

American Innovation and Manufacturing Act - Application

Worksheet Instructions:

[Use this workbook to provide EPA with information to be eligible for consideration for](#)

Persons who qualify for set-aside allowances because they are **application-specific**
Persons who qualify for set-aside allowances because they are **new market entrant**

[Persons who qualify for set-aside allowances because they imported regulated substances](#)

Version:

1.1

Updated:

11/23/2021

External Link:

[Final Rule - Phasedown of Hydrofluorocarbons: Establishing the Allowance Allocation](#)

Reporting Form Navigation:

[Section 1 - Company Identification](#)

[Section 2a - Application-Specific Use Information](#)

[Section 2b - Application-Specific Use Projections](#)

[Section 2c - Contracting Information](#)

[Section 3a - New Market Entrant Information](#)

[Section 3b - Plan for Importing HFCs](#)

[Section 3c - Certifications](#)

EPA may request additional information or ask follow up questions to verify the accuracy of the information provided.

Section 1 - Company Identification

Instructions: Complete the following company information for the company that you are applying for.

Company Name	Street Address

Instructions: Provide the URL for your company's website, if available.

Website URL

Instructions: Provide information on the corporate structure of the company. List all owners, and identify any subsidiaries. Provide supporting documentation to verify ownership. Publicly traded/listed on X stock exchange, LLC, Privately held Limited Partnership, incorporated/registered, and/or documentation of the officers for each legal entity.

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Instructions: Provide the following information for the designated representative of the company.

Designated Representative	
Contact Name	Contact Email

Instructions: Select the reason your company is eligible for set-aside allowance

Reason for Eligibility	
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This collection of information is approved by OMB under the Paperwork Reduction Act. If your agency may not conduct or sponsor, and a person is not required to respond to, a collection of information is estimated to be 44 hours per response. Send comments regarding this respondent burden including through the use of automated collection techniques to OMB at 20460. Include the OMB control number in any correspondence. Do not send the collection of information to EPA.

EPA Form # 5900-534

Application for Set-aside of HFC Allowances

[Redacted]

for HFC allowances from the set-aside pool. Information must be provided by November

for end-users should complete Sections 1 and 2 of this reporting form.

Manufacturers should complete Sections 1 and 3 of this reporting form.

Manufacturers that were required to report under 40 CFR part 98 and were not is

[Redacted]

[Redacted]

[Redacted]

under the American Innovation and Manufacturing Act <[http://www.epa.gov/aia](#)>

[Redacted]

accuracy of this submission and supporting documentation, including pursuant to CAA section

that is applying for allowances under the HFC Allowance Set-aside. §84.15(d)

City	State/U.S. Territory	Zip
[Redacted]	[Redacted]	[Redacted]

[Redacted]

Describe the complete ownership of the company, including percentages of ownership. Verify the information provided. Documentation may include articles of incorporation, Certificate of Incorporation, or other documents showing ownership (e.g., partnership, Incorporated in X State), identifying number or registration associated with the entity. §84.15(d)

[Redacted]

Provide the contact information for the person who will be responsible for the application at the company. §84.15(d)

[Redacted]
Contact Phone
[Redacted]

ces.

Act, 44 U.S.C. 3501 et seq. (OMB Control No. 2060-0735). Responses to this collection of information unless it displays a currently valid OMB control number. The Agency's need for this information, the accuracy of the provided burden estimate, the Director, Regulatory Support Division, U.S. Environmental Protection Agency (2800 Shreveport Blvd., New Orleans, LA 70119). Send all comments regarding this information collection form to this address.



ion of information are mandatory (40 CFR 84.15). An
public reporting and recordkeeping burden for this
ates and any suggested methods for minimizing
21T), 1200 Pennsylvania Ave., NW, Washington, D.C.

American Innovation and Manufacturing Act - Application for Set-as

Version:
1.1
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11/23/2021
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Final Rule - Phasedown of Hydrofluorocarbons: Establishing the Allowance Allocation and Trading Program
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Section 1 - Company Identification
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Section 2b - Application-Specific Use Projections
Section 2c - Contracting Information
Section 3a - New Market Entrant Information
Section 3b - Plan for Importing HFCs
Section 3c - Certifications

Section 2a - Application-Specific Use Information

Instructions: Entities that qualify for application-specific allowances under § 84.13 that were not is:

Instructions: Select from the dropdown menu the application your company uses.

