Hazardous Materials Endorsement (HME)

Information Collection/Paperwork Reduction Act Enrollment Workflow

Contents

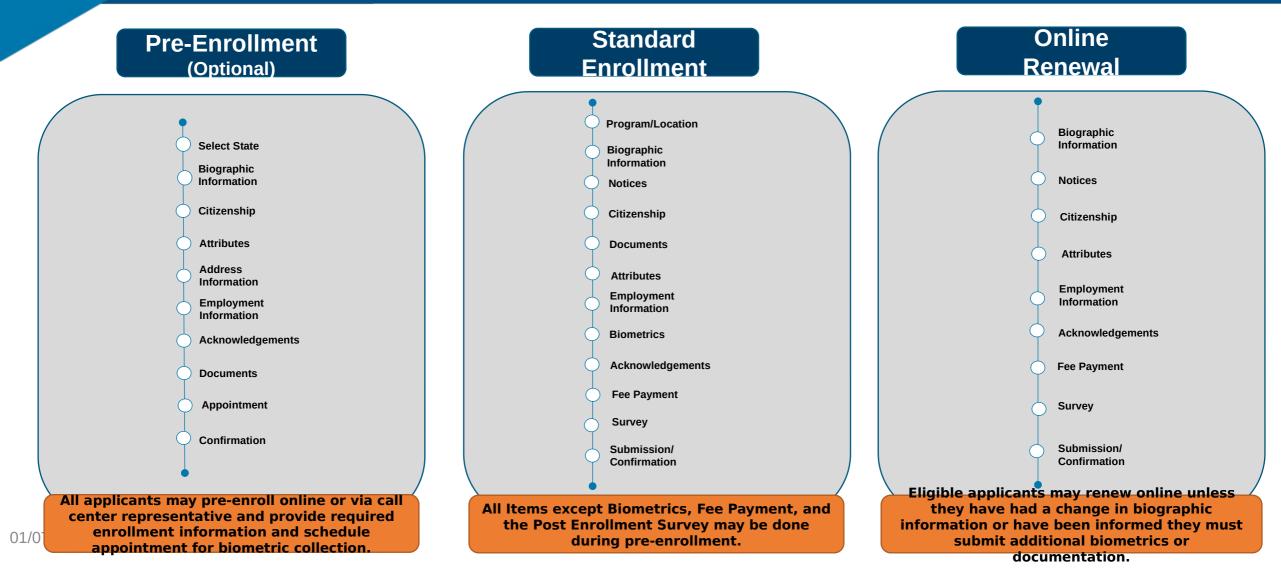
- Introduction: TSA HME Workflow Trees & Disclosure Statements
- Part 1: Pre-Enrollment Workflow
- Part 2: Standard Enrollment Workflow
- Part 3: Online Renewal Workflow
- **Part 4:** Post-Enrollment Survey



Introduction

HME Workflow Trees

Workflows: Pre-Enrollment, Standard Enrollment & Renewal



Agent v. Non-Agent

Agent States:

TSA and its enrollment provider (i.e., Agent) collect biometric (i.e., fingerprints) and biographic information from applicants in 43 States, including the District of Columbia, ("Agent States").

TSA's provider submits the biometrics to the Federal Bureau of Investigation (FBI) for a fingerprint-based criminal history records check (CHRC). The provider channels or sends the results of the CHRC to TSA for vetting purposes.

TSA uses the applicant's information and FBI criminal history records information (CHRI) to vet and adjudicate the individual's HME application in accordance with 49 CFR 1572 Subpart B, Standards for Security Threat Assessments.

The TSA Agent State enrollment process is outlined in the *Pre-Enrollment* (optional), Standard Enrollment, and Online Renewal workflows depicted on slide #4.

Non-Agent States:

Eight (8) Non-Agent States, not including outlying U.S. possessions, do not send applicant fingerprints to TSA.

49 CFR part 1572.15(b)(2), *Procedures for HME security threat assessment*, permits States to collect the fingerprints and biographic information from applicants directly, transmit fingerprints to the FBI, and provide this information, as well as the resulting FBI CHRI information, to TSA electronically for purposes of conducting the security threat assessment.

While each Non-Agent State's enrollment process may vary, these States must generally adhere to the TSA Agent's *Standard Enrollment* process depicted on the previous slide #4 to collect and transit the required applicant data specified in 49 CFR 1572.9.

Note: TSA Non-Agent State applicants are not eligible for the *Pre-Enrollment* and *Online Renewal* options that are provided by TSA via its enrollment provider, at this time.



Introduction

HME Disclosures

Disclosure: Privacy Act Statement

PRIVACY ACT STATEMENT

Authority: 6 U.S.C. § 1140, 46 U.S.C. § 70105; 49 U.S.C. §§ 106, 114, 5103a, 40103(b)(3), 40113, 44903, 44935-44936, 44939, and 46105; the Implementing Recommendations of the 9/11 Commission Act of 2007, § 1520 (121 Stat. 444, Public Law 110-52, August 3, 2007); and Executive Order 9397, as amended.

Purpose: The Department of Homeland Security (DHS) will use your information to conduct a security threat assessment. Your fingerprints and associated information will be provided to the Federal Bureau of Investigation (FBI) for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems including civil, criminal, and latent fingerprint repositories. The FBI may retain your fingerprints and associated information in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI. DHS will also transmit your fingerprints for enrollment into Automated Biometrics Identification System (IDENT). If you provide your Social Security Number (SSN), DHS may provide your name and SSN to the Social Security Administration (SSA) to compare that information against SSA records to ensure the validity of the information.

Routine Uses: This system may disclose information in accordance with the Privacy Act, 5 U.S.C. 552a(b), including as a routine use pursuant to 5 U.S.C. 552a(b)(3) with third parties during the course of a security threat assessment, employment investigation, or adjudication of a waiver or appeal request to the extent necessary to obtain information pertinent to the assessment, investigation, or adjudication of your application under the TSA system of records notice (SORN) DHS/TSA 002, Transportation Security Threat Assessment System, or DHS/TSA 021, HME Threat Assessment Program for applicants to that program. Disqualifying criminal offenses uncovered during your application limit your ability to access TSA PreCheck expedited screening. For as long as your fingerprints and associated information are retained in NGI, your information may be disclosed pursuant to your consent or without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses.

Disclosure: Furnishing this information (including your SSN) is voluntary; however, if you do not provide your SSN or any other information requested, DHS may be unable to complete your application for a security threat assessment.

Disclosure: Paperwork Reduction Act Statement

PAPERWORK REDUCTION ACT STATEMENT

Statement of Public Burden: This is a voluntary collection of information, but failure to provide the information may result in an inability to approve your eligibility for the requested TSA program or benefit. TSA estimates that the total average burden per response associated with these information collections is approximately 30 minutes for online renewals; 2 hours for enrollment; 7.5 minutes for online survey; and 6 hours for appeals and waiver. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The control number for this collection is OMB 1652-0027, which expires 04/30/2022. Send comments regarding this burden estimate or collection to: TSA-11, Attention: PRA 1652-0027 Security Threat Assessment for Individuals Applying for a Hazardous Materials Endorsement for a Commercial Driver's License, 6565 Springfield Center Drive, Springfield, VA 20598-6011.



