

MONDAY, APRIL 11, 1977



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## highlights

### PART I:

|   |              |
|---|--------------|
| <b>SUNSHINE ACT MEETINGS</b> .....  | 18921        |
| <b>WORLD TRADE WEEK</b>   |              |
| Presidential proclamation.....  | 18855        |
| <b>AUTOMOTIVE FUEL ECONOMY REPORTS</b>  |              |
| DOT/NHTSA proposes format and content requirements for reports submitted by automobile manufacturers; comments by 5-11-77.....                                | 18867        |
| <b>PESTICIDE PROGRAM</b>  |              |
| EPA notice of intent to cancel registrations of pesticide products containing chlordecone (kepone) and response to USDA and SAP comments on cancellation..... | 18885        |
| <b>COMMODITY LOANS</b>  |              |
| USDA/CCC announces decrease in interest rate for storage facilities and drying equipment.....   | 18882        |
| <b>MAXIMUM INTEREST RATES</b>   |              |
| SBA establishes rates that participating lending institutions may charge after 4-11-77.....   | 18913        |
| <b>SWIMMING POOLS FROM JAPAN</b>  |              |
| ITC publishes notice of investigation and announces hearing date of 5-12-77 regarding metal-walled above-ground model.....                                    | 18906        |
| <b>PUBLIC SERVICE EDUCATION</b>   |              |
| HEW/OE announces closing date of 5-19-77 for receipt of applications (2 documents).....   | 18902, 18903 |
| HEW/OE proposes regulations concerning institutional grants and fellowships (2 documents); comments by 5-11-77.....   | 18864, 18865 |
| <b>GOVERNMENT IN THE SUNSHINE</b>   |              |
| NRPC notice of rules governing open meetings.....   | 18908        |
| <b>PRIVACY ACT</b>  |              |
| DOD/Army proposal exempting portions of records compiled by U.S. Military Academy pertaining to certain testing material; comments by 5-11-77.....            | 18863        |

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CONTINUED INSIDE

# reminders

(The items in this list were editorially compiled as an aid to FEDERAL REGISTER users. Inclusion or exclusion from this list has no legal significance. Since this list is intended as a reminder, it does not include effective dates that occur within 14 days of publication.)

## Rules Going Into Effect April 10, 1977

USDA/AMS—Grain inspection; increase in fees ..... 12033; 3-2-77

## Rules Going Into Effect Today

CSA—Summer Youth Recreation Programs; FY77 fund requirements. 13292; 3-10-77

## List of Public Laws

NOTE: No public bills which have become law were received by the Office of the Federal Register for inclusion in today's List of PUBLIC LAWS.

## AGENCY PUBLICATION ON ASSIGNED DAYS OF THE WEEK

The six-month trial period ended August 6. The program is being continued on a voluntary basis (see OFR notice, 41 FR 32914, August 6, 1976). The following agencies have agreed to remain in the program:

| Monday          | Tuesday    | Wednesday | Thursday        | Friday     |
|-----------------|------------|-----------|-----------------|------------|
| NRC             | USDA/ASCS  |           | NRC             | USDA/ASCS  |
| DOT/COAST GUARD | USDA/APHIS |           | DOT/COAST GUARD | USDA/APHIS |
| DOT/NHTSA       | USDA/FNS   |           | DOT/NHTSA       | USDA/FNS   |
| DOT/FAA         | USDA/REA   |           | DOT/FAA         | USDA/REA   |
| DOT/OHMO        | CSC        |           | DOT/OHMO        | CSC        |
| DOT/OPSO        | LABOR      |           | DOT/OPSO        | LABOR      |
|                 | HEW/FDA    |           |                 | HEW/FDA    |

Documents normally scheduled on a day that will be a Federal holiday will be published the next work day following the holiday.

Comments on this program are still invited. Comments should be submitted to the Day-of-the-Week Program Coordinator, Office of the Federal Register, National Archives and Records Service, General Services Administration, Washington, D.C. 20408.

**ATTENTION:** For questions, corrections, or requests for information please see the list of telephone numbers appearing on opposite page.

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### FEDERAL REGISTER, Daily Issue:

|  |              |
|--|--------------|
| Subscriptions and distribution.....  | 202-783-3238 |
| "Dial - a - Regulation" (recorded summary of highlighted documents appearing in next day's issue). | 202-523-5022 |
| Scheduling of documents for publication.   | 523-5220     |
| Copies of documents appearing in the Federal Register.   | 523-5240     |
| Corrections .....  | 523-5286     |
| Public Inspection Desk.....  | 523-5215     |
| Finding Aids.....  | 523-5227     |
| <b>Public Briefings: "How To Use the Federal Register."</b>  | 523-5282     |
| <b>Code of Federal Regulations (CFR)</b> ..  | 523-5266     |
| Finding Aids.....  | 523-5227     |

### PRESIDENTIAL PAPERS:

|   |          |
|---|----------|
| Executive Orders and Proclamations.           | 523-5233 |
| Weekly Compilation of Presidential Documents. | 523-5235 |
| Public Papers of the Presidents....           | 523-5235 |
| Index .....                                   | 523-5235 |

### PUBLIC LAWS:

|                                   |          |
|-----------------------------------|----------|
| Public Law dates and numbers..... | 523-5237 |
| Slip Laws.....                    | 523-5237 |
| U.S. Statutes at Large.....       | 523-5237 |
| Index .....                       | 523-5237 |

|                                     |          |
|-------------------------------------|----------|
| <b>U.S. Government Manual</b> ..... | 523-5230 |
| <b>Automation</b> .....             | 523-5240 |
| <b>Special Projects</b> .....       | 523-5240 |

### HIGHLIGHTS—Continued

#### MEETINGS—

|   |       |
|---|-------|
| USDA/FS: Union County Grazing Advisory Board, 5-16-77 .....                           | 18882 |
| National Advisory Council on Economic Opportunity, 5-13-77 .....                      | 18906 |
| ERDA: General Advisory Committee, 4-27 thru 4-29-77 .....                             | 18884 |
| NASA: Research and Technology Advisory Council, 5-4 through 5-6-77.....               | 18907 |
| Space Program Advisory Council, 4-26-77.....  | 18908 |
| NRC: Reactor Safeguards Advisory Committee, 4-27-77 .....                             | 18909 |
| State/AID: International Food and Agricultural Development Board, 5-7 and 5-8-77..... | 18914 |

#### CHANGED MEETINGS—

|  |       |
|--|-------|
| ERDA: Global Environmental Effects of Carbon Dioxide Study Group, 5-21-77..... | 18883 |
|--|-------|

#### HEARINGS—

|   |       |
|---|-------|
| EPA: Coal-Fired Steam Generators SO <sub>2</sub> Emissions, 5-25 and 5-26-77..... | 18884 |
|---|-------|

#### PART II:

##### FAMILY PLANNING SERVICES GRANT

|   |       |
|---|-------|
| HEW proposal implementing Title X, Public Health Service Act; comments by 5-26-77 ..... | 18945 |
|---|-------|

#### PART III:

##### MILK MARKETING AREAS

|  |       |
|--|-------|
| USDA/AMS proposal recommending changes in New York-New Jersey Federal milk marketing order; comments by 5-11-77..... | 18949 |
|--|-------|

#### PART IV:

##### PRIVACY ACT OF 1974

|   |       |
|---|-------|
| Interior issues notice of systems of records..... | 18967 |
|---|-------|

# contents

|  |       |   |       |   |       |
|--|-------|---|-------|---|-------|
| <b>THE PRESIDENT</b>   |       | <b>CUSTOMS SERVICE</b>  |       | <b>FEDERAL AVIATION ADMINISTRATION</b>  |       |
| <b>Proclamations</b>   |       | <b>Notices</b>  |       | <b>Rules</b>  |       |
| World Trade Week.....  | 18855 | Entry of merchandise, customs invoice form 5515; correction.....                                | 18917 | Airworthiness directives:   |       |
| <b>EXECUTIVE AGENCIES</b>  |       | <b>DEFENSE DEPARTMENT</b>   |       | Fairchild Aircraft.....   | 18857 |
| <b>AGENCY FOR INTERNATIONAL DEVELOPMENT</b>  |       | <i>See</i> Army Department; Engineers Corps.  |       | Pratt & Whitney Aircraft.....   | 18858 |
| <b>Notices</b>   |       | <b>DISEASE CONTROL CENTER</b>   |       | Control zones.....  | 18859 |
| Authority delegations:   |       | <b>Notices</b>  |       | <b>Proposed Rules</b>   |       |
| USAID/Guatemala Director....   | 18914 | Drinking Water Disinfection Ad Hoc Advisory Committee, final report; availability of filing.... | 18902 | Airworthiness directives:   |       |
| USAID/Haiti Director.....  | 18914 | <b>ECONOMIC OPPORTUNITY, NATIONAL ADVISORY COUNCIL</b>  |       | Boeing.....   | 18861 |
| Housing guarantee programs:  |       | <b>Notices</b>  |       | Transition areas.....   | 18861 |
| Portugal.....  | 18914 | <b>Notices</b>  |       | <b>Notices</b>  |       |
| Meetings:  |       | <b>Meeting</b> .....  |       | Organization and functions:   |       |
| International Food and Agricultural Development Board....                                | 18914 |   |       | Flight standards district office, Rochester, N.Y.; transfer of functions..... | 18916 |
| <b>AGRICULTURAL MARKETING SERVICE</b>  |       |   |       | <b>FEDERAL COMMUNICATIONS COMMISSION</b>                                      |       |
| <b>Rules</b>   |       |   |       | <b>Notices</b>  |       |
| Hops, domestic.....  | 18857 |   |       | <i>Hearings, etc.:</i>  |       |
| <b>Proposed Rules</b>  |       |   |       | Howell, Patricia E.....   | 18894 |
| Milk marketing orders:   |       | <b>EDUCATION OFFICE</b>   |       | <b>FEDERAL MARITIME COMMISSION</b>  |       |
| New York-New Jersey.....   | 18949 | <b>Proposed Rules</b>   |       | <b>Notices</b>  |       |
| <b>AGRICULTURE DEPARTMENT</b>  |       | <b>Public service education fellowships</b> .....   |       | <i>Agreements filed, etc.:</i>  |       |
| <i>See</i> Agricultural Marketing Service; Commodity Credit Corporation; Forest Service. |       | <b>Public service education institutional grant program, etc.</b> .....                         |       | Puerto Rico Ports, Authority, et al.....                                      |       |
| <b>ARMY DEPARTMENT</b>   |       |   |       | 18864   |       |
| <i>See also</i> Engineers Corps.   |       | <b>Notices</b>  |       | <b>FEDERAL POWER COMMISSION</b>   |       |
| <b>Proposed Rules</b>  |       | <b>Applications and proposals, closing dates:</b>   |       | <b>Notices</b>  |       |
| Privacy Act; implementation.....   | 18863 | Public service fellowships.....   |       | Emergency Natural Gas Act of 1977; orders:                                    |       |
| <b>BLIND AND OTHER SEVERELY HANDICAPPED, COMMITTEE FOR PURCHASE FROM</b>                 |       | Public service institutional grants.....  |       | Piedmont Natural Gas Co., Inc. 18896  |       |
| <b>Notices</b>   |       |   |       | Transwestern Pipeline Co. .... 18897  |       |
| Procurement list, 1977; additions and deletions.....                                     | 18883 |   |       | Texas Gas Transmission Corp. (3 documents)..... 18896, 18897                  |       |
| <b>CIVIL AERONAUTICS BOARD</b>   |       | <b>ENERGY RESEARCH AND DEVELOPMENT ADMINISTRATION</b>   |       | <b>FEDERAL RAILROAD ADMINISTRATION</b>  |       |
| <b>Notices</b>   |       | <b>Notices</b>  |       | <b>Notices</b>  |       |
| <i>Hearings, etc.:</i>   |       | <b>Meetings:</b>  |       | Petitions for exemptions, etc.:   |       |
| Atlanta-Daytona Beach/Sarasota-Bradenton nonstop proceeding.....                         | 18882 | General Advisory Committee... 18884   |       | South Carolina Public Railways Commission..... 18916                          |       |
| Trailways Express, Inc.....  | 18882 | Study Group on Global Environmental Effects of Carbon Dioxide; change in date.....              |       | <b>FEDERAL RESERVE SYSTEM</b>   |       |
| <b>COAST GUARD</b>   |       |   |       | <b>Notices</b>  |       |
| <b>Notices</b>   |       | <b>ENGINEERS CORPS</b>  |       | <i>Applications, etc.:</i>  |       |
| Equipment, construction, and materials; approvals and terminations.....                  | 18915 | <b>Proposed Rules</b>   |       | City National Bank Corp..... 18898  |       |
| <b>COMMERCE DEPARTMENT</b>   |       | <b>Administrative procedures:</b>   |       | Clevetrust Corp..... 18898  |       |
| <i>See</i> National Oceanic and Atmospheric Administration.                              |       | Commercial statistics, policy on release.....   |       | Ellis Banking Corp..... 18898   |       |
| <b>COMMODITY CREDIT CORPORATION</b>  |       |   |       | Krey Co. Ltd..... 18899   |       |
| <b>Notices</b>   |       | <b>ENVIRONMENTAL PROTECTION AGENCY</b>  |       | Maryville Bancshares, Inc..... 18899  |       |
| Farm storage and drying equipment loan program; interest rate announcement.....          | 18882 | <b>Notices</b>  |       | <b>FEDERAL TRADE COMMISSION</b>   |       |
| <b>COST ACCOUNTING STANDARDS BOARD</b>   |       | <b>Air pollution; standards of performance for new stationary sources:</b>                      |       | <b>Notices</b>  |       |
| <b>Rules</b>   |       | Coal-fired steam generators; SO <sub>2</sub> emissions.....                                     |       | Cease and desist consent order; Astor-Scott, Inc. and Nelson Torelli.....     |       |
| Cost accounting standards; Compensation; deferral cost; effective date established....   | 18857 | Air quality maintenance areas, alternative; analysis procedures approvals:                      |       | Michigan..... 18893   |       |
|  |       | Wisconsin..... 18893  |       | Global Surety & Insurance Co. 18917   |       |
|  |       | <b>Pesticide applicator certification; State plans:</b>   |       | <b>FOREIGN-TRADE ZONES BOARD</b>  |       |
|  |       | Utah..... 18893   |       | <b>Notices</b>  |       |
|  |       | <b>Pesticide programs:</b>  |       | Foreign-trade zone applications:  |       |
|  |       | Potassium arsenite; rebuttable presumption against registration of products containing....      |       | Boston, Mass..... 18901   |       |
|  |       | Pesticide registration:   |       | New Bedford, Mass..... 18901  |       |
|  |       | Chlordecone (kepone); intent to cancel registrations of products containing.....                |       | <b>FOREST SERVICE</b>   |       |
|  |       |   |       | <b>Notices</b>  |       |
|  |       |   |       | <b>Meetings:</b>  |       |
|  |       |   |       | Union County Grazing Advisory Board..... 18882                                |       |

**CONTENTS**

**GEOLOGICAL SURVEY**

**Proposed Rules**  
 Coal mining operating regulations; Montana laws and requirements; adoption..... 18862

**HEALTH, EDUCATION, AND WELFARE DEPARTMENT**

*See also* Disease Control Center; Education Office; Health Resources Administration; Public Health Service; Social Security Administration.

**Proposed Rules**  
 Grants; family planning services; inquiry ..... 18945

**Notices**  
 Hospital discharge abstract, uniform; implementation plan withdrawn ..... 18904

**HEALTH RESOURCES ADMINISTRATION**

**Notices**  
 Health systems agency application information ..... 18903  
 Kansas health service areas 2 & 3; redesignations ..... 18904

**INTERIOR DEPARTMENT**

*See also* Geological Survey; Land Management Bureau; Mining Enforcement and Safety Administration; National Park Service.

**Notices**  
 Privacy Act; systems of records... 18967

**INTERNATIONAL TRADE COMMISSION**

**Notices**  
 Import investigations:  
 Swimming pools, metal-walled above-ground, from Japan... 18906

**INTERSTATE COMMERCE COMMISSION**

**Notices**  
 Hearing assignments ..... 18917  
 Motor carriers:  
 Transfer proceedings (2 documents) ..... 18918, 18920  
 Rerouting of traffic:  
 Virginia and Maryland Railroad Co ..... 18919  
 Trackage rights; Chicago, Milwaukee, St. Paul and Pacific Railroad Co ..... 18919

**LAND MANAGEMENT BUREAU**

**Rules**  
 Public land orders;  
 Alaska ..... 18859

**Notices**  
 Classification of lands:  
 California; Indian Creek Recreation lands; correction..... 18905

Coal lease offering by sealed bid and oral auction and public hearing; Colorado..... 18905  
 Joint bidders; restricted list..... 18905

**MINING ENFORCEMENT AND SAFETY ADMINISTRATION**

**Rules**  
 Coal mine health and safety: Illumination standards for working places; correction -- 18859

**NATIONAL AERONAUTICS AND SPACE ADMINISTRATION**

**Notices**  
 Environmental statements, availability:  
 Michoud Assembly Facility, La. 18906  
**Meetings:**  
 Research and Technology Advisory Council, Aerodynamics and Configurations Committee ..... 18907  
 Space Program Advisory Council, Applications Committee... 18908

**NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION**

**Proposed Rules**  
 Automotive fuel economy reports; change in format..... 18867

**NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION**

**Notices**  
 Fishery management plans and conservation activities; annual report of Secretary of Commerce; correction..... 18883  
 Marine mammal permit applications, etc.:  
 Como Park Zoo..... 18882

**NATIONAL PARK SERVICE**

**Notices**  
 Concession permits, etc.:  
 Katmai National Monument... 18906  
 Oregon Inlet, Cape Hatteras National Seashore..... 18906

**NATIONAL RAILROAD PASSENGER CORPORATION**

**Notices**  
 Sunshine Act; rules governing open meetings..... 18908

**NUCLEAR REGULATORY COMMISSION**

**Notices**  
**Meetings:**  
 Reactor Safeguards Advisory Committee, Ad Hoc Working Group of Subcommittee on Clinch River Breeder Reactor... 18909

Regulatory guides; issuance and availability ..... 18910  
*Applications, etc.:*  
 Carolina Power and Light Co... 18909  
 Connecticut Yankee Atomic Power Co ..... 18911  
 Exxon Nuclear Co., Inc. .... 18912  
 Florida Power and Light Co... 18910  
 New England Power Co., et al... 18912  
 Northern States Power Co..... 18912  
 Wisconsin Public Service Corp. et al..... 18910  
 Yankee Atomic Electric Co. (2 documents) ..... 18911

**POSTAL SERVICE**

**Rules**  
 Organization and administration:  
 Executive Assistant to Postmaster General; authority delegation, etc..... 18859

**PUBLIC HEALTH SERVICE**

**Notices**  
 Organization and functions:  
 Food and Drug Administration... 18904

**SECURITIES AND EXCHANGE COMMISSION**

**Notices**  
*Hearings, etc.:*  
 Dayton Power and Light Co.... 18913

**SMALL BUSINESS ADMINISTRATION**

**Notices**  
 Loans approved by SBA; maximum interest rates..... 18913

**SOCIAL SECURITY ADMINISTRATION**

**Notices**  
 Authority delegations:  
 Deputy Commissioner, certify amounts to be disbursed for payments of benefits..... 18904

**STATE DEPARTMENT**

*See* Agency for International Development.

**TRANSPORTATION DEPARTMENT**

*See* Coast Guard; Federal Aviation Administration; Federal Railroad Administration; National Highway Traffic Safety Administration.

**TREASURY DEPARTMENT**

*See* Customs Service; Fiscal Service.

**VETERANS ADMINISTRATION**

**Notices**  
 Committees; establishment, renewals, etc.; Cooperative Studies Evaluation Committee..... 18917

# list of cfr parts affected in this issue

The following numerical guide is a list of the parts of each title of the Code of Federal Regulations affected by documents published in today's issue. A cumulative list of parts affected, covering the current month to date, follows beginning with the second issue of the month.  
 A Cumulative List of CFR Sections Affected is published separately at the end of each month. The guide lists the parts and sections affected by documents published since the revision date of each title.

|                       |              |                     |       |
|-----------------------|--------------|---------------------|-------|
| <b>3 CFR</b>          |              | <b>32 CFR</b>       |       |
| PROCLAMATIONS:        |              | PROPOSED RULES:     |       |
| 4496.....             | 18855        | 505.....            | 18863 |
| <b>4 CFR</b>          |              | <b>33 CFR</b>       |       |
| 415.....              |              | PROPOSED RULES:     |       |
|                       | 18857        | 209.....            | 18863 |
| <b>7 CFR</b>          |              | <b>39 CFR</b>       |       |
| 991.....              | 18857        | 221.....            | 18859 |
| PROPOSED RULES:       |              | 222.....            | 18859 |
| 1002.....             | 18950        | 224.....            | 18859 |
| <b>14 CFR</b>         |              | <b>43 CFR</b>       |       |
| 39 (2 documents)..... | 18857, 18858 | PUBLIC LAND ORDERS: |       |
| 71.....               | 18859        | 5615.....           | 18859 |
| PROPOSED RULES:       |              | <b>45 CFR</b>       |       |
| 39.....               | 18861        | PROPOSED RULES:     |       |
| 71.....               | 18861        | 59.....             | 18947 |
| <b>30 CFR</b>         |              | 100a.....           | 18864 |
| 75.....               | 18859        | 194.....            | 18864 |
| PROPOSED RULES:       |              | 195.....            | 18865 |
| 211.....              | 18862        | <b>49 CFR</b>       |       |
|                       |              | PROPOSED RULES:     |       |
|                       |              | 537.....            | 18867 |

**CUMULATIVE LIST OF PARTS AFFECTED DURING APRIL**

The following numerical guide is a list of parts of each title of the Code of Federal Regulations affected by documents published to date during April.

|                            |  |                         |                            |                        |                          |
|----------------------------|--|-------------------------|----------------------------|------------------------|--------------------------|
| <b>1 CFR</b>               |  | <b>14 CFR—Continued</b> |                            | <b>24 CFR</b>          |                          |
| Ch. I.....                 | 17413                                  | 71.....                 | 17868, 17869, 18859        | 203.....               | 17452                    |
| <b>3 CFR</b>               |  | 97.....                 | 18391                      | 207.....               | 17452                    |
| PROCLAMATIONS:             |  | 121.....                | 18394                      | 220.....               | 17452                    |
| 4485 (See Proc. 4495)..... | 18053                                  | 123.....                | 18394                      | 890.....               | 18064                    |
| 4495.....                  | 18053                                  | 135.....                | 18394                      |                        |                          |
| 4496.....                  | 18855                                  | 300.....                | 17436                      | <b>PROPOSED RULES:</b> |                          |
| MEMORANDUMS:               |  | 1206.....               | 17869                      | 1917.....              | 17684-17697, 18238-18240 |
| April 1, 1977.....         | 18269                                  | <b>PROPOSED RULES:</b>  |                            | <b>25 CFR</b>          |                          |
| <b>4 CFR</b>               |  | 39.....                 | 17879, 17880, 18405, 18861 | <b>PROPOSED RULES:</b> |                          |
| 415.....                   | 18857                                  | 71.....                 | 18406, 18861               | 171.....               | 18083                    |
| <b>5 CFR</b>               |  | 183.....                | 18407                      | 172.....               | 18083                    |
| 213.....                   | 17411, 17414, 18082, 18607, 18608      | 288.....                | 18282                      | 173.....               | 18083                    |
| 430.....                   | 18608                                  | 302.....                | 17484                      | 177.....               | 18083                    |
| <b>7 CFR</b>               |  | 399.....                | 18282                      | 182.....               | 18083                    |
| 230.....                   | 18587                                  | <b>15 CFR</b>           |                            | 183.....               | 18083                    |
| 354.....                   | 18587                                  | 371.....                | 18397                      | <b>26 CFR</b>          |                          |
| 722.....                   | 17414, 18055                           | 376.....                | 18398                      | 7.....                 | 17870, 18275             |
| 723.....                   | 17414                                  | 377.....                | 18398                      | 31.....                | 17873                    |
| 728.....                   | 17419                                  | 386.....                | 18401                      | 33.....                | 17873                    |
| 729.....                   | 17419                                  | <b>16 CFR</b>           |                            | 404.....               | 17452                    |
| 775.....                   | 17420                                  | 13.....                 | 18057                      | <b>PROPOSED RULES:</b> |                          |
| 794.....                   | 17421                                  | 502.....                | 18057                      | 1.....                 | 18621                    |
| 905.....                   | 18271                                  | 1500.....               | 18850                      | <b>29 CFR</b>          |                          |
| 907.....                   | 18387                                  | <b>17 CFR</b>           |                            | 675.....               | 18064                    |
| 910.....                   | 17420, 18055, 18587                    | <b>PROPOSED RULES:</b>  |                            | 678.....               | 18065                    |
| 928.....                   | 17422                                  | 1.....                  | 18246                      | 694.....               | 18588                    |
| 944.....                   | 18271                                  | 32.....                 | 18246                      | <b>30 CFR</b>          |                          |
| 991.....                   | 18857                                  | 240.....                | 18621                      | 75.....                | 18859                    |
| 1063.....                  | 17423                                  | <b>18 CFR</b>           |                            | 211.....               | 18065, 18068, 18071      |
| 1070.....                  | 17423                                  | 3.....                  | 17448                      | <b>PROPOSED RULES:</b> |                          |
| 1078.....                  | 17423                                  | 3c.....                 | 17448                      | 211.....               | 18862                    |
| 1079.....                  | 17423                                  | <b>19 CFR</b>           |                            | <b>31 CFR</b>          |                          |
| 1421.....                  | 18055                                  | 159.....                | 18587                      | 51.....                | 18362                    |
| <b>PROPOSED RULES:</b>     |  | <b>20 CFR</b>           |                            | 530.....               | 18073                    |
| 728.....                   | 17456                                  | 210.....                | 18058                      | <b>32 CFR</b>          |                          |
| 730.....                   | 17457                                  | 404.....                | 18272                      | 199.....               | 17972                    |
| 908.....                   | 17457, 17879                           | 405.....                | 18274                      | 581.....               | 17441                    |
| 918.....                   | 18621                                  | 416.....                | 17440                      | 723.....               | 18276                    |
| 959.....                   | 18404                                  | <b>PROPOSED RULES:</b>  |                            | 724.....               | 18589                    |
| 967.....                   | 17458                                  | 404.....                | 17484, 17881               | <b>PROPOSED RULES:</b> |                          |
| 989.....                   | 17463                                  | 405.....                | 17485                      | 505.....               | 18863                    |
| 1002.....                  | 18950                                  | 602.....                | 17486                      | <b>33 CFR</b>          |                          |
| <b>8 CFR</b>               |  | <b>21 CFR</b>           |                            | 87.....                | 18401                    |
| 100.....                   | 17434                                  | 175.....                | 18610                      | 110.....               | 17874                    |
| <b>9 CFR</b>               |  | 177.....                | 18611                      | <b>PROPOSED RULES:</b> |                          |
| 78.....                    | 17434                                  | 436.....                | 18058                      | 164.....               | 17889                    |
| 331.....                   | 18609                                  | 442.....                | 18058                      | 209.....               | 18863                    |
| 381.....                   | 18609                                  | 444.....                | 18059                      | <b>35 CFR</b>          |                          |
| <b>10 CFR</b>              |  | 510.....                | 18059, 18060, 18614, 18619 | 7.....                 | 17874                    |
| 51.....                    | 18387                                  | 556.....                | 18614, 18619               | <b>36 CFR</b>          |                          |
| <b>PROPOSED RULES:</b>     |  | 558.....                | 18059, 18060, 18614, 18619 | 221.....               | 17875                    |
| 213.....                   | 17470                                  | 561.....                | 18620                      | <b>39 CFR</b>          |                          |
| 440.....                   | 17470                                  | 701.....                | 18061                      | 199.....               | 17972                    |
| <b>12 CFR</b>              |  | 1002.....               | 18061                      | 221.....               | 18859                    |
| 226.....                   | 17865, 18056                           | 1010.....               | 18061                      | 222.....               | 18859                    |
| 720.....                   | 18057                                  | <b>PROPOSED RULES:</b>  |                            | 224.....               | 18859                    |
| <b>PROPOSED RULES:</b>     |  | 109.....                | 17487                      | 232.....               | 17443                    |
| 526.....                   | 18404                                  | 342.....                | 17642                      | 3001.....              | 18075                    |
| 545.....                   | 17483                                  | 431.....                | 18621                      | <b>PROPOSED RULES:</b> |                          |
| 561.....                   | 17483                                  | 514.....                | 18621                      | 111.....               | 18754                    |
| <b>13 CFR</b>              |  | 1020.....               | 17494                      |                        |                          |
| 108.....                   | 18388                                  | 1040.....               | 17495                      |                        |                          |
| <b>14 CFR</b>              |  | <b>22 CFR</b>           |                            |                        |                          |
| 39.....                    | 17865-17868, 18388-18390, 18857, 18858 | 6a.....                 | 18063, 18064               |                        |                          |
| 61.....                    | 18390                                  | 51.....                 | 17869, 18588               |                        |                          |

