

File Name: NWFSC IC_West Coast Commercial Catcher Vessel Cost-Earnings Survey for the Open Access Groundfish, Salmon, Crab and Shrimp Fisheries 012022

OMB Control No. 0648-0773

Expires XX/XX/20XX



**WEST COAST COMMERCIAL CATCHER VESSEL
COST EARNINGS SURVEY FOR THE OPEN ACCESS
GROUNDFISH, SALMON, CRAB AND SHRIMP
FISHERIES**

Conducted by:

NOAA Fisheries – Northwest Fisheries Science Center

This survey is being sent to owners of a commercial fishing vessel that (i) made at least one trip targeting open access groundfish, salmon, crab or shrimp, (ii) did not operate with a West Coast (California, Oregon, and Washington) limited entry groundfish permit during 20XX or 20XX+1, and (iii) landed at least \$1,000 of fish on the West Coast during 20XX or 20XX+1. Please see the accompanying letter for instructions about completing this survey.

CONTACT INFORMATION

You and other vessel owners are the only ones that can provide this information to this survey. In order to track who has responded, please list your contact information here. Your individual responses will be kept confidential and reported only in combination with other participants.

¹ Name: _____

² Relationships of Respondent to Vessel: Vessel Owner Spouse/Relative of Owner
 Vessel Captain Accountant/Bookkeeper for Owner Other

³ Email Address: _____

⁴ Telephone: () _____

⁵ Mailing Address Street: _____
City: _____ State: _____ Zip Code: _____

⁶ Date of Survey Response (Month/Day/Year): _____

VESSEL OWNERSHIP AND CHARACTERISTICS

⁷ Please enter the following information about your vessel.

Item	Response
a. Vessel Name	_____
b. Vessel ID (USCG or State)	_____
c. Home Port (city and state)	_____
d. Length Overall (feet)	_____
e. Fuel Capacity (gallons)	_____
f. Total Horsepower of Main Engines	_____

⁸Has a marine survey been performed for this vessel?

- Yes (proceed to question 9)
- No (proceed to question 10)

⁹ Answer the following questions referring to the most recent marine survey value of the vessel.

a. What was the year of this vessel's last value survey?	_____ yyyy
b. What was the <i>market value</i> of the vessel from the survey, rounded to the nearest 100 dollars?	\$_____
c. What was the <i>replacement value</i> of the vessel from this survey, rounded to the nearest 100 dollars?	\$_____
d. Do the survey values given above include the value of permits associated with the vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>
e. Do the survey values given above include the value of all fishing gear on the vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>

¹⁰ On what date did your 20XX fiscal year begin?

a. Month _____ mm	b. Day _____ dd	c. Year _____ yyyy
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ANNUAL DAYS AT SEA, COSTS AND EARNINGS

¹¹ For each of the below actives, please provide for your vessel: (1) the number of days at sea; speed while fishing, fuel use per day (for propulsion or other uses), and crew size (not including captain) during both the 20XX and 20XX+1 **fiscal years**. (This information will be used to allocate some expenditures among the fisheries in which this vessel participated.

- Please note that there is a special category for days at sea traveling between the West Coast and Alaska.
- Count partial days as full days.
- Fuel use per day should be an average that includes steaming to the fishing grounds, harvesting fish, and steaming back to port and should include all fuels used for propulsion or other uses
- Count days tendering as well as days fishing
- Put an “NA” in the *Activity* column for activities in which you did not operate this vessel.

