Public Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1845-0150. Public reporting burden for this collection of information is estimated to average 21 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain benefit (Section 487A(b) of the Higher Education Act of 1965, as amended). If you have any comments concerning the accuracy of the time estimate, suggestions for improving this individual collection, or if you have comments or concerns regarding the status of your individual form, application or survey, please contact the Experimental Sites Initiative (experimentalsites@ed.gov) directly.

Item #	ICR Data Collection Item- School Survey- Second Chance Pell
1	What is the institution's six-digit OPEID number?
2	What is the name of the institution?
3	Which of the following challenges below, if any, has your institution encountered while administering the Second Chance Pell Experiment? Please check all that apply. (Add "note to survey programmer: add option to select whether or not each challenge selected was a result of COVID-19")
	 a. Partnering with correctional facilities to offer postsecondary education to incarcerated students. b. Finding enough incarcerated students who are interested in participating in postsecondary education. c. Finding enough incarcerated students who are interested and eligible to receive a Pell Grant as part of the experiment. d. Modifying program degree requirements for incarcerated students. e. Modifying course content or format for incarcerated students. f. Incarcerated students being able to complete the FAFSA. g. Incarcerated students being able to obtain other needed documentation for enrollment (such as high school transcript). h. Transfer of postsecondary credits earned previously. i. Articulation agreements with other postsecondary institutions. j. Financial barriers prevent participation in postsecondary programs, even with the Pell Grant.

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	 k. Maintaining sufficient student enrollment or attendance once classes start. l. Incarcerated students leaving the program when they move to other facilities. m. Incarcerated students leaving the program when they are released from prison. n. Finding instructors to teach incarcerated students. o. Technological limitations in correctional facilities, including issues with internet access. p. Providing necessary academic resources, such as books, library, or computers. q. Communicating with students due to limited access to phones or email. r. Interruptions to or cancellation of instruction s. Ensuring the safety of instructors t. Ensuring the safety of students
	 u. Finding adequate classroom space v. Incarcerated students leaving the program for reasons such as schedule-conflicts with prison jobs or other mandatory programming w. Limitations because the correctional facility is located in a remote area x. Implementation of "education holds," which permit students to avoid transfer to another facility while they are enrolled in the program y. Cultural conflicts or lack of buy-in from correctional staff (e.g. correctional officers) z. No challenges. aa. Other. Please specify.
4	Please identify the top three challenges by entering the letter of the challenge descriptions listed above in the appropriate place below: My institution's most significant challenge was: My institution's second most significant challenge was: My institution's third most significant challenge was:
5	Were there any unanticipated benefits associated with your institution's implementation of the Second Chance Pell Experiment? • Yes • No If yes, please briefly describe the unanticipated benefits.

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6	Were there any unanticipated negative consequences associated with your postsecondary institution's implementation of the Second Chance Pell Experiment? • Yes • No If yes, please briefly describe the negative consequences.
7	List the correctional facilities your institution partnered with to offer postsecondary education to incarcerated students for this experiment? (Note to survey programmer: add option to choose federal or state penal institutions)
	How many correctional facilities does your institution provide postsecondary education to, which are not part of the experiment?
	Did your institution offer postsecondary education for incarcerated students prior to the start of the experiment? • Yes • No
8	If yes, list the correctional facilities your institution partnered with to offer postsecondary education to incarcerated students prior to this experiment? (Note to survey programmer: add option to choose federal or state penal institutions for each correctional facility)
	If yes, how many programs of study were offered to incarcerated students prior to the start of the experiment?
9	How many programs of study were offered as part of the experiment to incarcerated students?
	For how many programs of study was each type of degree offered to incarcerated students?
10	Number of programs that offer: Certificate Stackable certificates that can lead to a 2-year or 4 year degree Associate degree Bachelor's degree
11	How many programs of study have a waiting list? How many students are on the waiting list(s) in total?

