**Supporting Statement**

**for**

**Application for Permit to Transport Municipal and Commercial Waste**

OMB No.: 1625-0058

COLLECTION INSTRUMENTS: Instruction

**A. Justification.**

1) Circumstances that make the collection of information necessary.

Vessels that transport municipal or commercial waste in the coastal waters of the United States must obtain a permit issued by the Coast Guard under 33 CFR 151 Subpart B. The permit is issued prior to the transport of waste. These vessels must also display an identification number or other marking. The statutory authority is the Shore Protection Act (Act) (33 U.S. Code 2601 *et seq*.).

2) Purposes of the information collection.

The information submitted on an application is essential for the Coast Guard to issue permits to vessels transporting municipal or commercial waste. Without this information, the Coast Guard will have no rational basis for issuing or denying a permit or for issuing an identification number to these vessels. Unless the information is collected and permits and identification numbers are issued, waste haulers will not be in compliance with the Shore Protection Act and the Coast Guard will not be able to carry out its permitting responsibilities under the Act.

3) Consideration of the use of improved information technology.

The information may be submitted in writing or electronically via e-mail to CG-CVC@uscg.mil. This collection does not require the use of standards forms. We estimate that 100% of the reporting requirements can be done electronically. At this time, we estimate that approximately 50% of the responses are collected electronically.

4) Efforts to identify duplication.

No State or local regulations exist relating to this issue. No similar information collection is conducted by other Federal agencies. There is no equivalent or similar information collected that can be used.

5) Methods to minimize the burden to small businesses if involved.

This information collection does not have an impact on small businesses or other small entities.

6) Consequences to the Federal program if collection were conducted less frequently.

The Coast Guard would be unable to determine compliance without this information.

7) Special collection circumstances.

This information collection is conducted in manner consistent with the guidelines in 5 CFR 1320.5(d)(2).

8) Consultation.

A 60-day Notice was published in the Federal Register to obtain public comment on this collection (See [USCG-2021-0737]; September 14, 2021, 86 FR 51170) and 30-Day Notice (December 15, 2021, 86 FR 71275) were published in the Federal Register to obtain public comment on this collection. The Coast Guard has not received any comments on this information collection.

9) Provide any payments or gifts to respondents.

There is no offer of monetary or material value for this information collection.

10) Describe any assurance of confidentiality provided to respondents.

There are no assurances of confidentiality provided to the respondents for this information collection. This information collection request is covered by the Marine Information for Safety and Law Enforcement (MISLE) Privacy Impact Assessment (PIA) and System of Records Notice (SORN). Links to the MISLE PIA and SORN are provided below:

* <https://www.dhs.gov/sites/default/files/publications/privacy_pia_uscg_misle.pdf>
* <https://www.gpo.gov/fdsys/pkg/FR-2009-06-25/html/E9-14906.htm>

11) Additional justification for any questions of a sensitive nature.

There are no questions of sensitive language.

12) Estimates of annual hour and cost burdens to respondents.

* The estimated number of annual respondents is 2.
* The estimated number of annual responses is 4.
* The estimated hour burden is 4 hours.
* The estimated cost burden is $187.

The burden to respondents is provided in Appendix A. For a Permit Request, we estimate it will take a Manager (shore side) about 30 minutes (0.5 hours) and a Clerical Specialist about 15 minutes (0.25 hours) to draft and submit the request. For an Appeal, we estimate it will take a Manager about 8 hours and a Clerical Specialist about 1 hour to draft and submit the appeal. For the display of the Permit ID Number, we estimate it will take a Crewmember (vessel) about 1 hour to perform the task. For the wage rates, we used the Bureau of Labor Statistics (BLS) wage rate for General and Operations Managers (11-1021) [May 2020, mean hourly wage, loaded 50%, and rounded];[[1]](#footnote-1) the BLS wage rate for Information and Records Clerks, All Other (43-4199) [May 2020, mean hourly wage, loaded 50%, and rounded];[[2]](#footnote-2) and, the BLS wage rate for Sailors and Marine Oilers (53-5011) [May 2020, mean hourly wage, loaded 50%, and rounded][[3]](#footnote-3) respectively.

13) Total annualized capital and start-up costs.

There are no capital, start-up, or maintenance costs associated with this information collection.

14) Estimates of annualized Federal Government costs.

The estimated annual Federal Government cost is $672 (see Appendix B). We estimate that it will take a Lieutenant (LT, O-3) about 4 hours to review and process a permit application and 9 hours to process an appeal. Each time estimate is inclusive of recordkeeping. For the wage rate, we used the current edition of COMDTINST 7310.1(series) for “In-Government” personnel.

15) Explain the reasons for the change in burden.

The change in burden is an ADJUSTMENT due to a change (i.e., decrease) in the estimated annual number of responses. There is no proposed change to the reporting or recordkeeping requirements of this collection. The reporting and recordkeeping requirements, and the methodology for calculation burden, remain unchanged.

16) Plans for tabulation, statistical analysis, and publication.

This information collection will not be published for statistical purposes.

17) Approval for not explaining the expiration date for OMB approval.

The Coast Guard will display the expiration date for OMB approval of this information collection.

18) Explain each exception to the certification statement.

The Coast Guard does not request an exception to the certification of this information collection.

**B. Collection of Information Employing Statistical Methods.**

This information collection does not employ statistical methods.

1. <https://www.bls.gov/oes/2020/may/oes111021.htm> [↑](#footnote-ref-1)
2. <https://www.bls.gov/oes/2020/may/oes434199.htm> [↑](#footnote-ref-2)
3. <https://www.bls.gov/oes/2020/may/oes535011.htm> [↑](#footnote-ref-3)