

United States

Environmental Protection Agency

Office of Transportation and Air Quality

Manufacturer Averaging, Banking, and Trading Report for Small Spark Ignition Engines

Last Revision: February 2018 Version Number: 2.3

Exhaust Emission Credits

		_									
MODEL YEAR:				1		-	,				
MANUFACTURER:		Small Volume?		Delega	ted Assembly?	,	1				
Engine Family Name	Engine Class	Credit Type	Engine Displacement (cc)	Load Factor ¹	Power (kW)	Useful Life (hours)	Production Volume ^{2,3}	FEL (g/kW-hr)	HC+NO _x Standard (g/kW-hr)	HC+NO _x Credit Balance (kg)	Messages
											mcsaugus
						-					
				1	1	1	1	1			

² Select load factors of 0.47 and 0.85 for Nonhandheld and Handheld engines, respectively. Alternative Load Factors may be used if there is an associated Special Test Procedure as approved by EPA under 40 CFR 1065.10(c)(2). ² Include only the number of engines that are eligible to participate in the ABT program within the family during the model year, as described in 40 CFR 1054.70(i).

³If the Engine Class is NHH equipment using HH engines, the production volume should include only the engines in the family used in NHH equipment. A separate line item must be entered for the HH equipment using HH engines from the same family.

Submission Date:

	AVERAGING SET	CREDIT TOTALS (kg)
	Standard Credits - Class I&II (POSITIVE)	0
Nonhandheld	Standard Credits - Class I&II (NEGATIVE)	0
Normanuneiu	Standard Credits - HH Used in NHH Equipment (POSITIVE)	0
	Standard Credits - HH Used in NHH Equipment (NEGATIVE)	0
	Standard Credits - Class III, IV, and V (POSITIVE)	0
Handheld	Standard Credits - Class III, IV, and V (NEGATIVE)	0



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Evaporative Emission Credits Submission Date MODEL YEAR: MANUFACTURER: Small Volume Emission Family? Production Volume (all fuel tanks)¹ Test Temperature (°C) Credit Balance (kg) Emission Family Name of Equipment for Fuel Tank Useful Life FEL (g/m2/day)² Adjustment Factor Standard (g/m²/day) Total Area Equipment Category Messages (years) (m²)

Emission Family Name of Equipment	Permeation Family Name for Fuel Tank	Equipment Category	Small Volume Emission Family?	Useful Life (years)	Total Area (m²)	Production Volume (all fuel tanks) ¹	FEL (g/m2/day)²	Test Temperature (°C)	Adjustment Factor	Standard (g/m²/day)	Credit Balance (kg)	Messages

¹ If the Engine Class is NHH equipment using HH engines, the production volume should include only the engines in the family used in NHH equipment. A separate line item must be entered for the HH equipment using HH engines from the same family. ² If Standard and FEL era based on testing at 20° C, an FEL below 5.0 g/m²/day must be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measurements for all such families, or the FEL must either be based on emission measureme

			OMB No. 2060-0338	Paperwork Reduction Act Notice
EVAPORATIVE CREDIT SUM	MARY		Approval Expires on 1/31/2022 EPA Form 5900-131	This collection of information is approved by OMB under the Paperwork Reduction Act, 44 U.S.C. 3501 et seq. (OMB Control No. 2060-0338). Responses to this collection of information are mandatory (40 CFR 1054). An agency may not conduct or sponsor, and a person is not required to
AVERAGING SET	CREDIT TOTALS (kg)	Messages	EPA P0111 5900-131	respond to, a collection of information unless it displays a currently valid OMB control number. The public reporting and recordkeeping burden for this collection of information is estimated to be 26 hours per response. Send comments on the Agency's need for this information, the accuracy of
Equipment using Nonhandheld Engines: Standard Credits	0	This category includes Nonhandheld Equipment using Handheld Engines as indicated in 1054.701(c)(4).		the provided burden estimates and any suggested methods for minimizing respondent burden to the Regulatory Support Division Director, U.S. Environmental Protection Agency (2821T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any
Equipment Using Handheld Engines: Standard Credits	0			correspondence. Do not send the completed form to this address.



United States Environmental Protection Agency Office of Transportation and Air Quality

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Last Revision: February 2018 Version Number: 2.3

Field Descriptions (Exhaust and Evaporative Current MY Credit Calculations)