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	Did your college or correctional facility partner offer small group or one-on-one guidance on any of the following steps related to completing the FAFSA?							
12		Your institution	Correctional facility partner(s)					
	Accessing tax returns	YesNoDon't know	YesNoDon't know					
	Registering for selective service	YesNoDon't know	Yes No Don't know					
	Support to fill out the FAFSA	YesNoDon't know	YesNoDon't know					
	Accessing the internet to electronically file the FAFSA	YesNoDon't know	YesNoDon't know					
	Providing documents required for verification	YesNoDon't know	YesNoDon't know					
	Other, please specify	Yes (specify)NoDon't know	Yes (specify)NoDon't know					
13	Describe any counseling or guidance that was offered to assist incarcerated students in providing documentation needed for enrollment in the college program. Check all that apply: (Note to survey programmer: for each selection add option for either Correctional Facility Staff or Postsecondary Institutional Staff) Obtaining high school transcripts. Obtaining GED documentation. Obtaining documentation of drug rehabilitation program completion. Obtaining documentation of postsecondary credits earned previously Other, please specify Don't know							

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14	About how many interested students were ineligible to participate in the experiment because they did not successfully complete a FAFSA?
15	How many programs of study for incarcerated students did the institution <i>add</i> under the experiment?
16	How many programs of study for incarcerated students did the institution <i>modify</i> under the experiment?
17	Which of the following modifications, listed below, did the institution make to academic programs for incarcerated students? Please check all that apply. Modified for online instruction. Modified for less frequent class meetings. Modified requirements for completion. Divided courses into shorter modules. Other. Please specify.
18	Which of the following factors influenced which programs of study were offered to incarcerated students under the experiment? Please check all that apply. Could be implemented onsite in the correctional facility Could be feasibly delivered online Enough student interest Students were likely to have the necessary prerequisites Instructors available to teach the classes Other. Please specify.
19	Of the factors identified above, what was the main factor in deciding what programs to offer?

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20	How was postsecondary instruction delivered to incarcerated students? Select all that apply. □ Face-to-face □ Online □ Hybrid, a combination of face-to-face and online □ Other, please describe:
21	How are incarcerated students taught? Cohort model (a group of students move through the program together) Individual students at different points on their educational trajectories A cohort model for some programs and individual trajectories for other programs Other, please describe:
22	How do tuition and fees charged to incarcerated students in the experiment compare to tuition and fees charged to regular students on campus:
23	Does the correctional institution prohibit otherwise eligible incarcerated students from participating in the experiment? Yes/No - if "Yes" How does the correctional institution limit participation in the experiment? Please check all that apply. Categorically-based, all prisoners who committed violent infractions during incarceration. Categorically-based, all prisoners who had committed a given number of infractions. Categorically-based on time until scheduled release (such as within 5 years of scheduled release). Categorically-based exclusion on the type of offense (such as a sexual offense) Individually-based on the specific combination of factors within a given inmate. Other please specify:

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24	What types of re-entry and career services does your institution and your correctional facility partner(s)offer to participating students? Check all that apply:							
	Case management	Correctional facility partner(s) • Yes • No						
	Referral to social supports	 Don't know Yes No Don't know 	Don't knowYesNoDon't know					
	Job placement assistance	YesNoDon't know	YesNoDon't know					
	Advocacy during court/parole hearings	YesNoDon't know	YesNoDon't know					
	Academic support services on campus	YesNoDon't know	YesNoDon't know					
	Support and assistance for entering college	YesNoDon't know	YesNoDon't know					
	Other, please specify	Yes (specify)NoDon't know	Yes (specify)NoDon't know					
	What proportion of incarcerated students were provided	any of these services by your ins	titution?					

