

# Online Unified Reporting Tool (URT)

---

THIRA/SPR Screenshots  
December 15, 2020



**FEMA**

# Paperwork Burden Disclosure Notice

**WARNING:** This document is FOR OFFICIAL USE ONLY (FOUO). It contains information that may be exempt from public release under the Freedom of Information Act (5 U.S.G. 552). It is to be controlled, stored, handled, transmitted, distributed, and disposed of in accordance with DHS policy relating to FOUO information and is not to be released to the public or other personnel who do not have a valid "need-to-know" without prior approval of an authorized DHS official.

Paperwork Burden Disclosure Notice

**Title:** Threat and Hazard Identification and Risk Assessment (THIRA) – Stakeholder Preparedness Review (SPR) Unified Reporting Tool

**OMB Number:** 1660-0131

**FEMA Form Number(s):** 008-0-19 and 008-0-20

**Expiration Date:** February 28, 2022

## PAPERWORK BURDEN DISCLOSURE NOTICE

Stakeholder Preparedness Review Public reporting burden for this data collection is estimated to average 290 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting this form. You are not required to respond to this collection of information unless a valid OMB control number is displayed on this form. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 1800 South Bell Street, Arlington, VA 20598-3005, Paperwork Reduction Project (1660-0131) NOTE: Do not send your completed form to this address.



**FEMA**

# THIRA/SPR Assessment Dashboard (1 of 3)

Selected Jurisdiction: Venus

Switch Jurisdiction Manage Users

2020 THIRA/SPR Assessment Dashboard

Use this page to navigate through the THIRA/SPR assessment and to check your progress in completing the THIRA/SPR.

Using the navigation form below, you can quickly determine which steps of the THIRA/SPR assessment are required for your jurisdiction, assess which steps are complete, and connect directly to any step by clicking on the edit button. For each step of the THIRA/SPR, the navigation form includes the following information:

**Completion Status:** The status indicator shows red if the step is incomplete and changes to green when complete. If you begin a step, but do not finish that step, the indicator will remain red until the step is fully completed.

**Required:** The requirement indicator specifies if your jurisdiction is required to complete that step of the THIRA/SPR. You must complete all required steps prior to submission, and may optionally complete steps that are not required.

**Edit:** The edit indicator will connect you directly with the form for that step to allow you to edit your response.

Note that the navigation form includes this information at the core capability level to better assist you in completing the THIRA/SPR for each of the following: THIRA Step 3 and the SPR Assessment.

Once all required steps show green status indicators, the approving authority can submit the THIRA/SPR to FEMA using the respective buttons below.

- User Guide
- See Prior Year's Data
- THIRA Presubmission Report
- SPR Presubmission Report
- THIRA Executive Summary Report
- SPR Executive Summary Report
- THIRA Tear Sheet Report
- SPR Tear Sheet Report
- Submit THIRA to FEMA
- Submit SPR to FEMA



# THIRA/SPR Assessment Dashboard (2 of 3)

THIRA Progress (Year 2 of 3; Mitigation Year 2 of 6)

4%

SPR Progress

6%

✘ THIRA Step 1 and Step 2: Enter Threats/Hazards With Context ▶

✘ THIRA Step 3: Establish Capability Targets ▶

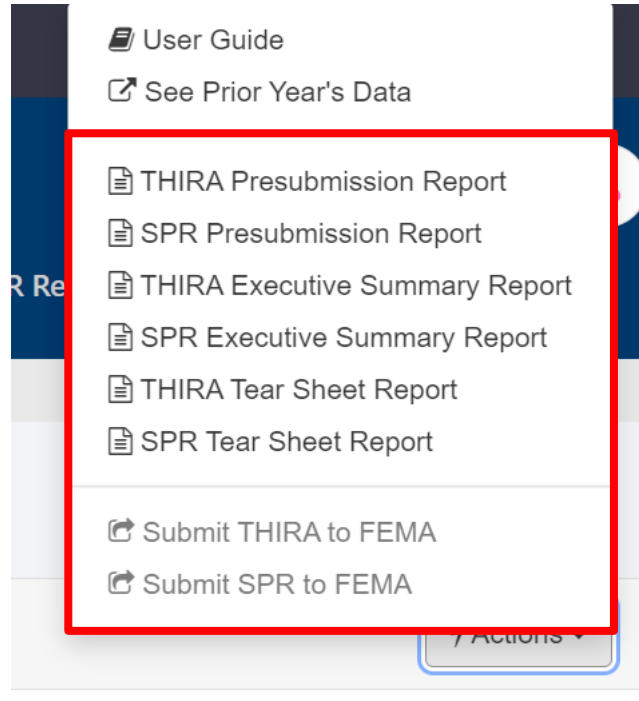
✘ SPR Capability Assessment ▶

✔ THIRA/SPR Post Assessment



FEMA

# THIRA/SPR Assessment Dashboard (3 of 3)



The screenshot displays a dropdown menu from a dashboard. The menu items are:

- User Guide
- See Prior Year's Data
- THIRA Presubmission Report
- SPR Presubmission Report
- THIRA Executive Summary Report
- SPR Executive Summary Report
- THIRA Tear Sheet Report
- SPR Tear Sheet Report
- Submit THIRA to FEMA
- Submit SPR to FEMA




The menu is highlighted with a red border. Below the menu, a button labeled "Actions" is partially visible.