FEDERAL REGISTER

**40 CFR**  
 52 ----- 17876  
 180 ----- 17443  
 415 ----- 17443  
 421 ----- 17444

**PROPOSED RULES:**  
 52 ----- 17496-17498  
 180 ----- 17499  
 1500 ----- 18111

**42 CFR**  
 122 ----- 18279, 18606  
 123 ----- 18607

**PROPOSED RULES:**  
 35 ----- 17500  
 101 ----- 17501

**43 CFR**  
**PUBLIC LAND ORDERS:**  
 5614 ----- 18401  
 5615 ----- 18859

**PROPOSED RULES:**  
 2610 ----- 18100

**45 CFR**  
 103 ----- 17444  
 115 ----- 18279  
 235 ----- 17877  
 614 ----- 17447  
 1050 ----- 17447, 18034  
 1067 ----- 18402

**PROPOSED RULES:**  
 59 ----- 18947  
 100a ----- 18542, 18584, 18864  
 100b ----- 18542, 18584  
 100c ----- 18542, 18584  
 104 ----- 18542, 18584  
 105 ----- 18542, 18584  
 115 ----- 18282  
 144 ----- 18738  
 160f ----- 17700  
 168 ----- 18743  
 173 ----- 17889  
 175 ----- 18738  
 176 ----- 18738

**45 CFR—Continued**  
**PROPOSED RULES—Continued**  
 178 ----- 18747  
 178a ----- 18750  
 189 ----- 18282  
 190 ----- 18738  
 192 ----- 18407  
 194 ----- 18864  
 195 ----- 18865  
 198 ----- 18283

**46 CFR**  
**PROPOSED RULES:**  
 148 ----- 17889

**47 CFR**  
 73 ----- 18280

**PROPOSED RULES:**  
 73 ----- 18286, 18287  
 76 ----- 17502, 18103  
 81 ----- 18408  
 97 ----- 18103

**49 CFR**  
 301 ----- 18081  
 385 ----- 18077  
 386 ----- 18077  
 391 ----- 18081  
 1033 ----- 17447, 17448, 18081

**PROPOSED RULES:**  
 172 ----- 17891  
 173 ----- 18409  
 175 ----- 17891  
 178 ----- 18409  
 195 ----- 18412  
 395 ----- 17891  
 396 ----- 18103  
 531 ----- 18413  
 537 ----- 18867

**50 CFR**  
 17 ----- 18106, 18109  
 611 ----- 18607

**PROPOSED RULES:**  
 17 ----- 18287

FEDERAL REGISTER PAGES AND DATES—APRIL

| <i>Pages</i>      | <i>Date</i> |
|-------------------|-------------|
| 17413-17864 ----- | Apr. 1      |
| 17865-18052 ----- | 4           |
| 18053-18268 ----- | 5           |
| 18269-18386 ----- | 6           |
| 18387-18585 ----- | 7           |
| 18587-18854 ----- | 8           |
| 18855-19122 ----- | 11          |



# presidential documents

## Title 3—The President

PROCLAMATION 4496

### World Trade Week, 1977

*By the President of the United States of America*

#### A Proclamation

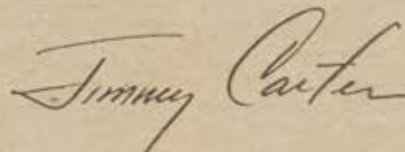
We live in a world where all of us must depend on each other—a world divided by nationality and philosophy, but drawn together by common problems and common hopes. We share with all people a concern about unemployment, inequality, poverty, inflation, and the danger of war. And we share with all people the hope of a life free of hunger, disease, and repression, and a determination to overcome international differences with mutual trust, respect and cooperation.

Our desire for justice, stability, and peace finds practical expression in world trade. Trade generates forces of friendship and understanding, which in turn bring us closer to the kind of world we want.

The United States is the unsurpassed leader in international commerce. Because our total trade is greater than that of any other nation, we can, by increasing our trade activities, make an enormous contribution to the health of the international economy, to the job market at home and abroad, to progressive relationships between rich and poor nations and, finally, to the cause of peace on our globe.

NOW, THEREFORE, I, JIMMY CARTER, President of the United States of America, do hereby proclaim the week beginning May 22, 1977, as World Trade Week. I urge business, labor, agricultural, educational, professional and civic groups, the communications media, and all concerned Americans, to observe World Trade Week with meetings, discussions, exhibits, ceremonies, and other appropriate activities that promote continuing awareness of the importance of world trade to our Nation and to our relations with other nations.

IN WITNESS WHEREOF, I have hereunto set my hand this eighth day of April, in the year of our Lord nineteen hundred seventy-seven, and of the Independence of the United States of America the two hundred and first.



[FR Doc.77-10760 Filed 4-8-77;12:11 pm]

Historical Documents

1789-1791

1789-1791

1789-1791

1789-1791

1789-1791

1789-1791

1789-1791

1789-1791

# rules and regulations

This section of the FEDERAL REGISTER contains regulatory documents having general applicability and legal effect most of which are keyed to and codified in the Code of Federal Regulations, which is published under 50 titles pursuant to 44 U.S.C. 1510.

The Code of Federal Regulations is sold by the Superintendent of Documents. Prices of new books are listed in the first FEDERAL REGISTER issue of each month.

## Title 4—Accounts

### CHAPTER III—COST ACCOUNTING STANDARDS BOARD

#### PART 415—ACCOUNTING FOR THE COST OF DEFERRED COMPENSATION

Cost Accounting Standard: Effective Date  
AGENCY: Cost Accounting Standards Board.

ACTION: Final rule.

SUMMARY: On July 30, 1976, a Cost Accounting Standard entitled Accounting for the Cost of Deferred Compensation was published in the FEDERAL REGISTER (41 FR 31797 et seq.). The effective date of the Standard was reserved in the July 30 publication. This final rule establishes the effective date.

EFFECTIVE DATE: July 10, 1977.

ADDRESS: Cost Accounting Standards Board, 441 G Street, NW., Washington, D.C. 20548.

#### FOR FURTHER INFORMATION CONTACT:

Noah Minkin (202-275-5508).

Accordingly, 4 CFR Part 415 is amended by revising § 415.80 to read as follows:

§ 415.80 Effective date.

The effective date of this Standard is July 10, 1977.

(94 Stat. 796, sec. 103; 50 U.S.C. app. 2168.)

ARTHUR SCHOENHAUT,  
Executive Secretary.

[FR Doc. 77-10513 Filed 4-8-77; 8:45 am]

## Title 7—Agriculture

### CHAPTER IX—AGRICULTURAL MARKETING SERVICE (MARKETING AGREEMENTS, AND ORDERS; FRUITS, VEGETABLES, NUTS), DEPARTMENT OF AGRICULTURE

#### PART 991—HANDLING OF HOPS OF DOMESTIC PRODUCTION

Salable Quantity and Allotment Percentage for the 1977-78 Marketing Year

AGENCY: Agricultural Marketing Service, USDA.

ACTION: Final rule.

SUMMARY: This rule establishes the quantity of hops that may be freely marketed from the 1977 crop. The action is taken under the marketing order for domestic hops to promote orderly marketing conditions.

EFFECTIVE DATE: August 1, 1977.

#### FOR FURTHER INFORMATION CONTACT:

Charles R. Brader, Deputy Director, Fruit and Vegetable Division, Agricultural Marketing Service, U.S. Department of Agriculture, Washington, D.C. 20250. (202-447-3545).

SUPPLEMENTARY INFORMATION: Notice was published in the March 10, 1977, issue of the FEDERAL REGISTER (42 FR 13301) of a proposal to establish, for the 1977-78 marketing year, beginning August 1, 1977, a salable quantity of 60,270,000 pounds, and an allotment percentage of 100 percent. This action is based on recommendation of the Hop Administrative Committee in accordance with provisions of Marketing Order No. 991, as amended (7 CFR Part 991), regulating the handling of hops of domestic production. The order is effective under the Agricultural Marketing Agreement Act of 1937, as amended (7 U.S.C. 601-674).

The Committee's recommendation is based on the following estimates for the marketing year beginning August 1, 1977.

- (1) Total domestic consumption of 35,500,000 pounds of hops;
- (2) Minus imports of 10,000,000 pounds of hops to result in domestic consumption of U.S. hops of 25,500,000 pounds;
- (3) Plus total U.S. exports of 30,000,000 pounds of hops to equal 55,500,000 pounds total usage of U.S. hops;
- (4) Plus 1,000,000 pounds to adjust for weight loss for hops processed into pellets;
- (5) Plus an adjustment of 3,770,000 pounds to provide for adequate supplies should some producer allotments not be fully produced.

Therefore, the salable quantity during the 1977-78 marketing year will be 60,270,000 pounds.

The salable percentage of 100 percent is computed by subtracting from this salable quantity 1,000,000 pounds for additional allotment bases for hops of the Fuggle variety pursuant to §§ 991.38(b) and 991.138(c) and dividing the remainder by 59,270,000 pounds, the total of all allotment bases less the 1,000,000 pounds additional allotment bases for Fuggle variety hops.

After consideration of all relevant matter presented, including that in the notice, the information and recommendations submitted by the Committee, and other available information, it is found that to establish a salable quantity and allotment percentage as hereinafter set

forth will tend to effectuate the declared policy of the act.

Therefore, the salable quantity and allotment percentage to be applicable to the 1977-78 marketing year (August 1, 1977-July 31, 1978) are established as follows:

§ 991.215 Allotment percentage and salable quantity for hops during the marketing year beginning August 1, 1977.

The allotment percentage during the marketing year beginning August 1, 1977, shall be 100 percent, and the salable quantity shall be 60,270,000 pounds.

(Secs. 1-19, 46 Stat. 31, as amended; 7 U.S.C. 601-674.)

Dated: April 1, 1977.

CHARLES R. BRADER,  
Acting Director,  
Fruit and Vegetable Division.

[FR Doc. 77-10530 Filed 4-8-77; 8:45 am]

## Title 14—Aeronautics and Space

### CHAPTER I—FEDERAL AVIATION ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

[Docket No. 77-EA-13; Amdt. 39-2870]

#### PART 39—AIRWORTHINESS DIRECTIVES

##### Fairchild Aircraft

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: This airworthiness directive (AD) action amends an earlier AD to require the inspection and repair or replacement, as necessary, of additional engine mounting structure parts on Fairchild Model P-27 and FH-227 airplanes. These additional parts are subject to cracking.

EFFECTIVE DATE: April 13, 1977. Initial compliance requires an inspection of the engine mount support tube assembly and bracket within 50 hours in service of acquiring a total of 10,000 hours in service.

ADDRESS: Fairchild Service Bulletins may be acquired from the manufacturer at Fairchild Industries, Inc., Fairchild Republic Company, Hagerstown, Maryland 21740.

#### FOR FURTHER INFORMATION CONTACT:

Charles Birkenholz, Airframe Section, Engineering and Manufacturing Branch, AEA-212, Federal Building, J.F.K. International Airport, Jamaica, New York 11430; Tel. 212-995-2875.

**SUPPLEMENTARY INFORMATION:**

There have been cracks reported in the subject tube assembly and bracket in the F-27 and FH-227 airplanes and AD 66-07-04 was issued requiring an appropriate inspection. It in turn was replaced by AD 66-30-04 in turn amended in 1969 to include the lower engine mount tube assemblies and again in 1969 to include replacement parts. This amendment will include additional parts manufactured since the last amendment. Since this deficiency can exist or develop in airplanes of similar type design utilizing such newly manufactured parts, an Airworthiness Directive is being issued to include such parts in the Airworthiness Directive.

Since the foregoing affects air safety, notice and public procedure hereon are impractical and good cause exists for making the amendment effective in less than 30 days.

It has been determined that the expected impact of the proposed regulation is so minimal that the proposal does not warrant an evaluation.

Accordingly, and pursuant to the authority delegated to me by the Administrator (14 CFR 11.89), § 39.13 of Part 39 of the Federal Aviation Regulations (14 CFR 39.13) is amended, effective April 13, 1977, by amending AD 66-30-04 as follows:

**AMENDMENT OF AD 66-30-04**

1. Delete the word "Time" wherever it appears.
2. Change paragraph (b) so as to insert the figures "-12,-13,-14,-31 or -32" after the figures "P/N 01-110105-3,-4,-11."
3. Change parenthetical paragraph to read: Fairchild Service Bulletins F27-54-14 and FH227-54-4, Revision 3 dated January 7, 1977, cover this subject.

(Secs. 313(a), 601, and 603, Federal Aviation Act of 1958, as amended 49 U.S.C. 313(a), 1421, and 1423; Sec. 6(c), Department of Transportation Act, 49 U.S.C. 1655(c).)

**NOTE.**—The Federal Aviation Administration has determined that this document does not contain a major proposal requiring preparation of an Economic Impact Statement under Executive Order 11821, as amended by Executive Order 11949, and OMB Circular A-107.

Issued in Jamaica, New York, on March 30, 1977.

L. J. CARDINALI,  
Acting Director,  
Eastern Region.

[FR Doc. 77-10623 Filed 4-8-77; 8:45 am]

[Docket No. 74-NE-22; Amdt. 39-2872]

**PART 39—AIRWORTHINESS DIRECTIVES**  
Pratt & Whitney Aircraft; Model JT8D -9 and -9A Turbofan Engines

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: This airworthiness directive (AD) action eliminates certain turbine

stator and rotor inspection requirements, applicable to certain Pratt and Whitney Model JT8D engines, that are contained in an earlier AD. This action is based on engine endurance testing, analysis, and service experience that indicate the requirements being eliminated are not necessary.

EFFECTIVE DATE: April 15, 1977.

**FOR FURTHER INFORMATION CONTACT:**

Robert Berman, Propulsion Section, ANE-214, Engineering and Manufacturing Branch, Flight Standards Division, New England Region, Federal Aviation Administration, 12 New England Executive Park, Burlington, Massachusetts 01803; telephone 617-273-7347.

**SUPPLEMENTARY INFORMATION:**

AD 74-10-12, Amendment 39-1835 (39 FR 16388), became effective on May 21, 1974. Amendment 39-2088 (40 FR 6202), effective February 20, 1975, amended the AD by adding and deleting certain serial numbered engines. The AD was issued to prevent interference between turbine stators and rotors on certain serial numbered JT8D engines because of possible inadvertent installation of stator vanes of the wrong material. Prolonged operation of these stator vanes could result in excessive rearward creep deformation and consequent contact with the rotor disk. The AD presently requires measurement of the axial gap between JT8D-9 and -9A engine second and third stage turbine stators, which experience the most deformation, and their respective turbine rotors to assure adequate operating clearances. If the clearance is less than a specified limit, the stators not approved for the engine must be replaced within 25 hours operating time. For clearances greater than the limit, further operation up to 6000 hours is allowed before replacement. For JT8D-7 and -7A engines, which experience less severe creep conditions, axial clearance measurements are not required. Replacement of second stage stator vanes not approved for these engines is required prior to the accumulation of 6,000 hours time in service after February 20, 1975.

After publication of the AD, engine endurance testing, analysis, and service experience showed that creep deformation of all second and third stage stator vanes is not as severe as originally calculated and therefore any vane, regardless of material, is suitable for use. For the fourth stage, any vane material is suitable for the JT8D-7 and -7A model engines; however, PWA 655 material must be used for the JT8D-9 and -9A models because of more severe operating conditions. Therefore, the AD is being superseded by a new AD that only requires inspection and replacement of fourth stage turbine stator vanes not approved for the listed serial numbered

JT8D-9 and -9A engines prior to the accumulation of 6,000 hours time in service after the effective date of Amendment 39-2088 to AD 74-10-12.

Since this amendment relieves a restriction, and imposes no additional burden on any person, notice and public procedure hereon are unnecessary and the amendment may be made effective in less than 30 days.

In consideration of the foregoing, and pursuant to the authority delegated to me by the Administrator (14 CFR 11.89), § 39.13 of Part 39 of the Federal Aviation Regulations is amended by adding the following new airworthiness directive:

**PRATT & WHITNEY AIRCRAFT.** Applies to Pratt & Whitney Aircraft JT8D-9 and -9A turbofan engine models with the following serial numbers:

665158, 665161, 665165, 665169, 665179, 665180, 665181, 665194, 665197, 665201, 665202, 665207, 665208, 665213, 665215, 665216, 665218, 665220, 665221, 665231, 665244, 665246, 665252, 665254, 665258, 665279, 665283, 665285, 665288, 665303, 665304, 665311, 665312, 665316, 665317, 665318, 665321, 665323, 665328, 665329, 665331, 665335, 665336, 665338, 665340, 665345, 665358, 665364, 665370, 665375, 665377, 665380, 665381, 665384, 665386, 665388, 665389, 665392, 665393, 665402, 665406, 665408, 665410, 665416, 665417, 665418, 665421, 665429, 665434, 665436, 665437, 665438, 665440, 665441, 665442, 665443, 665448, 665455, 665459, 665463, 665467, 665468, 665481, 665489, 665519, 665520, 665521, 665528, 665529, 665531, 665532, 665533, 665536, 665538, 665547, 665548, 665549, 665551, 665552, 665560, 665562, 665563, 665630, 665631, 665636, 665637, 665659, 665661, 665662, 665663, 665664, 665665, 665666, 665667, 665668, 665681, 665682, 665683, 665689, 665693, 665697, 6656810, 6656838, 6656883, 6656884, 6656892, 6656901, 6656913, 674162, 674344, 674399, 674401, 674420, 674262, 674286, 674314, 674325, 674333, 674335, 674354, 674355, 674359, 674361, 674374, 674376, 674379, 674380, 674384, 674391, 674400, 674404, 674422, 674482, 674487, 674488, 674489.

Compliance required as indicated prior to the accumulation of 6,000 hours time in service after February 20, 1975, unless already accomplished.

To preclude interference between the fourth stage turbine stator and rotor as a result of possible intermixing of different material stators, inspect for and replace all fourth stage stator vanes not FAA approved for that engine model.

This supersedes Amendment 39-1835, AD 74-10-12, as amended by Amendment 39-2088.

(Sections 313(a), 601, and 603, of the Federal Aviation Act of 1958, as amended (49 U.S.C. 1354(a), 1421, and 1423) and of Section 6(c) of the Department of Transportation Act (49 U.S.C. 1655(c)).)

**NOTE.**—The Federal Aviation Administration has determined that this document does not contain a major proposal requiring preparation of an Economic Impact Statement under Executive Order 11821, as amended by Executive Order 11949, and OMB Circular A-107.

Issued in Burlington, Massachusetts on April 1, 1977.

QUENTIN S. TAYLOR,  
Director, New England Region.

[FR Doc. 77-10622 Filed 4-8-77; 8:45 am]

[Airspace Docket No. 77-CE-13]

**PART 71—DESIGNATION OF FEDERAL AIRWAYS, AREA LOW ROUTES, CONTROLLED AIRSPACE, AND REPORTING POINTS**

**Alteration of Control Zone—Sedalia, Missouri**

AGENCY: Federal Aviation Administration (DOT).

ACTION: Final Rule.

SUMMARY: This amendment alters the designation of the Sedalia, Missouri, control zone from a continuous to a part-time control zone. This action is in response to a request from the United States Air Force so that the control zone designation will coincide with the hours of operation of Whiteman Air Force Base, Missouri, on which the Sedalia, Missouri, control zone is centered. Since the Air Force Base is the prime user of the control zone, this action will make available airspace for use by other segments of aviation without undue restriction.

EFFECTIVE DATE: April 18, 1977.

**FOR FURTHER INFORMATION CONTACT:**

Alden C. Schneider, Airspace Specialist, Operations Procedures and Airspace Branch, Air Traffic Division, ACE-537, FAA, Central Region, 601 East 12th Street, Kansas City, Missouri 64106. Telephone 816-374-3408.

**SUPPLEMENTARY INFORMATION:**

The purpose of this amendment to Subpart F of Part 71 of the Federal Aviation Regulations (14 CFR Part 71) is to alter the designation of the Sedalia, Missouri, control zone from a continuous to a part-time control zone. Whiteman Air Force Base personnel currently take the official weather observations required for the Sedalia, Missouri, control zone. The reduced hours of operation at the Air Force Base result in the unavailability of required weather observations. A control zone is not authorized unless appropriate weather information concerning that control zone is reported by a certificated observer. Therefore, this action is necessary in order to have the control zone effective only during the hours of operation of the Air Force Base.

Since there is no present alternative to this action and in order to permit the Air Force to reduce weather reporting service at Sedalia at the earliest possible date, notice and public procedure under 5 U.S.C. 553(b) is impracticable and contrary to the public interest and good cause exists for making this amendment effective in less than thirty (30) days after its publication.

Accordingly, Subpart F of Part 71 of the Federal Aviation Regulations (14 CFR Part 71) as republished (42 FR 355), is amended, effective 0901 G.m.t., April 18, 1977, by altering the Sedalia, Missouri, control zone to read as follows:

**SEDALIA, MISSOURI**

Within a 5-mile radius of Whiteman AFB, Sedalia, Missouri, (latitude 38°43'50" N., longitude 93°33'00" W.); within 2 miles each side of the Whiteman VOR 010° radial, extending from the 5-mile radius zone to 2

miles N of the VOR, and within 2 miles each side of the Whiteman TACAN 185° radial, extending from the 5-mile radius zone to 7 miles S of the TACAN. This control zone is effective during the specific dates and times established in advance by a Notice to Airmen. The effective date and time will thereafter be continuously published in the Airman's Information Manual.

(Sec. 307(a), Federal Aviation Act of 1958, as amended (49 U.S.C. 1348); Sec. 6(c), Department of Transportation Act (49 U.S.C. 1655 (c)); Sec. 11.61 of the Federal Aviation Regulations (14 CFR 11.61).)

NOTE.—The Federal Aviation Administration has determined that this document does not contain a major proposal requiring preparation of an Economic Impact Statement under Executive Order 11821, as amended by Executive Order 11949, and OMB Circular A-107.

Issued in Kansas City, Missouri, on March 30, 1977.

C. R. MELUGIN, Jr.,  
Director, Central Region.

[FR Doc.77-10411 Filed 4-8-77;8:45 am]

**Title 30—Mineral Resources**

**CHAPTER I—MINING ENFORCEMENT AND SAFETY ADMINISTRATION, DEPARTMENT OF THE INTERIOR**

**SUBCHAPTER O—COAL MINE HEALTH AND SAFETY**

**PART 75—MANDATORY SAFETY STANDARDS—UNDERGROUND COAL MINES**

**Illumination in Underground Coal Mines**

*Correction*

In FR Doc. 76-28382 appearing at page 43531 in the issue for Friday, October 1, 1976 on page 43534, column 3, in § 75.1719-1(a), in the fourth line, "§§ 75.1179-1" should have read "§§ 75.1719-1".