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Instructions: Provide a description of the use of regulated substances and a detailed explanation o

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Should the description of the use of regulated substances and a detailed explanation of how the us listed in §84.31(a) be treated as confidential business information (CBI)?

Instructions: Provide the total quantity of all regulated substances acquired for application-specific years. Provide a copy of the sales records, invoices, or other records documenting that quantity. N weight per cylinder or unit if not included in the sales records or invoices. §84.31(h)(2)(ii)

HFC	Quantity Aquired in 2018 (kg)	Quantity Aquired in 2019 (kg)

Instructions: Provide the name of the entity or entities supplying regulated substances for applicat

Company Name	Contact Name	Contact Phone

Instructions: Provide the quantities of regulated substances held in inventory for application-specific

HFC Inventory		
HFC	Quantity held in Inventory as of June 30, 2020 (kg)	Quantity held in Inventory as of June 30, 2021 (kg)

Instructions: Describe plans to transition to regulated substances with a lower exchange value or a

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Section 2b - Application-Specific Use Projections

Instructions: If requesting additional allowances due to one or more of the circumstances listed in calendar year. §84.31(h)(2)(vi)

Regulated Substance		
Month		
January 2022		
February 2022		
March 2022		
April 2022		
May 2022		
June 2022		
July 2022		
August 2022		
September 2022		
October 2022		
November 2022		
December 2022		
TOTAL	0.00	0.00

Instructions: Provide a detailed explanation, including relevant supporting documentation (e.g., ex when the new line or facility is opening, more recent sales numbers to document growth in MDI sa

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Section 2c - Contracting Information

Does your company contract out the manufacturing of defense sprays or metered dose inhalers, or

Instructions: If applicable, provide the contact information for a representative of the person(s) cor

Company Name	Contact Name	Contact Phone

Instructions: Provide clarification on whether the responses provided in Sections 2a and 2b above and/or servicing using application-specific allowances. §84.31(h)(2)(vii)

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Side of HFC Allowances

m Under the American Innovation and Manufacturing Act <https://www.federalregister.gov/docu

Used allowances as of October 1, 2021 must provide the information requested below.

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If how the use is an application-specific use listed in §84.31(a), §84.31(h)(2)(i)

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Use is an application-specific use	
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Use in the previous three
Make sure to specify the HFC

Quantity Acquired in 2020 (kg)

Application-specific use and contact information for those suppliers. §84.31(h)(2)(iii)

Supplier Information			
Contact Email	City	State/U.S. Territory	Zip

apply to the company that is requesting application-specific allowances or the company receiving the c



Country

Contract for manufacturing

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Section 3c - Certifications	

Section 3a - New Market Entrant Information

Instructions: Persons who are seeking to become a new market entrant (i.e., n past five years, or familial relations with entities receiving allowances, and we requested below. Companies must demonstrate an ability to make use of allovw exporter will not be eligible to receive allowances from the set-aside pool.

Owner of the Company §84.15(d)(2)(iii)	
Contact Name	Contact Email

Is the company a woman or minority owned business. §84.15(d)(2)(ii)	Date of Incorporation §84.15(d)(2)(iv)

How many allowances is your company requesting (in metric tons of exchange) For a calculator to convert kilograms of HFCs to MTEVe, please visit <<https://www.e>

Section 3b - Plan for Importing HFCs

Instructions: Provide the following information detailing the company's plan fo

Instructions: Describe what equipment and facilities the company has or need

Does the company currently sell HFCs or other industrial gases?	
Does the company have any past experience importing HFCs?	
	If yes, has the company reported pri Reporting Program?

If you responded yes to either question above, describe your experience.



Will the company be working with a customs broker?

If yes, which brokerage firm?

