# American Innovation and Manufacturing Act - Applica

Worksheet Instructions:

Use this workbook to provide EPA with information to be eligible for consideration fo

Persons who qualify for set-aside allowances because they are **application-specifi** Persons who qualify for set-aside allowances because they are **new market entran** 

Persons who qualify for set-aside allowances because they imported regulated subs

Version:

1.1

Updated:

11/23/2021

External Link:

Final Rule - Phasedown of Hydrofluorocarbons: Establishing the Allowance Allocatic

Reporting Form Navigation: Section 1 - Company Identification

Section 2a - Application-Specific Use Information

Section 2b - Application-Specific Use Projections

Section 2c - Contracting Information

Section 3a - New Market Entrant Information

Section 3b - Plan for Importing HFCs

Section 3c - Certifications

EPA may request additional information or ask follow up questions to verify the accu

#### **Section 1 - Company Identification**

Instructions: Complete the following company information for the company th

Company Name	Street Address

Instructions: Provide the URL for your company's website, if available.

Website URL

Instructions: Provide information on the corporate structure of the company. owners, and identify any subsidiaries. Provide supporting documentation to v Publicly traded/listed on X stock exchange, LLC, Privately held Limited Partne incorporated/registered, and/or documentation of the officers for each legal e

Instructions: Provide the following information for the designated representation

	Designated Representative
Contact Name	Contact Email

#### Instructions: Select the reason your company is eligible for set-aside allowand

**Reason for Eligibility** 

This collection of information is approved by OMB under the Paperwork Reduction / agency may not conduct or sponsor, and a person is not required to respond to, a c collection of information is estimated to be 44 hours per response. Send comments respondent burden including through the use of automated collection techniques to 20460. Include the OMB control number in any correspondence. Do not send the cc

EPA Form # 5900-534

## tion for Set-aside of HFC Allowances

IFC allowances from the set-aside pool. Information must be provided by Novemb

ic end-users should complete Sections 1 and 2 of this reporting form. Its should complete Sections 1 and 3 of this reporting form.

stances in 2020 but were not required to report under 40 CFR part 98 and were not is

on and Trading Program Under the American Innovation and Manufacturing Act <http://www.action.com/actional/acti

aracy of this submission and supporting documentation, including pursuant to CAA se

at is applying for allowances under the HFC Allowance Set-aside. §84.15(d)				
City State/U.S. Territory Zip				

Describe the complete ownership of the company, including percentages of ov rerify the information provided. Documentation may include articles of incorpo rship, Incorporated in X State), identifying number or registration associated v ntity. §84.15(d)

ive at the company. §84.15(d)

**Contact Phone** 

Act, 44 U.S.C. 3501 et seq. (OMB Control No. 2060-0735). Responses to this collecti ollection of information unless it displays a currently valid OMB control number. The on the Agency's need for this information, the accuracy of the provided burden estim the Director, Regulatory Support Division, U.S. Environmental Protection Agency (28 impleted form to this address.

#### OMB Control Number: 2060-0735 Expiration Date: 4/30/2022

er 30, 2021. Submit this completed form along with any

ssued allowances as of October 1, 2021, must complete

)s://www.federalregister.gov/documents/2021/10/05/202

ection 114 as authorized under the AIM Act.

Country

vnership and the name of the parent company or ration and a description of the incorporation (e.g., vith the company in the jurisdiction where ion of information are mandatory (40 CFR 84.15). An public reporting and recordkeeping burden for this lates and any suggested methods for minimizing \$21T), 1200 Pennsylvania Ave., NW, Washington, D.C.

# American Innovation and Manufacturing Act - Application for Set-as

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Section 3b - Plan for Importing HFCs
Section 3c - Certifications

## Section 2a - Application-Specific Use Information

Instructions: Entities that qualify for application-specific allowances under § 84.13 that were not is:

Instructions: Select from the dropdown menu the application your company uses.

Instructions: Provide a description of the use of regulated substances and a detailed explanation o

Should the description of the use of regulated substances and a detailed explanation of how the us listed in §84.31(a) be treated as confidential business information (CBI)?