**Title 39—Postal Service**

**CHAPTER I—U.S. POSTAL SERVICE**

**SUBCHAPTER D—ORGANIZATION AND ADMINISTRATION**

**Organizational Changes**

AGENCY: Postal Service.

ACTION: Final Rule.

SUMMARY: This document amends Postal Service regulations to reflect creation of the new position of Executive Assistant to the Postmaster General, discontinuance of the office of Executive Assistant for Postal Affairs, and addition of new members to the Executive Committee.

EFFECTIVE DATE: April 11, 1977.

**FOR FURTHER INFORMATION CONTACT:**

Edward E. Horgan, 202-245-5372.

Accordingly, 39 CFR is amended as follows:

**PART 221—GENERAL PRINCIPLES OF ORGANIZATION**

**§ 221.5 [Amended]**

1. Paragraph (c) of § 221.5 is amended by deleting the words "Executive Assistant for Postal Affairs" in the third sentence and inserting the words "Ex-

ecutive Assistant to the Postmaster General" in lieu thereof.

2. Paragraph (d) of § 221.5 is revised to read as follows:

(d) The Executive Committee is the established organization through which the Postmaster General and his top staff collectively consider and act on major policy, planning, and other management control matters. The Postmaster General, the Deputy Postmaster General, the Senior Assistant Postmasters General for Administration, Employee and Labor Relations, Finance, Operations, and Manpower and Cost Control, the Assistant Postmaster General, Government Relations Department, the Assistant Postmaster General, Public and Employee Communications Department, and the General Counsel comprise the Executive Committee of which the Postmaster General is Chairman. The Executive Assistant to the Postmaster General is secretary to the Executive Committee.

**§ 221.7 [Amended]**

3. Section 221.7 is amended by deleting the words "Executive Assistant for Postal Affairs" in the first sentence and inserting the words "Executive Assistant to the Postmaster General" in lieu thereof.

**PART 222—DELEGATIONS OF AUTHORITY**

**§ 222.1 [Amended]**

4. Paragraph (e) of § 222.1 is amended by deleting the words "The Executive Assistant for Postal Affairs" in the first sentence and inserting the words "The Executive Assistant to the Postmaster General" in lieu thereof.

**PART 224—GROUPS AND DEPARTMENTS**

**§ 224.10 [Amended]**

5. Section 224.10 is amended (a) by deleting the words "The Executive Assistant for Postal Affairs" in the first sentence and inserting the words "The Executive Assistant to the Postmaster General" in lieu thereof; and (b) by revising the section heading to read as follows: "§ 224.10 Executive Assistant to the Postmaster General."

(39 U.S.C. 401(2).)

ROGER P. CRAIG,  
Deputy General Counsel.

[FR Doc.77-10575 Filed 4-8-77;8:45 am]

**Title 43—Public Lands: Interior**

**CHAPTER II—BUREAU OF LAND MANAGEMENT, DEPARTMENT OF THE INTERIOR**

**Appendix—Public Land Orders**

[Public Land Order 5615 (A-027005)]

**ALASKA**

**Partial Revocation of Public Land Order No. 1127**

AGENCY: Bureau of Land Management (Interior).

ACTION: Final Rule.

SUMMARY: This public land order partially revokes a previous withdrawal of

lands located in the Chugach National Forest. The revocation is necessary to accommodate a community grant selection made by the State of Alaska.

EFFECTIVE DATE: April 5, 1977.

**FOR FURTHER INFORMATION CONTACT:**

Eldon Hayes; Division of Lands and Realty; Bureau of Land Management; Department of the Interior; Washington, D.C. 20240 (202-343-8731).

By virtue of the authority contained in section 204 of the Act of October 21, 1976, 90 Stat. 2743, it is ordered as follows:

1. Public Land Order No. 1127 of April 15, 1955, which further withdrew

national forest lands for use by the Forest Service, Department of Agriculture, for administrative and public services sites, and highway purposes, is hereby revoked so far as it affects the following described lands:

**CHUGACH NATIONAL FOREST  
SEWARD MERIDIAN**

**T. 5 N., R. 3 W. (Unsurveyed)**

In protracted sections 27, 28, 33 and 34. A strip of land 25 chains or 1,650 feet in width paralleling the southwest shore of Kenal Lake from the west end of the bridge near the outlet of Kenal Lake, approximate latitude 60°29'30" N., longitude 149°48'30" W., southeasterly approximately 155 chains or 10,230 feet to the intersection with the south boundary of the national forest community grant selection, AA-2610.

Containing approximately 300 acres.

2. This order shall not otherwise affect the remaining lands withdrawn for Chugach National Forest made by Presidential Proclamation No. 852 of February 23, 1909.

3. The purpose of this order is to accommodate a community grant selection made by the State of Alaska which was approved by the Department of Agriculture pursuant to section 6(a) of the Alaska Statehood Act (72 Stat. 339).

**CECIL D. ANDRUS,**  
*Secretary of the Interior.*

APRIL 5, 1977.

[FR Doc.77-10516 Filed 4-8-77;8:45 am]

# proposed rules

This section of the FEDERAL REGISTER contains notices to the public of the proposed issuance of rules and regulations. The purpose of these notices is to give interested persons an opportunity to participate in the rule making prior to the adoption of the final rules.

## DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

[ 14 CFR Part 39 ]

[Docket No. 77-NW-9-AD]

### AIRWORTHINESS DIRECTIVE

Boeing Model 727 Series Airplanes

AGENCY: Federal Aviation Administration (FAA), (DOT).

ACTION: Proposed rule.

**SUMMARY:** This notice proposes to add a new airworthiness directive (AD) to require inspections of a specific area of the Boeing Model 727 airplane floor structure. The AD is needed because cracks have been found that could cause in-flight depressurization.

**DATES:** Comments must be received on or before June 1, 1977. Proposed compliance times—Initial compliance within the next 750 pressurization cycles, unless accomplished within the last 250 pressurization cycles if inspected by the low frequency eddy current method or 1250 pressurization cycles if inspected by the conventional eddy current method or dye-penetrant method. Repetitive inspections every 1000 pressurization cycles for low frequency eddy current inspection or 2000 pressurization cycles for either eddy current or dye penetrant inspections.

**ADDRESSES:** Send comments on the proposal to: Federal Aviation Administration, Northwest Region, Office of Regional Counsel, Attention: Airworthiness Rules Docket, 9010 East Marginal Way South, Seattle, Washington 98108.

**FOR FURTHER INFORMATION, CONTACT:**

Gerald R. Mack, Engineering and Manufacturing Branch, FAA Northwest Region, 9010 East Marginal Way South, Seattle, Washington 98108. Telephone 206-767-2516.

**SUPPLEMENTARY INFORMATION:** Cracks have been found in the lower forward flange of the B.S. 910 floor beam. One airplane experienced a crack on the right-hand side of the beam and subsequently experienced a crack on the left-hand side resulting in an in-flight depressurization. Recently, numerous cracks have been detected on another airplane. Metallurgical examination revealed that the cracks are caused by fatigue and stress corrosion. Since this condition is likely to exist or develop in other 727 series airplanes, the proposed airworthiness directive would require in-

spections of the floor beam of airplanes which have accumulated 20,000 or more pressurization cycles.

Accordingly, the Federal Aviation Administration proposes to amend Part 39 of the Federal Aviation Regulations by adding the following new Airworthiness Directive:

**BOEING:** Applies to all Model 727 series airplanes certificated in all categories, listed in Boeing Service Bulletin No. 727-53-134, Revision 3, or later FAA approved revisions, which have accumulated 20,000 or more pressurization cycles. Compliance required as indicated.

A. Inspect the lower flanges of the B.S. 910 floor beam for cracks per paragraph B below, within the next 750 pressurization cycles from the effective date of this AD, unless accomplished within the last: (1) 250 pressurization cycles if inspected per paragraph B.1 or (2) 1250 pressurization cycles if inspected per paragraph B.2. Repeat the inspection per paragraph B.1 at intervals not to exceed 1000 pressurization cycles or per paragraph B.2 at intervals not to exceed 2000 pressurization cycles. Beams found cracked are to be repaired per paragraph C.

B. Inspect the B.S. 910 floor beam in accordance with one of the following: (1) Low frequency eddy current inspection procedures of Figure 3 of Boeing Service Bulletin No. 727-53-134, Revision 3 or later FAA approved revisions. (2) Eddy current or dye penetrant inspection procedures of Figure 2 of Boeing Service Bulletin No. 727-53-134, Revision 3, or later FAA approved revisions. (3) A manner approved by the Chief, Engineering and Manufacturing Branch, FAA Northwest Region.

C. If cracks are detected in the beam, repair in accordance with Boeing Service Bulletin No. 727-53-134, Revision 3, or later FAA approved revisions, or in a manner approved by the Chief, Engineering and Manufacturing Branch, FAA Northwest Region, prior to further flight except that the airplane may be flown unpressurized in accordance with FAR 21.197 to a base where the repair can be accomplished.

D. B.S. 910 floor beams which were not inspected for cracks per paragraph B prior to installation of the straps or repair doubler in accordance with Boeing Alert Service Bulletin No. 727-53-124, or FAA approved equivalent, must be inspected in accordance with paragraphs B.2 or B.3 of this AD within 3000 pressurization cycles from the effective date of this AD, unless already accomplished. Beams found cracked are to be repaired in accordance with paragraph C.

E. Terminating action of this AD consists of installation of the preventive modification straps or repair doubler in accordance with Boeing Alert Service Bulletin No. 727-53-124, Revision 1, or equivalent approved by the Chief, Engineering and Manufacturing Branch, FAA Northwest Region.

F. For the purpose of complying with this AD, subject to acceptance by the assigned FAA maintenance inspector, the number of pressurization cycles may be considered to be identical to the number of landings.

G. Upon request of the operator, an FAA maintenance inspector, subject to prior approval of the Chief, Engineering and Manu-

facturing Branch, FAA Northwest Region, may adjust the repetitive inspection intervals specified in this AD to permit compliance at an established inspection period of the operator if the request contains substantiating data to justify the increase for that operator.

(Sections 313(a), 601, and 603 of the Federal Aviation Act of 1958 (49 U.S.C. 1354(a), 1421, and 1423) and of Section 6(c) of the Department of Transportation Act (49 U.S.C. 1655 (c)).)

**NOTE:**—An evaluation of the anticipated impacts has been made and it is expected that the proposal is neither costly nor controversial. The preparation of an Economic Impact Statement under Executive Order 11821, as amended by Executive Order 11949, and OMB Circular A-107 is not required.

Issued in Seattle, Washington, April 1, 1977.

C. B. WALK, Jr.,  
Director, Northwest Region.

[FR Doc.77-10410 Filed 4-8-77; 8:45 am]

[Airspace Docket No. 77-CE-7]

[ 14 CFR Part 71 ]

TRANSITION AREA AT FULTON, MISSOURI

Proposed Designation

AGENCY: Federal Aviation Administration (DOT).

ACTION: Proposed Rule.

**SUMMARY:** This Notice proposes to designate a transition area at Fulton, Missouri, to provide protection for aircraft executing a new instrument approach procedure to the Fulton Municipal Airport.

**DATES:** Comments must be received on or before May 11, 1977.

**ADDRESSES:** Send comments on the proposal to: FAA, Office of the Regional Counsel, ACE-7, Attention: Rules Docket Clerk, Docket No. 77-CE-7, 601 East 12th Street, Kansas City, Missouri 64106.

**FOR FURTHER INFORMATION CONTACT:**

Alden C. Schneider, Airspace Specialist, Operations, Procedures and Airspace Branch, Air Traffic Division, ACE-537, FAA, Central Region, 601 East 12th Street, Kansas City, Missouri 64106; telephone 816-374-3408.

**SUPPLEMENTARY INFORMATION:**

COMMENTS INVITED

Interested persons may participate in the proposed rule making by submitting such written data, views or arguments as they may desire. Communications should identify the airspace docket number and be submitted in duplicate to the Regional Counsel, Central Region, Rules Docket, Docket No. 77-CE-7, Federal Aviation

Administration, 601 East 12th Street, Kansas City, Missouri 64106. All communications received on or before May 11, 1977 will be considered before action is taken on the proposed amendment. The proposal contained in this notice may be changed in the light of comments received. All comments received will be available both before and after the closing date for comments in the Rules Docket for examination by interested persons.

#### AVAILABILITY OF FORM

Any person may obtain a copy of this notice of proposed rulemaking (NPRM) by submitting a request to the Federal Aviation Administration, Office of Public Affairs, Attention: Public Information Center, APA-430, 800 Independence Avenue, S.W., Washington, D.C. 20591, or by calling (202) 426-8058. Communications must identify the notice number of this NPRM. Persons interested in being placed on a mailing list for future NPRMs should also request a copy of Advisory Circular No. 11-2 which describes the application procedures.

#### THE PROPOSAL

The Federal Aviation Administration is considering an amendment to Subpart G of Part 71 of the Federal Aviation Regulations (14 CFR Part 71) to designate a transition area at Fulton, Missouri. Since a new instrument approach procedure to the Fulton Municipal Airport has been established based on the Hallsville, Missouri, VORTAC, the Federal Aviation Administration believes that additional controlled airspace in the form of a transition area is necessary to provide protection for aircraft executing this new approach procedure. Accordingly, the Federal Aviation Administration proposes to amend § 71.181 of Part 71 of the Federal Aviation Regulations (14 CFR 71.181), by adding the following new transition area:

#### § 71.181 [Amended]

##### FULTON, MISSOURI

That airspace extending upward from 700' above the surface within a 5 mile radius of the Fulton Municipal Airport (latitude 38°50'22" N., longitude 92°00'17" W.), and within 2 miles each side of the Hallsville, Missouri, VORTAC 154° radial; extending from the 5 miles radius area to 6 miles northwest of the Fulton Municipal Airport, excluding the portion which overlies the Columbia, Missouri, 700' floor transition area. (Sec. 307(a), Federal Aviation Act of 1958 as amended (49 U.S.C. 1348; Sec. 6(c), Department of Transportation Act (49 U.S.C. 1605(c)); Sec. 11.61 of the Federal Aviation Regulations (14 CFR 11.61).)

NOTE.—The Federal Aviation Administration has determined that this document does not contain a major proposal requiring preparation of an Economic Impact Statement under Executive Order 11821, as amended by Executive Order 11949, and OMB Circular A-107.

Issued in Kansas City, Missouri, on March 28, 1977.

C. R. MELUGIN, Jr.,  
Director, Central Region.

[FR Doc. 77-10409 Filed 4-8-77; 8:45 am]

## DEPARTMENT OF THE INTERIOR

### Geological Survey

[30 CFR Part 211]

#### COAL MINING OPERATING REGULATIONS

Adoption of Requirements of Montana's Reclamation Laws and Requirements, Hearing Scheduled, Comment Period Reopened

AGENCY: Geological Survey, Interior.

ACTION: Proposed Rule, Notice of Hearing and Reopening of Comment Period.

SUMMARY: This document reschedules a hearing and reopens the comment period on a proposed rule to adopt certain of Montana's reclamation laws and regulations as Federal regulations. The hearing is being rescheduled to replace two previously scheduled hearings that were canceled. The comment period is being reopened as previously stated.

DATES: Hearing: May 4, 1977. Comments must be received on or before May 9, 1977.

ADDRESSES: Hearing Address: Petro West Room, Student Union Building, Eastern Montana College, Billings, Montana. Comment Address: Director, Geological Survey, National Center, Reston, Virginia 22092.

#### FOR FURTHER INFORMATION CONTACT:

Gordon W. Flynt, Chief, Public Affairs, Bureau of Land Management, Billings, Montana 59107. Tel. No. 406-245-6711.

#### SUPPLEMENTARY INFORMATION:

This notice reschedules a hearing and reopens the comment period on a proposed rule that was published on September 14, 1976, 41 FR 39036. The rule proposed to adopt certain of Montana's reclamation laws and regulations as federal regulations as authorized by 30 CFR § 211.75(a). Two hearings were previously scheduled on this proposed rule, but were canceled. As previously announced, the meeting will be open to the public and will be conducted by an Administrative Law Judge. Depending upon the number of persons desiring to be heard, the Administrative Law Judge may limit the amount of time for each statement. Accordingly, those wishing to make an oral statement should plan to limit their remarks to 10 minutes. Additionally, each speaker's remarks should be reduced to writing and at least 2 copies filed with the Administrative Law Judge at the hearing. Individuals desiring to present extended remarks or written comments only for the record may do so through the filing of 2 copies at the hearing. Any person who wishes to testify should notify the Chief, Office of Public Affairs, Bureau of Land Management, Billings, Montana 59107.

The Department of the Interior supports strongly and enthusiastically the early passage of national surface mining legislation. As part of that legislation, the Department also supports the

concept that, subject to certain conditions to protect the national interest, a state with an effective reclamation program should be allowed to administer and enforce its reclamation program on lands subject to federal coal leases. The Department's desire for rapid passage of national surface mining standards does not diminish, however, the need for effective reclamation on federal coal leases prior to the passage of that vital piece of legislation. Until legislation has passed, the Department will attempt to meet this goal by vigorously enforcing its existing regulations. The Department's regulations, among other things, have an effective mechanism to encourage cooperation between the Department of the Interior and the respective state governments to ensure effective reclamation while minimizing duplication and overlap. Under 30 CFR 211.75(a), the Department may adopt as federal law the requirements of a state's reclamation law if that state's laws provide environmental protection at least as stringent as do those of the Department of the Interior and if the state's regulations do not prevent mining that is required by the national interest. The Department has already adopted the requirements of Wyoming's reclamation law under this provision and has proposed to do so for Montana's reclamation standards. Adoption of a state's requirements on federal regulations under § 211.75(a) eliminates duplication by having the state and federal governments enforce the same substantive performance standards. In addition, under 30 CFR 211.75(b), the Department may enter into a cooperative agreement with the state to regulate reclamation. The goal of a cooperative agreement is to have only one entity, wherever possible, perform regulatory functions involving coal surface mining reclamation. It is wasteful, for example, for both the Department of the Interior and a state government to separately inspect coal mining operations for compliance with the applicable standards. These agreements give the respective governments the opportunity to designate who will perform these responsibilities. It is the policy of the Department's regulations to permit a state to perform these functions whenever possible. The Department has now completed cooperative agreements with Wyoming, North Dakota, Utah and New Mexico and remains interested in seeking cooperative agreements with other states which regulate federal lands.

The current rulemaking proposal, adoption of Montana's reclamation standards as federal regulations, should be viewed as part of the Department's overall effort to seek federal-state cooperation in the area of surface coal mining reclamation. The Department, in addition to holding the hearing, is also reopening the comment period for this rulemaking proposal. Comments on the proposed adoption of Montana's regulations will be accepted until May 9, 1977, and should be sent to the Director,



United States Geological Survey, The National Center, Reston, Virginia 22092.

CECIL D. ANDRUS,  
Secretary of the Interior.

APRIL 4, 1977.

[FR Doc.77-10665 Filed 4-7-77;3:15 pm]

**DEPARTMENT OF DEFENSE**

Department of the Army

[ 32 CFR Part 505 ]

[Army Reg. 340-21]

**PERSONAL PRIVACY AND RIGHTS OF INDIVIDUALS REGARDING THEIR PERSONAL RECORDS**

Proposed Amendment of Rules

AGENCY: Department of the Army, DOD.

ACTION: Proposed Rule.

**SUMMARY:** This proposed rule would add an exemption to the Department of Army Privacy Act rules for that portion of the records compiled by the United States Military Academy that pertain to testing or examination material used to rate individuals' qualifications. This exemption is needed because disclosure of the information would compromise the objectivity or fairness of the testing or examination processing.

**DATES:** Comments must be received on or before May 11, 1977.

**ADDRESS:** Send comments to The Adjutant General, Department of the Army, ATTN: DAAG-AMR-R, Forrestal Building, 1000 Independence Avenue, S.W., Washington, DC 20314.

**FOR FURTHER INFORMATION CONTACT:**

Mr. Cyrus Fraker, 202-693-0973.

**SUPPLEMENTARY INFORMATION:** In FR Doc. 75-32158 published in the FEDERAL REGISTER of November 28, 1975, the Department of the Army published a notice of adopted rulemaking. Notice is hereby given that the Secretary of the Army proposes to amend 32 CFR Part 505 by amending the exemption rule for system of records identified as A0709.01aDAPE, entitled: United States Military Academy Candidate Files. In addition to exemptions claimed under subsections (k) (5) and (7), exemption under subsection (k) (6) is proposed. Exemption is needed for the portion of records compiled within the United States Military Academy which pertains to testing or examination material used to rate individual qualifications, the disclosure of which would compromise the objectivity or fairness of the testing or examination process. Included within the material to be covered by this additional exemption is a limited section of the Candidate Summary Sheet which might disclose the compensating evidence score, the leadership/college equivalency score, the college equivalency estimated rank score, the faculty appraisal score, the extracurricular score, the athletic score, the leadership potential score, the physical aptitude exami-

nation score, the whole candidate score, the qualified alternate score, and evaluative remarks.

This amendment is proposed under the authority of the Privacy Act of 1974, Pub. L. 93-579, 5 U.S.C. 552a.

Dated: April 4, 1977.

ROME D. SMYTH,  
Lieutenant Colonel, GS, Director, Administrative Management.

MAURICE W. ROCHE,  
Director, Correspondence and Directives, Office of the Assistant Secretary of Defense (Comptroller).

**Part 505—Personal Privacy and Rights of Individuals Regarding Their Personal Records** is amended under the Exempted Record Systems as follows:

The following exemption rule is amended:

ID-A0709.01aDAPE.

**SYNSNAME:** United States Military Academy Candidate Files (40 FR 55571).

**Exemption:** So much of the exemption as reads: " \* \* (k) (5) and (k) (7) " is amended to read: "(k) (5), (6), or (7)".

**Authority:** Insert "(6)" between "(k) (5) and (7)".

**Reasons:** Delete entry and substitute the following:

From subsection (d) because access might reveal investigatory and testing techniques. The exemption from access necessarily includes exemption from amendment, certain agency requirements relating to access and amendment of records, and civil liability predicated upon agency compliance with those specific provisions of the Privacy Act.

Exemption is necessary to protect the identity of individuals who furnished information to the United States Military Academy which is used in determining suitability, eligibility, or qualifications for military service and which was provided under an express promise of confidentiality.

Exemption is needed for the portion of records compiled within the Academy which pertains to testing or examination material used to rate individual qualifications, the disclosure of which would compromise the objectivity or fairness of the testing or examination process.

Exemption is required for evaluation material used by the Academy in determining potential for promotion in the armed services, to protect the identity of a source who furnished information to the Academy under an express promise of confidentiality.

The complete revised exemption rule, as amended, now reads as follows:

**EXEMPTED RECORD SYSTEM  
(SPECIFIC EXEMPTIONS)**

ID-A0709.01aDAPE

**SYNSNAME:** United States Military Academy Candidate Files.

**EXEMPTION:** All portions of this system which fall within 5 U.S.C. 552a(k) (5), (6), or (7) are exempt from the following provisions of Title 5 U.S.C. section 552a: (d).

**AUTHORITY:** 5 U.S.C. 552a(k) (5), (6), and (7).

**REASONS:** From subsection (d) because access might reveal investigatory and testing techniques. The exemption from access necessarily includes exemption from amendment, certain agency requirements relating

to access and amendment of records, and civil liability predicated upon agency compliance with those specific provisions of the Privacy Act.

Exemption is necessary to protect the identity of individuals who furnished information to the United States Military Academy which is used in determining suitability, eligibility, or qualifications for military service and which was provided under an express promise of confidentiality.

Exemption is needed for the portion of records compiled within the Academy which pertain to testing or examination material used to rate individual qualifications, the disclosure of which would compromise the objectivity or fairness of the testing or examination process.

Exemption is required for evaluation material used by the Academy in determining potential for promotion in the armed services, to protect the identity of a source who furnished information to the Academy under an express promise of confidentiality.

[FR Doc.77-10574 Filed 4-8-77;8:45 am]

Corps of Engineers, Department of the Army

[ 33 CFR Part 209 ]

**ADMINISTRATIVE PROCEDURES**

Policy on Release of Commercial Statistics

AGENCY: Corps of Engineers, DOD.

ACTION: Proposed rule.

**SUMMARY:** We are proposing to amend Corps of Engineers policy on the release of waterborne commercial statistics. This amendment will accomplish the release of waterborne commerce movements to any interested agency, organization, individual, or entity. Such releases will be limited to identification of docks, the commodities and tonnages moved between docks, and the number of vessel trips between docks. The releases will not disclose shippers or owners of vessels by name.

**DATES:** Comments by June 1, 1977.

**ADDRESS:** Comments, suggestions or objections concerning this proposal should be submitted to: HQDA (DAEN-CWO-M) WASH DC 20314.

**FOR FURTHER INFORMATION CONTACT:**

H. W. Campbell, Operations Branch, Office, Chief of Engineers, Washington, DC 20314. (202-693-6992).

**SUPPLEMENTARY INFORMATION:** Notice is hereby given that the Department of the Army (acting through the Chief of Engineers) is considering the above cited amendment. The collection of Waterborne Commerce Statistics pertaining to rivers, harbors, and waterways and the compilation and publication of such data by the Corps of Engineers are required by law. This policy is being issued to provide guidance to Corps Districts and Divisions to carry out the program.

**NOTE:**—The Corps of Engineers has determined that this document does not contain a major proposal requiring preparation of an Inflation Impact Statement under Executive Order 11821 and OMB Circular A-107.

(R&H Act, 23 June 1866 (14 Stat. 70); Act 21 February 1891 (26 Stat. 766); R&H Act, 13 June 1902 (32 Stat. 376); R&H Act, 25 July 1912 (37 Stat. 201); R&H Act, 22 September 1922 (42 Stat. 1043); and Public Law No. 16, 10 February 1932 (47 Stat. 42).)