	DESCF	RIPTION
FIELD	EXHAUST	EVAP
Engine Family Name/ Emission Family Name of Equipment	Enter the 12-character emission family name for the engine.	Enter the 12-character emission family name for the equipment.
Permeation Family Name for Fuel Tank	NA	Enter the permeation family name for the fuel tank for which your evaporative emission ABT credits are being generated or used.
Engine Class	Select the applicable engine class from the drop-down menu. For Nonhandheld (NHH) engines, select Class I or Class II. For Handheld (HH) engines, select Class III, Class IV or Class V. If the engine family includes handheld engines with a displacement at or below 80 cc that are used in Nonhandheld equipment (and thus, would generate or use NHH credits), select the option "HH Used in NHH Equip."	na
Equipment Category	NA	Select the applicable category from the drop-down menu: NHH Class I, NHH Class II, NHH Equipment using HH Engine, HH Equip using NHH Engine, or HH (Other).
Small Volume Emission Family		Indicate whether or not the emission family is small volume.
Credit Type	Autopopulated with Standard Phase 3.	Autopopulated with Standard Phase 3.
Engine Displacement	Enter the displacement for the engine family. This value is used to determine the applicable FEL cap for Class I engines, which differs for engines below 100 cc and engines at or above 100 cc.	NA
Load Factor	Select either 0.47 (if NHH) or 0.85 (if HH). An alternate load factor (a constant dependent on the test cycle over which the engine is certified) may be entered as specified by EPA based on approved use of special test procedures for a family under 40 CFR 1065.10(c)(2).	NA
Maximum Power (kW)	Enter the maximum modal power of the emission data test engine over the certification test cycle.	NA
Useful Life (hours)	Select the useful life of the engine family in hours (see 40 CFR 1054.107). The options for Class I engines are 125, 250, or 500 hours. The options for Class II are 250, 500, or 1,000 hours. The options for Class III, IV, and V engines are 50, 125, and 300 hours. You may enter a different value for nonhandheld engine families only if you have a longer useful life approved by EPA under 40 CFR 1054.107.	s Select either 5 or 10 years for all emission families.
Total Area (m²)	NA	Enter the internal surface area of a fuel tank in the family, in m ² .
Production Volume	Enter the applicable production volume for the engine family. Include only the number of engines that are eligible to participate in the ABT program within the family during the model year, as described in 40 CFR 1054.701(i).	Enter the applicable production volume for the engine family. Include only the number of engines that are eligible to participate in the ABT program within the family during the model year, as described in 40 CFR 1054.701(i).
	If the Engine Class is HH Used in NHH Equip, the production volume should include only the engines in the family used in NHH equipment. A separate line item must be entered for the HH engines used in HH equipment.	If the Engine Class is NHH Equip using HH Engine, the production volume should include only the engines in the family used in NHH equipment. A separate line item must be entered for the HH equipment using HH engines of the same family.
FEL (g/kW-hr)	Enter the applicable family emission limit in g/kW-hr. If the FEL exceeds the applicable cap, an error message will be displayed in the far right column.	Enter the applicable FEL for the engine family in g/m2/day. Note that FEL caps for Small SI equipment are are 5.0 g/m2/day (for 28°C) and 8.3 g/m2/day (for 40°C). For small volume emission families, the FEL caps are 8.0 g/m2/day (for 28°C) and 13.3 g/m2/day (for 40°C).
Test Temperature	NA	Select the applicable test temperature from the drop-down menu (28° C or 40° C). This selection will determine the value for the adjustment factor and standard.
Adjustment Factor	NA	This field will be automatically populated based on the test temperature selected as described above. If the test temperature is 28° C, then the Adjustment Factor is 1.0, if the test temperature is 40° C, then the Adjustment Factor is 0.6.
Standard (g/kW-hr)	N-hr) This field will be automatically populated with the applicable HC+NOx standard (in g/kW-hr), based on the entries for "Class" and "Displacement." This field will be automatically populated based on the test temperature is 28° C, then the Standard is the test temperature is 28° C.	
Credit Balance (kg)	This field will be automatically populated with the applicable HC+NOx exhaust credit balance for the engine family based on the following formula: Credits (kg) = (Std - FEL) x (Volume) x (Power) x (Useful Life) x (Load Factor) x (10^3)	This field will be automatically populated based on the following credit calculation formula: Credits (kg) = (STD–FEL) × (Total Area) × (Production Volume) × (Useful Life × (Adjustment Factor) × (365) × (10 $^{-3}$)

Field Descriptions (Credit Summary)

FIELD	DESCR	IPTION
FIELD	EXHAUST	EVAP
Enduring Phase 3 Credits / Standard	Enter the sum of previous model years' banked Standard Phase 3 credits and Enduring Phase 3 credits. Credits for handheld engines used in nonhandheld equipment are considered nonhandheld credits.	Enter the sum of previous model years' banked Standard Phase 3 credits, handheld and nonhandheld.
	Handheld engines only - Enter the sum of previous model years' banked Phase 2 credits.	NA
Credits you Received via a Čredit	Enter the sum of Phase 3 Credits you received via a credit trade in this model year. You will also be required to specify the manufacturer from whom you received these credits.	Enter the sum of Phase 3 Credits you received via a credit trade in this model year. You will also be required to specify the manufacturer from whom you received these credits.
Phase 2 (Part 90) Credits you	Enter the sum of Phase 2 Credits you received via a credit trade in this model year (handheid only). You will also be required to specify the manufacturer from whom you received these credits.	NA
Balance for Standard Phase 3	Handheld engines only - If you have a combination of Phase 2 and Phase 3 credits, then allocate how many Phase 2 and Phase 3 credits you will use to offset the negative credits in this model year. You must allocate credits equal to the negative credits in this model year (value in Cell D19 of the Credit Summary tab).	NA
Credits you Traded to Another Manufacturer	Enter the sum of Phase 2 (handheld engines only) and Phase 3 credits you traded to another manufacturer. You will also be required to specify the manufacturer(s) to whom you traded these credits.	Enter the sum of Phase 3 credits you traded to another manufacturer. You will also be required to specify the manufacturer(s) to whom you traded these credits.

