Final 2018 Annual Survey of Refugees IRB Memo

Hamutal Bernstein
CO-PRINCIPAL INVESTIGATOR

Robert Santos
CO-PRINCIPAL INVESTIGATOR

Carolyn Vilter
PROJECT MANAGER

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IRB Request Overview

The Urban Institute has been contracted by the Office of Refugee Resettlement (ORR) at the Administration for Children and Families at the Department of Health and Human Services (HHS) to conduct the 2018 Annual Survey of Refugees, a telephone survey of 1,500 newly-settled refugees residing in the United States. Urban is subcontracting survey firm SSRS to conduct tracing, survey administration, and data preparation; and SSRS plans to partner with a second survey firm, Ronin, for the survey interviewers.

This is a new contract which follows on the heels of our contract with ORR for the 2016 and 2017 ASR (#09153-700-00 or 101482-0007-002). We submitted for full review and received IRB approval for the 2016 ASR on October 8, 2016, and filed an amendment to continue the project and complete the 2017 ASR on October 23, 2017. The 2018 ASR research project is identical to the 2016 and 2017 cycles, and we plan to take a similar approach to human subjects protections.

One new component added to this contract is data archiving, for which Urban will prepare the 2017, 2018, and 2019 survey data to be shared as public use datasets. We have previously done this type of archiving activity for the 2016 ASR results, under the auspices of a third, now complete, contract with HHS (Policy Research and Analysis on Refugee Integration, #09343-001-00 or 101381-0001-007).

Project Overview

The ORR funds an annual survey of newly-arrived refugee households in order to collect information on refugees' progress toward self-sufficiency during their first five years of living in the United States. The survey data are used to meet reporting requirements for ORR's Annual Report to Congress and inform refugee resettlement policy, by providing a unique source of nationally representative information on refugees' experiences of adjustment to life in the United States. The ASR collects information on a range of information about refugees, including language proficiency, training and education, employment, and public assistance receipt.

This project includes two components:

1) Collection of the 2018 survey, analysis of survey results, and preparation of data files and documentation for transmission to ORR. (There is an option for reiterating this work for the 2019 round.)
2) Preparation of the 2017 survey data for archiving as a public use dataset to provide access to the broader research community. (There is an option for reiterating this work for the 2018 and again for the 2019 data, to be collected through component 1, above.)

Study Population

This study features a vulnerable study population with diverse language and cultural backgrounds. Refugees are individuals fleeing their countries and who are unable to return because of persecution or a well-founded fear of persecution due to race, religion, nationality, political opinion, or membership in a particular social group. This is an extremely diverse group, with many different countries of origins and languages represented. In the last five years, the most common countries of origin have been Iraq, Burma, the Democratic Republic of the Congo, Somalia, and Bhutan, as well as other countries in Africa and the Middle East. There are a wide range of educational and literacy levels among recent arrivals, and many are not English proficient. Many have fled from violent circumstances and spent years displaced in a refugee camp or as urban refugees, and they may be coping with the effects of mental or physical trauma.

The United States resettles refugees within a ceiling set annually by the President in consultation with Congress. The refugee resettlement program has experienced dramatic policy changes over the last two years, as the administration has moved to pause refugee admissions to review screening procedures, raise scrutiny of nationals from a number of the largest refugee-sending countries (e.g., Iran, Iraq, Somalia, and Syria), and significantly decrease the number of refugees settled annually. While in the past decade there were between 70,000 and 85,000 refugees admitted annually, this number dropped to 54,000 in Fiscal Year 2017, and dropped further to 20,000 in Fiscal Year 2018. This turmoil in the refugee resettlement program has been accompanied by developments in immigration policy including the latest regulation on "public charge" which is feared may decrease the willingness of immigrant and refugee individuals to enroll in critical public services and avoid interacting with government authorities.

We also note that refugees are distinct in many ways from other immigrants in the U.S., given their entitlement to public services during their first five years in the U.S. and the government-funded supports they receive upon arrival in the U.S.

We will be collecting data on a nationally representative, random sample of adult refugee entrants who arrived between FY2013 and FY2017. We seek 1500 completed interviews, but will need to draw a sample

of about 6,120 individuals to account for loss due to tracing and survey nonparticipation. In order to design the sampling methodology, we will be receiving administrative data on the entire 'universe' of arrivals, which will include as many as 350,000 individual-level records.

Methodology

This survey employs a sample survey methodology. Data will be collected through four sets of research activities, which we describe below: 1) Administrative Data Collection for Sampling Design, 2) Tracing, 3) Survey Administration, and 4) Data Archiving . The administrative data analysis will be on the entire population of recent refugees. The tracing and survey data collection will be conducted with a sample from the population.

All research staff associated with this study (including Urban researchers, SSRS researchers, and all survey interviewers who partner with SSRS) will be required to sign the project-specific confidentiality pledge and nondisclosure agreement (see Appendices 1, 2, 3, and 4). As was done in 2016 and 2017, SSRS will be partnering with a second firm, Ronin, to cover the range of rare foreign languages that are required to field the survey. Ronin interviewers will not be physically present at SSRS headquarters but will receive restricted access to the SSRS electronic Computer Assisted Telephone Interviewing (CATI) system remotely and enter data directly into secure SSRS systems. See further details in Data Security below.

ADMINISTRATIVE DATA COLLECTION FOR SAMPLING DESIGN

Study participants will be drawn from administrative data, specifically an ORR database that captures all refugee arrivals, the Internet Refugee Arrivals Data Systems (iRADS), also known as RADS. The dataset contains a range of information, including arrival dates, nationalities, dates and locations of birth, education status, English language proficiency, languages spoken, occupation, religion, and resettlement location. RADS includes both information at arrival ("Refugee Arrivals") and updated contact information collected 90 days after arrival ("90-day Updates"). These include Personally Identifiable Information (PII) such as name, date of birth, and address, as well as sensitive information such as religion and ethnicity. We include in Appendix 5 the list of variables and variable labels from the RADS that we will receive for this project.

ORR will deliver to Urban the RADS dataset for all refugees arriving between Fiscal Year 2013 and Fiscal Year 2017. After Urban validates the data (via quality checks), SSRS will receive the data and randomly draw a sample of subjects for the survey. This sample will undergo tracing and survey collection, as described below.

TRACING ACTIVITIES

Because a high proportion of respondents in the sample will have outdated contact information recorded in the RADS administrative dataset, a key component of this research project will be to conduct tracing activities to collected updated address and telephone information. These tracing efforts will be an additional source of PII data collection.

SSRS will conduct standard tracing protocols on all sampled refugees using the RADS contact information (i.e., address, telephone number, county FIPS code, and date of birth). This will include updating refugee contact addresses via U.S. Postal Service National Change of Address (NCOA) data base and Transunion batch searches.

The contact information for the refugees will NOT be given to the government and there is no contractual obligation to do so.

SURVEY ADMINISTRATION

SSRS will lead the data collection and administration efforts under the supervision of PI Rob Santos. Once tracing information has been collected, subjects with a valid address will be sent a letter of introduction by mail. See Appendix 6 for the letter of introduction. The letters will be in two languages – English, and the non-English language primarily spoken by the individual. Letters will be customized to match the language spoken by the respondent. The languages will include Arabic, Nepali, Somali, Sgaw Karen, Spanish, Kiswahili, Kinyarwanda, Farsi, Burmese, Tedim, Tigrinya, Lai, Russian, French, and Amharic. There may be one additional language if determined appropriate, in coordination with ORR, after the analysis of the RADS data sample.

Individuals will either call the provided call-in number or be called directly by interviewers on their current telephone number, landline or cell. There will be a screening process by which the interviewer identifies the person in the household and confirms their identity. They will be provided information about the study and asked for their consent to participate. The protocol takes up to 30 minutes. Respondents will be offered a $25 gift card as a thank you for participating in the survey. See Appendix 7 for the survey questionnaire**.**

Urban staff will also send an email announcement out to leaders in the resettlement field, specifically the State Refugee Coordinators, the State Refugee Health Coordinators, and the resettlement agencies. This is intended to spread awareness of the survey amongst trusted service providers who are regularly in contact with a portion of the refugee population, and who may be approached by individuals who receive the letter of introduction and have questions about participating in the project. The announcement describes the survey and highlights the voluntary nature of participation. See Appendix 8 for the announcement.

Interviewers will receive study-specific training, which includes a particular focus on cultural sensitivity, question administration, and how to deal with refugees if they get upset; they will also be provided hotline numbers for psychological supports to refer respondents to if necessary. We note that the content of the questionnaire does not include sensitive information, and we do not expect this type of reaction from respondents; however, given the vulnerable nature of this population, providing these resources is appropriate.

Survey protocols call for the interviewers and respondents to be matched by gender and language. Calling days/times will be sensitive to religious holidays and other cultural mores.

DATA ARCHIVING

Urban will also turn previously collected ASR results into a public use dataset. It will be posted on a well-respected resource for survey datasets for research purposes, the Inter-University Consortium for Political and Social Research (ICPSR) at the University of Michigan.

Urban will begin with the deidentified dataset that we transmitted to HHS/ORR at the end of our previous contract, comprising the FY2017 ASR data. Following procedures previously conducted when we prepared the FY2016 results for posting at ICPSR, we will conduct an additional disclosure analysis of the FY2017 data file. The disclosure analysis will determine which survey items should be deleted, which should be masked or top-coded, and which items can be left in their original form. We will construct an archivable data file based on those criteria, and will then conduct quality reviews to ensure that the disclosure edits were correctly applied and items destined for removal were in fact eliminated. This public use file, with support documentation that we produce, will be submitted to ICPRS for review and placement into their archive.

Human Subject Protections

CONSENT PROCEDURES

Each survey interviewer will go through an informed consent process with each respondent at the time of telephone contact. Interviewers will read the script aloud in the language spoken by the respondent (preferably native language). The consent explains the research team, the funder, the purpose of the research and how the information will be used, the nature of participation, the voluntary nature of their participation, the extent to which confidentiality can be assured, and the risks and benefits to participants. Participants will be told that their participation is voluntary and that they are free to stop the interview at

any time without consequence. Verbal consent will be recorded by the interviewer and the protocol will proceed.

Consent language is included in the questionnaire in Appendix 7.

We are requesting a waiver of informed consent for the Administrative Data Analysis and Tracing activities. We consider below the criteria for such waiver.

1. **The research involves no more than minimal risk to the subjects.**
   True. Given that we have set up a robust data security plan, we are confident that there is minimal risk to individuals listed in the administrative data (i.e., all refugee arrivals from FY2013-2017). The data will be transmitted, stored, and destroyed under secure conditions (following our data security plan appearing in Appendix 9) to ensure that the data are not compromised.
   Similarly, there are minimal risks for those sampled individuals involved in tracing activities, given our robust data security plan.

2. **The alteration will not adversely affect the rights and welfare of the subjects.**
   True. The rights and welfare of individuals listed in the administrative data as well as those used for tracing activities will not have any effect on the rights and welfare of the subjects.

3. **The research could not practicably be carried out without the alteration.**
   True. The objective of the Annual Survey of Refugees is to collect accurate, representative data about newly arrived refugees and their progress in the U.S. There exist *no other* sources of such information. The RADS administrative data are 1) the only source of information that captures refugee characteristics that are required to shape the sampling design and develop a representative sample, and 2) the only source of information for identifying survey respondents and their contact information. There is no way the survey could be conducted without using the RADS administrative data and conducting tracing activities.

4. **Whenever appropriate, the subjects will be provided with additional pertinent information after participation.**
   True. Although only those traced and interviewed will be informed about the survey, the survey results will be included in the ORR Annual Report and the public use dataset, which will be publicly available and accessible to all. It is not possible to individually provide information either to 1) all those in the administrative data, or 2) those sampled individuals for whom tracing activities were attempted but whose contact information cannot be updated and thus cannot not be contacted. Those individuals who are traced effectively and reached to respond to the telephone survey will be informed about their participation.

PARTICIPANT PROTECTIONS

The project team will use a secure data file transfer process using Secure File Transfer Protocol (SFTP). SFTP will allow ORR to share the RADS administrative data with Urban and SSRS under secure conditions. The SFTP will also be used to 1) exchange tracing information between Urban and SSRS as needed (in some cases we might receive hard copy materials from respondents trying to share their updated contact information here at the Urban Institute, and we will share that information with SSRS electronically), 2) share de-identified survey responses once SSRS completes survey administration and it is ready to be shared for analysis by Urban, and 3) share deidentified survey data files with ORR at the end of the project.

All users will have a unique username and password. The SFTP encrypts data during the data transfer and directly saves it to the confidential network drive where access will be limited to Urban researchers who have signed the confidentiality pledge. Similarly at SSRS, data that they download from the SFTP will be saved to a secure network there. Only those researchers who have signed a pledge of confidentiality and who are directly involved with the analysis will have access to data.

We consider below the specific considerations for each research component:

Administrative Data: These data include PII and some sensitive information. The data will be transmitted and stored securely as discussed in the Data Security and Monitoring Plan that will need to be approved by ORR, contingent on approval by this IRB (see Appendix 9 for the Plan). Only members of the research team who have signed a confidentiality pledge will have access to these data.

