

Paperwork Reduction Act Submission

Please read the instruction before completing this form. For additional forms or assistance in completing this forms, contact your agency's Paperwork Reduction Officer. Send two copies of this form, the collection instrument to be reviewed, the Supporting Statement, and any additional documentation to: Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 Seventeenth St. NW, Washington, DC 20503.

1. Agency/Subagency Originating Request: U.S. Department of Housing and Urban Development Public and Indian Housing	2. OMB Control Number: a. 2577-0269 b. <input type="checkbox"/> None
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3. Type of information collection: (check one) a. <input type="checkbox"/> New Collection b. <input checked="" type="checkbox"/> Revision of a currently approved collection c. <input type="checkbox"/> Extension of a currently approved collection d. <input type="checkbox"/> Reinstatement, without change , of previously approved collection for which approval has expired e. <input type="checkbox"/> Reinstatement, with change , of previously approved collection for which approval has expired f. <input type="checkbox"/> Existing collection in use without an OMB control number For b-f, note item A2 of Supporting Statement instructions.	4. Type of review requested: (check one) a. <input checked="" type="checkbox"/> Regular b. <input type="checkbox"/> Emergency c. <input type="checkbox"/> Delegated 5. Small entities: Will this information collection have a significant economic impact on a substantial number of small entities? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 6. Requested expiration date: a. <input checked="" type="checkbox"/> Three years from approval date b. <input type="checkbox"/> Other (specify)
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7. Title:
Choice Neighborhoods

8. Agency form number(s): (if applicable)
 SF-424, SF-424B, SF-424D, SF-LLL, HUD 2880, HUD 53150, HUD 53152, HUD 53232, HUD 53151, HUD 53154, HUD-53233, HUD-53234, HUD-53238, HUD-53231, HUD-53235, HUD-53237, HUD-53236, HUD-53239, HUD-2530, HUD-53156, HUD-2991, HUD-2995, HUD-53421, HUD-53230, HUD-52515, HUD-50163, HUD-50153

9. Keywords:
 Housing, Choice Neighborhoods, housing, public housing, assisted housing, grant funding, neighborhood revitalization, transformation plan

10. Abstract:
 The information is required to allow HUD to conduct competitions to award and obligate Choice Neighborhood grant funds in accordance with the appropriations act and to manage/report on the grants that are awarded.

11. Affected public: (mark primary with "P" and all others that apply with "X") a. P Individuals or households e. Farms b. P Business or other for-profit f. Federal Government c. P Not-for-profit institutions g. P State, Local or Tribal Government	12. Obligation to respond: (mark primary with "P" and all others that apply with "X") a. Voluntary b. P Required to obtain or retain benefits c. Mandatory
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13. Annual reporting and recordkeeping hour burden: a. Number of respondents 264 b. Total annual responses 440 Percentage of these responses collected electronically 100% c. Total annual hours requested 4,430.95 d. Current OMB inventory 4,562.00 e. Difference (+,-) -131.05 f. Explanation of difference: 1. Program change: 2. Adjustment: 131.05	14. Annual reporting and recordkeeping cost burden: (in thousands of dollars) a. Total annualized capital/startup costs 0 b. Total annual costs (O&M) 0 c. Total annualized cost requested 0 d. Total annual cost requested 0 e. Current OMB inventory 0 f. Explanation of difference: 1. Program change: 0 2. Adjustment: 0
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15. Purpose of Information collection: (mark primary with "P" and all others that apply with "X") a. P Application for benefits e. X Program planning or management b. X Program evaluation f. Research c. General purpose statistics g. X Regulatory or compliance d. Audit	16. Frequency of recordkeeping or reporting: (check all that apply) a. <input type="checkbox"/> Recordkeeping b. <input type="checkbox"/> Third party disclosure b. <input checked="" type="checkbox"/> Reporting: 1. <input type="checkbox"/> On occasion 2. <input type="checkbox"/> Weekly 3. <input type="checkbox"/> Monthly 4. <input checked="" type="checkbox"/> Quarterly 5. <input type="checkbox"/> Semi-annually 6. <input checked="" type="checkbox"/> Annually 7. <input type="checkbox"/> Biannually 8. <input type="checkbox"/> Other (describe)
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17. Statistical methods: Does this information collection employ statistical methods? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	18. Agency contact: (person who can best answer questions regarding the content of this submission) Name: Leigh van Rij Phone: (202) 402-5788
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19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal Agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9.