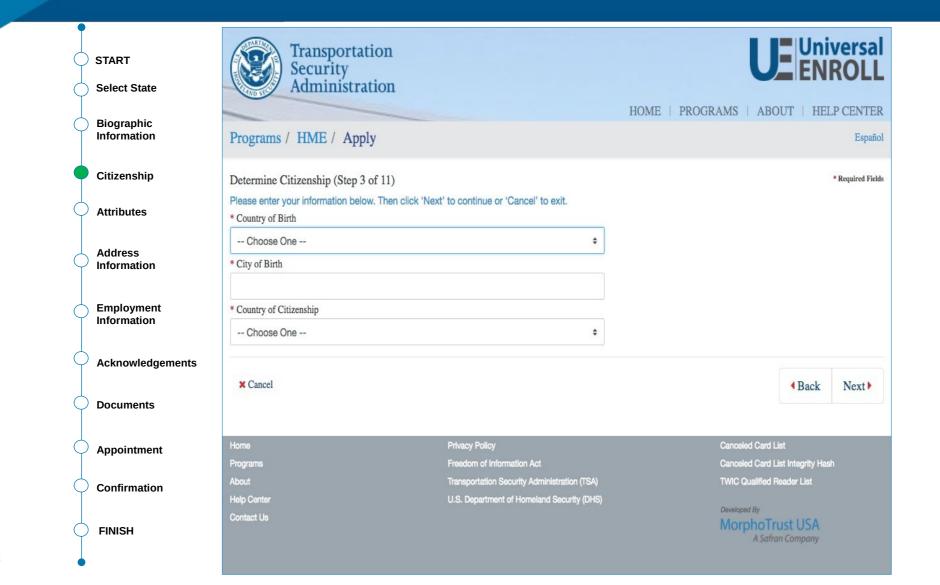
Part 1

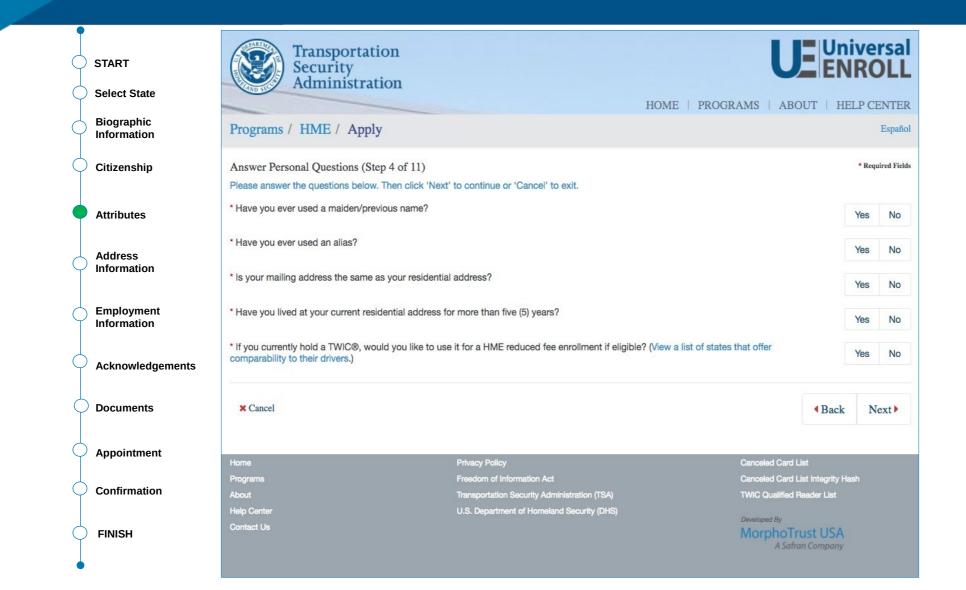
HME Online Pre-Enrollment Workflow (Optional)



	START	Transportation Security Administration			UE Universal ENROLL
¢) Select State	Programs / HME / Apply		HOME PROGI	RAMS ABOUT HELP CENTER Español
	Biographic Information	Enter Information (Step 2 of 11) Please enter your information below (letters, spaces,			* Required Fields lick 'Next' to continue or 'Cancel' to exit.
Ç	Citizenship	 Legal Name must match exactly on all identifi Remember the email address and phone num appointment and to check your status online. 			rmation during your in person
¢	Attributes	First Name	Ē	* Middle Name (or NMN if no middle name)	
	Address	* Last Name		Suffix Choose One +	
	Employment	Choose One * Method of Contact (At least one method is required)	irth (MM/DD/YYYY)	* Preferred Language English ÷	
	Acknowledgements	Email Country Code United States(+1)	•	Verify Email Phone 1	
	Documents	Country Code United States(+1)	÷	Phone 2	
	Documents	Preferred Method of Contact Email e			
	Appointment	× Cancel			Back Next
	Confirmation	Home Programs About	Privacy Policy Freedom of Information Act Transportation Security Adminis	stration (TSA)	Canceled Card List Canceled Card List Integrity Hash TWIC Qualified Reader List
Ċ	FINISH	Help Center Contact Us	U.S. Department of Homeland		Developed By MorphoTrust USA A Safran Company

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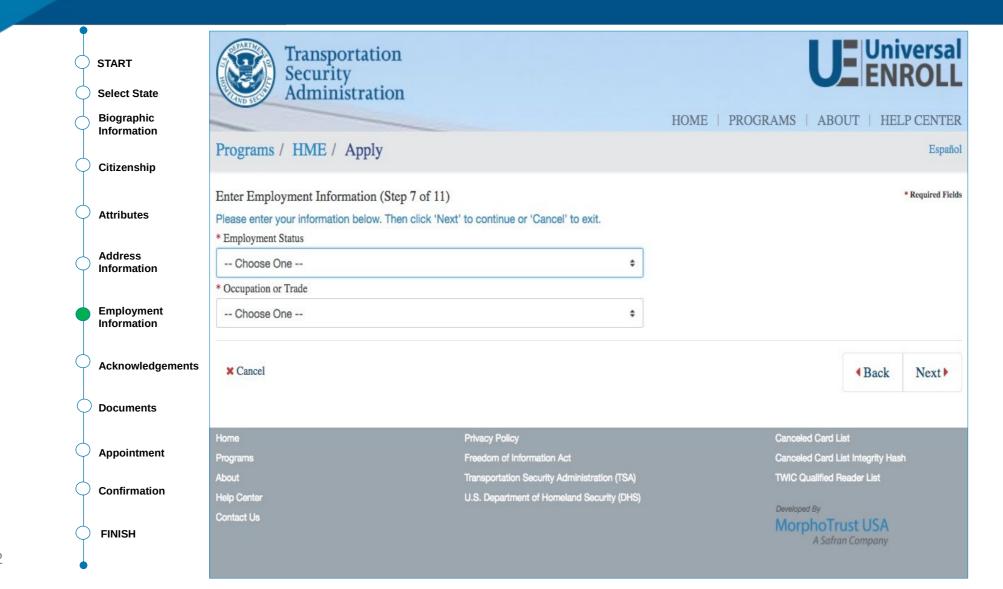


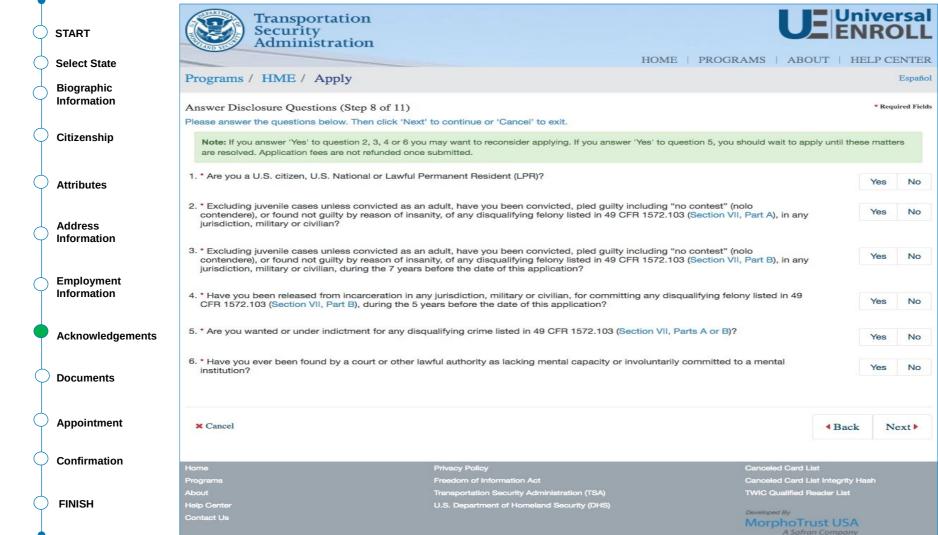


START	Transportation Security Administration			
Select State	Administration			
Biographic			HOME PROGR	AMS ABOUT HELP CENTE
Information	Programs / HME / Apply			Espa
Citizenship	Enter Personal Information (Step 5 of 11)			* Required F
			* Hair Color	* Eye Color
Attributes	US Metric		Choose One 🗘	Choose One
	* Height	* Weight		
Address Information	feet ft inches in	pounds Ibs		
Employment Information	* Commercial Driver License Number	CDL Issuing State/Province	e	
Acknowledgements				
Documents	× Cancel			Back Next
	Home	Privacy Policy		Canceled Card List
Appointment	Home			Canceled Card List
Appointment	Programs	Freedom of Information Act		Canceled Card List Canceled Card List Integrity Hash
Appointment Confirmation				