Tracing: All information collected during tracing will be kept confidential and protected. Updated contact information from standard databases will be stored on confidential, protected drives. Tracing results will be exchanged between Urban and SSRS through secure electronic means, detailed below under "Data Security."

Survey Administration: Survey data will only be collected for individuals who actively give oral consent. The consent procedure will communicate benefits and risks in participating. Moreover, survey interviewers will be trained to avoid coercion. The data derived from the surveys will be used to produce statistical summaries for research purposes. Individual-level data will not be included in memos that are produced. At the end of the project, we will be sharing the micro data with the government, but it will be stripped of personal identifiers and a disclosure assessment will be conducted to ensure that individuals cannot be re-identified.

Although unique identifiers will need to be maintained **during the tracing activities**, these will be stripped after survey responses are collected. A unique identification number will be created for each case to track individuals for analytic purposes. The identifiers and analytic file will be kept separate, and both will be secured in confidential electronic folders where access will be limited to project researchers.

Data Archiving: The dataset prepared for data archiving is completely stripped of identifiers, and the bulk of our work on this component is in service of protection of participants. The disclosure analysis that we conduct is a careful and rigorous process by which we consider item by item what items – individually and in combination -- could potentially lead to disclosure of an individual's identity. We make significant deletions and changes to variables (e.g., masking such as reporting region of residence instead of city or county or state) to avoid the potential identification of individuals through triangulation between different demographic variable values.

POTENTIAL PARTICIPANT RISKS

We consider that there is minor, acceptable risk of participant disclosure in this research given the safeguards we have adopted. Below we discuss the risks for each component of the study.

Administrative Data: The potential risks are related to inadvertent disclosure to unauthorized users, especially given the current political and social climate. We are confident that the data security procedures described here will reduce the risk to acceptably low levels. Given that these data will include PII including address and phone number, it is critical that we provide them high security. Note that PII will not be linked to survey responses collected in later survey data collection activities.

Tracing: The potential risks are related to inadvertent disclosure to unauthorized users. SSRS has conducted these tracing procedures on two previous rounds of this survey, and we are confident that our data security procedures ensure that there is very low risk of disclosure. Also, note that there is no contractual obligation to provide contact information on the refugees to the government and we will not do so.

Survey Collection: Similar to the administrative data collection, the risk to survey participants involves disclosure to unauthorized individuals. Survey responses will never be stored with personal identifiers and all data will be protected securely according to the Data Security Plan. The information provided in reports will be reported in the aggregate and no individually identifiable data will be included in any memos or analyses. When we do share the microdata with the government at the end of the project, it will be stripped of personal identifiers and a disclosure assessment will be conducted to ensure that individuals cannot be re-identified.

Data Archiving: As described above, our work modifying the dataset for public archiving is designed to further reduce risks of participant disclosure given its availability to researchers through the University of Michigan data repository, ICPSR. There is no identifiable information included in the public use dataset, and we eliminate all potential data fields that might, taken together, potentially identify an individual. We are confident that there is minimal risk of disclosure.

The benefits associated with this study include the development and/or improvement of policy and programs that help refugees better and more quickly integrate into US society. This study also fulfills the mandate by Congress that the Office of Refugee Resettlement report to Congress annually on the integration experience of refugees in the US.

The ASR dataset provides the *only* nationally representative survey of recently arrived refugees in the U.S. As such, it provides a valuable resource for policymakers and researchers who are interested in understanding the experiences and needs of refugees and their socioeconomic conditions. National data sources other than the ASR do not exist; most existing research actually relies on the imperfect solution of imputing refugee status to immigrants captured in census records.

There are no direct benefits to individual participants in the research.

Data Security

For this project, all data, both electronic and physical, will be secured and kept confidential. See Table 1 below for an overview.

Table 1. Overview of data types and research team roles in collecting, storing, and transmitting data

Type of Data	Brief description	Urban Role	SSRS and Ronin Role
RADS administrative data			
Electronic	Administrative microdata data collected by ORR documenting all refugee arrivals 2013-2017	Urban will receive data from ORR/HHS via the HHS SFTP and store on the encrypted drive. Urban will conduct contractually required validation quality checks on the administrative data.	SSRS will receive data from Urban via the Urban SFTP and store on the SSRS encrypted drive. They will run analyses and will draw the sample for survey activities based on final sampling design. The RADS data will be destroyed after the conclusion of the project per the data destruction protocol in this IRB package.
Tracing and contact information data			
Electronic	Updated contact information for sampled refugees collected through standard tracing database, and provided by individual respondents through email or telephone lines.	Urban Institute (the prime) and its subcontractor SSRS have no contractual obligation to provide the updated contact information to the government and will **not** do so.	SSRS will collect standard tracing data on individuals in the sample, link it to the sample administrative data, and store on the SSRS encrypted drive. SSRS will also receive email updates and telephone calls to the call-in lines from individuals who received the letter of introduction. They will record updated contact information on the SSRS encrypted drive, and store/destroy data following detailed specifications. SSRS will NOT provide the contact information to either the government or to Urban Institute. The data will be destroyed per the data destruction protocol in this document.

Physical	Contact information updates shared through sealed envelopes delivered via postal mail.	If Urban receives any updates by mail, Urban will share new updated contact information with SSRS via the Urban SFTP. Urban will store/destroy physical data following the data protection protocols in this IRB package.	SSRS will receive contact information updates sent back through sealed, pre-addressed envelopes sent to sampled refugees. SSRS will record updated contact information on the SSRS encrypted drive, link it to the sample administrative data, and store/destroy physical data following the data destruction protocol in this IRB package.
Survey data			
Electronic	Survey responses collected through CATI system by telephone.	Urban will receive only de-identified survey responses from SSRS after surveys are complete and data files are ready for analysis. These survey responses will be stored on the confidential drive. Urban will transmit deidentified survey data to HHS/ORR via the Urban SFTP. Urban will transmit the further redacted public use dataset to ICPSR through ICPSR's SFTP. We will use the *OpenICPSR* archive to archive the ASR public use data set. This allows full control of the data to be with the submitter (not ICPSR). Urban will store/destroy physical data following the data protection protocols in this IRB package.	Ronin will field the telephone surveys and collect survey data, storing responses on SSRS encrypted drive. SSRS will clean and prepare the data and maintain it on the SSRS encrypted drive. SSRS will transmit deidentified survey files to Urban via the Urban SFTP. SSRS will store/destroy data following the data protection protocols in this IRB package.
The content of this row has been deleted per IRB guidance			

DATA TRANSFER

All electronic data (RADS administrative data, tracing information, and survey responses) will be transmitted through an on-premise secure FTP (SFTP) server, with one exception: HHS will transmit RADS administrative data to Urban through an HHS SFTP. (Subsequent transfers between Urban, HHS, and SSRS (including Survey Response data received from SSRS) will be transmitted through the Urban Institute SFTP.) All data will be encrypted at rest and during transmission in accordance with Federal Information Processing Standard (FIPS) 140-2 standards.

Urban Institute's SFTP server is behind the Urban Institute firewall. Data transmitted securely there are then transferred to encrypted disk space and the transmitted files are deleted from the FTP site. On disk, confidential files will be physically segregated from non-confidential files with a separate backup procedure for the confidential study data. Backups of confidential data are made to secure, encrypted back disk space, not to tape or other media.

All transferred data files will be password protected and at no time will the password and data be transferred at the same time. Password protection will be implemented through compressing the file, encrypting the data, or both. Passwords will be transmitted by phone.

We describe the considerations for each research component below:

Administrative Data: Urban will receive RADS administrative data from HHS/ORR and transmit it to SSRS via the Urban SFTP, to which all data will be transmitted securely in accordance with FIPS 140-2 standards.

Tracing: SSRS will collect updated contact information through standard tracing databases and through collection and recording of updates provided through contact information letters, emails, and phone calls. That information will not be transmitted outside of SSRS. Urban *may* receive some contact updates by mail; if that takes place, Urban will share information with SSRS via the Urban SFTP.

Survey Administration: SSRS will implement the survey and collect survey responses, stored on its own server. It will ensure that data collected by interviewers at Ronin is collected, encrypted at rest when stored, and transmitted securely in accordance with FIPS 140-2 standards, to the Urban SFTP. Interviewers at Ronin will input survey responses directly into a secure SSRS system. If in some cases interviewers must use hard copy instruments, they will communicate the results by phone to an SSRS staff member and destroy the hard copy instrument immediately. If in some cases a hard copy instrument needs to be transmitted, it will be transmitted via courier or via secure mail (such as FedEx or other tracked mail) and a signature of a designated party will be required on receipt.

SSRS will de-identify the survey responses (names, birth dates, alien number, etc.) and assign a system generated ID number for each survey record. SSRS will maintain a separate document (the Master Identification File) that links ID number to personally identified information (PII), which will be stored separately from the survey data. No single data transfer will include both survey data and the Master Identification File (the data linking file). Any hard copy data transfers that include confidential data will be sent via courier or via secure mail (such as FedEx or other tracked mail) and a signature of a designated party will be required on receipt.

SSRS will share de-identified survey responses with Urban via the Urban SFTP.

Data Archiving: The data that we transmit to ICPSR will have undergone a thorough deidentification process. The data that we transmit – via their SFTP – is safe for public consumption. We will use the OpenICPSR archive which leaves full control of the data (including the ability to retract access) exclusively to the data submitter.

DATA STORAGE

When stored, all project files containing confidential data will be encrypted at rest, in accordance with FIPS 140-2 standards. Project staff at Urban and SSRS who must store confidential data on their local hard drives will have PGP full disk encryption, which provides FIPS 140-2 level validated protection.

Any physical data records (CDs, data sticks, hard copy survey instruments by Ronin) containing confidential study data will be stored in a locked file cabinet in the office of key responsible Urban Institute or SSRS project staff (Hamutal Bernstein and Susan Sherr, respectively) until destruction (detailed in "Destruction of Data" below). All storage media containing confidential data will be labeled accordingly.

If SSRS does have to receive hard copy instruments from Ronin, SSRS will store these materials in a locked cabinet except while coding and keypunching is taking place. Once the data are keypunched, the documents remain in the locked cabinet for 90 days until they are shredded or for as long as is requested by the survey sponsor. From that point forward, data are handled electronically.

DESTRUCTION OF DATA

SSRS and Urban will destroy all survey-related data -- including the RADS data files -- within six months of the end of the contract. Any other physical data such as CDs or data sticks will be destroyed 90 days after

the end of the contract. The sole exception is the ASR public use data file (since it is accessible to anyone through ICPSR).

STAFF CONFIDENTIALITY

All project staff (Urban, SSRS, and SSRS partners) will sign the Urban Institute's staff confidentiality pledge agreeing to follow the Urban Institute's guidelines for data security as well as the guidelines and standards set forth in this document. Hamutal Bernstein and Susan Sherr will maintain files containing copies of all of the signed confidentiality pledges and nondisclosure agreements. These forms are provided – with versions for Urban staff and for non-Urban staff - in Appendices 1, 2, 3, and 4**.**

No project staff will be allowed to print out or display confidential data elements. However, on a need-to-know basis designated research staff may be able to access all the information on study participants. Access to the confidential data on site at Urban will be restricted to Urban system administrators and key research team staff.

Appendices

1. Urban Staff Confidentiality Pledge
2. Non-Urban Staff Confidentiality Pledge
3. Urban Staff Nondisclosure Agreement
4. Non-Urban Staff Nondisclosure Agreement
5. RADS Variable List
6. Letter of Introduction
7. 2018 ASR Questionnaire
8. 2018 ASR Announcement
9. Data Security and Monitoring Plan

Appendix 1

**2018 Annual Survey of Refugees
Urban Staff Confidentiality Pledge**

CONFIDENTIALITY PLEDGE
Annual Survey of Refugees
2018

The Urban Institute assures all respondents and participating organizations that the information they release to this study will be held in the strictest confidence by the contracting organizations and that no information obtained in the course of this study will be disclosed in such a way that individuals or organizations are identifiable. Access to the data in this study is by consent of the respondents who have been assured confidentiality. This assurance of confidentiality does not prevent researchers from voluntarily disclosing information as may be required by law or if they have good reason to believe a subject intends self-harm or harm to others.

The undersigned hereby confirm the following:

> "I have read a copy of the "Confidential Data at the Urban Institute – Guidelines for Data Security," which has been provided for me with this Confidentiality Pledge. I understand that I must comply with all of data security requirements adapted from those Guidelines for this project as approved by the Urban Institute Institutional Review Board. I understand that I am prohibited from disclosing any such confidential information which has been obtained under the terms of this contract/grant to anyone other than authorized contractor staff. **I will report any suspected breach of confidentiality/data security immediately to the CIO and Data Security Officer at security@urban.org as well as the IRB at irb@urban.org**. I understand that any willful and knowing disclosure of information released to this study may subject an employee of The Urban Institute or contractor to disciplinary action, up to and including termination of employment or contract as may be appropriate."