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25	Does your postsecondary institution administer a student satisfaction survey to incarcerated students about the Second Chance Pell education program? Yes No If yes, please describe the key topics in the survey
26	The FAFSA Simplification Act will require reporting on participants' safety, transfers to other correctional facilities, and how individuals who received Federal Pell Grants are doing one, three, and five years after release from confinement or incarceration. The following questions ask about reporting on various measures for these participants. Do you currently have access to information about student safety (for example, are they free from intimidation, are they subject to acts of violence) in the prison facilities used for educational activities? Yes No If "no," what are the biggest barriers to collecting this information? Do you currently have access to information about instructor safety (for example, are they free from intimidation, are they subject to acts of violence) in the prison facilities used for educational activities? Yes No If "no," what do you anticipate being the biggest barriers to collecting this information? If "no," what do you anticipate being the biggest barriers to collecting this information?

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27	Does your institution have information about whether a participant has transferred to another correctional facility, even if they are no longer participating in one of your programs of study? Yes, but only if the participant remains enrolled in our school Yes, even if the participant is no longer enrolled in our school No If yes, do you currently track students' academic progress after they are transferred? Yes, but only if the participant remains enrolled in our school Yes, even if the participant is no longer enrolled in our school No If you answered "no" to either of the previous two questions, how difficult would it be for you to track students' academic outcomes after they are transferred to another correctional facility? Very difficult Difficult Somewhat difficult Not at all difficult If you answered "very difficult" or "difficult," what do you anticipate being the biggest barriers to collecting this information?
28	Does your institution have information about when a participant is released from confinement or incarceration? Pes No

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	If you answered "yes" to question 28, does your institution <u>currently</u> collect information on any of the following post-release outcomes?							
		Yes	No	If yes, what is the data source?				
28.a	Attainment of a postsecondary degree or credential			□ Participant survey □ Institutional records □ National Student Clearinghouse □ State education records □ U.S. Department of Education records □ Other (specify)				
	Continued enrollment in postsecondary education			 □ Participant survey □ Institutional records □ National Student Clearinghouse □ State education records □ U.S. Department of Education records □ Other (specify) □ I don't know 				
	Credit transfer from prison education program to post-release postsecondary program			 □ Participant survey □ Institutional records □ State education records □ Other (specify) □ I don't know 				
	Employment			 □ Participant survey □ State unemployment insurance records □ Other (specify) □ I don't know 				
	Earnings			 □ Participant survey □ State unemployment insurance records □ Other (specify) □ I don't know 				
				Participant surveyCorrectional facility records				

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28.b	If you answered "no" to question 28, how difficult would it be for you to obtain information on participants' release in the future (e.g., through an agreement with the correctional facility)? Uvery difficult Difficult Somewhat difficult Not at all difficult If you answered "very difficult" or "difficult," what do you anticipate being the biggest barriers to collecting information on when participants are released?

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	If you answered "no" to question 28 OR you answered "no" for any specific outcomes in question 28a (that is, your institution does not currently collect information on one or more post-release outcomes): How difficult would it be for you to track each of the following outcomes after a participant is released from incarceration (assuming you knew when they were released)?								
		Not at all	Somewhat difficult	Difficult	Very diffict		Don't know	My institution currently collects	
	Attainment of a postsecondary degree or credential								
	Continued enrollment in postsecondary education								
	Credit transfer from prison education program to post- release postsecondary program								
	Employment								_
	Earnings								_
	Recidivism								\dashv
	For each outcome that your institution does not already collect, what data source would your institution use to collect the data if required to do so? Measure Data Source My institution currently collects								
28.c	Attainment of a postsecondary degree or credential	 □ Participant survey □ Institutional records □ National Student Clearinghouse □ State education records □ U.S. Department of Education records □ Other (specify) □ I don't know 							

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	To what extent would mandated reporting of the following information make it difficult for your institution to offer a prison education program in the future?					
		Not at all difficult	Somewhat difficult	Difficult	Very difficult	My school would not participate
29	Academic outcomes while incarcerated (such as credits completed or credential attainment)					
	Information on transfers to other prison education programs					
	Post-release academic outcomes (continued postsecondary enrollment or credential attainment)					
	Post-release employment and earnings outcomes					
	Recidivism					
30	In the most recently completed award year, when was your institution actively participating in the experiment? Select all that apply. Summer Fall Spring None of the above Other:					
31	What changes took place at your institution COVID-19? Instruction. How was the teaching in the ma					sponse to

