 Power LLC (C000738)		Editable	CSV New	Order 768 Power LLC (C000738)
Power LLC (C000739)		No Action		Power LLC (C000739)
Linda Baker Test Company (D000552)		No Action		Linda Baker Test Company (D000552)
DFC Test Company 12 (D000736)		No Action		DFC Test Company 12 (D000736)

Figure 52 – Seller Screen

#### ID Data Tab

This is the initial screen that will display after the enabled Seller link is selected.

Q1, Jan-Mar 2013

Select an option to add contact:

Filer

Seller

<< Back to Select Filing Period page    Submit

Figure 53 – ID Data Tab

#### Filer Radio Button

1. Click **Filer** radio button under the ID Data tab. The Filer Contact Screen displays as shown in Figure 54, below.

Federal Energy Regulatory Commission

Electric Quarterly Reports

User : Linda.Baker@ferc.gov Q2, Apr-Jun 2010 [Logout](#)

ID Data Buyers Contracts

Select an option to add contact:

Filer  
 Seller

Assigned Contact(s) for Order 768 Power LLC:

Email	Name	Program	Phone	Remove
Linda.Baker@ferc.gov	Linda C. Baker		202-502-8411	X

Contact Name and Email\*

FERC, FERCTest2 --- ferctest2@gmail.com

\*If a contact is not on the select list you can go to Company Registration to add the contact as a company representative. Once added as a company representative, the contact will be available on the list.

Filer Contact Details:

Required fields are marked with \*

Display Name: FERCTest2 FERC

Email: \* ferctest2@gmail.com

Name Prefix: Mr.

First Name: \* FERCTest2

Middle Initial:

Last Name: \* FERC

Name Suffix:

Title: Tester

Street Address: \* 888 1st street NE

City: \* Washington

State: \* DISTRICT OF COLUMBIA

Zip Code: \* 20002

Country: UNITED STATES

Phone: \* 202-502-6215  i.e. 555-555-5555 or 011-52-555-555-5555

Figure 54 – Filer Contact Screen

2. Select a Contact name from the drop-down list to view applicable contact information.

Federal Energy Regulatory Commission

Electric Quarterly Reports

User : Linda.Baker@ferc.gov Q3, Jul-Sep 2013 [Logout](#)

ID Data Buyers Contracts

Select an option to add contact:

Filer  
 Seller

Assigned Contact(s) for Order 768 Power LLC:

Email	Name	Program	Phone	Remove
Linda.Baker@ferc.gov	Linda C. Baker		202-502-8411	X

Contact Name and Email\*

FERC, FERCTest2 --- ferctest2@gmail.com

\*If a contact is not on the select list you can go to Company Registration to add the contact as a company representative. Once added as a company representative, the contact will be available on the list.

Filer Contact Details:

Required fields are marked with \*

Display Name: FERCTest2 FERC

Email: \* ferctest2@gmail.com

Name Prefix: Mr.

First Name: \* FERCTest2

Middle Initial:

Last Name: \* FERC

Figure 55 – Select a Contact Name

3. Click **Assign Contact** to assign additional contacts to the particular EQR Filing.
4. Click the red **"X"** in the contact name grid to remove the contact from the list.

**NOTE:** The original contact information is populated from the eRegistration and Company Registration systems. In order to modify the existing EQR contacts, the user must first edit the information in those systems.

5. Click **Seller**. The screen associated with the Seller contains the transaction field at the bottom of the page.

## Sellers

Each EQR filing must have Seller Contact information associated with contract and transaction data.

**Federal Energy Regulatory Commission** Electric Quarterly Reports

User : Linda.Baker@ferc.gov Q2, Apr-Jun 2013 [Logout](#)

ID Data [Buyers](#) [Contracts](#)

Select an option to add contact:

Filer

Seller

Assigned Contact(s) for Order 768 Power LLC (C000738):

Email	Name	Program	Phone	
JohnDoe@O768power.com	John Doe	Regulatory Analyst	(202) 888-8888	✖

Contact Name and Email\*

FERC: FERCTest2 ---- ferctest2@gmail.com

\*If a contact is not on the select list you can go to Company Registration to add the contact as a company representative. Once added as a company representative, the contact will be available on the list.

**Seller Contact Details:**

Required fields are marked with \*

Display Name: FERCTest2 FERC Assign Contact

Email: \* ferctest2@gmail.com

Name Prefix: Mr.

First Name: \* FERCTest2

Middle Initial:

Last Name: \* FERC

Name Suffix:

Title: Tester

Street Address: \* 888 1st street NE

City: \* Washington

State: \* DISTRICT OF COLUMBIA

Zip Code: \* 20002

Country: UNITED STATES

Phone: \* 202-502-6213 i.e. 555-555-5555 or 011-52-555-555-5555

Transactions Reported To Index Publisher:

Index Publisher:

Index Price Publisher(s) To Which Sales Transactions Have Been Reported	Transactions Reported
EIG	Next day physical energy
IP	Balance of month physical energy
AM	Next day physical energy

Save Add

<< Back to Select Filing Period page Submit

**Figure 56 – Seller Contact Screen**

1. Select a Contact name from the drop-down menu to display the contact information.

**NOTE:** The default contact can be removed by clicking the red “X” (Figure 57, below) and can be replaced with a new contact from the list of available names in the drop down menu. That list is populated from Company Registration.

Federal Energy Regulatory Commission  
Electric Quarterly Reports

User : Linda.Baker@ferc.gov Q3, Jul-Sep 2013 Logout

ID Data Buyers Contracts

Select an option to add contact:

Filer  
 Seller

Assigned Contact(s) for Order 768 Power LLC:

Email	Name	Program	Phone	Remove
Linda.Baker@ferc.gov	Linda C. Baker		202-502-8411	X

Contact Name and Email\*

Select Contact

\*If a contact is not on the select list you can go to Company Registration to add the contact as a company representative. Once added as a company representative, the contact will be available on the list.

Transactions Reported To Index Publisher:

Index Publisher:

<< Back to Select Filing Period page Submit

Test

**Figure 57 – Seller Contact Name Selected or Removed**

View the **Index Price Publisher** Information (Data Dictionary Field 73) in the **Transactions Reported to the Index Publisher** (Field 13) section at the bottom of the ID Data tab screen.

2. Click **Add** to add additional transactions reported to the Index Publisher.

Transactions Reported To Index Publisher:

Index Publisher:

Index Price Publisher(s) To Which Sales Transactions Have Been Reported	Transactions Reported
Energy Intelligence Group, Inc. EIG	* Next day physical energy
Bloomberg B	* Balance of month physical energy
Please select	*

To delete a row, choose 'Please select' from the dropdown list

Save Add

**Figure 58 – Transaction Fields**

3. Click **Save** to save the information.

**Customer Company Information on the Buyer Tab**

1. Click the Buyers Tab to view the Customer Company Names (Data Dictionary Field 17).

The screenshot shows the Federal Energy Regulatory Commission's Electric Quarterly Reports interface. At the top, there is a header with the FERC logo and the text "Federal Energy Regulatory Commission" and "Electric Quarterly Reports". Below the header, there is a navigation menu with tabs for "ID Data", "Buyers", and "Contracts". The "Buyers" tab is selected and highlighted with a red arrow. The main content area displays a table of customer company names and IDs. The table has a header row with "Company Name" and a list of 20 rows of example data. Below the table, there is a search field with the text "Name: Example Energy Buyer 00022" and buttons for "Edit", "Delete", and "Reset". At the bottom of the page, there are buttons for "<< Back to Select Filing Period page" and "Submit".

Company Name
Example Energy Buyer 00022
Example Energy Buyer 00020
Example Energy Buyer 00043
Example Energy Buyer 00044
Example Energy Buyer 00068
Example Energy Buyer 00070
Example Energy Buyer 00090
Example Energy Buyer 00093
Example Energy Buyer 00095
Example Energy Buyer 00081
Example Energy Buyer 00007
Example Energy Buyer 00058
Example Energy Buyer 00077
Example Energy Buyer 00023
Example Energy Buyer 00069
Example Energy Buyer 00028
Example Energy Buyer 00027
Example Energy Buyer 00024
Example Energy Buyer 00078
Example Energy Buyer 00013

**Figure 59 – Customer Company Screen**

The information displayed lists all Customer Companies populated from the CSV File or Manual Entry for that particular Seller during the relevant EQR Filing Period.

2. Click **Edit** to edit any existing Customer Company information.
3. Click **Delete** to delete a selected Customer Company from the list.
4. Click **Add** to add an additional Customer Company.
5. Enter the new Customer Company name in the field.

The screenshot shows the Customer Company Addition form. It features a text input field for the company name, which currently contains "New Seller Energy Buyer Company". Below the input field are three buttons: "Save", "Delete", and "Reset". A red arrow points to the "Name:" label next to the input field.

**Figure 60 – Customer Company Addition**

6. Click **Save**.



The new Customer Company information will display at the end of the Customer Company list as shown below.

Example Energy Buyer 00067
Example Energy Buyer 00067
Example Energy Buyer 00039
Example Energy Buyer 00064
New Seller Energy Buyer Company
2 3 4 5

**Figure 61 - New Customer Company Added**

## Contracts Tab

1. Click the Contracts tab to view the contracts screen as shown below.

Federal Energy Regulatory Commission Electric Quarterly Reports

User : Linda.Baker@ferc.gov Q2, Apr-Jun 2010 [Logout](#)

ID Date Buyers **Contracts**

Select Contract: ALL Buyer: ALL Ferc Tarriff Reference: ALL

Contract Unique ID	Buyer	Ferc Tariff Reference
2	Example Energy Buyer 00022	FERC Electric Revised Rate Schedule No. 114
4	Example Energy Buyer 00020	FERC Electric 1st Revised Rate Schedule No. 77
6	Example Energy Buyer 00043	FERC Electric Tariff 1st Revised Volume No. 12
7	Example Energy Buyer 00044	FERC Electric Rate Schedule 3rd Revised No. 116
9	Example Energy Buyer 00068	FERC Electric Rate Schedule No. 91
10	Example Energy Buyer 00070	FERC Electric Rate Schedule No. 88
13	Example Energy Buyer 00090	FERC Electric Rate Schedule No. 143
14	Example Energy Buyer 00093	FERC Electric Rate Schedule No. 115,Sub 2nd Revised No. 213
15	Example Energy Buyer 00095	FERC Electric Rate Schedule No. 227
27	Example Energy Buyer 00081	FERC Electric Tariff Volume No. 5

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30

Seller Company Name: Order 768 Power LLC Customer Company Name: Example Energy Buyer 00022

Ferc Tariff Reference: FERC Electric Revised Rate Schedule No. 114 Contract Service Agreement ID: 257756

Contract Execution Date: 1987-12-21 Contract Termination Date: 2015-07-01

Contract Details | Contract Products | Transactions

Add

Seller Company Name: Order 768 Power LLC

Customer Company Name: Example Energy Buyer 00022

Contract Affiliate

Ferc Tariff Reference: FERC Electric Revised Rate Schedule No. 114  NPU?

Contract Service Agreement Id: 257756

Contract Execution Date: 1987-12-21

Commencement Date of Contract Terms: 1988-04-01

Contract Termination Date: 2015-07-01

Actual Termination Date:

Extension Provision Description: None

Note:

Edit Cancel Delete Reset

<< Back to Select Filing Period page Submit

**Figure 62 – Contracts Lists**

**NOTE:** The Contract Unique ID (Data Dictionary Field 15) must begin with the letter C. The Contract Unique ID matches the contract product to the related contract.