_____

(Print Your Name)　　　　　(Signature)　　　(Date)

_____

(Witness Print Name)　　　　(Signature)　　　(Date)

The Urban Institute 2100 M Street, NW Washington, DC 20037

Appendix 2

**2018 Annual Survey of Refugees
Non-Urban Staff Confidentiality Pledge**

CONFIDENTIALITY PLEDGE
Annual Survey of Refugees
2018

The Urban Institute assures all respondents and participating organizations that the information they release to this study will be held in the strictest confidence by the contracting organizations and that no information obtained in the course of this study will be disclosed in such a way that individuals or organizations are identifiable. Access to the data in this study is by consent of the respondents who have been assured confidentiality. This assurance of confidentiality does not prevent researchers from voluntarily disclosing information as may be required by law or if they have good reason to believe a subject intends self-harm or harm to others.

The undersigned hereby confirm the following:

> "I have read a copy of the "Confidential Data at the Urban Institute – Guidelines for Data Security," which has been provided for me with this Confidentiality Pledge. I understand that I must comply with all of data security requirements adapted from those Guidelines for this project as approved by the Urban Institute Institutional Review Board. I understand that I am prohibited from disclosing any such confidential information which has been obtained under the terms of this contract/grant to anyone other than authorized contractor staff. **I will report any suspected breach of confidentiality/data security immediately to the CIO and Data Security Officer at security@urban.org as well as the IRB at irb@urban.org and the study Principal Investigators at hbernstein@urban.org and rsantos@urban.org**. I understand that any willful and knowing disclosure of information released to this study may subject an employee of The Urban Institute or contractor to disciplinary action, up to and including termination of employment or contract as may be appropriate."

_____

(Print Your Name)   (Signature)   (Date)

_____

(Witness Print Name)   (Signature)   (Date)

The Urban Institute 2100 M Street, NW Washington, DC 20037

Appendix 3

2018 Annual Survey of Refugees
Urban Staff Nondisclosure Agreement

Contractor Non-Disclosure Agreement

Sensitive Information, Non-public Information, Confidential Information, Controlled Unclassified Information

Access to sensitive information (such as personally identifiable information [PII]), non-public information, confidential information, and/or Controlled Unclassified Information (CUI) from the files of the Department of Health and Human Services (HHS) is required in the performance of my official duties, under contract number **HHSP233201500064I** between HHS/Office of Refugee Resettlement and my employer, The Urban Institute. I agree that I shall not release, publish, or disclose such information to unauthorized personnel, and I shall protect such information in accordance with relevant federal laws, regulations, and guidelines.

I affirm that I have received a written and/or verbal briefing by my company concerning my responsibilities under this agreement. I understand that violation of this agreement may subject me to criminal and civil penalties.

Signed: _____

Type or Print Name: _____

Date: _____

Witnessed by: _____

Date: _____

Copies are to be retained by: HHS Contracting Officer's Representative
Contractor's Contract Management
Individual Signatory

Appendix 4

2018 Annual Survey of Refugees
Non-Urban Staff Nondisclosure Agreement

Contractor Non-Disclosure Agreement

Sensitive Information, Non-public Information, Confidential Information, Controlled Unclassified Information

Access to sensitive information (such as personally identifiable information [PII]), non-public information, confidential information, and/or Controlled Unclassified Information (CUI) from the files of the Department of Health and Human Services (HHS) is required in the performance of my official duties, under contract number **HHSP233201500064I** between HHS/Office of Refugee Resettlement and The Urban Institute. I agree that I shall not release, publish, or disclose such information to unauthorized personnel, and I shall protect such information in accordance with relevant federal laws, regulations, and guidelines.

I affirm that I have received a written and/or verbal briefing by my company concerning my responsibilities under this agreement. I understand that violation of this agreement may subject me to criminal and civil penalties.

Signed: _____

Type or Print Name: _____

Date: _____

Witnessed by: _____

Date: _____

Copies are to be retained by: HHS Contracting Officer's Representative
                                         Contractor's Contract Management
                                         Individual Signatory

Appendix 5

2018 Annual Survey of Refugees
RADS Variable List

Variables	Variable Labels
affiliate_code	AFFILIATE_CODE
affiliate_desc	AFFILIATE_DESC
age_at_arrival	AGE_AT_ARRIVAL
airport_code	AIRPORT_CODE
alien_no	ALIEN_NO
ap_no	AP_NO
arrival_date	ARRIVAL_DATE
birth_country_name	BIRTH_COUNTRY_NAME
birth_date	BIRTH_DATE
birth_date_estimated	BIRTH_DATE_ESTIMATED
case_guid	CASE_GUID
case_no	CASE_NO
case_priority_code	CASE_PRIORITY_CODE
citizenship_country_name	CITIZENSHIP_COUNTRY_NAME
city_name	CITY_NAME
country_of_origin_name	COUNTRY_OF_ORIGIN_NAME
county_name	COUNTY_NAME
edu_desc	EDU_DESC
employed_code	EMPLOYED_CODE
english_reading_desc	ENGLISH_READING_DESC
english_speaking_desc	ENGLISH_SPEAKING_DESC
english_writing_desc	ENGLISH_WRITING_DESC
ethnicity_desc	ETHNICITY_DESC
family_relationship_desc	FAMILY_RELATIONSHIP_DESC
family_size_no	FAMILY_SIZE_NO
fiscal_year	FISCAL_YEAR
full_name	FULL_NAME
gender_code	GENDER_CODE
ind_guid	IND_GUID
individual_status_code	INDIVIDUAL_STATUS_CODE
ins_approval_date	INS_APPROVAL_DATE
iom_sequence_no	IOM_SEQUENCE_NO
language_code	LANGUAGE_CODE
maiden_name	MAIDEN_NAME
minors_desc	MINORS_DESC
nationality_desc	NATIONALITY_DESC
native_reading_code	NATIVE_READING_CODE
native_speaking_code	NATIVE_SPEAKING_CODE
native_writing_code	NATIVE_WRITING_CODE
occupation_desc	OCCUPATION_DESC
orig_country_of_origin_name	ORIG_COUNTRY_OF_ORIGIN_NAME
orris_ind_status_code	ORRIS_IND_STATUS_CODE
port_of_entry	PORT_OF_ENTRY
pro_flight_number	PRO_FLIGHT_NUMBER
refugee_class_code	REFUGEE_CLASS_CODE
religion_desc	RELIGION_DESC

state_code	STATE_CODE
voluntary_agency_desc	VOLUNTARY_AGENCY_DESC
wraps_assur_date	WRAPS_ASSUR_DATE
wraps_departure_date	WRAPS_DEPARTURE_DATE
wraps_received_date	WRAPS_RECEIVED_DATE
zip_code	ZIP_CODE
c_emp_supp_flag	C_EMP_SUPP_FLAG
c_match_grant_supp_flag	C_MATCH_GRANT_SUPP_FLAG
c_mig_to_city	C_MIG_TO_CITY
c_mig_to_state	C_MIG_TO_STATE
c_non_govt_supp_flag	C_NON_GOVT_SUPP_FLAG
c_other_govt_supp_flag	C_OTHER_GOVT_SUPP_FLAG
c_out_mig_flag	C_OUT_MIG_FLAG
c_phone1	C_PHONE1
c_phone2	C_PHONE2
c_rca_supp_flag	C_RCA_SUPP_FLAG
c_rel_personal_asset_flag	C_REL_PERSONAL_ASSET_FLAG
c_self_sufficient_flag	C_SELF_SUFFICIENT_FLAG
c_ssi_supp_flag	C_SSI_SUPP_FLAG
c_tanf_supp_flag	C_TANF_SUPP_FLAG
i_city_name	I_CITY_NAME
i_county_name	I_COUNTY_NAME
i_emp_program_flag	I_EMP_PROGRAM_FLAG
i_employed_flag	I_EMPLOYED_FLAG
i_esl_flag	I_ESL_FLAG
i_exempt_flag	I_EXEMPT_FLAG
i_exempt_reason	I_EXEMPT_REASON
i_full_address	I_FULL_ADDRESS
i_mig_to_city	I_MIG_TO_CITY
i_mig_to_state_code	I_MIG_TO_STATE_CODE
i_out_mig_flag	I_OUT_MIG_FLAG
i_phone_num_1	I_PHONE_NUM_1
i_phone_num_2	I_PHONE_NUM_2
i_state_code	I_STATE_CODE
i_student_flag	I_STUDENT_FLAG
i_zip_code	I_ZIP_CODE

Appendix 6

**2018 Annual Survey of Refugees
Letter of Introduction**

Dear Mr./Ms. ----------,

Refugees like you are forced to flee your homelands to bravely begin new lives in American society. Once you are in the United States, it is important that you be supported in your journey to adapt to a new community and society. To better help you and others like yourself, we need to understand what you are experiencing as you adjust to life in the U.S.

You have been selected to participate in a voluntary study about how refugees adapt to life in the United States. It is funded by the U.S. Office of Refugee Resettlement and being conducted by the Urban Institute and a research organization called SSRS. You will continue to receive social services and benefits regardless of your decision to participate in the study.

A trained interviewer from SSRS will be calling you in a few weeks to conduct a telephone survey in [primary language], English or another language in which you may be more comfortable, such as [language2 or language3]. The interviewer will ask some questions about your education and work and any help you are getting from the government. The interview will take up to 30 minutes, but it may be shorter. Afterwards, we will send you a $25 gift card as a token of appreciation for participating.

In order to be able to reach you, we want to make sure we have your correct telephone number. This is the number that we have on file: xxx-xxx-xxxx.

If this is no longer correct or you prefer us to contact you at another number, please let us know. You can:

- Send back the enclosed contact card with your correct information using the postage-paid envelope
- Email us at Annualsurvey@urbansurveys.org, OR
- Call us at [xxx-xxx-xxxx]. Someone who speaks [primary language] will answer the phone. At that point, you can either provide a number where you can be reached at a convenient time to take the survey, or just do the survey while you are on the phone.

> If you would be more comfortable speaking with someone in [language2], call xxx-xxx-xxxx or for [language3], call xxx-xxx-xxxx.

Thank you for considering participating in this important study. Sharing your perspective will help us understand what refugees like you are going through. The results will help inform how the refugee resettlement system can be improved.

Sincerely,

Hamutal Bernstein and Robert Santos, Co-Principal Investigators
Urban Institute

Appendix 7

2018 Annual Survey of Refugees Questionnaire

2018 ASR Questionnaire (English)

ANNUAL SURVEY OF REFUGEES

S1. Hi. I'm _____ calling <mark>from SSRS</mark> on behalf of the Office of Refugee Resettlement, how are you today? We are doing a study about refugees' adjustment to life in the United States.
Is this (INSERT NAME FROM SAMPLE)?

(INTERVIEWER: If respondent not on phone, ask "May I speak with him/her?". Repeat intro if respondent comes to phone.)

     1   Respondent is on the phone   [CONTINUE TO S2]
     2   Respondent is not available right now   [SET UP CALLBACK]
     3   Respondent no longer lives here   [CONTINUE TO S1a]
     9   (DO NOT READ) Refused   [THANK & TERM]

(ASK IF S1=3)
   S1a. Do you have a phone number where I can reach (INSERT NAME FROM SAMPLE)?

            _____ [Enter new telephone number]
     9   (DO NOT READ) Don't know/Refused

(ASK IF S1=1)
   S2. Great! Hopefully you recently received a letter from us telling you about the survey. And just to confirm, did you enter the US since 2012 as a refugee?

     1   Respondent is a refugee   [CONTINUE TO S3]
     2   Respondent not a refugee   [THANK & TERM]
     9   (DO NOT READ) Don't know/Refused   [THANK & TERM]

(ASK IF S2=1)
   S3.   Ok, thank you. And to confirm, is your date of birth (INSERT DATE OF BIRTH FROM SAMPLE).

1	Confirmed date of birth	[CONTINUE TO INTRO]
2	Confirmed year but not month	[CONTINUE TO INTRO]
3	Confirmed month but not year	[CONTINUE TO S3a]
4	Incorrect month and year	[CONTINUE TO S3b]
9	(DO NOT READ) Refused	[INTERVIEWER: IF RESPONDENT REFUSES ONCE, READ PROBE FROM Q*Q. IF STILL REFUSED CONTINUE WITH THE SURVEY]

(ASK IF S3=3)
   S3a. What is your age?

 INTERVIEWER: PLEASE ENTER AGE AS A 3 DIGIT CODE. FOR INSTANCE 003, 016,
   078..ETC.)

1	[ENTER AGE]	
9	(DO NOT READ) Refused	[INTERVIEWER: IF RESPONDENT REFUSES ONCE, READ PROBE FROM Q*Q. IF STILL REFUSED CONTINUE WITH THE SURVEY]

[PN: IF AGE GIVEN AT S3a IS WITHIN 5 YEARS OF SAMPLE AGE, CONTINUE TO INTRO. IF OUTSIDE OF 5 YEARS, ASK S3b.]
[PN: TO CALCULATE SAMPLE AGE, USE: (Current date – Arrival date) + Age at arrival]

(ASK IF S3=4 OR S3a= MORE THAN 5 YEARS FROM SAMPLE AGE)
   S3b. What year did you arrive in the U.S.?