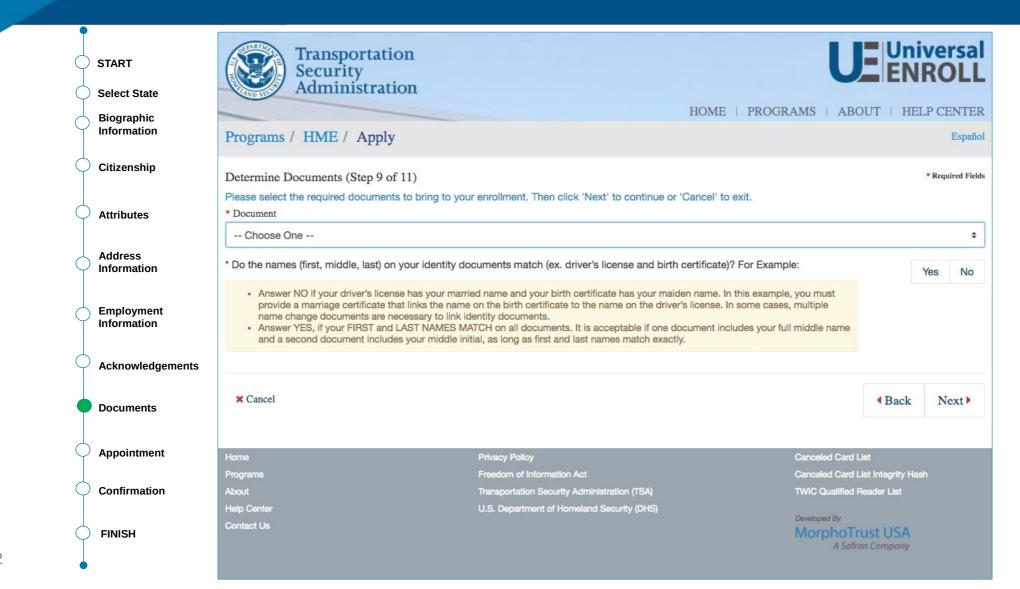
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0 s	START	Transportation Security Administration	1	
\diamondsuit :	Select State	Administration	II	HOME PROGRAMS ABOUT HELP CENT
	Biographic Information	Programs / HME / Apply		Espa
$\left(\begin{array}{c} \\ \\ \\ \end{array} \right)$	Citizenship	Enter Address (Step 6 of 11) Please enter your information below. The Mailing Address	en click 'Next' to continue or 'Cancel' to exit.	* Required Fi
ϕ ,	Attributes	* Country		
	Address Information	Choose One * Address Line 1 Address Line 2	¢ ا	
	Employment Information	* City		
ϕ	Acknowledgements	* Postal Code		
(Documents	× Cancel		Back Next
ϕ ,	Appointment	Calco		Back
φ (Confirmation	Home Programs About	Privacy Policy Freedom of Information Act Transportation Security Administration (TSA)	Canceled Card List Canceled Card List Integrity Hash TWIC Qualified Reader List
φ	FINISH	Help Center Contact Us	U.S. Department of Homeland Security (DHS)	Developed By MorphoTrust USA





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¢	START	Transportation Security			U	E Universal ENROLL
C	Select State	Administration	L	HOME	PROGRAMS ABO	
Ç	Biographic Information	Programs / HME / Apply Select Appointment Location (Step 1 Enter a Postal Code, City, Airport Code o	r Special Location Access Code to 'Sean		le your appointment.Use the	Español
Ç	Citizenship	your physical location. After selecting a location of the	pointment - Q		Number of Results	5 10 20
			Select the location row.			
		City	Location	Appts. Remaining (next 14 days)	First Available Appt.	
\square	Attributes	Columbia, TN	Anderson Place Shopping Center	417	Nov 24, 8:30am	30.8 mi
	Address Information	Columbia, TN Location ID: 8231 V IdentoGO Anderson Place Shopping Center 2516 Hospitality Ln Columbia, TN 38401-0216 Sign Up for Alerts	Hours: Monday - Thursday: 08:00 AM - 12:31 Friday: 08:00 AM - 12:30 PM & 01:30		м	SELECTED
		Nashville, TN	2501 McGavock Pike	1170	Nov 24, 7:30am	31.0 mi
¢	Employment Information	Fairview, TN	2096 Fairview Blvd.	297	Nov 24, 8:00am	39.4 mi
	mormation	Fairview, TN	2592 Fairview Blvd.	297	Nov 24, 8:00am	39.9 mi
		Cookeville, TN	580 S Jefferson Ave	297	Nov 24, 9:30am	58.1 mi
$\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{\mathbf{$	Acknowledgements	Decatur, AL	116 IPSCO St	396	Nov 24, 9:00am	89.6 mi
		Chattanooga, TN	6231 Perimeter Dr	391	Nov 24, 9:00am	89.7 mi
Ç	Documents	Jackson, TN	621 Old Hickory Blvd	330	Nov 24, 9:30am	135.3 mi
		Knoxville, TN	6923 Maynardville Pike	297	Nov 24, 10:30am	142.6 mi
•	Appointment	Cartersville, GA	958 Joe Frank Harris Pkwy SE	462	Nov 24, 8:30am	145.8 mi
¢	Confirmation	× Cancel				
	FINISH	Home Programs About Help Center Contact Us	Privacy Policy Freedom of Information Act Transportation Security Adminis U.S. Department of Homeland S		TWIC Qualified I Developed By MorphoTi	List Integrity Hash Reader List

C	START	Transportation Security Administratio	n n			UE	Universal ENROLL
\subset	Select State				HOME PROGI	RAMS ABOUT	HELP CENTER
C	Biographic Information	Programs / HME / Apply Select Date and Time (Step 11 of 1	1)				Español
C	Citizenship	Select a preferred date and time for you appointment for the available times or a and HME walk-in applicants will be prio	Il appointments are booked,	click the 'Back' button below			
C	Attributes	Appointment Date and Time (first availa * Select Date	ble displayed by default)	* Select Time			
C	Address Information	Thursday, Nov 24th, 2016 - OR - Walk-In	\$	08:30 AM	\$		
C	Employment Information	Location Details Columbia, TN Location ID: 8231	Hours: Monday - Thursday: 08:0	00 AM - 12:30 PM & 01:30 P	M - 04:30 PM		
C	Acknowledgements	♥ IdentoGO Anderson Place Shopping Center 2516 Hospitality Ln Columbia, TN 38401-0216	Friday: 08:00 AM - 12:30	PM & 01:30 PM - 03:30 PM			
\langle	Documents						
	Appointment	X Cancel				< Bac	ck Submit
C	Confirmation	Home Programs About		ormation Act Security Administration (TSA) nt of Homeland Security (DHS)		Canceled Card List Canceled Card List Inte TWIC Qualified Reader I	
\subset	FINISH	Help Center Contact Us	U.S. Departmen	it of Homeland Security (UHS)		Developed By MorphoTrust U A Safran Com	

•	Transportatio	m	Universal
	Security Administratio		
	Additional		HOME PROGRAMS ABOUT HELP CENTER
Select State	Programs / HME / Apply		Español
Biographic	HME		
mormation	You have successfully completed You should receive a confirmation ema		Ð
Citizenship	Pre-Enrolled Please visit an enrollment center wit 1. Provide required documentation ar	thin 120 days to complete your enrollment.	a sheat
Attributes	Status as of 11/23/2016.	suit card, money order, company check of certified/cashiels	S CHOCK.
Allibuics	Note: In-person enrollment must be comp	bleted within 120 days of pre-enrollment date.	
Address	Appointment Information		
Information	2. Passport Book or Card	NT(S) TO YOUR APPOINTMENT: e or outlying possession of the U.S. entification documents brought to enrollment.	
Employment	Appointment Time:	12/5/2016 @ 9:40 AM (CST)	
Information	Location: V IdentoGO Anderson Place Shopping Center 2516 Hospitality Ln	Columbia, TN	
Acknowledgements	Columbia, TN 38401-0216	Get Email Alerts for this location	
	Cancel Appointment		m Reschedule Appointment
Documents		canceling/rescheduling an appointment. enter and completed the process, you can check the status	of your service at the Universal Enrollment Services (UES)
Appointment	Date: UE ID: Service:	11/23/2016 UZZY-113B26 111168 - Enroll	
Confirmation		Done	
	Home	Privacy Policy	Canceled Card List
FINISH	Programs About Help Center	Freedom of Information Act Transportation Security Administration (TSA) U.S. Department of Homeland Security (DHS)	Canceled Card List Integrity Hash TWIC Qualified Reader List
	Contact Us		Davwloped By MorphoTrust USA A Safran Company