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	☐ Moved in-person classes to online classes		
	☐ Moved in-person classes to a hybrid format combining online and in-person instruction		
	☐ Moved in-person classes to correspondence instruction		
	☐ Suspended instruction (no in-person, online, or correspondence classes)		
	☐ Reduced class sizes for in-person instruction		
	☐ Modified classrooms to facilitate social distancing for in-person classes		
	☐ Added more sections of in-person classes to compensate for smaller class sizes		
	☐ Created shorter instructional or course modules (For example, three 5-week modules instead of one 15-week semester)		
	☐ Modified the schedule or timing of the academic calendar		
	 Offered in-person classes for more hours per session to compensate for fewer in-person sessions 		
	☐ Used new online learning resources or other new technology		
	☐ Changed from using a letter grading system (i.e., A, B, C, D, I) to some other grading system (e.g., credit/non-credit, pass/fail, etc.)		
	☐ No changes		
	Other, please specify:		
	Source: Inside Higher Ed Survey: Responding to the COVID-19 Crisis: A New Survey of College and University Presidents, adapted question (Which of the following actions have you taken at your institution in response to COVID-19?).		

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	<u>Financial conditions at the institution.</u> What were the financial implications of COVID-19 for your institution?			
	Select all that apply.			
	☐ Reduced tuition, fees, room and board for students			
	☐ Furloughed or laid off financial aid staff			
	☐ Furloughed or laid off academic advising staff			
	☐ Furloughed or laid off career services staff			
	☐ Furloughed or laid off faculty			
	☐ Furloughed or laid off other staff			
32	 Reduced number of programs of study that are offered 			
	☐ Lower overall student enrollment			
	☐ Lower international student enrollment			
	☐ No changes			
	☐ Other, please specify:			
	Source: Inside Higher Ed Survey: Responding to the COVID-19 Crisis: A New Survey of College and University Presidents, adapted questions (Which of the following actions have you taken at your institution in response to COVID-19? and How likely is your institution to take the following actions in the future?).			
33	Did you use funds from the CARES Act for any of the following? Select all that apply.			
	 a. Reimbursed institution for refunds to students for room and board, tuition, and other fees b. Purchased technology to enable students to transition to distance learning c. Paid per-student fees to a third-party service provider for distance learning technology. d. Provided additional emergency financial aid grants to students (beyond those provided from the student portion of the Higher Education Emergency Relief Fund) 			
	e. Other, please specify			
	f. Not applicable, my institution did not receive any funding from the CARES Act.			
	Source: Categories are based on U.S. Department of Education guidance to colleges for how to use the institution portion of the Higher Education Emergency Financial Relief Fund			
	https://www2.ed.gov/about/offices/list/ope/heerfinstitutionalfaqs.pdf			

	How was the administration of the Second Chance Pell experiment affected by COVID-19? Please check one				
	response for each row.				
		Decreased	Increased	No change	
	Number of academic programs offered				
	Number of courses offered				
	Number of instructors				
	Number of students				
34	Number of students leaving programs because they were released from prison				
	Guidance or counseling offered to <i>correctional</i> facility staff to assist students with completing FAFSA or providing documentation needed for enrollment				
	Guidance or counseling offered to <i>postsecondary institution staff</i> to assist students with completing FAFSA or providing documentation needed for enrollment				
	Number of students who were ineligible because they did not successfully complete a FAFSA				
	Restrictions on student participation				
	Availability of technology or internet resources				
	Tuition and fees charged				
35	Please describe the credentials of the instructors of the in this experiment				

