# Online Unified Reporting Tool (URT)

THIRA/SPR Screenshots
December 15, 2020

# Paperwork Burden Disclosure Notice

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Paperwork Burden Disclosure Notice

**Title:** Threat and Hazard Identification and Risk Assessment (THIRA) – Stakeholder Preparedness Review (SPR) Unified Reporting Tool

**OMB Number:** 1660-0131

**FEMA Form Number(s):** 008-0-19 and 008-0-20

**Expiration Date:** February 28, 2022

## PAPERWORK BURDEN DISCLOSURE NOTICE

Stakeholder Preparedness Review Public reporting burden for this data collection is estimated to average 290 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting this form. You are not required to respond to this collection of information unless a valid OMB control number is displayed on this form. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 1800 South Bell Street, Arlington, VA 20598-3005, Paperwork Reduction Project (1660-0131) NOTE: Do not send your completed form to this address.

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# THIRA/SPR Assessment Dashboard (1 of 3)



**Selected Jurisdiction:** Venus

⇄ Switch Jurisdiction   👥 Manage Users

User Guide
☐ See Prior Year's Data

📄 THIRA Presubmission Report
📄 SPR Presubmission Report
📄 THIRA Executive Summary Report
📄 SPR Executive Summary Report
📄 THIRA Tear Sheet Report
📄 SPR Tear Sheet Report

↪ Submit THIRA to FEMA
↪ Submit SPR to FEMA

❌ **2020 THIRA/SPR Assessment Dashboard**

Use this page to navigate through the THIRA/SPR assessment and to check your progress in completing the THIRA/SPR.

Using the navigation form below, you can quickly determine which steps of the THIRA/SPR assessment are required for your jurisdiction, assess which steps are complete, and connect directly to any step by clicking on the edit button. For each step of the THIRA/SPR, the navigation form includes the following information:

**Completion Status:** The status indicator shows red if the step is incomplete and changes to green when complete. If you begin a step, but do not finish that step, the indicator will remain red until the step is fully completed.

**Required:** The requirement indicator specifies if your jurisdiction is required to complete that step of the THIRA/SPR. You must complete all required steps prior to submission, and may optionally complete steps that are not required.

**Edit:** The edit indicator will connect you directly with the form for that step to allow you to edit your response.

Note that the navigation form includes this information at the core capability level to better assist you in completing the THIRA/SPR for each of the following: THIRA Step 3 and the SPR Assessment.

Once all required steps show green status indicators, the approving authority can submit the THIRA/SPR to FEMA using the respective buttons below.

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# THIRA/SPR Assessment Dashboard (2 of 3)



THIRA Progress (Year 2 of 3; Mitigation Year 2 of 6)
4%

SPR Progress
6%

❌ THIRA Step 1 and Step 2: Enter Threats/Hazards With Context ▶
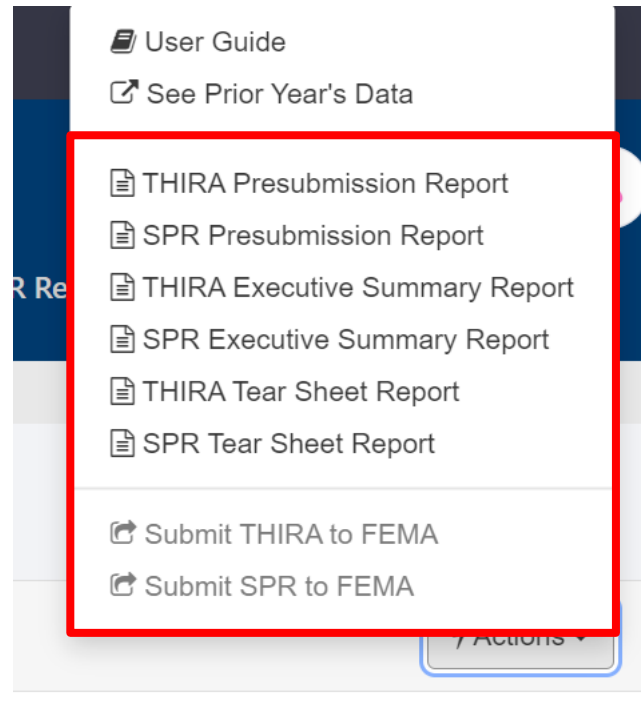
❌ THIRA Step 3: Establish Capability Targets ▶

❌ SPR Capability Assessment ▶

✅ THIRA/SPR Post Assessment

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# THIRA Step 1
## FEMA Form 008-0-19



**THIRA Step 1 and Step 2: Enter Threats/Hazards With Context**

⊕ Add Threat/Hazard  ☑ Validate for Submission

| | | Status | Threat/Hazard Name |
|---|---|---|---|
| ✎ 🗑 | | ❌ | Blizard |
| ✎ 🗑 | | ❌ | Ice storm |
| ✎ 🗑 | | ❌ | New Threat 08.27 |
| ✎ 🗑 | | ❌ | Rory Threat |
| ✎ 🗑 | | ❌ | SouthEast Category 5 Hurricane |

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# THIRA Step 2 (1of 3)
## FEMA Form 008-0-19