Activity	Days at Sea		Speed While Fishing (knots)		Fuel Use Gal / Day (average)		Crew Size (not including captain)	
	20XX	20XX+1	20XX	20XX+1	20XX	20XX+1	20XX	20XX+1
a. West Coast groundfish fixed gear (longline or pots)					Gal/Day	Gal/Day		
b. West Coast crab					Gal/Day	Gal/Day		
c. West Coast salmon					Gal/Day	Gal/Day		
d. West Coast shrimp trawl gear					Gal/Day	Gal/Day		
e. West Coast tuna					Gal/Day	Gal/Day		
f. West Coast halibut (Pacific or California)					Gal/Day	Gal/Day		
g. Other West Coast fisheries								
h. West Coast chartering, research, or tendering								
i. Alaska chartering, research, or tendering					Gal/Day	Gal/Day		
j. Alaska fisheries (not including chartering research, or tendering)					Gal/Day	Gal/Day		
k. Steaming between West Coast and Alaska					Gal/Day	Gal/Day		

Questions 12, 13 and 14 collect information about this vessel’s costs and earnings **while participating in all the activities listed in question 11.**

¹² Provide total costs (both expenses and capitalized expenditures) during **fiscal years 20XX and 20XX+1** in each of the following categories for this vessel’s operations in all fisheries.

- If you do not track expenses for captain and crew separately, report combined expenses under captain and “NA” under crew.
- Round all answers to the nearest 100 dollars.
- Include all chartering expenses, even if directly reimbursed.

Expense Category	20XX (\$)	20XX+1 (\$)
a. Captain (including wages, bonuses, benefits, payroll taxes, and unemployment insurance)	\$ _____	\$ _____
b. Crew (including wages, bonuses, benefits, payroll taxes, and unemployment insurance)	\$ _____	\$ _____
c. Crew or captain travel not deducted from wages	\$ _____	\$ _____
d. Observer fees	\$ _____	\$ _____
e. Fishing association and commission costs	\$ _____	\$ _____
f. State licensing and federal permit fees	\$ _____	\$ _____
g. Fuel and lubrication	\$ _____	\$ _____
h. Food	\$ _____	\$ _____
i. Ice	\$ _____	\$ _____
j. Bait	\$ _____	\$ _____
k. Off-load expenses (cross dock fees, port tariffs, hoist fees, etc.)	\$ _____	\$ _____
l. Freight to the vessel on supplies	\$ _____	\$ _____
m. Communications, including VMS, satellite phone, and skymate	\$ _____	\$ _____
n. Trucking of fish to buyer	\$ _____	\$ _____
o. Vessel and on-board equipment purchases, upgrades, repair, and maintenance <ul style="list-style-type: none"> • Include all electronics, safety equipment, and machinery not used to harvest fish • Exclude fishing gear and processing equipment 	\$ _____	\$ _____
p. Fishing gear purchases, upgrades, repair, and maintenance Include nets, doors, traps, pots, cables, and fishing machinery	\$ _____	\$ _____
q. Processing equipment purchases, upgrades repair, and maintenance Include any equipment used to process or head and gut fish on-board the vessel	\$ _____	\$ _____
r. Total payments made for loans on the vessel	\$ _____	\$ _____
s. Insurance premium payments (hull and machinery, protection and indemnity, and pollution insurance)	\$ _____	\$ _____
t. Moorage	\$ _____	\$ _____
u. Lease or bareboat charter of this vessel	\$ _____	\$ _____
v. Purchase of permits associated with this vessel	\$ _____	\$ _____
w. Leasing of permits and quota associated with this vessel	\$ _____	\$ _____
x. Other supplies (cleaning, clothing, safety, etc.)	\$ _____	\$ _____
y. Total depreciation (vessel, on-board equipment, processing equipment, and quota) taken during the year	\$ _____	\$ _____

¹³ Did you have any loan(s) on your vessel at any time during **fiscal year 20XX or 20XX+1**: Yes No

If Yes:

	20XX (\$)	20XX+1 (\$)
a. Total amount you still owed at end of the fiscal year:	\$ _____	\$ _____

Please split total loan payments (question 12, item r) into:

	20XX (\$)	20XX+1 (\$)
b. Interest paid:	\$ _____	\$ _____
c. Principal repaid:	\$ _____	\$ _____

¹⁴ For each of the earnings sources listed below, indicate the income earned during **fiscal years** 20XX and 20XX+1.

- If no income was earned from a source during a particular fiscal year, enter \$0 in the appropriate cell.
- Round all answers to the nearest 100 dollars.

