

**II. Discussion**

In accordance with paragraph 110.70(b) of title 10 of the *Code of Federal Regulations* (10 CFR), the NRC is noticing the receipt of an export license amendment application submitted by PFNW on December 20, 2021, for the export of German-origin radioactive waste from PFNW processing facilities to Germany. The application seeks authorization to export no greater than 60,000 kilograms and 0.05 terabecquerels of low-level radioactive waste in the form of residual ash and residual metal or non-combustible material. The amendment application requests an expiration date of September 1, 2026.

The NRC is noticing the receipt of the application; providing the opportunity to submit written comments concerning the application; and providing the opportunity to request a hearing or

petition for leave to intervene, for a period of 30 days after publication of this notice in the **Federal Register**. Any request for a hearing or petition for leave to intervene shall be served by the requestor or petitioner in accordance with 10 CFR 110.89. A hearing request or petition for leave to intervene must include the information specified in 10 CFR 110.82(b).

A request for a hearing or petition for leave to intervene may be filed with the NRC electronically in accordance with NRC's E-Filing rule promulgated in August 2007 (72 FR 49139; August 28, 2007, as amended at 77 FR 46562, August 3, 2012). Information about filing electronically is available on the NRC's public website at <https://www.nrc.gov/site-help/e-submittals.html>. To ensure timely electronic filing, at least 10 days prior to the filing deadline, the petitioner/requestor should contact the Office of

the Secretary by email at [Hearing.Docket@nrc.gov](mailto:Hearing.Docket@nrc.gov), or by calling 301-415-1677, to (1) request a digital identification (ID) certificate, which allows the participant (or its counsel or representative) to digitally sign submissions and access the E-Filing system for any proceeding in which it is participating; and (2) advise the Secretary that the participant will be submitting a petition or other adjudicatory document (even in instances in which the participant, or its counsel or representative, already holds an NRC-issued digital ID certificate). Based upon this information, the Secretary will establish an electronic docket for the hearing in this proceeding if the Secretary has not already established an electronic docket.

The information concerning this application for an export license amendment follows.

**NRC EXPORT LICENSE AMENDMENT APPLICATION**

**Application Information**

Name of Applicant .....	Perma-Fix Northwest Richland, Inc. (PFNW)
Date of Application .....	December 13, 2021.
Date Received .....	December 20, 2021.
Application No. ....	XW027/01.
Docket No. ....	11006380.
ADAMS Accession No..	

**Description of Material**

Material Type .....	Radioactive material consisting of dry active waste, incinerable dry active material including personal protective equipment, paper, glass, plastic, and liquid. The waste was generated by medical and pharmaceutical research projects and other industries (excluding Nuclear Power Plants).
Total Quantity .....	This license authorizes the export of up to 60,000 kilograms of residual ash and residual metal or non-combustible material that cannot be recycled. Any non-conforming waste that cannot be treated may be returned in its original state. The maximum quantity of material returned will not exceed .05 TBq.
End Use .....	Disposal in Germany.
Country of Destination .....	Germany.

Dated: January 24, 2022.

For the Nuclear Regulatory Commission.

**David L. Skeen,**

*Deputy Director, Office of International Programs.*

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**SECURITIES AND EXCHANGE COMMISSION**

[SEC File No. 270-22, OMB Control No. 3235-0006]

**Submission for OMB Review; Comment Request**

*Upon Written Request, Copies Available From:* Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549-2736

*Extension:*  
Form 13F

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, *et seq.*), the Securities and Exchange Commission (the "Commission") has submitted to the Office of Management and Budget a request for extension of the previously approved collection of information discussed below.

Section 13(f)<sup>1</sup> of the Securities Exchange Act of 1934<sup>2</sup> (the "Exchange Act") empowers the Commission to: (1) Adopt rules that create a reporting and disclosure system to collect specific information; and (2) disseminate such information to the public. Rule 13f-1<sup>3</sup> under the Exchange Act requires institutional investment managers that exercise investment discretion over accounts that have in the aggregate a fair market value of at least \$100,000,000 of

certain U.S. exchange-traded equity securities, as set forth in rule 13f-1(c), to file quarterly reports with the Commission on Form 13F.<sup>4</sup>

The information collection requirements apply to institutional investment managers that meet the \$100 million reporting threshold. Section 13(f)(6)(A) of the Exchange Act defines an "institutional investment manager" as any person, other than a natural person, investing in or buying and selling securities for its own account, and any person exercising investment discretion with respect to the account of any other person. Rule 13f-1(b) under the Exchange Act defines "investment discretion" for purposes of Form 13F reporting.

The reporting system required by Section 13(f) of the Exchange Act is intended, among other things, to create in the Commission a central repository

<sup>1</sup> 15 U.S.C. 78m(f).

