

**Filename: SWFSC IC_West Coast Commercial Catcher Vessel Cost-Earnings Survey
for Coastal Pelagic Finfish and Market Squid Fisheries 012522**



**WEST COAST COMMERCIAL COASTAL PELAGIC
FINFISH AND MARKET SQUID
VESSEL
COST EARNINGS SURVEY**

NOAA Fisheries – Southwest Fisheries Science Center

This survey is being sent to all owners of a commercial fishing vessel that **during 20XX or 20XX+1**:
(i) operated with a federal West Coast Coastal Pelagic Species (CPS) limited entry permit,
(ii) participated in a West Coast live-bait fishery, or
(iii) landed at least \$1,000 of Coastal Pelagic Species finfish and market squid on the West Coast (California, Oregon, and Washington), and did not operate with a West Coast (California, Oregon, and Washington) limited entry permit for either groundfish or highly migratory species.

Please see the accompanying letter for instructions about completing this survey.

CONTACT INFORMATION

You and other vessel owners are the only ones that can provide this information to this survey. In order to track who has responded, please list your contact information here. Your individual responses will be kept confidential and reported only in combination with all other participants.

- ¹ Name: _____
- ² Relationships of Respondent to Vessel: Vessel Owner Spouse/Relative of Owner
 Vessel Captain Accountant/Bookkeeper for Owner Other
- ³ Email Address: _____
- ⁴ Telephone: () _____
- ⁵ Mailing Address Street: _____
 City: _____ State: _____ Zip Code: _____
- ⁶ Date of Survey Response (dd/mm/yyyy): _____

VESSEL OWNERSHIP AND CHARACTERISTICS

⁷ Please enter the following information about your vessel.

Item	Response
a. Vessel Name	_____
b. Vessel ID (USCG or State)	_____
c. Home Port (city and state)	_____
d. Length Overall (feet)	_____
e. Fuel Capacity (gallons)	_____
f. Total Horsepower of Main Engines	_____
g. Engine Year	_____
h. Type of refrigeration	_____

⁸ Has a marine survey been performed for this vessel?

- Yes (proceed to question 9)
- No (proceed to question 10)

⁹ Answer the following questions referring to the most recent marine survey value of the vessel.

a. What was the year of this vessel's last value survey?	_____ yyyy
b. What was the <i>market value</i> of the vessel from the survey, rounded to the nearest 100 dollars?	\$_____
c. What was the <i>replacement value</i> of the vessel from this survey, rounded to the nearest 100 dollars?	\$_____
d. Do the survey values given above include the value of permits associated with the vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>
e. Do the survey values given above include the value of all fishing gear on the vessel at the time of the survey?	Yes <input type="checkbox"/> No <input type="checkbox"/>

¹⁰ On what date did your 20XX fiscal year begin?

a. Month _____ mm	b. Day _____ dd	c. Year _____ yyyy
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ANNUAL DAYS AT SEA, COSTS AND EARNINGS

¹¹ For each of the below actives, please provide for your vessel: (1) the number of days at sea; distance of fishing grounds from port, fuel use per day (for propulsion or other uses), and crew size (not including captain) during both the 20XX and 20XX+1 **fiscal years**. (This information will be used to allocate some expenditures among the fisheries in which this vessel participated.

- Please note that there is a special category for days at sea traveling between the West Coast and Alaska.
- Count partial days as full days.
- Fuel use per day should be an average that includes steaming to the fishing grounds, harvesting fish, and steaming back to port and should include all fuels used for propulsion or other uses
- Count days tendering as well as days fishing
- Put an “NA” in the *Activity* column for activities in which you did not operate this vessel.