Earnings (Income) Source	20XX	20XX+1
a. West Coast shoreside landings; <i>this information will be obtained from fish ticket data</i>		
b. Alaska shoreside landings and at-sea deliveries of fish harvested with this vessel	\$ _____	\$ _____
c. Sale of permits and quota associated with this vessel	\$ _____	\$ _____
d. Leasing of permits and quota associated with this vessel	\$ _____	\$ _____
e. Leasing of vessel	\$ _____	\$ _____
f. West Coast chartering, research, or tendering: include direct reimbursements	\$ _____	\$ _____
g. Alaska chartering, research, or tendering: include direct reimbursements	\$ _____	\$ _____
h. Other, Please describe _____	\$ _____	\$ _____

LABOR COMPENSATION IN YOUR MAIN WEST COAST FISHERY

¹⁵ From **fiscal years** 20XX to 20XX+1, in which of the following fisheries did this vessel derive the most revenue from West Coast landings? (Please select only one.)

- Groundfish
- Salmon
- Crab
- Shrimp
- Other West Coast Fishery

¹⁶ When operating in the fishery identified in the previous question, did this vessel make any trips where a crew share system was used to pay the crew?

- Yes (proceed to question 17)
- No (proceed to question 21)

¹⁷ Which of the following expenses were deducted from total revenue before calculating the crew share when this vessel operated in the fishery identified in question 15? Mark “Yes” for expenses that were deducted from total revenue and “No” for expenses that were not deducted from total revenue. If the calculation of crew share changed, please report the method used most frequently.

Deduction from Total Revenue	
a. Crew or captain travel if not deducted from wages	Yes <input type="checkbox"/> No <input type="checkbox"/>
b. Observer fees	Yes <input type="checkbox"/> No <input type="checkbox"/>
c. Fishing association and commission costs	Yes <input type="checkbox"/> No <input type="checkbox"/>
d. State licensing and Federal permit fees	Yes <input type="checkbox"/> No <input type="checkbox"/>
e. Buyback fees	Yes <input type="checkbox"/> No <input type="checkbox"/>
f. Fuel and lubrication	Yes <input type="checkbox"/> No <input type="checkbox"/>
g. Food	Yes <input type="checkbox"/> No <input type="checkbox"/>
h. Ice	Yes <input type="checkbox"/> No <input type="checkbox"/>
i. Bait	Yes <input type="checkbox"/> No <input type="checkbox"/>
j. Off-load expenses	Yes <input type="checkbox"/> No <input type="checkbox"/>
k. Freight to the vessel on supplies	Yes <input type="checkbox"/> No <input type="checkbox"/>
l. Other supplies (cleaning, clothing, safety, etc.)	Yes <input type="checkbox"/> No <input type="checkbox"/>
m. Communications	Yes <input type="checkbox"/> No <input type="checkbox"/>
n. Trucking of fish to the buyer	Yes <input type="checkbox"/> No <input type="checkbox"/>
o. Insurance premium payments	Yes <input type="checkbox"/> No <input type="checkbox"/>
p. Lease or charter of this vessel	Yes <input type="checkbox"/> No <input type="checkbox"/>
q. Expenses for purchase and leasing of permits and quota associated with this vessel	Yes <input type="checkbox"/> No <input type="checkbox"/>
r. Other, Please describe: _____	Yes <input type="checkbox"/> No <input type="checkbox"/>

¹⁸ On what percentage of fishing trips in the fishery identified in question 15 did the vessel owner serve as captain?

_____ %

¹⁹ On trips in the fishery identified in question 15 when the vessel owner served as captain, please indicate the share of net revenue (revenue minus the deductions listed in question 17) going to the vessel, captain, and crew. If the vessel owner did not serve as captain on any trips, write “NA”.

a. Vessel share	_____ %
b. Captain share	_____ %
c. Crew share	_____ %

²⁰ On trips in the fishery identified in question 15 when the vessel owner did not serve as captain, please indicate the share of net revenue (revenue minus the deductions listed in question 17) going to the vessel, captain, and crew. If the vessel owner always served as captain, please write “NA”.

a. Vessel share	_____	%
b. Captain share	_____	%
c. Crew share	_____	%

SURVEY CONCLUSION AND PAPERWORK REDUCTION ACT STATEMENT

Please answer questions 21 – 23 for **fiscal years** 20XX and 20XX+1.