**Threat/Hazard**

**Category** *

-- Select --

**Type** *

-- Select --

**Context Description** *

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# THIRA Step 2 (2 of 3)
## FEMA Form 008-0-19

**Standardized Impact Entries** *                                                              ▼

⊕ Add Row    ⬇ Download

| Impact Category | Impact Number |
|---|---|
| (#) jurisdictions affected | |
| (#) partner organizations involved in incident management | |
| (#) people affected | |
| (#) people with access and functional needs (affected) | |
| (#) people with limited English proficiency affected | |
| (#) customers (without water service) | |
| (#) customers (without wastewater service) | |
| (#) customers (without communication service) | |
| (#) customers (without power service) | |
| (#) people requiring evacuation | |
| (#) people with access and functional needs (requiring evacuation) | |

**Non-Standardized Impact Entries (Optional)**                                                  ▼

⊕ Add Row    🗑 Clear All    ⬇ Download

**Impact**

(NONE)

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# THIRA Step 2 (3 of 3)
## FEMA Form 008-0-19

**Source Identification**

**What source(s) did you use to develop the context description and calculate your impacts?** *

- ☐ Real-world events
- ☑ Response plans
- ☐ Hazard mitigation plans (including Hazard Identification and Risk Assessment)
- ☐ Other plans
- ☐ Subject-matter experts (SMEs)
- ☐ Modeling or tools
- ☑ Exercises
- ☐ After-Action Reports
- ☐ Fusion Center products and assessments

- ☐ County/Municipal THIRAs
- ☐ Other reports (government, academic, non-profit)
- ☐ Prior year THIRAs
- ☐ Other existing threat and hazard assessments
- ☐ Capability Assessments (such as the SPR)
- ☐ Resource Inventory
- ☐ Improvement plans
- ☐ Other

**Describe how you used these sources to inform this scenario.**

We have experienced a number of somewhat smaller earthquakes, which we used to inform the impacts of our scenario. Our community also has conducted extensive earthquake response exercises, which we used to determine how badly this scenario would hamper our abilities to respond.

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# THIRA Step 3 (1of 3)
## FEMA Form 008-0-19

# THIRA Step 3 (2 of 3)
## FEMA Form 008-0-19

**Standardized Impacts for Capability** ▾

Add Standardized Impacts

| Impact Category | Active Shooter | Cyber Attack | Earthquake | Flood |
|---|---|---|---|---|
| (#) people with limited English proficiency affected | 32 | | 5000 | 4000 |
| (#) people with access and functional needs (affected) | 640 | | 5000 | 4000 |
| (#) people affected | 3200 | 400000 | 45000 | 40000 |

**Non-Standardized Impacts for Capability** ▾

Add/Remove Non-Standardized Impacts

| Threat/Hazard | Impact |
|---|---|
| Flood | Custom Impact |
| Cyber Attack | Non-standardized impact |

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# THIRA Step 3 (3 of 3)
## FEMA Form 008-0-19

**Capability Target** *

Within [ 3 ] [ hour(s) ] notice of an incident, deliver reliable and actionable information to [ 25 ] people affected, including [ 10 ] people with access and functional needs (affected) and [ 4 ] people with limited English proficiency affected.

**Please provide any additional context necessary to understanding your capability target**

,k

**Maximum Requirement**

Within [ 5 ] [ hour(s) ] notice of an incident, deliver reliable and actionable information to [ 35 ] people affected, including [ 15 ] people with access and functional needs (affected) and [ 6 ] people with limited English proficiency affected.

**Which of your identified threats and hazards most challenges your ability to achieve this capability target?** *

Earthquake ⇕

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# SPR: Assessment Dashboard
## FEMA Form 008-0-20

# SPR Step 1 (1of 2)
## FEMA Form 008-0-20

✓ **2020 SPR Assessment - Command, Control, and Coordination; National Incident Management System/Incident Command System Compliance; Stakeholder Engagement**    💾 Save    ⚡ Actions ▾

**Capability Target**

Within **3 day(s)** of a potential or actual incident, establish and maintain a unified and coordinated operational structure and process across **3** jurisdictions affected and with **3** partner organizations involved in incident management. Maintain for **3 day(s)**.

**SPR Step 1: Assess Capabilities** *                                                                          ▾

⬇ Download

| Metric | Estimated Beginning Capability | Capability Lost | Capability Sustained | Capability Built | Estimated Current Capability |
|---|---|---|---|---|---|
| (#) partner organizations involved in incident management * | 8 | 0 | 8 | 0 | 8 |
| (#) jurisdictions affected * | 8 | 0 | 8 | 0 | 8 |

**Estimate of Current Capability**

Within **3 day(s)** of a potential or actual incident, establish and maintain a unified and coordinated operational structure and process across **8** jurisdictions affected and with **8** partner organizations involved in incident management. Maintain for **3 day(s)**.

