

American Innovation and Manufacturing Act - Applica

Worksheet Instructions:

[Use this workbook to provide EPA with information to be eligible for consideration fo](#)

Persons who qualify for set-aside allowances because they are **application-specifi**

Persons who qualify for set-aside allowances because they are **new market entran**

Persons who qualify for set-aside allowances because they imported regulated subs

Version:

r0.4

Updated:

10/20/2021

External Link:

[Final Rule - Phasedown of Hydrofluorocarbons: Establishing the Allowance Allocati](#)

Reporting Form Navigation:

[Section 1 - Company Identification](#)

[Section 2a - Application-Specific Use Information](#)

[Section 2b - Application-Specific Use Projections](#)

[Section 2c - Contracting Information](#)

[Section 3a - New Market Entrant Information](#)

[Section 3b - Plan for Importing HFCs](#)

[Section 3c - Certifications](#)

EPA may request additional information or ask follow up questions to verify th

Section 1 - Company Identification

Instructions: Complete the following company information for the company th

Company Name	Street Address

Instructions: Provide the URL for your company's website, if available.

Website URL

Instructions: Provide information on the corporate structure of the company. I
owners, and identify any subsidiaries. Provide supporting documentation to v
Publicly traded/listed on X stock exchange, LLC, Privately held Limited Partne
incorporated/registered, and/or documentation of the officers for each legal ei

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Instructions: Provide the following information for the designated representati

Designated Representative	
Contact Name	Contact Email

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Instructions: Select the reason your company is eligible for set-aside allowance

Reason for Eligibility	
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This collection of information is approved by OMB under the Paperwork Reduction Act. If this agency may not conduct or sponsor, and a person is not required to respond to, a collection of information is estimated to be 44 hours per response. Send comments on this respondent burden including through the use of automated collection techniques to EPA-20460. Include the OMB control number in any correspondence. Do not send the cc

EPA Form # 5900-534

ation for Set-aside of HFC Allowances

[Redacted]

or HFC allowances from the set-aside pool. Information must be provided by Novemb

ic end-users should complete Sections 1 and 2 of this reporting form.
its should complete Sections 1 and 3 of this reporting form.

stances in 2020 but were not required to report under 40 CFR part 98 and were not is

[Redacted]

[Redacted]

[Redacted]

on and Trading Program Under the American Innovation and Manufacturing Act <http

[Redacted]

re accuracy of this submission and supporting documentation, including pursu

at is applying for allowances under the HFC Allowance Set-aside. §84.15(d)

City	State/U.S. Territory	Zip
[Redacted]	[Redacted]	[Redacted]

[Redacted]

Describe the complete ownership of the company, including percentages of ov
erify the information provided. Documentation may include articles of incorpo
rship, Incorporated in X State), identifying number or registration associated v
ntity. §84.15(d)

[Redacted]

ive at the company. §84.15(d)

[Redacted]
Contact Phone

[Redacted]

ces.

[Redacted]

Act, 44 U.S.C. 3501 et seq. (OMB Control No. 2060-XXXX). Responses to this collection of information unless it displays a currently valid OMB control number. The Agency's need for this information, the accuracy of the provided burden estimate, the Director, Regulatory Support Division, U.S. Environmental Protection Agency (2800) completed form to this address.

er 30, 2021. Submit this completed form along with any
ssued allowances as of October 1, 2021, must complete
s://www.federalregister.gov/documents/2021/10/05/202

uant to CAA section 114 as authorized under the AIM Act.

Country

--

wnership and the name of the parent company or
ration and a description of the incorporation (e.g.,
with the company in the jurisdiction where

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tion of information are mandatory (40 CFR 84.15). An
public reporting and recordkeeping burden for this
ates and any suggested methods for minimizing
21T), 1200 Pennsylvania Ave., NW, Washington, D.C.

American Innovation and Manufacturing Act - Application for Set-aside c

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Section 3b - Plan for Importing HFCs
Section 3c - Certifications

Section 2a - Application-Specific Use Information

Instructions: Entities that qualify for application-specific allowances under § 84.13 that were not issued a

Instructions: Select from the dropdown menu the application your company uses.