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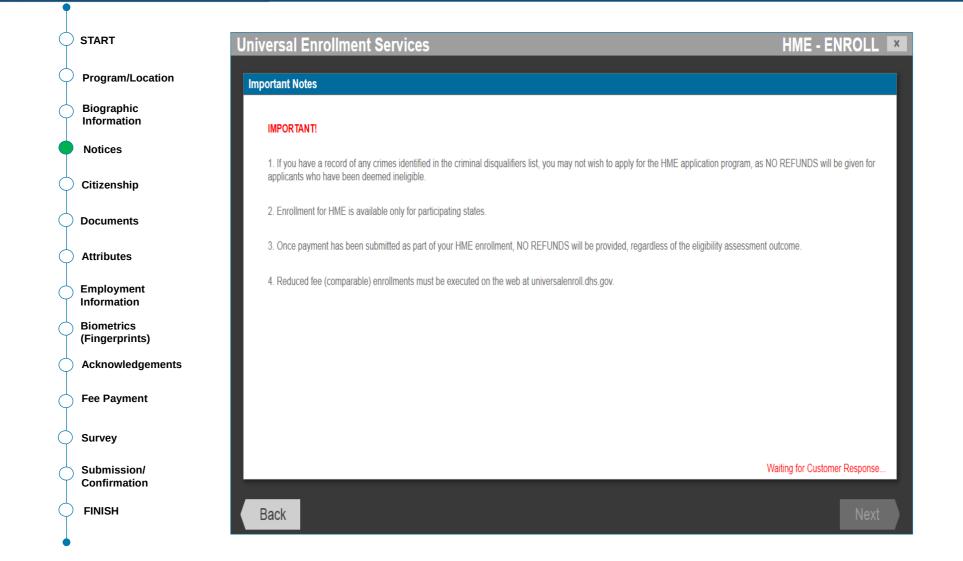


Part 2

HME In-Person Standard (New) Enrollment Workflow

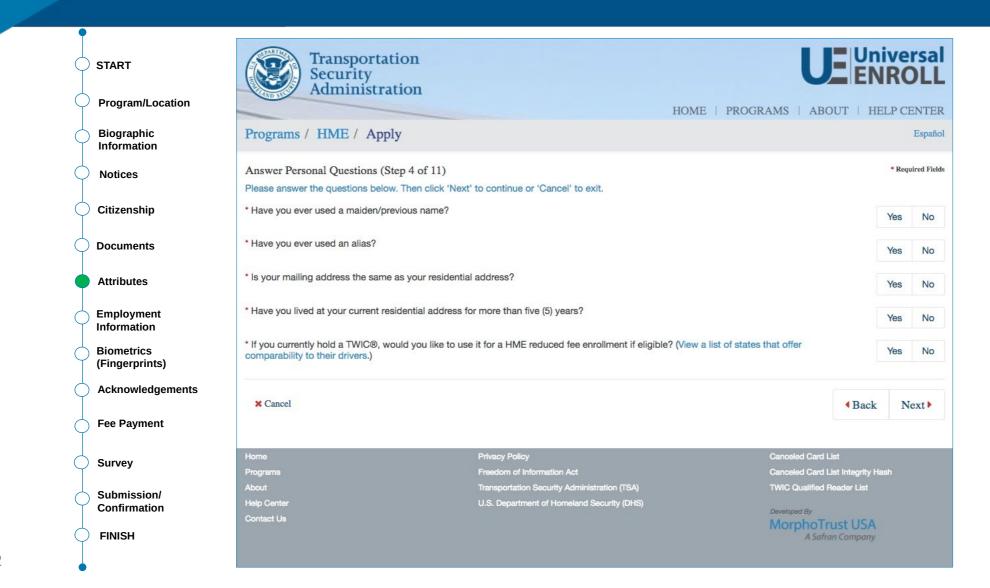
START	Universal Enrollment Services		X
Program/Location	Select Program	Select Customer Ser	vice
Biographic Information		Enroll	
Notices	НМЕ		
Citizenship			
Documents	OR - Enter Service Code		
Attributes	111168 Search		
Employment Information	HME	*CDL State of Issuance	
Biometrics (Fingerprints)		Alabama	*
Acknowledgements			
Fee Payment			
Survey			
Submission/ Confirmation			
FINISH	Back		Next

START	Universal Enrollment Services	
Program/Location	Enter Customer Information	
Biographic Information	Enrolling Under	Contact
Notices	*First Name	*Preferred Language
Citizenship	HME	English * At least one method is required)
Documents	*Middle Name NMN	Email Email (Confirm)
Attributes	*Last Name Suffix	
Employment Information	Enrollment	Country Code Phone 1
Biometrics (Fingerprints)	*Gender Male	Country Code Phone 2
Acknowledgements	*Date of Birth	United States (+1)
) Fee Payment	02/24/1983 February 24, 1983	*Preferred Method of Contact
Survey		Email
Submission/ Confirmation		
FINISH	Back	Ne



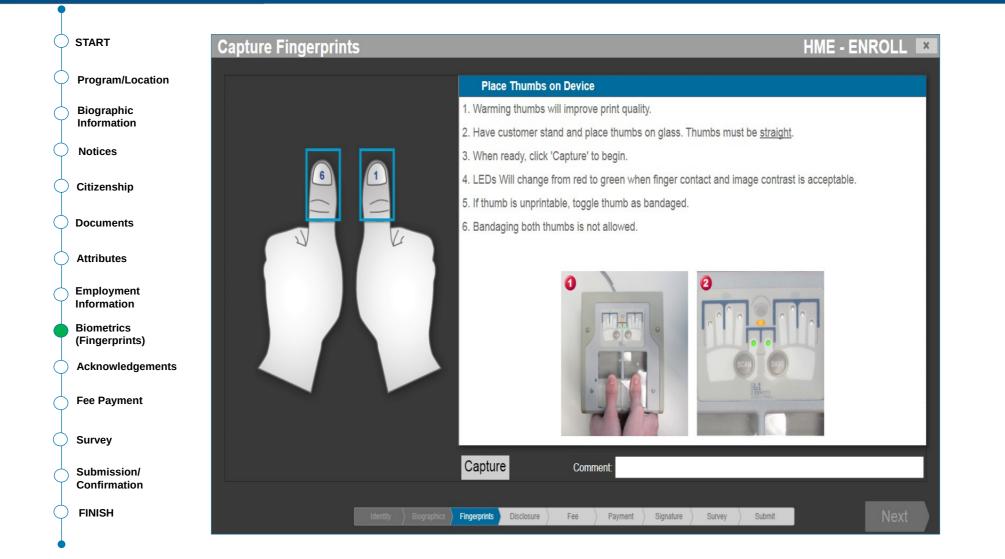
Ċ	START	Prove Identity										HME - EN	ROLL ×
¢	Program/Location	Determine Citizenship											
¢	Biographic Information	*Country of Birth					-						
¢	Notices	United States				v							
	Citizenship	*City of Birth Nashville						tate/Province o labama	of Birth				Ŧ
¢	Documents	*Country of Citizenship United States				-							
¢	Attributes												
ç	Employment Information												
Ċ	Biometrics (Fingerprints)												
¢	Acknowledgements												
ç	Fee Payment												
¢	Survey												
¢	Submission/ Confirmation											Waiting for Custome	er Response
¢	FINISH												
			Identity	Biographics	Fingerprints	Disclosure	Fee	Payment	Signature	Survey	Submit		<u>N</u> ext

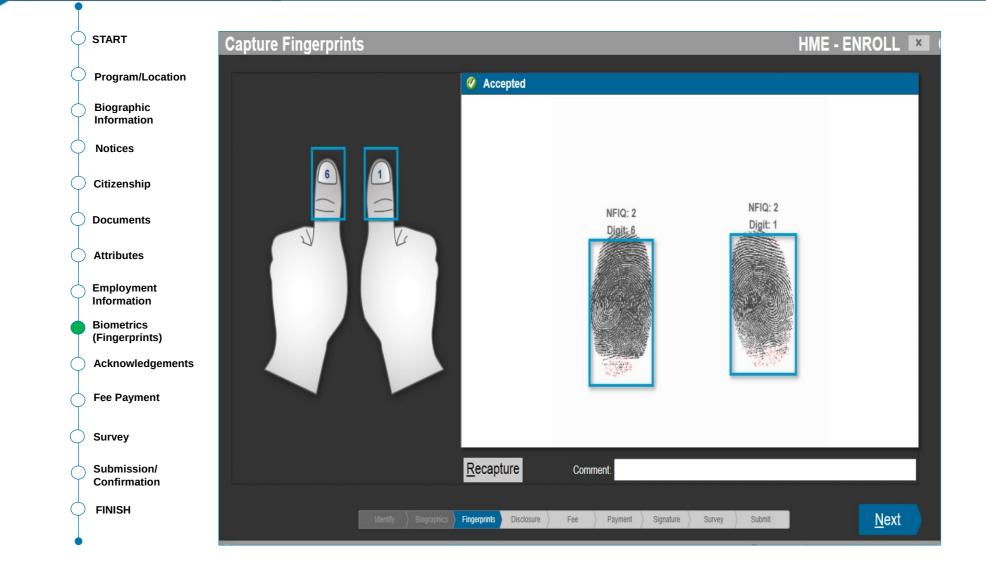
¢	START	Prove Identity	HME - ENROLL
¢	Program/Location	Determine Documents	
¢	Biographic Information	*Document Required Identity Documents:	
¢	Notices	Driver's License issued by a State or outlying possession of the U.S. * Driver's License issued by a State or outlying possess * Passport Book or Card	sion of the U.S.
Ċ	Citizenship	*Additional Document Passport Book or Card	
	Documents	* Does the name you are enrolling under match on all documents provided?	
¢	Attributes	● Yes ● No	
¢	Employment Information		
Ċ	Biometrics (Fingerprints)		
¢	Acknowledgements	Optional Identity Documents:	
¢	Fee Payment		
¢	Survey		
¢	Submission/ Confirmation		
Ċ	FINISH	Biographics Fingerprints Disclosure Fee Payment Signature Survey Submit	<u>N</u> ext