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# SPR Step 1 (2 of 3)
## FEMA Form 008-0-20

**Capability lost in the following POETE areas**

- [ ] Planning
- [ ] Organization
- [ ] Equipment
- [ ] Training
- [ ] Exercises

**Sustainment investments made in the following POETE areas**

- [ ] Planning
- [ ] Organization
- [ ] Equipment
- [ ] Training
- [ ] Exercises

**Building investments made in the following POETE areas**

- [ ] Planning
- [ ] Organization
- [ ] Equipment
- [ ] Training
- [ ] Exercises

Describe how you have lost, sustained, and built capability over the past year: *

**Capability Lost**

**Capability Sustained**

**Capability Built**

Do you have a mutual aid agreement(s) relevant to achieving this target? *

-- Select --

# SPR Step 1 (3 of 3)
## FEMA Form 008-0-20

**What is your confidence in the accuracy of your capability assessment?** *

Lower Confidence            ○ 1  ○ 2  ● 3  ○ 4  ○ 5            Higher Confidence

**What sources did you use to estimate your capabilities?** *

- ☐ Real-world events
- ☐ Response plans
- ☐ Hazard mitigation plans (including Hazard Identification and Risk Assessment)
- ☐ Other plans
- ☐ Subject-matter experts (SMEs)
- ☐ Modeling or tools
- ☐ Exercises
- ☐ After-Action Reports
- ☐ Fusion Center products and assessments
- ☐ County/Municipal THIRAs
- ☐ Other reports (government, academic, non-profit)
- ☐ Prior year THIRAs
- ☐ Other existing threat and hazard assessments
- ☐ Capability Assessments (such as the SPR)
- ☐ Resource Inventory
- ☐ Improvement plans
- ☐ Other

**Please use the free-text box below to describe other sources used to estimate your capabilities.**

**Other Plans** *

**Provide any additional context required to support your capability assessment (optional)**

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# SPR Step 2
## FEMA Form 008-0-20

**SPR Step 2: Identify and Address Capability Gaps** *

| Impact Category | Capability Target | Estimated Current Capability | Capability Gap |
|---|---|---|---|
| (#) partner organizations involved in incident management | 3 | 8 | -5 |
| (#) jurisdictions affected | 3 | 8 | -5 |

**Identify the priority you place on fully achieving your capability target, whether by addressing the gap or if there is no gap, by maintaining current capability:** *

Medium Priority

### Describe your capability gaps

| POETE | ☐ Planning | ☐ Organization | ☐ Equipment | ☐ Training | ☐ Exercise |
|---|---|---|---|---|---|
| **Gap Description** | | | | | |

### Describe your approaches for addressing capability gaps and sustainment needs

| POETE | Planning | Organization | Equipment | Training | Exercise |
|---|---|---|---|---|---|
| **Build/Sustain** * | -- Select -- | -- Select -- | -- Select -- | -- Select -- | -- Select -- |
| **Description of Approach** * | | | | | |

**Identify the timeframe in which you will prioritize implementing these approaches:** *

No defined timeframe

17

# SPR Step 3 (1 of 3)
## FEMA Form 008-0-20

| SPR Step 3: Describe Impact of Funding Sources * | ▾ |
|---|---|

**Capability Sustained**

| Impact Category | Capability Sustained |
|---|---|
| (#) partner organizations involved in incident management | 8 |
| (#) jurisdictions affected | 8 |

**If your jurisdiction has not sustained this capability over the last year using a grant or other funding source, select "N/A" and then skip to the next question.** *

☐ N/A        **Assess the degree to which specific funding sources had a role in sustaining the capability assessed by the target**

| **Sustaining Funding Sources** | ▸ |
|---|---|

| Sustaining Funding Sources | ▾ |
|---|---|

● Add Row    ⬇ Download

| Funding Source Type | Funding Source | Funding Role | |
|---|---|---|---|
| Non-Federal Source | State, territory, or tribe | -- Select -- | ✔ OK |
| Non-Federal Source | Local | Not a known funding source | |
| Non-Federal Source | Private-sector or non-profit | Not a known funding source | |
| FEMA Preparedness and Mitigation Grants | AFG | Not a known funding source | |
| FEMA Preparedness and Mitigation Grants | CCTA | Not a known funding source | |
| FEMA Preparedness and Mitigation Grants | CSEPP | Not a known funding source | |
| FEMA Preparedness and Mitigation Grants | CTP | Not a known funding source | |
| FEMA Preparedness and Mitigation Grants | EMPG | Not a known funding source | |
| FEMA Preparedness and Mitigation Grants | FMAG | Not a known funding source | |
| FEMA Preparedness and Mitigation Grants | HMGP | Not a known funding source | |

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# SPR Step 3 (2 of 3)
## FEMA Form 008-0-20

**Capability Built**

| Impact Category | Capability Built |
|---|---|
| (#) partner organizations involved in incident management | |
| (#) jurisdictions | |

**If your jurisdiction has not built this capability over the last year using a grant or other funding source, select "N/A" and then skip to the next question.**

☐ N/A      Assess the degree to which specific funding sources had a role in building the capability assessed by the target

**Building Funding Sources** ▼

⊕ Add Row    ⬇ Download

| Funding Source Type | Funding Source | Funding Role |
|---|---|---|
| Non-Federal Source | State, territory, or tribe | -- Select -- ✔ OK |
| Non-Federal Source | Local | Not a known funding source |
| Non-Federal Source | Private-sector or non-profit | Not a known funding source |
| FEMA Preparedness and Mitigation Grants | AFG | Not a known funding source |