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	What difficulties did applicants face when attempting to complete the FAFSA? Check all that apply:			
	A. Students had trouble obtaining tax documentation for themselves			
	B. Students had trouble obtaining tax documentation for their spouse or parents			
	C. Students had trouble obtaining identification documentation (for all students, but disaggregated			
	particularly for students who were juveniles sentenced as adults)			
	D. Students had trouble obtaining documentation for failure to register for the Selective Service			
36	E. Students who are asylees had trouble obtaining documentation confirming eligible noncitizen status			
	F. Students had trouble regaining Title IV eligibility after defaulting on student loans or receiving an overpayment of a Title IV grant			
	G. Students lacked Internet access to complete the FAFSA and/or obtain needed paperwork			
	H. Students declined to complete the FAFSA			
	I. Other (please specify)			
	Were you able to enroll all students who expressed interest and were eligible to participate in Second Chance Pell? (Yes/No)			
	If no			
37	Why not? Check all that apply:			
	a. Lack of staff resources			
	b. Lack of classroom space			
	c. Other. Please describe:			
38	Please provide a brief description of the information you provided to prospective students on each of the			
	following:			
	A. Second Chance Pell and the nature of the experiment			
	B. Post-release work opportunities (including potential challenges related to professional licensure)			
	C. Credit transfer opportunities (including into new programs and post-release)			
	D. The impact of transferring between prison facilities			
	E. Lifetime eligibility restrictions of the Pell Grant			

Item Number	ICR Data Collection Item- Student Survey- Second Chance Pell
1	What is the student's first name?
2	What is the student's last name?
3	What is the student's date of birth?
4	What is the student's Social Security number?
5	What experiment is the institution reporting on for this student (provide the experiment number associated with the experiment)?
6	Is the student participating in other experiments? [Yes/No] If yes, please list.
7	What is the reporting award year?
8	What was the student's cumulative postsecondary grade point average (or other alternative measure) at the end of the most recently completed award year?
9	What was the student's enrollment status at the beginning of the first award year in which the student was part of the experiment?
10	What was the student's enrollment status at the end of the most recently completed award year?
11	Did the student complete his/her program by the end of the most recent award year?
12	To the best of your knowledge, did the applicant enroll in a program of study at your institution not involved with the experiment or enroll at another postsecondary institution during the most recently completed award year? (Select one: "Yes," "No," "Unknown")
13	What was the student's grade level at the beginning of the most recently completed award year?
14	What was the student's grade level at the end of the most recently completed award year?
15	How many postsecondary credits/hours were attempted by the student in the most recently completed award year?
16	How many postsecondary credits/hours were earned by the student in the most recently completed award

Item Number	ICR Data Collection Item- Student Survey- Second Chance Pell		
	year?		
17	How much was the student assessed for tuition and other mandatory fees for the most recently completed award year?		
18	What was the amount of the student's indirect costs that were included in the student's COA for the most recently completed award year (including books and supplies)?		
19	What was the total non-Title IV grant or scholarship aid received by the student for the most recently completed award year?		
20	Did the student complete the academic program associated with the student's participation in the experiment?		
21	What was the student's incarceration status at the end of the most recently completed award year?		
22	Prior to participating in the experiment, was the student enrolled in a postsecondary program while incarcerated?		
23	Was the FAFSA applicant determined not to be eligible for a Pell Grant, and if so for what reason? (Select all that apply. Potential answers: "Default," "Selective Service," "Citizenship," "High school completion," "Drug conviction," "EFC," "Did not complete FAFSA," "Did not enroll")		
24	What was the total amount of the Pell Grant awarded to the student in the award year?		
25	What was the total amount of non-federal aid disbursed to the student in the award year?		
26	What is the CIP code for the applicant's program of study?		
27	What was the applicant's highest degree at the time of application? Postsecondary certificate a. Associate's degree b. Bachelor's degree c. Master's degree d. Doctorate or professional degree Was the applicant released from their correctional facility because of COVID-19?		
28	Yes No		
29	Did the applicant remain incarcerated but stop participating in the experiment because of COVID-19?		

Item Number	ICR Data Collection Item- Student Survey- Second Chance Pell		
	• Yes		
	• No		
30	If an applicant was released from their correctional facility due to COVID-19, did they continue participating in their educational program?		
30	• Yes		
	• No		