2. Select the Contract drop-down menu to display all contracts or specific contracts.

3. Select a **Customer Company** from the Buyer drop-down menu to view contracts with a given Customer.

Contract UID: 4  
 Buyer: Example Energy Buyer 00020  
 Ferc Tariff Reference: FERC Electric 1st Revised Rate Schedule No. 77  
 Service Agreement ID: 253897  
 Seller Company Name: Smith Electric and Hydro Solar Shop  
 Customer Company Name: Example Energy Buyer 00020  
 Contract Service Agreement: [blank]

**Figure 63 – Customer Company Drop-down Menu**

4. Select a FERC Tariff reference (Data Dictionary Field 19) from the drop-down menu to view the referenced information.

Ferc Tariff Reference:  
 ALL  
 ALL  
 FERC Electric Revised Rate Schedule No. 114  
 FERC Electric 1st Revised Rate Schedule No. 77  
 FERC Electric Tariff 1st Revised Volume No. 12  
 FERC Electric Rate Schedule 3rd Revised No. 116  
 FERC Electric Rate Schedule No. 91  
 FERC Electric Rate Schedule No. 88  
 FERC Electric Rate Schedule No. 143  
 FERC Electric Rate Schedule No. 115, Sub 2nd Revised No. 213  
 FERC Electric Rate Schedule No. 227  
 FERC Electric Tariff Volume No. 5  
 Sheet Nos. 136-162 of Comp 768 FERC Elec Tariff, 1st Rev Vol  
 WDT, FERC Electric Tariff, Original Volume No. 4  
 WDT, FERC Electric Tariff, 1st Revised Vol. No. 4  
 FERC Electric Rate Schedule No. 198  
 FERC Electric First Rate Schedule No. 147  
 FERC Electric Second Rate Schedule No. 136  
 FERC Electric Tariff Sixth Revised Volume No. 5  
 FERC Electric Tariff First Revised Volume No. 4  
 FERC Electric Tariff Sixth Revised Volume No. 4  
 FERC Electric Tariff First revised Volume No. 5  
 FERC Electric Tariff First Revised Volume No. 5  
 FERC Electric Tariff 1st Rev. Vol. No. 4  
 FERC Electric Tariff Sixth Rev. Vol. No. 5  
 FERC Electric Tariff 6th Revised Vol. No. 5  
 FERC Electric Tariff 1st Revised Vol. No. 4  
 FERC Electric Tariff 6th Revised Vol. No. 5  
 FERC Electric Rate Tariff Volume No. 13, Sheet Nos. 1-3  
 FERC Electric Tariff Volume No. 4

**Figure 64 – Tariff Reference Listing**

ID Data | Buyers | **Contracts**

Select Contract: ALL  
 Buyer: ALL  
 Tarrif Reference: FERC Electric 1st Revised Rate Schedule No. 77

Contract UID	Buyer	Ferc Tariff Reference	Service Agreement ID
4	Example Energy Buyer 00020	FERC Electric 1st Revised Rate Schedule No. 77	253897

Seller Company Name: Smith Electric and Hydro Solar Shop  
 Customer Company Name: Example Energy Buyer 00020  
 Ferc Tariff Reference: FERC Electric 1st Revised Rate Schedule No. 77  
 Contract Service Agreement ID: 253897  
 Contract Execution Date: 2013-04-01  
 Contract Termination Date: [blank]

**Figure 65 - Screen showing Contract-Customer Company and Tariff Reference Selected**

**NOTE:** A non-public utility without a FERC Tariff Reference should enter “NPU” for the FERC Tariff Reference in Field 19.

## Contracts Details Tab

This section of the Contracts Screen provides information about the relevant agreement.



**Figure 66 - Contracts Selected**

1. Click **Add** to made additional entries in Contract Details.

**Figure 67 – Contract Details Enabled Fields**

2. Fields identified by an asterisk (\*) are required and must contain data as specified in the [Data Dictionary](#).
3. Click **Save** to save the new Contract Details Information.
4. Click **Delete** to delete the selected Contract Details Information.
5. Click **Edit** to make changes in the contract details.
6. Click **Save** to save changes to the information in these screens. The **Save** option is enabled after the user completes data edits.

Figure 68 – Contracts Details Screen

### Contract Products Tab

All contracts must include at least one contract product.

Contract Product Unique ID	Product Type	Product Name	Class Name	Term Name	Increment Name
2	CB	GRANDFATHERED BUNDLED	F	LT	N/A

Figure 69 – Contract Product Screen

1. Click **Add** to add additional contract products.
2. Click **Edit** to make changes to a selected Contract Product.
3. Click **Delete** to delete the Contract Product Details selected.
4. Click **Save** to save the changes.

## Transactions Tab

The Transactions Tab lists the transactions from the selected Contract.

1. Click **Add** to add additional transaction information in the fields provided. All fields with asterisks are mandatory.
2. Select each drop-down menu to select the options for each mandatory field.

**NOTE:** Follow the Data Dictionary guidelines to select the appropriate options for each field.

**Figure 70 – Transactions Details Fields**

3. Click **Edit** to edit the selected transaction.
4. Click **Delete** to delete the selected transaction.
5. Click **Save** to save the new transaction or changes to an existing transaction.

After the transactions details are properly populated and saved, the information will display as shown below in Figure 71, below.

Transaction Unique ID	Identifier	Product	Term	Quantity	Price	Units	Total Transmission Charge	Transaction Charge	Begin Date	End Date
1	12345	CAPACITY	LT	1.0000	\$1.000000	CENTS	\$1.00	\$2.00	2014-02-27T00:00	2014-03-03T00:00

Jump to Page:  / 1

**Transaction Details**

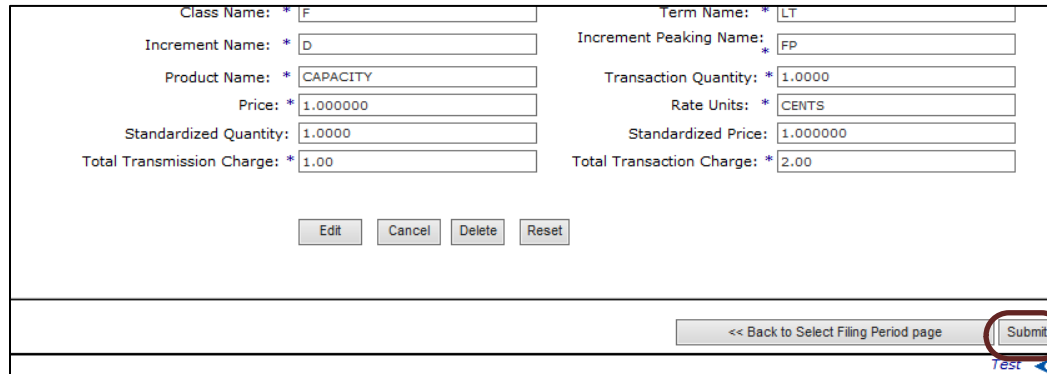
Transaction Unique Identifier: *	<input type="text" value="12345"/>	End Date: *	<input type="text" value="2014-03-03T00:00"/>
Begin Date: *	<input type="text" value="2014-02-27T00:00"/>	Exchange/Brokerage Service:	<input type="text" value="BROKER"/>
Trade Date:	<input type="text" value="2014-03-21"/>	Time Zone: *	<input type="text" value="AD"/>
Type of Rate:	<input type="text" value="Fixed"/>	Point of Delivery Specific Location (PODSL): *	<input type="text" value="Entergy (into)"/>
Point of Delivery Balancing Authority (PODBA): *	<input type="text" value="HUB"/>	Term Name: *	<input type="text" value="LT"/>
Class Name: *	<input type="text" value="F"/>	Increment Peaking Name: *	<input type="text" value="FP"/>
Increment Name: *	<input type="text" value="D"/>	Transaction Quantity: *	<input type="text" value="1.0000"/>
Product Name: *	<input type="text" value="CAPACITY"/>	Rate Units: *	<input type="text" value="CENTS"/>
Price: *	<input type="text" value="1.000000"/>	Standardized Price: *	<input type="text" value="1.000000"/>
Standardized Quantity: *	<input type="text" value="1.0000"/>	Total Transaction Charge: *	<input type="text" value="2.00"/>
Total Transmission Charge: *	<input type="text" value="1.00"/>		

*Test*

**Figure 71 – Populated Transaction Details Data**

## IV. Submitting the EQR Filing

1. From any of the screens in the previous sections, click **Submit** to send the data to FERC for filing.



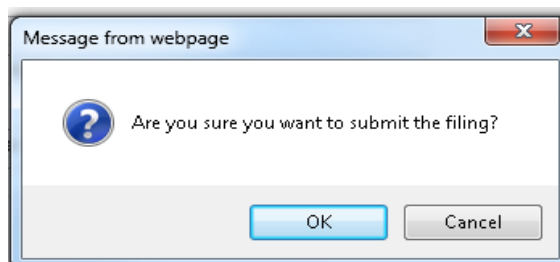
The screenshot shows a web form for submitting EQR data. The form contains the following fields and values:

Class Name: *	F	Term Name: *	LT
Increment Name: *	D	Increment Peaking Name: *	FP
Product Name: *	CAPACITY	Transaction Quantity: *	1.0000
Price: *	1.000000	Rate Units: *	CENTS
Standardized Quantity: *	1.0000	Standardized Price: *	1.000000
Total Transmission Charge: *	1.00	Total Transaction Charge: *	2.00

Below the form are four buttons: Edit, Cancel, Delete, and Reset. At the bottom right of the form, there is a button labeled "Submit" which is circled in red, with a red arrow pointing to it from the right. To the left of the "Submit" button is a button labeled "<< Back to Select Filing Period page".

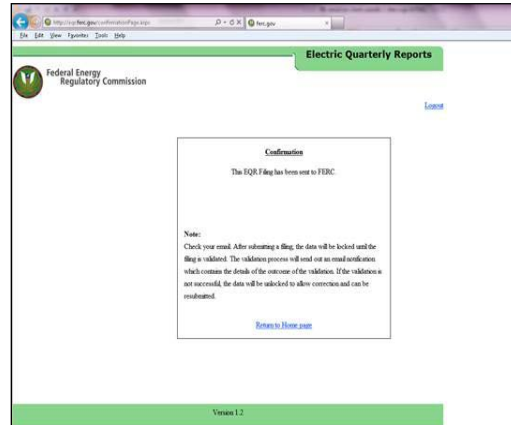
**Figure 72 – Submit Button**

**NOTE:** If there are errors that will prevent the report from being filed with FERC, they will display on the screen. The confirmation screen will not display.



**Figure 73 – Confirmation Pop-up**

2. Click **OK** to affirm the decision to submit the EQR data.
3. The confirmation screen displays.



**Figure 74 – Confirmation Screen**



## V. EQR Test Only Option

The Test Only Validation feature allows users to run a file through FERC's data validation screens before submitting data files to FERC for filing and processing. The advantage of using the test-only option is that testing the data first speeds up eventual processing time. It also allows users to receive error messages more quickly.

Users can send data to test it via Manual Entry, CSV upload, or XML upload into the Working Data system. After receiving error messages in the test-only environment, users can edit data in manual edit before submitting the files to be processed.

Once data is available in the Data System, the user can use the "CSV download" button to download the files.

### Accessing the Test Only Option

1. Click the **ID Data Tab** or any available tab to view the Test Only Option Triangle as shown in the screen below.

The screenshot shows the 'Filer Contact Details' form for 'Order 768 Power LLC'. At the top, there are radio buttons for 'Filer' (selected) and 'Seller'. Below is a table of assigned contacts:

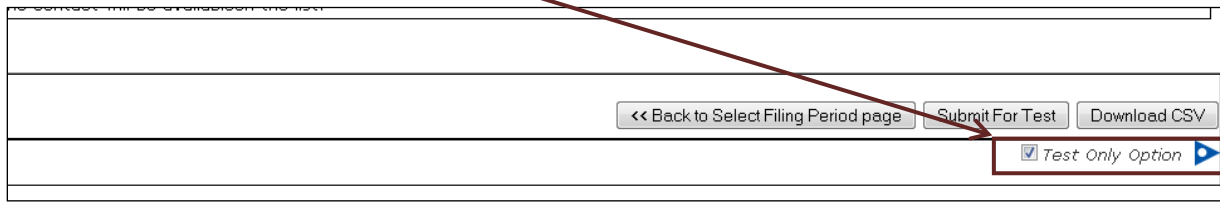
Email	Name	Program	Phone	Remove
Linda.Baker@ferc.gov	Linda C. Baker		202-502-8411	

Below the table is a dropdown menu for 'Contact Name and Email\*' with the selected value 'FERC, FERCTest2 --- ferctest2@gmail.com'. A note states: '\*If a contact is not on the select list you can go to Company Registration to add the contact as a company representative. Once added as a company representative, the contact will be available on the list.' Below this is the 'Filer Contact Details' form with various fields for name, address, and phone number. At the bottom right of the form, there is a checkbox labeled 'Test Only Option' and a blue triangle icon. A red box highlights these two elements, and a red arrow points from the caption below to the blue triangle.