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# SPR Step 3 (3 of 3)
## FEMA Form 008-0-20

**Capability Built**

| Impact Category | Capability Built |
|---|---|
| (#) partner organizations involved in incident management | 0 |
| (#) jurisdictions affected | 0 |

**If your jurisdiction has not built this capability over the last year using a grant or other funding source, select "N/A" and then skip to the next question. ***

☐ N/A      **Assess the degree to which specific funding sources had a role in building the capability assessed by the target**

**Building Funding Sources** ▶

**Describe how the capabilities built or sustained with FEMA preparedness and mitigation grants were used in a real-world incident over the past year. ***

.

**Did your community make investments in support of a project identified in a Hazard Mitigation Plan over the past year? ***

No

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# SPR: Assessment Dashboard
## FEMA Form 008-0-20

# SPR Additional Functional Area Gaps
## FEMA Form 008-0-20

**⊗ 2020 SPR: Other Gaps - Operational Coordination**                    [🖫 Save] [⚡ Actions ▾]

**Functional Area Gaps**                                                                                ▾

[⬇ Download]

| Functional Area | Planning | Organization | Equipment | Training | Exercises | No Gaps |
|---|---|---|---|---|---|---|
| Allocating and Mobilizing Resources | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Determining Priorities, Objectives, Strategies | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Emergency Operations Center Management | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Ensuring Continuity of Government and Essential Services | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Ensuring Information Flow | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Ensuring Unity of Effort | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Establishing a Common Operating Picture | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Establishing Lines of Communication | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Establishing Roles and Responsibilities | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |

# THIRA/SPR: Assessment Dashboard
## FEMA Form 008-0-20

# THIRA/SPR Post Assessment (1 of 7)
## FEMA Form 008-0-20

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**✅ 2020 THIRA/SPR Post Assessment**　　　　　　　　　　　　　　　　　　　　　　　| 🖫 Save | ⚡ Actions ▾ |

Use this page to answer questions about the process you used in completing your THIRA and SPR. Enter answers in the appropriate cells below. The Journal Notes/Comment box at the bottom of the page is not part of your assessment, but you may optionally use it to record notes for future reference.

**THIRA/SPR Review**

**1. Which of the following types of governmental departments and agencies participated in the THIRA/SPR process, and how many departments/agencies of each type participated?** *

☐ N/A　　　　**Governmental Organization Participation**　　　　　　　　　　　　　　　　　　　　　▶

**2. Which of the following non-governmental stakeholders participated in the THIRA/SPR process, and how many organizations of each type participated?** *

☐ N/A　　　　**Non-Governmental Organization Participation**　　　　　　　　　　　　　　　　　　　▶

**3. (Optional) List the individual departments/agencies, non-governmental organizations, and jurisdictions that participated in the THIRA/SPR process**

# THIRA/SPR Post Assessment (2 of 7)
## FEMA Form 008-0-20

**4. What modeling or visualization tools did you use to inform the THIRA/SPR?** *

- [ ] ALOHA
- [ ] DHS OneView
- [ ] Flood maps
- [ ] HAZUS-MH
- [ ] HURREVAC
- [ ] LandScan USA
- [ ] National Climate Assessment Report
- [ ] SLOSH
- [ ] Sea Level Rise and Coastal Flooding Impacts Viewer
- [ ] US Army CORPS of Engineers Debris Model
- [ ] Other (please specify the tool)
- [ ] None

**5a. Please list three topics which require a training and/or education course(s) to be developed and offered in order to build and sustain core capabilities identified as shortfalls** *

**5b. Please list three training & education courses which are typically difficult to obtain but are necessary to build and sustain core capabilities identified as shortfalls** *

**5c. Please list three training & education courses which are critical priorities for closing the identified preparedness gaps** *

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# THIRA/SPR Post Assessment (3 of 7)
## FEMA Form 008-0-20

**5c. Please list three training & education courses which are critical priorities for closing the identified preparedness gaps** *

**6. Over the past year, in which three core capabilities has your jurisdiction made the most progress? (Select three)** *

| | |
|---|---|
| ☐ Planning | ☐ Critical Transportation |
| ☐ Public Information and Warning | ☐ Environmental Response/Health and Safety |
| ☐ Operational Coordination | ☐ Fatality Management Services |
| ☐ Forensics and Attribution | ☐ Fire Management and Suppression |
| ☐ Intelligence and Information Sharing | ☐ Logistics and Supply Chain Management |
| ☐ Interdiction and Disruption | ☐ Mass Care Services |
| ☐ Screening, Search, and Detection | ☐ Mass Search and Rescue Operations |
| ☐ Access Control and Identity Verification | ☐ On-scene Security, Protection, and Law Enforcement |
| ☐ Cybersecurity | ☐ Operational Communications |
| ☐ Physical Protective Measures | ☐ Public Health, Healthcare, and Emergency Medical Services |
| ☐ Risk Management for Protection Programs and Activities | ☐ Situational Assessment |
| ☐ Supply Chain Integrity and Security | ☐ Infrastructure Systems |
| ☐ Community Resilience | ☐ Economic Recovery |
| ☐ Long-term Vulnerability Reduction | ☐ Health and Social Services |
| ☐ Risk and Disaster Resilience Assessment | ☐ Housing |
| ☐ Threats and Hazards Identification | ☐ Natural and Cultural Resources |