# THIRA Step 1

FEMA Form 008-0-19

THIRA Step 1 and Step 2: Enter Threats/Hazards With Context

[Add Threat/Hazard](#) [Validate for Submission](#)

Status	Threat/Hazard Name
  	Blizzard
  	Ice storm
  	New Threat 08.27
  	Rory Threat
  	SouthEast Category 5 Hurricane



FEMA

# THIRA Step 2 (1 of 3)

FEMA Form 008-0-19

## Threat/Hazard

**Category \***

-- Select --

**Type \***

-- Select --

**Context Description \***



FEMA

# THIRA Step 2 (2 of 3)

FEMA Form 008-0-19

**Standardized Impact Entries \***

[+ Add Row](#) [Download](#)

Impact Category	Impact Number
(#) jurisdictions affected	
(#) partner organizations involved in incident management	
(#) people affected	
(#) people with access and functional needs (affected)	
(#) people with limited English proficiency affected	
(#) customers (without water service)	
(#) customers (without wastewater service)	
(#) customers (without communication service)	
(#) customers (without power service)	
(#) people requiring evacuation	
(#) people with access and functional needs (requiring evacuation)	

**Non-Standardized Impact Entries (Optional)**

[+ Add Row](#) [Clear All](#) [Download](#)

Impact

(NONE)



FEMA



# THIRA Step 2 (3 of 3)

FEMA Form 008-0-19

## Source Identification

What source(s) did you use to develop the context description and calculate your impacts? \*

- |  |   |
|--|---|
| <input type="checkbox"/> Real-world events   | <input type="checkbox"/> County/Municipal THIRAs                          |
| <input checked="" type="checkbox"/> Response plans   | <input type="checkbox"/> Other reports (government, academic, non-profit) |
| <input type="checkbox"/> Hazard mitigation plans (including Hazard Identification and Risk Assessment) | <input type="checkbox"/> Prior year THIRAs                                |
| <input type="checkbox"/> Other plans   | <input type="checkbox"/> Other existing threat and hazard assessments     |
| <input type="checkbox"/> Subject-matter experts (SMEs)   | <input type="checkbox"/> Capability Assessments (such as the SPR)         |
| <input type="checkbox"/> Modeling or tools   | <input type="checkbox"/> Resource Inventory                               |
| <input checked="" type="checkbox"/> Exercises  | <input type="checkbox"/> Improvement plans                                |
| <input type="checkbox"/> After-Action Reports  | <input type="checkbox"/> Other  |
| <input type="checkbox"/> Fusion Center products and assessments  |   |

Describe how you used these sources to inform this scenario.

We have experienced a number of somewhat smaller earthquakes, which we used to inform the impacts of our scenario. Our community also has conducted extensive earthquake response exercises, which we used to determine how badly this scenario would hamper our abilities to respond.



FEMA

# THIRA Step 3 (1 of 3)

FEMA Form 008-0-19

**THIRA Step 3: Establish Capability Targets**

Status	Required	Core Capability
		No Planning
		Yes Public Information and Warning
		Yes Operational Coordination

[Add Functional Area](#)

Status	Required	Functional Area
		Yes Command, Control, and Coordination; National Incident Management System/Incident Command System Compliance; Stakeholder Engagement
		No Forensics and Attribution
		Yes Intelligence and Information Sharing
		Yes Interdiction and Disruption
		Yes Screening, Search, and Detection



FEMA

# THIRA Step 3 (2 of 3)

FEMA Form 008-0-19

## Standardized Impacts for Capability

Add Standardized Impacts

Impact Category	Active Shooter	Cyber Attack	Earthquake	Flood
(#) people with limited English proficiency affected	32		5000	4000
(#) people with access and functional needs (affected)	640		5000	4000
(#) people affected	3200	400000	45000	40000

## Non-Standardized Impacts for Capability

Add/Remove Non-Standardized Impacts

Threat/Hazard	Impact
Flood	Custom Impact
Cyber Attack	Non-standardized impact



FEMA

# THIRA Step 3 (3 of 3)

FEMA Form 008-0-19

## Capability Target\*

Within  hour(s) notice of an incident, deliver reliable and actionable information to  people affected, including  people with access and functional needs (affected) and  people with limited English proficiency affected.

## Please provide any additional context necessary to understanding your capability target

,k

## Maximum Requirement

Within  hour(s) notice of an incident, deliver reliable and actionable information to  people affected, including  people with access and functional needs (affected) and  people with limited English proficiency affected.

## Which of your identified threats and hazards most challenges your ability to achieve this capability target? \*

Earthquake



FEMA

# SPR: Assessment Dashboard

FEMA Form 008-0-20

**✖ SPR Capability Assessment**

Status	Required	Core Capability
+	✖ Yes	Planning
+	✖ Yes	Public Information and Warning
→	✖ Yes	Operational Coordination

[Add Functional Area](#)

Status	Required	Functional Area
→	✔ Yes	Command, Control, and Coordination; National Incident Management System/Incident Command System Compliance; Stakeholder Engagement
	✖ Yes	Additional Functional Area Gaps

# SPR Step 1 (1 of 2)

FEMA Form 008-0-20

✓ 2020 SPR Assessment - Command, Control, and Coordination; National Incident Management System/Incident Command System Compliance; Stakeholder Engagement

Save

Actions ▾

## Capability Target

Within 3 day(s) of a potential or actual incident, establish and maintain a unified and coordinated operational structure and process across 3 jurisdictions affected and with 3 partner organizations involved in incident management. Maintain for 3 day(s).

