

## *PDUFA Cover Sheet Creation: Step-by-Step Instructions*

On August 18, 2017 the President signed into law the Food and Drug Administration Reauthorization Act (FDARA). This new law includes the reauthorization of the Prescription Drug User Fee Act (PDUFA) that provides FDA with the necessary resources to maintain a predictable and efficient review process for human drug and biologic products. The new law ensures that FDA will continue to receive a source of stable and consistent funding during fiscal years 2018-2022 that will allow the agency to fulfill its mission to protect and promote public health by helping to bring to market critical new medicines for patients.

The Prescription Drug User Fee Act (PDUFA) was enacted in 1992 and renewed in 1997 (PDUFA II), 2002 (PDUFA III), 2007 (PDUFA IV), 2012 (PDUFA V), and 2017 (PDUFA VI). It authorizes FDA to collect fees from companies that produce certain human drug and biological products. Since the passage of PDUFA, user fees have played an important role in expediting the drug approval process.

For additional information, please refer to:

<http://www.fda.gov/ForIndustry/UserFees/PrescriptionDrugUserFee/default.htm>

- 1) Access the User Fee Website: [https://userfees.fda.gov/OA\\_HTML/pdufaCAcdLogin.jsp](https://userfees.fda.gov/OA_HTML/pdufaCAcdLogin.jsp)
- 2) Review the statement and select the “I Understand” radio button.
- 3) For users who have an existing user name and password, proceed to Step 4;
  - a) If you do not have an existing account, see the [FDA User Fee Account Creation: Step-by-Step Instructions](#) for step-by-step instructions on how to create an account. For additional assistance, contact the User Fee Helpdesk at [userfees@fda.gov](mailto:userfees@fda.gov).
- 4) Enter a valid user name and password.
- 5) Click the “Login” button.



### Useful Links

- [User Fee Information](#)
- [User Fee Payment Information](#)
- [Frequently Asked Questions \(FAQs\)](#)
- [FDA User Fee Account Creation Guide](#)
- [PdUFA Cover Sheet Creation: Step-by-Step Instructions](#)

System for Award Management

**Log in to the User Fee System**

User Name:  Password:

[Forgot User Name/Password?](#)

[New User? Please register...](#)

### User Fee System Alerts

*Please be advised that the FDA User Fee System will be unavailable from 6:00AM EST on Saturday, June 24, 2017 to 6:00 PM EST on Sunday, June 25, 2017 for maintenance activities.*

*Please note the FDA's user fee credit card limit is \$24,999.99. You will not be able to make an online payment with a credit card for payments over this limit. The ACH online payment option is still available for amounts exceeding the credit*

## PDUFA Cover Sheet Creation: Step-by-Step Instructions

6) Click the “Go” button next to “PDUFA Pre-Market Cover Sheets”.



### User Fee Website

Welcome FDA Test

#### Annual Establishment Registration

User Fee	Description	
MDUFA Establishment Registration User Fee 2017	FURLS Device Facility User Fee	<input type="button" value="Go"/>
MDUFA Establishment Registration User Fee 2018	FURLS Device Facility User Fee	<input type="button" value="Go"/>

#### 2016 Cover Sheets

FY 2016 cover sheets should be created for payments associated with submissions to the FDA for the period October 1st, 2015 through September 30th, 2016.

User Fee	Description	
Generic Drug User Fee 2016	GDUFA Cover Sheets	<input type="button" value="Go"/>

#### 2017 Cover Sheets

FY 2017 cover sheets should be created for payments associated with submissions to the FDA for the period October 1st, 2016 through September 30th, 2017.

User Fee	Description	
ANIMAL DRUG USER FEE 2017	ADUFA Pre-Market Cover Sheets	<input type="button" value="Go"/>
ANIMAL GENERIC DRUG USER FEE 2017	AGDUFA Cover Sheets	<input type="button" value="Go"/>
Biosimilar User Fee 2017	BsUFA Cover Sheets	<input type="button" value="Go"/>
Generic Drug User Fee 2017	GDUFA Cover Sheets	<input type="button" value="Go"/>
Medical Device User Fee 2017	MDUFA Cover Sheets (PMA, 510k, etc.)	<input type="button" value="Go"/>
Prescription Drug User Fee 2017	PDUFA Pre-Market Cover Sheets	<input type="button" value="Go"/>

#### 2018 Cover Sheets

FY 2018 cover sheets should be created for payments associated with submissions to the FDA for the period October 1st, 2017 through September 30th, 2018.