      (DO NOT READ: Year of arrival: [INSERT YEAR OF ARRIVAL FROM SAMPLE])

1	Confirmed year of arrival	[CONTINUE TO INTRO]
2	Unable to confirm year of arrival	[THANK & TERM]
9	(DO NOT READ) Refused	[INTERVIEWER: IF RESPONDENT REFUSES ONCE, READ PROBE FROM Q*Q. IF STILL REFUSED CONTINUE WITH THE SURVEY]

IF S3A<18 OR 'AGE' FROM SAMPLE <18 PLEASE ASK MINOR
MINOR. May I speak with your parent or guardian?

1	Yes	
2	No	[END INTERVIEW AND DISPO AS INITIAL REFUSAL]
3	Parent/Guardian is not available right now	[SET UP CALLBACK]
9	(DO NOT READ) Refused	[THANK & TERM]

IF MINOR=1
WHEN PARENT/GUARDIAN COME TO THE PHONE PLEASE READ

PARENT. Hi. I'm _____ calling on behalf of the Office of Refugee Resettlement, how are you today? We are doing a study about refugees' adjustment to life in the United States.

Can you answer questions on behalf of (INSERT NAME FORM SAMPLE)?

    1   Yes
    2   No   [DISPO AS INITIAL REFUSAL]
    9   (DO NOT READ) Refused   [DISPO AS INITIAL REFUSAL]

CREATE 'PROXY' VARIABLE AND ASSIGN CODE 1 IF PARENT =1.

PROGRAMMER:IF PROXY =1 PLEASE DISPAY 'PROXY' ON THE TOP OF THE SCREEN

INTERVIWER: PLEASE EDIT INTRODUCTION TEXT AS NECESSARY

INTRO.   We would like you to be in a voluntary study about how refugees adapt to life in the U.S. It is funded by the Office of Refugee Resettlement and being conducted by two research organizations, the Urban Institute and SSRS.

We would like to ask some questions about your education and work and any help you are getting from the government. It takes up to 30 minutes, but it's sometimes shorter and we will send you a $25 gift card to thank you for participating.

Before we start we just need to tell you a few things. You don't have to answer any questions you don't want to answer and you can stop the interview at any time. The answers you give will be confidential and will not have your name on them. Federal law keeps your answers private. You will continue to receive social services and benefits regardless of your decision to participate in the study.

Your responses will be combined with others and used in a report to the U.S. Congress. The data without your name will be stored for future research.

Finally, there is little risk associated with your participation because of the care we are taking to keep your name and your answers safe. There are no direct benefits to you, but you will be helping the Office of Refugee Resettlement understand what refugees like you are going through.

Do you have any questions about the study or the interview?

(READ TO ALL)
We would like to start by asking you a few questions about each person who lives here, or who is staying or visiting here and has no other home.

(ASK ALL)
 [PN: ALLOW UP TO 5 NAMES TO BE ENTERED. NAMES WILL BE PIPED IN FOR SUBSEQUENT QUESTIONS.]

Q1a. Let's start with you. <Auto-fill name of Respondent> Not counting you, tell me the names of each person who lives there starting with the oldest person.

(INTERVIEWER: If respondent does not want to provide names of household members, tell them we are only using the name to refer to the correct person in later questions. IF RESPONDENT STILL RELUCTANT TO PROVIDE NAMES: Just a first name or initials are fine.)

(PROBE: ARE THERE OTHER PERSONS WHO USUALLY LIVE HERE BUT ARE TEMPORARILY ABSENT?)

1   [AUTO-POPULATE RESPONDENT NAME]
2   [RECORD HH MEMBER #2 IF APPLICABLE] [PN: PERSON B FOR PIPE-INS]
3   [RECORD HH MEMBER #3 IF APPLICABLE] [PN: PERSON C FOR PIPE-INS]
4   [RECORD HH MEMBER #4 IF APPLICABLE] [PN: PERSON D FOR PIPE-INS]
5   [RECORD HH MEMBER #5 IF APPLICABLE] [PN: PERSON E FOR PIPE-INS]

[PN: ASK Q1b through Q1k for each HH member named in Q1a.]

(ASK ALL)
[PN: AUTO POPULATE RESPONDENT (Q1BA) WITH CODE 01]
　　Q1b(a-e). What is (INSERT NAME)'s relationship to you?

　　　　(DO NOT READ LIST)

　　　　01　Self [DO NOT SHOW – AUTO-POPULATE FOR Q1ba]
　　　　02　Spouse (wife/husband)
　　　　03　Unmarried partner / significant other
　　　　04　Child / stepchild / foster child / ward
　　　　05　Parent / Stepparent / foster parent / guardian
　　　　06　Sibling / Stepsister / Stepbrother
　　　　07　Grandparent / Step-grandparent
　　　　08　Grandchild / Step-grandchild
　　　　09　Son-in-law / Daughter-in-law
　　　　10　Father-in-law / Mother-in-law
　　　　11　Other relative
　　　　12　Employer
　　　　13　Employee (maid, nanny, au pair, housekeeper, etc.)
　　　　14　Professional caregiver (nurse, aide, etc.)
　　　　15　Other non-relative
　　　　98　(DO NOT READ) Don't know
　　　　99　(DO NOT READ) Refused

(ASK ALL)
　　Q1d(a-e). What was (INSERT NAME)'s age at last birthday?

　　　　_____ [RANGE 1-110]
　　　　000 Less than one year
　　　　998 (DO NOT READ) Don't know
　　　　999 (DO NOT READ) Refused

(ASK ALL)
　　Q1e(a-e). What was (INSERT NAME)'s date of birth?

　　　　1　ENTER 2-DIGIT MONTH
　　　　2　ENTER 2-DIGIT DAY
　　　　3　ENTER 4-DIGIT YEAR
　　　　8　(DO NOT READ) Don't know
　　　　9　(DO NOT READ) Refused

(ASK IF Q1d = AGE 15 OR OLDER AND NOT ALREADY COMMUNICATED ABOVE IN
    RELATIONSHIP) IFQ1b=2 AUTOPOPULATE AS CODE 1
Q1c(a-e). What is (INSERT NAME)'s current marital status?

1   Now married (note: spouse need not live in household)
2   Divorced
3   Legally separated
4   Never married
5   Widowed
6   Other (SPECIFY) _____
8   (DO NOT READ) Don't know
9   (DO NOT READ) Refused

(ASK ALL)
Q1f(a-e). Is (INSERT NAME) male or female?

**[INTERVIEWER: CONFIRM BASED ON NAME; PROBE AS APPROPRIATE AND
EXPLAIN NEED FOR ASKING THIS QUESTION]**

1   Male
2   Female
8   (DO NOT READ) Don't know
9   (DO NOT READ) Refused

(ASK ALL)
[PN: AUTO-POPULATE FOR PERSONS B-E WITH RESPONDENT RESPONSE IF Q1gaa=1]
[PN: SAME SET UP AS Q7 IN Q1097]
    Q1g(a-e). What is (INSERT NAME)'s country of birth?

        01 Afghanistan
        02 Bhutan
        03 Burma
        04 Burundi
        05 Cuba
        06 Democratic Republic of the Congo
        07 Eritrea
        08 Ethiopia
        09 Iran
        10 Iraq
        11 Jordan
        12 Kenya
        13 Malaysia
        14 Nepal
        15 Rwanda
        16 Somalia
        17 Sudan
        18 Syria
        19 Tanzania
        20 Thailand
        21 Uganda
        22 Ukraine
        23 Other (SPECIFY) _____
        98 (DO NOT READ) Don't know
        99 (DO NOT READ) Refused

(ASK OF RESPONDENT ONLY AND IF Q1g(a)=1-23)
[PN: IF Q1gaa=1 DO NOT ASK Q1g(B-E). IF Q1gaa=2-99 ASK Q1g(B-E) FOR REMAINING HH MEMBERS]
[PN: SAME SET UP AS Q7A IN Q1097]
    Q1gaa.   Were all members of this household born in [INSERT COUNTRY NAME] or were
        any members born in another country?

        (INTERVIEWER: IF YES, DO NOT ASK ABOUT OTHER HH MEMBERS)

        1   Yes
        2   No
        98 (DO NOT READ) Don't know
        99 (DO NOT READ) Refused

(ASK ALL)
[PN: AUTO-POPULATE FOR PERSONS B-E WITH RESPONDENT RESPONSE IF Q1haa=1]
[PN: SAME SET UP AS Q12 IN Q1097]
   Q1h(a-e). What is (INSERT NAME)'s country of citizenship?

            01   Afghanistan
            02   Bhutan
            03   Burma
            04   Burundi
            05   Cuba
            06   Democratic Republic of the Congo
            07   Eritrea
            08   Ethiopia
            09   Iran
            10   Iraq
            11   Jordan
            12   Kenya
            13   Malaysia
            14   Nepal
            15   Rwanda
            16   Somalia
            17   Sudan
            18   Syria
            19   Tanzania
            20   Thailand
            21   Uganda
            22   Ukraine
            23   Other (SPECIFY) _____
            98   (DO NOT READ) Don't know
            99   (DO NOT READ) Refused

(ASK OF RESPONDENT ONLY AND IF Q1h(a)=1-23)
[PN: IF Q1haa=1 DO NOT ASK Q1h(B-E). IF Q1haa=2-99 ASK Q1h(B-E) FOR REMAINING HH MEMBERS]
[PN: SAME SET UP AS Q12A IN Q1097]
   Q1haa.   Do all members of this household have citizenship from [INSERT CITIZENSHIP COUNTRY NAME] or do some members have a different citizenship?

                 (INTERVIEWER: IF YES, DO NOT ASK ABOUT OTHER HH MEMBERS)

            1   Yes
            2   No
            98   (DO NOT READ) Don't know
            99   (DO NOT READ) Refused

(ASK ALL)
[PN: AUTO-POPULATE FOR PERSONS B-E WITH RESPONDENT RESPONSE IF Q1iaa=1]
[PN: SAME SET UP AS Q13 IN Q1097]
[PN: SHOW CODES 37, 98, 99 FOR ALL]
[PN: IF Q1g=01 SHOW CODES 16, 27, 32;
        IF Q1g=02 OR Q1g=14 SHOW CODE 24;
        IF Q1g=03 SHOW CODES 09, 20, 21;
        IF Q1g=04 SHOW CODES 05, 17, 34;
        IF Q1g=05 SHOW CODE 10;
        IF Q1g=06 SHOW CODES 05, 06, 34;
        IF Q1g=07 SHOW CODES 22, 30, 33;
        IF Q1g=08 SHOW CODES 11, 30, 33;
        IF Q1g=09 SHOW CODES 02, 12, 28;
        IF Q1g=10 SHOW CODES 01, 08, 31;
        IF Q1g=11 SHOW CODES 01, 08, 13;
        IF Q1g=12 SHOW CODES 04, 11, 15, 26;
        IF Q1g=13 SHOW CODES 09, 19, 29;
        IF Q1g=15 SHOW CODES 17, 34;
        IF Q1g=16 SHOW CODES 03, 11, 15;
        IF Q1g=17 SHOW CODES 13, 25, 36;
        IF Q1g=18 SHOW CODES 01, 08, 23;
        IF Q1g=19 SHOW CODES 06, 17;
        IF Q1g=20 SHOW CODES 07, 20, 21;
        IF Q1g=21 SHOW CODES 03, 11, 15, 17, 34;
        IF Q1g=22 SHOW CODES 14, 18, 35;
        IF Q1g=23,98,99 ONLY SHOW 37, 98, 99]
   Q1i(a-e). What is (INSERT NAME)'s ethnic origin?

            01   Arab
            02   Armenian
            03   Asharaf
            04   Bantu
            05   Banyamulenge, Banyamulengue
            06   Bembe, Bemba, Mbembe
            07   Burmese

08　Chaldean
09　Chin
10　Cuban
11　Darod
12　Fars
13　Fur
14　Great Russian
15　Hawiye
16　Hazara
17　Hutu
18　Jewish
19　Kachin
20　Karen
21　Karen Ni (Kayar)
22　Kunama
23　Kurd
24　Lhotsampa
25　Massalit
26　Oromo
27　Pashtoon
28　Persian
29　Rohingya
30　Saho
31　Siryac
32　Tajik
33　Tigrinya
34　Tutsi
35　Ukrainian
36　Zagawa
37　Other (SPECIFY) _____
98　(DO NOT READ) Don't know
99　(DO NOT READ) Refused

(ASK OF RESPONDENT ONLY AND IF Q1i(a)=1-37)
[PN: IF Q1iaa=1 DO NOT ASK Q1i(B-E). IF Q1iaa=2-99 ASK Q1i(B-E) FOR REMAINING HH MEMBERS]
[PN: SAME SET UP AS Q13A IN Q1097]
    Q1iaa.   Are all members of this household of [INSERT ETHNIC ORIGIN FROM Q1h(a)] origin, or do some members have a different ethnic origin?

