

Part I – Identification of the Foreign Airline Operator

1 For which foreign airline is this survey being completed?

10012

2 What is the foreign airline's quarter covered in this report?

10010
Beginning date

| Month | Day | Year |
|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> |

10011
Ending date

| Month | Day | Year |
|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> |

3 Check the box that best describes the status of the foreign airline during the reporting period identified in Question 2.

- 11004
- 1 In existence the entire reporting period – *Continue to the next question.*
 - 2 In existence during only part of the reporting period – *Continue filling out this form for the portion of the reporting period your company was in existence and, in the comments section below, explain why your company did not exist for a part of the period.*
 - 3 Not in existence during the reporting period – *In the comments section below, explain why your company was not in existence during the reporting period. Please return form according to instructions on page 1.*

4 In what country is the operating airline's base of operations?


11005

5 What is the two-character International Air Transport Association (IATA) code for this airline?

11006

6 What is the three-character International Civil Aviation Organization (ICAO) code for this airline?

11007

 **Continue to the next page**

Comments

Part II – Determination of Reporting Status

7 Did the foreign airline operator have any of the following covered transactions during the reporting period specified in Question **2**? (Full descriptions of covered transactions can be found on pages 4 and 5.)

| Covered Transactions | | | |
|---|------------------------------|-----------------------------|-----------|
| REVENUES FROM FLIGHTS TRAVELING TO OR FROM THE UNITED STATES | | | Report on |
| Revenue from baggage fees, rebooking/upgrade fees, and other ancillary services, excluding revenue from in-flight sales | <input type="checkbox"/> Yes | <input type="checkbox"/> No | Page 4 |
| In-flight sales revenue from food, beverages, Wi-Fi, telephone, etc. | <input type="checkbox"/> Yes | <input type="checkbox"/> No | Page 4 |
| EXPENSES | | | |
| With-crew aircraft leasing expenses paid to U.S.-based entities | <input type="checkbox"/> Yes | <input type="checkbox"/> No | Page 5 |
| Expenses incurred in the United States (other than aircraft leasing) | <input type="checkbox"/> Yes | <input type="checkbox"/> No | Page 5 |

8 Did you mark “Yes” for any of the items listed above?

- ¹¹⁰¹⁰ **1** Yes – Continue to Question **9**.
- 2** No – You may **STOP HERE** and return the survey according to the instructions on page 1.

9 Did the foreign airler’s total covered revenues or total covered expenses exceed \$5 million in the previous year, or are they expected to exceed \$5 million in the current year?

- ¹¹⁰¹¹ **1** Yes – Skip Question **10** and continue to Question **11** on page 4.
- 2** No – Continue to the next question.

10 If the foreign airline operator had revenues and expenses below the mandatory reporting threshold, and you choose not to report them on voluntarily on pages 4 and 5, please report the total covered revenues and expenses in the boxes below.

Please only report transactions that occurred during the reporting period identified in Question **2**.

IMPORTANT – Report amounts in thousands of U.S. dollars (omitting 000).

Round amounts of less than \$500 to 0. Do not enter amounts in the shaded portion of each item.

EXAMPLE – If amount is \$324,999.00, report as.....

| | | | |
|----|------|--------|-------|
| | Mil. | Thous. | Dols. |
| \$ | | 325 | 000 |

| Revenues | | | Expenses | | | |
|------------------|--------|-------|----------|--------|-------|-----|
| Mil. | Thous. | Dols. | Mil. | Thous. | Dols. | |
| ¹¹⁰¹² | \$ | | 000 | \$ | | 000 |

→ Continue to the next page if you answered “Yes” to Question **9**

Part III – Foreign Airline Operators’ Revenues

IMPORTANT – Report amounts below in thousands (omitting 000). Round amounts of less than 500 to 0.
Do not enter amounts in the shaded portion of each item.

EXAMPLE – \$324,999.00 should be reported as 325. 50,000 passengers should be reported as 50.

11 Did the foreign airline operator transport any passengers to or from the United States during the reporting period?

- 11013 ¹ Yes – Continue to the next question.
¹ No – Skip to the next page.

12 What were the foreign airline’s total revenues from flights traveling to or from the United States from baggage fees, rebooking/upgrade fees, and other ancillary services (excluding revenue from in-flight sales) during the reporting period?

| | | | | |
|--------------------|------|--------|-------|-----|
| | Mil. | Thous. | Dols. | |
| 11014 ¹ | | | | 000 |
| | \$ | | | 000 |

13 In Table 1 below, report the total number of passengers transported to or from the United States during the reporting period, as well as any corresponding in-flight sales revenue. Report values based on the flight’s origin from, or destination to, the specified regions outside the United States.