**7. Considering all relevant factors (e.g. funding and training), in which three core capabilities are your community's capability levels in greatest danger of decreasing? (Select three)** *

| | |
|---|---|
| ☐ Planning | ☐ Critical Transportation |
| ☐ Public Information and Warning | ☐ Environmental Response/Health and Safety |
| ☐ Operational Coordination | ☐ Fatality Management Services |
| ☐ Forensics and Attribution | ☐ Fire Management and Suppression |
| ☐ Intelligence and Information Sharing | ☐ Logistics and Supply Chain Management |
| ☐ Interdiction and Disruption | ☐ Mass Care Services |
| ☐ Screening, Search, and Detection | ☐ Mass Search and Rescue Operations |
| ☐ Access Control and Identity Verification | ☐ On-scene Security, Protection, and Law Enforcement |
| ☐ Cybersecurity | ☐ Operational Communications |
| ☐ Physical Protective Measures | ☐ Public Health, Healthcare, and Emergency Medical Services |
| ☐ Risk Management for Protection Programs and Activities | ☐ Situational Assessment |
| ☐ Supply Chain Integrity and Security | ☐ Infrastructure Systems |
| ☐ Community Resilience | ☐ Economic Recovery |
| ☐ Long-term Vulnerability Reduction | ☐ Health and Social Services |
| ☐ Risk and Disaster Resilience Assessment | ☐ Housing |
| ☐ Threats and Hazards Identification | ☐ Natural and Cultural Resources |

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## FEMA Form 008-0-20

**6. Over the past year, in which three core capabilities has your jurisdiction made the most progress? (Select three)** *

| | |
|---|---|
| ☐ Planning | ☐ Critical Transportation |
| ☐ Public Information and Warning | ☐ Environmental Response/Health and Safety |
| ☐ Operational Coordination | ☐ Fatality Management Services |
| ☐ Forensics and Attribution | ☐ Fire Management and Suppression |
| ☐ Intelligence and Information Sharing | ☐ Logistics and Supply Chain Management |
| ☐ Interdiction and Disruption | ☐ Mass Care Services |
| ☐ Screening, Search, and Detection | ☐ Mass Search and Rescue Operations |
| ☐ Access Control and Identity Verification | ☐ On-scene Security, Protection, and Law Enforcement |
| ☐ Cybersecurity | ☐ Operational Communications |
| ☐ Physical Protective Measures | ☐ Public Health, Healthcare, and Emergency Medical Services |
| ☐ Risk Management for Protection Programs and Activities | ☐ Situational Assessment |
| ☐ Supply Chain Integrity and Security | ☐ Infrastructure Systems |
| ☐ Community Resilience | ☐ Economic Recovery |
| ☐ Long-term Vulnerability Reduction | ☐ Health and Social Services |
| ☐ Risk and Disaster Resilience Assessment | ☐ Housing |
| ☐ Threats and Hazards Identification | ☐ Natural and Cultural Resources |

**7. Considering all relevant factors (e.g. funding and training), in which three core capabilities are your community's capability levels in greatest danger of decreasing? (Select three)** *

| | |
|---|---|
| ☐ Planning | ☐ Critical Transportation |
| ☐ Public Information and Warning | ☐ Environmental Response/Health and Safety |
| ☐ Operational Coordination | ☐ Fatality Management Services |
| ☐ Forensics and Attribution | ☐ Fire Management and Suppression |
| ☐ Intelligence and Information Sharing | ☐ Logistics and Supply Chain Management |
| ☐ Interdiction and Disruption | ☐ Mass Care Services |
| ☐ Screening, Search, and Detection | ☐ Mass Search and Rescue Operations |
| ☐ Access Control and Identity Verification | ☐ On-scene Security, Protection, and Law Enforcement |
| ☐ Cybersecurity | ☐ Operational Communications |
| ☐ Physical Protective Measures | ☐ Public Health, Healthcare, and Emergency Medical Services |
| ☐ Risk Management for Protection Programs and Activities | ☐ Situational Assessment |
| ☐ Supply Chain Integrity and Security | ☐ Infrastructure Systems |
| ☐ Community Resilience | ☐ Economic Recovery |
| ☐ Long-term Vulnerability Reduction | ☐ Health and Social Services |
| ☐ Risk and Disaster Resilience Assessment | ☐ Housing |
| ☐ Threats and Hazards Identification | ☐ Natural and Cultural Resources |

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FEMA Form 008-0-20

8. Identify any promising practices that you believe could potentially benefit other jurisdictions completing this process *

9. How many hours did it take your jurisdiction to complete the THIRA (including any whole community collaboration, data gathering, and other preparation work)? *

10. How many hours did it take your jurisdiction to complete the SPR (including any whole community collaboration, data gathering, and other preparation work)? *

**FEMA**

# THIRA/SPR Post Assessment (6 of 7)
## FEMA Form 008-0-20

**Senior Advisory Committee**

Establishing a Senior Advisory Committee (SAC) is a requirement of States and Territories in the 2020 HSGP Funding Opportunity Announcement (FOA). The following survey questions capture the required elements of a SAC. Please complete this brief survey below to provide information on these required elements.