Instructions: Provide the total quantity of all regulated substances acquired for application-specific years. Provide a copy of the sales records, invoices, or other records documenting that quantity. N weight per cylinder or unit if not included in the sales records or invoices. §84.31(h)(2)(ii)

HFC	Quantity Aquired in 2018 (kg)	Quantity Aquired in 2019 (kg)

Instructions: Provide the name of the entity or entities supplying regulated substances for applicat

Company Name	Contact Name	Contact Phone

Instructions: Provide the quantities of regulated substances held in inventory for application-speci

HFC Inventory		
HFC	Quantity held in Inventory as of June 30, 2020 (kg)	Quantity held in Inventory as of June 30, 2021 (kg)

Instructions: Describe plans to transition to regulated substances with a lower exchange value or a

## Section 2b - Application-Specific Use Projections

Instructions: If requesting additional allowances due to one or more of the circumstances listed in calendar year. §84.31(h)(2)(vi)

Regulated Substance		
Month		
January 2022		
February 2022		
March 2022		
April 2022		
May 2022		
June 2022		
July 2022		
August 2022		
September 2022		
October 2022		
November 2022		
December 2022		
TOTAL	0.00	0.00

Instructions: Provide a detailed explanation, including relevant supporting documentation (e.g., ex when the new line or facility is opening, more recent sales numbers to document growth in MDI sales are sales numbers to document growth in MDI sales are sales numbers to document growth in MDI sales are sales numbers to document growth in MDI sales are sales numbers to document growth in MDI sales are sales numbers to document growth in MDI sales are sales numbers to document growth in MDI sales are sales numbers to document growth in MDI sales are sales numbers to document growth in MDI sales are sales numbers to document growth in MDI sales are sales numbers to document growth in MDI sales are sales numbers are sales numbers to document growth in MDI sales are sales numbers are sales numbers

## Section 2c - Contracting Information

Does your company contract out the manufacturing of defense sprays or metered dose inhalers, o

Instructions: If applicable, provide the contact information for a representative of the person(s) cor

Company Name	Contact Name	Contact Phone	

N

Instructions: Provide clarification on whether the responses provided in Sections 2a and 2b above and/or servicing using application-specific allowances. §84.31(h)(2)(vii)

OMB Control Number: 2060-0735 Expiration Date: 4/30/2022

# ide of HFC Allowances

m Under the American Innovation and Manufacturing Act <a href="https://www.federalregister.gov/docun">https://www.federalregister.gov/docun</a>

sued allowances as of October 1, 2021 must provide the information requested below.

f how the use is an application-specific use listed in §84.31(a). §84.31(h)(2)(i)

se is an application-specific use

c use in the previous three lake sure to specify the HFC

Quantity Aquired in 2020 (kg)

ion-specific use and contact information for those suppliers. §84.31(h)(2)(iii)

Contact Email	City	State/U.S. Territory	Zip
Contact Entail	Oity	Stateroid. Territory	210

fic use as of June 30 of this year and the prior year. §84.31(h)(2)(iv)

#### alternatives to regulated substances. §84.31(h)(2)(v)

§ 84.13(b)(1), provide a projection of the monthly quantity of each additional regulated substance neede

Quantity of Projected Needs (kg)		
0.00	0.00	0.00

planation of amounts of products that must be delivered under new contracts, copies of permits or othe les resulting from a public health emergency) to justify the additional need. §84.31(h)(2)(vi)

r contract out the servicing of onboard aerospace fire suppression?

tracted to do the manufacturing or servicing. §84.31(h)(2)(vii)

lanufacturing/Servicing Represen	tative		
Contact Email	City	State/U.S. Territory	Zip

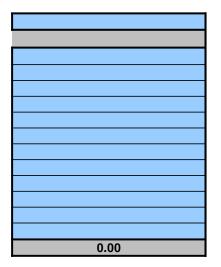
apply to the company that is requesting application-specific allowances or the company receiving the c

Country





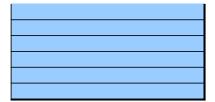
## ed by month in the next



## er documentation to clarify



Country



contract for manufacturing



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Section 3c - Certifications

## Section 3a - New Market Entrant Information

Instructions: Persons who are seeking to become a new market entrant (i.e., n past five years, or familial relations with entities receiving allowances, and we requested below. Companies must demonstrate an ability to make use of allow exporter will not be eligible to receive allowances from the set-aside pool.