Allocate values among the three regions identified in **column 1** of the table based on the origin or destination of the passengers. The three regions identified are identical with those outlined in the regional airline reporting system established in 14 CFR 241.21(g), as reported to the U.S. Department of Transportation. NOTE: per the regional airline reporting system guidelines, passengers and in-flight sales to passengers originating from, or destined to Canada are treated as “domestic” and should NOT be reported in the table below.

In **column 2**, report the number of passengers transported to or from the United States based on the flight’s origin from, or destination to, points outside the United States.

In **column 3**, report the corresponding revenues from in-flight sales purchased from the foreign airline operator or an outside vendor of items consumed during the flight by aircraft passengers, such as food and beverage sales, Wi-Fi, telephone calls, etc. based on the flight’s origin from, or destination to, the specified regions outside of the United States. Do not include onboard duty-free sales in in-flight sales.

| Table 1: Passenger Transportation and In-flight Sales Revenue | | | | | |
|---|-------|--|-----|---|-----|
| Region (1) | | Passengers transported to/from the United States (Report in thousands) (2) | | In-flight sales revenue (Report in thousands) (3) | |
| Atlantic Ocean | 11015 | ¹ # | 000 | ² \$ | 000 |
| Pacific Ocean | 11016 | ¹ # | 000 | ² \$ | 000 |
| Latin American Areas | 11017 | ¹ # | 000 | ² \$ | 000 |
| Total | 11018 | ¹ # | 000 | ² \$ | 000 |

Continue to the next page

Part IV – Foreign Airline Operators’ Expenses

IMPORTANT – Report amounts below in thousands (omitting 000). Round amounts of less than 500 to 0.
Do not enter amounts in the shaded portion of each item.
EXAMPLE – \$324,999.00 should be reported as 325.

14 What were the foreign airline operator’s with-crew aircraft leasing expenses during the reporting period?

Only report with-crew airline leasing expenses paid to U.S.-based entities, regardless of where the expenses are incurred. Do not include expenses for aircraft leased without crew (operated by you), or leasing of aircraft from entities headquartered outside the United States. Do not include financial-type leases. A lease is classified as a financial lease if there is intent to eventually take possession of the good. If the intent is just to rent the good for a limited period, this is classified as an operating lease.

| | | Mil. | Thous. | Dols. |
|-------|----|------|--------|-------|
| 11019 | 1 | | | |
| | \$ | | | 000 |

15 In Table 2 below, report the foreign airline operator’s expenses according to the item descriptions listed. Only report expenses incurred in the United States and during the reporting period specified in Question 2 of the survey.

Item 1 — Include only expenses for fuel and oil purchased from U.S. suppliers (see the definition for United States on page 6).

Item 2 — Include wages and salaries paid in the United States to personnel.

Item 3 — Include agents’ and brokers’ fees and commissions for arrangement of freight and passenger transportation.

Item 4 — Include expenses for aircraft repair, maintenance, storage, and cleaning; handling services for freight and passengers; and other airport terminal services. Aircraft modification and factory-type aircraft overhauls should be included in item 5.

Item 5 — Include all other expenses, such as port and landing fees; air traffic control services paid to the U.S. Government; aircraft modification and factory-type aircraft overhauls; and costs incurred in the operation of general headquarters, divisional offices, airline ticket offices, and all other costs for operation

of freight and passenger facilities located in the United States. These costs should cover, for example, catering, crew expenses (hotel and per diem), rent, utilities, legal fees, telephone and other communications equipment, and rental of tangible property except aircraft.

Exclude expenditures abroad for which payments are made in the United States, that is, payments to petroleum companies in the United States for fuel and oil loaded on aircraft in foreign countries.

Exclude the following: administrative expenses charged to U.S. operations but not actually incurred in the United States; capital charges and transfers, such as payment of interest or principal on loans; depreciation on aircraft and ground equipment; and payments for purchases exported from the United States (such as aircraft parts and complete aircraft) for which Shippers Export Declarations were filed with the U.S. Customs Service and Border Protection.