<sup>2</sup> 15 U.S.C. 78a *et seq.*

<sup>3</sup> 17 CFR 240.13f-1.

<sup>4</sup> 17 CFR 249.325.

of historical and current data about the investment activities of institutional investment managers, and to improve the body of factual data available to regulators and the public.

The currently approved burden estimates include a total hour burden of

472,521.6 hours, with an internal cost burden of \$31,186,425.60, to comply with Form 13F.<sup>5</sup> Consistent with a recent rulemaking proposal that made adjustments to these estimates due primarily to the Commission’s belief that the currently approved estimates do

not appropriately reflect the information collection costs associated with Form 13F,<sup>6</sup> the table below reflects the revised estimates.

TABLE—FORM 13F CURRENT AND REVISED BURDEN ESTIMATES

	Initial hours	Annual hours		Wage rate	Internal time cost	External costs <sup>1</sup>
<b>Revisions to Current PRA Burden Estimates</b>						
<b>Revised Burdens for 13F–HR Filings</b>						
Current estimated annual burden of Form 13F–HR per filer.	.....	80.8 hours .....	×	\$66 <sup>2</sup> .....	\$5,332.80.	
Revised current annual estimated burden per filer.	.....	10 hours <sup>3</sup> .....	×	\$202.50 (blended rate for senior programmer and compliance clerk) <sup>4</sup> .	\$2,025 .....	\$789 <sup>6</sup> .
	.....	1 hour <sup>3</sup> .....		\$368 (compliance attorney rate) <sup>5</sup> .	\$368.	
Total revised estimated burden per filer.	.....	11 hours .....		.....	\$2,393 .....	\$789.
Number of filers .....	.....	5,466 filers <sup>7</sup> .....		.....	5,466 filers .....	5,466 filers.
Revised current annual burden of Form 13F–HR filings.		60,126 hours .....			\$13,080,138 .....	\$4,312,674.
<b>Revised Burdens for 13F–NT Filings</b>						
Current estimated annual burden of Form 13F–NT.	.....	80.8 hours.				
Revised current annual burden of Form 13F–NT per filer.	.....	4 hours .....	×	\$71 (wage rate for compliance clerk).	\$284 .....	\$300.
Number of filers .....	.....	1,535 filers <sup>8</sup> .....		.....	1,535 filers .....	1,535 filers.
	.....	6,140 hours .....		.....	\$435,940 .....	\$460,500.
<b>Revised Burdens for Form 13F Amendment Filings</b>						
Current estimated burden per amendment filing.	.....	4 hours .....	.....	\$66.00 .....	\$264.	
Revised current estimated burden per amendment.	.....	3.5 hours <sup>9</sup> .....	×	\$202.50 (blended rate for senior programmer and compliance clerk).	\$708.75 .....	\$300.
	.....	0.5 hour <sup>9</sup> .....		.....	\$368 (compliance attorney rate).	\$184.
Total revised estimates burden per amendment.	.....	4 hours .....		.....	\$892.75 .....	\$300.

<sup>5</sup> This estimate is based on the last time the rule’s information collection was submitted for PRA renewal in 2018.

<sup>6</sup> See Electronic Submission of Applications for Orders under the Advisers Act and the Investment Company Act, Confidential Treatment Requests for

Filings on Form 13F, and Form ADV–NR; Amendments to Form 13F, Investment Company Release No. 34415 (Nov. 4, 2021).

TABLE—FORM 13F CURRENT AND REVISED BURDEN ESTIMATES—Continued

	Initial hours	Annual hours		Wage rate	Internal time cost	External costs <sup>1</sup>
Number of amendments.	.....	244 amendments <sup>10</sup> ....		.....	244 amendments .....	244 amendments.
Revised current annual estimated burden of all amendments.	.....	976 hours .....		.....	\$217,831 .....	\$73,200.
<b>Total Estimated Form 13F Burden</b>						
Currently approved burden estimates.	(1)	472,521.6 hours .....		.....	\$31,186,425.60 .....	\$0.
Revised current burden estimates.	(1)	67,242 hours .....		.....	\$13,733,909 .....	\$4,846,374.

**Notes:**

<sup>1</sup> The external costs of complying with Form 13F can vary among filers. Some filers use third-party vendors for a range of services in connection with filing reports on Form 13F, while other filers use vendors for more limited purposes such as providing more user-friendly versions of the list of section 13(f) Securities. For purposes of the PRA, we estimate that each filer will spend an average of \$300 on vendor services each year in connection with the filer's four quarterly reports on Form 13F–HR or Form 13F–NT, as applicable, in addition to the estimated vendor costs associated with any amendments. In addition, some filers engage outside legal services in connection with the preparation of requests for confidential treatment or analyses regarding possible requests, or in connection with the form's disclosure requirements. For purposes of the PRA, we estimate that each manager filing reports on Form 13F–HR will incur \$489 for one hour of outside legal services each year.