Note: The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320/8(b)(3). Appear at the end of the instructions. The certification is to be made with reference to those regulatory provisions as set forth in the instructions.

The following is a summary of the topics, regarding the proposed collections of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It uses plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention periods for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
 - (i) Why the information is being collected;
 - (ii) Use of the information;
 - (iii) burden estimate;
 - (iv) Nature of response (voluntary, required for a benefit, or mandatory);
 - (v) Nature and extent of confidentiality; and
 - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to collected (see note in item 19 of the instructions);
- (i) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item below and explain the reason in item 18 of the Supporting Statement.

Signature of Program Official: X Bob Mulderig, Deputy Assistant Secretary, Office of Public Housing Investments	Date:
Signature of Senior Officer or Designee: X Colette Pollard, Departmental Reports Management Officer Office of the Chief Information Officer	Date:

Supporting Statement for Paperwork Reduction Act Submissions
Information Collection:
Choice Neighborhoods

A. Justification

1. The following information collection is required to administer the Choice Neighborhoods program, which includes Implementation grants and Planning grants. The Notices of Funding Availability (NOFA) for the program annually announce the amount of funds available for award. (Approximately \$200,000,000 for FY 2021). Potential applicants are local governments, tribal entities, public housing agencies, nonprofits, and for-profit developers that apply jointly with a public entity. The funding authority for Choice Neighborhoods grants for FY 2021 is provided by the Consolidated Appropriations Act, 2021 (Public Law 116-260, enacted December 27, 2020) (FY2021 Appropriations)) and for prior grant years by previous years' appropriations acts (since FY 2010). The program authority for the Choice Neighborhoods Initiative is Section 24 of the United States Housing Act of 1937 (42 U.S.C. 1437v) (1937 Act), as amended by the FY 2021 appropriations law. The appropriations act permits the HUD Secretary to use the appropriated amount for a Choice Neighborhoods Initiative demonstration.

Because the program authority continues to come from Section 24 of the U.S. Housing Act (the HOPE VI statute), except as otherwise specified in the appropriations act, the HOPE VI program requirements and selection criteria apply to Choice Neighborhoods grants, including the authorization to collect information in order for HUD to award and manage grants. Accordingly, the information collection will continue to collect similar information to that collected in the past for HOPE VI. When the Choice Neighborhoods information collection was first processed and approved (OMB Control Number 2577-0269), many of the existing HOPE VI forms were revised slightly to be useable for Choice Neighborhoods (e.g., changing titling, etc.). This continues to be the case.

Building upon the successes achieved and the lessons learned from the HOPE VI program, Choice Neighborhoods is a program that employs a comprehensive approach to community development centered on housing transformation. The program aims to transform neighborhoods of poverty into viable mixed-income neighborhoods with access to economic opportunities by revitalizing severely distressed public and assisted housing and investing and leveraging investments in well-functioning services, effective schools and education programs, public assets, public transportation, and improved access to jobs.

Choice Neighborhoods grants primarily fund the transformation of public and/or HUD assisted housing developments through preservation, rehabilitation, and management improvements as well as demolition and new construction. In addition, these funds can be used on a limited basis (and combined with other funding) for improvements to the surrounding community, public services, facilities, assets and supportive services. Choice Neighborhoods grant funds are intended to catalyze other investments that will be directed toward necessary community improvements.

Choice Neighborhoods grants are governed by the Notice of Funding Availability (NOFA) and the Grant Agreements executed between each grant recipient and HUD.

2. Eligible applicants interested in obtaining Choice Neighborhoods grant funds are required to submit applications to HUD, as explained in the NOFAs. The information collection covers the information needed from applicants to determine which applicants should be funded. The information provided

demonstrates the applicants' plans to implement the grant requirements, and includes related applicant history. The application includes such information as narrative exhibits, certifications, data forms, supporting documentation, maps, and drawings. The information will be used by HUD staff to evaluate threshold requirements and rate and rank grant applications. Applicants that receive grant funds are required to report to HUD quarterly on their progress, enabling HUD to manage and monitor the programs. The information collection also covers the data needed to fulfill this reporting requirement, and information needed for program management.

3. **Technology applied to the collection:** This information collection is automated in order to improve data quality and to reduce the public reporting burden. Since FY 2005, the Department has required applications prepared in response to NOFAs to be submitted electronically via Grants.gov. Choice Neighborhoods grantee quarterly reporting is electronic.

4. **Duplication of Effort:**

There is no duplication of effort. Information collected is unique to each type of collection and does not duplicate any similar information or method.

