



AUTOMATED COMMERCIAL ENVIRONMENT (ACE) SECURE DATA PORTAL PORTAL ACCOUNT OWNER DESIGNATION/AUTHORIZATION FORM

Privacy Act Statement

Pursuant to 5 U.S.C. §552a(e)(3), this Privacy Act Statement serves to inform you of the following concerning the collection of information on the Automated Commercial Environment (ACE) Secure Data Portal Account Owner Designation/Authorization Form.

AUTHORITY: Collection of the information solicited on this form is authorized by the following:

- 19 U.S.C. §§66, 1431, 1448, 1481, 1484, 1505, 1514, 1624, and 2071; Title 19, Code of Federal Regulations, including 19 C.F.R. §§24.5, 149.3, 101.9, and 103.31(e); Executive Orders 9397 and 13659.
- In addition to Executive Order 9397, CBP has the authority to collect Social Security Numbers (SSN) pursuant to 31 U.S.C. §7701(c), 26 U.S.C. §6109(d), 19 C.F.R. §§24.5 and 149.3. SSN is used because some individuals who do not have an employer identification number (EIN) or a tax identification number (TIN) choose to instead submit their SSN.

PURPOSE: The primary purpose for soliciting this information is to enable importers, exporters, brokers, or carriers to access their customs data via a web-based Automated Commercial Environment (ACE) Secure Data Portal (ACE Portal). (See Terms and Conditions document, 72 FR 27632, published May 16, 2007.) The ACE Portal provides a centralized online access point to connect CBP, trade representatives, and Participating Government Agencies (PGAs) involved in importing goods into the United States. The ACE Portal helps improve compliance with trade laws by enabling account holders to identify and evaluate compliance issues, monitor daily operations, set up payment options, review filings, access a reports tool, compile data, perform national trend analysis, and be provided with insight into entries under review by CBP.

ROUTINE USES: If you choose to provide the information solicited on this form, CBP will use the information to provide you with an ACE Portal user account. The personally identifiable information (PII) that you provide will not be shared with other government agencies unless they are a Participating Government Agency (PGA), pursuant to an International Trade Data System (ITDS) Memorandum of Understanding, consistent with the receiving agency's legal authority to collect information pertaining to and/or regulate transactions in international trade. Additionally, CBP may share the information with law enforcement of other government agencies as necessary to respond to potential or actual threats of terrorism, or otherwise required by law as a "routine use" pursuant to its published Privacy Act system of records notice.

DISCLOSURE: Providing this information is not legally required to import commercial merchandise into the United States. However, by submitting your PII (including your SSN) in applying for an ACE Portal account, you are giving CBP permission to use the information for a specific, stated purpose, i.e., to obtain the benefits of creating an ACE Portal user account.



Application

AUTOMATED COMMERCIAL ENVIRONMENT (ACE) SECURE DATA PORTAL ACCOUNT OWNER DESIGNATION/AUTHORIZATION FORM

Submit this completed document to U.S. Customs and Border Protection (CBP) by providing the requested information in the spaces below. This form constitutes your company's formal request to access its data via the ACE Secure Data Portal and its designation of an Account Owner (the individual responsible for the daily administration of the Account's activities).

PLEASE NOTE: With the exception of the required signatures, this form must be typed or printed. Failure to complete all required information will delay the processing of your application.

By signing below and accessing the ACE Secure Data Portal, the Account Owner agrees to be bound by the terms and conditions of portal access as specifically set forth in the Terms and Conditions document (see 72 FR 27632, published May 16, 2007) and any applicable Federal Register Notices (FRNs), including subsequent modifications to currently existing FRNs, as they may relate to the ACE test.

When submitting this application, please click both boxes in section D at the end of this form. This indicates your authorization/approval of the information contained in this document and will act as your electronic signatures. **Please Note:** You are reminded that you will be transmitting this information via the public Internet. Data will be sent to CBP via e-mail. Only use your business e-mail noted on this form to submit your application. To send, click the "Submit by E-mail" button at the bottom of this form *after* you have completed the required sections.

Paperwork Reduction Act Statement: An agency may not conduct or sponsor an information collection and a person is not required to respond to this information unless it displays a current valid OMB control number and an expiration date. Obligation to respond is required to obtain benefits. The control number for this collection is 1651-0105. The estimated average time to complete this application is 20 minutes. If you have any comments regarding the burden estimate you can write to U.S. Customs and Border Protection Office of Regulations and Rulings, 799 9th Street, NW., Washington DC 20229. **Expiration 9/30/2024.**

If this is a change to an active account, please specify:

Please note that the processing of your account will be delayed by 2-3 weeks due to mail process.