<sup>2</sup> \$66 was the estimated wage rate for a compliance clerk in 2018.

<sup>3</sup> The estimate reduces the total burden hours associated with complying with the reporting requirements of Form 13F–HR from 80.8 to 11 hours. We believe that this reduction adequately reflects the reduction in the time managers spend complying with Form 13F–HR as a result of advances in technology that have occurred since Form 13F was adopted. The revised estimate also assumes that an in-house compliance attorney would spend 1 hour annually on the preparation of the filing, as well as determining whether a 13(f) Confidential Treatment Request should be filed. The remaining 10 hours would be divided equally between a senior programmer and compliance clerk.

<sup>4</sup> The \$202.50 wage rate reflects current estimates of the blended hourly rate for an in-house senior programmer (\$334) and in-house compliance clerk (\$71). \$202.50 is based on the following calculation:  $(\$334 + \$71) / 2 = \$202.50$ . The \$334 per hour figure for a senior programmer is based on salary information for the securities industry compiled by the Securities Industry and Financial Markets Association's Office Salaries in the Securities Industry 2013 ("SIFMA Report"), modified by Commission staff to account for an 1800-hour work-year and inflation, and multiplied by 5.35 to account for bonuses, firm size, employee benefits and overhead. The \$71 per hour figure for a compliance clerk is based on salary information from the SIFMA Report, modified by Commission staff to account for an 1800-hour work-year and inflation, and multiplied by 2.93 to account for bonuses, firm size, employee benefits and overhead.

<sup>5</sup> The \$368 per hour figure for a compliance attorney is based on salary information for the securities industry compiled by the Securities Industry and Financial Markets Association's Office Salaries in the Securities Industry 2013 ("SIFMA Report"), modified by Commission staff to account for an 1800-hour work-year and inflation, and multiplied by 5.35 to account for bonuses, firm size, employee benefits and overhead.

<sup>6</sup> \$789 includes an estimated \$300 paid to a third-party vendor in connection with the Form 13F–HR filing as well as an estimated \$489 for one hour of outside legal services. We estimate that Form 13F–HR filers will require some level of external legal counsel in connection with these filings.

<sup>7</sup> This estimate is based on the number of 13F–HR filers as of December 2019.

<sup>8</sup> This estimate is based on the number of Form 13F–NT filers as of December 2019.

<sup>9</sup> The revised estimate assumes that an in-house compliance attorney would spend 0.5 hours annually on the preparation of the filing amendment, as well as determining whether a 13(f) Confidential Treatment Request should be filed. The remaining 3.5 hours would be divided equally between a senior programmer and compliance clerk.

<sup>10</sup> This estimate is based on the number of Form 13F amendments filed as of December 2019.

The estimate of average burden hours is made solely for the purposes of the Paperwork Reduction Act. The estimate is not derived from a comprehensive or even a representative survey or study of the costs of Commission rules. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid control number.

The public may view the background documentation for this information collection at the following website, [www.reginfo.gov](http://www.reginfo.gov). Comments should be directed to: (i) Desk Officer for the Securities and Exchange Commission, Office of Information and Regulatory Affairs, Office of Management and Budget, Room 10102, New Executive Office Building, Washington, DC 20503, or by sending an email to: [Lindsay.M.Abate@omb.eop.gov](mailto:Lindsay.M.Abate@omb.eop.gov); and (ii)

David Bottom, Director/Chief Information Officer, Securities and Exchange Commission, c/o John R. Pezzullo, 100 F Street NE, Washington, DC 20549 or send an email to: [PRA\\_Mailbox@sec.gov](mailto:PRA_Mailbox@sec.gov). Written comments and recommendations for the proposed information collection should be sent within 30 days of publication of this notice to [www.reginfo.gov/public/do/PRAMain](http://www.reginfo.gov/public/do/PRAMain). Find this particular information collection by selecting "Currently under 30-day Review—Open for Public Comments" or by using the search function.

Dated: January 24, 2022.

**J. Matthew DeLesDernier**,  
Assistant Secretary.

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**BILLING CODE 8011–01–P**

## SECURITIES AND EXCHANGE COMMISSION

[SEC File No. 270–017, OMB Control No. 3235–0017]

### Submission for OMB Review; Comment Request, Extension: Rules 6a–1 and 6a–2, Form 1

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of FOIA Services, 100 F Street NE, Washington, DC 20549–2736

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 ("PRA") (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission ("Commission") has submitted to the Office of Management and Budget ("OMB") a request for approval of extension of the previously approved collection of information provided for in