User Fee	Description	
ANIMAL DRUG USER FEE 2018	ADUFA Pre-Market Cover Sheets	<input type="button" value="Go"/>
ANIMAL GENERIC DRUG USER FEE 2018	AGDUFA Cover Sheets	<input type="button" value="Go"/>
Biosimilar User Fee 2018	BsUFA Cover Sheets	<input type="button" value="Go"/>
Generic Drug User Fee 2018	GDUFA Cover Sheets	<input type="button" value="Go"/>
Medical Device User Fee 2018	MDUFA Cover Sheets (PMA, 510k, etc.)	<input type="button" value="Go"/>
Prescription Drug User Fee 2018	PDUFA Pre-Market Cover Sheets	<input type="button" value="Go"/>

## PDUFA Cover Sheet Creation: Step-by-Step Instructions

### 7) Scroll to the bottom of the page and select the 'Application Details' button.


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[Prescription Drug User Fee](#)

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**User Fee Websites**

[Prescription Drug User Fee Info](#)

[Center for Biologic Evaluation and Research](#)

[Center for Drug Evaluation and Research](#)

**INSTRUCTIONS FOR COMPLETING PRESCRIPTION DRUG USER FEE COVER SHEET FORM FDA 3397**

I. Form FDA 3397 is to be completed for and submitted with each new drug or biologic product original application submitted to the Agency. Form FDA 3397 should be placed in the first volume of the application with the application (FORM FDA 356(h)) form. Form FDA 3397 is to be completed on-line at [https://userfees.fda.gov/OA\\_HTML/pdf/cd/cd\\_login.asp](https://userfees.fda.gov/OA_HTML/pdf/cd/cd_login.asp). If you need assistance in completing the form call 301-796-7200 or email [userfees@fda.gov](mailto:userfees@fda.gov).

Complete this form 3397 for:

- 505(b) and 351(a) Original Applications
- Resubmission of 505(b) and 351(a) Original Applications after a Refuse to File
- Resubmissions of 505(b) and 351(a) Original Applications Withdrawn before the filing date

ITEM NO.	INSTRUCTIONS
1, 2.	<b>Self explanatory</b>
3.	<b>PRODUCT NAME:</b> Include generic or proper name and trade name, as applicable.
4.	<b>BLA STN / NDA NUMBER:</b> Please include only a NDA number or a BLA STN, as applicable.  <b>FOR AN ORIGINAL BIOLOGIC LICENSE APPLICATION (BLA):</b> Indicate the 6-digit BLA number (Submission Tracking Number (STN)) if pre-assigned, otherwise leave blank.  <b>FOR DRUG PRODUCTS:</b> Indicate the new drug application (NDA) number. NDA numbers can be obtained by completing the information at <a href="http://www.fda.gov/oc/officeofregulatoryaffairs/information/guidance/ucm114027.htm">http://www.fda.gov/oc/officeofregulatoryaffairs/information/guidance/ucm114027.htm</a> . <b>CLINICAL DATA:</b> The definition of clinical data for the assessment of user fees is found in FDA's Guidance for Industry: Submitting Separate Marketing Applications and Clinical Data for Purposes of Assessing User Fees. FDA's guidance on the definition of clinical data can be found on FDA's web site: <a href="http://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM079320.pdf">http://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM079320.pdf</a> . <b>USER FEE I.D. NUMBER:</b> Please include the ID number (generated when completing Form FDA 3397) on the application payment check. <b>PRIORITY REVIEW VOUCHER:</b> If you are redeeming a priority review voucher awarded to a sponsor of a tropical disease product application (see section 524 of the Federal Food, Drug, and Cosmetic Act (FD&C Act)), please include the priority review voucher number assigned when the tropical disease or medical countermeasure product was approved. See FDA's Guidance for Industry: Tropical Disease Priority Review Vouchers for further information. FDA's guidance can be found on FDA's web site: <a href="http://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM080099.pdf">http://www.fda.gov/downloads/Drugs/GuidanceComplianceRegulatoryInformation/Guidances/UCM080099.pdf</a> . <b>EXCEPTIONS:</b> The application is for an orphan drug product. Under section 735(a)(1)(F) of the FD&C Act, a human drug application is not subject to an application fee if the proposed product is for a rare disease or condition designated under section 526 of the FD&C Act (orphan drug designation) AND the application does not include an indication that is not designated. A copy of the FDA letter granting orphan designation should be included with the BLA/NDA submission. <b>WAIVER:</b> Complete this section only if a waiver of user fees, including a small business waiver, has been granted for this application. A copy of the official FDA notification that a waiver has been granted must be provided with the BLA/NDA submission.