5. **Impact on Small Business and Small Entities:**

These information collections have no impact on small businesses or other entities.

6. **Consequence of Less Frequent Collection:**

The Federal statutory mandate to award funds competitively would not be met if the collection is not conducted. The information collection is necessary so that the available funds, per the appropriations act, may be awarded to successful applicants for Choice Neighborhoods grants. The statute also requires reporting on the Choice Neighborhoods program.

7. **Special Circumstances for Information Collection:**

- requiring respondents to report information to the agency more than quarterly;
Not Applicable
- requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;
Not Applicable
- requiring respondents to submit more than an original and two copies of any document;
Not Applicable
- requiring respondents to retain records other than health, medical, government contract, grant-in-aid, or tax records for more than three years;
Not Applicable
- in connection with a statistical survey, that is not designed to produce valid and reliable results than can be generalized to the universe of study;
Not Applicable
- requiring the use of a statistical data classification that has not been reviewed and approved by OMB;
Not Applicable
- that includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or
Not Applicable
- requiring respondents to submit proprietary trade secret, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.
Not Applicable.

There are no special circumstances that would cause these information collections to be conducted inappropriately.

8. Federal Register Notice and Public Comments:

A Notice was published in the *Federal Register*, Volume, page, on, to solicit comments on the Choice Neighborhoods information collection. No comments were received in response to the Federal Register notice.

9. Payment/Gifts to Respondents:

No payments or gifts are provided to respondents for any of these information collections.

10. Assurances of Confidentiality:

Assurance of confidentiality is neither provided nor needed for any of these information collections. The Privacy Act of 1974 provided privacy protection to respondents.

11. Questions of a Sensitive Nature:

No sensitive questions are being asked for any of these information collections.

12. Estimate of Annual Burden Hours for Information Collection: The chart below outlines the burden associated with the various aspects of the Choice Neighborhoods grants program and a breakout of the forms associated with each portion of that burden.

Costs to the respondents to complete these information collections will not exceed those incurred by regular grant administration, planning and management.

For Choice Neighborhoods the burden hours per response total to 68.17 for Implementation Grant applications and 35.42 for Planning Grant applications. Choice Neighborhoods information collections unrelated to the NOFA, including grantee reporting and program management, total to 14.58. The total burden hours, for each grant application type, is 1,704.25 for Implementation Grants and 1,771 for Planning Grants. Forms such as the SF 424 are not duplicative information. These forms, while authorized under different OMB Control Numbers, are submitted as part of the Choice Neighborhoods applications and used specific to the applications' content.

	Respondents Annually	Annual responses (Frequency)	Total responses Annually	Burden Hours per Response	Total Burden Hours	Salary	Total Burden Cost
Choice Neighborhoods Application - Implementation Grants							
Application Checklist/Table of Contents (HUD-53230)	25	1	25	0.17	4.25	\$45.00	\$191.25
Narrative Exhibits	25	1	25	20	500	\$45.00	\$22,500.00
Key Eligibility Data Form-Implementation Grants (HUD-53233)	25	1	25	0.75	18.75	\$45.00	\$843.75
Application Data Form: Existing Units, Occupancy, Vacancy (HUD-53234)	25	1	25	0.75	18.75	\$45.00	\$843.75
Application Data Form: Planned Units (HUD-53234)	25	1	25	0.75	18.75	\$45.00	\$843.75
Rental Assistance Demonstration (RAD) certification	25	1	25	1	25	\$45.00	\$1,125.00
Sources and Uses	25	1	25	1	25	\$45.00	\$1,125.00
CN Implementation Budget Form (HUD-53236)	25	1	25	1.5	37.5	\$45.00	\$1,687.50
Grant Sizing Worksheet (HUD-53235)	25	1	25	0.75	18.75	\$45.00	\$843.75
Extraordinary Site Costs Certification (HUD-53237)	25	1	25	0.25	6.25	\$45.00	\$281.25
CCI Budget and Leverage	25	1	25	2	50	\$45.00	\$2,250.00
Site Control and land use documentation	25	1	25	5	125	\$45.00	\$5,625.00
Eligible Applicants Documentation (including HUD-2530) OMB 2502-0118	25	1	25	0	0	\$0.00	\$0.00
Partnership Certifications	25	1	25	2	50	\$45.00	\$2,250.00
Eligible Neighborhoods	25	1	25	0.5	12.5	\$45.00	\$562.50