Section A. Account Information

Name of Company:	Account's Fiscal Year End Date (MM/DD):
Name of Company Officer: (include middle initial)	Title of Company Officer:
Company Organizational Structure:	(Specify Other Title):
(Other Organizational Structure)	Officer's Date of Birth or Other Valid Date:

Account's Business Activity with CBP/Identifying Information

If more than one activity is noted, please choose the primary activity by selecting the "Primary Activity" box. For all other activities, choose "Other." Depending on the account type being established, the following identifying information is required to set up an ACE portal account. You are limited to a single identification number for the portal account being requested with the exception of: Importer, broker, filer, software vendor, service bureau, port authority, preparer or surety agent which can use up to three identifying numbers for each portal view.

1. Importer/Broker/Filer/Surety:

If you are requesting to participate as a Third Party Truck Manifest Preparer, please enter your CBP-assigned manifest preparer code in the first SCAC field in part 4d (Truck Carrier). To obtain a manifest preparer code, contact ACE Support at ACE.Support@cbp.dhs.gov or 866-530-4172.

Primary or Other view - **Select only ONE Primary View**

a. Importer	P	O	NA	IR#:
b. Broker	P	O	NA	Filer Code:
c. Self Filer	P	O	NA	Filer Code: IR#:

d. Surety Note: If you are applying for a new account type you must first have the CBP Revenue Division create your profile in ACE. Please also indicate the date you submitted that request to the CBP Revenue Division and provide your Surety Code and EIN/SSN in the blocks below. If you are applying for an account that is already established with CBP, please submit your Surety Code and EIN/SSN only.

P	O	NA	EIN/SSN:	Surety Code:	Surety Code:	Surety Code:
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Date submitted to
CBP, Revenue Division:

Before submitting, please ensure that the form is signed on the last page

2. Service Provider (indicate type):

Primary or Other view - **Select only ONE Primary View**

a. Software Vendor	P	O	NA	SCAC or Filer Code:	EIN/SSN:		
b. Service Bureau/Ctr.	P	O	NA	SCAC or Filer Code:	EIN/SSN:		
c. Port Authority	P	O	NA	SCAC:	EIN/SSN:		
d. Preparer	P	O	NA	SCAC:	EIN/SSN:		
e. Surety Agent	P	O	NA	EIN/SSN:	Filer Code:		
f. Vessel Agency	P	O	NA	EIN:	CBP Assigned Number :	Importer Number:	

3. Facility Operator/Foreign Trade Zone Operator

NOTE: The "Name of Company" (see Section A. Account Information) must match the name on your bond.

Please provide: your EIN/SSN, bond number and FIRMS code. If you have facilities in multiple ports, list up to three FIRMS codes:

a. Facility Operator

(e.g., Warehouse, Container
Freight Station, Container
Examination Station)

Primary/Other

P O NA EIN/SSN:

Bond Number:
(Required)

FIRMS Codes:

Note: If you used an SSN, you must complete the following two questions:

Has your background investigation with CBP been completed? Y N Are your fingerprints on file with CBP? Y N

b. Foreign Trade Zone

Please provide your EIN/SSN, bond number, FIRMS code, Zone Number, Sub-zone Numbers and Site Number:

Primary/Other

P O NA EIN/SSN:

FIRMS Code:

Bond Number:
(Required)

Zone
Number:

Sub-Zone
Numbers:

Site
Number:

Note: If you used an SSN, you must complete the following two questions:

Has your background investigation with CBP been completed? Y N Are your fingerprints on file with CBP? Y N

4. Air Carrier/Rail Carrier/Sea Carrier/Truck Carrier/Driver/Crew:

In order to use the In-Bond Authorization feature in the ACE portal, the applicant must be a valid principal or co-principal on a continuous activity code 2 bond. The applicant must provide their assigned taxpayer ID# or social security # as it appears on the continuous activity code 2 bond as part of this application. If you do not wish to use the In-Bond Authorization feature, please submit "NA" in the "Active Type 2 Bond Number" and "IR Number for Type 2 Bond" fields.