ii. Upon completion of the cover sheet and assignment of the User Fee Payment I.D. Number, the following payment options are available for remittance of the user fee:

**Payment Options:**

The preferred payment method is online using Automated Clearing House (ACH) electronic check (eCheck) via Pay.gov, paying online ensures that your payment will be processed in a timely manner. The additional payment options include paper check, bank draft, money order, or wire transfer.

- Pay.gov can be used to submit secure online payments for cover sheets to the FDA. Payments can be made through the Automated Clearing House (ACH) method, which can come directly from your bank account or an eCheck. The FDA has partnered with the US Department of the Treasury to use Pay.gov, a web-based payment application, for online electronic payment. The Treasury has compiled a comprehensive list of Pay.gov FAQs which can be accessed at <https://www.pay.gov/WebHelp/HTML/about.html>
- Make your check payable to the U.S. Food and Drug Administration and include 1 copy of the FDA PDUFA cover sheet. Please write the payment identification number (PIN) beginning with "PD" on your check. **FDA will not be able to process your payment correctly without your PDUFA cover sheet PIN.**

**Mail your check and one copy of the PDUFA cover sheet to:**  
 The Food and Drug Administration  
 P.O. Box 979107  
 St. Louis, MO 63197-9000  
*Note: Please do not send your application to this address; only your payment.*

**If you prefer to send a check by a courier, the courier may deliver the check and cover sheet to:**  
 U.S. Bank  
 ATTN: Government Lockbox 979107  
 1006 Convention Plaza  
 St. Louis, MO 63101  
*Note: Please do not send your application to this address; only your payment. This address is for courier delivery only. If you have any questions concerning courier delivery, contact the US Bank at (314) 418-4913.*

- If paying by wire transfer, please ask your financial institution about the wire transfer fee and include it with your user fee payment to ensure that your fee is fully paid. The wire transfer must reference the User Fee Payment I.D. Number (PIN) which was generated upon submission of the cover sheet. **FDA will not be able to process your payment correctly without your PIN.** Please include your PDUFA cover sheet PIN and the NDA/BLA number with your wire transfer and send your payment to the address show below. Please note that the review of your application can not begin until full payment is received.

If your financial institution is located outside the U.S., they will need to send the payment to us using a US-based intermediary bank. They will be able to handle this detail for you.

Some banks also have two separate SWIFT numbers beginning with FRNYUS33. You should choose the one which reflects the correct address (33 Liberty Street). Below are full details on sending us a wire payment.