**Figure 75 – Test Triangle**

2. Click the **blue triangle** to display the Test Only box.

3. Check the **Test Only Option** box to view the additional menus.



**Figure 76 – Test Only Options Selected**

- **Submit for Test** – This will allow the user to send a file and view data before validating the EQR.
- **Download CSV** – This will allow the user to view a CSV file at any point before or after making changes.

## ***Sending Data for Test Only Validation***

The "Submit for Test" button allows the user to submit the data for validation in the test only environment before sending the data to FERC for filing.

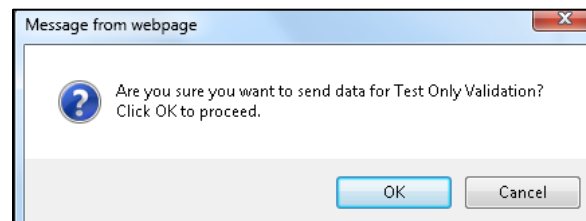
Load the CSV File according to the steps listed in this guide.

1. Click the **Submit for Test** button.

The screenshot shows a web form for 'Filer Contact Details'. The form includes fields for Display Name, Email, Name Prefix, First Name, Middle Initial, Last Name, Name Suffix, Title, Street Address, City, State, Zip Code, Country, and Phone. A red box highlights the 'Submit For Test' button at the bottom right of the form. A red arrow points to this button from the right side of the image. Below the form, there are navigation buttons: '<< Back to Select Filing Period page', 'Submit For Test', and 'Download CSV'. A 'Test Only Option' checkbox is also visible at the bottom right.

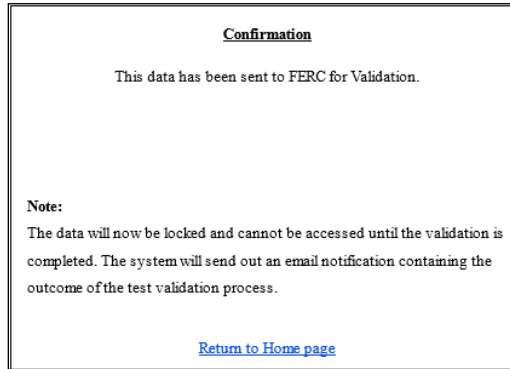
**Figure 77 – Submit for Test**

2. Click **OK** to confirm that you want to submit the test file to be validated.



**Figure 78 – Confirmation to Submit**

3. A confirmation message will appear on the screen as shown in Figure 79, below. An automated receipt email will be sent to the person who sent the EQR file for Validation.

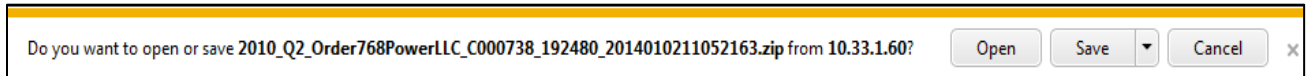


**Figure 79 – Confirmation Screen**

**Test Only – CSV File Download**

Load the CSV File according to the steps listed in this guide.

1. Click the ID Data Tab or any available tab to view the Test Only Option Triangle as shown in Figure 75 above.
2. Click the blue triangle to display the Test Only box as shown in Figure 76 above.
3. Click the **Download CSV** button. The following screen displays.



**Figure 80 – Confirmation Message**

4. Click **OK** to view the unzipped CSV files as shown below.

Name	Type	Compressed size	Password ...	Size
201309_Order_768_Power_LLC_contracts.CSV	Microsoft Excel Comma S...	1 KB	No	
201309_Order_768_Power_LLC_ident.CSV	Microsoft Excel Comma S...	1 KB	No	

**Figure 81 – CSV Files Displayed**

5. Click the icon beside each file’s name to open and view its contents.

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1	filer_uni	company_name	company	contact_n	contact_t	contact_a	contact_city	contact_state	contact_zi	contact_ci	contact_p	contact_e	transactio	filing_quarter	
2	FS1	Order 768 Power LLC	C000738	Linda C. Baker	888 1st St.	Washington	NT	T2P 0J1	CA	202-502-8	Linda.Baki	N	201309		
3	FA1	Order 768 Power LLC	C000738	Linda C. Baker	888 1st St.	Washington	N/A	20426	GB	202-502-8	Linda.Baki	N	201309		
4															
5															
6															
7															

**Figure 82 – Example of CSV Content**

## Test Only XML Submission Download

This menu option allows the user to view the test file in XML format regardless of the initial file format.

1. Click the “**Test Only XML Submission Download**” link as shown below.

The screenshot displays the FERC Online TEST interface. On the left, a vertical menu lists various options, with 'Test Only XML Submissions Download' highlighted. The main content area is titled 'Test only XML Submissions Download' and contains two input fields for 'Registered Email Address' and 'Password'. Below these fields is a button labeled 'Test Only Submissions'. A search box labeled 'EQR Test only submissions' is positioned below the button. The footer bar at the bottom indicates 'Last Modified: 10/23/13'.

**Figure 83 – Test XML Download Screen**

2. Enter the user’s eRegistered email address.
3. Enter password to download previously submitted test files.
4. Click “**Test Only Submissions**” to view all the submissions the user has sent.

**IMPORTANT:** Clicking the Download button (below) will display the file in XML even if the initial files were submitted as CSV or by Manual Entry.

FERC Online TEST

Test only XML Submissions Download

Registered Email Address: Linda.Baker@ferc.gov

Password: [ ]

Test Only Submissions

EQR Test only submissions

Submission ID	Filed Date	Email Address	Filer Name	Submission Status	Download
208719	11/20/2013 4:48:19 PM	Linda.Baker@ferc.gov	Linda C. Baker	Final	Download
208716	11/20/2013 3:02:07 PM	Linda.Baker@ferc.gov	Linda C. Baker	Rejected	Download

**Figure 84 – File Submission View**

5. Click the **Download** link of the XML Submission.
6. Click **Open** to open the file.



**Figure 85 – Click Open**

7. The XML file will open.

Name	Type	Compressed size	Password ...	Size	Ratio	Date modified
2013Q2_C000672new.xml	XML Document	2 KB	No	9 KB	81%	11/20/2013 4:4

**Figure 86 – XML View**

8. Click on the file to view XML code similar to that shown in Figure 87, below.

```
<?xml version='1.0'?>
<ContractType New Quarter='Second' Year='2012' PeriodType='Quarter' xmlns='http://www.eqr.gov/eqrns/04-22'?>
  <Contract>
    <ContractId U4-2 FileType='New' EditionPreviousDescription='None' CommencementDate='2012-04-01' ExpirationDate='2012-04-01' ContractServiceAgent='123456'
      FacilityReference='FDC Electric Revised Rate Schedule KXZ' Device='2' Schedule='1'>
      <ContractProducts>
        <ContractProduct U4-1 FileType='New' Schedule='2012-04-01T00:00:00' BeginDate='2012-04-01T00:00:00' EndDate='NP15' Price='NP15' Quantity='NP15'
          Unit='MW' Quantity='1' IncrementalType='FF' Increment='M' Term='LT' Class='F' Production='CAPACITY' ProductType='CE'
          RateUnits='$/MWh' Description='Settlement Base Rate plus Fuel Cost Adjustment. Maximum= 200 Minimum= 1.00 Value= 1.1'>
          <ContractProduct>
            <ContractProduct>
              <Transaction U4-1 FileType='New' Schedule='2012-04-01T12:59:00' BeginDate='2012-04-01T12:59:00' EndDate='NP15' Price='NP15' Quantity='1' IncrementalType='FF'
                Increment='M' Term='LT' Class='F' Production='CAPACITY' TransactionCharge='200' TotalTransactionCharge='200' RateType='Fixed' RateUnits='$/MWh'
                StartDateFrom='M' Rate='2' StandardQuantity='M' TradeDate='2012-04-01T00:00:00' TimeZone='UTC'>
              <Transaction U4-2 FileType='New' Schedule='2012-04-01T12:59:00' BeginDate='2012-04-01T12:59:00' EndDate='NP15' Price='NP15' Quantity='2' IncrementalType='FF'
                Increment='M' Term='LT' Class='F' Production='CAPACITY' TransactionCharge='200' TotalTransactionCharge='200' RateType='Fixed' RateUnits='$/MWh'
                StartDateFrom='M' Rate='3' StandardQuantity='M' TradeDate='2012-04-01T00:00:00' TimeZone='PST'>
            </Transaction>
          </ContractProduct>
        </ContractProducts>
      </Contract>
    <Contract U4-1 FileType='New' EditionPreviousDescription='None' CommencementDate='2012-04-01' ExpirationDate='2012-04-01' ContractServiceAgent='123456'
      FacilityReference='FDC Electric Lst Revised Rate Schedule No. 77' Device='2' Schedule='1'>
      <ContractProducts>
        <ContractProduct U4-1 FileType='New' Schedule='2012-04-01T00:00:00' BeginDate='2012-04-01T00:00:00' EndDate='NP15' Price='NP15' Quantity='NP15'
          Unit='MW' Quantity='1' IncrementalType='FF' Increment='M' Term='LT' Class='F' Production='CAPACITY' ProductType='CE'
          RateUnits='$/MWh' Description='Negotiated rate for low voltage transmission. Maximum= 22.2 Minimum= 2.00 Value= 2.2'>
          <ContractProduct>
            <ContractProduct U4-2 FileType='New' Schedule='2012-04-01T00:00:00' BeginDate='2012-04-01T00:00:00' EndDate='NP15' Price='NP15' Quantity='NP15'
              Unit='MW' Quantity='2' IncrementalType='FF' Increment='M' Term='LT' Class='F' Production='ENERGY' ProductType='CE'
              RateUnits='$/MWh' Description='Negotiated rate for low voltage transmission. Maximum= 22.2 Minimum= 2.00 Value= 2.2'>
            </ContractProduct>
          </ContractProducts>
        </Contract>
      </ContractType>
    <Organization U4-1 TransactionReportIndexPublisher='True' IsSeller='True' IsBuyer='False' IsFile='True' Name='NYS-Quantum 25' Cdn='COM957'>
      <Contact U4-1 IsSellerContact='True' IsBuyerContact='False' IsFileContact='False' Email='Ynda.hales@nys.gov' Phone='(312) 456-7890' Title='Regulatory Analyst'
        LastName='Sample' FirstName='Joe'
        AddressCountry='US' State='DC' City='Washington, DC' Street='100 First Street NE'>
      <Contact U4-2 IsSellerContact='False' IsBuyerContact='True' IsFileContact='True' Email='Ynda.hales@nys.gov' Phone='(312) 999-9999' Title='Business Manager'
        LastName='Nanda' FirstName='Tiber' DisplayName='Tiber Nanda'
        AddressCountry='US' State='DC' City='Washington, DC' Street='999 Second Street W'>
      </Contact>
      <Contact>
      <DataPublishers>
        <DataPublisherName='EIS' TransactionReported='Next day physical energy' />
        <DataPublisherName='IP' TransactionReported='Balance of month physical energy' />
        <DataPublisherName='MW' TransactionReported='Month transaction reported' />
        <DataPublisherName='P' TransactionReported='New firm in attachment' />
        <DataPublisherName='B' TransactionReported='Another transaction' />
        <DataPublisherName='DT' TransactionReported='TRANSACTION REPORTED' />
        <DataPublisherName='PM' TransactionReported='This is a transaction.' />
        <DataPublisherName='SM' TransactionReported='reported transaction.' />
      </DataPublishers>
    </Organization>
    <Organization U4-2 TransactionReportIndexPublisher='False' IsSeller='False' IsBuyer='True' IsFile='False' Name='Example Energy Buyer 0002'>
      <Contact p4-nr='True' xmlns='http://www.eqr.org/2001/XMLSchema-instance' />
      <DataPublisher p4-nr='True' xmlns='http://www.eqr.org/2001/XMLSchema-instance' />
    </Organization>
    <Organization U4-3 TransactionReportIndexPublisher='False' IsSeller='False' IsBuyer='True' IsFile='False' Name='Example Energy Buyer 0003'>
      <Contact p4-nr='True' xmlns='http://www.eqr.org/2001/XMLSchema-instance' />
      <DataPublisher p4-nr='True' xmlns='http://www.eqr.org/2001/XMLSchema-instance' />
    </Organization>
  </ContractType>
</pre>
```

Figure 87 – File of XML Code

## Delete Working Data

Once the user logs into the EQR Submission Viewer and accesses the Seller page, the user will see a “Temp Data” column on the right side of the screen.