### SPR Step 1: Assess Capabilities \*

Download

Metric	Estimated Beginning Capability	Capability Lost	Capability Sustained	Capability Built	Estimated Current Capability
(#) partner organizations involved in incident management *	8	0	8	0	8
(#) jurisdictions affected *	8	0	8	0	8

### Estimate of Current Capability

Within 3 day(s) of a potential or actual incident, establish and maintain a unified and coordinated operational structure and process across 8 jurisdictions affected and with 8 partner organizations involved in incident management. Maintain for 3 day(s).



FEMA

# SPR Step 1 (2 of 3)

FEMA Form 008-0-20

## Capability lost in the following POETE areas

- Planning
- Organization
- Equipment
- Training
- Exercises

## Sustainment investments made in the following POETE areas

- Planning
- Organization
- Equipment
- Training
- Exercises

## Building investments made in the following POETE areas

- Planning
- Organization
- Equipment
- Training
- Exercises

Describe how you have lost, sustained, and built capability over the past year: \*

### Capability Lost

### Capability Sustained

### Capability Built

Do you have a mutual aid agreement(s) relevant to achieving this target? \*

-- Select --



FEMA

# SPR Step 1 (3 of 3)

FEMA Form 008-0-20

What is your confidence in the accuracy of your capability assessment?\*

Lower Confidence     1    2    3    4    5    Higher Confidence

What sources did you use to estimate your capabilities?\*

- Real-world events
- Response plans
- Hazard mitigation plans (including Hazard Identification and Risk Assessment)
- Other plans
- Subject-matter experts (SMEs)
- Modeling or tools
- Exercises
- After-Action Reports
- Fusion Center products and assessments
- County/Municipal THIRAs
- Other reports (government, academic, non-profit)
- Prior year THIRAs
- Other existing threat and hazard assessments
- Capability Assessments (such as the SPR)
- Resource Inventory
- Improvement plans
- Other

Please use the free-text box below to describe other sources used to estimate your capabilities.

Other Plans\*

Provide any additional context required to support your capability assessment (optional)



FEMA



# SPR Step 2

## FEMA Form 008-0-20

SPR Step 2: Identify and Address Capability Gaps \*

Impact Category	Capability Target	Estimated Current Capability	Capability Gap
(#) partner organizations involved in incident management	3	8	-5
(#) jurisdictions affected	3	8	-5

Identify the priority you place on fully achieving your capability target, whether by addressing the gap or if there is no gap, by maintaining current capability: \*

Medium Priority

**Describe your capability gaps**

POETE  
Gap Description

Planning  Organization  Equipment  Training  Exercise

**Describe your approaches for addressing capability gaps and sustainment needs**

POETE  
Build/Sustain \*

Planning Organization Equipment Training Exercise

-- Select -- -- Select -- -- Select -- -- Select -- -- Select --

Description of Approach \*

Identify the timeframe in which you will prioritize implementing these approaches: \*

No defined timeframe



# SPR Step 3 (1 of 3)

## FEMA Form 008-0-20

SPR Step 3: Describe Impact of Funding Sources \*

### Capability Sustained

Impact Category	Capability Sustained
(#) partner organizations involved in incident management	8
(#) jurisdictions affected	8

If your jurisdiction has not sustained this capability over the last year using a grant or other funding source, select "N/A" and then skip to the next question.\*

N/A Assess the degree to which specific funding sources had a role in sustaining the capability assessed by the target

Sustaining Funding Sources ▶

### Sustaining Funding Sources

[Add Row](#) [Download](#)

Funding Source Type	Funding Source	Funding Role
Non-Federal Source	State, territory, or tribe	-- Select -- <input checked="" type="checkbox"/> OK
Non-Federal Source	Local	Not a known funding source
Non-Federal Source	Private-sector or non-profit	Not a known funding source
FEMA Preparedness and Mitigation Grants	AFG	Not a known funding source
FEMA Preparedness and Mitigation Grants	CCTA	Not a known funding source
FEMA Preparedness and Mitigation Grants	CSEPP	Not a known funding source
FEMA Preparedness and Mitigation Grants	CTP	Not a known funding source
FEMA Preparedness and Mitigation Grants	EMPG	Not a known funding source
FEMA Preparedness and Mitigation Grants	FMAG	Not a known funding source
FEMA Preparedness and Mitigation Grants	HMGP	Not a known funding source



FEMA

# SPR Step 3 (2 of 3)

## FEMA Form 008-0-20

### Capability Built

Impact Category

Capability Built

(#) partner organizations involved in incident management

(#) jurisdictions

If your jurisdiction has not built this capability over the last year using a grant or other funding source, select "N/A" and then skip to the next question.

N/A Assess the degree to which specific funding sources had a role in building the capability assessed by the target

#### Building Funding Sources



+ Add Row

Download

Funding Source Type

Funding Source

Funding Role

Non-Federal Source

State, territory, or tribe

-- Select --

✓ OK

Non-Federal Source

Local

Not a known funding source

Non-Federal Source

Private-sector or non-profit

Not a known funding source

FEMA Preparedness and Mitigation Grants

AFG

Not a known funding source



FEMA

# SPR Step 3 (3 of 3)

## FEMA Form 008-0-20

**Capability Built**

Impact Category	Capability Built
(#) partner organizations involved in incident management	0
(#) jurisdictions affected	0

**If your jurisdiction has not built this capability over the last year using a grant or other funding source, select "N/A" and then skip to the next question. \***

N/A **Assess the degree to which specific funding sources had a role in building the capability assessed by the target**

**Building Funding Sources**

**Describe how the capabilities built or sustained with FEMA preparedness and mitigation grants were used in a real-world incident over the past year. \***