        (INTERVIEWER: IF YES, DO NOT ASK ABOUT OTHER HH MEMBERS)

        1   Yes
        2   No
        98 (DO NOT READ) Don't know
        99 (DO NOT READ) Refused

(ASK ALL)
    Q1j(a-e). What month and year did (INSERT NAME) enter the U.S. to stay?

        1   [ENTER 2-DIGIT MONTH]
        2   [ENTER 4-DIGIT YEAR]
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(ASK ALL)
[PN: AUTO-POPULATE FOR PERSONS B-E WITH RESPONDENT RESPONSE IF Q1kaa=1]
[PN: SAME SET UP AS Q11 IN Q1097]
    Q1k(a-e). In what State did (INSERT NAME) originally resettle?

        [PN: SHOW STATE LIST]
        98 (DO NOT READ) Don't know
        99 (DO NOT READ) Refused

(ASK OF ALL EXCEPT RESPONDENT. RESPONDENT DOES NOT GET THIS QUESTION
    Q1l(a-e). Is (INSERT NAME) a refugee who has entered the U.S. between 2012 and 2016?

        (INTERVIEWER: The primary concern with this question is determining the refugee status of the household member in question.)

        1   No
        2   Yes
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(READ TO ALL)
Now I want to ask some questions only of persons in your household who are 16 years old or older and entered the U.S. as refugees between 2012 and 2016.

[PN: ASK Q2A THROUGH Q29D ONLY FOR HOUSEHOLD MEMBERS 16 OR OLDER AND A REFUGEE (Q1d(a-e)=16-110 AND Q1I(a-e)=2). IF RESPONDENT AND Q1D IS DK/REF BUT PERSON IS A REFUGEE ASK Q2A-29]

RESPONDENT GETS ASKED Q2-29 IF q1D=16-110, DK, REF

(ASK ALL)
   *Q2a(a-e). How many years of schooling did (INSERT NAME) complete before coming to the U.S.?

            _____ (RANGE: 0-96)
            98   (DO NOT READ) Don't know
            99   (DO NOT READ) Refused

(ASK ALL)

    *Q2b(a-e). What was the highest degree or certificate that (INSERT NAME) obtained before coming to the U.S.?

        (DO NOT READ LIST)

        01   None
        02   Primary
        03   Training in refugee camp
        04   Technical school certification
        05   Secondary (or high school diploma)
        06   University degree (other than medical)
        07   Medical degree
        08   Other (SPECIFY) _____
        98   (DO NOT READ) Don't know
        99   (DO NOT READ) Refused

(ASK ALL)

    *Q3a(a-e). Before coming to the U.S., was (INSERT NAME):

        (INTERVIEWER: If in a refugee camp prior to the U.S., what type of employment did the person hold before that?)

        (READ LIST)

        01   Not employed
        02   Civil servant (civilian in local or national government)
        03   In the military
        04   Employee in private sector
        05   Self-employed
        06   Student
        07   Other (SPECIFY) _____
        98   (DO NOT READ) Don't know
        99   (DO NOT READ) Refused

(ASK IF Q3a=2-99)

    Q3b(a-e). What kind of work (activities) did (INSERT NAME) perform before coming to the U.S.? (e.g., lawyer, typist, farmer, teacher, electrician, student)*

        _____ (RECORD TYPE OF WORK)
        98   (DO NOT READ) Don't know
        99   (DO NOT READ) Refused

Q3c and Q3d DELETED FOR 2016

(ASK ALL)
   *Q4a(a-e). At the time of arrival in the U.S., how well did (INSERT NAME) speak English?

            1   Very well
            2   Well
            3   Not well
            4   Not at all
            8   (DO NOT READ) Don't know
            9   (DO NOT READ) Refused

(ASK ALL)
   Q4b(a-e). How well does (INSERT NAME) speak English now?

            1   Very well
            2   Well
            3   Not well
            4   Not at all
            8   (DO NOT READ) Don't know
            9   (DO NOT READ) Refused

Q4ba DELETED FOR 2016

(ASK ALL)
   *Q4c(a-e). Before coming to the U.S. did (INSERT NAME) have any English language
                instruction?

            1   No
            2   Yes
            8   (DO NOT READ) Don't know
            9   (DO NOT READ) Refused

Q4d DELETED FOR 2016

(ASK ALL)
    Q4e(a-e). Within the **past 12 months**, has (INSERT NAME) attended an English language
         training program?

        1   No
        2   Yes
        6   (DO NOT READ) High school student
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

Q4f, Q4g, Q4h DELETED FOR 2016

(ASK IF Q4e=2,8,9)
    Q4j(a-e). Is (INSERT NAME) currently enrolled in an English language training program?

        1   No
        2   Yes
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

Q4ja, Q4k DELETED FOR 2016

(ASK ALL)
    Q5a(a-e). Did (INSERT NAME) work at a job anytime **last week**?

        1   No
        2   Yes
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(ASK IF Q5a=2)
    Q5b(a-e). Did (INSERT NAME) work at more than one job **last week**?

        1   No
        2   Yes
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(ASK IF Q5b=2)
   Q5c(a-e). How many jobs did (INSERT NAME) work at **last week**?

   _____ (RANGE: 2-10)
   98   (DO NOT READ) Don't know
   99   (DO NOT READ) Refused

(ASK IF Q5a=2)
   Q6a(a-e). How many hours did (INSERT NAME) work at his/her primary job **last week**?

   (IF NECESSARY: Primary job means the job worked at for the greatest number of hours)

   _____ (RANGE: 0-96)
   98   (DO NOT READ) Don't know
   99   (DO NOT READ) Refused

(ASK IF Q5b=2)
   Q6b(a-e). How many hours did (INSERT NAME) work at all jobs **last week**?

   _____ (RANGE: 0-96)
   98   (DO NOT READ) Don't know
   99   (DO NOT READ) Refused
(ASK IF Q5a=2)
   Q7(a-e). How much money per hour did (INSERT NAME) receive at his/her primary job **last week**?

   _____ (RANGE: 0-96) PN: PLEASE ALLOW UPTO 2 DECIMALS
   98   (DO NOT READ) Don't know
   99   (DO NOT READ) Refused

(ASK IF Q7=98,99)
   Q8a(a-e). How much did (INSERT NAME) earn before taxes from that job?

   _____ (RANGE: 0-999,996)
   9999998   (DO NOT READ) Don't know
   9999999   (DO NOT READ) Refused

(ASK IF Q7=98,99)
  Q8b(a-e). On what basis is that amount computed?

        1   Weekly
        2   Bi-weekly
        3   Monthly
        4   Annually
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(PN: IF WORKED AT SECOND JOB LAST WEEK, GO TO Q.9)
(PN: IF WORKED ONLY ONE JOB LAST WEEK, SKIP TO Q.18a)

(ASK IF Q5b=2)
  Q9(a-e). How much money per hour did (INSERT NAME) receive from his/her second job
        last week?

        _____ (RANGE: 0-96) PN: PLEASE ALLOW UPTO 2 DECIMALS
        98   (DO NOT READ) Don't know
        99   (DO NOT READ) Refused

(ASK IF Q9=98,99)
  Q10a(a-e). How much did (INSERT NAME) earn before taxes from that job?

        _____ (RANGE: 0-999,996)
        9999998   (DO NOT READ) Don't know
        9999999   (DO NOT READ) Refused

(ASK IF Q9=98,99)
  Q10b(a-e). On what basis is that amount computed?

        1   Weekly
        2   Bi-weekly
        3   Monthly
        4   Annually
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(PN: IF ANSWERED Q.10b, SKIP TO Q.18a)

(ASK IF Q5a=1,8,9)
   Q11a(a-e). Has (INSERT NAME) ever worked since coming to the U.S. to stay?

        1   Never worked in the U.S.
        2   Yes
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(ASK IF Q11a=2)
   Q11aa(a-e).   How many weeks has it been since (INSERT NAME) had a job?

        _____ (RANGE: 0-96)
        98   (DO NOT READ) Don't know
        99   (DO NOT READ) Refused

Q11b DELETED FOR 2016

(ASK IF Q11a=2,8,9)
   Q12(a-e). Was (INSERT NAME) temporarily absent or on layoff from a job or business **last
             week?**

        1   Temporarily absent
        2   On layoff
        3   No, was not temporarily absent or on layoff
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(ASK IF Q5a=1,8,9)
   Q13(a-e). Has (INSERT NAME) been looking for work during the **last 4 weeks**?

   1   No
   2   Yes
   8   (DO NOT READ) Don't know
   9   (DO NOT READ) Refused

Q14, Q15, Q16 DELETED FOR 2016

(SKIP TO Q.18a IF WORKED <u>OR</u> SKIP TO Q.24a IF NEVER WORKED)

(ASK IF Q13=1,8,9)
[PN: ALLOW MULTIPLE RESPONSES – CODES 98-99 MUTUALLY EXCLUSIVE]
   Q17. Why is (INSERT NAME) not looking for a job?

   (INTERVIEWER: multiple answers may be given)

   DO NOT READ LIST. PROBE FOR MORE THAN ONE RESPONSE

   01   Limited English
   02   Attending school or training
   03   Poor health or handicap
   04   Child care or family responsibilities
   05   Believes no work is available
   06   Tried to find work but couldn't
   08   Age
   ~~07~~97 Other (SPECIFY) _____
   98   (DO NOT READ) Don't know
   99   (DO NOT READ) Refused

(SKIP TO Q.24a IF NEVER WORKED)

[FOR ALL PERSONS WHO HAD WORKED IN THE U.S. -- IF DID NOT WORK LAST WEEK, ASK ABOUT LAST JOB. GO TO Q.24a IF INDIVIDUAL NEVER WORKED IN THE U.S.]

(ASK IF Q5a=2 OR Q11a=2)
   Q18a(a-e). In the **last year**, how many weeks did (INSERT NAME) work?

   _____ (RANGE: 0-52)
   98   (DO NOT READ) Don't know
   99   (DO NOT READ) Refused

(ASK IF Q5a=2 OR Q11a=2)
   Q18b(a-e). How many hours per week did (INSERT NAME) usually work?

   _____ (RANGE: 0-96)
   98   (DO NOT READ) Don't know
   99   (DO NOT READ) Refused

(ASK IF Q5a=2 OR Q11a=2)
   Q18c(a-e). What were (INSERT NAME)'s total earnings before taxes from all jobs in the
         past 12 months?

   _____ (RANGE: 0-999,996)
   9999998   (DO NOT READ) Don't know
   9999999   (DO NOT READ) Refused

(ASK IF Q5a=2 OR Q11a=2)
   Q18d(a-e). When did (INSERT NAME) get his/her first job in the U.S.?

   01   [RECORD MONTH]
   02   [RECORD YEAR]
   98   (DO NOT READ) Don't know
   99   (DO NOT READ) Refused

(ASK IF Q5a=2 OR Q11a=2)
   Q18e(a-e). Did the income that (INSERT NAME) received from his/her first job disqualify
         (INSERT NAME) from receiving cash assistance (IF NECESSARY: such as
         RCA, TANF, or GA)?

   1   No
   2   Yes
   3   Was not receiving cash assistance at that time
   8   (DO NOT READ) Don't know
   9   (DO NOT READ) Refused

(ASK IF Q5a=2 OR Q11a=2)
    Q19b(a-e). What kind of business or industry is this?

        (IF NECESSARY: e.g., hospital, electronic parts manufacturing, social service agency)

        _____ (RECORD INDUSTRY)
        98 (DO NOT READ) Don't know
        99 (DO NOT READ) Refused

Q19c DELETED FOR 2016

(ASK IF Q5a=2 OR Q11a=2)
[PN: IF Q5a=2 INSERT "Is"]
[PN: IF Q11a=2 INSERT "Was"]
    Q20(a-e). (Is/Was) (INSERT NAME) a:

        01 Employee of a private company, business, or individual
        02 Federal government employee
        03 State government employee
        04 Local government employee
        05 Self-employed
        06 Working without pay in family business
        07 Other (SPECIFY) _____
        98 (DO NOT READ) Don't know
        99 (DO NOT READ) Refused

Q21, Q22a DELETED FOR 2016

(ASK ALL)
    Q24a(a-e). Within the **past 12 months**, has (INSERT NAME) attended any job training
           program?

        1 No
        2 Yes
        8 (DO NOT READ) Don't know
        9 (DO NOT READ) Refused

(ASK IF Q24a=2)
    Q24b(a-e). How many weeks did that training last?

         _____ (RANGE: 0-52)
         98   (DO NOT READ) Don't know
         99   (DO NOT READ) Refused

Q24c, Q24d, Q24e DELETED FOR 2016

(ASK ALL)
    Q25a(a-e). Within the **past 12 months**, has (INSERT NAME) attended school or university
           (IF NECESSARY: other than to take English language training or the job-training
           class indicated in the previous question)?