**Charter**

**Does your Senior Advisory Committee (SAC) have a charter to direct the governance of your State Homeland Security Program (SHSP) or Urban Areas Security Initiative (UASI) program?** *

-- Select --

**SAC Point of Contact**

+ New

🔍 Search

**Planning Questions**

1. Do you use THIRA scenarios and data to inform which scenarios you incorporate into plans? *

○ Never ○ Sometimes ◉ Almost Always

2. When developing plans, do you use standard impacts from the THIRA/SPR as planning factors? (Planning factors are projected impacts outlined in a plan; for example, "3.5 million customers without power.") *

○ Never ○ Sometimes ◉ Almost Always

3. Do you use THIRA targets as objectives in your plans? *

○ Never ○ Sometimes ◉ Almost Always

4. In your planning process, do you usually have an exercise to validate a new plan? *

○ Never ○ Sometimes ◉ Almost Always

5. When exercising a plan, do you use your THIRA targets as evaluation criteria to validate your ability to meet the objectives in your plans? *

○ Never ○ Sometimes ◉ Almost Always

6. After an exercise or real-world event, do you complete an after-action process to document lessons learned and areas for improvement? *

○ Never ○ Sometimes ◉ Almost Always

# THIRA/SPR Post Assessment (7 of 7)
## FEMA Form 008-0-20

**7. When areas for improvement are identified, do you document that information in your SPR as gaps?** *

○ Never  ○ Sometimes  ◉ Almost Always

**8. Do you use the FEMA community lifelines in planning?** *

○ Never  ○ Sometimes  ◉ Almost Always

**9. Do you use the FEMA community lifelines in response operations?** *

○ Never  ○ Sometimes  ◉ Almost Always

**10. Provide any additional context for your community's responses below.**

**Journal Notes/Comments**

**✱** Required for completion

**FEMA**

# CPG 101 (1/6)

**Comprehensive Preparedness Guide-101 Assessment**

## Overview

Welcome to the Comprehensive Preparedness Guide (CPG)-101, version 2.0 assessment.

This assessment supplements CPG-101, version 2.0 by tracking the timing for developing or revising an emergency operation plan (EOP). It also captures the planning elements contained in CPG-101 to enable you to analyze your EOP.

## Instructions

Use this page to complete the CPG-101 assessment below.

Once the form is completed, press the "Save" button. If you receive an error message, please address the error and re-save the assessment. Once you have successfully clicked the "Save" button without receiving any error messages, the approving authority can submit the CPG-101 assessment to FEMA using the "Submit CPG-101 to FEMA" button.

**Selected Jurisdiction:** Venus

⇄ Switch Jurisdiction     👥 Manage Users

Google Chrome

# CPG 101 (2/6)



**❌ 2020 Comprehensive Preparedness Guide (CPG) 101**

💾 Save | ⚡ Actions ▾

Use this page to complete the CPG-101 assessment below.

Once the form is completed, press the "Save" button. If you receive an error message, please address the error and re-save the assessment. Once you have successfully clicked the "Save" button without receiving any error messages, the approving authority can submit the CPG-101 assessment to FEMA using the "Submit CPG-101 to FEMA" button.

**EOP NAME** *

**Approval**

**Has your community (states, territories, tribes, and UASIs) aligned its EOP with CPG 101 v.2 and has the appropriate official approved it?** *

-- Select --

**Whole Community**

**Identify which whole community partners were involved in the development of the base plan for the EOP (select all that apply):** *

# CPG 101 (3/6)

**Government Department/Agency List**

- [ ] Agriculture
- [ ] Chief Information Officer (CIO)
- [ ] Chief information Security Officer (CISO)
- [ ] Emergency Management / Homeland Security
- [ ] Environment
- [ ] Executive Branch
- [ ] Fire / EMS
- [ ] Fusion Center
- [ ] Historic / Cultural Resources
- [ ] Law Enforcement / Public Safety
- [ ] Public Health
- [ ] Transportation

**Non-governmental Organizations**

- [ ] American Red Cross
- [ ] Community advocacy group
- [ ] Disability or access / functional needs community
- [ ] Education community
- [ ] Faith-based organization
- [ ] Hospital / Healthcare organization
- [ ] Other VOAD
- [ ] Port authority / Other port organization
- [ ] Private sector / Business
- [ ] Utilities / Public works

# CPG 101 (4/6)

**What is the format of your community's EOP?** *

-- Select --

**Does your community's EOP vertically and horizontally integrate whole community partners?** *

-- Select --

**List any and all threat and hazard annexes developed in the past year.** *

**Compliance Criteria**

**CPG 101 v.2 outlines the four standard criteria that communities should incorporate in their base plan in order to ensure the effectiveness and efficiency of emergency operation planning. Select the applicable criteria that are addressed in the EOP.** *