Activity	Days at Sea		Distance of fishing grounds from port (average miles)		Fuel Use Gal / Day (average)		Crew Size (not including captain)	
	20XX	20XX+1	20XX	20XX+1	20XX	20XX+1	20XX	20XX+1
a. Pacific Sardine					Gal/Day	Gal/Day		
b. Market Squid					Gal/Day	Gal/Day		
c. Northern Anchovy					Gal/Day	Gal/Day		
d. Pacific Mackerel					Gal/Day	Gal/Day		
e. Jack Mackerel					Gal/Day	Gal/Day		
f. Other West Coast fisheries: _____					Gal/Day	Gal/Day		
g. West Coast chartering, research, or tendering					Gal/Day	Gal/Day		
h. West Coast Other: _____					Gal/Day	Gal/Day		
i. Alaska fisheries:					Gal/Day	Gal/Day		
j. Steaming between West Coast ports (non-fishing)					Gal/Day	Gal/Day		
k. Steaming between West Coast and Alaska ports (non-fishing)					Gal/Day	Gal/Day		
l. Other: _____					Gal/Day	Gal/Day		

Questions 12, 13 and 14 collect information about this vessel’s costs and earnings **while participating in all the activities listed in question 11.**

¹² Provide total costs (both expenses and capitalized expenditures) during **fiscal year 20XX** and **20XX+1** in each of the following categories for this vessel’s operations in all fisheries.

- If you do not track expenses for captain and crew separately, report combined value under captain and put “NA” under crew.
- Round all answers to the nearest 100 dollars.
- Include all chartering expenses, even if directly reimbursed

Expense Category	20XX (\$)	20XX+1 (\$)
a. Captain (including wages, bonuses, benefits, payroll taxes, and unemployment insurance)		
b. Crew (including wages, bonuses, benefits, payroll taxes, and unemployment insurance)		
c. Crew or captain travel not deducted from wages		
d. Lightboat expenses		
e. Fishing association and commission costs		
f. State licensing and federal permit fees		
g. Fuel and lubrication		
h. Food		
i. Ice		
j. Bait		
k. Off-load expenses (cross dock fees, port tariffs, hoist fees, etc.)		
l. Freight to the vessel on supplies		
m. Communications, including VMS, satellite phone, and skymate		
n. Trucking of fish to buyer		
o. Vessel and on-board equipment purchases, upgrades, repair, and maintenance <ul style="list-style-type: none"> • Include all electronics, safety equipment, and machinery not used to harvest fish • Exclude fishing gear and processing equipment 		
p. Fishing gear purchases, upgrades, repair, and maintenance Include nets, doors, traps, pots, cables, and fishing machinery		
q. Processing equipment purchases, upgrades repair, and maintenance Include any equipment used to process or head and gut fish on-board the vessel		
r. Total payments made for loans on the vessel		
s. Insurance premium payments (hull and machinery, protection and indemnity, and pollution insurance)		
t. Moorage		
u. Lease or bareboat charter of this vessel		
v. Purchase of permits associated with this vessel		
w. Leasing of permits and quota associated with this vessel		
x. Other supplies (cleaning, clothing, safety, etc.)		
y. Total depreciation (vessel, on-board equipment, processing equipment, and quota) taken during the year		
z. Other: _____		

13 Did you have any loan(s) on your vessel at any time during **fiscal year** 20XX or 20XX+1: Yes No

If Yes:

- Round all answers to the nearest 100 dollars.

	20XX (\$)	20XX+1 (\$)
a. Total amount you still owed at end of the fiscal year:		

Please split total loan payments (question 12, item r) into:

- Round all answers to the nearest 100 dollars.

	20XX (\$)	20XX+1 (\$)
b. Interest paid:		
c. Principal repaid:		

14 For each of the earnings sources listed below, indicate the income earned during fiscal year 20XX and 20XX+1.

- If no income was earned from a source during a particular fiscal year, enter \$0 in the appropriate cell.
- Round all answers to the nearest 100 dollars.