**Did your community make investments in support of a project identified in a Hazard Mitigation Plan over the past year? \***

No



FEMA

# SPR: Assessment Dashboard

FEMA Form 008-0-20

**✖ SPR Capability Assessment**

Status	Required	Core Capability
+	✖ Yes	Planning
+	✖ Yes	Public Information and Warning
+	✖ Yes	Operational Coordination

[Add Functional Area](#)

Status	Required	Functional Area
✎	✔ Yes	Command, Control, and Coordination; National Incident Management System/Incident Command System Compliance; Stakeholder Engagement
✎	✖ Yes	Additional Functional Area Gaps



FEMA

# SPR Additional Functional Area Gaps

FEMA Form 008-0-20

✖ 2020 SPR: Other Gaps - Operational Coordination

Save

⚡ Actions ▾

## Functional Area Gaps ▾

Download

Functional Area	Planning	Organization	Equipment	Training	Exercises	No Gaps
Allocating and Mobilizing Resources	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Determining Priorities, Objectives, Strategies	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Emergency Operations Center Management	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Ensuring Continuity of Government and Essential Services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Ensuring Information Flow	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Ensuring Unity of Effort	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Establishing a Common Operating Picture	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Establishing Lines of Communication	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Establishing Roles and Responsibilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>



FEMA

# THIRA/SPR: Assessment Dashboard

FEMA Form 008-0-20

THIRA Progress (Year 2 of 3; Mitigation Year 2 of 6)

4%

SPR Progress

6%

✘ THIRA Step 1 and Step 2: Enter Threats/Hazards With Context ▶

✘ THIRA Step 3: Establish Capability Targets ▶

✘ SPR Capability Assessment ▶

✔ THIRA/SPR Post Assessment



FEMA

# THIRA/SPR Post Assessment (1 of 7)

FEMA Form 008-0-20

✓ 2020 THIRA/SPR Post Assessment

Save

Actions ▾

Use this page to answer questions about the process you used in completing your THIRA and SPR. Enter answers in the appropriate cells below. The Journal Notes/Comment box at the bottom of the page is not part of your assessment, but you may optionally use it to record notes for future reference.

## THIRA/SPR Review

1. Which of the following types of governmental departments and agencies participated in the THIRA/SPR process, and how many departments/agencies of each type participated? \*

N/A

Governmental Organization Participation ▶

2. Which of the following non-governmental stakeholders participated in the THIRA/SPR process, and how many organizations of each type participated? \*

N/A

Non-Governmental Organization Participation ▶

3. (Optional) List the individual departments/agencies, non-governmental organizations, and jurisdictions that participated in the THIRA/SPR process



FEMA



# THIRA/SPR Post Assessment (2 of 7)

FEMA Form 008-0-20

## 4. What modeling or visualization tools did you use to inform the THIRA/SPR?\*

- |   |   |
|---|---|
| <input type="checkbox"/> ALOHA                              | <input type="checkbox"/> SLOSH  |
| <input type="checkbox"/> DHS OneView                        | <input type="checkbox"/> Sea Level Rise and Coastal Flooding Impacts Viewer |
| <input type="checkbox"/> Flood maps                         | <input type="checkbox"/> US Army CORPS of Engineers Debris Model            |
| <input type="checkbox"/> HAZUS-MH                           | <input type="checkbox"/> Other (please specify the tool)                    |
| <input type="checkbox"/> HURREVAC                           | <input type="checkbox"/> None   |
| <input type="checkbox"/> LandScan USA                       |   |
| <input type="checkbox"/> National Climate Assessment Report |   |

## 5a. Please list three topics which require a training and/or education course(s) to be developed and offered in order to build and sustain core capabilities identified as shortfalls\*

## 5b. Please list three training & education courses which are typically difficult to obtain but are necessary to build and sustain core capabilities identified as shortfalls\*

## 5c. Please list three training & education courses which are critical priorities for closing the identified preparedness gaps\*



FEMA

# THIRA/SPR Post Assessment (3 of 7)

FEMA Form 008-0-20

5c. Please list three training & education courses which are critical priorities for closing the identified preparedness gaps \*

6. Over the past year, in which three core capabilities has your jurisdiction made the most progress? (Select three) \*

- |   |  |
|---|--|
| <input type="checkbox"/> Planning   | <input type="checkbox"/> Critical Transportation                                   |
| <input type="checkbox"/> Public Information and Warning                         | <input type="checkbox"/> Environmental Response/Health and Safety                  |
| <input type="checkbox"/> Operational Coordination                               | <input type="checkbox"/> Fatality Management Services                              |
| <input type="checkbox"/> Forensics and Attribution                              | <input type="checkbox"/> Fire Management and Suppression                           |
| <input type="checkbox"/> Intelligence and Information Sharing                   | <input type="checkbox"/> Logistics and Supply Chain Management                     |
| <input type="checkbox"/> Interdiction and Disruption                            | <input type="checkbox"/> Mass Care Services  |
| <input type="checkbox"/> Screening, Search, and Detection                       | <input type="checkbox"/> Mass Search and Rescue Operations                         |
| <input type="checkbox"/> Access Control and Identity Verification               | <input type="checkbox"/> On-scene Security, Protection, and Law Enforcement        |
| <input type="checkbox"/> Cybersecurity  | <input type="checkbox"/> Operational Communications                                |
| <input type="checkbox"/> Physical Protective Measures                           | <input type="checkbox"/> Public Health, Healthcare, and Emergency Medical Services |
| <input type="checkbox"/> Risk Management for Protection Programs and Activities | <input type="checkbox"/> Situational Assessment                                    |
| <input type="checkbox"/> Supply Chain Integrity and Security                    | <input type="checkbox"/> Infrastructure Systems                                    |
| <input type="checkbox"/> Community Resilience                                   | <input type="checkbox"/> Economic Recovery   |
| <input type="checkbox"/> Long-term Vulnerability Reduction                      | <input type="checkbox"/> Health and Social Services                                |
| <input type="checkbox"/> Risk and Disaster Resilience Assessment                | <input type="checkbox"/> Housing   |
| <input type="checkbox"/> Threats and Hazards Identification                     | <input type="checkbox"/> Natural and Cultural Resources                            |

