

MEMORANDUM

OMB # 1850-0582 v. 29

DATE: November 23, 2021

TO: Robert Sivinski
Office of Information and Regulatory Affairs, Office of Management and Budget

FROM: Tara Lawley
Chief, Postsecondary Branch, Administrative Data Division, National Center for Education Statistics

THROUGH: Carrie Clarady, OMB Liaison, NCES

SUBJECT: Integrated Postsecondary Education Data System (IPEDS) 2020-21 through 2021-22 Higher Education Emergency Relief Fund (HEERF) Name of Associate Acts Change Request (OMB# 1850-0582 v.29)

The Integrated Postsecondary Education Data System (IPEDS) is a web-based data collection system designed to collect basic data from all postsecondary institutions in the United States and the other jurisdictions. IPEDS enables the National Center for Education Statistics (NCES) to report on key dimensions of postsecondary education such as student enrollment, degrees and other awards earned, tuition and fees, average net price, student financial aid, graduation rates, revenues and expenditures, faculty salaries, staff employed, and information on academic libraries. The IPEDS web-based data collection system was implemented in 2000-01 and collects basic data from approximately 6,600 postsecondary institutions in the United States and the other jurisdictions that are eligible to participate in Title IV Federal financial aid programs. All Title IV institutions are required to respond to IPEDS (Section 490 of the Higher Education Amendments of 1992 (HEA, P.L. 102-325)). IPEDS allows other (non-title IV) institutions to participate on a voluntary basis. Approximately 200 institutions elect to respond. IPEDS data are available to the public through the IPEDS website.

IPEDS 2019-20 through 2021-22 annual data collection was approved by the Office of Management and Budget (OMB) in July 2019 (OMB# 1850-0582 v.24), with subsequent revisions approved in December 2019, April 2020, July 2020, and July 2021 (OMB# 1850-0582 v.25-28).

This request is to make changes in IPEDS data collection materials to clarify in the instructions appropriate reporting of the Acts under the Higher Education Emergency Relief Fund (HEERF). Originally, all funds were passed under the Coronavirus Aid, Relief, and Economic Security (CARES) Act and were added as a subject of IPEDS data collections in July 2020 (OMB# 1850-0582 v.27). Since that time, two additional Acts have been passed under HEERF – the Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA) and the American Rescue Plan (ARP). The language regarding CARES needs to be updated to indicate all acts passed under HEERF. These changes affect the following three survey forms: Student Financial Aid, Finance, and Academic Libraries. This request does not introduce any changes to respondent burden or the cost to the federal government.

The changes made to the IPEDS collection documents are listed in the table on the following pages and in the attached survey packages.

Survey component/Other	Changed instruction/FAQ/screen (Additions in red, Deletions with strikethrough)	Change type
Student Financial Aid (SFA)	Part A Higher Education Emergency Relief Act (HEERF) grants funded under the the Coronavirus Aid, Relief, and Economic Security (CARES) Act, Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA), and American Rescue Plan (ARP) Act are to be counted as federal aid, but not Title IV aid. As such, students	Addition/Deletion

	who only receive emergency grants funded through the CARES Act HEERF grants should not be included in Group 4.	
Student Financial Aid (SFA)	Part B Emergency grants funded through under the CARES, CRRSA, and ARP Acts should be included for Group 1 in Part B under “Grant or scholarship aid from the federal government, state/local government, the institution, and other sources known to the institution”.	Addition/Deletion
Student Financial Aid (SFA)	Part C New: Emergency grants funded through under the CARES, CRRSA, and ARP Acts should be included for Group 2 in Part C under “Other federal grants”.	Addition/Deletion
Student Financial Aid (SFA)	Part D Emergency grants funded through under the CARES, CRRSA, and ARP Acts should NOT be included for Group 3 in Part D under “Report the total amount of grant or scholarship aid from the federal government, state/local government, or the institution awarded to Group 3 students,” as inclusion of these grants would skew net price calculations.	Addition/Deletion
Student Financial Aid (SFA)	Part E Emergency grants funded through under the CARES, CRRSA, and ARP Acts should NOT be included for Group 4 in Part E under “grant or scholarship aid from the following sources: the federal government, state/local government, or the institution,” as inclusion of these grants would skew net price calculations.	Addition/Deletion
Finance (F)	Part C-1 (FASB and for-profit reporters), Part E-1 (GASB reporters) 02: Other federal grants – Report the amount awarded to the institution under federal student aid programs other than Pell, such as the Federal Supplemental Education Opportunity Grants (FSEOG), DHHS training grants (aid portion only), and federal portion of State Student Incentive Grants (SSIG). Include Higher Education Emergency Relief Act (HEERF) grants funded under the Coronavirus Aid, Relief, and Economic Security (CARES) Act, Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA), and American Rescue Plan (ARP) Act funds . Do not include institutional matching portions for any of these programs here, they should be reported under institutional grants. Do not include Federal Direct Student Loans, Federal Work Study, or federal veteran education benefits.	Addition/Deletion
Finance (F)	Part D (GASB reporters) 13 - Federal nonoperating grants – Report all amounts reported as nonoperating revenues from federal governmental agencies that are provided on a nonexchange basis. Include Pell Grants and other Federal student grant aid here. Include Higher Education Emergency Relief Act (HEERF) grants funded under the Coronavirus Aid, Relief, and Economic Security (CARES) Act funds, CRRSA, and ARP Acts . Do not include revenues from the Federal Direct Student Loan (FDL) Program, Federal Work-Study or federal veteran education benefits. These amounts should be captured as tuition and fees and/or sales and services of auxiliary enterprise revenue upon receipt from the student. Do not include capital grants & gifts reported on line 21. Do not include any ARRA revenues on this line (see line 19 in this part).	Addition/Deletion
Finance (F)	Part D (FASB reporters) 05 - Federal grants and contracts – Enter all revenues from federal agencies that are for specific undertakings such as research projects, training projects, and similar activities, including contributions from federal agencies. If federal Pell and similar student aid grants are treated as agency transactions in your GPFS, they are excluded from this amount. If federal Pell and similar student aid grants are treated as student aid expenses or as allowances when awarded, include the grant revenue on this line and in Part C Include Higher Education Emergency Relief Act (HEERF) grants funded under the Coronavirus Aid, Relief, and Economic Security (CARES) Act funds, CRRSA, and ARP Acts . Do not include any ARRA revenues on this line (see line 15 in this part).	Addition/Deletion
Finance (F)	Part D (For-profit reporters) 02b: Federal grants and contracts – Enter all revenues from federal agencies	Addition/Deletion

	<p>that are for specific undertakings such as research projects, training projects, and similar activities, including contributions from federal agencies. If federal Pell and similar student aid grants are treated as agency transactions in your GPFS, they are excluded from this amount. If federal Pell and similar student aid grants are treated in your GPFS as student aid expenses or as allowances when awarded, include the grant revenue on this line and in Part E. (FARM para. 464) Include Higher Education Emergency Relief Act (HEERF) grants funded under the Coronavirus Aid, Relief, and Economic Security (CARES) Act funds, CRRSA, and ARP Acts. Do not include any ARRA revenues on this line (see line 08 in this part).</p>	
<p>Academic Libraries (AL)</p>	<p>Section II (Under Expenses)</p> <p>New: Include any library-related expenses that are covered by Higher Education Emergency Relief Act (HEERF) grants funded under the Coronavirus Aid, Relief, and Economic Security (CARES) Act funds, Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA), and American Rescue Plan (ARP) Act in the appropriate expense sections.</p>	<p>Addition/ Deletion</p>