209.320 Policy on Release of Commercial Statistics.

It is proposed to amend 33 CFR Chapter II by revising § 209.320 to read as follows:

§ 209.320 Policy on release of commercial statistics.

(a) It is the policy of the Corps of Engineers to hold in confidence any raw data, or copies thereof, furnished by vessel operators or shippers for compilation of shipping statistics by the Waterborne Commerce Statistics Center. Raw data, as used herein, is defined as data contained in supporting documents maintained in the vessel operator's or shipper's office and reported to the Corps of Engineers on ENG Form 3925 series or approved alternate forms.

(b) Release of raw data outside of the Corps of Engineers will only be made to another Federal agency, and then only when the Federal agency has legislative authority to collect the information itself, and such authority is supported by legal provisions for criminal penalties against persons falling to supply such information. Furthermore, release of raw data will only be made to Federal agencies which are bound by statute to hold the information in confidence and which furnish assurances that the information will not be disclosed outside the Federal agency or court of law.

(c) Summary data pertaining to waterborne commerce movements may be released to any interested agency, organization, individual or entity. Such releases will be limited to the identification of docks (or more aggregated designations of origin or destination), the commodities and tonnages moved between docks, and the number of vessel trips between docks. The releases will not disclose shippers or owners of vessels by name. Vessels as used herein are defined as motor vessels or barges of any type.

(d) Subject to the foregoing restrictions, the Waterborne Commerce Statistics Center and Division and District Engineers may furnish data on waterborne commerce and traffic of the United States not otherwise available in published reports or in statements issued periodically for the information of the public. The applicants for such special compilations will be required to reimburse the affected offices for additional costs incurred in providing this data. Charges will be made in accordance with AR 37-30.

Dated: April 6, 1977.

For the Chief of Engineers.

RUSSELL J. LAMP,  
Colonel, Corps of  
Engineers, Executive.

[FR Doc. 77-10542 Filed 4-8-77; 8:45 am]

## DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

Office of Education

[ 45 CFR Parts 100a and 194 ]

### PUBLIC SERVICE INSTITUTIONAL GRANTS

#### Criteria for Institutions of Higher Education

Under the authority contained in Title IX, Part A of the Higher Education Act of 1965, as amended (20 U.S.C. 1134 et seq.), the Commissioner of Education, with the approval of the Secretary of Health, Education, and Welfare, proposes to issue the rules and regulations set forth below for the Public Service Institutional Grant Program.

Section 901(a)(2) of Part A of Title IX authorizes a program of financial assistance to institutions of higher education to establish, strengthen, and improve programs design to prepare graduate and professional students for public service. The Commissioner of Education proposes to make institutional grants to institutions of higher education on the basis of criteria set forth in § 194.8. The proposed rules also contain provisions regarding institutional eligibility, award procedure, grant limitations, and authorized activities.

#### SUMMARY OF COMMENTS PREVIOUSLY RECEIVED

The Commissioner of Education published a Notice of Intent to Issue Regulations in the FEDERAL REGISTER of December 22, 1976 (41 FR 55749). Comments were invited to the three questions concerning the implementation of the amendments to Title IX, Part A which were contained in the Education Amendments of 1976 (Pub. L. 94-482). Three responses to this invitation were received. The following is a summary of the comments which were pertinent to Public Service provisions of Part A and the Office of Education's response:

*Comment:* In response to the question about the manner by which the Commissioner shall assure that the State Commission (established or designated under section 1202 of The Higher Education Act) shall have an opportunity to comment on an application submitted by an institution in its State, commenters recommended that the institution be required to send a copy of the application to the State Commission before or at the time the application is sent to the Office of Education.

*Response:* We concur. The proposed regulation provides assurance that the State Commission will be given the opportunity to offer recommendations on the application to the institution and the Commissioner.

*Comment:* One commenter suggested that State Commissions be required to publish the criteria by which they plan to judge applications.

*Response:* The Commissioner does not have the authority to require the State Commissions to publish the criteria on which they base their recommendations.

*Comment:* One commenter stated that the requirement that the Commissioner consider applications in light of State, regional or national priorities should be implemented by placing the burden of demonstrating consistency with these priorities on the applicant.

*Response:* We agree that the applicant should demonstrate this consistency in the application. We note that the funding criteria list several important national considerations (see proposed § 194.8). We expect that the State Commission's comments (if any) will pertain to the relationship of the application to State priorities.

#### INSTRUCTIONS CONCERNING COMMENTS

Interested persons are invited to submit written comments, suggestions, or objections regarding these regulations to Dr. Louis J. Venuto, Division of Training and Facilities, Bureau of Postsecondary Education, Room 3060, Regional Office Building Three, 7th and D Streets, SW., Washington, D.C. 20202. Telephone 202-245-8082. Comments received in response to this notice will be available for public inspection at the above office on Mondays through Fridays between 8:30 a.m. and 4:30 p.m. All relevant materials must be received not later than May 11, 1977.

The Office of Education has determined that this document does not contain a major proposal requiring preparation of an Inflation Impact Statement under Executive Order 11821 and OMB Circular A-107.

(Catalog of Federal Domestic Assistance Number 13.555; Public Service Institutional Grants.)

Dated: March 9, 1977.

WILLIAM F. PIERCE,  
Acting U.S. Commissioner  
of Education.

Approved: March 31, 1977.

JOSEPH A. CALIFANO, JR.,  
Secretary of Health, Education,  
and Welfare.

Title 45 of the Code of Federal Regulations is amended as follows:

1. In Part 100a § 100a.10(a) is revised by substituting a period (".") for semicolon (";") at the end of each subparagraph, by deleting the word "and" where it appears at the end of subparagraphs (31) and (36), and by adding a new subparagraph (37). As amended, § 100a.10 reads as follows:

#### § 100a.10 Scope.

(a) Programs. Unless inconsistent with a statute or regulation, the regulations in this part apply to the following programs:

(37) Public service education grants under Title IX-A of the Higher Education Act of 1965, as amended (20 U.S.C. 1134 et seq.).

2. A new Part 194 is added, to read as follows:

**PART 197—PUBLIC SERVICE INSTITUTIONAL GRANTS**

- Sec.
- 194.1 Definitions.
- 194.2 General provisions regulations.
- 194.3 Purpose.
- 194.4 Institutional eligibility.
- 194.5 Award procedure.
- 194.6 Authorized activities.
- 194.7 Grant limitations.
- 194.8 Criteria for evaluating applications and considerations for awarding grants to institutions of higher education.

AUTHORITY: Title IX, Part A, sections 901-904 of Pub. L. 89-329, as amended (20 U.S.C. 1134-1134c), unless otherwise noted.

**§ 194.1 Definitions.**

As used in this part:

"Act" means the Higher Education Act of 1965, as amended.

"Institution of higher education" is defined in section 1201 (a) of the Act (20 U.S.C. 1141(a)).

"Project" means the activity or a combination of activities for which an institutional grant is requested.

"Internship" means a supervised administrative experience which provides the student with a practical introduction to a career in public service.

"Public service education" means the preparation for leadership and management careers in all levels of government and in nonprofit community service organizations.

(20 U.S.C. 1134.)

**§ 194.2 General provisions regulations.**

Assistance under Title IX, Part A of the Act is subject to applicable provisions contained in subchapter A of this chapter (relating to fiscal, administrative, property management, and other matters).

(20 U.S.C. 1134.)

**§ 194.3 Purpose.**

Assistance provided under this part shall be used to establish, strengthen, and improve programs designated to prepare graduate and professional students for public service.

(20 U.S.C. 1134(a)(2).)

**§ 194.4 Institutional eligibility.**

Institutions of higher education which have graduate or professional programs leading to a master's or more advanced degree in public service education are eligible to apply.

(20 U.S.C. 1134a(a).)

**§ 194.5 Award procedure.**

(a) Grants will be made on the basis of applications submitted by eligible institutions. An application shall describe a program of activities for carrying out the purposes of this part.

(b) An application shall provide assurances that the institution has notified the appropriate State Commission (established or designated under section 1202 of the Higher Education Act of 1965, as amended) and that the State Commission has been given the opportunity to offer recommendations on the ap-

plication to the institution and to the Commissioner.

(c) Applications will be evaluated on the basis of the criteria set forth in § 194.8.

(20 U.S.C. 1134a.)

**§ 194.6 Authorized activities.**

Funds are to be used solely for the purposes stated in § 194.3. In meeting these purposes the funds may be used for the following activities:

- (a) Faculty improvement;
- (b) The expansion of graduate and professional programs of study;
- (c) The acquisition of appropriate instructional equipment and materials;
- (d) Cooperative arrangements among graduate and professional schools;
- (e) The strengthening of graduate and professional school administration;
- (f) The development of proposed graduate professional programs; and
- (g) Needed innovation in graduate and professional programs.

(20 U.S.C. 1134(b).)

**§ 194.7 Grant limitations.**

Funds provided under this part shall not be used for:

- (a) Payment in excess of 66⅔ percent of the total cost of the project or activity described in the institution's application;
- (b) Payment in excess of 50 percent of the cost of the purchase or rental of books, audiovisual aids, scientific apparatus, or other materials or equipment, less any percent of that cost, as determined by the Commissioner, which is paid from sums received (other than under this part) as Federal financial assistance;
- (c) Sectarian instruction or religious worship, or primarily in connection with any part of the program of a school or department of divinity;
- (d) Construction or renovation of buildings; or
- (e) Fellowship assistance for students.

(20 U.S.C. 1134b (a), (c).)

**§ 194.8 Criteria for evaluating applications and considerations for awarding grants to institutions of higher education.**

The Commissioner shall take into account the following considerations when evaluating applications for institutional grants. These criteria replace the general criteria for direct project grant and contract programs contained in 45 CFR 100a.26(b).

- (a) The extent to which the institution requests funding of activities which received assistance under this part during the preceding fiscal year but have not been completed, provided there has been substantial progress in meeting the original goals;
- (b) The extent to which funding the application will assist in attaining a wider distribution throughout the United States of high quality public service education programs;
- (c) The extent to which the proposed project ranks high on the criteria set

forth below, with the maximum point score for each criterion as shown:

- (1) It is likely to result in establishing, strengthening or improving a program which prepares graduate and professional students for public service; (20 points)
- (2) The educational and other related experiences of the personnel conducting the activity or activities qualify them to plan or participate in the project, and the director is given clear responsibility, ample time, and sufficient authority; (15 points)
- (3) It is related to, or is part of, an academic program which prepares persons for leadership and management positions in local and State governments and for positions in program administration involving intergovernmental relations; (10 points)
- (4) It is especially imaginative and innovative; (10 points)
- (5) The budget is realistic in relation to its successful implementation; (10 points)
- (6) It is consistent with State and regional priorities; (10 points)
- (7) Procedures are planned to measure its effectiveness; (5 points) and,
- (8) The overall academic program of public service education is strong enough to assure the success of the proposed project when measured by such criteria as the following: (20 points)
  - (i) There is a clear statement of program objectives;
  - (ii) Institutional resources such as facilities, equipment, and libraries are adequate;
  - (iii) The multidisciplinary background, education, and research ability of the faculty are of high quality;
  - (iv) The program offers opportunities for relevant, supervised practicum and internship experiences;
  - (v) The program has arrangements with government agencies or jurisdictions or other nonprofit agencies for such activities as program development, personnel exchange, and field work;
  - (vi) The program involves other graduate units of the institution in supportive or cooperative efforts of practical benefit to public service education; and
  - (vii) Graduates of the program have achieved leadership and management positions in public service careers.

(20 U.S.C. 1134a; 1134b (a).)

[FR Doc. 77-10103 Filed 4-8-77; 8:45 am]

[ 45 CFR Part 195 ]

**PUBLIC SERVICE FELLOWSHIPS  
Allocation to Institutions of Higher Education**

Pursuant to the authority contained in Title IX, Part C of the Higher Education Act of 1965, as amended (20 U.S.C. 1134 et seq.), notice is hereby given that the Commissioner of Education, with the approval of the Secretary of Health, Education, and Welfare, proposes to issue the rules and regulations set forth below for the Public Service Fellowship Program.

Section 941 of Part C of Title IX authorizes a fellowship program to support graduate or professional study for persons who plan to pursue a career in public service. The Commissioner proposes to allocate fellowships to institutions of higher education. These institutions in turn will recommend eligible students to the Commissioner for selection. The proposed rules provide for the award of both new and continuation fellowships.

The criteria which the Commissioner proposes to use to allocate fellowships among institutions of higher education are set forth in § 195.10. The proposed rules also set forth the proposed amounts of the stipend, the dependency allowance, and the institutional allowance.

Interested persons are invited to submit written comments, suggestions, or objections regarding these regulations to Dr. Louis J. Venuto, Division of Training and Facilities, Bureau of Postsecondary Education, Room 3060, Regional Office Building Three, 7th and D Streets, S.W., Washington, D.C. 20202 (Telephone 202-245-8082). Comments received in response to this notice will be available for public inspection at the above office on Mondays through Fridays between 8:30 a.m. and 4:30 p.m. All relevant materials must be received not later than May 11, 1977.

The Office of Education has determined that this document does not contain a major proposal requiring preparation of an Inflation Impact Statement under Executive Order 11821 and OMB Circular A-107.

(Catalog of Federal Domestic Assistance Number 13.555; Public Service Fellowship.)

Dated: March 10, 1977.

WILLIAM F. PIERCE,  
Acting Commissioner  
of Education.

Approved: March 31, 1977.

JOSEPH A. CALIFANO, Jr.,  
Secretary of Health,  
Education, and Welfare.

Title 45 of the Code of Federal Regulations is amended by adding a new Part 195 to read as follows:

**PART 195—PUBLIC SERVICE FELLOWSHIPS**

|        |  |
|--------|--|
| Sec.   |  |
| 195.1  | Definitions.   |
| 195.2  | Purpose.   |
| 195.3  | Award procedure.   |
| 195.4  | Eligibility for fellowships.   |
| 195.5  | Fellowship conditions.   |
| 195.6  | Vacated fellowships.   |
| 195.7  | Amount of the fellowship.  |
| 195.8  | Institutional allowance.   |
| 195.9  | Payment procedure.   |
| 195.10 | Criteria for allocating fellowships to institutions of higher education. |
| 195.11 | Application requirements.  |
| 195.12 | Records and reports.   |

AUTHORITY: Title IX, Part C, sections 941-944 of Pub. L. 89-329, as amended (20 U.S.C. 11341-11344m), unless otherwise noted.

**§ 195.1 Definitions.**

As used in this part:  
"Fellowship" means an award by the Commissioner to an individual.

"Fellow" means a fellowship recipient.  
"Institution of Higher Education" is defined in section 1201(a) of the Act (20 U.S.C. 1141(a)).

"Internship" means a supervised administrative experience which provides the student with a practical introduction to a career in public service.

"Public service education" means the preparation for leadership and management careers in all levels of government and in nonprofit community service organizations.

(20 U.S.C. 11341.)

**§ 195.2 Purpose.**

The purpose of this program is to award fellowships for graduate or professional study for persons who plan to pursue or continue in a career in public service.

(20 U.S.C. 11341.)

**§ 195.3 Award procedures.**

(a) Fellowships are allocated to institutions of higher education which apply for a fellowship allocation and which offer a graduate or professional program in public service education meeting the criteria described in § 195.10. Institutions receiving such an allocation shall recommend eligible students to the Commissioner for the award of fellowships.

(b) Fellowships will be awarded to cover a period of nine to twelve months of study. Individuals who are currently receiving a fellowship under this part or who have received a fellowship in the past but have interrupted their study for a period not to exceed twelve months for the purposes of work, travel, or independent study away from the campus that is supportive of the fellows' academic program are eligible to receive additional fellowships to continue study. No individual may receive assistance under this part for more than thirty-six months except that the Commissioner may award a fellowship for an additional twelve month period to allow a student who has completed all course work and comprehensive examinations (if any) for a doctoral degree to complete his or her doctoral dissertation.

(20 U.S.C. 11341; 11342; 11344.)

**§ 195.4 Eligibility for fellowships.**

A recipient of a fellowship under this part must: (a) Have been accepted for graduate or professional study at an institution of higher education that has received an allocation of fellowships under this part;

(b) Plan to pursue a career in the public service; and

(c) Be a national of the United States or be in the United States for other than a temporary purpose and intend to become a permanent resident thereof, or be a permanent resident of the Trust Territories of the Pacific Islands.

(20 U.S.C. 11341; 11342.)

**§ 195.5 Fellowship conditions.**

In order to remain eligible for a fellowship, a fellow must: (a) Maintain satisfactory proficiency in the approved program in which he or she is enrolled;

(b) Continue to devote full-time study or research in the field in which the fellowship was awarded; and

(c) Not engage in gainful employment during the period of the fellowship, except in an internship or other activity which is under the supervision of the institution which awarded the fellowship and is related to the approved program.

(20 U.S.C. 11344m(a), (b).)

**§ 195.6 Vacated fellowships.**

If a fellowship is vacated prior to the end of a period for which it was awarded, the institution to which the fellowship is allocated may recommend to the Commissioner another individual to receive the fellowship. This individual must meet all the eligibility requirements set forth in Section 195.4. The duration of a re-awarded fellowship shall be for a period of graduate or professional study not in excess of the remainder of the period for which the fellowship it replaces was awarded.

(20 U.S.C. 11341.)

**§ 195.7 Amount of the fellowship.**

(a) The stipend to which a fellow is entitled will be \$3,600 for an academic year of twelve months.

(b) A fellow shall also be entitled to receive \$300 per twelve month academic year for each of his or her dependents.

(c) A fellow who is enrolled in the program of study for less than twelve months shall only be entitled to receive a pro rata share of these amounts. For the purposes of this section, a fellow who is enrolled in the program for the entire academic year (including summer sessions) shall be considered to be enrolled in the program for the full twelve months.

(20 U.S.C. 11341(a).)

**§ 195.8 Institutional allowance.**

The institution of higher education at which the fellow is pursuing his or her course of study shall be paid \$3,600 per twelve month period, except that any amount charged to and collected from the fellow by the institution for tuition and other expenses required by the institution as part of the fellow's instructional program shall be deducted from this amount. If the fellow is enrolled for less than 12 months, the institution will be paid a pro rata share of this amount.

(20 U.S.C. 11341 (b).)

**§ 195.9 Payment procedure.**

(a) The Commissioner will pay to the approved institution of higher education the fellowship stipend (including any dependency allowance) and the institutional allowance for each fellowship allocated to that institution. The institution is responsible for disbursing all funds due to the fellow.

(b) The timing of payments to fellows will be left to the discretion of each institution of higher education except that no fewer than two payments a year shall be made. Institutions are responsible for recovery of excess payments made to students who, for any reason, fail to com-

plete the academic year for which they are enrolled.

(20 U.S.C. 11341 (b).)

**§ 195.10 Criteria for allocating fellowships to institutions of higher education.**

The Commissioner shall take into account the following considerations in evaluating applications from institutions of higher education for fellowship allocations:

(a) The extent to which an application requests fellowships for the continuation of support for individuals awarded fellowships in a previous year;

(b) The extent to which funding the application will assist in attaining a wider distribution throughout the United States of graduate centers for study in public service; and

(c) The extent to which the graduate program of public service education ranks high (calculated according to maximum point scores as shown) on the criteria set forth below:

(1) The need for and the objectives of the public service program are justified in the application (15 points).

(2) Procedures are planned to measure the effectiveness and success of the program (5 points).

(3) Evidence is provided which demonstrates past success of graduates, if any, from the program in achieving leadership and management positions in public service careers (5 points).

(4) Institutional resources such as facilities, equipment, and libraries are adequate to support the program (5 points).

(5) The multidisciplinary background, education, research interests, and experiences of the faculty qualify them to plan and implement a successful program of public service education (15 points).

(6) The director of the program has clear responsibilities and sufficient time to devote to the program (5 points).

(7) The academic requirements are sufficient to support a high quality program (10 points).

(8) The program offers opportunities for relevant, supervised practicum and internship experiences (10 points).

(9) The program establishes arrangements with government agencies or other nonprofit agencies for such activities as program development, personnel exchange, and field work (10 points).

(10) The program will involve other graduate units of the institution in supportive or cooperative efforts of practical benefit to public service education (5 points).

(11) The program is especially imaginative or innovative and gives promise of leading to significant improvement in public service education (5 points).

(12) The program is designed to prepare persons for entry into leadership and management positions in local or State government administration or in intergovernmental relations for which a master's degree is generally the educational level required (10 points).

(20 U.S.C. 1134k (1), (2); 1134j(4).)

**§ 195.11 Application requirements.**

Each application for a fellowship allocation by an institution of higher education must describe the institution's public service education program. The description should address each of the criteria set forth in section 195.10. In addition, the application must:

(a) Describe the relation of the graduate or professional program of public service education to any program activity, research or development set forth by the applicant in an application, if any, submitted pursuant to section 901 (a) (2) of the Act;

(b) Contain satisfactory assurance that:

(1) The institution will recommend to the Commissioner, for the award of fellowships under this part, for study in such program, only persons of superior promise who have demonstrated to the satisfaction of the institution a serious intent to enter or continue in the public service upon completing the program; and

(2) The institution will make continuing efforts to encourage recipients of fellowships under this part, enrolled in the program, to enter or continue in the public service upon completing the program.

(20 U.S.C. 1134k (3), (4).)

**§ 195.12 Records and reports.**

Each institution of higher education which receives an allocation of fellowships under this part, and each individual who is awarded a fellowship, shall keep records and submit reports which are required by the Commissioner. These reports shall include a certificate from an appropriate official at the institution of higher education, library, archive, or other research center whose program is approved by the Commissioner, stating that the fellow is making satisfactory progress in, and is devoting essentially full time to, the program for which the fellowship was awarded.

(20 U.S.C. 1134m(b).)

[FR Doc.77-10125 Filed 4-8-77;8:45 am]

**DEPARTMENT OF TRANSPORTATION**

National Highway Traffic Safety Administration

[49 CFR Part 537]

[Docket No. PZ 77-03; Notice 1]

**AUTOMOTIVE FUEL ECONOMY REPORTS Proposed Requirements**

AGENCY: National Highway Traffic Safety Administration.

ACTION: Notice of proposed rulemaking.

SUMMARY: This notice proposes a new regulation setting forth the format and content requirements for semiannual reports to be submitted to the National Highway Traffic Safety Administration (NHTSA) by manufacturers of automobiles. The manufacturers would be required to include in their reports the

following information regarding their current and future model year automobiles; the fuel economy of those automobiles; the manufacturers' efforts to improve the fuel economy of those automobiles; the manufacturers' research, development, and testing activities relating to fuel economy; the impact of Federal safety, emissions, damage susceptibility, and noise standards on fuel economy; the impact of the fuel economy standards on automobile safety, emissions, damage susceptibility, and noise; the capital requirements for improving fuel economy; the effects of the fuel economy improvement efforts on automobile prices and life cycle operating and maintenance costs; and the competitive effects of the fuel economy standards. The Motor Vehicle Information and Cost Savings Act, as amended, requires manufacturers to submit semiannual reports on their plans for complying with the automobile fuel economy standards. Further, the information in these reports is needed by the NHTSA for establishing and modifying automobile fuel economy standards, evaluating manufacturers' plans for complying with those standards, and preparing a statutorily-required annual review of those standards.

COMMENT CLOSING DATE: May 11, 1977.

PROPOSED EFFECTIVE DATE: The date that the final rule is published in the FEDERAL REGISTER.

ADDRESS: Comments should refer to the docket number and be submitted to: Docket Section, National Highway Traffic Safety Administration, Room 5108, 400 Seventh Street, S.W., Washington, D.C. 20590.

FOR FURTHER INFORMATION CONTACT:

Anees Adil, Office of Automotive Fuel Economy, National Highway Traffic Safety Administration, Washington, D.C. 20590, 202-755-9384. Stephen Kratzke, Office of Chief Counsel, National Highway Traffic Safety Administration, Washington, D.C. 20590, 202-426-2992.

**SUPPLEMENTARY INFORMATION:**

**STATUTORY BACKGROUND**

Part A of Title III of the Energy Policy and Conservation Act (P.L. 94-163) amended the Motor Vehicle Information and Cost Savings Act by adding a new title V entitled, "Improving automotive efficiency." That title (15 U.S.C. 2001 et seq.) requires the Secretary of Transportation to implement a program to improve the fuel economy of automobiles. The Secretary has delegated his authority under title V to the NHTSA Administrator (41 FR 25015, June 22, 1976).

The urgency of the need to improve automotive fuel efficiency has been clearly established. Domestic petroleum consumption has exceeded domestic petroleum production since the end of World War II. Domestic production peaked in 1970 and has since declined while domestic consumption was and is increas-

ing at high rates. The gap between domestic production and consumption has now increased to the point where over 40 percent of domestic petroleum consumption is currently supplied by imported petroleum. By 1990, the Federal Energy Administration estimates that, in the absence of corrective measures, a regular annual import dependence in excess of 50 percent is likely with an attendant petroleum related negative trade balance of \$60 billion.

Fuels consumed for transportation are derived virtually 100 percent from petroleum and account for 53 percent of all petroleum consumed in this country. The automobile plays a critical role in this country's transportation scheme and economy. It is, and will continue to be for the foreseeable future, the most universally accepted form of personal transportation, accounting for 90 percent of all personal travel. Thus, the potential exists for major savings through improvements in automotive fuel efficiency.

Title V divides automobiles into two categories, passenger automobiles, and automobiles other than passenger automobiles. Automobiles in the latter category have been termed "nonpassenger automobiles" in the proposed Part 523 on vehicle classification (41 FR 55368, December 20, 1976). The passenger automobile category includes vehicles such as sedans and station wagons. The nonpassenger automobile category includes vehicles such as pickup trucks, vans, campers, and general purpose vehicles with a gross vehicle weight rating (GVWR) of less than 6,000 pounds. Future rulemaking may raise the GVWR limit on nonpassenger automobiles to as high as 10,000 pounds.