7. Considering all relevant factors (e.g. funding and training), in which three core capabilities are your community's capability levels in greatest danger of decreasing? (Select three) \*

- |   |  |
|---|--|
| <input type="checkbox"/> Planning   | <input type="checkbox"/> Critical Transportation                                   |
| <input type="checkbox"/> Public Information and Warning                         | <input type="checkbox"/> Environmental Response/Health and Safety                  |
| <input type="checkbox"/> Operational Coordination                               | <input type="checkbox"/> Fatality Management Services                              |
| <input type="checkbox"/> Forensics and Attribution                              | <input type="checkbox"/> Fire Management and Suppression                           |
| <input type="checkbox"/> Intelligence and Information Sharing                   | <input type="checkbox"/> Logistics and Supply Chain Management                     |
| <input type="checkbox"/> Interdiction and Disruption                            | <input type="checkbox"/> Mass Care Services  |
| <input type="checkbox"/> Screening, Search, and Detection                       | <input type="checkbox"/> Mass Search and Rescue Operations                         |
| <input type="checkbox"/> Access Control and Identity Verification               | <input type="checkbox"/> On-scene Security, Protection, and Law Enforcement        |
| <input type="checkbox"/> Cybersecurity  | <input type="checkbox"/> Operational Communications                                |
| <input type="checkbox"/> Physical Protective Measures                           | <input type="checkbox"/> Public Health, Healthcare, and Emergency Medical Services |
| <input type="checkbox"/> Risk Management for Protection Programs and Activities | <input type="checkbox"/> Situational Assessment                                    |
| <input type="checkbox"/> Supply Chain Integrity and Security                    | <input type="checkbox"/> Infrastructure Systems                                    |
| <input type="checkbox"/> Community Resilience                                   | <input type="checkbox"/> Economic Recovery   |
| <input type="checkbox"/> Long-term Vulnerability Reduction                      | <input type="checkbox"/> Health and Social Services                                |
| <input type="checkbox"/> Risk and Disaster Resilience Assessment                | <input type="checkbox"/> Housing   |
| <input type="checkbox"/> Threats and Hazards Identification                     | <input type="checkbox"/> Natural and Cultural Resources                            |



FEMA

# THIRA/SPR Post Assessment (4 of 7)

## FEMA Form 008-0-20

### 6. Over the past year, in which three core capabilities has your jurisdiction made the most progress? (Select three)\*

- |   |  |
|---|--|
| <input type="checkbox"/> Planning   | <input type="checkbox"/> Critical Transportation                                   |
| <input type="checkbox"/> Public Information and Warning                         | <input type="checkbox"/> Environmental Response/Health and Safety                  |
| <input type="checkbox"/> Operational Coordination                               | <input type="checkbox"/> Fatality Management Services                              |
| <input type="checkbox"/> Forensics and Attribution                              | <input type="checkbox"/> Fire Management and Suppression                           |
| <input type="checkbox"/> Intelligence and Information Sharing                   | <input type="checkbox"/> Logistics and Supply Chain Management                     |
| <input type="checkbox"/> Interdiction and Disruption                            | <input type="checkbox"/> Mass Care Services  |
| <input type="checkbox"/> Screening, Search, and Detection                       | <input type="checkbox"/> Mass Search and Rescue Operations                         |
| <input type="checkbox"/> Access Control and Identity Verification               | <input type="checkbox"/> On-scene Security, Protection, and Law Enforcement        |
| <input type="checkbox"/> Cybersecurity  | <input type="checkbox"/> Operational Communications                                |
| <input type="checkbox"/> Physical Protective Measures                           | <input type="checkbox"/> Public Health, Healthcare, and Emergency Medical Services |
| <input type="checkbox"/> Risk Management for Protection Programs and Activities | <input type="checkbox"/> Situational Assessment                                    |
| <input type="checkbox"/> Supply Chain Integrity and Security                    | <input type="checkbox"/> Infrastructure Systems                                    |
| <input type="checkbox"/> Community Resilience                                   | <input type="checkbox"/> Economic Recovery   |
| <input type="checkbox"/> Long-term Vulnerability Reduction                      | <input type="checkbox"/> Health and Social Services                                |
| <input type="checkbox"/> Risk and Disaster Resilience Assessment                | <input type="checkbox"/> Housing   |
| <input type="checkbox"/> Threats and Hazards Identification                     | <input type="checkbox"/> Natural and Cultural Resources                            |

### 7. Considering all relevant factors (e.g. funding and training), in which three core capabilities are your community's capability levels in greatest danger of decreasing? (Select three)\*