Earnings (Income) Source	20XX (\$)	20XX+1 (\$)
a. West Coast shoreside commercial landing revenue. <i>This information will be obtained from fish ticket data</i>		
b. West Coast live-bait revenue		
c. Sale of permits and quota associated with this vessel		
d. Leasing of permits and quota associated with this vessel		
e. Leasing of vessel		
f. West Coast chartering, research, or tendering: include direct reimbursements		
g. Alaska fisheries : _____		
h. Other : _____		

LABOR COMPENSATION IN THE WEST COAST FISHERY

15 From **fiscal years** 20XX to 20XX+1, in which of the following fisheries did this vessel derive the most revenue from West Coast landings? (Please select only one.)

- Coastal Pelagic Finfish
- Market Squid
- Live-bait
- Salmon
- Crab
- HMS

Other _____

¹⁶ When operating in the fishery identified in the previous question, did this vessel make any trips where a crew share system was used to pay the crew?

- Yes (proceed to question 17)
 No (proceed to question 21)

¹⁷ Which of the following expenses were deducted from total revenue before calculating the crew share when this vessel operated in the fishery identified in question 15? Mark “Yes” for expenses that were deducted from total revenue and “No” for expenses that were not deducted from total revenue. If the calculation of crew share changed, please report the method used most frequently.

Deduction from Total Revenue	
a. Crew or captain travel not deducted from wages	Yes <input type="checkbox"/> No <input type="checkbox"/>
b. Fishing association and commission costs	Yes <input type="checkbox"/> No <input type="checkbox"/>
c. State licensing and Federal permit fees	Yes <input type="checkbox"/> No <input type="checkbox"/>
d. Buyback fees	Yes <input type="checkbox"/> No <input type="checkbox"/>
e. Fuel and lubrication	Yes <input type="checkbox"/> No <input type="checkbox"/>
f. Food	Yes <input type="checkbox"/> No <input type="checkbox"/>
g. Ice	Yes <input type="checkbox"/> No <input type="checkbox"/>
h. Bait	Yes <input type="checkbox"/> No <input type="checkbox"/>
i. Off-load expenses	Yes <input type="checkbox"/> No <input type="checkbox"/>
j. Freight to the vessel on supplies	Yes <input type="checkbox"/> No <input type="checkbox"/>
k. Other supplies (cleaning, clothing, safety, etc.)	Yes <input type="checkbox"/> No <input type="checkbox"/>
l. Communications	Yes <input type="checkbox"/> No <input type="checkbox"/>
m. Trucking of fish to the buyer	Yes <input type="checkbox"/> No <input type="checkbox"/>
n. Insurance premium payments	Yes <input type="checkbox"/> No <input type="checkbox"/>
o. Lease or charter of this vessel	Yes <input type="checkbox"/> No <input type="checkbox"/>
p. Expenses for purchase and leasing of permits and quota associated with this vessel	Yes <input type="checkbox"/> No <input type="checkbox"/>
q. Other, describe: _____	Yes <input type="checkbox"/> No <input type="checkbox"/>

¹⁸ On what percentage of fishing trips in the fishery identified in question 15 did the vessel owner serve as captain?

_____ %

¹⁹ On trips in the fishery identified in question 15 when the vessel owner served as captain, please indicate the share of net revenue (revenue minus the deductions listed in question 17) going to the vessel, captain, and crew. If the vessel owner did not serve as captain on any trips, write “NA”.

a. Vessel share	_____ %
b. Captain share	_____ %
c. Crew share	_____ %

²⁰ On trips in the fishery identified in question 15 when the vessel owner did not serve as captain, please indicate the

share of net revenue (revenue minus the deductions listed in question 17) going to the vessel, captain, and crew. If the vessel owner always served as captain, please write "NA".

a. Vessel share	_____	%
b. Captain share	_____	%
c. Crew share	_____	%

SURVEY CONCLUSION AND PAPERWORK REDUCTION ACT STATEMENT

Please answer questions 21 – 23 for **fiscal years** 20XX and 20XX+1.

²¹ In 20XX and 20XX+1, how did you change your fishing activities due to COVID-19, and what were the main reasons you made those changes?