Section 502(a) of title V establishes fuel economy standards for passenger automobiles of 18 miles per gallon (mpg), 19 mpg, 20 mpg, and 27.5 mpg for model years 1978, 1979, 1980, and 1985 and thereafter, respectively. The Administrator is required to establish the standards for model years 1981-84 administratively. Each of the 1981-84 standards must be set at a level which is the maximum feasible average fuel economy level and which results in steady progress toward meeting the 1985 standard. In determining maximum feasible average fuel economy, the NHTSA is required by section 502(e) of title V to consider: (1) technological feasibility, (2) economic practicability, (3) the effect of other Federal motor vehicle standards on fuel economy, and (4) the national need to conserve energy.

Manufacturers of less than 10,000 passenger automobiles worldwide annually can obtain an exemption under section 502(c) from the passenger automobile fuel economy standards if they can show that their maximum feasible average fuel economy is less than that specified in the standards. If the Administrator does exempt a low volume manufacturer, he must establish an alternative standard for that manufacturer.

Under section 502(b) of the title, fuel economy standards for nonpassenger

automobiles are to be established by the Administrator for model year 1979 and thereafter. Like the standards for passenger automobiles, the nonpassenger automobile standards are to be set at the level of maximum feasible average fuel economy.

All of the standards under section 502 are average fuel economy standards. They do not set minimum requirements for individual vehicles. Instead, they set minimum requirements for the production-weighted average fuel economy of each manufacturer's fleet of passenger automobiles or nonpassenger automobiles, as the case may be. A manufacturer may produce automobiles whose fuel economy is below the applicable standard without becoming subject to a civil penalty as long as those automobiles are balanced by others of the manufacturer's automobiles whose fuel economy is above the standard. Thus, both the technology of a manufacturer's automobiles and its annual production mix are important elements of the title V fuel economy program.

All of the section 502 standards, except the passenger automobile standards for model years 1978-1980, are also subject to amendment. Section 502(f) of title V provides that the standards may be raised or lowered as long as the Administrator observes the statutory considerations mentioned above regarding maximum feasible average fuel economy.

Section 505(a) of title V requires each automobile manufacturer to submit semiannual reports to the NHTSA regarding the average fuel economy of its automobiles for the current model year. In these reports, the manufacturers are required by section 502(a) to indicate whether they will comply with the average fuel economy standards for that model year. They are also required to describe the steps they have taken and the steps they plan to take to comply with those standards and to provide such other information as the Administrator may require. If a manufacturer indicates in a semiannual report that it will comply with the standards for the current model year and then discovers later in that model year before the submission of the next semiannual report that it will not comply, the manufacturer is required by section 502(a) to submit a revised or supplementary plan showing any additional steps that it intends to take to improve its average fuel economy. Section 505(a)(3) requires the Administrator to prescribe rules establishing the form and content of these semiannual reports.

To apprise Congress of the progress of the fuel economy program and the effects of the fuel economy standards, section 502(a)(2) requires the Administrator to submit an annual review of the standards established for the current and future model years. Much of the current model year information needed for these reports can be obtained from the semiannual reports required by section 505(a). To obtain information relevant to future model year fuel economy stand-

ards, as well as for other purposes, the Administrator has been provided authority under section 505(c)(1) of title V to require manufacturers to make reports to aid him in carrying out his duties under title V. The reports that would be required by the regulation proposed in this notice rely on the authority in section 505(a) and (c).

#### NECESSITY FOR PROPOSED REPORTS

The reports proposed in this notice are necessary to comply with requirements in section 505(a) for semiannual reports and for revised or supplementary reports to be submitted by the manufacturers, and in section 502(a) for the agency to submit an annual review of the standards established under section 502 for future model years. The reports are also necessary for maintaining the up-to-date database that the agency needs to develop, establish, amend, and enforce standards on which the country will depend as a major source of petroleum conservation. The fuel economy standards will have profound effects on the automobile industry and its suppliers as well as on consumers. The information that would be obtained under this proposal is necessary to enable the agency to conduct a sensitive, responsive regulatory program that achieves its full potential for conserving energy, while minimizing any adverse effects on the consumers, automobile industry and national economy.

Ensuring that the standards are well-founded would aid also in defending them should they be challenged in court. The substantial civil penalties provided in section 508 of title V provide an incentive for such challenges. The civil penalty for violating a fuel economy standard is \$5 for each tenth of a mile per gallon by which the violating manufacturer falls below the standard multiplied by the total number of automobiles subject to that standard.

The necessity for obtaining this information will be a regularly recurring one arising from several provisions of title V. The most obvious provisions are those setting forth the requirements for the semiannual manufacturer reports and for the annual agency reviews. In addition, there will be a recurring need to establish new passenger automobile standards and nonpassenger automobile standards for future model years. Finally, there will be a continuous need for information relevant to raising or lowering the future standards in view of the need both to conserve as much petroleum as possible and to avoid the consequences of overly stringent standards. Rulemaking to raise a standard for a particular future model year must be completed 18 months before that model year.

Several alternatives to including requirements for future model year information in the proposed regulation were considered to obtain the information necessary for the statutorily-required annual review of the future fuel economy standards and for establishing and revising those standards.

One alternative was sending the manufacturers a questionnaire requesting them to submit the needed information

voluntarily. This alternative was rejected for several reasons. First, due to the voluntary nature of this approach, the responses would be likely to be untimely, incomplete, and differing in their format. In some cases, no response might be forthcoming. This belief is buttressed by our experience last year in trying to obtain responses to questionnaires sent to manufacturers of nonpassenger automobiles and to our advance notice of proposed rulemaking on fuel economy standards for 1981-84 passenger automobiles. With respect to timeliness, it is also supported by the Environmental Protection Agency's experience with its annual request for manufacturers to submit information regarding the emissions of their future model year vehicles and their related emissions research, development and testing activity.

Second, use of questionnaires would result in recurring delays in the information-gathering process. Since the agency desires to gather information from more than nine manufacturers, the questionnaire would have to go through a time-consuming annual review process under the Federal Reports Act. Further, since the manufacturers would not know in most instances precisely what information would have to be included in their response until they received the periodic questionnaire, they could not begin gathering and generating the requested information without risking some needlessly incurred costs. As a result, additional delay would occur. In contrast, the proposed reporting requirements need be reviewed only once, prior to promulgation, under the Federal Reports Act. Since the regulation would apply from year to year in a like manner, the manufacturers would have the necessary advance knowledge and certainty about the requirements to enable them to begin preparation of their reports long before the due dates for their reports.

Another alternative was to use general and special orders under section 505(b) of title V. Since this approach would make submission of information mandatory, it would avoid the questionnaires' problems of incompleteness and untimeliness. In these respects, the orders would be comparable to the proposed reporting requirements. However, the orders would still share the disadvantages of delay posed by the questionnaires. Due to those implicit delays, this alternative was rejected too.

#### APPLICABILITY OF PROPOSED REGULATION

In this notice and in a notice of proposed rulemaking on the manufacture of multistage automobiles (42 FR 9040, February 14, 1977), an effort is made to strike a balance between the agency's need for information to conduct a sensitive, responsive program that accomplishes the purposes of title V and the burden that reporting requirements can place on manufacturers, especially small ones.

*Manufacturers of exempted passenger automobiles.* The proposed reporting

regulation attempts to minimize the burden on low volume manufacturers of passenger automobiles by providing that manufacturers exempted under section 502(c) need not include future model year information in their semiannual reports. Provision of that information in those reports would be largely unnecessary since a considerable amount of future model year information would be obtained from those manufacturers in their exemption petitions under proposed Part 525 (41 FR 53287, December 9, 1976). Comments are requested whether low volume manufacturers, even if not exempted, should be excused from providing future model year information. Comments are also requested whether low volume manufacturers ought to be relieved of the necessity for providing certain types of current model year information also. Due to the small impact which these manufacturers have on the industry average fuel economy for passenger automobiles, their requirements for reporting current model year information might be limited to projected average fuel economy, model type fuel economy and technology, automobile technology and sales mix changes, marketing measures, and additional compliance efforts.

*Manufacturers of multistage automobiles.* The multistage automobile proposal attempts to minimize the reporting burden on small manufacturers of multistage passenger automobiles or nonpassenger automobiles. Multistage automobiles are automobiles produced by two or more manufacturers. There are three types of those manufacturers: incomplete automobile manufacturers, intermediate manufacturers, and final-stage manufacturers. The incomplete automobile manufacturer, i.e., the manufacturer that assembles the frame and chassis structure, power train, steering system, suspension system, and braking system, is generally a large manufacturer. The intermediate manufacturer is the manufacturer, other than the incomplete automobile manufacturer or final-stage manufacturer, which performs manufacturing operations on an incomplete automobile. The intermediate manufacturer is usually a small manufacturer. The final-stage manufacturer, i.e., the manufacturer that completes the production of the multistage automobile except for addition of readily attachable components and minor finishing operations, is also typically a small manufacturer. That proposal would make the incomplete automobile manufacturer responsible for compliance with the title V reporting requirements, as well as the standards and labeling requirements, in most instances. The incomplete automobile manufacturer would be responsible for compliance with those standards and requirements unless the intermediate or final-stage manufacturer exceeds certain maximum specifications established by the incomplete automobile manufacturer or unless the final-stage manufacturer sells a multistage automobile as one manufactured in a model year subsequent to the model

year during which the incomplete automobile manufacturer produced its portion of that automobile. Even in the unlikely event that an intermediate or final-stage manufacturer exceeded those specifications or a final-stage manufacturer sold a multistage automobile as one manufactured in a subsequent model year, that manufacturer would not assume full responsibility for complying with this proposed reporting regulation. That manufacturer would only be required to submit limited information about its current model year automobiles. Other information regarding those automobiles would still be submitted by the incomplete automobile manufacturer. Further, the intermediate or final-stage manufacturer that assumes responsibility as the manufacturer of multistage automobiles would not be required to submit any future model year information since its assuming responsibility is anticipated to be an irregularly recurring event at best, and since information about the primary determinants of the fuel economy of future model year multistage automobiles would be submitted by the incomplete automobile manufacturers.

#### GENERAL CONTENTS OF AND LEADTIME FOR REPORTS

The proposed regulation would require the manufacturers to submit the detailed information specified in the regulation according to a uniform format. The information would be arranged according to whether it related to the current model year or future model years. Within each of these two groupings, the information would be grouped according to type of automobile, i.e., passenger automobile and nonpassenger automobile. The items of information on each type of automobile would be provided in a prescribed order and, in some cases, form. The detailed nature of the required information and the uniformity of the format are necessary to ensure that the agency obtains the information needed to implement title V and to enable the agency to conduct an effective, comparative evaluation of the information received from the different manufacturers. Comments are requested on scope of the information required (including suggestions for the addition or deletion of items of information), the detailed nature of the information required, and the proposed format.

With respect to level of detail, one issue is the degree of disaggregation of a manufacturer's fleet of passenger automobiles or nonpassenger automobiles. Should information for the current and future model years be provided for vehicle configurations, model types, carlines, or size classes (e.g., subcompact, compact, mid-size, and large)? The reporting burden would presumably be greatest at the vehicle configuration level and least at the size class level, although provision of information regarding one level of disaggregation would imply at least some knowledge of the manufacturer about the same information at greater levels of disaggre-

gation. Should information be provided for inertia weight classes? Use of inertia weight classes is believed to be appropriate in making comparisons between two groups of automobiles that do not, or at least may not, have comparable model types. One example is comparing automobiles from two different model years. The introduction and elimination of model types complicate such comparisons. Another example is comparing passenger automobiles and nonpassenger automobiles.

The semiannual report to be submitted just before the beginning of a model year would be called the "pre-model year report" and the report to be submitted at the middle of a model year would be called the "mid-model year report." Section 505(a)(1) specifies the schedule for submitting these reports. The pre-model year report must be submitted during the 30-day period immediately preceding a model year. The mid-model year report must be submitted during the 30-day period beginning on the 180th day of a model year.

The pre-model year report would contain information regarding both the current model year and future model years. The mid-model year report would contain information about the current model year only. Section 505(a) requires that both reports contain the current model year information. It is proposed to require that the future model year information be included in the pre-model year report since that would ensure that the information available for the annual review due the following January is as current as possible.

Comments are requested on whether there is any demonstrable need to depart from this practice in the first model year due to leadtime considerations. For model year 1978, the future model year information could be included in the 1978 mid-model year report instead of the 1978 pre-model year report. The reason for this departure would be that preparation of the future model year information could be more difficult than preparation of the current model year information. While the leadtime for preparation of inclusion of the current model year information in the 1978 pre-model year report is deemed adequate, the leadtime for including future model year information in that report may not be adequate.

The agency recognizes that providing detailed information on some topics, especially with respect to model years more than several years in the future, may be difficult. Accordingly, it is proposed that manufacturers be permitted to submit the required information as an estimate or as a set or range of alternatives. Any manufacturer taking advantage of this opportunity would be required to state the basis for the estimates or alternatives, the major uncertainties associated with them, and the most likely value in the case of an estimate and the most likely alternative in the case of a set or range of alternatives. Comments are requested on the adequacy of providing this opportunity as

a compromise between this agency's need for future model year information and the difficulty of the manufacturers in precisely stating some of the information. Comments are also requested on whether this opportunity should be provided with respect to both current and future model year information or to future model year information only.

#### CURRENT MODEL YEAR INFORMATION

The items of current model year information to be submitted are discussed below.

**Projected average fuel economy.** The manufacturer would be required to project, using available data, the average fuel economy of its passenger automobiles and nonpassenger automobiles.

Since the projection mentioned above would be based on data current at the time of the pre-model or mid-model year report and would not reflect data regarding running changes to be made or new model types to be introduced later during the model year, the manufacturer would be required to state the final average fuel economy that it anticipates achieving if that figure would reflect those changes and model types. If the projected final average fuel economy would not be different, then the first projection would be the manufacturer's statement whether it would comply with the average fuel economy standard for the current model year. If the projected final average fuel economy were different, it would be the manufacturer's statement regarding compliance.

If the manufacturer believed that either the projection for the passenger automobiles or nonpassenger automobiles was not sufficiently accurate for the purposes of title V, the manufacturer would have to state the reasons for that belief, describe the nature of and reason for the inaccuracy, and state the additional testing or derivation of fuel economy values necessary and any plans of the manufacturer for undertaking that testing or derivation. This information would be needed by the agency in enforcing the standards and in assessing civil penalties for noncompliance or awarding civil penalty credits for exceeding the standards. In addition, if the manufacturers reported any inaccuracies affecting these aspects of title V, the agency would consider whether to recommend to the Environmental Protection Agency that it amend its fuel economy testing and calculation procedures to require additional fuel economy information to be submitted by the manufacturers. Based on presently available information, this agency believes that the current procedures provide a sound basis for implementing title V and is unaware of any contrary suggestion by any manufacturer.

**Model type fuel economy and technical information.** The manufacturer would be required to provide fuel economy values for each model type of its automobiles and describe the fuel economy related technical information and specifications of each vehicle configuration on which the model type's fuel economy values were based.

**Automobile technology and sales mix changes.** The manufacturer would have to describe the differences between the technology and sales mix of its automobiles for the current model year and the technology and sales mix of its automobiles for the preceding model year that will result in the manufacturer's automobiles for the current model year having better fuel economy. The manufacturer would also be required to describe any further changes that it intends to make in the technology and sales mix in the automobiles for the current model year to improve the average fuel economy of those automobiles.

**Reduction of (CID) (N/V).** In general, fuel economy can be improved by reducing the product (CID) (N/V) of the cubic inch displacement of the engine multiplied by the total drive ratio. Each manufacturer would be required to state the lowest (CID) (N/V) that would allow reasonable performance for each model type, and state the range of cubic inch displacements and total drive ratios that could be combined to produce this minimum (CID) (N/V). The manufacturer would have to explain why it believes that performance at a lower (CID) (N/V) would be unreasonable.

The minimum (CID) (N/V) that would allow reasonable performance for a particular model type may vary as a result of other factors such as the transmission type used in the automobile. Comments are requested on the identity of these other factors and whether the manufacturer should be required to identify these other factors, and show the different minimum (CID) (N/V) value possible for each variant of such other factors.

Each manufacturer would also be required to quantify the potential fuel economy improvement for each model type that would be realized if that model type were equipped with the minimum (CID) (N/V) which would allow reasonable performance for that model type.

**Marketing measures.** The proposed regulation would require the manufacturer to describe its use of marketing measures to encourage the purchase of more fuel economical sales mix of its automobiles. Specifically, the manufacturer would be required to describe its use of pricing, advertising and dealer incentives to increase the average fuel economy of its automobiles. To put those efforts in context, the manufacturer would also be required to describe its total array of pricing, advertising and dealer incentive measures for selling its automobiles. Inclusion of this information is required by the provision in section 502(a) regarding steps taken to comply with the fuel economy standards.

The marketing information would enable the agency to assess the extent to which a manufacturer's marketing efforts for its automobiles correlate with the fuel economy of its automobiles. In making this assessment, the agency would look, for example, at the price differentials between an automobile with power consuming and weight adding options and the same automobile without



those options and an automobile with a low (CID) (N/V) value and the same automobile with a high (CID) (N/V) value.

Similarly, the agency would look at the value of dealer incentives and level of advertising devoted to automobiles with differing fuel economies. The incentive information would include monetary and nonmonetary incentives for selling particular automobiles and options. The advertising information would provide the agency with insight into the manufacturer's use in its advertising of fuel economy figures approved by the Environmental Protection Agency and of data about the fuel economy of comparable automobiles. It would also provide the agency with an indication of the manufacturer's plans to make greater use of specific labeling to aid consumers in determining the fuel costs of relatively high (CID) (N/V) values and of various options. The advertising information would also show what aspects of automobile performance are going to be mentioned in the manufacturer's advertising and the relative ranking to be given those aspects of performance.

**Additional compliance efforts.** If the manufacturer's projected average fuel economy for the current model year is less than the applicable average fuel economy standard for that model year, the manufacturer would be required to describe the additional steps, i.e., technological improvements, sales mix changes, and marketing measures, that it could undertake to achieve compliance with that standard while minimizing the additional costs.

**Impact of other Federal automobile standards on fuel economy.** Responsible administration of the automobile fuel economy, safety, emissions, noise, and damage susceptibility programs requires knowledge of the effect of each program's standards on the other regulated aspects of automobile performance. The tradeoffs between these different types of performance must be understood since all five types cannot be individually and simultaneously optimized. The validity of this proposition is recognized in section 502(e)(3) which requires the Administrator to consider the impacts of the emissions, safety, damage susceptibility, and noise standards when determining maximum feasible average fuel economy.

Accordingly, a statement would have to be made whether the fuel economy achievable under the emissions, safety, damage susceptibility, and noise standards for the current model year is more or less than the fuel economy that would have been achievable under those categories of standards for the immediately preceding model year. One of the changes in those standards that might be claimed as reducing fuel economy is the adoption of a very stringent NOx standard due to its alleged effect on the feasibility of diesel engines. Others are the adoption of new or more stringent safety and damage susceptibility standards and the initial establishment of noise standards which, due to the weight they would add

to an automobile, could move the automobile into a higher inertia weight class.

Model year 1975 is proposed as the base year for the semiannual reports for model year 1978 since much of title V and its legislative history, including discussion of estimated benefits from the fuel economy program, were premised upon the Federal automobile standards in effect during that model year. Use of those standards as the bases for comparison in model year 1978 would enable the agency to demonstrate in future model years the extent to which, if at all, the adoption of emission, safety, damage susceptibility, and noise standards more stringent than the model year 1975 standards has affected the achievability of title V when enacted.

Comments are requested whether the base year for this comparison should be sliding or fixed and what the base year should be in either case. These comments should discuss the comparative accuracy of a sliding and a fixed base in determining the impact of the other Federal automobile standards. Further, should the base year for passenger automobiles be the same as that for nonpassenger automobiles? Comments are also requested on the value of maintaining a cumulative tradeoff account of those impacts and on the appropriate methods for maintaining that account.

If a claimed loss of fuel economy could have been reduced or avoided by use of means available for that purpose, the manufacturer would have to explain why it chose not to employ those means. For example, if the manufacturer regarded the cost of available technology as excessive, it would be required to explain why it regarded those costs to be excessive.

The up-to-date fuel economy impact information is needed by the agency so that it can determine the validity of the manufacturer's earlier predictions regarding the impacts and can establish a reliable basis for evaluating and comparing the impacts predicted by the manufacturers for future model years. The necessity of providing the information should also ensure that those predictions are made as accurately as possible.

For model years 1978-1980, a manufacturer would not be required to report the impact information for any of the four categories of other automobile standards (emissions, safety, damage susceptibility, and noise) for which the manufacturer submits a petition under section 502(d) for reduction of the passenger automobile fuel economy standards due to changes in one or more of those categories of standards.

**Impacts of efforts to comply with average fuel economy standards on automobile performance.** For the reasons set forth in the beginning of the discussion of the impact of other automobile standards on fuel economy, the manufacturer would be required to provide information on the effects of its efforts to improve average fuel economy of its current model year automobiles on the safety, damage susceptibility, noise emissions, pollutant emissions, driveability, gradeability, and

acceleration capability of those automobiles and on the cost of complying with the Federal safety, emissions, damage susceptibility, noise, and emissions standards for that model year. Among the impacts that might be claimed are:

Downsizing would make an automobile less crashworthy, even though the automobile still complied with all applicable Federal safety standards, if no compensatory improvements were made in vehicle structure.

Weight reduction through removal of sound insulation would increase interior noise.

Reduction of (CID) (N/V) would reduce gradeability and acceleration capability.

Use of diesel engines would increase interior and exterior noise and particulate emissions.

Sales mix shifts leading to lower average inertia weight would make the fleet less crashworthy if compensatory steps were not taken.

Efforts to improve fuel economy by reducing the margin of compliance with emissions, safety, damage susceptibility, and (if established) noise standards would increase emissions, damage susceptibility, and noise, and decrease safety.

This impact information is needed to determine the validity of earlier impact predictions by the manufacturer and to establish a reliable basis for evaluating and comparing the impact predicted by the manufacturers for future model years. Further, it should ensure that those predictions are made with a high degree of accuracy.

**Material composition.** The manufacturer would be required to state the average percentage by weight that certain raw and finished materials constitute of all materials used in its automobiles. Then the manufacturer would indicate the total tonnage of each of those same raw and finished materials used in all of its automobiles. This information is needed by the agency for determining some of the environmental impacts of the fuel economy program and for monitoring the impacts of that program on the supply of these materials.

**Costs.** To inform the agency about the cost impacts of the average fuel economy standards, the manufacturer would be required to indicate the increases or decreases in each component of the manufacturer's fixed and variable manufacturing costs of its automobiles in each inertia weight class, compared to the immediately preceding model year, that occurred as a result of the standard for the current model year. The manufacturer would then state the impact of these cost differences on the manufacturer's suggested retail price of its automobiles, and on the retail price of replacement equipment for those automobiles. A statement would also have to be made regarding the impact of the manufacturer's standard compliance efforts on the life cycle operating and maintenance costs of its automobiles.

**Gross income and market share.** The proposed regulation would require the

manufacturer to state the extent to which any difference in its gross income and market share for the current model year and that for the immediately preceding model year is due to the manufacturer's efforts to comply with the average fuel economy standards for the current model year. To facilitate the market share analysis, the market would be broken down into the classes of automobiles used by the Environmental Protection Agency in its labeling regulations for comparing the fuel economy of comparable automobiles. This information is needed by the agency for assessing any competitive effects of the standards.

**Engine-system combinations and fuel systems.** This portion of the proposed regulation would require the manufacturer to discuss the differences between engine family-exhaust emission control systems combinations and between fuel systems of its passenger automobiles and nonpassenger automobiles in the same inertia weight class. It is assumed that passenger automobiles and nonpassenger automobiles of similar inertia weight could have similar engine family-emission control systems combinations and fuel systems. When the manufacturer has chosen not to install the more fuel efficient combination or system on passenger automobiles and nonpassenger automobiles of similar inertia weight, the manufacturer would have to explain the basis for its decision.

#### FUTURE MODEL YEAR INFORMATION

The information to be submitted regarding the future model years would relate to the same general subjects as the information for the current model year. Except for the portion of the pre-model year reports submitted for model years 1978 and 1979 with respect to passenger automobiles, "future model years" would mean the five model years immediately following the current model year. This period was picked because the manufacturers' product plans generally extend that far into the future. For the portion of the model year 1978 and 1979 pre-model year reports relating to passenger automobiles, the period will extend forward seven and six model years, respectively. The purpose of extending farther ahead with respect to those model years is to include the 1985 model year for which a statutory standard has been specified for passenger automobiles. This extended application is necessary to comply with section 502 (a) which requires that the annual review due in January 1979 include a comprehensive analysis of the program, including an assessment of the ability of the manufacturers to meet the average fuel economy standard specified in that section for model year 1985 passenger automobiles.

In this regard, comments are requested on how to ensure that future model year information regarding manufacturers whose model year approximates the calendar year is available in time for incorporation in the annual review. If a manufacturer's model year does not begin until January 1, its pre-model year

report with the future model year information would not be submitted before December 1. One solution might be to require manufacturers whose model year begins on January 1 or during the preceding several months to include their future model year information in their mid-model year report for the preceding model year. Thus their future model year information would be submitted during the late spring or early summer and that of other manufacturers would be submitted several months later.

The items of future model year information to be provided by the manufacturers are described below.

**Projected average fuel economy.** The proposed regulation would require the manufacturer to project the average fuel economy of the portion of each future model year fleet of automobiles sold in all States except California and of automobiles sold only in California, and the average economy of both of those groups of automobiles combined.