- |   |  |
|---|--|
| <input type="checkbox"/> Planning   | <input type="checkbox"/> Critical Transportation                                   |
| <input type="checkbox"/> Public Information and Warning                         | <input type="checkbox"/> Environmental Response/Health and Safety                  |
| <input type="checkbox"/> Operational Coordination                               | <input type="checkbox"/> Fatality Management Services                              |
| <input type="checkbox"/> Forensics and Attribution                              | <input type="checkbox"/> Fire Management and Suppression                           |
| <input type="checkbox"/> Intelligence and Information Sharing                   | <input type="checkbox"/> Logistics and Supply Chain Management                     |
| <input type="checkbox"/> Interdiction and Disruption                            | <input type="checkbox"/> Mass Care Services  |
| <input type="checkbox"/> Screening, Search, and Detection                       | <input type="checkbox"/> Mass Search and Rescue Operations                         |
| <input type="checkbox"/> Access Control and Identity Verification               | <input type="checkbox"/> On-scene Security, Protection, and Law Enforcement        |
| <input type="checkbox"/> Cybersecurity  | <input type="checkbox"/> Operational Communications                                |
| <input type="checkbox"/> Physical Protective Measures                           | <input type="checkbox"/> Public Health, Healthcare, and Emergency Medical Services |
| <input type="checkbox"/> Risk Management for Protection Programs and Activities | <input type="checkbox"/> Situational Assessment                                    |
| <input type="checkbox"/> Supply Chain Integrity and Security                    | <input type="checkbox"/> Infrastructure Systems                                    |
| <input type="checkbox"/> Community Resilience                                   | <input type="checkbox"/> Economic Recovery   |
| <input type="checkbox"/> Long-term Vulnerability Reduction                      | <input type="checkbox"/> Health and Social Services                                |
| <input type="checkbox"/> Risk and Disaster Resilience Assessment                | <input type="checkbox"/> Housing   |
| <input type="checkbox"/> Threats and Hazards Identification                     | <input type="checkbox"/> Natural and Cultural Resources                            |



FEMA

# THIRA/SPR Post Assessment (5 of 7)

FEMA Form 008-0-20

8. Identify any promising practices that you believe could potentially benefit other jurisdictions completing this process \*

9. How many hours did it take your jurisdiction to complete the THIRA (including any whole community collaboration, data gathering, and other preparation work)? \*

10. How many hours did it take your jurisdiction to complete the SPR (including any whole community collaboration, data gathering, and other preparation work)? \*



FEMA

# THIRA/SPR Post Assessment (6 of 7)

## FEMA Form 008-0-20

### Senior Advisory Committee

Establishing a Senior Advisory Committee (SAC) is a requirement of States and Territories in the 2020 HSGP Funding Opportunity Announcement (FOA). The following survey questions capture the required elements of a SAC. Please complete this brief survey below to provide information on these required elements.

#### Charter

Does your Senior Advisory Committee (SAC) have a charter to direct the governance of your State Homeland Security Program (SHSP) or Urban Areas Security Initiative (UASI) program?\*

-- Select --

#### SAC Point of Contact

Search

#### Planning Questions

1. Do you use THIRA scenarios and data to inform which scenarios you incorporate into plans?\*

Never  Sometimes  Almost Always

2. When developing plans, do you use standard impacts from the THIRA/SPR as planning factors? (Planning factors are projected impacts outlined in a plan; for example, "3.5 million customers without power.")\*

Never  Sometimes  Almost Always

3. Do you use THIRA targets as objectives in your plans?\*

Never  Sometimes  Almost Always

4. In your planning process, do you usually have an exercise to validate a new plan?\*

Never  Sometimes  Almost Always

5. When exercising a plan, do you use your THIRA targets as evaluation criteria to validate your ability to meet the objectives in your plans?\*

Never  Sometimes  Almost Always

6. After an exercise or real-world event, do you complete an after-action process to document lessons learned and areas for improvement?\*

Never  Sometimes  Almost Always



FEMA

# THIRA/SPR Post Assessment (7 of 7)

FEMA Form 008-0-20

7. When areas for improvement are identified, do you document that information in your SPR as gaps? \*

Never  Sometimes  Almost Always

8. Do you use the FEMA community lifelines in planning? \*

Never  Sometimes  Almost Always

9. Do you use the FEMA community lifelines in response operations? \*

Never  Sometimes  Almost Always

10. Provide any additional context for your community's responses below.

Journal Notes/Comments

\* Required for completion



FEMA

# CPG 101 (1/6)

You are required to complete the 2020 CPG-101 Assessment

## Comprehensive Preparedness Guide-101 Assessment

### Overview

Welcome to the Comprehensive Preparedness Guide (CPG)-101, version 2.0 assessment.

This assessment supplements [CPG-101, version 2.0](#) by tracking the timing for developing or revising an emergency operation plan (EOP). It also captures the planning elements contained in CPG-101 to enable you to analyze your EOP.

### Instructions

Use this page to complete the CPG-101 assessment below.

Once the form is completed, press the "Save" button. If you receive an error message, please address the error and re-save the assessment. Once you have successfully clicked the "Save" button without receiving any error messages, the approving authority can submit the CPG-101 assessment to FEMA using the "Submit CPG-101 to FEMA" button.

**Selected Jurisdiction:** Venus

[Switch Jurisdiction](#)

[Manage Users](#)

Google Chrome

# CPG 101 (2/6)

✖ 2020 Comprehensive Preparedness Guide (CPG) 101

Save

⚡ Actions ▾

Use this page to complete the CPG-101 assessment below.

Once the form is completed, press the "Save" button. If you receive an error message, please address the error and re-save the assessment. Once you have successfully clicked the "Save" button without receiving any error messages, the approving authority can submit the CPG-101 assessment to FEMA using the "Submit CPG-101 to FEMA" button.