        1   No
        2   Yes
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(ASK IF Q25a=2)
    Q25b(a-e). Was (INSERT NAME) attending school or university in order to obtain a degree
          or certificate?

        1   No
        2   Yes
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(ASK IF Q25b=2)
    Q25c(a-e). What degree or certificate was (INSERT NAME) attempting to earn?

    (READ LIST)

        1   High school certificate or equivalency
        2   Associate degree
        3   Bachelor's degree
        4   Master's or Doctorate degree
        5   Professional school degree (e.g., MD, LLB, DDS)
        6   Other (SPECIFY) _____
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(ASK IF Q25b=2)
Q25d(a-e). Has (INSERT NAME) received this degree or certificate?

      1   No
      2   Yes
      8   (DO NOT READ) Don't know
      9   (DO NOT READ) Refused

Q25e DELETED FOR 2016

(ASK ALL)
Q26b(a-e). How many months has (INSERT NAME) lived at this residence/neighborhood?

      _____ (RANGE: 1-96)
      00   Less than 1 month
      98   (DO NOT READ) Don't know
      99   (DO NOT READ) Refused

Q26c DELETED FOR 2016

(ASK IF Q26b=0-11,98,99)
(PN: IF Q26b(a-e)=12-96 GEN IN CODE 2)
Q26d(a-e). Did (INSERT NAME) live in this state **a year ago**?

      1   No
      2   Yes
      8   (DO NOT READ) Don't know
      9   (DO NOT READ) Refused

(ASK IF Q26d=1,8,9)
Q26e(a-e). In which state did (INSERT NAME) live a year ago?

      1   Not in the U.S.
      2   Specify state_____
      8   (DO NOT READ) Don't know
      9   (DO NOT READ) Refused

Q26ea DELETED for 2016

(ASK ALL)
   Q26f(a-e). What was the primary reason that (INSERT NAME) moved to this state?

       (DO NOT READ LIST)

       1   Employment opportunities
       2   Better public assistance
       3   Reunification with relatives
       4   Other (SPECIFY) _____
       8   (DO NOT READ) Don't know
       9   (DO NOT READ) Refused

Q26g DELETED FOR 2016

(ASK ALL)
   Q26h(a-e). Does (INSERT NAME) participate in their children's education?

       1   No
       2   Yes
       7   (DO NOT READ) Not applicable
       8   (DO NOT READ) Don't know
       9   (DO NOT READ) Refused

(ASK IF Q26h=2)
[PN: ALLOW MULTIPLE RESPONSES – CODES 8-9 MUTUALLY EXCLUSIVE]
   Q26ha(a-e).   If yes, how?

       (INTERVIEWER: multiple answers may be given)

       DO NOT READ LIST

       1   Attend parent- teacher meetings
       2   Volunteer your time
       3   Help with homework
       4   Other (SPECIFY) _____
       8   (DO NOT READ) Don't know
       9   (DO NOT READ) Refused

Q26i DELETED FOR 2016

(ASK ALL)
    Q27a(a-e). Has (INSERT NAME) applied to adjust his/her immigration status to that of a
                permanent U.S. resident?

          1   No
          2   Yes
          8   (DO NOT READ) Don't know
          9   (DO NOT READ) Refused

(ASK IF Q27a=2)
    Q27b(a-e). When did (INSERT NAME) apply for adjustment to permanent resident status?

          01   [RECORD MONTH]
          02   [RECORD YEAR]
          98   (DO NOT READ) Don't know
          99   (DO NOT READ) Refused

(ASK IF Q27a=1,8,9 OR Q27b=98,99)
    Q27c(a-e). Does (INSERT NAME) plan to adjust his/her immigration status in the future?

          1   No
          2   Yes
          3   Did not know he/she had to apply to become a permanent resident
          8   (DO NOT READ) Don't know
          9   (DO NOT READ) Refused

(ASK ALL)
    Q28(a-e). Does (INSERT NAME) have a physical, mental, or other health condition that has
               lasted for **6 or more months** and which [INSERT ITEM]

          1   No
          2   Yes
          8   (DO NOT READ) Don't know
          9   (DO NOT READ) Refused

    a.   Limits the kind or amount of work this person can do at a job?
    b.   Prevents this person from working at a job?

(ASK ALL)
[PN: ALLOW MULTIPLE RESPONSES – CODES 01,98,99 MUTUALLY EXCLUSIVE]
   Q29a(a-e). During the **past 12 months**, how were (INSERT NAME)'s medical expenses
          paid?

       (INTERVIEWER: May indicate more than one)

       DO NOT READ LIST

       01   No medical expenses
       02   Self or household members
       03   Other relatives or friends
       04   Sponsor/sponsoring agency
       05   Religious organization
       06   Medicaid
       07   Refugee Medical Assistance (RMA)
       08   Co-payments
       09   Other government source
       10   Insurance through own employment (e.g., Blue Cross)
       11   Insurance through family member's employment
       12   Other source (SPECIFY) _____
       98   (DO NOT READ) Don't know
       99   (DO NOT READ) Refused

(ASK ALL)
   Q29b(a-e). What is (INSERT NAME)'s usual source of medical care?

       READ LIST ONLY IF NECESSARY

       1   No regular source
       2   Private physician
       3   Emergency room at a hospital
       4   Health clinic
       5   Folk healer
       6   Other (SPECIFY) _____
       8   (DO NOT READ) Don't know
       9   (DO NOT READ) Refused

(ASK ALL)
Q29c(a-e). In the **past 12 months**, was (INSERT NAME) covered either by Refugee Medical
Assistance, Medicaid, or private health insurance?

    1   Yes - covered in all months
    2   No - number of months not covered (SPECIFY): _____ (RANGE: 02-11)
    3   Not covered 1 month or less
    4   Not covered in any month
    8   (DO NOT READ) Don't know
    9   (DO NOT READ) Refused

(ASK IF Q29c=1-3,8-9)
[PN: ALLOW MULTIPLE RESPONSES – CODES 7,8,9 MUTUALLY EXCLUSIVE]
Q29d(a-e). What type of health insurance coverage did (INSERT NAME) have in the **past 12
months**?

            (INTERVIEWER: Indicate all that apply)

            READ LIST ONLY IF NECESSARY

    1   Insurance through own or family member's employment
    2   Private insurance unrelated to employment
    3   Medicaid or Refugee Medical Assistance
    4   Other government health care
    5   Other insurance (SPECIFY) _____
    8   (DO NOT READ) Don't know
    9   (DO NOT READ) Refused

(ASK ALL)
Q30a. In the **past 12 months**, have one or more persons in your household received food
stamps?

    1   No
    2   Yes
    8   (DO NOT READ) Don't know
    9   (DO NOT READ) Refused

(ASK IF Q30a=2)
Q30b.   Who received them?

[PN: SHOW HOUSEHOLD ROSTER, ALLOW MULTIPLE RESPONSES]
        98   (DO NOT READ) Don't know
        99   (DO NOT READ) Refused

Q30c DELETED FOR 2016

(ASK IF Q30a=2)
  Q30d.   How many months in the **past 12 months** were food stamps received?

_____ (RANGE: 1-12)
  00   Less than one month
  98   (DO NOT READ) Don't know
  99   (DO NOT READ) Refused

(ASK ALL)
  Q31a.   In the **past 12 months**, have one or more persons in your household received cash
           assistance through the Temporary Assistance to Needy Families (TANF) Program?

  1   No
  2   Yes
  8   (DO NOT READ) Don't know
  9   (DO NOT READ) Refused

(ASK IF Q31a=2)
  Q31b.   Which household members received such assistance?

[PN: SHOW HOUSEHOLD ROSTER, ALLOW MULTIPLE RESPONSES]
  98   (DO NOT READ) Don't know
  99   (DO NOT READ) Refused

Q31c DELETED FOR 2016

(ASK IF Q31a=2)
  Q31d.   How many months in the **past 12 months** was the TANF received?

_____ (RANGE: 1-12)
  00   Less than one month
  98   (DO NOT READ) Don't know
  99   (DO NOT READ) Refused

(ASK IF Q31a=2)
  Q31e.   In the **last month**, was TANF received?

  1   No
  2   Yes
  8   (DO NOT READ) Don't know
  9   (DO NOT READ) Refused

(ASK ALL)
    Q31f.   Since coming to the United States, in how many months have one or more persons in your household received TANF?

        1   Every month
        2   No months
        3   Number of months (SPECIFY): _____
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(ASK ALL)
    Q32a.   In the **past 12 months**, have one or more persons in your household received assistance through the Refugee Cash Assistance (RCA) program?

        1   No
        2   Yes
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(ASK IF Q32a=2)
    Q32b.   Which household members received such assistance?

[PN: SHOW HOUSEHOLD ROSTER, ALLOW MULTIPLE RESPONSES]
        98   (DO NOT READ) Don't know
        99   (DO NOT READ) Refused

Q32c DELETED FOR 2016

(ASK IF Q32a=2)
    Q32d.   How many months in the **past 12 months** was RCA received?

        _____ (RANGE: 1-12)
        00   Less than one month
        98   (DO NOT READ) Don't know
        99   (DO NOT READ) Refused

(ASK IF Q32a=2)
    Q32e.   In the **last month**, was RCA received?

        1   No
        2   Yes
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(ASK ALL)
    Q33a.   In the **past 12 months**, have one or more persons in your household received
               Supplemental Security Income (SSI)?

        1   No
        2   Yes
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(ASK IF Q33a=2)
    Q33b.   Which household members received such assistance?

[PN: SHOW HOUSEHOLD ROSTER, ALLOW MULTIPLE RESPONSES]
        98   (DO NOT READ) Don't know
        99   (DO NOT READ) Refused

Q33c DELETED FOR 2016

(ASK IF Q33a=2)
    Q33d.   How many months in the **past 12 months** was SSI received?

        _____ (RANGE: 1-12)
        00   Less than one month
        98   (DO NOT READ) Don't know
        99   (DO NOT READ) Refused

(ASK IF Q33a=2)
    Q33e.   In the **last month**, was SSI received?

        1   No
        2   Yes
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(ASK ALL)
    Q33f.   Since coming to the U.S., in how many months have one or more persons in your
               household received SSI?

        1   Every month
        2   No months
        3   Number of months (SPECIFY): _____
        8   (DO NOT READ) Don't know
        9   (DO NOT READ) Refused

(ASK ALL)
    Q34a.   In the **past 12 months**, have one or more persons in your household received income from General Assistance (GA)?

          1   No
          2   Yes
          8   (DO NOT READ) Don't know
          9   (DO NOT READ) Refused

(ASK IF Q34a=2)
    Q34b.   Which household members received such assistance?

[PN: SHOW HOUSEHOLD ROSTER, ALLOW MULTIPLE RESPONSES]
          98   (DO NOT READ) Don't know
          99   (DO NOT READ) Refused

Q34c DELETED FOR 2016

(ASK IF Q34a=2)
    Q34d.   How many months in the **past 12 months** was GA received?

          _____ (RANGE: 1-12)
          00   Less than one month
          98   (DO NOT READ) Don't know
          99   (DO NOT READ) Refused

(ASK IF Q34a=2)
    Q34e.   In the **last month**, was GA received?

          1   No
          2   Yes
          8   (DO NOT READ) Don't know
          9   (DO NOT READ) Refused

(ASK ALL)
Q34f.   Since coming to the U.S., in how many months have one or more persons in your household received GA?

    1   Every month
    2   No months
    3   Number of months (SPECIFY): _____
    8   (DO NOT READ) Don't know
    9   (DO NOT READ) Refused

(ASK ALL)
Q35a.   In the **past 12 months**; have one or more persons in your household received cash assistance directly from a voluntary agency, sponsor, religious organization, or MAA?

    1   No
    2   Yes
    8   (DO NOT READ) Don't know
    9   (DO NOT READ) Refused

Q35b, Q35c, Q35d, Q35e DELETED FOR 2016

Q36a, Q36b, Q36c, Q36d, Q36f DELETED FOR 2016

(ASK ALL)
Q38a.   Is this house or apartment…

    (READ LIST)

    1   Rented for cash rent
    2   Owned by you or someone in this household with or without a mortgage or loan
    3   Occupied without payment of cash rent
    8   (DO NOT READ) Don't know
    9   (DO NOT READ) Refused

(ASK IF Q38a=1,2,8,9)
Q38b.   How much is the total monthly payment for this housing unit?

(INTERVIEWERS: For owners, include total mortgage payment, taxes, insurance and utilities; for renters include rent plus utilities - gas, electricity and heating oil - if paid separately)

_____ (RANGE: 0-99,996)
999998   (DO NOT READ) Don't know
999999   (DO NOT READ) Refused

(ASK ALL)
Q38c.   Is this housing unit in a public housing project, that is, is it owned by a local housing authority or other local public agency?