You may send your wire payment using the following information:

**Wire transfer payment**  
 US Department of Treasury  
 TREAS NYC  
 33 Liberty Street  
 New York, NY 10045

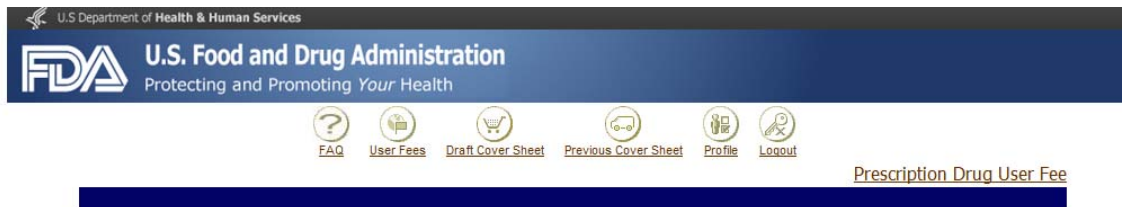
FDA Deposit Account Number: 75060099  
 US Department of Treasury Routing/Transit number: 021030004  
 SWIFT Number: FRNYUS33  
 Beneficiary: FDA  
 1350 Piccard Drive  
 Suite 200A  
 Rockville, MD 20850

If needed for accounting purposes, FDA's tax identification number is 53-0196965

*Note: Wire transfers to the Department of Treasury are distinct from online ACH payments via Pay.gov*

**PDUFA Cover Sheet Creation: Step-by-Step Instructions**

- 8) Make the appropriate selections and provide the requested information as applicable:
- a) Select 'CDER Submission' or 'CBER Submission'
  - b) Provide the 'Established Name/Proper Name', 'Trade Name', 'NDA Number', and 'BLA Submission Tracking Number (STN)'
  - c) Select the type of application requested
  - d) Select 'Yes' or 'No' to the application requiring clinical data for approval question
  - e) Select 'The required clinical data are contained in the application' or 'The required clinical data are submitted by reference to:'
    - a) If 'The required clinical data are submitted by reference to:' is selected, provide either the 'Application Number Containing the Data' or 'Supplement Number Containing the Data'
  - f) Select 'Yes' or 'No' to the Priority Review Voucher for the treatment of tropical diseases question
    - a) If 'Yes', provide the Priority Review Voucher number

**PRESCRIPTION USER FEE COVER SHEET**

>Show Legend

CDER Submission  CBER Submission

Include Established Name/Proper Name and Trade Name, as applicable

ESTABLISHED NAME/PROPER NAME  TRADE NAME

NDA NUMBER  BLA SUBMISSION TRACKING NUMBER(STN)

Is this an Original Application?

Yes  No

Does this application require clinical data for approval?

- [FAQ](#)
- [User Fees](#)
- [Draft Cover Sheet](#)
- [Previous Cover Sheet](#)
- [Profile](#)
- [Logout](#)

[Prescription Drug User Fee](#)

**PRESCRIPTION USER FEE COVER SHEET**

›Show Legend

- CDER Submission
- CBER Submission

**Include Established Name/Proper Name and Trade Name, as applicable**

ESTABLISHED NAME/PROPER NAME	TRADE NAME
<input type="text"/>	<input type="text"/>

NDA NUMBER	BLA SUBMISSION TRACKING NUMBER(STN)
<input type="text"/>	<input type="text"/>

**Is this an Original Application?**

- Yes
- No

**Does this application require clinical data for approval?**

- Yes
- No

The required clinical data are contained in the application

The required clinical data are submitted by reference to:

(Application Number Containing the Data)

(Supplement Number Containing the Data)

### PDUFA Cover Sheet Creation: Step-by-Step Instructions

9) If applicable, select the 'Exceptions and Waivers' button; otherwise, proceed to step 11 to continue.

Are you redeeming a Priority Review Voucher for the treatment of tropical diseases?

Yes  No

Are you redeeming a Priority Review Voucher for Medical Countermeasures?

Yes  No

If you have an exception or a waiver (e.g., orphan exception, small business waiver, etc.), please click the button below:

[Exceptions or Waivers](#)

[Done](#) [Cancel](#)

10) Make the appropriate selections and select 'Return to Cover Sheet' to continue.