- ☐ Adequacy
- ☐ Feasibility
- ☐ Completeness
- ☐ Acceptability
- ☐ None of the above

# CPG 101 (5/6)

**EOP Evaluation**

**Has your community evaluated the effectiveness of the EOP base plan through exercises or a real-world event in the past year?** *

☐ The community has evaluated the effectiveness of the EOP base plan through exercises
☐ The community has evaluated the effectiveness of the EOP base plan through a real-world event
☐ The community has not evaluated the effectiveness of the EOP base plan through an exercise or real-world event

**EOP Revision**

**Has your community updated the EOP base plan based on corrective actions identified during the training, exercise, or real-world event in the past year?** *

-- Select --

**Recovery Planning**

**Does your community have a separate Disaster Recovery Plan or a Recovery Annex to its EOP in place? If yes, check the boxes to indicate which of the eight recovery core capabilities the Recovery Plan or Recovery Annex addresses. (See the NDRF and FEMA's Pre-Disaster Recovery Planning Guides for State and Local Governments).** *

-- Select --

# CPG 101 (6/6)

**Evacuation Planning**

**Does your community have an Evacuation Annex or Plan in place?** *

-- Select --

**Public Assistance and Mitigation**

**Does your community's EOP include the state administration(Public Assistance) and mitigation plan required to receive disaster grant funding?** *

-- Select --

**Recovery Cost Provisions Category**

**Does your community's EOP include recovery cost provisions?** *

-- Select --

**Continuity Planning**

**Does your community have a comprehensive continuity plan and program for community agencies and emergency operations centers to ensure the continuation of essential functions under all conditions (See https://www.fema.gov/continuity-guidance-circular-cgc for more information)?** *

-- Select --

# NIMS (1/9)

## National Incident Management System Implementation Assessment

### Overview

Welcome to the National Incident Management System (NIMS) Implementation Assessment.

The NIMS Implementation Assessment is a self-assessment for state, tribal, territorial, and local jurisdictions to evaluate and report on their jurisdiction's implementation of NIMS.

Beginning in fiscal year (FY) 2005, Homeland Security Presidential Directive (HSPD)-5 required Federal departments and agencies to make adoption of the NIMS by state, tribal, territorial, and local organizations a condition for Federal preparedness assistance through grants, contracts, or other activities. The National Integration Center (NIC) identifies implementation objectives, as contained in the NIMS Implementation Assessment, to help state, tribal, territorial, and local jurisdictions determine if they have met the HSPD-5 adoption requirements.

Questions on NIMS and NIMS implementation can be submitted to the NIC through e-mail at FEMA-NIMS@fema.dhs.gov or by telephone at (202) 646-3850. This effort is part of the National Preparedness System, a process that organizes the tools and resources needed to promote unity of effort and achieve the National preparedness Goal. For more information on national preparedness efforts, visit www.fema.gov/learn-about-presidential-policy-directive-8

FEMA

# NIMS (2/9)



## 2020 NIMS Implementation

⊗ **2020 NIMS Implementation**                                   🖫 Save    ⚡ Actions ▾

### Instructions

Use this page to complete the NIMS implementation assessment below.

Once the form is completed, press the "Save" button. If you receive an error message, please address the error and re-save the assessment. Once you have successfully clicked the "Save" button without receiving any error messages, the approving authority can submit the NIMS implementation assessment to FEMA using the "Submit NIMS to FEMA" button.

**1. Please provide the name and contact information for the individual responsible for overall coordination of NIMS-related activities within your jurisdiction.**

**Point of Contact** *                                                        **+ New**

🔍  Search

**2. What percentage of your State or Territory's sub-jurisdictions have formally adopted and/or maintained adoption of the National Incident Management System as their all-hazards incident management system for Fiscal Year (FY) 2020?** *

-- Select --

**3. Has your jurisdiction implemented a training program to ensure that all emergency/incident response personnel receive appropriate NIMS training in accordance with their incident management responsibilities, as identified in the NIMS Training Program? (https://www.fema.gov/training-0)** *

-- Select --

# NIMS (3/9)

4. Please provide an example of how your jurisdiction used NIMS systems and processes during an exercise or real-world incident/event in the past year, and include at least one identified area for improvement. *

[                                                                                                    ]

5a. What actions have you taken in the past year to support the typing and inventorying of incident resources and assets within your sub-jurisdictions? *

☐ N/A

[                                                                                                    ]

5b. What types of challenges do you encounter when typing and inventorying your resources? *

☐ N/A

[                                                                                                    ]

6. What percentage of your State or Territory's sub-jurisdictions use an interoperable tool, such as the Incident Resource Inventory System (IRIS), to inventory response resources and assets? *

[ -- Select --                                                                                       ]

**FEMA**

# NIMS (4/9)

7. Of the standard core capability targets, for which do you currently have NIMS typed resources (as defined in the Resource Typing Library Tool at https://www.fema.gov/resource-management-mutual-aid) *