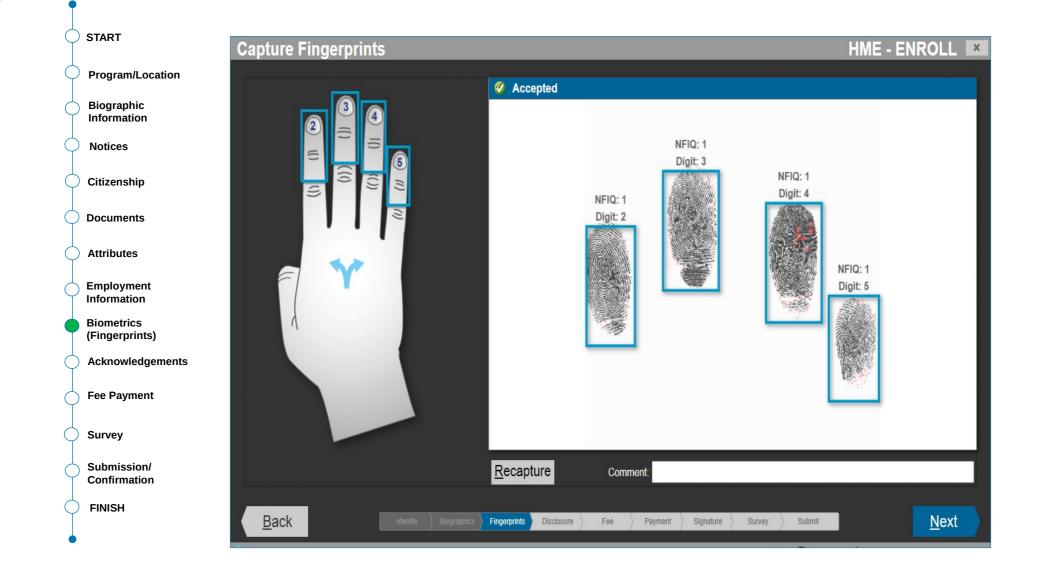


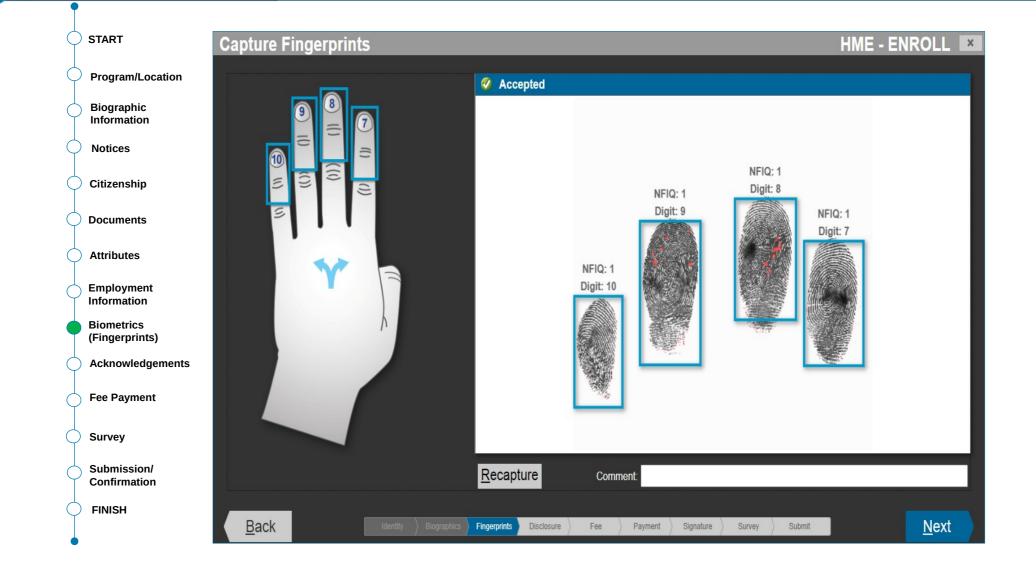
ϕ	START	Capture Biographic	S						HME -	ENROLL ×
φ	Program/Location	Enter Personal Information								
φ	Biographic Information	*First Name	*Middle N	lame	*Last Name		Suffix	*Date of Birth	*	Gender
0	Notices	. ● US ● Metric								
ϕ	Citizenship	*Height *Weight	t	*Hair Color Black	•	*Eye Co Black	lor •			
ϕ	Documents	5 ft 9 in 187	lbs							
•	Attributes	Social Security Number		Social Security Num	ber					
φ	Employment Information									
ϕ	Biometrics (Fingerprints)									
ϕ	Acknowledgements									
ϕ	Fee Payment									
ϕ	Survey									
ϕ	Submission/ Confirmation									
ϕ	FINISH									
		Back	Identity	Biographics Fingerprin	ts > Disclosure >	Fee Pay	/ment > Signature >	Survey Submit		<u>N</u> ext

¢	START	Capture Biographics	IME - ENROLL 🛛 🗙
¢	Program/Location	Enter Employment Information	
¢	Biographic Information	*Employment Status *Occupation or Trade	_
¢	Notices	Pre-Employment/Unemployed	*
¢	Citizenship		
¢	Documents		
¢	Attributes		
	Employment Information		
Ċ	Biometrics (Fingerprints)		
Ċ	Acknowledgements		
¢	Fee Payment		
Ċ	Survey		
¢	Submission/ Confirmation	Waiting	for Customer Response
Ċ	FINISH	Back Identity Biographics Fingerprints Disclosure Fee Payment Signature Survey Submit	<u>N</u> ext

