

American Innovation and Manufacturing Act - Application

Worksheet Instructions:

Use this workbook to provide EPA with information to be eligible for consideration for

EPA has the authority to request additional information or ask follow up questions to

Persons who qualify for set-aside allowances because they are **application-specific**

Persons who qualify for set-aside allowances because they are **new importers** sho

Persons who qualify for set-aside allowances because they imported regulated subs

Version:

v0.2

Updated:

9/28/2021

Reporting Form Navigation:

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Section 1 - Company Identification

Instructions: Complete the following company information for the company th

Company Name	Street Address

Instructions: Describe the complete ownership of the company, including per

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Instructions: Provide the following information for the designated representati

Designated Representative	
Contact Name	Contact Email

Instructions: Select the reason your company is eligible for set-aside allowanc

Reason for Eligibility

04.13). An agency may not conduct or sponsor, and a person is not required to resp.
recordkeeping burden for this collection of information is estimated to be 44 hours p
suggested methods for minimizing respondent burden including through the use of a
1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control nu

EPA Form # 5900-534

ation for Set-aside of HFC Allowances

[Redacted]

or HFC allowances from the set-aside pool. Information must be provided by Novemb

o verify the information and supporting documentation submitted as part of this applic

ic **end-users** should complete Section 2 of this reporting form.

ould complete Section 3 of this reporting form.

stances in 2020 but were not required to report under 40 CFR part 98 and were not is

[Redacted]

[Redacted]

[Redacted]

at is applying for allowances under the HFC Allowance Set-aside. §84.15(d)

City	State/U.S. Territory	Zip
[Redacted]	[Redacted]	[Redacted]

centages of ownership and the name of the parent company or owners. §84.15(d)

[Redacted]

ive at the company. §84.15(d)

[Redacted]
Contact Phone
[Redacted]

ces.

[Redacted]

er 30, 2021. Submit this completed form along with this information.

ssued allowances as of October 1, 2021, must be reported in the following table:

Country

d)

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Section 2a - Application-Specific Use Information

Instructions: Entities that qualify for application-specific allowances under § 84.13 that were not issued a

Instructions: Select from the dropdown menu the application your company uses.

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Instructions: Provide a description of the use of regulated substances and a detailed explanation of how

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Instructions: Provide the total quantity of all regulated substances acquired for application-specific use i
a copy of the sales records, invoices, or other records documenting that quantity. §84.31(h)(2)(ii)

HFC	Quantity Aquired in 2018 (kg)	Quantity Aquired in 2019 (kg)

Instructions: Provide the name of the entity or entities supplying regulated substances for application-sp

Supp		
Company Name	Contact Name	Contact Phone

Instructions: Provide the quantities of regulated substances held in inventory for application-specific use

HFC Inventory

HFC	Quantity held in Inventory as of June 30, 2020 (kg)	Quantity held in Inventory as of June 30, 2021 (kg)

Instructions: Describe plans to transition to regulated substances with a lower exchange value or alternative substances.

Section 2b - Application-Specific Use Projections

Instructions: If requesting additional allowances due to one or more of the circumstances listed in § 84.1, provide the quantity of additional regulated substances needed by month in the next calendar year. §84.31(h)(2)(vi)

Month	Quantity of Projected Needs (kg)
January 2022	
February 2022	
March 2022	
April 2022	
May 2022	
June 2022	
July 2022	
August 2022	
September 2022	
October 2022	
November 2022	
December 2022	
TOTAL	0.00

Instructions: Provide a detailed explanation, including relevant supporting documentation (e.g., explanation of growth in MDI sales to clarify when the new line or facility is opening, more recent sales numbers to document growth in MDI sales).

Section 2c - Contracting Information

Does your company contract out the manufacturing of defense sprays or metered dose inhalers, or contract out the manufacturing of other regulated substances?

Instructions: If applicable, provide the contact information for a representative of the person(s) contracted for manufacturing.

Manufacturing/Contracting		
Company Name	Contact Name	Contact Phone

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Instructions: Provide clarification on whether the responses provided in Sections 2a and 2b above apply manufacturing and/or servicing using application-specific allowances. §84.31(h)(2)(vii)

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of HFC Allowances

Allowances as of October 1, 2021 must provide the information requested below.

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the use is an application-specific use listed in §84.31(a). §84.31(h)(2)(i)

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in the previous three years. Provide

Quantity Acquired in 2020 (kg)

specific use and contact information for those suppliers. §84.31(h)(2)(iii)

Supplier Information			
Contact Email	City	State/U.S. Territory	Zip

as of June 30 of this year and the prior year. §84.31(h)(2)(iv)

tives to regulated substances. §84.31(h)(2)(v)

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3(b)(1), provide a projection of the monthly quantity of

ion of amounts of products that must be delivered under new contracts, copies of permits
sales resulting from a public health emergency) to justify the additional need. §84.31(h)(2)(

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act out the servicing of onboard aerospace fire suppression?