- ☐ Planning
- ☐ Public Information and Warning
- ☐ Operational Coordination
- ☐ Infrastructure Systems
- ☐ Economic Recovery
- ☐ Health and Social Services
- ☐ Housing
- ☐ Natural and Cultural Resources
- ☐ Critical Transportation
- ☐ Environmental Response/Health and Safety
- ☐ Fatality Management Services
- ☐ Fire Management and Suppression
- ☐ Logistics and Supply Chain Management
- ☐ Mass Care Services
- ☐ Mass Search and Rescue Operations
- ☐ On-scene Security, Protection, and Law Enforcement
- ☐ Operational Communications
- ☐ Public Health, Healthcare, and Emergency Medical Services
- ☐ Situational Assessment

# NIMS (5/9)

8. Please indicate which qualification systems are being used in your jurisdiction, and how extensive the usage is of each:

**National Qualification System (NQS):** *

-- Select --

**National Wildland Coordination Group (NWCG):** *

-- Select --

**All-Hazards Incident Management Team Association (AHIMTA):** *

-- Select --

**Other**

9a. Which types of mutual aid agreements, compacts, and/or assistance agreements has your State or Territory established (and/or have in development)?

**Intrastate Agreements**

**Throughout the State/Territory?:** *

-- Select --

**That include the Private Sector?:** *

-- Select --

**That include NGOs?:** *

-- Select --

**That include Tribal Nations?:** *

-- Select --

**Interstate Agreements**

**That include the Private Sector?:** *

-- Select --

**That include NGOs?:** *

-- Select --

# NIMS (6/9)

**That include Tribal Nations?:** *

-- Select --

**9b. What percentage of your sub-jurisdictions have mutual aid agreements, compacts, and/or assistance agreements that include the following:**

**That include the Private Sector?:** *

-- Select --

**That include NGOs?:** *

-- Select --

**That include Tribal Nations?:** *

-- Select --

**10a. Which actions has your jurisdiction taken in the past year to facilitate sending or receiving resources through mutual aid? (Select all that apply)** *

- ☐ Revised, clarified, or validated existing mutual aid agreements
- ☐ Improved jurisdictional processes for requesting resources through mutual aid
- ☐ Developed new mutual aid agreements
- ☐ Activated mutual aid agreements in a real-world incident or exercise
- ☐ Aligned additional deployable assets with NIMS-resource typing definitions or EMAC mission ready packages
- ☐ Developed improvement plans based on exercises or real world incidents which identify areas for improvement specific to mutual aid
- ☐ Improved jurisdictional processes for providing resources through mutual aid
- ☐ Hosted a mutual aid training (such as EMAC A-Team Operations)
- ☐ Other (please describe):

# NIMS (7/9)

**10b. What percentage of your sub-jurisdictions have taken the following actions to facilitate sending or receiving resources through mutual aid within the last year:** *

☐ N/A

| Actions | ▾ |
|---|---|

| Action | Percentage |
|---|---|
| Revising existing or developing new mutual aid agreements: | |
| Aligning additional deployable assets with NIMS-resource typing definitions or EMAC mission ready packages: | |
| Improving jurisdictional processes for providing resources through mutual aid: | |
| Improving jurisdictional processes for requesting resources through mutual aid: | |
| Activating mutual aid agreements in a real-world incident or exercise: | |
| Developing improvement plans based on exercises or real-world incidents that identify areas for improvement specific to mutual aid: | |
| Participating in a mutual aid training (such as EMAC A-Team Operations): | |
| Other: | |

**11. Please describe an action taken the past year to revise or activate (either in an exercise or real-world incident/event) one of your mutual aid agreements, and describe what led to the action being taken:** *

# NIMS (8/9)

**12a. What EOC structure (as described in NIMS, 3rd Edition) does your jurisdiction use:** *

-- Select --

**12b. What percentage of sub jurisdictions use the following EOC structures (described in NIMS, 3rd Edition):** *

☐ N/A

| EOC Structures | ▾ |
| --- | --- |

| EOC Structure | Percentage |
| --- | --- |
| ICS/ICS-like (including ICS/ESF hybrid structure) | |
| Incident Support Model (including ISM/ESF hybrid structure) | |
| Departmental or ESF Structure | |
| Ad Hoc (no pre-determined organizational structure) | |
| N/A (they don't have nor report to any EOC) | |
| Other, please describe | |

# NIMS (9/9)

**13. What priorities has your jurisdiction identified to enhance your implementation of NIMS in the coming year? Please check up to three.** *

- ☐ Improve communications and information management equipment
- ☐ Improve Emergency Operations Center processes and procedures
- ☐ Improve information sharing and situational awareness processes
- ☐ Improve Multiagency Coordination Group/Policy Group processes and procedures
- ☐ Increase efforts to inventory all deployable response assets consistently with available NIMS national resource typing definitions
- ☐ Improve/implement incident personnel qualifications procedures
- ☐ Improve processes for sending/requesting resources through mutual aid
- ☐ Make public information practices consistent with NIMS
- ☐ Enhance use of the Incident Command System
- ☐ Incorporate NIMS systems or processes into Standard Operating Procedures
- ☐ Other (please specify)

**14. Please list any tools, training, guidance, or support that would be helpful in further enhancing your jurisdiction's implementation of NIMS:** *

**Journal Notes/Comments:**