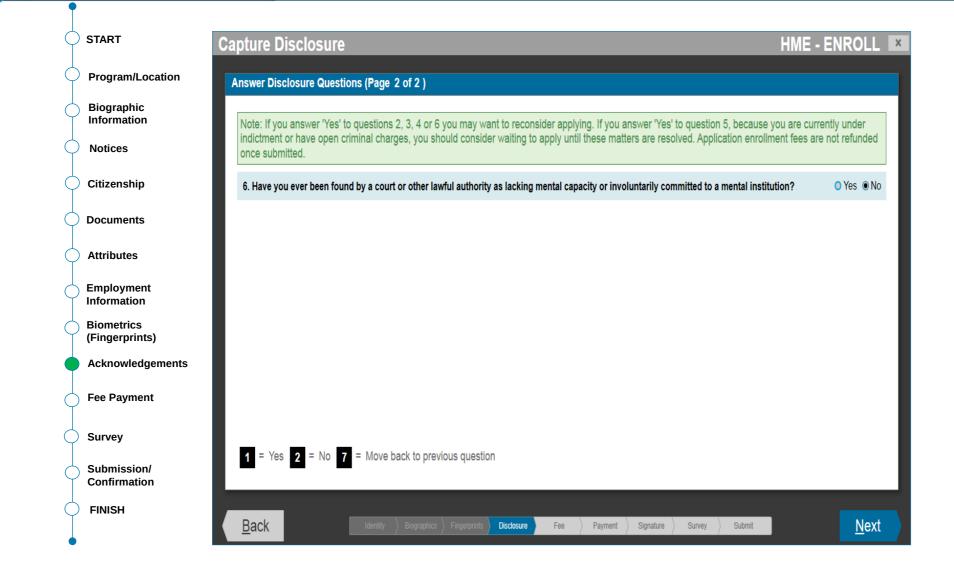








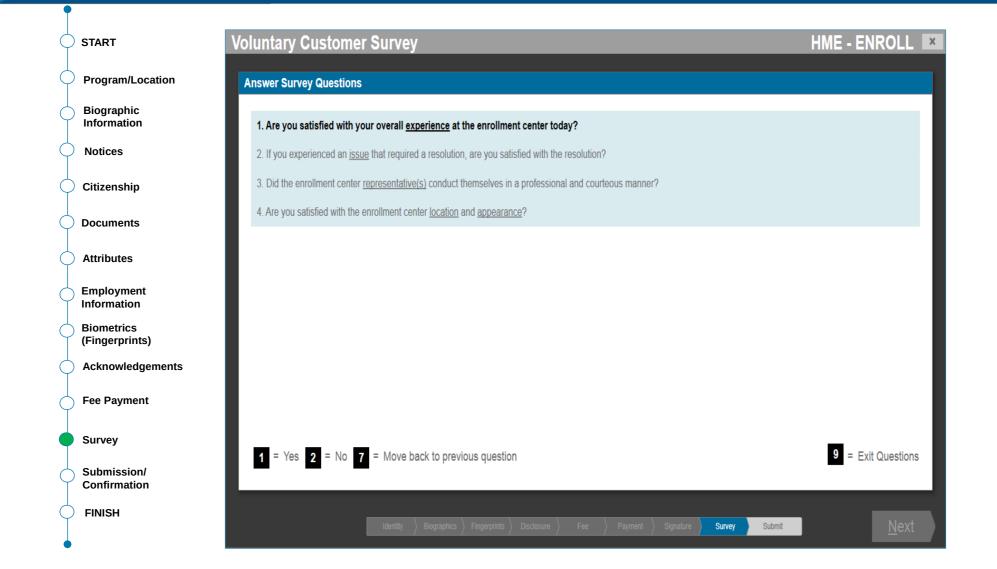
Biographic information Note: If you answer 'Yes' to questions 2, 3, 4 or 6 you may want to reconsider applying. If you answer 'Yes' to question 5, because indictment or have open criminal charges, you should consider waiting to apply until these matters are resolved. Application enrolls once submitted. Notices 1. Are you a U.S. citizen, U.S. National or Lawful Permanent Resident (LPR)? Documents 2. Excluding juvenile cases unless convicted as an adult, have you been convicted, pled guilty including 'no contest" (nolo contendere), or found or reason of insanity, of any disqualifying felony listed in TSA Eligibility Requirements, Part A, in any jurisdiction, military or civilian, during the 7 years of this application? Attributes 3. Excluding juvenile cases unless convicted as an adult, have you been convicted, pled guilty including 'no contest" (nolo contendere), or found or reason of insanity, of any disqualifying felony listed in TSA Eligibility Requirements, Part B, in any jurisdiction, military or civilian, during the 7 years of this application? Employment Information 4. Have you been released from incarceration in any jurisdiction, military or civilian, during the 7 years of this application? Biometrics (Fingerprints) 5. Are you wanted or under indictment for any disqualifying relieve listed in TSA Eligibility Requirements, Parts A or B? Fee Payment 5. Are you wanted or under indictment for any disqualifying crime listed in TSA Eligibility Requirements, Parts A or B? Survey 1 = Yes 2 = No 7 = Move back to previous question	you are currently under nent fees are not refund	r ded
 2. Excluding juvenile cases unless convicted as an adult, have you been convicted, pled guilty including "no contest" (nolo contendere), or found reason of insanity, of any disqualifying felony listed in TSA Eligibility Requirements, Part A, in any jurisdiction, military or civilian? 3. Excluding juvenile cases unless convicted as an adult, have you been convicted, pled guilty including "no contest" (nolo contendere), or found reason of insanity, of any disqualifying felony listed in TSA Eligibility Requirements, Part B, in any jurisdiction, military or civilian, during the 7 years of this application? 4. Have you been released from incarceration in any jurisdiction, military or civilian, for committing any disqualifying felony listed in TSA Eligibility Requirements, Parts A or B? 5. Are you wanted or under indictment for any disqualifying crime listed in TSA Eligibility Requirements, Parts A or B? 1 = Yes 2 = No 7 = Move back to previous question 		ucu
 Attributes Attributes Employment Information Biometrics (Fingerprints) Acknowledgements Fee Payment Survey 1 = Yes 2 = No 7 = Move back to previous question 	• Yes (O N
 Employment Information Biometrics (Fingerprints) Acknowledgements Fee Payment Survey 1 = Yes 2 = No 7 = Move back to previous question 	ot guilty by O Yes @) N
 Acknowledgements Fee Payment Survey 1 = Yes 2 = No 7 = Move back to previous question 	not guilty by O Yes () N
(Fingerprints) 5. Are you wanted or under indictment for any disqualifying crime listed in TSA Eligibility Requirements, Parts A or B? 5. Are you wanted or under indictment for any disqualifying crime listed in TSA Eligibility Requirements, Parts A or B? 5. Are you wanted or under indictment for any disqualifying crime listed in TSA Eligibility Requirements, Parts A or B? 6. Survey 1 = Yes 2 = No 7 = Move back to previous question	Requirements, O Yes (• N
Acknowledgements Fee Payment Survey 1 = Yes 2 = No 7 = Move back to previous question	◯ Yes (
Survey 1 = Yes 2 = No 7 = Move back to previous question	0 183 (
1 = Yes 2 = No 7 = Move back to previous question		
Figure 1 and 1		
Submission/ Confirmation		
FINISH		

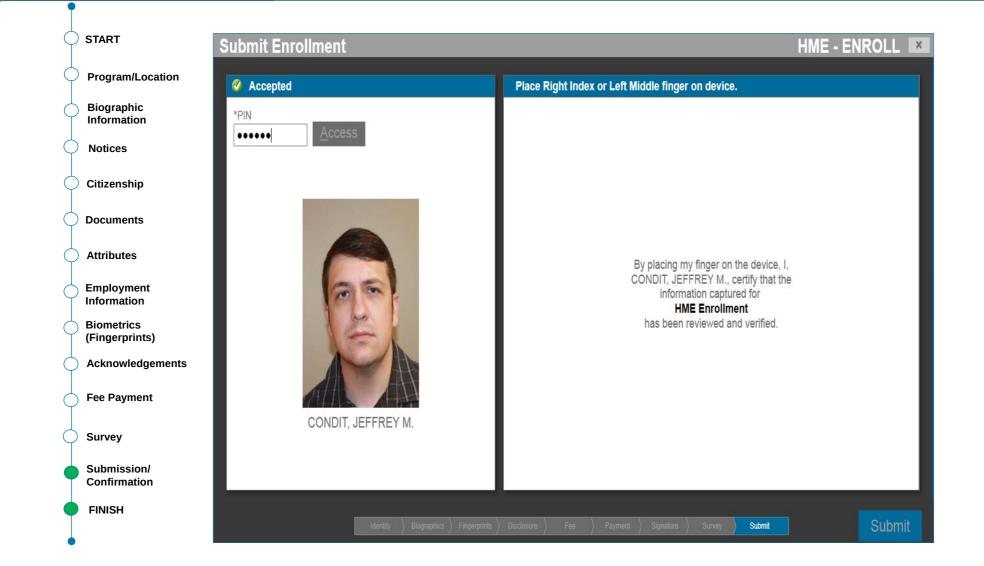


¢	START	Determine Fee	HME - ENROLL 🛛 🛛
¢	Program/Location	Calculate Total Fee	
¢	Biographic Information	\$86.50 - Full Fee (Security Threat Assessment expires in approximately 5 years)	\$86.50
¢	Notices		
¢	Citizenship	Authorization Code Apply	
¢	Documents		
¢	Attributes		
¢	Employment Information		
¢	Biometrics (Fingerprints)		
¢	Acknowledgements		
	Fee Payment		
¢	Survey		
¢	Submission/ Confirmation	Non-Refundabl	e Amount Due: \$86.50
¢	FINISH	Identity Biographics Fingerprints Disclosure Fee Payment Signature Survey Submit	<u>N</u> ext

START	Collect Payment	HME - ENROLL
Program/Location	Choose Payment Method	
Biographic Information	● Cre <u>d</u> it Card ○ Chec <u>k</u> ○ <u>M</u> oney Order	Non-Refundable Amount Due:\$86.50
Notices	Swipe Card	
Citizenship	We Accept:	
Documents		
Attributes	*Name on Card	
Employment Information	HME Enrollment	
Biometrics (Fingerprints)	*Credit Card Number 4111111111111111	
Acknowledgements	*Expiration Date *CSC	
Fee Payment	02 - / 20 - 202	Credit Card ending in 1111 was successfully charge
Survey	Cardholder other than the Applicant	\$86.5
Submission/ Confirmation		<u>C</u> harge
FINISH		Fae Payment Signature Survey Submit <u>N</u> ext