a. Air Carrier	Primary/Other P O NA	ICAO Codes (3 Char) IATA Codes (2 Char)
b. Rail Carrier	Primary/Other P O NA	SCAC Active Type 2 Bond Number IR Number for Type 2 Bond
c. Sea Carrier	Primary/Other P O NA	SCAC Active Type 2 Bond Number IR Number for Type 2 Bond
d. Truck Carrier	Primary/Other P O NA	SCAC Active Type 2 Bond Number IR Number for Type 2 Bond

e. Driver/Crew

(non-FAST drivers only)

P O NA

This section is NOT required for a CARRIER view; only for a Driver/Crew view

CDL #: _____ State/Province: _____ Country: _____

Enhanced CDL? Yes No Haz-Mat Endorsement? Yes No

Complete Name w/MI _____ Date of Birth or Other Valid Date: _____ Gender: Male Female

Citizenship/Nationality: _____

Travel Documentation (enter as appropriate; one set is required if Enhanced CDL is not used):

Passport #: _____ Country: _____

Permanent Residence Card: _____ Country of Issuance: _____

Other Doc Type: _____

Section B. Account Owner Designation

This is the individual responsible for the daily administration of the Account's activities. Please complete either Part 1 or 2 of this section. If the Account Owner is an individual, please ONLY fill out Part 1. If the Account Owner is a legal entity, please fill out ONLY Part 2 and complete Section C.

Part 1. Individual

If the Account Owner is an individual (that is not a corporation, partnership, etc.) please provide the following information:

Prefix/Title: Name (include middle initial): Application Date for
an ACE Portal Account Business E-mail Address:

Date of Birth or Other Valid Date:

NOTE: An Account Owner for a U.S. based carrier or truck driver must supply a U.S. business address. A foreign-based carrier or truck driver must provide their foreign business address and is not required to provide a U.S. business address. If applying for a Broker, Importer or Filer Account, a U.S. address is required. Importers who are self filers should apply for both their importer and their filer view on one ACE application.

Account Owner's Complete Business Address:

Country: Street Address (P.O. Boxes not allowed):

City: State/Province: Zip/Postal Code: Business Telephone Number:

Part 2. Legal Entity

If the Account Owner is a legal entity (that is, corporation, partnership, etc.), not an individual, as identified above, please provide the following information. If you complete this section, you must complete Part C, "Point of Contact " below.

Name (include middle initial) : Application Date for
an ACE Portal Account

NOTE: An Account Owner for a U.S. based truck carrier or truck driver must supply a U.S. business address. A foreign-based truck carrier or truck driver must provide their foreign business address and is not required to provide a U.S. business address. If applying for a Broker, Importer or Filer Account, a U.S. address is required. Importers who are self filers should apply for both their importer and their filer view on one ACE application.

Account Owner's Complete Business Address:

Country: Street Address (P.O. Boxes not allowed):

City: State/Province: Zip/Postal Code: Business Telephone Number:

Section C. Point of Contact for the Account

Point of Contact

Prefix/Title: Name (include middle initial):

Business E-mail Address:

Date of Birth or Other Valid Date:

NOTE: An Account Owner for a U.S. based truck carrier or truck driver must supply a U.S. business address. A foreign-based truck carrier or truck driver must provide their foreign business address and is not required to provide a U.S. business address. If applying for a Broker, Importer or Filer Account, a U.S. address is required.

Point of Contact's Complete Business Address:

Country: Street Address (PO Boxes not allowed):

City: State/Province: Zip/Postal Code: Business Telephone Number:

Section D. Authorization and Acknowledgement

The account owner AND company officer must sign below to indicate authorization/approval of the information contained in this document. A false statement or claim may subject a person to prosecution under 18 U.S.C. 1001 and/or 1621 and is punishable by a fine and up to five years imprisonment. You must click on BOTH electronic signature boxes to indicate authorization/approval of the information contained in this document. To select a box, click in the box to the left of the statement. This will act as your electronic signature. A false statement or claim may subject a person to prosecution under 18 U.S.C. 1001 and/or 1621 and is punishable by a fine and up to five years imprisonment.

Name of Company Officer:

Company Officer

Date

Date

By selecting this box I am verifying that I am legally authorized to bind my company to the ACE Secure Data Portal and its terms and conditions. (electronic signature)

Name of Account Owner or Account Owner's Point of Contact (This must be the name of the individual or point of contact, not the company name):

Account Owner or Account Owner's Point of Contact

Date

Date

By selecting this box I am verifying that I am legally authorized to bind my company to the ACE Secure Data Portal and its terms and conditions. (electronic signature)

Thank you! U.S. Customs and Border Protection looks forward to working with you!