Logged in User : Linda.Baker@ferc.gov

Filing Period: Q3, Jul-Sep 2013

Sellers who have delegated you to file on their behalf:

Refresh Status

Seller Name	Last Action Date	Status	Action	File#	Temp Data
Linda Company (C000347)			No Action	Linda Company (C000347)	Delete
RFC-naruto-NGPA six (C000514)		Editable	No Action	RFC-naruto-NGPA six (C000514)	Delete
RFC Company Test 2 (C000566)			No Action	RFC Company Test 2 (C000566)	Delete
RFC-mpambn-naruto 35 (C000592)		Editable	No Action	RFC-mpambn-naruto 35 (C000592)	Delete
RFC-mpambn-naruto 63 (C000652)			No Action	RFC-mpambn-naruto 63 (C000652)	Delete
Order 768 Power LLC (C000738)	10/7/2013 9:13:14 PM	Editable	No Action	Order 768 Power LLC (C000738)	Delete
Power_LLC (C000739)			No Action	Power_LLC (C000739)	Delete
RFC-powadmin-naruto 168 (C000865)			No Action	RFC-powadmin-naruto 168 (C000865)	Delete
Sweet Water Sausage Electric company (C000877)			No Action	Sweet Water Sausage Electric company (C000877)	Delete
FPA company test 1 (C000890)		Editable	No Action	FPA company test 1 (C000890)	Delete
Oil Company Test 1 (C000893)			No Action	Oil Company Test 1 (C000893)	Delete
Oil Company Test 1 (C000894)	10/30/2013 4:20:14 PM	Editable	No Action	Oil Company Test 1 (C000894)	Delete
Gas Company Test 10 (C000896)		Editable	No Action	Gas Company Test 10 (C000896)	Delete
Gas Company Test 11 (C000897)		Editable	No Action	Gas Company Test 11 (C000897)	Delete
Oil Company Test 12 (C000898)		Editable	No Action	Oil Company Test 12 (C000898)	Delete
Oil Company Test 15 (C000900)	11/12/2013 1:05:10 PM	Editable	No Action	Oil Company Test 15 (C000900)	Delete
Oil Company Test 1 (C000920)		Editable	No Action	Oil Company Test 1 (C000920)	Delete
Oil Company Test 100 (C000921)			No Action	Oil Company Test 100 (C000921)	Delete
Oil Company Test 2 (C000923)			No Action	Oil Company Test 2 (C000923)	Delete
Gas Company Test 2 (C000924)			No Action	Gas Company Test 2 (C000924)	Delete

Figure 88 – Temp Data Column

The **Delete** button is enabled depending on the status of the test filing, described in scenarios 1 – 3 in Figure 89, below.

**IMPORTANT:** This button can only delete test data using the Test Only Features. If the user has submitted the report as a final filing, the delete button will NOT be enabled. The user must refile using the steps described in this guide.

Scenario	Does Working Data Exist?	Does filing exist in EQR database (DB) for same filing period?	Is Delete Button Enabled?	Populate User Interface (UI) from:
1	Yes	No	Yes	Working Data
2	Yes	Yes	Yes	Working Data
3	No	Yes	No	EQR DB

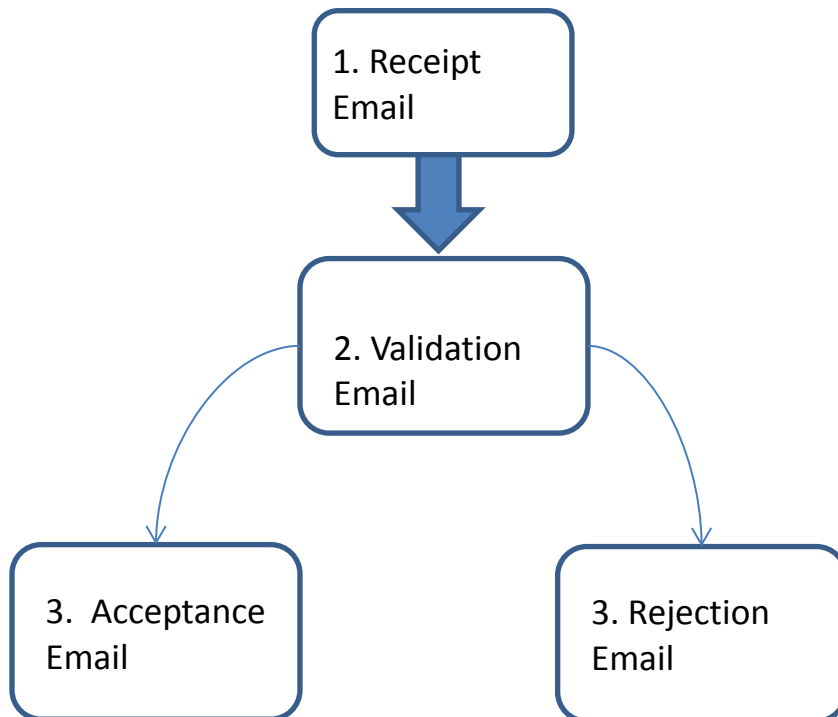
Figure 89 – Conditions under which Delete Button is enabled for Test Data



## VI. Notifications

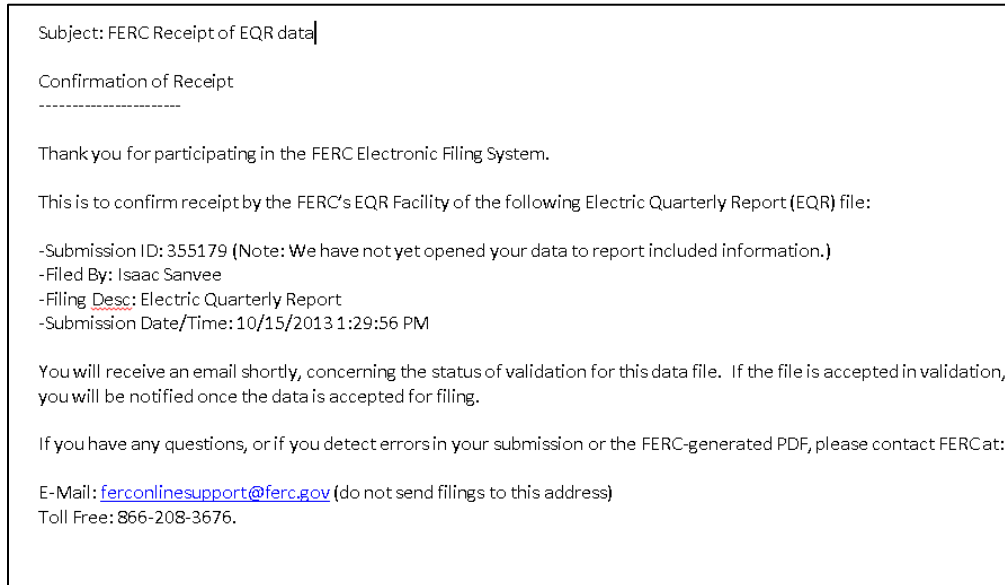
There are different emails notifications based on the status of the EQR filing. It is important that the user review the emails for instructions or errors that may require data correction in order for the report to be successfully filed with FERC.

The following diagram displays the three possible email types and the order in which users will receive them. If the filing submitted is for test only, that will be indicated in the email.



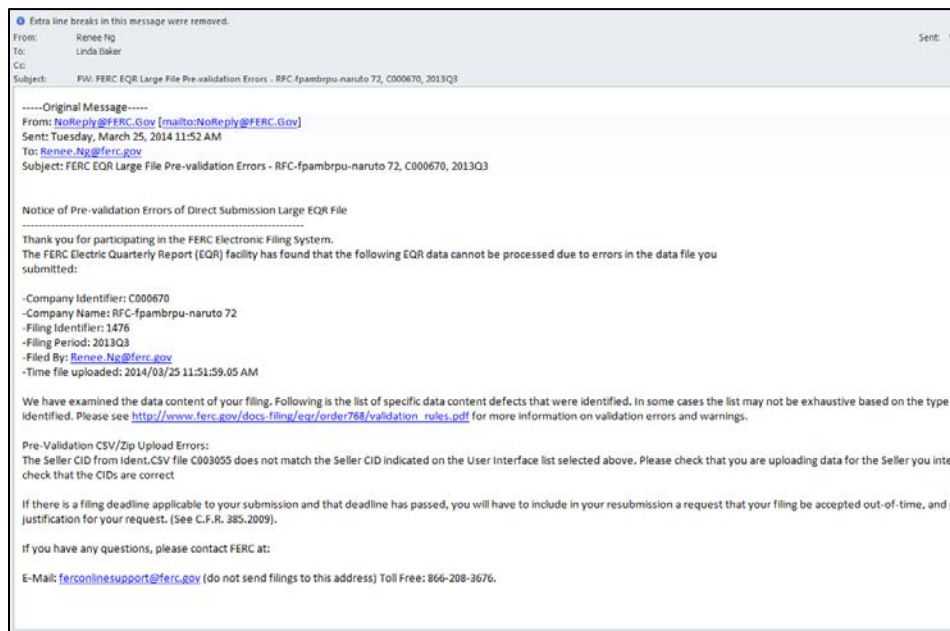
## FERC Receipt of EQR data

After EQR data is sent to FERC, an automatic email is sent to the user who clicked the “**Submit**” button. Receipt of EQR data by FERC does not mean the EQR file has been accepted as valid by FERC. Figure 90 shows an example of a receipt email.



**Figure 90 – Typical Receipt Confirmation**

If a large CSV file is sent to FERC, an automatic email is sent to the user. Figure 91 shows an example of a large CSV file receipt email.



**Figure 91 – Large CSV Pre-Validation email**

### ***FERC Validation Processing***

Once data is sent to FERC, an automatic email is sent to the user when the data enters validation. It does not mean that the EQR has been accepted by FERC. If the data is sent for **Test Only** Validation, the email message will differ slightly.

The email will indicate any initial errors or warnings associated with the file. However, an additional email will signal final results. If the file is rejected, a separate rejection email is sent to the user. The file is not considered accepted until a separate acceptance email is sent.

```
Subject: FERC Validation of EQR data: <Seller Company Name><CID><Filing Period>

Confirmation of EQR Validation
-----

Thank you for participating in the FERC Electronic Filing System. The FERC Electric Quarterly Report (EQR) facility has found that the following EQR data is acceptable:

-Submission ID: {1}
-Company Identifier: <CID>
-Company Name: <Seller Company Name>
-Filing Identifier: 2
-Filing Period: <Filing Period>
-Filed By: {2}
-Time file received: {3}
-Time file began processing: {19}
-Time file ended processing: {8}

Although your file passed Validation, following is the list of specific data Warnings that were triggered:

--Warning List – Minor Defects; filing will be accepted for processing if no errors were identified.
No warnings

If you have any questions, please contact FERC at:

E-Mail: ferconlinesupport@ferc.gov (do not send filings to this address)
Toll Free: 866-208-3676.
```

**Figure 92 – Validation email**

**FERC Rejection of EQR Filing**

This email is sent to all Filer and Seller Contacts if a Filing is rejected. If this email has been received, please contact FERC Online Support at [ferconlinesupport@ferc.gov](mailto:ferconlinesupport@ferc.gov) (Telephone: 202-502-6652; Toll-free: 1-866-208-3676) to report the issue.

Subject: FERC Rejection of EQR data: <Seller Company Name><CID> <Filing Period>

Notice of EQR Validation Rejection  
-----

Thank you for participating in the FERC Electronic Filing System. The FERC Electric Quarterly Report (EQR) facility has found that the following EQR data cannot be processed due to errors in validation:

- Submission ID:{1}
- Company Identifier: <CID>
- Company Name: <Seller Company Name>
- Filing Identifier: 2
- Filing Period: <Filing Period>
- Filed By: {2}
- Time file received: {3}
- Time file began processing: {19}
- Time file ended processing: {8}

We have examined the data content of your filing. Following is the list of specific data content defects that were identified. In some cases the list may not be exhaustive based on the type of defect identified. Please see [http://www.ferc.gov/docs-filing/eqr/order768/validation\\_rules.pdf](http://www.ferc.gov/docs-filing/eqr/order768/validation_rules.pdf) for more information on Validation errors and warnings.