START	Signature HME - ENROLL
Program/Location	Disclosure
Biographic Information	I understand my continuing obligation to notify TSA within 24 hours if I am convicted or found not guilty by reason of insanity of any disqualifying crime, or adjudicated as a mental defective or committed to a mental institution, while I am enrolled in Hazardous Material Endorsement Threat Assessment Program.
Notices	The information I provided on this application is true, complete, and correct to the best of my knowledge and belief and is provided in good faith. I understand
Citizenship	that a knowing and willful false statement, or an omission of a material fact, can be punished by fine or imprisonment or both (see section 1001 of Title 18 United States Code), and may be grounds for denial of my application for the Hazardous Material Endorsement Threat Assessment program by TSA.
Documents	Credit Card Authorization
Attributes	By signing, I authorize MorphoTrust USA and/or their agents to charge my credit card for service(s) performed and/or products purchased. I agree that I will pay for this purchase in accordance with the issuing bank cardholder agreement.
Employment Information	
Biometrics (Fingerprints)	Applicant Signature
Acknowledgements	
Fee Payment	
Survey	Comment:
Submission/ Confirmation	Translator used to interpret disclosure
FINISH	identity Biographics Fingerprints Disclosure Fee Payment <mark>Signature Survey Submit N</mark> ext





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¢	START	Submit Enrollment					HME - EN	ROLL ×
¢	Program/Location	Summary						
¢	Biographic Information	Services				Customer	HME ENROLLM	
¢	Notices	HME - Enroll		SubTotal:	\$86.50 \$86.50	UE ID Auth #	UZZY-113B2 ET100214	6
¢	Citizenship			Total:	\$86.50	Date/Time:	11/23/2016@02:3 UES Mobile Engineer	
Ċ	Documents	Payment Credit Card ending in	(1111)		\$86.50	Enrollment Location: Notification Method:	jcondit@morphotru	- · ·
¢	Attributes			Amount Paid:	\$86.50			
Ċ	Employment Information							
¢	Biometrics (Fingerprints)							
Ċ) Acknowledgements							
¢	Fee Payment							
¢	Survey				Denvint			
	Submission/ Confirmation				Reprint			
•	FINISH		Identity Biographics >	Fingerprints Disclosure	Fee P	ayment) Signature) Survey	Submit	<u>F</u> inish



Part 3

HME Online Renewal Workflow (Proposed)

*Screenshots are examples only and actual online renewal screens may differ based on development considerations.

•	START	Please enter your information below (letters, spaces, hyphens (-), and apostr	rophes (') are allowed in name fields). Then click 'Next' to continue or 'Cancel' to exit.						
•	Biographic Information	 Legal Name must match exactly on all identification documents brought to enrollment. Remember the email address and phone numbers provided below, as they will be used to look-up application information during your in person appointment and to check your status online. 							
φ	Notices	Legal Name							
\bigcirc	Citizenship	* First Name	* Middle Name (or NMN if no middle name)						
\bigcirc	Attributes/State	* Last Name	Suffix Choose One 💠	Applicants may be					
\bigcirc	Employment Information	* Gender * Date of Birth (MM/DD/YYYY) Choose One	* Preferred Language English ÷	required to enter the current or expired CI State of License and the CDL identificatio					
\bigcirc	Acknowledgements	* Method of Contact (At least one method is required) Email	Verify Email	number, to confirm the applicant's information.					
ϕ	Fee Payment	Country Code	Phone 1						
\bigcirc	Submission/ Confirmation	United States(+1) Country Code							
	Survey	United States(+1)	\$						
	FINISH	* Preferred Method of Contact Email ¢							

ed to enter their t or expired CDL of License and **DL** identification r. to confirm the applicant's formation.

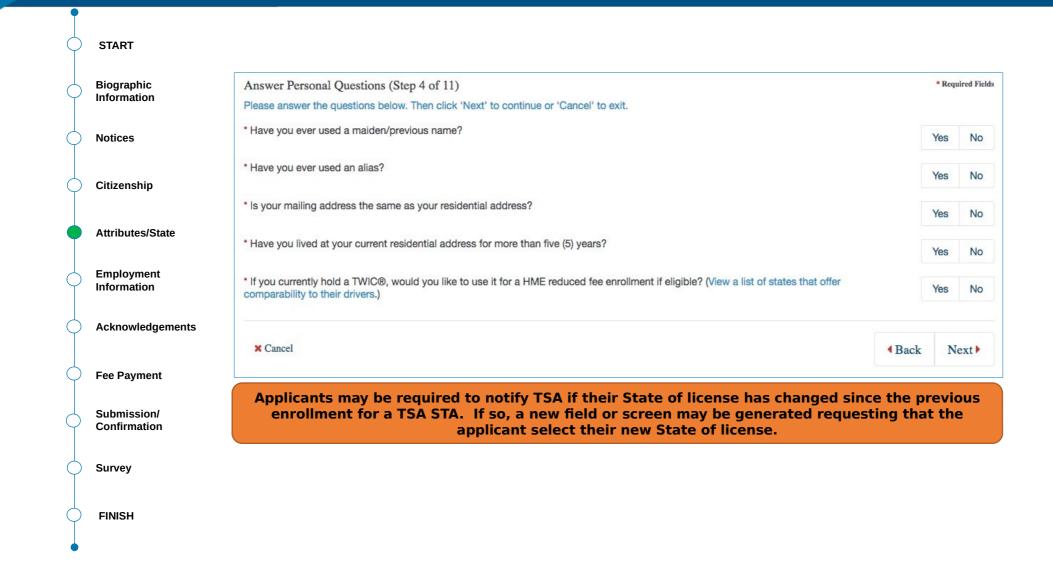
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ϕ	START	
	Biographic	Important Notes
$\left(\right)$	Information	
•	Notices	IMPORTANT!
\bigcirc	Citizenship	1. If you have a record of any crimes identified in the criminal disqualifiers list, you may not wish to apply for the HME application program, as NO REFUNDS will be given for applicants who have been deemed ineligible.
ϕ	Attributes/State	2. Enrollment for HME is available only for participating states.
ϕ	Employment Information	3. Once payment has been submitted as part of your HME enrollment, NO REFUNDS will be provided, regardless of the eligibility assessment outcome.
ϕ	Acknowledgements	4. Reduced fee (comparable) enrollments must be executed on the web at universalenroll.dhs.gov.
ϕ	Fee Payment	
$\left \right $	Submission/ Confirmation	
\ominus	Survey	
φ	FINISH	

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START			
Biographic Information			
Notices	Determine Citizenship (Step 2 of 10)		* Required Fields
Citizenship	Please enter your information below. Then click 'Next' to continue or * Country of Birth	'Cancel' to exit.	
	Choose One	÷	
Attributes/State	* City of Birth		
Employment Information	* Country of Citizenship		
Acknowledgements	Choose One	\$	
Fee Payment	× Cancel		Back Next
Submission/ Confirmation			
Survey			
FINISH			

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Notices	Enter Personal Info	ormation (Step 5 o	of 11)						* Required Fie
Citizenship	US Metric					* Hair Color	 * Eye Color	_	
Citizensnip	* Height			* Weight		Choose One	\$ Choose	One	
Attributes/State		ft inches	in	pounds	lbs				
Employment Information	* Commercial Driver Li	icense Number		CDL Issuing S	state/Province				
	Commercial Driver En								
Acknowledgements				Alabama					
Acknowledgements Fee Payment	× Cancel			Alabama				Back	Next

*Screenshots are examples only and actual online renewal screens may differ based on development considerations.