**Model type fuel economy and technical information.** The manufacturer would be required to provide the same model type information for its future model year automobiles as for its current model year automobiles. In addition, the manufacturer would be required to provide the average inertia weight, cubic inch displacement of the engine, and total drive ratio for each model type. The manufacturer would not be required to provide detailed information on the vehicle configurations used to calculate the fuel economy of the model type, unless that information was necessary to explain the projected changes in fuel economy for a given model type.

**Current fuel economy technology.** By "current fuel economy technology," the proposed regulation means technology that has been developed to the point that it can be scheduled for introduction into production. For most manufacturers, the substitution of lighter materials in the hood, deck lid, bumpers, cylinder heads, and cast parts such as the intake manifold and pump housing is an example of current fuel economy technology. The manufacturer would be required to describe each item of current fuel economy technology, e.g., a diesel engine, and each current fuel economy technological means, e.g., downsizing, whose use the manufacturer has plans for expanding or introducing in its future model year automobiles. With respect to these items and means of technology, the manufacturer would state the expected fuel economy gains, the plans, including leadtime schedules, for using those items and means, the capital requirements and other costs involved in implementing those plans, and the effect of using those items and means on automobile performance (except to the extent that this information is provided in the portion of the report regarding impacts of efforts to comply with average fuel economy standards on automobile performance), and on automobile purchase price and life cycle operating and maintenance costs. The plans should indicate at least the affected automobile size classes and

the penetration schedule. If there is any current fuel economy technology that would improve fuel economy and that the manufacturer does not plan to use, the manufacturer would have to explain why.

**Future fuel economy technology.** "Future fuel economy technology" means technology that has not been developed to the point that it can be scheduled for introduction into production. Examples of such technology include the Stirling engine and an efficient, low cost, continuously variable transmission. The proposed regulation would require the manufacturer to describe each of its ongoing or planned research, development, and testing projects in automobile technology that may aid in improving the fuel economy of the manufacturer's automobiles. With regard to those projects, the manufacturer would be required to state the anticipated fuel economy improvements, the schedule for completing the projects and the costs of completing the projects. For each item of technology that the projects would refine or develop, the manufacturer would describe the plans for incorporating that technology in its automobiles, the costs of incorporating that technology, and the effect of the technology on automobile performance (except to the extent that this information is provided in the portion of the report regarding impacts of effects to comply with average fuel economy standards on automobile performance), and on automobile purchase price and life cycle operating and maintenance costs. As in the case of plans for using current fuel economy technology, these plans would have to indicate the affected automobile size classes and the penetration schedule.

The manufacturer would also be required to describe any future technology with fuel economy improvement potential which has been considered and rejected as a subject of research, development or testing activity, and explain the reasons for the rejection. Some future fuel economy technology may be obtainable from other developers or refiners of fuel economy technology. The manufacturers would have to describe the known items of future technology that are being refined or developed by those parties and that will be available for automobile manufacturers to incorporate in any of their future model year automobiles. The fuel economy improvement potential of each such item and the manufacturer's plans to use that item or reasons for having no such plans would have to be stated.

Finally, a description would have to be provided of the manufacturer's alternative plans for improving fuel economy if the technological refinements and developments anticipated by the manufacturer do not occur as scheduled or yield less than the expected level of fuel economy improvement.

**Automobile technology and sales mix changes.** For each future model year for which there is an applicable average fuel economy standard, the proposed regulation would require the manufac-

turer to describe the differences between the technology and sales mix of the manufacturer's automobiles for that model year and that of its automobiles for the preceding model year that will improve the average fuel economy of the manufacturer's automobiles.

**Weight reduction.** The manufacturer would be required to state the maximum extent to which the weight of each model type of its current model year automobiles could be reduced by the last future model year without reducing the number of designated seating positions or the space inside the passenger or cargo areas of the automobiles. The method of these weight reductions would have to be described.

**Reduction of (CID) (N/V).** The manufacturer would be required to state whether the minimum (CID) (N/V) value provided for each model type in the current model year will change in the future model years. If there is a change, the manufacturer would be required to state the revised minimum (CID) (N/V) value, and explain why there is a change. The manufacturer would also be required to state what proportion of its future model year automobiles will be equipped with this minimum (CID) (N/V) value, and explain why that proportion can not be increased.

**Technological differences between certain automobiles.** The proposed regulation would require the manufacturer to identify its passenger and non-passenger automobiles in the same inertia weight class that will have significantly different fuel economy and explain why steps will not be taken to improve fuel economy and thereby eliminate those differences. The manufacturer would also be required to identify the most fuel economical of its four, five, and six passenger sedans and station wagons and explain why its similar automobiles would not be designed to achieve the same level of fuel economy.

**Marketing measures.** The proposed regulation would require the manufacturer to describe how its marketing measures for the current model year could be changed in the future model years to improve the average fuel economy of its automobiles. Then the manufacturer would have to state whether it will make any of those changes in the first two future model years. If there are changes that will be made, the manufacturer would have to state what those changes will be and why they will be made. Similarly, if there are any changes that will not be made, the manufacturer would also have to explain its reasons for not planning to make them.

**Additional compliance efforts.** If the manufacturer's projected average fuel economy for any future model year was less than the applicable fuel economy standard for that model year, the manufacturer would have to provide the same type of information regarding additional compliance efforts that the manufacturer would have to supply for the current model year.

**Impact of other Federal automobile standards on fuel economy.** The manufacturer would have to provide for its future model year automobiles the same type of information it would provide regarding the impact of other Federal automobile standards on the fuel economy of its current model year automobiles. The predicted impacts would be based on the technology that is reasonably likely to be available in those future model years.

**Impacts of efforts to comply with average fuel economy standards on automobile performance.** For each future model year for which there is an applicable average fuel economy standard, the same type of information that the manufacturer would provide regarding the impact of its efforts to improve the average fuel economy of its current model year automobiles on the safety, noise, emissions, and damageability of those automobiles would have to be provided for the manufacturer's future model year automobiles.

**Availability of capital.** For each future model year for which there is an applicable average fuel economy standard, the proposed regulation would require the manufacturer to state whether and how it will be able to obtain sufficient capital to achieve the fuel economy projected by the manufacturer for that model year, or the fuel economy required by the standard, whichever level is higher. Manufacturers claiming an insufficiency of capital would have to demonstrate that sufficient existing or anticipated capital could not be allocated to its efforts to improve average fuel economy and that sufficient additional capital can not be obtained.

**Costs.** The proposed regulation would require the manufacturer to state, for each inertia weight class of its automobiles to be produced in each future model year for which there is an applicable average fuel economy standard, the same type of information regarding the impacts of changes in manufacturing costs and the impacts of standard compliance efforts on automobile operating and maintenance costs that the manufacturer would be required to submit for its current model year automobiles.

**Shifts in consumer demand.** For each future model year for which there is an applicable average fuel economy standard, the manufacturer would have to state and quantify to the extent possible any shifts in consumer demand which the manufacturer believes that that standard may cause (1) between automobiles of different sizes, styles and performance capabilities, and (2) between that manufacturer's automobiles and the automobiles of other manufacturers. The basis for believing that any shifts would occur would be required to be explained.

**Gross income and market share.** For each future model year, the manufacturer would have to provide the same type of information regarding gross income and market share that it would be required to provide for the current model year.

#### SUPPLEMENTARY REPORTS

Under this proposal, a supplementary report containing updating, correcting information would have to be submitted during a model year when a projected average fuel economy figure previously submitted during that model year by the manufacturer for the current or a future model year has significantly changed, or when a statement concerning the accuracy of a projected average fuel economy standard for the current model year has become erroneous or incomplete. Section 505(a) requires the submission of revised or supplementary reports when a manufacturer has reported that it will comply with the average fuel economy standards and then discovers that its previously reported plan is insufficient for that purpose. Authority under section 505 (a) and (c) would be used to require supplementary reports to correct outdated future model year information too.

In developing the criteria governing the circumstances in which supplementary reports must be submitted, the agency considered using a subjective approach; e.g., requiring a revision whenever there was a response which had become "seriously misleading in light of changed circumstances." This type of approach was not proposed since the NHTSA felt that it would leave too much uncertainty about when a supplementary report was required and might result in too little or too much supplementary reporting. To avoid this problem, the agency proposes the quantified approach described below. The agency is proposing a progressively rising threshold for the minimum change in the projected average fuel economy that will trigger the submission of a supplementary report. This rising threshold approach is proposed because of the greater uncertainty associated with the information underlying fuel economy projections for future model years, especially the more distant ones, and thus the greater likelihood of changes in the underlying information and projected averages. The rising threshold should keep the amount of supplementary reporting regarding the future model years to a reasonable level.

The threshold for the current model year and the first future model year would be a decrease of 0.1 mpg that would leave the manufacturer not more than 0.3 mpg above a standard for that model year. The 0.3 mpg threshold is proposed since the average may fall by more than 0.1 mpg at a time. The agency wishes to be advised of a developing noncompliance before it happens. Any decrease below that threshold is deemed significant. This very low threshold reflects the need of the agency to monitor the manufacturer's compliance efforts for the current model year continuously for enforcement purposes. Manufacturers whose projected average for either model year increased would not be required to submit supplementary reports.

The threshold for the second and third future model years would be any net increase or decrease of 0.3 mpg, regardless of where that decrease would leave

the manufacturer with respect to the applicable average fuel economy standard for that model year. Manufacturers whose fuel economy increases by that amount would become subject to the supplementary reporting requirements since there would still be sufficient time before those model years under the 18 month rule in section 502(f) to amend the standard to make it more stringent.

The threshold for the more distant future model years would be any net decrease or increase of 0.5 mpg. The reason for the difference between this threshold and the one for the two preceding future model years is the greater uncertainty associated with the projections for the more distant future model years.

Comments are specifically invited on the appropriateness of the rising threshold approach as well as the level of each of the thresholds and the interval between them. Comments are also invited on whether there should be any limit on the number or frequency of supplementary reports.

In the case of a supplementary report required to be submitted because of a change in projected average fuel economy for a model year, the manufacturer would be required to state the new projection, explain the reason for the change in the projection, and show how the facts underlying the change affect the information that the manufacturer previously submitted to the NHTSA in the most recent semiannual report regarding that model year and in any subsequent supplementary reports. For example, a manufacturer reporting for the first time that its previously reported plan will not enable it to comply with the applicable fuel economy standard would have to describe its plans for any additional compliance efforts. For supplementary reports submitted to correct errors or aspects of incompleteness in previous statements about the accuracy of a projected average fuel economy for the current model year, the manufacturer would have to submit whatever information is necessary to correct the errors or eliminate the aspects of incompleteness in such statements in the most recent semiannual report and subsequent supplementary reports.

Supplementary reports would be required to be submitted within 30 days after the manufacturer determined, or, with reasonable diligence, could have determined that a projected average had changed sufficiently to require a supplementary report or that there were errors or aspects of incompleteness in its previous comments about the accuracy of a projection for the current model year. However, a supplementary report regarding changes in current model year information would not be required within 60 days of the last day on which the mid-model year report for the current model year may be submitted or after the last day on which the pre-model year report for the next model year is required to be submitted unless a determination about the necessity of a report was made or could have been made before either of those cut-off dates.

A supplementary report for changes in future model year projections would not be required within 60 days of the last day on which the pre-model year report for the next model year is required to be submitted unless a determination about the necessity of a report was made or could have been made before that cut-off date.

#### SUBMISSION OF CONFIDENTIAL INFORMATION

The proposed regulation would require the manufacturer to identify any information in its reports that it requests to be withheld from the public disclosure. With respect to information and data claimed by the manufacturer to fall within 5 U.S.C. 552(b) (4), the manufacturer would be required to show that the information fell within section 552(b) (4) and that its disclosure would result in significant competitive damage; indicate the period during which that damage would be incurred if the information and data were released; and show that an earlier release would result in that damage.

If the Administrator denied a manufacturer's request for confidential treatment, he would give the manufacturer written notice of the denial ten days before releasing the information. If the Administrator agreed that certain information was entitled to confidential treatment, he would not later invoke his authority under section 505(d) (1) of title V to release the information during an administrative or judicial proceeding under that title without first giving written notice to the manufacturer.

The reports, except those portions granted confidential treatment and not later released under section 505(d) (1) would be available for inspection in the docket section of the agency.

#### ADDITIONAL GENERAL MATTERS

The proposed regulation would permit manufacturers to incorporate by reference any document other than a report, petition, or application, or portion thereof submitted to any Federal department or agency more than two model years before the current model year. For example, a report submitted under the proposed regulation for model year 1979 could be incorporated in a report submitted under the regulation for model year 1981, but a report submitted for model year 1978 could not be incorporated in that 1981 report. Another example of a document that might be incorporated in an application to the Environmental Protection Agency for certification of conformity with the emissions standards. Any document incorporated by reference would have to be appended to the report in which it is incorporated. The policy of permitting recent model year documents, but not earlier documents, is intended to strike a balance between the agency's need for an up-to-date, manageable report and the burden on the manufacturers submitting reports under the proposed regulation.

Fuel economy values for current model year automobiles would be based on data submitted to the Environmental Protection Agency. Fuel economy values for future model year automobiles would be based on tests and analytically derived data developed under procedures comparable to those permitted by that agency. Comments are requested on the method that the manufacturers would use to select the appropriate automobiles for the purpose of calculating the average fuel economy of their future model year automobiles under the Environmental Protection Agency's calculation procedure.

Section 503(b) and implementing regulations of the Environmental Protection Agency provide special rules for treatment of captive import passenger automobiles in calculating average fuel economy. Manufacturers should follow those rules in calculating average fuel economies for current and future model years.

Failure to submit a report required by the proposed regulation would be a violation of section 507(3) of title V and subject the violating manufacturer to a civil penalty of \$10,000 for each violation. Each day of a continuing violation, such as the failure to submit a report, constitutes a separate violation.

#### IMPLEMENTATION COSTS

The total annual cost of implementing this proposed regulation is expected to be less than \$3,500,000 for the manufacturers and the Federal government. The share of the manufacturers would be \$3,300,000 and that of the Federal government would be \$200,000. The costs to the manufacturers would consist primarily of the additional administrative costs incurred to generate, gather, tabulate, and submit the required information. The total costs for a manufacturer's semiannual and supplementary reports for a model year will range between \$500,000 for a large manufacturer and \$5,000 for a low volume manufacturer exempted under section 502(c) of title V.

#### IMPACT ASSESSMENTS

In accordance with Executive Orders 11821 and 11949, a review of this action was undertaken to determine whether it met the criteria for a "major action" requiring the preparation of an Economic Impact Statement. The review indicated that the costs and economic impacts of this action are less than those set forth in the criteria and that therefore an Economic Impact Statement is not needed.

It is the judgment of this agency, based on available information, that no significant environmental impact will result from execution of this action.

In consideration of the foregoing, it is proposed that a new Part 537, Automotive Fuel Economy Reports, be added to Title 49 of the Code of Federal Regulations, to read as set forth below.

All comments received before the close of business on the comment closing date indicated at the beginning of this pro-

posal will be considered, and will be available for examination in the docket at the above address both before and after the closing date. To the extent possible, comments filed after the closing date will also be considered. The agency will continue to file relevant material as it becomes available in the docket after the closing date, and it is recommended that interested persons continue to examine the docket for new material.

The program official and lawyer principally responsible for the development of this proposal are Anees Adil and Stephen Kratzke, respectively.

Issued in Washington, D.C. on April 7, 1977.

ALAN A. BUTCHMAN,  
Acting Administrator.

#### PART 537—AUTOMOTIVE FUEL ECONOMY REPORTS

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|--------|--|
| Sec.   |  |
| 537.1  | Scope.   |
| 537.2  | Purpose.   |
| 537.3  | Applicability.   |
| 537.4  | Definitions.   |
| 537.5  | General requirements for reports.                              |
| 537.6  | Contents of reports.   |
| 537.7  | Automobiles for current model year.                            |
| 537.8  | Automobiles for future model years.                            |
| 537.9  | Supplementary reports.   |
| 537.10 | Determination of fuel economy values and average fuel economy. |
| 537.11 | Incorporation by reference.                                    |
| 537.12 | Public inspection of information.                              |
| 537.13 | Confidential information.                                      |

**AUTHORITY:** Sec. 9, Pub. L. 89-670, 80 Stat. 931 (49 U.S.C. 1657); Sec. 301, Pub. L. 94-163, 89 Stat. 901 (15 U.S.C. 2005); delegation of authority at 41 FR 25015, June 22, 1976.

##### § 537.1 Scope.

This part establishes requirements for automobile manufacturers to submit reports to the National Highway Traffic Safety Administration regarding their efforts to improve automotive fuel economy.

##### § 537.2 Purpose.

The purpose of this part is to obtain information to aid the National Highway Traffic Safety Administration in evaluating automobile manufacturers' plans for complying with average fuel economy standards, in establishing and modifying average fuel economy standards, and in preparing an annual review of the average fuel economy standards.

##### § 537.3 Applicability.

This part applies to automobile manufacturers.

##### § 537.4 Definitions.

(a) **Statutory terms.** (1) The terms "average fuel economy standard," "fuel," "manufacture," and "model year" are used as defined in section 501 of the Act.

(2) The term "manufacturer" is used as defined in section 501 of the Act and in accordance with Part 529 of this chapter.

(3) The terms "average fuel economy," "fuel economy," and "model type" are used as defined in Subpart A of 40 CFR Part 600.

(4) The terms "automobile" and "passenger automobile" are used as defined

in section 501 of the Act and in accordance with the determinations in Part 523 of this chapter.

(5) The term "damage susceptibility" is used as defined in section 2(13) of the Motor Vehicle Information and Cost Savings Act.

(b) **Other terms.** (1) The terms "engine-system combination" and "fuel system" are used as defined in Subpart A of 40 CFR Part 85.

(2) The term "loaded vehicle weight" is used as defined in Subpart A of 40 CFR Part 86.

(3) The terms "axle ratio," "base level," "body style," "car line," "city fuel economy," "combined fuel economy," "engine code," "gross vehicle weight," "highway fuel economy," "inertia weight," "transmission class," and "vehicle configuration" are used as defined in Subpart A of 40 CFR Part 600.

(4) The term "nonpassenger automobile" is used as defined in Part 523 of this chapter and in accordance with determinations in that part.

(5) The terms "approach angle," "axle clearance," "breakover angle," "cargo carrying volume," "departure angle," "passenger carrying volume," "running clearance," and "temporary living quarters" are used as defined in Part 523 of this chapter.

(6) The term "final stage manufacturer" and "intermediate manufacturer" are used as defined in Part 529 of this chapter.

(7) The term "designated seating position" is used as defined in § 571.3 of this chapter.

(8) As used in this part, unless otherwise required by the context:

(i) "Act" means the Motor Vehicle Information and Cost Savings Act (Pub. L. 92-513), as amended by the Energy Policy and Conservation Act (Pub. L. 94-163).

(ii) "Administrator" means the Administrator of the National Highway Traffic Safety Administration or the Administrator's delegate.

(iii) "Current model year" means:

(A) In the case of a pre-model year report, the full model year immediately following the period during which that report is required by § 537.5(b) to be submitted.

(B) In the case of a mid-model year report, the model year during which that report is required by § 537.5(b) to be submitted.

(iv) "Average" means a production-weighted average.

(v) "(CID) (N/V)" means the product of multiplying the cubic inch displacement of an automobile's engine by the total drive ratio of that automobile.

(vi) "HP/IWT" means the ratio of SAE net horsepower to inertia weight.

(vii) "Future model years" means the five model years immediately following a current model year, except that in the case of passenger automobiles:

(A) When the current model year is model year 1978, the term means the seven model years immediately following that model year.

(B) When the current model year is model year 1979, the term means the six

model years immediately following that model year.

(viii) "Manufacturing costs" mean the following costs of manufacturing automobiles:

(A) Variable material costs, (B) Variable labor costs, (C) Material overhead costs, (D) Labor overhead costs, (E) Warranty costs.

(ix) "Performance" means an automobile's:

(A) Safety, (B) Damage susceptibility, (C) Interior and exterior noise emissions, (D) Regulated and unregulated air pollutant emissions, (E) Driveability, (F) Gradeability, and (G) Acceleration capability.

(x) "Sales mix" means the number of automobiles, and the percentage of a manufacturer's annual total production of automobiles, in each vehicle configuration or model type which the manufacturer plans to produce in a specified model year.

(xi) "Total drive ratio" means the ratio of vehicle engine rotational speed (in revolutions per minute) to vehicle forward speed (in miles per hour).

##### § 537.5 General requirements for reports.

(a) For each current model year, each manufacturer shall submit a pre-model year report, a mid-model year report, and, as required by § 537.9, supplementary reports.

(b) (1) The pre-model year report required by this part for each current model year must be submitted not more than 30 days and not less than 1 day before the 1st day of that model year.

(2) The mid-model year report required by this part for each current model year must be submitted not earlier than the 180th day and not later than the 209th day of that model year.

(3) Each supplementary report must be submitted in accordance with § 537.9(c).

(c) Each report required by this part must:

(1) Identify the report as a pre-model year report, mid-model year report, or supplementary report, as appropriate;

(2) Identify the manufacturer submitting the report;

(3) State the full name, title, and address of the official responsible for preparing the report.

(4) Be submitted in 10 copies to: Administrator, National Highway Traffic Safety Administration, 400 Seventh Street, S.W., Washington, D.C. 20590.

(5) Identify the current model year;

(6) Be written in the English language; and

(7) (i) Specify any part of the information in the report that the manufacturer believes should be withheld from public disclosure as trade secret or other confidential business information.

(ii) With respect to information and data requested by the manufacturer to be withheld under 5 U.S.C. 552(b)(4) and 15 U.S.C. 2005(d)(1), the manufacturer shall:

(A) Show that the information and data are within the scope of sections 552(b)(4) and 2005(d)(1);

(B) Show that disclosure of the information and data would result in significant competitive damage;

(C) Specify the period during which the information and data must be withheld to avoid that damage; and

(D) Show that earlier disclosure would result in that damage.

(d) Each report required by this part must be passed upon all information available to the manufacturer 30 days before the report is submitted to the Administrator.

(e) (1) Any manufacturer may provide any item of information required by this part as an estimate, or as a set or range of alternatives.

(2) Any manufacturer submitting estimates, or sets or ranges of alternatives as permitted by paragraph (e) (1) of this section, shall state:

(i) The basis for determining them,  
(ii) The major uncertainties associated with them, and

(iii) The most likely value in the case of an estimate and the most likely alternative in the case of a set or range of alternatives.

#### § 537.6 Contents of reports.

(a) *Pre-model year report.* Except as provided in paragraph (d) of this section, the pre-model year report for model year 1978 and for each model year thereafter must contain the information required by §§ 537.7(a) and 537.8(a).

(b) *Mid-model year report.* Except as provided in paragraph (d) of this section, the mid-model year report for model year 1978 and for each model year thereafter must contain the information required by § 537.7(a).

(c) *Supplementary report.* Each supplementary report must contain the information required by § 537.9.

(d) *Exceptions.* (1) The pre-model year report and the mid-model year report for model year 1978 are not required to contain the information specified in § 537.7 (b) (2), (g), (i), (k), or (1) (2) with respect to nonpassenger automobiles for that model year.

(2) In any model year for which a manufacturer has been exempted under section 502(c) of the Act, the pre-model year report and the mid-model year report submitted by that manufacturer for that model year are not required to contain the information specified in § 537.8(b)-(q).

(3) In any model year that an intermediate manufacturer or a final-stage manufacturer becomes the manufacturer of an automobile under § 529.6 of this chapter, the pre-model year report and the mid-model year report submitted by that manufacturer for that model year are not required to contain the information specified in § 537.7(h)-(m) or in § 537.8(b)-(q).

#### § 537.7 Automobiles for the current model year.

(a)(1) Provide the information required by paragraphs (b)-(1) of this section for the manufacturer's passenger automobiles for the current model year.

(2) After providing the information required by paragraph (a)(1) of this section, provide the information required by paragraphs (b)-(1) of this section for each class, as specified in part 533 of this chapter, of the manufacturer's nonpassenger automobiles for the current model year.

(3) After providing the information required by paragraph (a)(2) of this section, provide the information required by paragraph (m) of this section for the manufacturer's passenger automobiles and nonpassenger automobiles for the current model year.

#### (b) Projected average fuel economy.

(1) State the projected average fuel economy for the manufacturer's automobiles determined in accordance with § 537.10 and based upon the fuel economy values and projected sales figures provided under paragraph (c) (2) of this section.

(2) State the final average fuel economy that the manufacturer anticipates having for the current model year if the changes described under paragraph (d) (1) (ii) or (d) (2) (ii) will cause that average to be different from the average fuel economy projected under paragraph (b) (1) of this section.

(3) State whether the manufacturer believes that the projection provided under paragraph (b) (1) or (2) of this section represents the manufacturer's average fuel economy for the current model year with sufficient accuracy for the purposes of the Act. In the case of a manufacturer that believes that either projection is not sufficiently accurate for those purposes, state the specific nature of and reason for the inaccuracy and the specific additional testing or derivation of fuel economy values by analytical methods necessary and any plans of the manufacturer to undertake that testing or derivation voluntarily and submit the resulting data to the Environmental Protection Agency.

(c) *Model type fuel economy and technical information.* (1) For each model type of the manufacturer's automobiles, provide the information specified in paragraph (c) (2) of this section in tabular form. List the model types in order of increasing average inertia weight from top to bottom down the left side of the table and list the information categories in the order specified in paragraph (c) (2) of this section from left to right across the top of the table.

(2) (i) City fuel economy;  
(ii) Highway fuel economy;  
(iii) Combined fuel economy;  
(iv) Projected sales for the current model year; and

(v) Manufacturer's average suggested retail price.

(3) For each vehicle configuration whose fuel economy was used to calculate the fuel economy values for a model type under paragraph (c) (2) of this section, provide the information specified in paragraph (c) (4) of this section in tabular form. List the vehicle configurations, by model type in the order listed under paragraph (c) (2) of this section, from top to bottom down the left of the

table and list the information categories across the top of the table from left to right in the order specified in paragraph (c) (4) of this section.