Table 2: Expenses Incurred in the United States

| Item No. | Expense Type | | | Amounts (Report in thousands) |
|----------|---|-------|---|----------------------------------|
| 1 | Fuel and oil | 11020 | 1 | \$ 000 |
| 2 | Wages and salaries paid to employees in the United States | 11021 | 1 | \$ 000 |
| 3 | Agents’ and brokers’ fees and commissions | 11022 | 1 | \$ 000 |
| 4 | Aircraft handling and terminal services | 11023 | 1 | \$ 000 |
| 5 | All other expenses incurred in the United States | 11024 | 1 | \$ 000 |
| | Total expenses | 11025 | 1 | \$ 000 |

**QUARTERLY SURVEY OF FOREIGN AIRLINE OPERATORS'
REVENUES AND EXPENSES IN THE UNITED STATES
FORM BE-9 GENERAL INSTRUCTIONS**

Public reporting burden for this BE-9 report is estimated to average 6 hours per response. This burden includes time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate to Director, Bureau of Economic Analysis (BE-1), 4600 Silver Hill Rd., Washington DC 20233 and to the Office of Management and Budget, Paperwork Reduction Project 0608-0068, Washington, DC 20503.

Purpose — Reports are required to obtain quarterly data for use in estimating the international transactions accounts of the United States.

Authority — This survey is being conducted under the authority of the International Investment and Trade in Services Survey Act (P.L. 94-472, 90 Stat. 2059, 22 U.S.C. 3101-3108, as amended—hereinafter “the Act”), and the filing of reports is mandatory under Section 5(b)(2) of the Act (22 U.S.C. 3104). Regulations for the survey may be found in 15 CFR Part 801.

Penalties — Whoever fails to report shall be subject to a civil penalty and to injunctive relief commanding such person to comply, or both. These civil penalties are subject to inflationary adjustments. Those adjustments are found in 15 CFR Part 6.4. Whoever willfully fails to report shall be fined and, if an individual, may be imprisoned for not more than one year, or both. Any officer, director, employee, or agent of any corporation who knowingly participates in such violations, upon conviction, may be punished by a like fine, imprisonment or both (See 22 U.S.C. 3105). Notwithstanding the above, a U.S. person is not subject to any penalty for failure to report if a valid Office of Management and Budget (OMB) control number is not displayed on the form; such a number (0608-0068) is displayed at the top of the first page of this form.

Confidentiality — The Act provides that your report to this Bureau is **CONFIDENTIAL** and may be used only for analytical or statistical purposes. Without your prior written permission, the information filed in your report **CANNOT** be presented in a manner that allows it to be individually identified. Your report **CANNOT** be used for purposes of taxation, investigation, or regulation. Copies retained in your files are immune from legal process.

Who is to Report and General Coverage

Who must report — A response is required from each U.S. office, agent, or other representative of a foreign airline operator that was notified by BEA about the survey.

- a.** A complete report is required from each U.S. office, agent, or other representative of a foreign airline operator that transports passengers or freight and express to or from the United States, and had total covered revenues OR total covered expenses that were \$5 million or more during the previous year or are expected to exceed that amount during the current year.

- b.** A foreign airline operator that had total covered revenues AND total covered expenses below the reporting threshold must complete pages 1-3 and is only required to report total revenues and expenses in Question 10. The foreign airline operator also has the option of providing additional information, on a voluntary basis, on pages 4 and 5.
- c.** A U.S. airline operator that had no transactions of the types covered must complete pages 1-3.

How to report — Use this form to report revenues and expenses in accordance with the instructions on pages 4 and 5. Report all amounts in thousands (\$324,999.00 should be reported as 325. A passenger count of 750,000 should be reported as 750.).

Estimates — If actual figures are not available, supply estimates and label them as such.

Where to send reports — To file a report electronically, see our website at www.bea.gov/efile for details.

Mail via U.S. Postal Service:

Bureau of Economic Analysis
Balance of Payments Division, BE-50 (SSB)
4600 Silver Hill Rd.
Washington, DC 20233

Send via private express delivery:

Bureau of Economic Analysis
Balance of Payments Division, BE-50 (SSB)
4600 Silver Hill Rd.
Suitland, MD 20746

Fax to:

(301) 278-9505

Frequency — A separate report should be completed for each quarter and filed within 30 days after the end of the quarter.

Assistance — For assistance in filing this report, call (301) 278-9303 Monday to Friday from 8:30 a.m. to 5:00 p.m. eastern time.

Definitions

United States — When used in a geographic sense, means the 50 states, the District of Columbia, the Commonwealth of Puerto Rico, and all territories and possessions of the United States.

Foreign — When used in a geographic sense, means that which is situated outside the United States or which belongs to or is characteristic of a country other than the United States.