Information				
Notices	Enter Employment Information (Step 7 of 11)			* Required Fi
Citizenship	Please enter your information below. Then click 'Next' to continue or 'Cancel' to exit. * Employment Status			
Attributes/State	Choose One	*		
Employment	* Occupation or Trade			
Information	Choose One	\$		
Acknowledgements				
Fee Payment	× Cancel		Back	Next
Submission/ Confirmation				
Survey				

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	Answer Disclosure Questions (Step 8 of 11)		* Requ	ired Fields
Biographic Information	Please answer the questions below. Then click 'Next' to continue or 'Cancel' to exit. Note: If you answer 'Yes' to question 2, 3, 4 or 6 you may want to reconsider applying. If you answer 'Yes' to question 5, you should wait to app	ly until these	matte	re
Notices	are resolved. Application fees are not refunded once submitted.		matte	5
	1. * Are you a U.S. citizen, U.S. National or Lawful Permanent Resident (LPR)?		Yes	No
Citizenship	2. * Excluding juvenile cases unless convicted as an adult, have you been convicted, pled guilty including "no contest" (nolo contendere), or found not guilty by reason of insanity, of any disqualifying felony listed in 49 CFR 1572.103 (Section VII, Part A), in any jurisdiction, military or civilian?		Yes	No
Attributes/State				
Employment Information	3. * Excluding juvenile cases unless convicted as an adult, have you been convicted, pled guilty including "no contest" (nolo contendere), or found not guilty by reason of insanity, of any disqualifying felony listed in 49 CFR 1572.103 (Section VII, Part B), in any jurisdiction, military or civilian, during the 7 years before the date of this application?		Yes	No
Acknowledgements	4. * Have you been released from incarceration in any jurisdiction, military or civilian, for committing any disqualifying felony listed in 49 CFR 1572.103 (Section VII, Part B), during the 5 years before the date of this application?		Yes	No
Fee Payment	5. * Are you wanted or under indictment for any disqualifying crime listed in 49 CFR 1572.103 (Section VII, Parts A or B)?		Yes	No
Submission/ Confirmation	6. * Have you ever been found by a court or other lawful authority as lacking mental capacity or involuntarily committed to a mental institution?		Yes	No
Survey				
	× Cancel	Back	No	ext
FINISH				

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ϕ	START	Determine Fee HME - E	NROLL ×	
$\left \right\rangle$	Biographic Information	Calculate Total Fee		
\bigcirc	Notices	\$86.50 - Full Fee (Security Threat Assessment expires in approximately 5 years)	\$86.50	
0	Citizenship	Authorization Code Apply		*Note: The HME online renewal fee may be reduced to reflect the
ϕ	Attributes/State			enrollment segment costs of online transaction. (The
\bigcirc	Employment Information			example depicts the HME standard enrollment fee.)
ϕ	Acknowledgements			Applicants may enter an Authorization Code for
•	Fee Payment			payment if obtained from TSA or another Federal agency.
ϕ	Submission/ Confirmation			
$\left \right\rangle$	Survey	Non-Refundable Amount Du	e: \$86.50	
ϕ	FINISH	Identity Biographics Fingerprints Disclosure <mark>Fee</mark> Payment Signature Survey Submit	<u>N</u> ext	

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Ç	START	Collect Payment	HME - ENROLL	
Ċ	Biographic Information	Choose Payment Method ● Credit Card ○ Check ○ Money Order	Non-Refundable Amount Due:\$86.50	
¢	Notices	Swipe Card		*Note: The HME online
¢	Citizenship			renewal fee may be reduced to reflect the enrollment segment
¢	Attributes/State			costs of online transaction. (The
¢	Employment Information	*Name on Card HME Enrollment		example depicts the HME standard enrollment fee.)
¢	Acknowledgements	*Credit Card Number 411111111111111		Applicants may enter an Authorization Code for payment if obtained
	Fee Payment	*Expiration Date *CSC 02 • / 20 • 202		from TSA or another Federal agency.
$\left \right $	Submission/ Confirmation	Cardholder other than the Applicant	Credit Card ending in 1111 was successfully charged \$86.50	
¢	Survey		<u>C</u> harge	
¢	FINISH	identity $ angle$ Biographics $ angle$ Fingerprints $ angle$ Disclosure $ angle$ Fee	Payment Signature Survey Submit Next	

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ϕ	START	Submit Enrollment			HME - ENROLL 💌	
ϕ	Biographic Information	Summary				
\bigcirc	Notices	Services HME - Enroll	\$86.50	Customer UE ID	HME ENROLLMENT UZZY-113B26	
	Citizenship	SubTotal:	\$86.50	Auth # Date/Time:	ET100214 11/23/2016@02:31 PM	*Note: The HME online renewal fee may be
	Attributes/State	Total: Payment Credit Card ending in (1111)	\$86.50 \$86.50	Enrollment Location: Notification Method:	UES Mobile Engineering (8203) jcondit@morphotrust.com	reduced to reflect the enrollment segment costs of online
0	Employment Information	Amount Paid:				transaction. (The example depicts the HME standard enrollment fee.)
\ominus	Acknowledgements					Applicants may enter an Authorization Code for
•	Fee Payment					payment if obtained from TSA or another Federal agency.
$\left \right\rangle$	Submission/ Confirmation					
ϕ	Survey					
	FINISH	Identity > Biographics > Fingerprints > Disclosure >	Fee	Payment > Signature > Survey	Submit <u>F</u> inish	5

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\bigcirc	START						
ϕ	Biographic Information	W HME					
ϕ	Notices						
\diamond	Citizenship	Post Enrollment Survey					
ϕ	Attributes/State	How satisfied are you with your overall enrollment experience? Very satisfied Satisfied Neither satisfied nor dissatisfied Dissatisfied Very dissatisfied O O O O					
ϕ	Employment Information	If you have any additional feedback about your experience that you would like to provide, please provide it here.					
ϕ	Acknowledgements	If you experienced an issue that required a resolution, how satisfied are you with the resolution?					
ϕ	Fee Payment	Very satisfied Satisfied Neither satisfied Dissatisfied Dissatisfied Very dissatisfied N/A O O O O Did the enrollment representative(s) conduct themselves in a professional and courteous manner?					
ϕ	Submission/ Confirmation	• ○ Yes • ○ No					
•	Survey	How satisfied are you with the enrollment location and appearance? Very Satisfied Satisfied Neither Satisfied Dissatisfied Very Dissatisfied					
•	FINISH	Submit 0%					

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elect Appointment Location (S	step 9 of 10)			
	ode or Special Location Access Code to 'Sea ag a location, click 'Next' to continue or 'Cano		ule your appointment.Use the	e 🕈 to search closest to
ar physical location. After selectin	ig a location, click resk to continue of Carlo	of to exit.		
37128 9 111111	I, Appointment - Q		Number of Results	5 10 20
	Select the location row.	Then click 'Next' to co	ntinue.	1
tity	Location	Appts. Remaining (next 14 days)	First Available Appt.	
Franklin, TN	6840 Carothers	891	Nov 24, 8:00am	22.1 mi
Franklin, TN Location ID: 837270091 ♥ UESCS-91 Test Location 6840 Carothers Franklin, TN 37067 ▲ Sign Up for Alerts	Hours: Monday - Friday: 08:00 AM - 05:00 F	m		SELECTED
Columbia, TN	Anderson Place Shopping Center	417	Nov 24, 8:30am	30.8 mi
Nashville, TN	2501 McGavock Pike	1170	Nov 24, 7:30am	31.0 mi
Fairview, TN	2096 Fairview Blvd.	297	Nov 24, 8:00am	39.4 mi
Fairview, TN	2592 Fairview Blvd.	297	Nov 24, 8:00am	39.9 mi
Cookeville, TN	580 S Jefferson Ave	297	Nov 24, 9:30am	58.1 mi
Decatur, AL	116 IPSCO St	396	Nov 24, 9:00am	89.6 mi
Chattanooga, TN	6231 Perimeter Dr	391	Nov 24, 9:00am	89.7 mi
Jackson, TN	621 Old Hickory Blvd	330	Nov 24, 9:30am	135.3 mi
Knoxville, TN	6923 Maynardville Pike	297	Nov 24, 10:30am	142.6 mi
× Cancel				Back Next Next

If during the online renewal process TSA determines that the applicant is required to visit an enrollment center in-person to provide updated biographic or biometric information, the applicant will have the opportunity to schedule an appointment.



Part 4

HME Post-Enrollment Survey

HME Post-Enrollment Survey

*Screenshots are examples only and actual online screens may differ based on development considerations.

Do you want to participate in this optiona YES



HME Post Enrollment Survey -How satisfied are you with your overall enrollment experience? Very satisfied Satisfied Neither satisfied nor dissatisfied Dissatisfied Very dissatisfied 0 0 0 0 If you have any additional feedback about your experience that you would like to provide, please provide it here. -If you experienced an issue that required a resolution, how satisfied are you with the resolution? Very satisfied Satisfied Neither satisfied or dissatisfied Dissatisfied Very dissatisfied N/A 0 0 0 Did the enrollment representative(s) conduct themselves in a professional and courteous manner? O Yes • O No How satisfied are you with the enrollment location and appearance? Very Satisfied Satisfied Neither Satisfied or Dissatisfied Dissatisfied Very Dissatisfied 0 \bigcirc \bigcirc

to complete a post-enrollment survey. Currently, this survey is offered during the in-person standard enrollment workflow at the enrollment workstation.

TSA plans to transition the optional survey to a web-based survey that will be sent to HME applicants who use TSA's enrollment provider via e-mail or hyperlink following submission of their enrollment. Applicants will have the ability to complete the optional survey after departing the enrollment