Documentation – Eligible Neighborhoods Map							
Eligible Neighborhoods Documentation – Substandard Housing Documentation	25	1	25	1	25	\$45.00	\$1,125.00
Eligible Target Housing Documentation –Severe Distress of Targeted Project Certification (HUD-53232)	25	1	25	0.5	12.5	\$45.00	\$562.50
One-for-One Replacement Certification (HUD-53238)	25	1	25	0.25	6.25	\$45.00	\$281.25
Resident and Community Involvement Certification (HUD-53231)	25	1	25	0.25	6.25	\$45.00	\$281.25
Capacity - Lead Applicant Implementation Documentation	25	1	25	1	25	\$45.00	\$1,125.00
Capacity - Housing Implementation Documentation	25	1	25	1	25	\$45.00	\$1,125.00
Capacity - People Implementation Documentation	25	1	25	1	25	\$45.00	\$1,125.00
Capacity - Neighborhood Implementation Documentation	25	1	25	1	25	\$45.00	\$1,125.00
Capacity - Overall Community Involvement Documentation	25	1	25	1	25	\$45.00	\$1,125.00
Capacity - References	25	1	25	0.75	18.75	\$45.00	\$843.75
Need - Rehab cost est.	25	1	25	1	25	\$45.00	\$1,125.00
Need - Structural Deficiencies Documentation	25	1	25	1	25	\$45.00	\$1,125.00
Need - Design Deficiencies Documentation	25	1	25	1	25	\$45.00	\$1,125.00
Need - Part I Violent Crimes Documentation	25	1	25	1	25	\$45.00	\$1,125.00
Local govt., anchor institution, health center documentation	25	1	25	1	25	\$45.00	\$1,125.00
Market analysis	25	1	25	1	25	\$45.00	\$1,125.00
Program Schedule	25	1	25	1	25	\$45.00	\$1,125.00
Project-based voucher (PBV) documentation	25	1	25	1	25	\$45.00	\$1,125.00
Consistency with Consolidated Plan (HUD-2991) OMB 2506-0112	25	1	25	0	0	\$0.00	\$0.00
Consistency with PHA/MTW Plan	25	1	25	0.25	6.25	\$45.00	\$281.25
Housing Leverage Documentation (HUD-53239), including tax credit allocation	25	1	25	3	75	\$45.00	\$3,375.00
Supportive Services Sustainability and People Leverage (HUD-53239)	25	1	25	3	75	\$45.00	\$3,375.00
Neighborhood Investment, CCI and CDBG documentation (HUD-53239)	25	1	25	3	75	\$45.00	\$3,375.00
Organizational and decision-making documentation	25	1	25	1	25	\$45.00	\$1,125.00
City and Neighborhood Maps, Location of housing documentation	25	1	25	2	50	\$45.00	\$2,250.00
Current Site Plan and	25	1	25	1	25	\$45.00	\$1,125.00

photographs							
Conceptual Site Plan	25	1	25	1	25	\$45.00	\$1,125.00
Building elevations, schematic drawings	25	1	25	1	25	\$45.00	\$1,125.00
Bonus points documentation (HUD-2995 OMB 2535-0121, HUD-2996 OMB 2501-0036 or HUD 50153) OMB 2577-0169	25	1	25	0.5	12.5	\$45.00	\$562.50
Housing Choice Voucher form (HUD-52515)	25	1	25	0	0	\$0.00	\$0.00
SF-424 Application for Federal Assistance OMB 4040-0004	25	1	25	0.25	6.25	\$45.00	\$281.25
SF-424 B (Assurances - Non-Construction Programs) OMB 4040-0007 or SF-424 D (Assurance - Construction Programs) as applicable OMB 4040-0009	25	1	25	0	0	0	\$0.00
SF-LLL Disclosure of Lobbying Activities documentation OMB 4040-0013	25	1	25	0	0	\$0.00	\$0.00
2880 Applicant/Recipient Disclosure/Update Report OMB 2501-0032	25	1	25	0	0	\$0.00	\$0.00
Sub Total	25		25	68.17	1704.25		\$76,691.25
Choice Neighborhoods Application - Planning Grants							
Application Checklist/Table of Contents (HUD-53150)	50	1	50	0.17	8.5	\$45.00	\$382.50
Narrative Exhibits	50	1	50	11	550	\$45.00	\$24,750.00
Key Eligibility Data (HUD-53152)	50	1	50	0.75	37.5	\$45.00	\$1,687.50
Budget	50	1	50	1	50	\$45.00	\$2,250.00
Eligible Applicants Documentation (including HUD-2530 OMB 2502-0118, HUD-53156 OMB 2577-0269)	50	1	50	2	100	\$45.00	\$4,500.00
Planning Coordinator documentation	50	1	50	2	100	\$45.00	\$4,500.00
Eligible Neighborhoods Documentation – Eligible Neighborhoods Map	50	1	50	0.5	25	\$45.00	\$1,125.00
Eligible Neighborhoods Documentation – Substandard Housing Documentation	50	1	50	1	50	\$45.00	\$2,250.00
Eligible Target Housing Documentation –Severe Distress of Targeted Project Certification (HUD-53232)	50	1	50	1	50	\$45.00	\$2,250.00
Resident Involvement Certification (HUD-53151)	50	1	50	0.25	12.5	\$45.00	\$562.50
City and Neighborhood Maps	50	1	50	2	100	\$45.00	\$4,500.00
Need - Rehab cost est.	50	1	50	1	50	\$45.00	\$2,250.00
Need- Structural Deficiencies Documentation	50	1	50	1	50	\$45.00	\$2,250.00
Need- Design Deficiencies Documentation	50	1	50	1	50	\$45.00	\$2,250.00
Need - Part I Violent Crimes	50	1	50	1	50	\$45.00	\$2,250.00