**EOP NAME \***

**Approval**

Has your community (states, territories, tribes, and UASIs) aligned its EOP with CPG 101 v.2 and has the appropriate official approved it? \*

-- Select --

**Whole Community**

Identify which whole community partners were involved in the development of the base plan for the EOP (select all that apply): \*



**FEMA**



# CPG 101 (3/6)

## Government Department/Agency List

- Agriculture
- Chief Information Officer (CIO)
- Chief information Security Officer (CISO)
- Emergency Management / Homeland Security
- Environment
- Executive Branch
- Fire / EMS
- Fusion Center
- Historic / Cultural Resources
- Law Enforcement / Public Safety
- Public Health
- Transportation

## Non-governmental Organizations

- American Red Cross
- Community advocacy group
- Disability or access / functional needs community
- Education community
- Faith-based organization
- Hospital / Healthcare organization
- Other VOAD
- Port authority / Other port organization
- Private sector / Business
- Utilities / Public works



# CPG 101 (4/6)

What is the format of your community's EOP? \*

-- Select --

Does your community's EOP vertically and horizontally integrate whole community partners? \*

-- Select --

List any and all threat and hazard annexes developed in the past year. \*

## Compliance Criteria

CPG 101 v.2 outlines the four standard criteria that communities should incorporate in their base plan in order to ensure the effectiveness and efficiency of emergency operation planning. Select the applicable criteria that are addressed in the EOP. \*

- Adequacy
- Feasibility
- Completeness
- Acceptability
- None of the above

# CPG 101 (5/6)

## EOP Evaluation

Has your community evaluated the effectiveness of the EOP base plan through exercises or a real-world event in the past year? \*

- The community has evaluated the effectiveness of the EOP base plan through exercises
- The community has evaluated the effectiveness of the EOP base plan through a real-world event
- The community has not evaluated the effectiveness of the EOP base plan through an exercise or real-world event

## EOP Revision

Has your community updated the EOP base plan based on corrective actions identified during the training, exercise, or real-world event in the past year? \*

-- Select --

## Recovery Planning

Does your community have a separate Disaster Recovery Plan or a Recovery Annex to its EOP in place? If yes, check the boxes to indicate which of the eight recovery core capabilities the Recovery Plan or Recovery Annex addresses. (See the NDRF and FEMA's Pre-Disaster Recovery Planning Guides for State and Local Governments). \*

-- Select --

# CPG 101 (6/6)

## Evacuation Planning

Does your community have an Evacuation Annex or Plan in place? \*

-- Select --

## Public Assistance and Mitigation

Does your community's EOP include the state administration(Public Assistance) and mitigation plan required to receive disaster grant funding? \*

-- Select --

## Recovery Cost Provisions Category

Does your community's EOP include recovery cost provisions? \*

-- Select --

## Continuity Planning

Does your community have a comprehensive continuity plan and program for community agencies and emergency operations centers to ensure the continuation of essential functions under all conditions (See <https://www.fema.gov/continuity-guidance-circular-cgc> for more information)? \*

-- Select --

# NIMS (1/9)

States, Territories, and THSGP Recipients are required to complete the 2020 NIMS Assessment

UASIs are not required to complete the NIMS Assessment

## National Incident Management System Implementation Assessment

### Overview

Welcome to the National Incident Management System (NIMS) Implementation Assessment.

The NIMS Implementation Assessment is a self-assessment for state, tribal, territorial, and local jurisdictions to evaluate and report on their jurisdiction's implementation of NIMS.

Beginning in fiscal year (FY) 2005, Homeland Security Presidential Directive (HSPD)-5 required Federal departments and agencies to make adoption of the NIMS by state, tribal, territorial, and local organizations a condition for Federal preparedness assistance through grants, contracts, or other activities. The National Integration Center (NIC) identifies implementation objectives, as contained in the NIMS Implementation Assessment, to help state, tribal, territorial, and local jurisdictions determine if they have met the HSPD-5 adoption requirements.

Questions on NIMS and NIMS implementation can be submitted to the NIC through e-mail at [FEMA-NIMS@fema.dhs.gov](mailto:FEMA-NIMS@fema.dhs.gov) or by telephone at (202) 646-3850. This effort is part of the National Preparedness System, a process that organizes the tools and resources needed to promote unity of effort and achieve the National preparedness Goal. For more information on national preparedness efforts, visit [www.fema.gov/learn-about-presidential-policy-directive-8](http://www.fema.gov/learn-about-presidential-policy-directive-8)

# NIMS (2/9)

✖ 2020 NIMS Implementation

Save

⚡ Actions ▾

## Instructions

Use this page to complete the NIMS implementation assessment below.

Once the form is completed, press the "Save" button. If you receive an error message, please address the error and re-save the assessment. Once you have successfully clicked the "Save" button without receiving any error messages, the approving authority can submit the NIMS implementation assessment to FEMA using the "Submit NIMS to FEMA" button.