Provide contact information for the broker

Instructions: Indicate the month(s) in which the company intends to import HFCs

Month	Intend to Import HFCs
January	
February	
March	
April	
May	
June	
July	
August	
September	
October	
November	
December	

Instructions: Identify the single component HFC(s) the company intends to import

Intended HFC Imports	
HFC	CAS Number

Instructions: Identify the HFC blends the company intends to import and the A

HFC Blend	HFC

Instructions: Identify the HTS codes for the HFCs and HFC blends the company

HTS Codes

Instructions: Identify the prospective foreign exporter that the applicant anticipates will be the foreign exporter that the timeline for imports is accurate and achievable. §84.15(d)(2)(i)

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Does your submission include supporting documentation from the foreign exporter certifying that the timeline for imports is accurate and achievable?

Section 3c - Certifications

Instructions: Provide a letter from a responsible official, defined as an individual with the authority and responsibility to act on behalf of the company, certifying the following information:

The company intends to import HFCs in calendar years 2022 and 2023.

Certification that I and/or my company do not share corporate or common ownership with any other entity receiving allowances through the rule entitled "Establishing the Allowance Allocation and Trading Program under the American Innovation and Incentives Act" for the past 5 years, or familial relations with entities receiving allowances through the rule entitled "Establishing the Allowance Allocation and Trading Program under the American Innovation and Incentives Act" for the past 5 years.

The company has read the HFC Allocation final rule, available by visiting <https://www.ecfr.gov/current/title-40/chapter-I/subchapter-B/part-84/subpart-15/section-84.15> and certifies that the company understands and will comply with the following requirements:

- 40 CFR 84.5 Prohibitions relating to regulated substances.
- 40 CFR 84.15 Set-aside of application-specific allowances, production allowances, and transfers of allowances.
- 40 CFR 84.19 Transfers of allowances.
- 40 CFR 84.23 Certification identification generation and tracking.
- 40 CFR 84.31(a), (c), (h), and (k) Recordkeeping and reporting.
- 40 CFR 84.33 Auditing of recordkeeping and reporting.
- 40 CFR 84.35 Administrative consequences.

The company will meet all applicable U.S. Department of Transportation (DOT) standards for the equipment used by the company will be compliant with the DOT standards found at CFR Title 49, Part 171.

The company will pay any duties consistent with U.S. Customs and Border Protection requirements.

The company will comply with all EPA requirements, including those established under the Clean Air Act, regarding HFC reporting requirements. §84.15(d)(2)(viii)

The information submitted in this form is complete, accurate, and truthful. §84.15(d)(2)(ix)

tion for Set-aside of HFC Allowances

on and Trading Program Under the American Innovation and Manufacturing Act<https://www.federalregister.gov>

ew importer), do not share corporate or common ownership, corporate affiliation in the re not eligible for allowances as of October 1, 2021, must provide the information vances. Further, companies without a detailed import plan and a prospective foreign

Contact Phone

State in which Company is Incorporated §84.15(d)(2)(iv)	State License Identifier §84.15(d)(2)(v)

Value equivalent (MTEVe)?	
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[pa.gov/climate-hfcs-reduction/phasedown-hydrofluorocarbons-hfcs-issuing-allowance-allocations>](https://www.epa.gov/climate-hfcs-reduction/phasedown-hydrofluorocarbons-hfcs-issuing-allowance-allocations).

or importing HFCs. §84.15(d)(2)(vi)

s to acquire to manage compressed gases.

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or imports to the Greenhouse Gas	



brokerage firm.	

Cs.

port and the CAS number for each.

SHRAE numerical designation of the refrigerant or the percentage of the mixture containing each regulated sub

Intended HFC Blend Imports			
HFC Component (1)		HFC Component (2)	
HFC Composition of Blend (%)	CAS Number	HFC	HFC Composition of Blend (%)

y intends to import.

states working with and provide confirmation from the foreign
vii)

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confirming that the timeline for imports is	
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ual designated by the company with
following:

Documentation Provided?

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), corporate affiliation in the past five , "Phasedown of Hydrofluorocarbons: novation and Manufacturing Act".	
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www.federalregister.gov/documents/2021/10/20/2021-10-20-efr-0001 nces, and consumption allowances.	
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ards and all cylinders and containers 9 part §178.	
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(CBP) requirements per Title 19.	
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der the AIM Act and annual Greenhouse	
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2)(ix)	
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