---Error List – Severe defects that result in rejection of the filing.  
Subject: Organization(1); Message: F.16.14.2 A Seller Contact is missing in a Seller record. At least one Seller Contact is required.

--Warning List - Minor Defects; filing will be accepted for processing if no errors were identified.  
No warnings

You may resend your data once you have made the corrections identified herein.

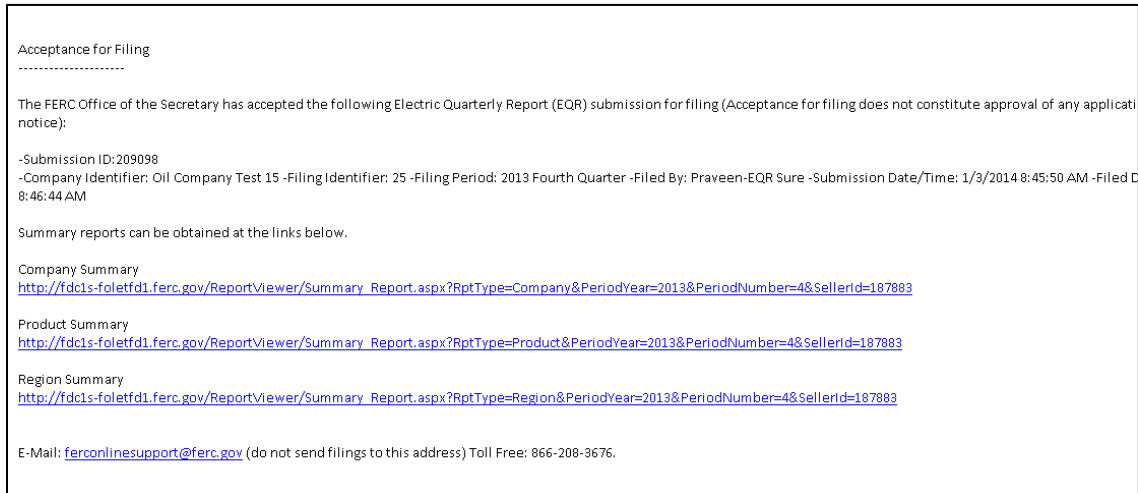
If you have any questions, please contact FERC at:

E-Mail: [ferconlinesupport@ferc.gov](mailto:ferconlinesupport@ferc.gov) (do not send filings to this address)

**Figure 93 – Rejection of Filing Confirmation**

## ***FERC Acceptance of EQR Filing***

This email is sent to all Filer and Seller Contacts once a Filing is accepted. If this email has not been received, then FERC has not accepted the filing.



**Figure 94 – Acceptance of Filing Confirmation**

**IMPORTANT:** If there are no errors and the acceptance email is not received, please contact FERC Online Support at [ferconlinesupport@ferc.gov](mailto:ferconlinesupport@ferc.gov) (Telephone: 202-502-6652; Toll-free: 1-866-208-3676) to report the issue.

## VII. Uploading an XML File

In Order 770, the Commission discussed the many XML parser programs (commonly referred to as XML editors) available free on-line that can help you to check whether your XML file is consistent with the EQR XML Schema. The editors will check for data formatting errors. If your file contains business logic errors, a validation email will notify you. You can find some of the EQR XML editors @ [http://en.wikipedia.org/wiki/Comparison\\_of\\_XML\\_editors](http://en.wikipedia.org/wiki/Comparison_of_XML_editors)

FERC has released a web service for the outside users to submit the zipped EQR XML files to FERC. To send the EQR filing in XML format, there are two options.

### **Option-1: Direct XML Upload**

This webpage is used to upload an EQR XML to the FERC. The EQR XML must be zipped. The direct xml upload page is as follows.

<https://eqronline.ferc.gov/FormsSubmissionWCF.aspx>

1. Enter the user's eRegistered email address and password.

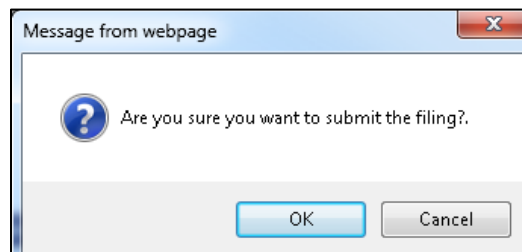
**Figure 95 - Direct XML File Submission**

2. Click the **Browse** button to locate the file to load (sample file list displayed in Figure 96, below)

Name	Size	Item type
2013Q2_C000672new (2).zip	2 KB	Compressed (zipped) Folder
2013Q2_C000672new.zip	2 KB	Compressed (zipped) Folder
Copy of Ace Federal Invoice Tracking #FERC13-D-0183 8-1-13 - 10-31-...	29 KB	Microsoft Excel Worksheet
Book1.xlsx	9 KB	Microsoft Excel Worksheet
ePortfolio.xls	21 KB	Microsoft Excel 97-2003 Worksheet
EQRVersionsReleaseNotes_2013_Nov06_v1_10.docx	31 KB	Microsoft Word Document
List of Error Messages for CSV Upload and Pre-Validation (akrshr) (2).do...	67 KB	Microsoft Word Document
201207_C003055_test8.zip	3 KB	Compressed (zipped) Folder
Functional Requirements Document (FRD) template.doc	234 KB	Microsoft Word 97 - 2003 Document
201207_C003055_testonly7.zip	3 KB	Compressed (zipped) Folder
201207_C003055_testonly6.zip	3 KB	Compressed (zipped) Folder
201207_C003055_testonly4.zip	3 KB	Compressed (zipped) Folder
201207_C003055_testonly3.zip	3 KB	Compressed (zipped) Folder
201207_C003055_testonly2.zip	3 KB	Compressed (zipped) Folder
201207_C003055_Testonly.zip	3 KB	Compressed (zipped) Folder
HRFORM505A.docx	1,495 KB	Microsoft Word Document
Task 5- Training.docx	131 KB	Microsoft Word Document
OALJDR Mark-Up.docx	106 KB	Microsoft Word Document
EQR User Guide 110713 redline.docx	2,891 KB	Microsoft Word Document
Linda file.notebook	3 KB	NOTEBOOK File
Training Plan_2012.doc	316 KB	Microsoft Word 97 - 2003 Document
ALJDR Requirements.docx	46 KB	Microsoft Word Document
EQR Versions Release Notes (Market Oversight Team 10-23-13)AR_LB.d...	28 KB	Microsoft Word Document
EQR Versions Release Notes (Market Oversight Team 10-23-13)AR_LB.d...	28 KB	Microsoft Word Document

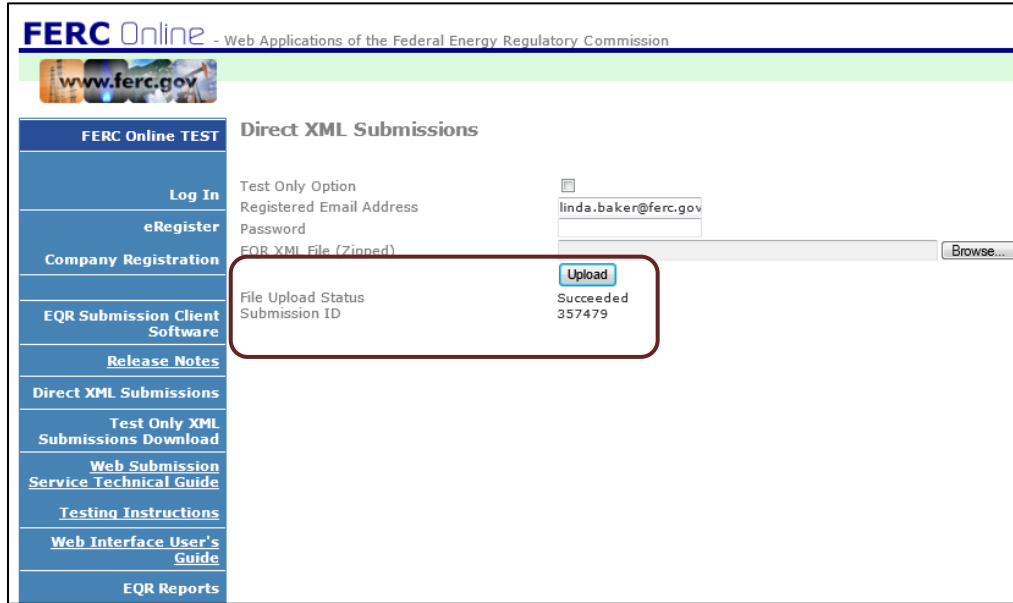
**Figure 96 – File Listings to Load XML File**

3. Click the **Upload** button shown in Figure 95 above to upload the zipped XML to FERC.



**Figure 97 – XML Confirmation Box**

4. Click **OK** to submit the filing.
5. Upon successful submission, the user will see a File Upload Status and FERC EQR Submission ID as shown in the figure below.



**Figure 98 – XML Upload Status Screen**



## Option-2: Web Service

FERC has released a web service for the users to submit EQL files. The WSDL location for the service is as follows, <https://formsubmissionservice.ferc.gov/>.

The service features two interface methods, Hearbeat() and SubmitFile() and submitFileValidateOnly() is https enabled. The client software can use the above link to access the service and generate a proxy class. The example below is written in c#.

```
<?xml version="1.0" encoding="utf-8" ?>
<wsi:definitions name="FormsSubmissionService" targetNamespace="http://tempuri.org/" xmlns:wsi="http://schemas.xmlsoap.org/wsdl/"
xmlns:ws="http://schemas.xmlsoap.org/ws/2004/09/soap" xmlns:wsa1="http://www.w3.org/2005/08/addressing" xmlns:tns="http://tempuri.org/"
xmlns:soap12="http://schemas.xmlsoap.org/wsdl/soap12/" xmlns:wsa="http://docs.oasis-open.org/ws/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd"
xmlns:wsp="http://schemas.xmlsoap.org/ws/2004/09/policy" xmlns:wswag="http://schemas.xmlsoap.org/ws/2004/08/addressing/policy"
xmlns:smc="http://schemas.xmlsoap.org/ws/2005/12/wsdll/contract" xmlns:wua="http://schemas.xmlsoap.org/ws/2004/08/addressing"
xmlns:wsam="http://www.w3.org/2007/05/addressing/metadata" xmlns:wswan="http://www.w3.org/2006/05/addressing/wsdl" xmlns:soap="http://schemas.xmlsoap.org/wsdl/soap/"
xmlns:td="http://ferc.gov/forms" xmlns:xsd="http://www.w3.org/2001/XMLSchema" xmlns:soapenc="http://schemas.xmlsoap.org/soap/encoding/">
<wsdl:import namespace="http://ferc.gov/forms" location="http://formsubmissionservice.ferc.gov/FormsSubmissionService.svc?wsdl-wsdl0" />
<wsi:types />
<wsi:binding name="BasicHttpBinding_IFormsSubmissionService" type="tns:IFormsSubmissionService">
<soap:binding transport="http://schemas.xmlsoap.org/soap/http" />
<wsi:operation name="HeartBeat">
<soap:operation soapAction="http://ferc.gov/forms/IFormsSubmissionService/HeartBeat" style="document" />
<wsi:input>
<soap:body use="literal" />
</wsi:input>
<wsi:output>
<soap:body use="literal" />
</wsi:output>
</wsi:operation>
<wsi:operation name="SubmitFile">
<soap:operation soapAction="http://ferc.gov/forms/IFormsSubmissionService/SubmitFile" style="document" />
<wsi:input name="FileMover">
<soap:header message="tns:FileMover_Headers" part="ShipManifest" use="literal" />
<soap:body use="literal" />
</wsi:input>
<wsi:output name="FileMover">
<soap:header message="tns:FileMover_Headers" part="ShipManifest" use="literal" />
<soap:body use="literal" />
</wsi:output>
<wsi:fault name="StringFault">
<soap:fault name="StringFault" use="literal" />
</wsi:fault>
</wsi:operation>
<wsi:operation name="SubmitFileValidateOnly">
<soap:operation soapAction="http://ferc.gov/forms/IFormsSubmissionService/SubmitFileValidateOnly" style="document" />
<wsi:input name="FileMover">
<soap:header message="tns:FileMover_Headers" part="ShipManifest" use="literal" />
<soap:body use="literal" />
</wsi:input>
<wsi:output name="FileMover">
<soap:header message="tns:FileMover_Headers" part="ShipManifest" use="literal" />
<soap:body use="literal" />
</wsi:output>
<wsi:fault name="StringFault">
<soap:fault name="StringFault" use="literal" />
</wsi:fault>
</wsi:operation>
</wsi:binding>
<wsi:service name="FormsSubmissionService">
<wsi:port name="BasicHttpBinding_IFormsSubmissionService" binding="tns:BasicHttpBinding_IFormsSubmissionService">
<soap:address location="https://formsubmissionservice.ferc.gov/FormsSubmissionService.svc" />
</wsi:port>
</wsi:service>
</wsi:definitions>
```

Figure 99 – XML file displayed

### **XML Data Validation**

For XSD validation, FERC is providing two online documents,

[http://eqr.ferc.gov/XSD/XMLModelPublic\\_DataStructure.xsd](http://eqr.ferc.gov/XSD/XMLModelPublic_DataStructure.xsd)

[http://eqr.ferc.gov/XSD/XMLModelPublic\\_DataValue.xsd](http://eqr.ferc.gov/XSD/XMLModelPublic_DataValue.xsd)

For validation, reference only [http://eqr.ferc.gov/XSD/XMLModelPublic\\_DataStructure.xsd](http://eqr.ferc.gov/XSD/XMLModelPublic_DataStructure.xsd) from code. This file contains an *xs:include* to the second file,

[http://eqr.ferc.gov/XSD/XMLModelPublic\\_DataValue.xsd](http://eqr.ferc.gov/XSD/XMLModelPublic_DataValue.xsd), so both are automatically included in the validation.