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d to do the manufacturing or servicing. §84.31(h)(2)(vii)

Servicing Representative			
Contact Email	City	State/U.S. Territory	Zip

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to the company that is requesting application-specific allowances or the company receivin



2060-XXXX

Expiration Date: X/XX/202X

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Country



or other documentation
(vi)



Country



g the contract for



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Section 3a - New Importer Information

Instructions: Persons who imported regulated substances in 2020 that were not required to re 2021 must provide the information requested below.

Owner of the Company §84.15(d)(2)(iii)	
Contact Name	Contact Email

Is the company a woman or minority owned business. §84.15(d)(2)(ii)	Date of Incorporation §84.15(d)(2)(iv)

Section 3b - Plan for Importing HFCs

Instructions: Provide the following information detailing the company's plan for importing HFC

Instructions: Describe what equipment and facilities the company has or needs to acquire to r

Does the company currently sell HFCs or other industrial gases?
Does the company have any past experience importing HFCs?

If you responded yes to either question above, describe your experience.

Will the company be working with a customs broker?
If yes, which brokerage firm?

Instructions: Indicate the month(s) in which the company intends to import HFCs.

Month	Intend to Import HFCs
January	
February	
March	
April	
May	
June	
July	
August	
September	
October	
November	
December	

Instructions: Identify the single component HFC(s) the company intends to import and the CAS

Intended HFC Imports	
HFC	CAS Number

Instructions: Identify the HFC blends the company intends to import and the ASHRAE numeric

Intended HFC Blends	
HFC Blend	HFC

Instructions: Identify the HTS codes for the HFCs and HFC blends the company intends to import

HTS Codes

Instructions: Identify the prospective foreign exporter that the applicant anticipates working with for imports is accurate and achievable. §84.15(d)(2)(vii)

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Does your submission including supporting documentation from the foreign exporter confirming that t achievable?

Section 3c - Certifications

Instructions: Provide a letter from a responsible official, defined as an individual designated b responsibility to act on behalf of the company, certifying the following:

The company intends to import HFCs in calendar years 2022 and 2023.

Certification that I and/or my company do not share corporate or common ownership, corporate affilia with entities receiving allowances through the rule entitled, "Phasedown of Hydrofluorocarbons: Estal Program under the American Innovation and Manufacturing Act".

The company has read the HFC Allocation final rule, available by visiting <https://www.epa.gov/climate/hydrofluorocarbons-establishing-allowance-allocation>, and understands the following sections of the

- 40 CFR 84.5 Prohibitions relating to regulated substances.
- 40 CFR 84.15 Set-aside of application-specific allowances, production allowances, and consum
- 40 CFR 84.19 Transfers of allowances.
- 40 CFR 84.23 Certification identification generation and tracking.
- 40 CFR 84.31(a), (c), (h), and (k) Recordkeeping and reporting.
- 40 CFR 84.33 Auditing of recordkeeping and reporting.
- 40 CFR 84.35 Administrative consequences.

The company will meet all applicable U.S. Department of Transportation (DOT) standards and all cyli be compliant with the DOT standards found at CFR Title 49 part §178.

The company will pay any duties consistent with U.S. Customs and Border Protection (CBP) requirem

The company will comply with all EPA requirements, including those established under the AIM Act a requirements. §84.15(d)(2)(viii)

The information submitted in this form is complete, accurate, and truthful. §84.15(d)(2)(ix)

Outside of HFC Allowances

Report under 40 CFR part 98 and were not issued allowances as of October 1,

Contact Phone

State in which Company is Incorporated §84.15(d)(2)(iv)	State License Identifier §84.15(d)(2)(v)

As §84.15(d)(2)(vi)

manage compressed gases.

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S number for each.

ical designation of the refrigerant or the percentage of the mixture containing each regulated substan

Intended HFC Blend Imports		
HFC Component (1)		
HFC Composition of Blend (%)	CAS Number	HFC

port.

with and provide confirmation from the foreign exporter that the timeline for

[Redacted]

he timeline for imports is accurate and [Redacted]

by the company with the authority and **Documentation Provided?**

[Redacted]

ation in the past five years, or familial relations
plishing the Allowance Allocation and Trading [Redacted]

[te-hfcs-reduction/final-rule-phasedown-](#)
e new HFC Allocation regulation:
ption allowances. [Redacted]

nders and containers used by the company will [Redacted]

ents per Title 19. [Redacted]

nd annual Greenhouse Gas reporting [Redacted]

[Redacted]

ice and the CAS numbers for each neat HFC.

HFC Component (2)		HFC Component (3)		
HFC Composition of Blend (%)	CAS Number	HFC	HFC Composition of Blend (%)	CAS Number