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PDUFA  User Fees  Draft Cover Sheet  Previous Cover Sheet  Profile  Logout

Prescription Drug User Fee

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**PDUFA Waivers and Exceptions**

> Show Legend

- A LARGE VOLUME PARENTERAL DRUG PRODUCT APPROVED UNDER SECTION 505 OF THE FEDERAL FOOD DRUG AND COSMETIC ACT BEFORE 9/1/92
- THE APPLICATION QUALIFIES FOR THE ORPHAN EXCEPTION UNDER SECTION 736(a)(1)(F) OF THE FEDERAL FOOD DRUG AND COSMETIC ACT
- THE APPLICATION IS SUBMITTED BY A STATE OR FEDERAL GOVERNMENT ENTITY FOR A DRUG THAT IS NOT DISTRIBUTED COMMERCIALY

WAVYER - Complete this section only if a waiver of user fees including the small business waiver has been granted for this application. A copy of the official FDA notification that the waiver has been granted must be provided with the submission.

Please check this box if a waiver of an application fee has been granted for this application.

[Return to Cover Sheet](#)



### PDUFA Cover Sheet Creation: Step-by-Step Instructions

13) After arriving at the Draft Cover Sheet page, scroll to the bottom and select the 'Next' button to review the contact and address information.

A. Note: you may save the cover sheet by selecting the 'Save Cover Sheet' button. You may return to the 'Draft Cover Sheet' menu to access your saved draft cover sheet. Select the checkbox under the 'Delete' column and select the 'Delete Selected 'Draft(s)' button to delete a draft cover sheet.

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FAQ User Fees Draft Cover Sheet Previous Cover Sheet Profile Logout

Prescription Drug User Fee

Cover Sheet Saved Cover Sheets

**Draft Cover Sheet**

Items

✓ You now have four options to proceed:

1. If you have one draft cover sheet, click the "Next" button to submit your cover sheet to FDA and receive a Payment Identification Number (PIN).  
**Note:** If you do not receive a Payment Identification Number (PIN), your cover sheet was not submitted to FDA.
2. If you would like to modify your cover sheet selections, click the "Modify Application Details" button to make changes to the draft form. To view your draft cover sheet, please click on the cover sheet link.
3. If you choose not to save or submit your cover sheet at this time, your draft cover sheet will be automatically saved for 30 days before it expires.
4. If you would like to save your cover sheet for future submission, click the "Save Cover Sheet" button and provide a name for your cart.  
 If you are saving more than one cover sheets, please make sure you save each cover sheet under a different cart name.  
**Note:** To modify or submit a saved cover sheet, click the "Draft Cover Sheet" icon, and select the "Saved Cover Sheets" link to access your carts. Saved cover sheets remain active for 90 days before they expire.

**Select All** **Clear Selections**

Delete	Cover Sheet	Creation Date	Last Update Date	
<input type="checkbox"/>	<a href="#">PRESCRIPTION USER FEE COVER SHEET</a> <a href="#">Modify Application Details</a>	22-SEP-2017 09:42:01	22-SEP-2017 10:18:40	Net: \$1,029,241.00

Delete Selected Draft(s) Save Cover Sheet **Next**

Prescription Drug User Fee  
 User Fees | **Draft Cover Sheet** | Previous Cover Sheet | Profile | Logout |



***PDUFA Cover Sheet Creation: Step-by-Step Instructions***

14) On the 'Checkout: Applicant Contact Information' page, you will see the billing information for this cover sheet. You can change the address by selecting the 'Change' button and follow the instructions to update the address. Once the information has been verified and is accurate, select 'Next' to proceed.

The screenshot shows the FDA website interface for the Prescription Drug User Fee system. At the top, there is a navigation bar with the FDA logo and the text "U.S. Food and Drug Administration Protecting and Promoting Your Health". Below this are several icons for "FAQ", "User Fees", "Draft Cover Sheet", "Previous Cover Sheet", "Profile", and "Logout". A link for "Prescription Drug User Fee" is also visible. The main content area is titled "Checkout: Applicant Contact Information" and contains a "Payment Information" section. Underneath, there is a "Bill To" section with a red-bordered box containing the following information: "Customer: FDA", "Contact: FDA Test", "999-987878", "XX\_fda@fda.hhs.gov\_FDA", and "Address: 8455 Colesville Road, Silver Spring, MD 20109, UNITED STATES". To the right of this box is a "Change" button. At the bottom of the page, there are "Save Cover Sheet" and "Next" buttons, and a footer with navigation links: "User Fees | Draft Cover Sheet | Previous Cover Sheet | Profile | Logout |".