1   No
2   Yes
8   (DO NOT READ) Don't know
9   (DO NOT READ) Refused

PROGRAMER: IF S3=9 OR S3A=9 OR S3B=9 RE-ASK S3, S3A, AND S3B WITH THE SAME SKIP PATTERNS. WE NEED A FLAG TO IDENTIFY THESE RESPONDENTS

(ASK ALL)
IN1. We would you like to send you $25 for your contribution to this important research. You would receive a gift card in approximately 4 to 6 weeks. Can you confirm we have the correct mailing address?

[PN: INSERT SAMPLE MAILING ADDRESS – FULL NAME, STREET, CITY, STATE, ZIP]

1   Correct name/address
2   Incorrect name/address
3   Respondent does not wish to receive gift card
9   (DO NOT READ) Don't know/Refused

(ASK IF IN1=2)
   IN2. May I please have your name?
        (VERIFY SPELLING)

          1   Answer given (SPECIFY) _____
          R   (DO NOT READ) Refused

        May I please have your address?
        (VERIFY SPELLING)

          1   Street: _____
          2   City: _____
          3   State: _____
          4   Zip code:_____
          R   (DO NOT READ) Don't know

(READ TO ALL)
CLOSE: That was our final question. I now need to read to you a statement from the federal government. It will only take a minute.

The government estimates that this survey should take an average of 30 minutes to complete, including any time you needed to collect information to be able to answer our questions. Any agency that is collecting information has to have a valid OMB Control Number, which means that it has received approval for the activity. The OMB Control Number for this project is 0970-0033.

If you have any comments about how long this survey took or any other aspect of this survey, including suggestions for how to reduce the time needed, you can send comments to the Reports Clearance Office, Administration for Children and Families, Department of Health and Human Services, at 330 C ST SW, Washington D.C. 20201; and the Office of Management and Budget at Paperwork Reduction Project, OMB Control Number 0970-0033, Washington D.C. 20403.

Thank you very much for your participation in this survey.

Appendix 8

**2018 Annual Survey of Refugees
Announcement**

About this year's Annual Survey of Refugees

The Annual Survey of Refugees (ASR) is a scientific study that collects information about how refugees are adapting to life in the U.S. It is funded by the U.S. Office of Refugee Resettlement and being conducted by the Urban Institute and SSRS.

What you need to know:

- Letters of introduction will be mailed in late December to a national sample of several thousand refugees who arrived in the U.S. between 2013 and 2017.

- The letters will describe the ASR, how the survey information will be used, and ask the recipients to consider participating.

- The letters will include a pre-addressed, stamped envelope for recipients to complete with a preferred contact phone number and preferred language for the interview. Letters will be issued in the respondent's primary language, and additional telephone numbers will be provided for likely additional languages.

- Trained research interviewers will be telephoning sampled refugees between January and March, with coverage of 16 different languages in addition to English.

We want to emphasize that **participation in the survey is voluntary.** We hope that respondents will choose to participate, and help us collect valuable information about how refugees arriving in the last five years are faring.

Survey responses are confidential. Strict data security protocols will be maintained to ensure that the privacy and security of data are maintained at all times. Responses will be aggregated for an annual report to Congress, as has been done since 1993.

If you have any questions about the Annual Survey of Refugees, please contact the co-Principal Investigators Hamutal Bernstein and Robert Santos at: hbernstein@urban.org.

Appendix 9

2018 Annual Survey of Refugees
Data Security and Monitoring Plan

2018 Annual Survey of Refugees
Data Security and Monitoring Plan (revised 11/16/2018)

Data Collected
The Annual Survey of Refugees (ASR) involves collecting sensitive information about vulnerable populations. The team will take rigorous measures to ensure that personally identifiable and sensitive information will be kept confidential at all times. We at the Urban Institute and SSRS will work with HHS/ORR and GDIT to ensure data security. This includes secure transfer of data between Urban and SSRS, secure storage of all data files, and data security procedures for analyzing data and securing all digital and hard copy materials.

Annually, all staff involved in this study will be asked to sign a confidentiality pledge before participating in data collection or accessing any data. The Urban Institute's Institutional Review Board (IRB) applies strict data security guidelines to our proposed research practices and approves only measures that provide strong human subjects protections. This includes assuring all subcontractors' procedures meet our strict data security procedures. Previous Urban Institute work with SSRS on the ASR and other projects has shown that SSRS has data security procedures that also meet these high standards. All Urban and SSRS staff undergo IRB training. Although all-purpose IRB training generally takes place once for staff members (upon hire), we will ensure in addition that all project staff, including survey interviewers at SSRS and Ronin, receive additional, customized ASR project training on confidentiality and data protection. Urban's IRB requires annual refreshment of each IRB package and documents and oversees the resolution of breaches if they occur. Urban Institute's HR Department monitors human subjects training certifications for all Urban staff. SSRS has its own human subjects training and will abide by Urban human subjects protections associated with this project. Additionally, if deemed necessary by the COR, the research team will complete a Privacy Impact Assessment.

The project will have four general types of electronic and in some cases physical data, all of which contain personally identifiable information (PII):
1) Internet Refugee Arrivals Data System (iRADS) administrative data
2) Tracing data
3) Survey data responses
4) Master Identification File (containing all PII and an ID linking to the survey data)

ASR Public Use Data file
A public use data file will be prepared and submitted via secure ftp to the *OpenICPSR* data archive. The file will contain only responses to the survey. There will be no PII included and only survey responses will be included. To decide which survey responses to include, we will diligently perform a rigorous disclosure analysis. Based on that analysis, we will identify and remove any data items that could potentially divulge PII alone or in 2

combination. We will also mask or remove data that could in any way allow the deduction of a survey participant's identity.

ORR Administrative Data Transfer
The following HHS data files, as described at 81 Fed. Reg. 46682, 46682-46693 (July 18, 2016), will be transferred to The Urban Institute for the purpose accomplishing the work in this contract:

File	Year	System of Records
ORR Internet Refugee Arrivals Data System	FY 2013	System No. 09-80-0325
ORR Internet Refugee Arrivals Data System	FY 2014	System No. 09-80-0325
ORR Internet Refugee Arrivals Data System	FY 2015	System No. 09-80-0325
ORR Internet Refugee Arrivals Data System	FY 2016	System No. 09-80-0325
ORR Internet Refugee Arrivals Data System	FY 2017	System No. 09-80-0325

These data are collected by ORR pursuant to 8 U.S.C. 1521-1523 (Title V of the Refugee Act of 1980). The specific data elements to be transferred are listed on page 6 below.

These data are disclosed by HHS to Users without the consent of the subject individuals pursuant to 5 U.S.C. 552a(b)(3), in accordance with routine use disclosure 6 (Disclosure to Contractor) published for System No. 09-80-0325, last published at 81 Fed. Reg. 46691, 46682-46693 (July 18, 2016).

ORR Administrative Data Disposition
It has been determined that this contract is subject to the Privacy Act of 1974, because this contract provides for the operation of a system of records on individuals.

The System of Records Notice (SORN) that is applicable to this contract is the ORR Internet Refugee Arrivals Data System (iRADS), SORN # 09-80-0325, published 07/18/2016. Routine Use 6 in this Systems of Records Notice authorizes the disclosure of PII to an HHS contractor (Urban), provided the disclosure is required for the performance of its contracted duties. The work Urban is to perform is as follows: this contract requires the storage and retrieval of PII to select a sample of respondents, calculate survey weights, and measure the representativeness of survey responses. Selected individuals will be contacted for participation in the survey.

The disposition to be made of the Privacy Act records upon completion of contract performance is as follows: at the completion of the contract, Urban agrees to securely delete data from iRADS and to certify its deletion in writing via a memo to the COR.

Secure Data Storage Site
The data initially received from HHS/ORR (iRADS administrative data) will be transmitted to Urban through an HHS secure ftp (SFTP). Subsequent transfers between Urban, HHS, and SSRS (including Survey Response data received from SSRS) will be transmitted through the Urban Institute Secure Data Site. This will be an on-premise SFTP server behind the Urban Institute firewall. All data will be encrypted at rest and during transmission in accordance with Federal Information Processing Standard (FIPS) 140-2 standards. The Urban Institute is taking a "cloud first" approach with our computing systems whenever possible. At this time our preferred option is the on premise SFTP server.

Urban Institute's SFTP server is behind the Urban Institute firewall. Data transmitted securely there are then transferred to encrypted disk space and the transmitted files are deleted from the ftp site. On disk, confidential files will be physically segregated from non-confidential files with a separate backup procedure for the confidential study data. Backups of confidential data are made to secure, encrypted back disk space, not to tape or other media.

When stored, all project files containing confidential data will be encrypted at rest, in accordance with FIPS 140-2 standards.

Data Transfers
Data transfers between partners (Urban, SSRS) and the secure data site will all be done securely via encrypted connections as per FIPS 140-2 standards. HHS/ORR would also have access as needed to the secure data site so that de-identified survey microdata may be shared at the end of the project.

Urban will receive iRADS administrative data from HHS/ORR via an HHS SFTP. Urban will transmit the data to SSRS via the Urban SFTP, through which all data will be transmitted securely in accordance with FIPS 140-2 standards.

SSRS will de-identify the responses (names, birth dates, alien number, etc.) and assign a system generated ID number for each survey record. SSRS will maintain a separate document (the Master Identification File) that links ID number to PII, which will be stored separately from the survey data.

To minimize the amount of sensitive data in transit, data transfers will never include both survey data and the Master Identification File (the data linking file). All transferred data files will be password protected and at no time will the password and data be transferred at the same time. Password protection will be implemented through compressing the file, encrypting the data, or both. Passwords will be transmitted by phone. Any hard copy data transfers that include confidential data will be sent via courier or via secure mail (such as FedEx or other tracked mail) and a signature of a designated party will be required on receipt.

SSRS will implement the survey and collect survey responses, stored on its own server. It will ensure that data collected by interviewers at Ronin is collected, encrypted at rest when stored, and transmitted securely in accordance with FIPS 140-2 standards, to the Urban Secure Data Site. Ronin interviewers will input survey responses directly into a secure SSRS system. If in some cases interviewers must use hard copy instruments, they will communicate the results by phone to an SSRS staff member and destroy the hard copy instrument immediately. If in some cases a hard copy instrument needs to be transmitted, it will be transmitted via courier or via secure mail (such as FedEx or other tracked mail) and a signature of a designated party will be required on receipt. SSRS will store these materials in a locked cabinet except while coding and keypunching is taking place. Once the data are keypunched, the documents remain in the locked cabinet for 90 days until they are shredded or for as long as is requested by the survey sponsor. From that point forward, data are handled electronically.

Data Use by Project Team
All research and data collection staff involved in this study (at Urban, SSRS, and Ronin) will be asked to sign a confidentiality form before access to the system or the data can be granted. No project staff will be allowed to print out or display confidential data elements; however, designated research staff may be able to access all the information on study participants. Access to the confidential data on site at Urban will be restricted to Urban system administrators and key research team staff.

Project staff at Urban and SSRS who must store confidential data on their local hard drives will have PGP full disk encryption, which provides FIPS 140-2 level validated protection. Two-factor authentication will not be required for access to encrypted data on the local network. Any physical data records (CDs, hard copy survey instruments by Ronin) containing confidential study data will be stored in a locked file cabinet in the office of the Urban Institute and SSRS project staff (Hamutal Bernstein and Susan Sherr, respectively), until destruction. All storage media containing confidential data will be labeled accordingly.

Urban will share aggregated analysis results with HHS/ORR in their preferred way, by email or SFTP. If aggregated results are deemed confidential they would be shared with HHS/ORR via the secure data site.

Verified security breaches will be reported within 1 hour of any Urban or SSRS project staff member becoming aware of a breach, to a designated HHS/ORR contact by the Urban Institute's Security Engineer or one of the Principal Investigators. One of the Principal Investigators will also send an Adverse Event Memo by email to the Urban Institute IRB. The memo will include information about the timing of the security breach, who was involved and how it came about, the type of data involved in the breach, the proposed resolution of the issue, a discussion of any expected repercussions for research subjects, and plans for changes to procedure to prevent similar breaches in the future. The

Urban IRB will assess whether the resolution and plans for altered procedures or practices are appropriate.

General Urban Institute Practices with Regard to Data Integrity and Security
The Urban Institute strives to preserve the integrity and security of its technology systems and data. An industry standard firewall cluster monitors and evaluates all attempted connections from the Internet to our public web servers and our private network. Up-to-date anti-virus software runs on our desktop PCs, laptops, and our servers. We also implement other "best practices" (including minimum access, separation of duties, standard images, and restricted software installation) for securing our servers, desktop PCs, and laptops, following the National Institute of Standards and Technology (NIST) guidelines. Locally stored confidential data are encrypted using PGP which provides FIPS 140-2 validated protection. All projects' use of confidential human subject data must be approved by the Urban Institute's Institutional Review Board (IRB), adhering to the IRB's data security guidelines. To further ensure network security, Urban has a 24x7x52 managed network security monitoring service. The monitoring service includes intrusion detection with packet level monitoring of our network, log collection, data correlation, data analysis and reporting. It also includes regular vulnerability scans of all the devices attached to our network.