Instructions: Provide a description of the use of regulated substances and a detailed explanation of how

Should the description of the use of regulated substances and a detailed explanation of how the use is a §84.31(a) be treated as confidential business information (CBI)?

Instructions: Provide the total quantity of all regulated substances acquired for application-specific use i a copy of the sales records, invoices, or other records documenting that quantity. Make sure to specify th not included in the sales records or invoices. §84.31(h)(2)(ii)

HFC	Quantity Aquired in 2018 (kg)	Quantity Aquired in 2019 (kg)

Instructions: Provide the name of the entity or entities supplying regulated substances for application-sp

Supp		
Company Name	Contact Name	Contact Phone

Instructions: Provide the quantities of regulated substances held in inventory for application-specific use

HFC Inventory		
HFC	Quantity held in Inventory as of June 30, 2020 (kg)	Quantity held in Inventory as of June 30, 2021 (kg)

Instructions: Describe plans to transition to regulated substances with a lower exchange value or alternative

Section 2b - Application-Specific Use Projections

Instructions: If requesting additional allowances due to one or more of the circumstances listed in § 84.1: additional regulated substances needed by month in the next calendar year. §84.31(h)(2)(vi)

Month	Quantity of Projected Needs (kg)
January 2022	
February 2022	
March 2022	
April 2022	
May 2022	
June 2022	
July 2022	
August 2022	
September 2022	
October 2022	
November 2022	
December 2022	
TOTAL	0.00

Instructions: Provide a detailed explanation, including relevant supporting documentation (e.g., explanation to clarify when the new line or facility is opening, more recent sales numbers to document growth in MDI

Section 2c - Contracting Information

Does your company contract out the manufacturing of defense sprays or metered dose inhalers, or contract

Instructions: If applicable, provide the contact information for a representative of the person(s) contracted

Manufacturing/		
Company Name	Contact Name	Contact Phone

Instructions: Provide clarification on whether the responses provided in Sections 2a and 2b above apply manufacturing and/or servicing using application-specific allowances. §84.31(h)(2)(vii)

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as of June 30 of this year and the prior year. §84.31(h)(2)(iv)

tives to regulated substances. §84.31(h)(2)(v)

3(b)(1), provide a projection of the monthly quantity of

ion of amounts of products that must be delivered under new contracts, copies of permits
sales resulting from a public health emergency) to justify the additional need. §84.31(h)(2)(vi)

act out the servicing of onboard aerospace fire suppression?

d to do the manufacturing or servicing. §84.31(h)(2)(vii)

Servicing Representative			
Contact Email	City	State/U.S. Territory	Zip

to the company that is requesting application-specific allowances or the company receivin



Country



**or other documentation
(vi)**



Country

g the contract for



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Section 3a - New Market Entrant Information

Instructions: Persons who are seeking to become a new market entrant (i.e., not in the past five years, or familial relations with entities receiving allowances, and we are requesting below. Companies must demonstrate an ability to make use of allowances. A company that is an exporter will not be eligible to receive allowances from the set-aside pool.

Owner of the Company §84.15(d)(2)(iii)	
Contact Name	Contact Email

Is the company a woman or minority owned business. §84.15(d)(2)(ii)	Date of Incorporation §84.15(d)(2)(iv)

How many allowances is your company requesting (in metric tons of exchangeable allowances)?
For a calculator to convert kilograms of HFCs to MTEVe, please visit https://www.eia.gov/energyexplained/energy_conversion/energy_conversion.php

Section 3b - Plan for Importing HFCs

Instructions: Provide the following information detailing the company's plan for importing HFCs.

Instructions: Describe what equipment and facilities the company has or needs to import HFCs.

Does the company currently sell HFCs or other industrial gases?
Does the company have any past experience importing HFCs?
If yes, has the company reported prior to this Reporting Program?

If you responded yes to either question above, describe your experience.

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Will the company be working with a customs broker?

If yes, which brokerage firm?