²¹ In 20XX and 20XX+1, how did you change your fishing activities due to COVID-19, and what were the main reasons you made those changes?

²² Did you substantially change your fishing activities in 20XX and/or 20XX+1? (Please check each that applies)
 No, not in either year Yes in 20XX Yes in 20XX+1

If YES in 20XX, please answer the following questions.

^{22a} How did you change your fishing activities in **20XX**?

^{22b} What were the main reasons you made those changes in **20XX**?

^{22c} By what percentage did each of the following increase or decrease for your vessel(s) in **20XX** due to those changes? (Please enter “0” if there was no change)

Landings decreased by _____% OR increased by _____% in 20XX

Fishing revenues decreased by _____% OR increased by _____% in 20XX

Total operating costs decreased by _____% OR increased by _____% in 20XX

Crew size decreased by _____% OR increased by _____% in 20XX

If YES in 20XX+1, please answer the following questions.

^{22d} How did you change your fishing activities in **20XX+1**?

^{22e} What were the main reasons you made those changes in **20XX+1**?

^{22f} By what percentage did each of the following increase or decrease for your vessel(s) in **20XX+1** due to those changes? (Please enter "0" if there was no change)

Landings decreased by _____% OR increased by _____% in 20XX+1

Fishing revenues decreased by _____% OR increased by _____% in 20XX+1

Total operating costs decreased by _____% OR increased by _____% in 20XX+1

Crew size decreased by _____% OR increased by _____% in 20XX+1

²³ Did you substantially change your **non-fishing activities** in 20XX and/or 20XX+1? (Please check each that applies)
No, not in either year Yes in 20XX Yes in 20XX+1

If YES in 20XX, please answer the following questions.

^{23a} How did you change your **non-fishing activities** in **20XX**?

^{23b} What were the main reasons you made those changes in **20XX**?

If YES, in 20XX+1, please answer the following questions.

^{23c} How did you change your **non-fishing activities** in **20XX+1**?

^{23d} What were the main reasons you made those changes in **20XX+1**?

²⁴ Is there any other information you would like to share with us?

Thank you for participating in this survey. The information you have provided will improve studies of the economic performance and economic impact of the West Coast open access groundfish, salmon, crab and shrimp fisheries. A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995 unless the information collection has a currently valid OMB Control Number. The approved OMB Control Number for this information collection is 0648-0773 and its expiration date is XX/XX/20XX. Without this approval, we could not conduct this information collection. Public reporting for this information collection is estimated to be approximately three hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. All responses to this information collection are voluntary. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to Allen Chen, NOAA Fisheries, Northwest Fisheries Science Center, 2725 Montlake Blvd East, Seattle, WA 98112-2097 (206-302-1762) allen.chen@noaa.gov.

Privacy Act Statement: **Authority:** The collection of this information is authorized under the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C 1801 et seq. **Purpose:** The Magnuson-Stevens Act requires that conservation and management measures must prevent overfishing while achieving, on a continuing basis, the optimum yield from each fishery. Economic surveys of fisheries are essential tools in the management of fishery resources. Section 303(a)(5) of the Magnuson-Stevens Act specifically identifies the kinds of data to be collected for fishery management plans (FMPs). **Routine Uses:** The Department of Commerce will use this information for effective fishery management. Disclosure of this information is permitted under the Privacy Act of 1974 (5 U.S.C. Section 552a), to be shared within NOAA Fisheries offices, in order to coordinate monitoring and management of sustainability of fisheries and protected resources, as well as with the applicable State or Regional Marine Fisheries Commissions and International Organizations. Disclosure of this information is also subject to all of the published routine uses as identified in the [COMMERCE/NOAA-6, Fishermen's Statistical Data](#). **Disclosure:** Submission is voluntary; however, the more information collected, the better we can manage fisheries resources.