For data that are to be destroyed at the end of a project, Urban Institute degausses any hard drives or backup tapes containing the data and shreds any DVDs or CDs containing the data. Local files on workstations are destroyed using PGP Shredder with a minimum of three passes. Subcontractors of the Urban Institute are held to the same standard practices and levels of security.

Annual Report on Data Security and Monitoring
Urban will ensure proper documentation throughout the study period and will provide an Annual Report on Data Security and Monitoring to the COR no later than one month after the end of the reporting period. This report will detail the names of personnel who signed confidentiality pledges and were involved in data collection, information about their data protection and confidentiality trainings, and a log of any data breaches including details on reporting and resolution for each incident.

Variables Listing

Arrivals Table: 93 Variables

TABLE_NAME	COLUMN_NAME	DATA_TYPE	CHAR_LENGTH	Description
ARRIVALS	AFFILIATE_CODE	VARCHAR2	8	The Code for the Affiliate that Assured this Case. (Code includes 2-char state code, 4-char VOLAG code, and two-digit code for affiliate.
ARRIVALS	AFFILIATE_DESC	VARCHAR2	100	The name for the Affiliate that Assured this Case.
ARRIVALS	AGE	NUMBER	0	Age at time of arrival of individual
ARRIVALS	AIRPORT_CODE	VARCHAR2	4	The destination airport code
ARRIVALS	ALIEN_NO	NUMBER	0	The US Alien Number assigned to an individual.
ARRIVALS	AP_NO	VARCHAR2	2	A WRAPS Sequence number that identifies member on a Case. (PA=01)
ARRIVALS	ARRIVAL_DATE	DATE	0	The Date this individual is estimated to arrive in the US or is confirmed to have arrived in the US.
ARRIVALS	BIRTH_COUNTRY_NAME	VARCHAR2	50	The Country Name where this individual was born
ARRIVALS	BIRTH_DATE	DATE	0	The Date of Birth of this individual.
ARRIVALS	BIRTH_DATE_ESTIMATED	VARCHAR2	1	Indicates if this Date of Birth is estimated.
ARRIVALS	CASE_GUID	VARCHAR2	36	Identifies the Case this Individual belongs to.
ARRIVALS	CASE_NO	VARCHAR2	10	Case Number (Case Prefix + Case Number + Case Suffix)
ARRIVALS	CASE_PRIORITY_CODE	VARCHAR2	2	Specifies the priority of the Case.
ARRIVALS	CITIZENSHIP_COUNTRY_NAME	VARCHAR2	50	The country name of primary citizenship for this member
ARRIVALS	CITY_NAME	VARCHAR2	50	The City where this Case will be Resettled.
ARRIVALS	COUNTRY_OF_ORIGIN_NAME	VARCHAR2	50	ORR assigned Country of Origin Name(Aggregated for former USSR and Yugoslavia)
ARRIVALS	COUNTY_NAME	VARCHAR2	30	County name of resettlement county
ARRIVALS	EDUCATION_DESC	VARCHAR2	50	The highest level of education desc. attained by this member
ARRIVALS	EMPLOYED_CODE	VARCHAR2	50	
ARRIVALS	ENGLISH_READING_DESC	VARCHAR2	15	English Reading ability desc
ARRIVALS	ENGLISH_SPEAKING_DESC	VARCHAR2	15	English speaking ability desc
ARRIVALS	ENGLISH_WRITING_DESC	VARCHAR2	15	English writing ability desc

ARRIVALS	ETHNICITY_DESC	VARCHAR2	50	Specifies the Ethnicity Description of the individual.
ARRIVALS	FAMILY_RELATIONSHIP_DESC	VARCHAR2	50	Specifies the relationship description of this individual (case member), to the individual who is the Principal Applicant for this Case.
ARRIVALS	FAMILY_SIZE_NO	VARCHAR2	2	Specifies how many Members are currently included in this Case (including the Principal Applicant).
ARRIVALS	FISCAL_YEAR	VARCHAR2	4	Calculated Fiscal Year based on Arrival Date
ARRIVALS	FULL_NAME	VARCHAR2	200	Full name of individual
ARRIVALS	GENDER_CODE	VARCHAR2	50	Gender of individual
ARRIVALS	INDIVIDUAL_STATUS_CODE	VARCHAR2	50	The INS decision code
ARRIVALS	IND_GUID	VARCHAR2	36	Uniquely identifies this Individual.
ARRIVALS	INS_APPROVAL_DATE			The date the USCIS approved this individual.
ARRIVALS	IOM_SEQUENCE_NO	VARCHAR2	4	The Sequence Number assigned to this individual on this case by the IOM in the ABN.
ARRIVALS	LANGUAGE_CODE	VARCHAR2	3	Language Code
ARRIVALS	MAIDEN_NAME	VARCHAR2	50	Specifies the Maiden Name of this individual.
ARRIVALS	MINORS	VARCHAR2	5	A standard Code that defines a minor's status
ARRIVALS	NATIONALITY_DESC	VARCHAR2	50	Specifies the Nationality Desc of this Individual.
ARRIVALS	NATIVE_READING_CODE	VARCHAR2	1	Reading ability of Native language(WRAPS only and only from 2008 forward)
ARRIVALS	NATIVE_SPEAKING_CODE	VARCHAR2	1	Speaking ability of Native language(WRAPS only and only from 2008 forward)
ARRIVALS	NATIVE_WRITING_CODE	VARCHAR2	1	Writing ability of Native language(WRAPS only and only from 2008 forward)
ARRIVALS	OCCUPATION_DESC	VARCHAR2	255	Specifies in free text the Occupation or Skill that is/was held by the most recent Employment instance for this individual.
ARRIVALS	ORIG_COUNTRY_OF_ORIGIN_NAME	VARCHAR2	50	ORR assigned Country of Origin Name
ARRIVALS	ORRIS_IND_STATUS_CODE	VARCHAR2	1	ORR assigned status code (R,E,Z,M,S)
ARRIVALS	PORT_OF_ENTRY	VARCHAR2	50	The Airport Code for the Port of Entry for this individual.
ARRIVALS	PRO_FLIGHT_NUMBER	VARCHAR2	20	The IOM ProFlight Number for travel for this Individual.
ARRIVALS	REFUGEE_CLASS_CODE	VARCHAR2	3	A standard code that defines a Refugee Program
ARRIVALS	RELIGION_DESC	VARCHAR2	50	Specifies the Religion Desc. of the individual

TABLE_NAME	COLUMN_NAME	DATA_TYPE		Description
ARRIVALS	STATE_CODE	VARCHAR2	2	The State code where this individual will be resettled.
ARRIVALS	VOLUNTARY_AGENCY_DESC	VARCHAR2	75	Voluntary Agency Desc
ARRIVALS	ZIP_CODE	VARCHAR2	10	The zip code where individual is resettled
ARRIVALS	WRAPS_ASSUR_DATE	VARCHAR2	10	The Date from which the Assurance is valid
ARRIVALS	WRAPS_DEPARTURE_DATE	VARCHAR2	10	The Date this individual is confirmed to have departed from point of origin traveling to the US.
ARRIVALS	WRAPS_RECEIVED_DATE	VARCHAR2	10	The Date the hardcopy of this Assurance was Received at the RPC from this Affiliate for this Case.
ARRIVALS	ZIP_CODE	VARCHAR2	10	The zip code where individual is resettled

90-day Refugee Cases: 38 variables

TABLE_NAME	COLUMN_NAME	DATA_TYPE	CHAR_LENGTH	Description
RP_CASE	CASE_GUID	VARCHAR2	36	Identifies the Case this Individual belongs to.
RP_CASE	CASE_NO	VARCHAR2	10	Case Number (Case Prefix + Case Number + Case Suffix)
RP_CASE	EMPLOYMENT_SUPPORT_FLAG	VARCHAR2	1	An indication whether the case is economically supported through employment (values are "Y" or "N" or "U" for unknown).
RP_CASE	MATCH_GRANT_SUPPORT_FLAG	VARCHAR2	1	An indication whether the case is economically supported through Match Grant (values are "Y" or "N" or "U" for unknown).
RP_CASE	MIGRATED_TO_CITY	VARCHAR2	50	The Case 90 Day Out Migrate City (if the entire case has out-migrated and if the city is known.)
RP_CASE	MIGRATED_TO_STATE_CODE	VARCHAR2	2	The Case 90 Day Out Migrate State Code where this case out-migrated (if the entire case out-migrated and if the out-migrated state is known.)
RP_CASE	NON_GOVT_SUPPORT_FLAG	VARCHAR2	1	An indication whether the case is economically supported through a relative or other non-government source. (values are "Y", "N", or "U" for unknown).
RP_CASE	OTHER_GOVT_SUPPORT_FLAG	VARCHAR2	1	An indication whether the case is supported through some other means than previously specified in this file. (Values are "Y" or "N").

TABLE_NAME	COLUMN_NAME	DATA_TYPE	CHAR_LENGTH	Description
RP_CASE	OUT_MIGRATED_FLAG	VARCHAR2	1	Indication that the entire case has out-migrated (values are "Y" or "N").
RP_CASE	PHONE_NUM_1	VARCHAR2	20	The 90-Day primary phone of PA (Indicates if (H)ome or (W)ork or (C)ell)
RP_CASE	PHONE_NUM_2	VARCHAR2	30	The 90-Day second phone of PA (Indicate if (H)ome or (W)ork or (C)ell)
RP_CASE	RCA_SUPPORT_FLAG	VARCHAR2	1	An indication whether the case is supported through the RCA program. (Values are "Y" or "N").
RP_CASE	RELATIVE_PERSONAL_ASSET_FLAG	VARCHAR2	1	An indication whether the case is supported by relatives or personal assets (values are "Y" or "N" or "U" for unknown).
RP_CASE	SELF_SUFFICIENT_FLAG	VARCHAR2	1	An indication whether the case is economically self-sufficient. (values are "Y" or "N" or "U" for unknown)
RP_CASE	SSI_SUPPORT_FLAG	VARCHAR2	1	An indication whether the case is supported through SSI (values are "Y" or "N" or "U" for unknown).
RP_CASE	TANF_SUPPORT_FLAG	VARCHAR2	1	An indication whether the case is supported through TANF (values are "Y" or "N" or "U" for unknown).

90-Day Refugee Members: 35 Variables

TABLE_NAME	COLUMN_NAME	DATA_TYPE	CHAR_LENGTH	Description
RP_IND	ALIEN_NO	NUMBER	22	The US Alien Number assigned to an individual.
RP_IND	CASE_GUID	VARCHAR2	36	Identifies the Case this Individual belongs to.
RP_IND	CASE_NO	VARCHAR2	10	Case Number (Case Prefix + Case Number + Case Suffix)
RP_IND	CITY_NAME	VARCHAR2	50	The 90-Day city where the member is resettled.
RP_IND	COUNTY_NAME	VARCHAR2	50	The 90-Day county name where the member is resettled.
RP_IND	EMPLOYED_FLAG	VARCHAR2	1	An indicator whether the individual is employed (Y/N)
RP_IND	EMP_PROGRAM_FLAG	VARCHAR2	1	An indication of whether this individual is enrolled in some other employment program. Values are "Y",

				"N", "U" for unknown or "A" for not applicable (if employed or exempt).
RP_IND	ESL_FLAG	VARCHAR2	1	An indication of whether this individual is enrolled in ESL. Values are "Y", "N", "U" for unknown or "A" for not applicable (if employed or exempt).
RP_IND	EXEMPT_FLAG	VARCHAR2	1	An indicator whether the individual is exempt (Y/N)
RP_IND	EXEMPT_REASON	VARCHAR2	100	The reason this individual is exempt from employment.
RP_IND	FULL_ADDRESS	VARCHAR2	255	The 90-Day street address where the member is resettled.
RP_IND	IND_GUID	VARCHAR2	36	Uniquely identifies this individual Case Member
RP_IND	MIGRATED_TO_CITY	VARCHAR2	50	The Individual R&P Out Migrate City (if the entire case has out-migrated and if the city is known).
RP_IND	MIGRATED_TO_STATE_CODE	VARCHAR2	2	The Individual R&P Out Migrate State Code where this case out-migrated (if the entire case out-migrated and if the out-migrated state is known.)
RP_IND	OUT_MIGRATED_FLAG	VARCHAR2	1	Indication that the individual case member has out-migrated (values are "Y" or "N").
RP_IND	PHONE_NUM_1	VARCHAR2	20	The R&P Primary Phone (if different than PA, Indicate if (H)ome or (W)ork or (C)ell)
RP_IND	PHONE_NUM_2	VARCHAR2	20	The R&P Second Phone (if different from PA, Indicate if (H)ome or (W)ork or (C)ell)
RP_IND	STATE_CODE	VARCHAR2	2	The R&P State Code where resettled (if different than PA)
RP_IND	STUDENT_FLAG	VARCHAR2	1	An indication of whether this individual is enrolled in school. Values are "Y", "N', "U" for unknown and "A" for not applicable (if employed or exempt).
RP_IND	ZIP_CODE	VARCHAR2	10	The R&P zip code where resettled (if different than PA)