²² Did you substantially change your fishing activities in 20XX and/or 20XX+1? (Please check each that applies)
No, not in either year Yes in 20XX Yes in 20XX+1

If YES in 20XX, please answer the following questions.

^{22a} How did you change your fishing activities in **20XX**?

^{22b} What were the main reasons you made those changes in **20XX**?

^{22c}By what percentage did each of the following increase or decrease for your vessel(s) in **20XX** due to those changes? (Please enter "0" if there was no change)

Landings decreased by _____% OR increased by_____% in 20XX

Fishing revenues decreased by _____% OR increased by_____% in 20XX

Total operating costs decreased by _____% OR increased by_____% in 20XX

Crew size decreased by _____% OR increased by_____% in 20XX

If YES in 20XX+1, please answer the following questions.

^{22d} How did you change your fishing activities in **20XX+1**?

^{23e} What were the main reasons you made those changes in **20XX+1**?

^{22f} By what percentage did each of the following increase or decrease for your vessel(s) in **20XX+1** due to those changes? (Please enter "0" if there was no change)

Landings decreased by _____% OR increased by_____% in 20XX+1

Fishing revenues decreased by _____% OR increased by_____% in 20XX+1

Total operating costs decreased by _____% OR increased by_____% in 20XX+1

Crew size decreased by _____% OR increased by_____% in 20XX+1

²³Did you substantially change your **non-fishing activities** in 20XX and/or 20XX+1? (Please check each that applies)
No, not in either year Yes in 20XX Yes in 20XX+1

If YES in 20XX, please answer the following questions.

^{23a}How did you change your **non-fishing activities** in **20XX**?

^{23b} What were the main reasons you made those changes in **20XX**?

If YES, in 20XX+1, please answer the following questions.

^{23c}How did you change your **non-fishing activities** in **20XX+1**?

^{23d} What were the main reasons you made those changes in **20XX+1**?

²⁴ Is there any other information you would like to share with us?

Thank you for participating in this survey. The information you have provided will improve studies of the economic performance and economic impact of the West Coast Coastal Pelagic Species finfish and market squid fishery. Public reporting burden for this information collection, including time for gathering data needed, and completing the survey is estimated to average three hours per respondent. Any questions about this survey may be directed to James Hilger of NOAA Fisheries (858-546-7140). This survey is conducted under OMB No. 0648-0773, which expires on XX/XX/20XX.

Privacy Act Statement: **Authority:** The collection of this information is authorized under the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C 1801 et seq. **Purpose:** The Magnuson-Stevens Act requires that conservation and management measures must prevent overfishing while achieving, on a continuing basis, the optimum yield from each fishery. Economic surveys of fisheries are essential tools in the management of fishery resources. Section 303(a)(5) of the Magnuson-Stevens Act specifically identifies the kinds of data to be collected for fishery management plans (FMPs). **Routine Uses:** The Department of Commerce will use this information for effective fishery management. Disclosure of this information is permitted under the Privacy Act of 1974 (5 U.S.C. Section 552a), to be shared within NMFS offices, in order to coordinate monitoring and management of sustainability of fisheries and protected resources, as well as with the applicable State or Regional Marine Fisheries Commissions and International Organizations. Disclosure of this information is also subject to all of the published routine uses as identified in the [COMMERCE/NOAA-6, Fishermen's Statistical Data](#). **Disclosure:** Submission is voluntary; however, the more information is collected, the better we can manage fisheries resources.

Confidentiality

The SWFSC will maintain the confidentiality of the information consistent with legal authorities available to the SWFSC, including but not limited to the Privacy Act (5 U.S.C. Section 552a) and the Trade Secrets Act (18 U.S.C. Section 1905). In the event that the SWFSC receives a formal request for the information pursuant to the Freedom of Information Act (FOIA) (5 U.S.C. Section 552), the SWFSC will protect confidentiality to the extent possible under Exemption 4 of the FOIA.