(4) (i) Loaded vehicle weight;  
(ii) Inertia weight;  
(iii) Cubic inch displacement of engine;

(iv) Number of engine cylinders;  
(v) Engine code;

(vi) Fuel system (number of carburetor barrels or, if fuel injection is used, so indicate);

(vii) Emission control system;  
(ix) Transmission class;

(x) Number of forward speeds;  
(xi) Existence of overdrive (indicate yes or no);

(xii) Total drive ratio;  
(xiii) Axle ratio;

(xiv) City fuel economy;  
(xv) Highway fuel economy;

(xvi) Combined fuel economy;  
(xvii) Projected sales for the current model year;

(xviii) (A) In the case of passenger automobiles, interior volume index, determined in accordance with Subpart D of 40 CFR Part 600;

(B) In the case of nonpassenger automobiles:

(1) Passenger-carrying volume, and  
(2) Cargo-carrying volume;

(xix) Number of designated seating positions;

(xx) Performance of the function described in § 523.5(a)(5) of this chapter (indicate yes or no);

(xxi) Existence of temporary living quarters (indicate yes or no);

(xxii) Body style;

(xxiii) Approach angle;  
(xxiv) Departure angle;

(xxv) Breakover angle;  
(xxvi) Axle clearance;

(xxvii) Minimum running clearance;  
(xxviii) Existence of 4-wheel drive (indicate yes or no);

(xxix) Features other than those specified in paragraphs (c) (4) (xxiii)-(xxviii) of this section that the manufacturer believes make the automobile capable of off-highway operation;

(xxx) Frontal area;

(xxxi) Road load power at 50 miles per hour, as determined under 40 CFR Part 86;

(xxxii) Optional equipment which the manufacturer is required under 40 CFR Parts 86 and 600 to have on the vehicle configuration for fuel economy testing purposes; and

(xxxiii) Manufacturer's suggested retail price.

(5) For each vehicle configuration for which the manufacturer is required to provide the information specified in paragraph (c) (4) of this section, provide a graph showing a continuous curve of velocity and acceleration versus time for the vehicle configuration when accelerated from a standing start to 60 miles per hour under the conditions and in accordance with the procedures specified in § 575.106(d) of this chapter.

(6) The fuel economy values provided under paragraphs (c) (2) and (4) of this section shall be determined in accordance with § 537.10.

(d) *Automobile technology and sales mix changes.* (1) For each inertia weight class of the manufacturer's automobiles:

(i) Describe the differences between the technology of its automobiles for the current model year and of its automobiles for the immediately preceding model year that result in its automobiles for the current model year having higher fuel economy than its automobiles for the immediately preceding model year.

(ii) Describe any changes that the manufacturer intends to make in technology of its automobiles for the current model year that will improve the fuel economy of those automobiles.

(2) (i) Describe any differences in the sales mixes of the manufacturer's automobiles for the current model year and of the manufacturer's automobiles for the immediately preceding model year that result in its automobiles for the current model year having higher average fuel economy than its automobiles for the immediately preceding model year.

(ii) Describe any changes that the manufacturer intends to make in the sales mix of its automobiles for the current model year that will improve the fuel economy of those automobiles.

(e) *Reduction of (CID) (N/V).* (1) List the model types of the manufacturer's automobiles for the current model year in order of increasing average inertia weight and state for each model type:

(i) The minimum (CID) (N/V) that would provide reasonable levels of driveability, gradeability, and acceleration capability for that model type, and

(ii) The ranges of cubic inch displacement of the engine and of total drive ratio that could be used for the minimum (CID) (N/V) stated under paragraph (e) (1) (i) of this section.

(2) For each minimum (CID) (N/V) provided under paragraph (e) (1) of this section, explain the basis, including any supporting market research data, for selecting that (CID) (N/V) and demonstrate that levels of driveability, gradeability and acceleration capability achievable at lesser (CID) (N/V) values would be unreasonable.

(3) For each model type of the manufacturer's automobiles:

(i) Estimate the fuel economy change that would occur if each automobile in that model type had the minimum (CID) (N/V) stated for that model type under paragraph (e) (1) of this section, and

(ii) Explain the method for estimating the amount of improvement.

(f) *Marketing measures.* (1) Describe and, to the extent possible, quantify the manufacturer's efforts to promote the sales of each of its model types of automobiles for the current model year, listed in order of increasing average inertia weight, including the manufacturer's efforts relating to the following measures:

(i) Advertising,

(ii) Pricing, and

(iii) Incentives offered by the manufacturer to its dealers to sell that model type and to sell each equipment option.

(2) Describe and quantify the extent to which efforts described under paragraph (f) (1) (i)-(iii) of this section have aided and will aid the manufacturer in improving the average fuel economy of its automobiles for the current model year.

(g) *Additional compliance efforts.* In the case of each manufacturer whose average fuel economy projected for the current model year under paragraph (b) (2) of this section or, if an average is not projected under that paragraph, whose average projected under paragraph (b) (1), is less than the applicable average fuel economy standard for the current model year, describe the additional technological improvements, sales mix changes and marketing efforts, other than those described under paragraph (d) (2) of this section, that could be undertaken to achieve compliance with that standard and that would minimize any additional costs to the manufacturer and quantify those additional costs.

(h) *Impact of other Federal standards on fuel economy.* (1) (i) For model year 1978, compare the impact of the standards for each model type in the current model year in the following categories of motor vehicle standards on the fuel economy or on the cost of improving the fuel economy of the manufacturer's automobiles with the impact that the standards for model year 1975 in those categories would have had on that fuel economy or those costs if those model year 1975 standards were the only standards for the current model year in those categories:

(A) Emissions standards under section 202 of the Clean Air Act; 42 U.S.C. 1857f-1, and emission standards applicable under section 209(b) of that Act, 42 U.S.C. 1857f-8a;

(B) Motor vehicle safety standards under the National Traffic and Motor Vehicle Safety Act of 1966, 15 U.S.C. 1381 et seq;

(C) Noise emission standards under section 6 of the Noise Control Act of 1972, 42 U.S.C. 4905; and

(D) Property loss reduction standards under Title I of the Motor Vehicle Information and Cost Savings Act, 15 U.S.C. 1901 et seq.

(ii) For model year 1979 and each model year thereafter, provide the information required by paragraph (h) (1) (i) of this section, except the comparison shall be based on standards for the model year immediately preceding the current model year instead of the standards for model year 1975.

(2) Explain any difference described under paragraph (h) (1) of this section between the impact of the standards in the categories specified in paragraph (h) (1) (i) of this section for the current model year and that of the standards in those categories for model year 1975 or the model year immediately preceding the current model year, as specified in paragraph (h) (1) of this section.

(3) For each impact described under paragraph (h) (1) of this section which could have been lessened by technological means, identify each of those means, indicate the extent to which and man-

ner in which each of those means would have reduced that impact, and explain why each of those means were not used.

(4) For each impact described under paragraph (h) (1) of this section which the manufacturer believes could not have been lessened by technological means, explain the reasons for that belief.

(5) A manufacturer is not required by paragraph (h) (1)-(2) of this section to submit information regarding a category of standards, specified in paragraph (h) (1) of this section, for model year 1978, 1979, or 1980, if that manufacturer has submitted a petition under Part 527 of this chapter claiming that that category of standards for that model year has caused a reduction in the fuel economy achievable in that model year.

(i) *Impacts of efforts to comply with average fuel economy standards on automobile performance.* Describe the effects of the manufacturer's efforts to comply with the applicable average fuel economy standard for the current model year on:

(1) The performance of the manufacturer's automobiles for that model year, and

(2) The cost of complying with the standards for that model year in each category of standards specified in paragraph (h) (1) (i) of this section.

(j) *Material composition.* (1) For each of the raw or finished materials used in the manufacturer's automobiles for the current model year and specified in paragraph (j) (3) of this section, state in tabular form:

(i) The percentage which each of those materials constitutes of the total average weight of those automobiles, and

(ii) The total tonnage of each of those materials used in those automobiles.

(2) List the materials and components in the order specified in paragraph (j) (3) of this section down the left side of the table, divide the table into two vertical columns, and indicate the percent composition in column 1 and the total tonnage used in column 2.

(3) (i) Steel, (A) Alloy steel, (B) aluminumized steel, (C) carbon steel, (D) galvanized steel, (E) stainless steel.

(ii) Iron, (A) cast iron, (B) malleable iron.

(iii) Tires. (iv) Aluminum. (v) Plastics. (vi) Glass. (vii) Batteries. (viii) Copper. (ix) Chromium. (x) Magnesium. (xi) Other raw or finished materials (specify).

(k) *Costs.* (1) (i) For each inertia weight class of the manufacturer's automobiles, state the differences between each component of the manufacturing costs of the manufacturer's automobiles for the current model year and each component of those costs for the manufacturer's automobiles for the model year immediately preceding the current model year that is due to the manufacturer's efforts to comply with the applicable average fuel economy standard for the current model year.

(ii) Explain the reasons for each of the differences stated under paragraph (k) (1) (i) of this section.

(2) Estimate the effect of the portions of those differences due to the compliance efforts specified in paragraph (k) (1) of this section on:

(i) The manufacturer's suggested retail price for its automobiles for the current model year; and

(ii) The retail price of replacement equipment for those automobiles.

(3) Estimate the effect of the manufacturer's compliance efforts specified in paragraph (k) (1) of this section on each component of the life cycle maintenance and operating costs of the manufacturer's automobiles for the current model year.

(1) *Gross income and market share.* (1) For the model year immediately preceding the current model year and for the current model year, state:

(i) The gross income of the manufacturer from the sale of its automobiles in each comparable class of automobiles, as described in 40 CFR Part 600, for each of those model years; and

(ii) The manufacturer's absolute and percentage shares of the market for each comparable class of automobiles, as described in 40 CFR Part 600, for each of those model years.

(2) (1) State the extent to which any differences in the gross income figures or in the market shares stated under paragraph (1) (1) of this section are due to the manufacturer's efforts to comply with the applicable average fuel economy standard for the manufacturer's automobiles for the current model year.

(ii) Explain the basis for stating under paragraph (1) (2) (i) of this section that any difference in gross income or market share are due to the manufacturer's fuel economy compliance efforts.

(m) *Engine-system combinations and fuel systems.* (1) List the model types of the manufacturer's passenger automobiles for the current model year in order of increasing average inertia weight and for each model type describe any differences between the engine-system combinations and fuel systems installed in the manufacturer's passenger automobiles in that model type and those combinations and systems installed in the manufacturer's nonpassenger automobiles in model types which have the same average inertia weight as that model type of passenger automobiles.

(2) For each set of differing combinations or systems described under paragraph (m) (1) of this section:

(i) Identify the combination or system which contributes more to improved fuel economy;

(ii) Explain the reason for and quantify the greater contribution of the combination or system identified under paragraph (m) (2) (i) of this section;

(iii) Estimate the improvement in fuel economy that would occur if the combination or system identified under paragraph (m) (2) (i) of this section were substituted for the combination or system not identified under the paragraph on the manufacturer's automobiles equipped with the unidentified combination or system; and

(iv) Explain the reasons for not having made the substitution described under paragraph (m) (2) (iii) of this section.

§ 537.8 Automobiles for future model years.

(a) (1) After providing the information required by § 537.7, provide the information required by paragraphs (b)-(h) and (i) (2)-(q) of this section for the manufacturer's passenger automobiles for each future model year.

(2) After providing the information required by paragraph (a) (1) of this section, provide the information required by paragraphs (b)-(h) and (j)-(q) of this section for each class, as specified in part 533 of this chapter, of the manufacturer's nonpassenger automobiles for each future model year.

(3) After providing the information required by paragraph (a) (2) of this section, provide the information required by paragraph (i) (1) of this section for the manufacturer's passenger automobiles and nonpassenger automobiles for each future model year.

(b) *Projected average fuel economy.* (1) For each future model year, provide in tabular form the information specified in paragraph (b) (2) of this section about the manufacturer's automobiles for that model year based on the information provided by the manufacturer under and the assumptions specified in § 537.8 (b). Average fuel economy values shall be determined in accordance with § 537.10. List each future model year down the left side of the table in chronological order and list the information categories across the top of the table from left to right in the order specified in paragraph (b) (2) of this section.

(2) (i) Average loaded vehicle weight.

(ii) Average HP/IWT.

(iii) Average axle ratio.

(iv) Projected total sales of the manufacturer's automobiles.

(v) Average fuel economy of the manufacturer's automobiles for that model year subject to the Federal emissions standards under section 202 of the Clean Air Act, 42 U.S.C. 1857-1.

(vi) Average fuel economy of the manufacturer's automobiles for that model year subject to the California emissions standards applicable by reason of section 209(b) of the Clean Air Act, 42 U.S.C. 1857f-6a.

(vii) Average fuel economy of the manufacturer's automobiles for that model year subject to either the Federal or California emissions standards.

(c) *Model type fuel economy and technical information.* (1) For each future model year and for each model type of the manufacturer's automobiles for that model year, provide in the form specified in § 537.7(c) (1):

(i) The information specified in § 537.7(c) (2); and (ii) The following information: (A) Average inertia weight; (B) Average cubic inch displacement of engine; and (C) Average total drive ratio.

(iii) If the information provided under paragraph (c) (1) (i) of this section for a particular model type is a different value than the information provided in

§ 537.7(c) (2) for the same model type explain the reasons for the difference.

(2) (i) In providing the information required by paragraph (c) (1) (i) of this section, use the Federal and California emissions standards, if known, applicable to the future model years.

(ii) If any Federal emissions standard for a future model year is not known, assume that the standard is the same as the Federal emissions standard for the most recent model year for which the Federal standard is known.

(iii) If any California emissions standard for a future model year is not known, assume that the standard is the same as the Federal emissions standard known or assumed, a appropriate, for that model year, or as the California standard for the most recent model year for which the California standard is known, whichever standard is more stringent.

(3) The fuel economy values provided under paragraph (c) (1) of this section shall be determined in accordance with § 537.10.

(d) *Current fuel economy technology.*

(1) Describe each item of current technology and each current technological means available to the manufacturer for improving automobile fuel economy whose use the manufacturer's plans to introduce or expand in its automobiles for any future model year.

(2) For each item of technology or means described under paragraph (d) (1) of this section and for each future model year:

(i) State the fuel economy improvement that would result from installing that item or using that means in the manufacturer's automobiles for that model year and the basis for determining the stated improvement.

(ii) (A) Describe the manufacturer's plans, including production lead time schedules, for introducing or expanding the use of that item or means in the manufacturer's automobiles for that model year, the anticipated obstacles to implementing those plans, and the plans for overcoming those obstacles.

(B) In the case of a manufacturer which is not planning to introduce or expand the use of that item or means so that it will be incorporated in all of his automobiles by the last future model year, explain the reasons for not planning to do so.

(iii) State the capital requirements and other costs for incorporating that item or means in the manufacturer's automobiles for that model year.

(iv) Estimate the effect of incorporating that item or means in the manufacturer's automobiles for that model year on:

(A) The performance of those automobiles, except to the extent that the information is provided under paragraph (m) of this section.

(B) The manufacturer's average suggested retail price for those automobiles on the elements of fixed and variable costs leading to any increases in that price.



(C) The retail price of replacement equipment for those automobiles.

(D) The maintenance and operating costs of those automobiles.

(3) Describe each item of current technology and each current technological means available to the manufacturer for improving automobile fuel economy which the manufacturer considered but for which the manufacturer does not have any plans for introducing or expanding the use of that item or means in the manufacturer's automobiles by the last future model year and explain why use of that item or means will not be introduced or expanded by that model year.

(e) *Future fuel economy technology.*

(1) (i) Describe each of the manufacturer's ongoing or planned future technology projects that may aid in improving the fuel economy of the manufacturer's automobiles and the goals of each project, including the technology that the project is intended to refine or develop.

(ii) State the reason for undertaking or planning each project described under paragraph (e)(1)(i) of this section, including an indication whether the project is based upon one or more of the categories of standards specified in § 537.7(h)(1)(i).

(iii) State the potential fuel economy improvement obtainable from each project described under paragraph (e)(1)(i) of this section and the basis for determining the stated improvement.

(iv) State the highlights, including key developmental stages, of the schedule for completing each project described under paragraph (e)(1)(i) of this section.

(v) State the anticipated obstacles to adhering to the schedule for and to achieving the fuel economy improvement objectives of each project described under paragraph (e)(1)(i) of this section, including each project's relationship to or dependency on other projects of the manufacturer described under paragraph (e)(1)(i) of this section.

(vi) Describe the manufacturer's plans for overcoming each obstacle described under paragraph (e)(1)(v) of this section.

(vii) State each component of the fixed and variable costs of completing each project described under paragraph (e)(1)(i) of this section.

(viii) For each item of technology described under paragraph (e)(1)(i) of this section:

(A) State the first model year in which that item will be available for incorporation in the manufacturer's automobiles.

(B) Describe the plans, including the production lead time schedules, for incorporating that item in the manufacturer's automobiles, the anticipated obstacles to implementing those plans and the plans for overcoming those obstacles.

(ix) State the capital requirements and other costs for incorporating each item of technology described under

paragraph (e)(1)(i) of this section in the manufacturer's automobiles.

(x) For each item of technology described under paragraph (e)(1)(i) of this section and for each future model year, estimate the effect of implementing the plans described for that item under paragraph (e)(1)(viii)(B) on:

(A) The performance of those automobiles, except to the extent that the information is provided under paragraph (m) of this section.

(B) The manufacturer's average suggested retail price for those automobiles and on each component of fixed and variable costs leading to any increases in that price.

(C) Retail price of replacement equipment for those automobiles.

(D) The maintenance and operating costs of those automobiles. State the labor and material costs separately.

(2) (i) Describe each item of technological capable of improving the fuel economy of the manufacturer's automobiles that the manufacturer considered and then rejected as a subject of its research and development activity.

(ii) State the potential fuel economy improvement obtainable from each item of technology described under paragraph (e)(2)(i) of this section.

(iii) Explain the reasons for rejecting each item of technology described under paragraph (e)(2)(i) of this section.

(3) (i) Describe each item of known future technology being developed by a developer, other than an automobile manufacturer, of automobile technology that will improve automobile fuel economy, that is likely to occur during the future model years, and that will be available for use by the manufacturer submitting information under this paragraph in automobiles by the last future model year.

(ii) State the potential fuel economy improvement obtainable from each item of technology described under paragraph (e)(3)(i) of this section.

(4) Describe the alternative plans for future fuel economy technology, including schedules, costs, and capital requirements, that can be developed and implemented by the manufacturer if the items of technology described under paragraphs (e)(1) and (3) of this section either do not occur as scheduled or yield less than the anticipated fuel economy improvements.

(f) *Automobile technology and sales mix changes.* (1) For each future model year and for each inertia weight class of the manufacturer's automobiles for that future model year:

(i) Describe the differences between the technology of its automobiles for that future model year and of its automobiles for the immediately preceding model year that will result in its automobiles for that future model year having higher fuel economy than its automobiles for the immediately preceding model year.

(ii) Describe any difference in the sales mixes of the manufacturer's automobiles for that future model year and of the manufacturer's automobiles for the immediately preceding model year

that will result in its automobiles for that future model year having higher average fuel economy than its automobiles for the immediately preceding model year.

(g) *Weight reduction.* (1) For each inertia weight class of the manufacturer's automobiles for the current model year, state how much the weight of those automobiles could be reduced by the last future model year without reducing their number of designated seating positions and, in the case of passenger automobiles, their luggage capacity or cargo volume index, as appropriate, determined in accordance with Subpart D of 40 CFR Part 600, or, in the case of nonpassenger automobiles, their cargo carrying volume.

(2) Explain how the weight reductions described under paragraph (g)(1) of this section would be achieved and why a greater reduction could not be achieved.

(h) *Reduction of (CID) (N/V).* For each future model year:

(1) State whether the minimum (CID) (N/V) value provided in § 537.7(e)(1) for each model type will be the minimum (CID) (N/V) that would provide reasonable levels of driveability, gradeability, and acceleration capability in that future model year. If not, state the minimum (CID) (N/V) that will provide those levels, and explain the reasons for the changes in those levels.

(2) State the proportion of the automobiles for that future model year in each model type that will be equipped with the minimum (CID) (N/V) for that model type and explain why a higher proportion will not be achieved.

(i) *Technological differences between automobiles.* (1) For each model type of the manufacturer's passenger automobiles for any future model year whose equivalent inertia weight, as determined by its average loaded vehicle weight, is the same as the equivalent inertia weight of a model type of the manufacturer's nonpassenger automobiles for the same model year, as determined by its average loaded vehicle weight, and whose combined fuel economy differs by more than one-half per gallon from the combined fuel economy of that model type of nonpassenger automobile, state the reasons, including any technological and economic ones, for the manufacturer's not designing and equipping the model type with the lowest combined fuel economy so that its combined fuel economy will equal that of the model type with the highest combined fuel economy.

(2) (i) For each future model year, provide the information specified in § 537.7(c)(4) in the form specified in § 537.7(c)(3) for the vehicle configuration having the highest anticipated combined fuel economy in each of the following groups of the manufacturer's automobiles for that model year:

(A) Passenger automobiles, other than station wagons, with four designated seating positions.

(B) Passenger automobile station wagons with four designated seating positions.

(C) Passenger automobiles, other than station wagons, with five designated seating positions.

(D) Passenger automobile station wagons with five designated seating positions.

(E) Passenger automobiles, other than station wagons, with six designated seating positions.

(F) Passenger automobile station wagons with six designated seating positions.

(i) For each vehicle configuration described under paragraph (i)(2)(i) of this section, explain why the manufacturer's passenger automobile vehicle configurations with the same number of designated seating positions will not be designed and equipped to achieve the same combined fuel economy as that described vehicle configuration.

(j) *Marketing measures.* (1) For each future model year, describe how the manufacturer's marketing measures described under § 537.7(f) for the current model year could be changed in that future model year to improve the average fuel economy of the manufacturer's automobiles for that model year.

(2) For each of the first two future model years:

(i) Describe how the manufacturer's marketing efforts for that model year will differ from its marketing measures described under § 537.7(f) for the current model year and explain the reasons for the differences.

(ii) Explain the reason for not planning to make any marketing measure changes that are described under paragraph (j)(1) of this section, but not under paragraph (j)(2)(i) of this section.

(k) *Additional compliance efforts.* For each future model year, in the case of each manufacturer whose average fuel economy projected for that model year under paragraph (b) of this section is less than the applicable average fuel economy standard for that model year, describe the additional technological improvements, sales mix changes and marketing efforts that could be undertaken to achieve compliance with that standard and that would minimize any additional costs to the manufacturer and quantify those additional costs.

(l) *Impact of other Federal automobile standards on fuel economy.* For each future model year:

(1) Compare the impact of the standards for that model year in the categories of standards specified in § 537.7(h)(1)(i) on the fuel economy or on the cost of improving fuel economy of the manufacturer's automobiles for that model year with the impact that the standards for the immediately preceding model year in those categories would have had on that fuel economy or those costs if those standards for that immediately preceding model year were the only standards for that future model year in those categories.

(2) Explain any differences between the impact described under paragraph (l)(1) of this section of the standards for that model year and that described under paragraph (l)(1) of this section of the standards for the immediately preceding model year.

(4) For each impact which is described under paragraph (l)(1) of this section and which could be lessened by technological means:

(i) Identify each of those means, (ii) State the extent to which and manner in which each of those means would have reduced that impact, and (iii) Explain why each of those means were not used.

(5) For each impact which the manufacturer believes could not be lessened by technological means, explain the reasons for that belief.

(m) *Impacts of efforts to comply with average fuel economy standards on automobile performance.* For each future model year for which there is an applicable average fuel economy standard, describe the effects of the manufacturer's efforts to comply with that standard on:

(1) The performance of the manufacturer's automobiles for that model year, and

(2) The cost of complying with the standards for that model year in each category of standards specified in § 537.7(h)(1)(i).

(n) *Availability of capital.* (1) For each future model year for which there is an applicable average fuel economy standard, state whether the manufacturer will be able to obtain sufficient capital to achieve the average fuel economy it projected for that model year under paragraph (b)(2)(vii) of this section or the applicable average fuel economy standard for that model year, whichever is higher.

(2) In the case of a manufacturer that states under paragraph (h)(1) of this section that there will be an insufficiency of capital, demonstrate that sufficient existing or anticipated capital cannot be allocated to fuel economy improvement purposes and that sufficient additional capital cannot be obtained.

(o) *Costs.* For each future model year for which there is an applicable average fuel economy standard:

(1) State, for each inertia weight class of the manufacturer's automobiles, the difference between each component of the manufacturing costs for the manufacturer's automobiles for that future model year and each component of those costs for the manufacturer's automobiles for the model year immediately preceding that future model year that is due to the manufacturer's efforts to comply with the applicable average fuel economy standard for that future model year.

(2) Explain the reasons for each difference stated under paragraph (o)(1)(i) of this section.

(p) *Shifts in consumer demand.* (1) For each future model year for which there is an applicable average fuel economy standard, describe and, to the extent possible, quantify the shifts which that standard may cause in consumer demand between automobiles of different sizes, styles, and performance capabilities and between the manufacturer's automobiles and automobiles of other manufacturers.

(2) Explain the basis, including any market research data, for determining

any shifts under paragraph (p)(1) of this section.

(q) *Gross income and market share.* (1) For each future model year, estimate:

(i) The gross income of the manufacturer from the sale of its automobiles in each comparable class of automobiles, as described in 40 CFR Part 600, for that model year.

(ii) The manufacturer's absolute and percentage shares of the market for each comparable class of automobiles, as described in 40 CFR Part 600, for that model year.