**1. Please provide the name and contact information for the individual responsible for overall coordination of NIMS-related activities within your jurisdiction.**

Point of Contact\*

+ New

Q Search

**2. What percentage of your State or Territory's sub-jurisdictions have formally adopted and/or maintained adoption of the National Incident Management System as their all-hazards incident management system for Fiscal Year (FY) 2020? \***

-- Select --

**3. Has your jurisdiction implemented a training program to ensure that all emergency/incident response personnel receive appropriate NIMS training in accordance with their incident management responsibilities, as identified in the NIMS Training Program? (<https://www.fema.gov/training-0>) \***

-- Select --



FEMA

# NIMS (3/9)

4. Please provide an example of how your jurisdiction used NIMS systems and processes during an exercise or real-world incident/event in the past year, and include at least one identified area for improvement. \*

5a. What actions have you taken in the past year to support the typing and inventorying of incident resources and assets within your sub-jurisdictions? \*

N/A

5b. What types of challenges do you encounter when typing and inventorying your resources? \*

N/A

6. What percentage of your State or Territory's sub-jurisdictions use an interoperable tool, such as the Incident Resource Inventory System (IRIS), to inventory response resources and assets? \*

# NIMS (4/9)

7. Of the standard core capability targets, for which do you currently have NIMS typed resources (as defined in the Resource Typing Library Tool at <https://www.fema.gov/resource-management-mutual-aid>)\*

- Planning
- Public Information and Warning
- Operational Coordination
- Infrastructure Systems
- Economic Recovery
- Health and Social Services
- Housing
- Natural and Cultural Resources
- Critical Transportation
- Environmental Response/Health and Safety
- Fatality Management Services
- Fire Management and Suppression
- Logistics and Supply Chain Management
- Mass Care Services
- Mass Search and Rescue Operations
- On-scene Security, Protection, and Law Enforcement
- Operational Communications
- Public Health, Healthcare, and Emergency Medical Services
- Situational Assessment



# NIMS (5/9)

8. Please indicate which qualification systems are being used in your jurisdiction, and how extensive the usage is of each:

National Qualification System (NQS): \*

National Wildland Coordination Group (NWCG): \*

All-Hazards Incident Management Team Association (AHIMTA): \*

Other

9a. Which types of mutual aid agreements, compacts, and/or assistance agreements has your State or Territory established (and/or have in development)?

## Intrastate Agreements

Throughout the State/Territory?: \*

That include the Private Sector?: \*

That include NGOs?: \*

That include Tribal Nations?: \*

## Interstate Agreements

That include the Private Sector?: \*

That include NGOs?: \*

# NIMS (6/9)

**That include Tribal Nations?: \***

-- Select --

**9b. What percentage of your sub-jurisdictions have mutual aid agreements, compacts, and/or assistance agreements that include the following:**

**That include the Private Sector?: \***

-- Select --

**That include NGOs?: \***

-- Select --

**That include Tribal Nations?: \***

-- Select --

**10a. Which actions has your jurisdiction taken in the past year to facilitate sending or receiving resources through mutual aid? (Select all that apply) \***

- Revised, clarified, or validated existing mutual aid agreements
- Improved jurisdictional processes for requesting resources through mutual aid
- Developed new mutual aid agreements
- Activated mutual aid agreements in a real-world incident or exercise
- Aligned additional deployable assets with NIMS-resource typing definitions or EMAC mission ready packages
- Developed improvement plans based on exercises or real world incidents which identify areas for improvement specific to mutual aid
- Improved jurisdictional processes for providing resources through mutual aid
- Hosted a mutual aid training (such as EMAC A-Team Operations)
- Other (please describe):



**FEMA**

# NIMS (7/9)

10b. What percentage of your sub-jurisdictions have taken the following actions to facilitate sending or receiving resources through mutual aid within the last year: \*

N/A

Actions	
Action	Percentage
Revising existing or developing new mutual aid agreements:	
Aligning additional deployable assets with NIMS-resource typing definitions or EMAC mission ready packages:	
Improving jurisdictional processes for providing resources through mutual aid:	
Improving jurisdictional processes for requesting resources through mutual aid:	
Activating mutual aid agreements in a real-world incident or exercise:	
Developing improvement plans based on exercises or real-world incidents that identify areas for improvement specific to mutual aid:	
Participating in a mutual aid training (such as EMAC A-Team Operations):	
Other:	

11. Please describe an action taken the past year to revise or activate (either in an exercise or real-world incident/event) one of your mutual aid agreements, and describe what led to the action being taken: \*

# NIMS (8/9)

12a. What EOC structure (as described in NIMS, 3rd Edition) does your jurisdiction use: \*

-- Select --

12b. What percentage of sub jurisdictions use the following EOC structures (described in NIMS, 3rd Edition): \*

N/A

**EOC Structures** ▼

EOC Structure	Percentage
ICS/ICS-like (including ICS/ESF hybrid structure)	
Incident Support Model (including ISM/ESF hybrid structure)	
Departmental or ESF Structure	
Ad Hoc (no pre-determined organizational structure)	
N/A (they don't have nor report to any EOC)	
Other, please describe	



# NIMS (9/9)

13. What priorities has your jurisdiction identified to enhance your implementation of NIMS in the coming year? Please check up to three.\*

- Improve communications and information management equipment
- Improve Emergency Operations Center processes and procedures
- Improve information sharing and situational awareness processes
- Improve Multiagency Coordination Group/Policy Group processes and procedures
- Increase efforts to inventory all deployable response assets consistently with available NIMS national resource typing definitions
- Improve/implement incident personnel qualifications procedures
- Improve processes for sending/requesting resources through mutual aid
- Make public information practices consistent with NIMS
- Enhance use of the Incident Command System
- Incorporate NIMS systems or processes into Standard Operating Procedures
- Other (please specify)

14. Please list any tools, training, guidance, or support that would be helpful in further enhancing your jurisdiction's implementation of NIMS:\*

Journal Notes/Comments:





**FEMA**