The XML data should be validated before uploading to FERC. Two XML samples are hyperlinked below:

[File=New](#)

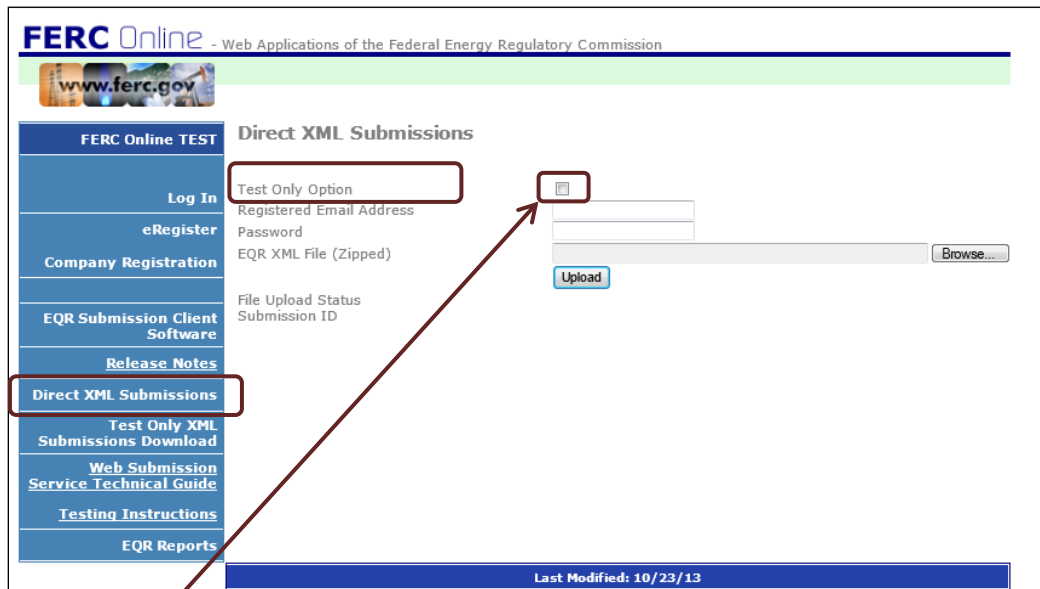
[File=Replace](#)

**NOTE:** The PORSL and PODSL fields were split into two parts PORSL/PORSLHub, and PODSL/PODSLHub because of business rules that could not be used in XSD without splitting. Specifically, if PODBA=HUB, then PODSL must come from Appendix C, but if PODBA is not HUB, then PODSL can be anything. Likewise, if PORBA=HUB, then PORSL must come from Appendix C, but if PORBA is not HUB, then PORSL can be anything.

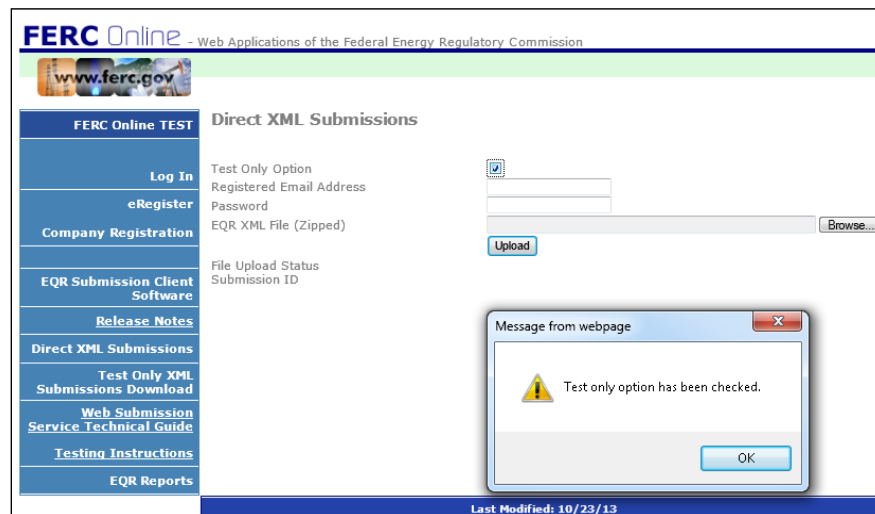
In order to accommodate the logic in XSD the fields were split into two. If PODBA=HUB, then set PODSLHub from Appendix C and exclude PODSL from the XML, but if PODBA is not HUB, then set PODSL and exclude PODSLHub from the XML. Likewise if PORBA=HUB, then set PORSLHub from Appendix C and exclude PORSL from the XML, but if PORBA is not HUB then set PORSL and exclude PORSLHub from the XML.

**Direct XML Submissions Test Only**

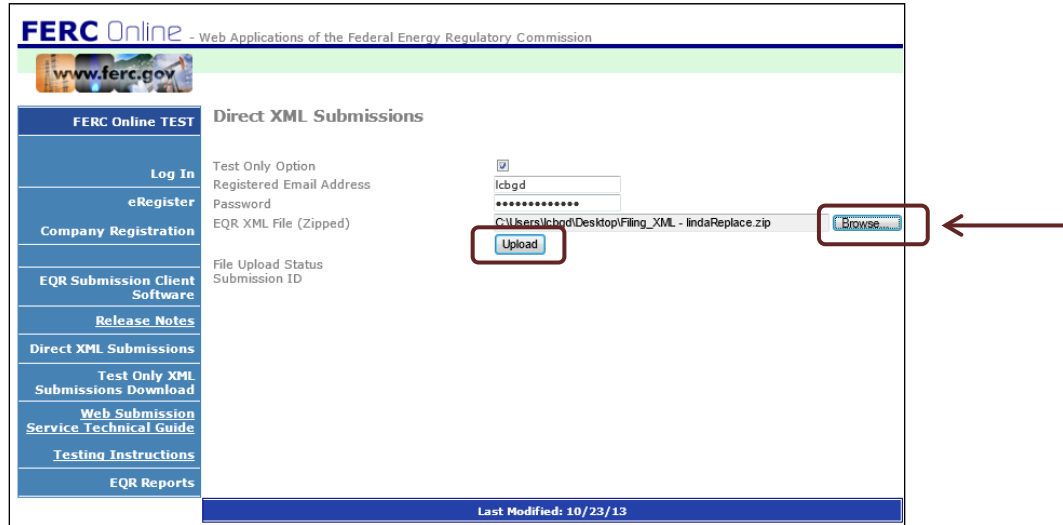
1. Click the Direct XML Submission Link.

**Figure 100 – XML Submissions Test Only**

2. Click the **Test Only Option** box. The Test Only confirmation box displays.

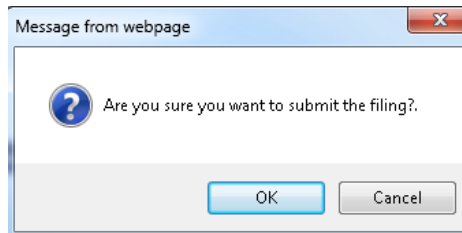
**Figure 101 – Test Only Confirmation**

3. Click the **OK** box.
4. Enter the user's eRegistered email address and password.
5. Click **Browse** to locate the file.



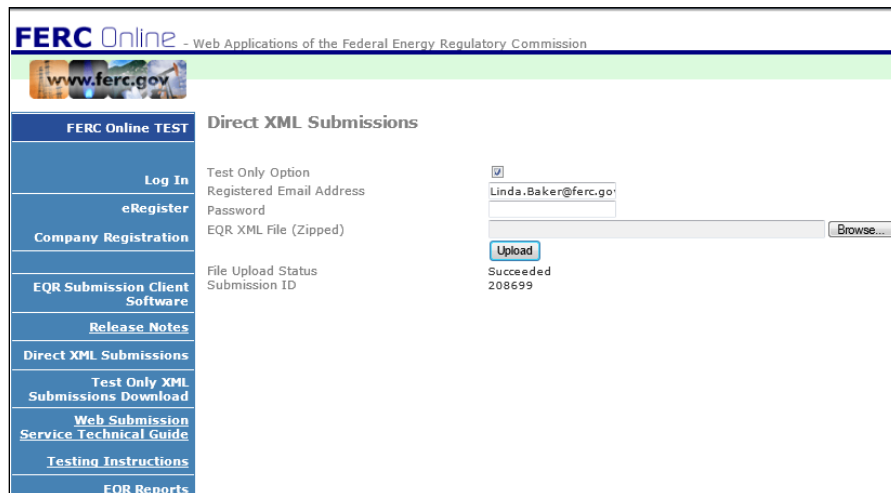
**Figure 102 – Loading XML File for Test Only Validation**

6. Click **Upload** to upload the XML File.
7. Click **OK** to confirm the upload.



**Figure 103 – Confirmation**

8. The Test filing has been sent and a submission ID will display as shown below.



**Figure 104 - Direct XML Submission-Successful**

## Appendix A – The CSV File

The tabs and information in the following sections will display data contained in the CSV File. The CSV File must be zipped before being uploaded into the EQR System.

The CSV is composed of four individual files. The beginning part of the file name can be different but all four files must end with the following text.

**\_ident.csv**  
**\_contracts.csv**  
**\_transactions.csv**  
**\_indexpub.csv**

The CSV files must be zipped using a MS Windows standard compression tool. The extension name of the uploading file must be **.zip**; for example, the name of the zip file might be **201306\_C003118.zip** or **2013\_TestEnergyCompany.zip**.

Name	Type	Compressed size	Password ...	Size
201006_Order_768_Power_LLC_contracts.csv	Microsoft Excel Comma Separated Values File	7 KB	No	
201006_Order_768_Power_LLC_ident.csv	Microsoft Excel Comma Separated Values File	1 KB	No	
201006_Order_768_Power_LLC_indexpub.csv	Microsoft Excel Comma Separated Values File	1 KB	No	
201006_Order_768_Power_LLC_transactions.csv	Microsoft Excel Comma Separated Values File	54 KB	No	

**Figure 105 –Example CSV Files**

	A	B	C	D	E	F	G	H	I	J
1	filer_unique_id	company_name	company_contact_n	contact_t	contact_a	contact_city		contact_state	contact_zi	contact_c
2	FS1	Order 768 Power LLC	C000738	Linda C. Baker		888 1st St. Washington		NT	T2P 0J1	CA
3	FA1	Order 768 Power LLC	C000738	Linda C. Baker		888 1st St. Washington		N/A		20426 GB
4										
5										
6										
7										
8										
9										

**Figure 106 - Example of an Ident file displayed in Excel**

Each file contains various fields that contain information on company data, transaction data, contract data, and various types of contact information. For detailed information concerning the fields and information in each file, please refer to the Data Dictionary at the following URL: <http://www.ferc.gov/docs-filing/eqr/order770/data-dictionary.pdf>

**IMPORTANT:** When opening a CSV file that includes any long date - or - time format number, please use Notepad instead of Excel. Because Excel automatically converts those long numbers into scientific format, users who open CSV files in Excel will need to change them to the general format with no decimals before resaving as a (zipped) CSV file.