Owner of the Company §84.15(d)(2)(iii)		
Contact Name	Contact Email	
Is the company a woman or minority owned businesss. §84.15(d)(2)(ii)	Date of Incorporation §84.15(d)(2) (iv)	

How many allowances is your company requesting (in metric tons of exchange For a calculator to convert kilograms of HFCs to MTEVe, please visit <a href="https://www.e">https://www.e</a>

## Section 3b - Plan for Importing HFCs

Instructions: Provide the following information detailing the company's plan fc

Instructions: Describe what equipment and facilities the company has or need

Does the company currently sell HFCs or other industrial gases? Does the company have any past experience importing HFCs?

> If yes, has the company reported pri Reporting Program?

If you responded yes to either question above, describe your experience.

Will the company be working with a customs broker?

If yes, which brokerage firm? Provide contact information for the t

#### Instructions: Indicate the month(s) in which the company intends to import HF

Month	Intend to Import HFCs
January	
February	
March	
April	
Мау	
June	
July	
Augus	
September	
October	
November	
December	

Instructions: Identify the single component HFC(s) the company intends to im

Intended HFC Imports		
HFC	CAS Number	

Instructions: Identify the HFC blends the company intends to import and the A

HFC Blend	HFC

Instructions: Identify the HTS codes for the HFCs and HFC blends the compan

HTS Codes	

Instructions: Identify the prospective foreign exporter that the applicant antici exporter that the timeline for imports is accurate and achievable. §84.15(d)(2)('

Does your submission include supporting documentation from the foreign exporter c accurate and achievable?

### Section 3c - Certifications

Instructions: Provide a letter from a responsible official, defined as an individu the authority and responsibility to act on behalf of the company, certifying the

The company intends to import HFCs in calendar years 2022 and 2023.

Certification that I and/or my company do not share corporate or common ownership years, or familial relations with entities receiving allowances through the rule entitled Establishing the Allowance Allocation and Trading Program under the American Inno

The company has read the HFC Allocation final rule, available by visiting <a href="https://www.example.com">https://www.example.com</a>

40 CFR 84.5 Prohibitions relating to regulated substances.

40 CFR 84.15 Set-aside of application-specific allowances, production allowar

40 CFR 84.19 Transfers of allowances.

40 CFR 84.23 Certification identification generation and tracking.

40 CFR 84.31(a), (c), (h), and (k) Recordkeeping and reporting.

40 CFR 84.33 Auditing of recordkeeping and reporting.

40 CFR 84.35 Administrative consequences.

The company will meet all applicable U.S. Department of Transportation (DOT) stan used by the company will be compliant with the DOT standards found at CFR Title 4

The company will pay any duties conistent with U.S. Customs and Border Protection

The company will comply with all EPA requirements, including those established unc Gas reporting requirements. §84.15(d)(2)(viii)

The information submitted in this form is complete, accurate, and truthful. §84.15(d)(

# tion for Set-aside of HFC Allowances

n and Trading Program Under the American Innovation and Manufacturing Act<https://www.feder

ew importer), do not share corporate or common ownership, corporate affiliation in the re not eligible for allowances as of October 1, 2021, must provide the information vances. Further, companies without a detailed import plan and a prospective foreign

Contact Phone

#### e value equivalent (MTEVe))?

pa.gov/climate-hfcs-reduction/phasedown-hydrofluorocarbons-hfcs-issuing-allowance-allocations>.

#### or importing HFCs. §84.15(d)(2)(vi)

s to acquire to manage compressed gases.

or imports to the Greenhouse Gas	

prokerage firm.	

Cs.

port and the CAS number for each.

#### SHRAE numerical designation of the refrigerant or the percentage of the mixture containing each regulated sub. Intended HFC Blend Imports

HFC Component (1)		HFC Component (2)			
HFC Composition of Blend (%)	CAS Number	HFC	HFC Composition of Blend (%)		

y intends to import.

# pates working with and provide confirmation from the foreign vii)

onfirming that the timeline for imports is	
al designated by the company with	Documentation Provided?
following:	
), corporate affiliation in the past five , "Phasedown of Hydrofluorocarbons:	
ovation and Manufacturing Act".	
w.federalregister.gov/documents/2021/1	
nces, and consumption allowances.	
ioco, and concemption allowances.	
dards and all cylinders and containers	
9 part §178.	
(CBP) requirements per Title 19.	
ler the AIM Act and annual Greenhouse	
2)(ix)	

stance and the CAS numbers for each neat HFC.

	HFC Component (3)			
CAS Number	HFC	HFC Composition of Blend (%)	CAS Number	