Provide contact information for the broker

Instructions: Indicate the month(s) in which the company intends to import HF

Month	Intend to Import HFCs
January	
February	
March	
April	
May	
June	
July	
August	
September	
October	
November	
December	

Instructions: Identify the single component HFC(s) the company intends to im

Intended HFC Imports	
HFC	CAS Number

Instructions: Identify the HFC blends the company intends to import and the A

HFC Blend	HFC

Instructions: Identify the HTS codes for the HFCs and HFC blends the compan

HTS Codes

Instructions: Identify the prospective foreign exporter that the applicant anticipates will export HFCs to the United States. Provide the name of the prospective foreign exporter that the timeline for imports is accurate and achievable. §84.15(d)(2)(i)

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Does your submission include supporting documentation from the foreign exporter certifying that the timeline for imports is accurate and achievable?

Section 3c - Certifications

Instructions: Provide a letter from a responsible official, defined as an individual with the authority and responsibility to act on behalf of the company, certifying the following information:

The company intends to import HFCs in calendar years 2022 and 2023.

Certification that I and/or my company do not share corporate or common ownership, control, or family relationships with entities receiving allowances through the rule entitled Establishing the Allowance Allocation and Trading Program under the American Innovation and Incentives Act of 2021.

The company has read the HFC Allocation final rule, available by visiting <https://www.ecfr.gov/current/title-40/chapter-I/subchapter-C/part-84/subpart-B/section-84.15> and understands the following sections of the new HFC Allocation regulation:

- 40 CFR 84.5 Prohibitions relating to regulated substances.
- 40 CFR 84.15 Set-aside of application-specific allowances, production allowances, and transfers of allowances.
- 40 CFR 84.19 Transfers of allowances.
- 40 CFR 84.23 Certification identification generation and tracking.
- 40 CFR 84.31(a), (c), (h), and (k) Recordkeeping and reporting.
- 40 CFR 84.33 Auditing of recordkeeping and reporting.
- 40 CFR 84.35 Administrative consequences.

The company will meet all applicable U.S. Department of Transportation (DOT) standards for the use of the equipment used by the company will be compliant with the DOT standards found at CFR Title 49.

The company will pay any duties consistent with U.S. Customs and Border Protection requirements.

The company will comply with all EPA requirements, including those established under the Clean Air Act, regarding HFC Gas reporting requirements. §84.15(d)(2)(viii)

The information submitted in this form is complete, accurate, and truthful. §84.15(d)(2)(ix)

tion for Set-aside of HFC Allowances

on and Trading Program Under the American Innovation and Manufacturing Act< https://www.fede

ew importer), do not share corporate or common ownership, corporate affiliation in the re not eligible for allowances as of October 1, 2021, must provide the information vances. Further, companies without a detailed import plan and a prospective foreign

Contact Phone

State in which Company is Incorporated §84.15(d)(2)(iv)	State License Identifier §84.15(d)(2)(v)

value equivalent (MTEVe)?	
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<https://www.epa.gov/climate-hfcs-reduction/final-rule-phasedown-hydrofluorocarbons-establishing-allowance-allocation>

or importing HFCs. §84.15(d)(2)(vi)

s to acquire to manage compressed gases.

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or imports to the Greenhouse Gas	

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brokerage firm.	

CS.

port and the CAS number for each.

SHRAE numerical designation of the refrigerant or the percentage of the mixture containing each regulated sub

Intended HFC Blend Imports			
HFC Component (1)		HFC Component (2)	
HFC Composition of Blend (%)	CAS Number	HFC	HFC Composition of Blend (%)

y intends to import.

ates working with and provide confirmation from the foreign
vii)

[Redacted]

confirming that the timeline for imports is

[Redacted]

ual designated by the company with
following:

Documentation Provided?

[Redacted]

[Redacted]

o, corporate affiliation in the past five
, "Phasedown of Hydrofluorocarbons:
novation and Manufacturing Act".

[Redacted]

www.federalregister.gov/d/2021-21030> ,

nces, and consumption allowances.

[Redacted]

dards and all cylinders and containers
9 part §178.

[Redacted]

(CBP) requirements per Title 19.

[Redacted]

der the AIM Act and annual Greenhouse

[Redacted]

2)(ix)

[Redacted]

Distance and the CAS numbers for each neat HFC.

HFC Component (3)			
CAS Number	HFC	HFC Composition of Blend (%)	CAS Number