OMB No. 2060-0338 Approval Expires on 1/31/2022 EPA Form 5900-131 Paperwork Reduction Act Notice

This collection of information is approved by OMB under the Paperwork Reduction Act, 44 U.S.C. 3501 et seq. (OMB Control No. 2060-0338). Responses to this collection of information are mandatory (40 CFR 1054). An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control Number. The public reporting and recordiseping burden for this collection of information is estimated to be 26 hours per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates and any suggested methods for minimizing respondent burden to the Regulatory Support Division Director, U.S. Environmental Protection Agency (2821T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.



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Last Revision: February 2018 Version Number: 2.3

Credit Summary for the Part 1054 Small SI ABT Programs

MODEL YEAR:	
MANUFACTURER:	

	EMISSION CREDITS	S - EXHAUST		
		Handheld (HH)	Class L& Class 2 Class I&I	HH Engine Used in NHH Equip
Credit Balances be	efore Averaging:			
Current MY	Total Standard Phase 3 Credits - POSITIVE	0	0	0
Current Wit	Total Standard Phase 3 Credits - NEGATIVE	0	0	0
Banked	Total Standard and Enduring Phase 3 Credits			
Danked	Phase 2 (Part 90) Banked Credits			
Traded	Total Standard and Enduring Phase 3 Credits you Received via a Credit Trade			
Haueu	Phase 2 (Part 90) Credits you received via a Credit Trade			
Mfr(s) who provided you credits via a trade				
Credits Applied to	Current MY Balance for Standard Phase 3 Credits:			
Current MY	Apply Standard Handheld Phase 3 Credits			
Banked	Apply Standard Handheld Phase 3 Credits			
Bankeu	Apply Handheld Phase 2 (Part 90) Credits			
	Standard Handheld Phase 3 Credits			
Credits you Traded to Another Manufacturer	Standard Handheld Phase 2 (Part 90) Credits			
, thomes manaladates	Standard Nonhandheld Phase 3 Credits			
Mfr(s) to whom you				
provided credits via a trade				
Credit Balances af	ter Averaging:			
TOTALS	Standard Phase 3 Credits	0	0	
TOTALS	Phase 2 HH (Part 90) Credits	0		
Comments:				

3. Phase 2 and Phase 3 gredits from Nonhandheld engines may be used to demonstrate compliance with the Pl ase 3 standards for handheld engines, subject to the restrictions under 40 CFR \$1054.740(e).

	EMISSION CREDITS - EVAP	•	
		Handheld (HH)	Nonhandheld (NHH)
Credit Balances be	fore Averaging:		
Current MY	Standard Phase 3 Credits	0	0
Banked	Standard Phase 3 Credits		
Traded	Standard Phase 3 Credits you received via a credit trade		
Mfr(s) who provided you credits via a trade			
Credits Applied to	Current MY Balance for Standard Phase 3 Credits:		
Traded	Standard Phase 3 Credits you traded to another manufacturer		
Mfr(s) to whom you provided credits via a trade			
Credit Balances af	ter Averaging:		
TOTALS	Standard Phase 3 Credits	0	0



Paperwork Reduction Act Notice

Messages

Messages

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Office of Transportation and Air Quality

Manufacturer Averaging, Banking, and Trading Report for Small Spark Ignition Engines

Last Revision: August 2010 Version Number: 2.2

Early Evaporative Allowances for Fuel Tanks Used in Small SI Equipment (40 CFR 1054.145(e))

Submission Dat

MODEL YEAR:	
MANUFACTURER:	

	ALLOWANCES ACCRUED		ALLOWANCES USED						
Equipment Family Name	Equipment Class	Permeation Family Name for Fuel Tank	Number of Allowances Accrued (Production Vol)	Messages	Equipment Family Name	Equipment Class	Permeation Family Name for Fuel Tank	Number of Allowances Used (Production Vol)	Messages
L									
L									

	ALL	OWANCES SUMM	ARY			
Averaging Set	Allowances Accrued (current Model Year)	Allowances Used (current Model Year)	Allowances Available from Previous Model Yrs	TOTAL	Messages	
Class I	0	0		0		Paperwork Reduc
Class II	0	0		0		The public reporting and recordkeeping burden for this colle per response. Send comments on the Agency's need for the
						per response. Send comments on the Agency's need to this i estimates, and any suggested methods for minimizing respon- collection techniques to the Director, Collection Strategies Divi (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 204 correspondence. Do not send the completed form to this addr