**PDUFA Cover Sheet Creation: Step-by-Step Instructions**

15) Review and verify your information, and select the 'Submit Cover Sheet to FDA' button to obtain your Payment Identification Number (PIN).

The screenshot displays the FDA's Prescription Drug User Fee system interface. At the top, there is a navigation bar with the FDA logo and the text "U.S. Food and Drug Administration Protecting and Promoting Your Health". Below this are several icons for navigation: FAQ, User Fees, Draft Cover Sheet, Previous Cover Sheet, Profile, and Logout. A link for "Prescription Drug User Fee" is also visible.

The main content area is titled "Checkout: Review and Submit Draft Cover Sheet". It contains a table with the following data:

Cover Sheet	Creation Date	Last Update Date	
<a href="#">FY 2018 PRESCRIPTION USER FEE COVER SHEET</a> Print/View Draft Cover Sheet	22-SEP-2017 09:42:01	22-SEP-2017 10:24:09	Net: \$1,029,241.00
			<b>Total: \$1,029,241.00</b>

Below the table, there are sections for "Customer Information" and "Applicant Contact Information".

**Customer Information:**  
 Customer: FDA  
 FDA Test  
 999-987878  
 XX\_fda@fda.hhs.gov\_FDA

**Applicant Contact Information:**  
 Bill To: FDA Test  
 FDA  
 8455 Colesville Road  
 Silver Spring, MD 20109  
 UNITED STATES

At the bottom right of the interface, there is a button labeled "Submit Cover Sheet to FDA".

### PDUFA Cover Sheet Creation: Step-by-Step Instructions

16) A unique User Fee PIN will be generated with your cover sheet upon submission. Please note that your completed cover sheet is your invoice. To obtain an invoice copy for your records, select on the 'Print/View Final Cover Sheet' button on the confirmation page.

Once you submit your cover sheet and obtain your PIN, you may pay online by selecting the 'Pay Now' button.

You can create and submit another PDUFA cover sheet by selecting the 'Create Another Cover Sheet' button.

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FAQ User Fees Draft Cover Sheet Previous Cover Sheet Profile Logout

Prescription Drug User Fee

**Confirmation**  
 YOUR PAYMENT IDENTIFICATION NUMBER IS: PD3017121

Your Cover Sheet has been submitted electronically. You must print two copies and sign the original. Please include the original with your application and include a copy with your payment.

Thank you for visiting the FDA User Fee Website. As part of our efforts to improve customer service, we would like to hear from you.

Please [click here](#) to fill out a short survey. This will only take approximately 2 minutes to complete.

Cover Sheet	Creation Date	Last Update Date	
FY 2018 PRESCRIPTION USER FEE COVER SHEET <a href="#">Print/View Final Cover Sheet</a>	1	22-SEP-2017 09:42:01	22-SEP-2017 10:24:09
			Net: \$1,029,241.00
			<b>Total: \$1,029,241.00</b>

**Customer Information**

Customer: FDA  
 FDA Test  
 999-987878  
 XX\_fda@fda.hhs.gov\_FDA

**Applicant Contact Information**

Bill To: FDA Test  
 FDA  
 8455 Colesville Road  
 Silver Spring, MD 20109  
 UNITED STATES

[Pay Now](#) [Create Another Cover Sheet](#)

**Note:** You can submit payment online by credit card or Automated Clearing House (ACH) electronic check (eCheck), by paper check or by wire/bank transfer. There is a credit card payment limit of \$24,999.99. Any payment above the limit will need to be paid using another payment method. The preferred payment method is online. If you prefer to pay via check or wire transfer, please write the PIN on the check or include the PIN with your wire transfer payment. FDA will not be able to process your payment correctly without your PIN.

If you have any further questions about the cover sheet creation process, please contact the User Fee Helpdesk at [userfees@fda.gov](mailto:userfees@fda.gov).