## Appendix B – Error Messages for CSV Upload and Pre-Validation

**NOTE:** Message numbers do not indicate any classification or categorization of errors.

Message #	Cause	Message
50	Browser Version checking.	This Browser [{0}] and Version [{1}] does not support FERC EQR at this time! Please use Internet Explorer version $\geq 7$ or Google Chrome version $\geq 31$
60	System maintenance.	EQR System is down for maintenance.
70	Plan for system maintenance.	EQR Sandbox will be down for maintenance from <time> <date> for about <hours> hours
80	Forgot password, then requested for “Send Password Info” with wrong email.	The entered eMail address is not registered in the FERC Company Registration System ( <a href="http://www.ferc.gov/docs-filing/company-reg.asp">http://www.ferc.gov/docs-filing/company-reg.asp</a> ).
90	Didn’t enter email address when login	You must enter an eMail address or FERC ID.
100	Login or password is incorrect.	Login or password is incorrect. Please try again or contact FERC Online Support.
200	Logged in email address was not registered.	The email address is not registered in the FERC Company Registration System ( <a href="http://www.ferc.gov/docs-filing/company-reg.asp">http://www.ferc.gov/docs-filing/company-reg.asp</a> ).
300	No Seller found for a logged in user	No Seller was found from your list. There may be a data communication issue, or you are not currently authorized to file on behalf of any Seller. You can try again later or contact FERC Online Support at <a href="mailto:ferconlinesupport@ferc.gov">ferconlinesupport@ferc.gov</a> or Telephone: 202-502-6652; Toll-free: 1-866-208-3676
400	Zip file was unable to select / Zip file is empty	No file was selected or the selected file is empty. Please check the zip file you uploaded to confirm that it contains the appropriate CSV files.
500	When the user selected a wrong Action	Incorrect Action type selected for CSV upload. Please try again.
600	Selected file for upload has different extension than ".zip"	The file you tried to upload is not recognized as a “.zip” file. Please confirm that the file name ends in “.zip” and it is a .zip file.

Message #	Cause	Message
800	Server data file is locked for further processing purpose (like queuing for submission, or is opening under other user name, or under system maintenance, or within 20 minutes since last processing...)	The current data is being processed and cannot be edited. Please try again later.
900	Unknown cause of errors.	The uploaded file could not be processed. Please retry or contact FERC Online Support at <a href="mailto:ferconlinesupport@ferc.gov">ferconlinesupport@ferc.gov</a> or Telephone: 202-502-6652; Toll-free: 1-866-208-3676.
910	Processing Errors. UI codes: UKN: unknown, DLC: deadlock, DES: deserializing, IEX: other inner exceptions.	An error occurred while processing your filing. Please restart the webpage and try again at a later time or contact FERC Online Support at <a href="mailto:ferconlinesupport@ferc.gov">ferconlinesupport@ferc.gov</a> or Telephone: 202-502-6652; Toll-free: 1-866-208-3676. UI code: [{0}] Please send your zip file through email for troubleshooting. ( <i>show this sentence if UI code = IEX/OBJ</i> )
920	Request for large number of rows on the UI. Current setting: {0} = 10,000 {2} = <a href="http://eqrreports.ferc.gov/">http://eqrreports.ferc.gov/</a>	The system is unable to retrieve data with more than {0} rows. Your data contains {1} rows. To view your submitted data, please use Report Viewer at {2}
930	Requested Manual Edit for more than {0} rows in the UI. Current setting: {0} = 1,000	Your request for large data retrieving has been sent. Please check back and use Refresh Status button after 30 minutes.
940	Prefill for more than {0} rows of contract/contract products in the UI. Current setting: {0} = 10,000	The system is unable to retrieve data for prefill with more than {0} rows of contract products. Your data contains {1} rows.
950	Request for Prefill more than {0} rows in the UI. Current setting: {0} = 1,000	Your request for large data Prefill has been sent. Please check back and use Refresh Status button after 30 minutes. Then, use Manual New with [Editable] status to continue the next steps.
960	CSV Replace selected without any previous submissions.	This CSV action can be selected only if a previous filing found. No filing activity found for the Seller you selected within { <i>current period</i> }
970	CSV New selected with previous submission.	This CSV New action can only be selected for the first filing of the period. A filing was found on { <i>previous filing date</i> } for the Seller you selected within { <i>current period</i> }

Message #	Cause	Message
970	Manual New selected previous submission and without “Editable” status	This Manual New action can only be selected for the first filing of the period, or if the data status is Editable. A filing was found on { <i>previous filing date</i> } for the Seller you selected within { <i>current period</i> }
980	Manual Edit with no previous submission	The Seller you selected has not had any filing activity within { <i>current period</i> }
1000	Successfully uploaded to Working Table.	Your file has successfully been uploaded. If you chose not to file your EQR at this time, it will be held in a working directory until overwritten.
1010	Uploaded large file	The large data file for Seller { <i>Seller CID</i> } - { <i>Quarter/Year</i> } is being uploaded and will be directly submitted for { <i>Test Only Validation or Validation/Submission</i> } through an offline process. You will be notified by email on the status of the filing
1200	When there was at least an error with uploading.	The following errors were encountered while validating the data:
1300	Seller name clicked without CSV uploading (CSV new, CSV replace)	You have tried to select a Seller without uploading data files. Before proceeding to the next step, you must upload CSV files or choose an alternate Action.
1400	System unable to connect to servers.	A communication error has occurred. Please retry or contact FERC Online Support at <a href="mailto:ferconlinesupport@ferc.gov">ferconlinesupport@ferc.gov</a> or Telephone: 202-502-6652; Toll-free: 1-866-208-3676.
1700	Seller has no filings in previous quarter.	No previous quarter data for this Seller exist. Previous quarter data cannot be used to prefill the current quarter unless Seller has successfully submitted a filing in the previous quarter.
1800	Action not selected.	Please select an Action from one of the Seller’s drop down menus above to continue.
1900	Seller’s data is opened under other user or within '20 minutes policy'	Active User Name: <name> Company: <name> appears to be working with data in this filing period. If (s)he is not, please ask the active user to log out of the EQR system or try again in 30 minutes.
2100	Trying to open data that are in validation.	The current data are being validated and cannot be edited or changed. Please try again later.
2200	Replace large data, which is under processing.	The current uploaded large data is being processed and cannot be edited or changed. Please try again later.



Message #	Cause	Message
2210	Request for data, which is updating by other process (or user).	Current data record is being updated by another process.
2220	Deleted temp data by “Delete” button.	You have successfully deleted your working data file from the temporary working data area. WorkingData ID = {0}; CID = { <i>seller CID</i> } - { <i>selected quarter</i> }
2230	Unable to delete the temp data.	Unable to delete working data file from the temporary working data area. The data maybe already removed.
2240	Clicked on Delete button to delete the temp data	You are about to erase the entire working data file from the temporary working data area. Click OK to erase the data.
2300	Server is unable to connect to FERC Online Support for verification ID/Password.	An error occurred due to a server communication problem. Please try at a later time or contact FERC Online Support at <a href="mailto:ferconlinesupport@ferc.gov">ferconlinesupport@ferc.gov</a> or Telephone: 202-502-6652; Toll-free: 1-866-208-3676.
2400	Contract Page submission error	A processing issue has occurred; please try resubmitting or contact FERC Online Support at <a href="mailto:ferconlinesupport@ferc.gov">ferconlinesupport@ferc.gov</a> or Telephone: 202-502-6652; Toll-free: 1-866-208-3676.
2500	Contract Page missing Customer Company	Customer Company Name (Data Dictionary Field 17) is a required field. Please include at least one Customer Company Name to upload or manually add a contract. Please see the EQR Data Dictionary available at <a href="http://www.ferc.gov/docs-filing/eqr.asp">http://www.ferc.gov/docs-filing/eqr.asp</a> .
2502	Omitted a required field value in Contract tab.	{ <i>Field Name</i> } is Required! Or: { <i>Field Name</i> } is missing!
2024	Rule F24.6 validation on the UI.	TotalTransactionCharge is not equal to (TransactionQuantity * Price + TotalTransmissionCharge) (± 1%) . See rule F24.6
2506	Enter wrong date format	{ <i>Field Name</i> } is Invalid! (must be { <i>date format</i> })
2508	One of four rate fields missing.	One of four rate fields (Rate, Rate Minimum, Rate Maximum, or Rate Description) must be included!
2510	Assigned duplicated contact	{ <i>Contact</i> } is already assigned to this { <i>Contact Type</i> }
2520	Successfully assigned a contact	Assigned Contact(s) for { <i>Company CID</i> }
2530	Attempting to remove a contact from list	Are you sure you want to delete this contact ?

Message #	Cause	Message
2540	Uploaded invalid value into Contract Products tab. System replaced by default values.	Invalid value [{0}] was removed!
2600	Contract Page error: too many contracts	There are too many contracts to display in the Web Interface. Please use the download CSV option to obtain a copy of your working data and review the Contracts that you have submitted.
2610	ID Data (Filer/Seller Contact) is not assigned.	ID Data (Filer/Seller Contact) is required and may not be left blank. Please complete ID Data tab before continuing other tasks.
2620	Submit filing with incorrect Filer authentication.	Your current login ID Data (Email=[{0}], Company ID=[{1}]) does not match with the Filer ID Data (Email=[{2}], Company ID=[{3}]). Please update the Filer ID Data before submitting.
2630	A system error occurred when processing the submitting data.	System error when producing CSV file from system, please try to download again at a later time, or send this screenshot to FERC Online Supports. Internal system message: <message>
2640	Filing Notes errors.	A processing issue has occurred; please check your Filing Notes again or contact FERC Online Support at ferconlinesupport@ferc.gov or Telephone: 202-502-6652; Toll-free: 1-866-208-3676.
2650	Submitted the filing	Your file is being submitted. Please wait .....
2660	CSV data download requested.	CSV files are being created. Please wait .....
2670	Submission button clicked.	You are about to send {FilingPeriod} data to FERC for Filing Validation. Click OK to proceed.
2680	Test only button clicked.	Are you sure you want to send data for Test Only Validation? Click OK to proceed.
2700	Zip file compressing type unrecognized.	The file you tried uploading is not recognized as a “.zip” file. Please confirm that the file name ends in “.zip” and is a .zip file. Please see the instructions in the Filing Guide regarding submitting compressed zip files.
2800	More than four files found in the zip.	No more than four files can be included in the zip file that you upload at any one time. The four CSV files must end with the following text: <b>_ident.CSV</b> <b>_contracts.CSV</b> <b>_transactions.CSV</b> <b>_indexpub.CSV</b>

Message #	Cause	Message
		There are <zipEntryCount> files in the uploaded zip file.
2900	No Zip File was selected or the selected Zip file was empty.	The zip file you submitted is empty. Please check the zip file you uploaded to confirm that it contains the appropriate CSV files.
3000	Files in zip file are not CSV type.	The zip file you uploaded does not include files in CSV format with CSV extensions.
3010	Uploaded CSV file with un-recognized name.	{ CSV file name } file does not match file name requirements.
3100	Mandatory valid _ident.csv file not found	Each submitted upload must include a zip file whose name ends in _ident.csv.
3200	More than one of each four CSV files found in the zip	Submitted uploads cannot include multiple files with the same suffix. There is more than one <sSuffix>.CSV in the zip file.
3300	Incorrect CSV file named found in the zip	The submitted upload cannot be processed because the CSV file(s): <csvFile> is (are) not allowed as a file name(s). The CSV files must end with the following text:: _ident.CSV _contracts.CSV _transactions.CSV _indexpub.CSV
3400	Uploaded _transactions.csv without _contracts.csv file	No _contracts.csv file found for the uploaded _transactions.CSV file in the zip. Transactions that occur within the reporting quarter must have corresponding contracts reported during the same reporting quarter.
3600	CSV file size is too big	Zip file size exceeds maximum allowable size ({0} MB)
3610	CSV file size is large enough for direct processing.	It appears that the zip file is too large for the Prevalidation process. Please choose Test Only Validation or Direct Submit to process the file. Results will be emailed upon completion. (Note: please reselect your file before hitting the Upload button.)
3620	Direct processing selected however regular file size re-uploaded.	The reloaded zip file appeared to be regular size and has been processed as such.
3700	Compressing errors found in the zip.	The uploaded zip file has compression errors. Please create a new zip file and retry uploading with the new file.
3800	Unable to de-compress zip file.	The file you tried to upload is not recognized as a '.zip' file. Please confirm that the file name ends in '.zip' and is a .zip file.