Documentation							
Consistency with other plans documentation (HUD-2991) OMB 2502-0112	50	1	50	0.75	37.5	\$45.00	\$1,687.50
Alignment with existing efforts documentation	50	1	50	1	50	\$45.00	\$2,250.00
Leverage documentation (HUD-53154)	50	1	50	5	250	\$45.00	\$11,250.00
Current Site Plan and photographs	50	1	50	1	50	\$45.00	\$2,250.00
Local govt. and partnerships documentation	50	1	50	1	50	\$45.00	\$2,250.00
Schedule and staffing documentation	50	1	50	1	50	\$45.00	\$2,250.00
Bonus points documentation (HUD-2995 OMB 2535-0121, HUD-2996 OMB 2501-0036 or HUD 50153 OMB 2501-0033)	50	1	50	0	0	\$0.00	\$0.00
SF-424 Application for Federal Assistance OMB 4040-0004	50	1	50	0	0	\$0.00	\$0.00
SF-424 B (Assurances - Non-Construction Programs) OMB #4040-0007 or SF-424 D (Assurance - Construction Programs) OMB #4040-0009 as applicable	50	1	50	0	0	\$0.00	0
SF-LLL Disclosure of Lobbying Activities documentation OMB 4040-0013	50	1	50	0	0	\$0.00	\$0.00
HUD-2880 Applicant/Recipient Disclosure/Update Report OMB 2501-0032	50	1	50	0	0	\$0.00	\$0.00
Sub Total	50		50	35.42	1771.00		\$79,695.00
Non-NOFA Collections							
Implementation grantees on-line quarterly reporting	23	4	92	8	736	\$45.00	\$33,120.00
Planning grantees quarterly reporting	16	4	64	2	128	\$45.00	\$5,760.00
Planning Grant Budget Form (HUD-53421)	16	1	16	1	16	\$45.00	\$720.00
Implementation Grant Budget Form (HUD-53236)	23	1	23	1.5	34.5	\$45.00	\$1,552.50
Actual Cost Certificate (HUD-50163) closeout	15	1	15	1	15	\$45.00	\$675.00
TA feedback document	70	2	140	0.08	11.2	\$45.00	\$504.00
Certifications and Assurances (CN development)	15	1	15	1	15	\$45.00	\$675.00
Sub Total	189		365	14.58	955.7		\$43,006.50
Overall Totals	264		440	118.17	4430.95		199392.75

13. Cost Burden of Information Collection:

There are no start-up or additional costs to the respondents other than those reported in Item 12 above in the Burden Cost Column.

14. Annualized Cost to Federal Government:

We do not estimate that there will be any additional costs to the Federal government for any of these information collections.

15. Changes or Adjustments to OMB Form 83-I:

Adjustment:

The SF-424 B and D forms were added. The salary amount was increased to reflect the 2021 general schedule pay table. Adding these forms and updating the salary amount resulted in a decrease in burden hours.

Otherwise no changes have been made.

16. Publication of Information Collection Results:

Information collection results will not be published.

17. Expiration Date:

The OMB approval number and date will appear on the HUD-prescribed forms.

18. Exceptions to Certification Statement:

There are no exceptions to the certification statement identified in item 19.

B. Collections of Information Employing Statistical Methods

There are no collections of information that employ statistical methods.