Message #	Cause	Message
3900	Unknown CSV files parsing errors	The EQR system has had unidentifiable problems reading your CSV files. Please recreate your CSV files, compress them, and try resubmitting the files.
4100	_ident.csv file missing FS row	No Seller (code 'FS') found in the ID Data file (Ident.CSV). At least one Filer Unique Identifier of FS# (where “#” is an integer) is required to indicate a Seller employee’s contact information.
4300	_ident.csv file missing FA row	No Agent (code 'FA') found under Ident.CSV file. A Filer Unique Identifier of FA1 is required to indicate the Agent contact information. The Agent should reflect a person actually sending the data and may be a company employee or designated third party.
4400	More than 1 FA code found in _ident.csv	There is more than one Agent row (code 'FA') found under Ident.CSV file.
4410	Uploaded different Seller CIDs for multiple FSs	There is more than one Seller CID found under Ident.CSV file!
4420	_ident.csv file total data rows is less than 2 or more than 10 (not including the header row), matching 1 FA and max 9 FS	Number of data rows from Ident.csv file must be between the range of 2 and 10.
4500	Selected reporting period is different than the one from _ident.csv	The Filing Quarter (Data Dictionary Field 14) in the Ident.CSV file (<quarter>) does not match the selected Filing Period indicated in the User Interface. Please change the CSV or chose an alternate Filing Period from the pull down menu above before uploading the CSV data.
4600	Selected Seller CID is different than the one from _ident.csv file	The Seller CID from Ident.CSV file <theSellerCID> does not match selected the Seller CID indicated on the User Interface list selected above. Please check that you are uploading data for the Seller you intend to and/or check that the CIDs are correct.
4700	The selected CID is different than the one from _ident.csv file	Company Identifier (Data Dictionary Field 3) of the ID Data in _Ident.CSV <theAgentCID> does not match the Seller CID indicated on the User Interface list selected. Please check that you are uploading data for the Seller you intend to and/or check that the CIDs are correct.
4800	Seller name and Seller CID are mismatched where Filer Unique Identifier is FS#.	Company Name (Data Dictionary Field 2) of the ID Data (_Ident.CSV) [<theSellerName>] for <Filer Unique Identifier> does not match with registered name [<RegisteredName>] for the specified Company

Message #	Cause	Message
		Identifier (Data Dictionary Field 3).
4900	The Contact name and Company Identifier are mismatched where Filer Unique Identifier is FA1	Company Name (Data Dictionary Field 2) of the ID Data (_Ident.CSV) [<theFilerName>] for <Filer Unique Identifier> does not match with registered name [<RegisteredName>] for the specified Company Identifier (Data Dictionary Field 3).
5000	Missing _indexpub.csv file with field (13) answered as YES	When Transactions Reported to Index Publishers is reported as Y (Data Dictionary Field 13) an Index Reporting Data file, indexpub.csv (Data Dictionary Fields 71 through 74) is required. Please include the _indexpub.csv in the Zip file and try uploading again.
5100	Field (71) does not match field (1)	Filer Unique Identifier (Data Dictionary Field 71) of the Index Reporting Data (_indexpub.csv) does not match a Filer Unique Identifier in Field 1 of the ID data (_ident.csv).
5300	Uploaded header row differs from system header row. Warning only. Appears only when there was another error.	Warning: It appears that <file>.CSV file has data in the wrong fields because your file's header row does not match the System sample files. Please check that uploaded data includes all fields. - System header row: <list of standard fields> - Your header row: <list of submitted fields>.
5400	Other than FS, FA found in the _indent.csv file	The ID Data must include at least one Seller and one Agent.  <UID> is unidentified Filer Unique ID  Filer Unique Identifier (Data Dictionary Field 1) is used to identify contact information for the seller and the agent.  (1) A Filer Unique Identifier of FS# (where “#” is an integer) should be used to indicate a Seller employee contact(s) information.  (2) A Filer Unique Identifier of FA1 should be used to indicate the Agent contact Information. Agent may be a company employee or designated third party.
5500	Other than FS1 – FS9, FA1 found in the _indent.csv file	<FS,FA> from Ident.csv file is not coded as <FS,FA>1 as required

Message #	Cause	Message
		<p>Filer Unique Identifier (Data Dictionary Field 1) is used to identify contact information for the seller and the agent.</p> <p>(1) A Filer Unique Identifier of FS# (where “#” is an integer) should be used to indicate a Seller employee contact(s) information.</p> <p>(2) A Filer Unique Identifier of FA1 should be used to indicate the Agent contact Information. Agent may be a company employee or designated third party.</p> <p>The ID Data must include at least one Seller and one Agent.</p>
5510	<i>reserved for appending</i> : CSV appending: FSs are not identical	Total Seller contacts [{0}] is not equal to Total Seller contacts found from previously submitted filing [{1}]
5520	<i>reserved for appending</i> : CSV appending: Field 13 are not identical	Transactions Reported to Index Price Publisher - column 13 ('{0}') does not match the original submitted
5530	<i>reserved for appending</i> : CSV appending: FS1 before and after are not identical	Appending Seller CID {0} does not match with submitted Seller CID {1}
5540	<i>reserved for appending</i> : CSV appending: FA1 before and after are not identical	Filer contact [{0}] does not match with previously submitted filing's Filer contact.
5550	Uploaded none-consecutive list of seller contacts.	Seller contacts must be consecutive. Your seller contacts list: {0} is not consecutive starting by FS1.
5600	Contract Service Agreement ID missing from _Contracts.csv file	Contract Service Agreement ID (Data Dictionary Field 20) is required. Please review contracts with Contract Unique IDs <list of first 10 incorrect Contract Unique IDs>.
5700	Contract Service Agreement ID missing from _Transactions.csv file	Contract Service Agreement ID (Data Dictionary Field 49) is required. Please review transactions with Transaction Unique IDs <list of first 10 incorrect Transaction Unique IDs>.
5800	Seller Company Name missing from _Contracts.csv file	Seller Company Name (Data Dictionary Field 16) is required. Please review contracts with Contract Unique IDs <list of first 10 incorrect Contract Unique IDs>.
5810	Uploaded Contract Product Quantity as non-numeric format value like “N/A” or so.	_Contracts.csv file: Incorrect Contract Product Quantity (should be Numeric with up to 4 decimals) - <i>{list the wrong Quantity}</i>
5820	Uploaded Standardized Price as non-numeric	_Transactions.csv file: Incorrect Standardized Price (should be Numeric

Message #	Cause	Message
	format value like “N/A” or so.	with up to 6 decimals) - { <i>list the wrong Standardized Price</i> }
5830	Uploaded Standardized Quantity as non-numeric format value like “N/A” or so.	Transactions.csv file: Incorrect Standardized Quantity (should be Numeric with up to 4 decimals) - { <i>list the wrong Standardized Quantity</i> }
5900	Seller Company Name missing from _Transactions.csv file	Seller Company Name (Data Dictionary Field 46) is required. Please review transactions with Transaction Unique IDs <list of first 10 incorrect Transaction Unique IDs>.
6000	Customer Company Name missing from _Contracts.csv file	Customer Company Name (Data Dictionary Field 17) is required. Please review contracts with Contract Unique IDs <list of first 10 incorrect Contract Unique IDs>.
6100	Customer Company Name missing from _Transactions.csv file	Customer Company Name (Data Dictionary Field 47) is required. Please review transactions with Transaction Unique IDs <list of first 10 incorrect Transaction Unique IDs>.
6200	FERC Tariff Reference missing from _Contracts.csv file	The FERC Tariff Reference (Data Dictionary Field 19) is required. Please review contracts with Contract Unique IDs <list of first 10 incorrect Contract Unique IDs>.
6210	Contract Products total is bigger than {0}. Current setting: {0} = 20,000	Total contract rows should not exceed {0}. Your _contracts.csv file contains {1} rows.
6220	<i>reserved for appending</i> : Contract C – numbers are repeated.	CSV Append: contract C-numbers: {0} are already used in the previously submitted filing. Hint: the following C-numbers are not in use { <i>list of available numbers</i> }
6225	<i>reserved for appending</i> : Transaction T – numbers are repeated.	CSV Append: transaction T - numbers: {0} are already used in the previously submitted filing. Hint: the following T-numbers are not in use { <i>list of available numbers</i> }
6230	<i>reserved for appending</i> : _indexpub.csv file is found in zip	_indexpub.csv file is not allowed in the CSV Append mode. Please remove it from your zip file before uploading.
6300	FERC Tariff Reference missing from _Transactions.csv file	The FERC Tariff Reference (Data Dictionary Field 48) is required Please review transactions with Transaction Unique IDs <list of first 10 Transaction Unique IDs>.
6310	Uploaded hidden CR in files..	Please check for a possible hidden carriage return character from your

Message #	Cause	Message
		{ <i>CSV file name</i> } file at row {0} column {0} of the file. Hidden CRs maybe the results of copying internet formatted text and/or exporting XLS file.
6400	4 keys fields found duplicated.	Contracts, which are matched with 4 keys - namely, Seller Company Name (Data Dictionary Field 16), Customer Company Name (Data Dictionary Field 17), Contract Service Agreement ID (Data Dictionary Field 20), and FERC Tariff Reference (Data Dictionary Field 19) - are found duplicated between <list of first 10 duplicated UID groups>.
6500	Unable to assign transactions to any contracts.	Transactions that occur within the reporting quarter must be under contracts reported during the same reporting quarter; The Seller Company Name (Data Dictionary Field 16), Customer Company Name (Data Dictionary Field 17), Contract Service Agreement ID (Data Dictionary Field 20), and FERC Tariff Reference (Data Dictionary Field 19) must be identical for the transaction to be matched to a contract. Please review transactions with Transaction Unique IDs <list of first 10 Transaction Unique IDs> to match them with reported contracts:
6600	Contract UID not started by C	Error: Contract Unique ID (Data Dictionary Field 15) <Contract Unique ID> is not valid. This Field should be a number preceded by "C" but cannot include any leading zeroes.
6700	Leading zeroes found after C in field (15)	Error: Contract Unique ID (Data Dictionary Field 15) < Contract Unique ID> is not valid. This Field should be a number preceded by "C" but cannot include any leading zeroes.
6800	Transaction UID not started by T	Error: Transaction Unique ID (Data Dictionary Field 45) < Transaction Unique ID > is not valid. This Field should be a number preceded by "T" but cannot include any leading zeroes.
6900	Leading zeroes found after T in field (45)	Error: Transaction Unique ID (Data Dictionary Field 45) < Transaction Unique ID> is not valid. This Field should be a number preceded by "T" but cannot include any leading zeroes.
7000	Transactions UID duplicated	Error: Some UIDs from _transactions.csv are found duplicated: <list first 10 duplicated UIDs>.



Message #	Cause	Message
7100	Missing field detected	Error: There is a missing field exception while parsing the <file> CSV file on line number <lineNo> and field number <fieldNo>. While reading your CSV file the system has encountered a blank entry where none is allowed or text when a number was expected. This error may have occurred because an earlier entry on the line is missing or an extra comma was inadvertently added.
7300	Append mode: field (15) found smaller than the current max UID.	You are trying to Append CSV files. The current maximum Contract Unique IDs (Data Dictionary Field 15) for this filing period is C<maxUID>. Please use Contract Unique IDs greater than C<maxUID> during Append.
7400	Append mode: field (45) found smaller than the current max UID.	You are trying to Append CSV files. The current maximum Transaction Unique IDs (Data Dictionary Field 45 for this filing period is T<maxUID>. Please use Transaction Unique IDs greater than T<maxUID> during Append.
7500	_indexpub.csv file presents in the zip for not YES in field (13)	Field 13 – Transactions Reported to Index Publishers is reported as “N” (indicating No). If transactions are not reported to an index price publisher, an Index Reporting Data file (Data Dictionary Fields 71 through 74 in _indexpub.csv) is should not be included. Please correct Data Dictionary Field 13 or remove the _indexpub.csv file before uploading. (See FAQ)
7700	Wrong Index Publisher name	Index Publisher name [{0}] is not Listed in the Data Dictionary.