(2) (i) State the extent to which any differences in the gross income figures or in the market shares estimated under paragraph (q)(1) of this section for any two consecutive model years for which there are applicable average fuel economy standards are due to the manufacturer's efforts to comply with the applicable average fuel economy standard for the manufacturer's automobiles for the second of those two model years.

(ii) Explain the basis for stating under paragraph (q)(2)(i) of this section that any differences in gross income or market share are due to the manufacturer's fuel economy compliance efforts.

#### § 537.9 Supplementary reports.

(a)(1) Except as provided in paragraph (d) of this section, each manufacturer whose projected average fuel economy has changed to the extent described in paragraph (a)(1)(i), (ii), or (iii) of this section shall file a supplementary report containing the information specified in paragraph (b)(1) or (2), as appropriate, of this section.

(i) The average fuel economy projected by the manufacturer for its passenger automobiles or nonpassenger automobiles for the current model year or the first future model year has decreased from the projected average fuel economy most recently reported for those automobiles by the manufacturer under this part by 0.1 mile per gallon or more to a projected average less than 0.4 miles per gallon above the applicable average fuel economy standard for those automobiles.

(ii) The average fuel economy projected by the manufacturer for its passenger automobiles or nonpassenger automobiles for the second or third future model year has increased or decreased by 0.3 miles per gallon or more compared to the projected average fuel economy most recently reported for those automobiles by the manufacturer under this part, or

(iii) The average fuel economy projected by the manufacturer for its passenger automobiles or nonpassenger automobiles for any future model year other than those specified in paragraph (a)(1)(i) or (ii) of this section has increased or decreased by 0.5 miles per gallon or more compared to the projected average fuel economy most recently reported for those automobiles by the manufacturer under this part.

(2) Except as provided in paragraph (d) of this section, each manufacturer

whose previously submitted statements under § 537.7(b)(2), this section, or both, relating to the representativeness of its projected average fuel economy, additional data needed, or its plans for developing additional data for its passenger automobiles or nonpassenger automobiles for the current model year have become partially or wholly inaccurate or incomplete, shall file a supplementary report containing the information specified in paragraph (b)(3) of this section.

(b)(1) The supplementary report required by paragraph (a)(1)(i) of this section must contain:

(i) Such revisions of the information previously submitted by the manufacturer under this part regarding the automobiles for the current model year or first future model year whose average fuel economy has decreased to the extent specified in paragraph (a)(1)(i) of this section as are necessary to explain the effects of the decrease and its causes on the previously submitted information.

(ii) An explanation of the decrease in average fuel economy that led to the manufacturer's having to submit the supplementary report required by paragraph (a)(1)(i) of this section.

(2) The supplementary report required by paragraph (a)(1)(ii) or (iii) of this section must contain:

(i) Such revisions of the information previously submitted by the manufacturer under this part regarding the automobiles for the second or any subsequent future model years whose average fuel economy has increased or decreased to the extent specified in paragraph (a)(1)(ii) or (iii) of this section as are necessary to explain the effects of the increase or decrease and its causes on the previously submitted information.

(ii) An explanation of the increase or decrease in average fuel economy that led to the manufacturer's having to submit the supplementary report required by paragraph (a)(1)(ii) or (iii) of this section.

(3) The supplementary report required by paragraph (a)(2) of this section must contain:

(i) Such revisions of the information previously submitted by the manufacturer under § 537.7(b)(2), this section, or both, regarding the manufacturer's passenger automobiles or nonpassenger automobiles, as appropriate, for the current model year as are necessary to correct any inaccuracy or eliminate any incompleteness in that previously submitted information.

(ii) An explanation of the inaccuracy or incompleteness that led to the manufacturer's having to submit the report.

(c) Each report required by this section must be submitted in accordance with § 537.5(c) and not more than 30 days from the date that the manufacturer determined or could have, with reasonable diligence, determined that

the report is required under paragraph (a) of this section.

(d)(1) A supplementary report regarding a manufacturer's automobiles for the current model year is not required to be submitted by the manufacturer under paragraph (a)(1)(i) or (2) of this section:

(i) With respect to information submitted under this part before the most recent semi-annual report submitted by the manufacturer under this part, or

(ii) When the date specified in paragraph (c) of this section occurs:

(A) During the 60-day period immediately preceding the last day on which the next mid-model year report is required to be submitted by the manufacturer under this part, or

(B) After the last day on which the next pre-model year report is required to be submitted by the manufacturer under this part.

(2) A supplementary report regarding a manufacturer's automobiles for any future model year is not required to be submitted by the manufacturer under paragraph (a) of this section:

(i) With respect to information submitted by the manufacturer under this part before the most recent pre-model year report submitted by the manufacturer under this part, or

(ii) When the date specified in paragraph (c) of this section occurs during the 60-day period immediately preceding the last day on which the next pre-model year report is required to be submitted by the manufacturer under this part.

§ 537.10 Determination of fuel economy values and average fuel economy.

(a) *Vehicle configuration fuel economy values.* For each vehicle configuration for which a fuel economy value is required under paragraph (c) of this section and for which a fuel economy value has been determined and approved under 40 CFR Part 600, the manufacturer shall submit that fuel economy value. For each vehicle configuration for which such a value does not exist, the manufacturer shall submit a fuel economy value based on tests or analyses comparable to those prescribed or permitted under 40 CFR Part 600 and a description of the test procedures or analytical methods.

(b) *Base level and model type fuel economy values.* For each base level and model type, the manufacturer shall submit a fuel economy value based on the values determined in accordance with paragraph (a) of this section and calculated in the same manner as base level and model type fuel economy values are calculated for use under Subpart F of 40 CFR Part 600.

(c) *Average fuel economy.* Average fuel economy shall be based upon fuel economy values calculated under paragraph

(b) of this section for each model type and shall be calculated in accordance with 40 CFR 600.506, using the configurations specified in 40 CFR 600.506(a)(2), except that fuel economy values for running changes implemented and for base levels added are required only for those changes or additions made before the manufacturer's submission of a report under this part.

§ 537.11 Incorporation by reference.

(a) A manufacturer may incorporate by reference in a report required by this part any document other than a report, petition, or application, or portion thereof submitted to any Federal department or agency more than two model years before the current model year.

(b) A manufacturer that incorporates by reference a document in a report required by this part shall append that document to the report.

§ 537.12 Public inspection of information.

Except as provided in § 537.13, any person may inspect the information and data submitted by a manufacturer under this part in the docket section of the National Highway Traffic Safety Administration. Any person may obtain copies of the information available for inspection under this section in accordance with the regulations of the Secretary of Transportation in Part 7 of this title.

§ 537.13 Confidential information.

(a) Information made available under § 537.12 for public inspection does not include information for which confidentiality is requested under § 537.5(c)(7), is granted in accordance with section 505 of the Act and section 552(b) of Title 5 of the United States Code and is not subsequently released under paragraph (c) of this section in accordance with section 505 of the Act.

(b) *Denial of confidential treatment.* When the Administrator denies a manufacturer's request under § 537.5(c)(7) for confidential treatment of information, the Administrator gives the manufacturer written notice of the denial and reasons for it. Public disclosure of the information is not made until after the ten-day period immediately following the giving of the notice.

(c) *Release of confidential information.* After giving written notice to a manufacturer and allowing ten days for the manufacturer to respond, the Administrator may make available for public inspection any information submitted under this part that is relevant to a proceeding under the Act, including information that was granted confidential treatment by the Administrator pursuant to a request by the manufacturer under § 537.5(c)(7).

[FR Doc. 77-10597 Filed 4-7-77; 11:25 am]

# notices

This section of the FEDERAL REGISTER contains documents other than rules or proposed rules that are applicable to the public. Notices of hearings and investigations, committee meetings, agency decisions and rulings, delegations of authority, filing of petitions and applications and agency statements of organization and functions are examples of documents appearing in this section.

## DEPARTMENT OF AGRICULTURE

### Commodity Credit Corporation

[Amdt. 4]

#### LOAN PROGRAMS—1973 AND SUBSEQUENT CROP PRICE SUPPORT PROGRAMS AND FARM STORAGE AND DRYING EQUIPMENT LOAN PROGRAM

##### Announcement of Interest Rate

The revised announcement by Commodity Credit Corporation published on page 35403 of the FEDERAL REGISTER of October 1, 1974, as amended in the issue of March 31, 1975, at page 14347, in the issue of October 1, 1975, at page 45211, and in the issue of April 1, 1976, at page 13971, of the rate of interest applicable to price support programs on 1973 and subsequent crops or production and to financing the purchase or construction of farm storage facilities and drying equipment is hereby amended to announce the interest rate on such loans effective April 1, 1977.

Paragraph A1(c) of the announcement is amended to read as follows:

(c) For 1974 crops, for which applications are received on or after October 1, 1974, loans shall bear interest at the per annum rate of 9.375 percent from the date of disbursement through March 31, 1975, at the per annum rate of 6.125 percent from April 1, 1975, through September 30, 1975, at the per annum rate of 7.500 percent from October 1, 1975, through March 31, 1977, and at the per annum rate of 6.000 percent from April 1, 1977, until date of repayment.

Paragraph A1(d) is amended to read as follows:

(d) For 1975 crops, loans shall bear interest at the per annum rate of 6.125 percent from the date of disbursement through September 30, 1975, at the per annum rate of 7.500 percent from October 1, 1975, through March 31, 1977, and at the per annum rate of 6.000 percent from April 1, 1977, until date of repayment.

Paragraph A1(e) is amended to read as follows:

(e) For 1976 crops, loans shall bear interest at the per annum rate of 7.500 percent from the date of disbursement through March 31, 1977, and at the per annum rate of 6.000 percent from April 1, 1977, until the end of the initial loan period.

Paragraph A1(f) is added to read as follows:

(f) For 1977 crops, loans shall bear interest at the per annum rate of 6.000 percent from the date of disbursement until the end of the initial loan period.

Paragraph B is amended to read as follows:

#### B. FARM STORAGE AND DRYING EQUIPMENT LOAN PROGRAM

Loans made for the purchase, construction, erection, or installation of farm storage facilities or drying equipment shall bear interest as follows: Loans disbursed by CCC prior to April 1, 1977, on applications filed on or after October 1, 1974, shall bear interest at the per annum rate of 9.375 percent from the date of disbursement through March 31, 1975, at the per annum rate of 6.125 percent from April 1, 1975, through September 30, 1975, at the per annum rate of 7.500 percent from October 1, 1975, through March 31, 1977, and at the per annum rate of 7.000 percent from April 1, 1977, until date of repayment.

(Secs. 4 and 5, 62 Stat. 1070, as amended (15 U.S.C. 714 b and c); sec. 401 (a) and (b), 63 Stat. 1051, as amended (7 U.S.C. 1421 (a) and (b)).)

Signed at Washington, D.C. on April 4, 1977.

VICTOR A. SENECHAL,  
Acting Executive Vice President,  
Commodity Credit Corporation.

[FR Doc.77-10521 Filed 4-8-77;8:45 am]

### Forest Service

#### UNION COUNTY GRAZING ADVISORY BOARD

##### Meeting

The Union County Grazing Advisory Board will meet Monday, May 16, 1977, at 7:30 p.m. in the First National Bank Building, Clayton, New Mexico.

The purpose of this meeting is Election of Board Officers and organization of the board, followed by discussion of problems or matters of common interest to the grazing permittees of the Kiowa National Grasslands.

The meeting will be open to the public. Persons who wish to attend should notify District Ranger Alton Bryant through telephone number 374-9652, or at 16 North Second Street, Clayton, New Mexico 88415. Written statements may be filed with the board before or after the meeting.

KEITH T. PFEFFERLE,  
Forest Supervisor.

MARCH 25, 1977.

[FR Doc.77-10540 Filed 4-8-77;8:45 am]

### CIVIL AERONAUTICS BOARD

[Docket 30679]

#### ATLANTA-DAYTONA BEACH/SARASOTA-BRADENTON NONSTOP PROCEEDING

##### Prehearing Conference

Notice is hereby given that a prehearing conference in the above-entitled proceeding is assigned to be held on May 24, 1977, at 9:30 a.m. (local time), in Room 1003, Hearing Room A, Uni-

versal North Building, 1875 Connecticut Ave., N.W., Washington, D.C., before Administrative Law Judge Richard V. Backley.

In order to facilitate the conduct of the conference, parties are instructed to submit one copy to each party and six copies to the Judge of (1) proposed statements of issues; (2) proposed stipulations; (3) proposed requests for information and for evidence; (4) statements of positions; and (5) proposed procedural dates. The Bureau of Operating Rights will circulate its material on or before May 6, 1977, and the other parties on or before May 16, 1977. The submissions of the other parties shall be limited to points on which they differ with the Bureau, and shall follow the numbering and lettering used by the Bureau to facilitate cross-referencing.

Dated at Washington, D.C., April 4, 1977.

HENRY M. SWITKAY,  
Acting Chief  
Administrative Law Judge.

[FR Doc.77-10532 Filed 4-8-77;8:45 am]

### LONG-HAUL MOTOR CARRIER

#### Application for Air Freight Forwarder Authority

Notice is hereby given, pursuant to § 296.84 of the Board's Economic Regulations (14 CFR 296.84), that an application for air freight forwarder operating authority has been filed by:

Trailways Express, Inc., 1500 Jackson Street, Dallas, Texas 75201.

The above-named applicant is a long-haul motor carrier as defined by § 296.1 of the Board's Regulations.

Objections to this application may be filed with the Civil Aeronautics Board, Supplementary Services Division, pursuant to § 296.85 of the Board's Regulations, within thirty (30) days of the publication of this notice.

Dated at Washington, D.C., April 4, 1977.

JOHN V. COLEMAN,  
Chief, Supplementary Services  
Division, Bureau of Operating  
Rights.

[FR Doc.77-10531 Filed 4-8-77;8:45 am]

### DEPARTMENT OF COMMERCE

#### National Oceanic and Atmospheric Administration

##### PUBLIC DISPLAY PERMIT

##### Receipt of Application

Notice is hereby given that the following applicant has applied in due form

for a Permit to take marine mammals for public display as authorized by the Marine Mammal Protection Act of 1972 (16 U.S.C. 1361-1407); and the Regulations Governing the Taking and Importing of Marine Mammals (50 CFR Part 216).

Como Park Zoo, City of St. Paul, Hamline and Midway Parkway, St. Paul, Minnesota 55103 to take eight (8) California sea lions (*Zalophus californianus*) for public display.

The Applicant states that he would take beached and stranded animals off the California beaches, if obtainable, otherwise, the animals would be captured by a professional collector on or near Santa Cruz or San Miguel Islands off Santa Barbara, California, with a hoop net on land or with a modified gill net in the water.

The animals will be acclimated at the collector's facility than shipped to the St. Paul facility by commercial aircraft and truck.

At the facility, the animals will be displayed in a pool 22 feet by 21 feet by 8 feet deep. The display also includes a show area and a moat area with an island haul-out area.

In addition, there are 4 indoor display and holding tanks ranging from 4 feet by 6 feet to 10 feet by 13 feet with depths from 2½ feet to 4 feet.

The sea lions are desired to provide recreational and educational benefits to the estimated 800,000 visitors that visit the facility annually.

The facility is not operated for profit. The Zoo Director has worked with various classes of marine mammals over thirty years, and his staff has over fifteen years experience.

The arrangements and facilities for transporting and maintaining the marine mammals requested in the above described application have been inspected by a licensed veterinarian, who has certified that such arrangements and facilities are adequate to provide for the well-being of the marine mammals involved.

Documents submitted in connection with the above application are available for review in the following offices:

Director, National Marine Fisheries Service, 3300 Whitehaven Street, NW., Washington, D.C.;

Regional Director, National Marine Fisheries Service, Southwest Region, 300 South Perry Street, Terminal Island, California 90731; and

Regional Director, National Marine Fisheries Service, Southeast Region, Federal Building, 14 Elm Street, Gloucester, Massachusetts 01930.

Concurrent with the publication of this notice in the FEDERAL REGISTER, the Secretary of Commerce is forwarding copies of this application to the Marine Mammal Commission and the Committee of Scientific Advisors.

Written data or views, or requests for a public hearing on this application should be submitted to the Director, National Marine Fisheries Service, Department of Commerce, Washington, D.C. 20235, within 30 days of the publication

of this notice. Those individuals requesting a hearing should set forth the specific reasons why a hearing on this particular application would be appropriate. The holding of such hearing is at the discretion of the Director.

All statements and opinions contained in this notice in support of this application are summaries of those of the Applicant and do not necessarily reflect the views of the National Marine Fisheries Service.

Dated: April 4, 1977.

ROBERT J. AYERS,  
Acting Assistant Director for  
Fisheries Management, National  
Marine Fisheries Service.

[FR Doc.77-10402 Filed 4-8-77;8:45 am]

#### National Oceanic and Atmospheric Administration

#### SECRETARY OF COMMERCE'S ANNUAL REPORT ON FISHERY MANAGEMENT PLANS AND CONSERVATION ACTIVITIES

#### Corrected Notice of the Secretary's Report

Notice is hereby given of a correction in the Secretary of Commerce's Annual Report on Fishery Management Plans and Conservation Activities which appeared in the FEDERAL REGISTER on March 14, 1977, Part I, Volume 42, Number 49. The membership list of the Pacific Fishery Management Council should be corrected as follows:

#### VOTING MEMBERS

James A. Crutchfield, Department of Economics, University of Washington, Seattle, Washington.

George J. Easley, Commercial Fisherman, Coss Bay, Oregon.

Joseph C. Greenley, Idaho Department of Fish and Game, Boise, Idaho.

Donald R. Johnson, Director, Northwest Region, National Marine Fisheries Service, Seattle, Washington.

Herman J. McDevitt, McDevitt, McDevitt and Meyers, Pocatello, Idaho.

Donald W. Moos, Department of Fisheries, Olympia, Washington.

Vernon J. Smith, Building Operations Division, General Services Agency, San Jose, California.

John R. Donaldson, Oregon Department of Fish and Wildlife, Portland, Oregon.

E. Charles Fullerton, Department of Fish and Game, Sacramento, California.

Gilbert A. Hunter, Eureka Fisheries, Inc., Fields Landings, California.

John A. Martinis, Member, Washington House of Representatives, Everett, Washington.

John W. McKean, Oregon Fish and Wildlife Department (Retired), Portland, Oregon.

John J. Royal, Fishermen and Allied Workers' Union, San Pedro, California.

#### NON-VOTING MEMBERS

Kathryn Clark-Bourne, Office of Fisheries, Department of State, Washington, D.C.

John P. Harville, Pacific Marine Fisheries Commission, Portland, Oregon.

William T. Davoren, U.S. Fish and Wildlife Service, San Francisco, California.

Charles H. Mescham, Office of the Governor, Juneau, Alaska.

Vice Admiral A. C. Wagner, USCG, Commander, Pacific Area, San Francisco, California.

Dated: April 5, 1977.

WINFRED H. MEIBOHM,  
Associate Director, National  
Marine Fisheries Service.

[FR Doc.77-10541 Filed 4-8-77;8:45 am]

#### COMMITTEE FOR PURCHASE FROM THE BLIND AND OTHER SEVERELY HANDICAPPED

#### PROCUREMENT LIST 1977

#### Proposed Additions

AGENCY: Committee for Purchase from the Blind and Other Severely Handicapped.

ACTION: Proposed Additions to Procurement List.

SUMMARY: The Committee has received a proposal to add to Procurement List 1977 commodities and a service to be produced/provided by workshops for the blind or other severely handicapped.

COMMENTS MUST BE RECEIVED ON OR BEFORE: May 11, 1977.

ADDRESS: Committee for Purchase from the Blind and Other Severely Handicapped, 2009 14th Street North, Suite 610, Arlington, Virginia 22201.

FOR FURTHER INFORMATION CONTACT:

C. W. Fletcher, 703-557-1145.

SUPPLEMENTARY INFORMATION: This notice is published pursuant to 41 U.S.C. 47(a)(2), 85 Stat. 77.

If the Committee approves the proposed additions, all entities of the Government will be required to procure the commodities and service listed below from workshops for the blind or other severely handicapped.

It is proposed to add the following commodities and service to Procurement List 1977, November 18, 1976 (41 FR 50975):

#### Class 6230

Desk Lamps, 6230-00-299-7771 (GSA Region 1 only), 6230-00-682-3423 (GSA Region 1 and Shelby Ohio Depot only).

#### Stc 7349

Janitorial/Custodial Service, Federal Building, 400 S. Clinton, Iowa City, Iowa.

C. W. FLETCHER,  
Executive Director.

[FR Doc.77-10639 Filed 4-8-77;8:45 am]

#### ENERGY RESEARCH AND DEVELOPMENT ADMINISTRATION STUDY GROUP ON GLOBAL ENVIRONMENTAL EFFECTS OF CARBON DIOXIDE

#### Change of Meeting Date

APRIL 3, 1977.

The meeting of the Study Group on Global Environmental Effects of Carbon Dioxide scheduled for April 30, 1977, as published in the FEDERAL REGISTER on April 1, 1977, FR Doc. No. 77-9906, page

17515, has been postponed until May 21, 1977. The time and place of the meeting will remain the same—9:45 a.m. to 4 p.m., Delta Airport Group Room 7, Atlanta Georgia Airport.

HARRY L. PEEBLES,  
Deputy Advisory Committee  
Management Officer.

[FR Doc. 77-10714 Filed 4-8-77; 8:45 am]

**GENERAL ADVISORY COMMITTEE  
Meeting**

APRIL 7, 1977.

Pursuant to provisions of the Federal Advisory Committee Act (Public Law 92-463, 86 Stat. 770) in accordance with the purpose of Section 26 of the Atomic Energy Act of 1954, as amended (42 U.S.C. 2036) and Section 104(d) of the Energy Reorganization Act of 1974 (42 U.S.C. 5814), notice is hereby given that the General Advisory Committee will hold a meeting on April 27-29 at Los Alamos and Albuquerque, New Mexico. The following constitutes that portion of the Committee's agenda for the above meeting which will be open to the public. The open sessions on April 27 will be held in the "P" Decision Auditorium at Los Alamos Scientific Laboratory. Members of the public requiring directions may call telephone no. 867-4444 in Los Alamos.

**APRIL 27—LOS ALAMOS SCIENTIFIC  
LABORATORY, LOS ALAMOS, N. MEX.**

- 8:15-9 a.m.—Overview of Los Alamos Scientific Laboratory Programs.
- 9-11 a.m.—Briefings on LASL's Energy Programs.
- 11-12 noon—Briefing on the President's April 20 Energy Message.
- 1:30-2 p.m.—Briefing on Los Alamos Meson Production Facility (LAMPF) Program.
- 2-3 p.m.—Briefing on LASL's Biomedical and Environmental Programs.

In addition to the above agenda items, the Committee will meet in closed session with field staff and in sessions not open to the public under the authority of Section 10(d) of Pub. L. 92-463 as follows:

**APRIL 27**

- 3 p.m.—5:30 p.m.—*Solar Study Proposals*. The GAC will review proposals received in response to RFP No. EX-77-R-10-00017 (Exemptions (4) and (6) of 5 U.S.C. 552b(c)).

**APRIL 28**

- 8:15 a.m.—2 p.m.—*LASL's Weapons Program*. (Exemptions 1 and 3 of 5 U.S.C. 552b(c).)
- 2 p.m.—5:30 p.m.—*Solar Study Proposals*. (Exemptions (4) and (6) of 5 U.S.C. 552b(c).)

**APRIL 29**

- 9:30 a.m.—12 noon—*Sandia's Weapons and Security Programs*. (Exemptions 1 and 3 of 5 U.S.C. 552b(c).)

Those sessions will involve information exempt from disclosure under 5 U.S.C. 552(c), exemptions (1), (3), (4), and (6).

I have determined that it is necessary to close those portions of the meeting to exchange opinions and formulate recommendations, the discussion of which falls within exemptions (1), (3), (4), and (6) of 5 U.S.C. 552b(c). Any non-exempt material that may be discussed at these sessions will be inextricably intertwined with the discussion of exempt material and no further separation is practical. The public interest will be served by closing such portions of the meeting as it is essential to protect such classified and privileged information.

Practical considerations may dictate alterations in the above agenda and schedule.

The Chairman is empowered to conduct the meeting in a manner that in his judgment will facilitate the orderly conduct of business.

With respect to public participation in agenda items, scheduled above, the following requirements shall apply:

(a) Persons wishing to submit written statements on agenda items may do so by mailing 13 copies thereof, postmarked no later than April 18, 1977, to the Secretary, General Advisory Committee, U.S. Energy Research and Development Administration, Washington, D.C. 20545. Comments shall be based on the above agenda items. Minutes of the meeting will be kept open for 30 days for the receipt of written statements for the record.

(b) Information as to whether the meeting has been rescheduled or relocated can be obtained by a prepaid telephone call on April 25, 1977, to the Office of the Secretary of the Committee on 202-376-4678 between 8:30 a.m. and 5 p.m., eastern time.

(c) Questions at the meeting may be propounded only by members of the General Advisory Committee.

(d) Seating to the public will be made available on a first-come, first-served basis.

(e) The use of still, motion picture, and television cameras the physical installation and presence of which will not interfere with the course of the meeting, will be permitted both before and after the meeting and during any recess. The use of such equipment will not, however, be allowed while the meeting is in session.

(f) Copies of the minutes will be made available for copying, following their certification by the Chairman, in accordance with the Federal Advisory Committee Act, at the Energy Research and Development Administration's Public Document Room, 20 Massachusetts Avenue, NW., Washington, D.C. 20545, upon payment of all charges required by law.

HARRY PEEBLES,  
Deputy Advisory Committee  
Management Officer.

[FR Doc. 77-10749 Filed 4-8-77; 10:19 am]

**ENVIRONMENTAL PROTECTION  
AGENCY**

[FRL 712-4]

**COAL-FIRED STEAM GENERATORS SO,  
EMISSIONS**

Public Hearing

On January 27, 1977, (42 FR 5121) the Environmental Protection Agency announced plans to review the new source performance standard for sulfur dioxide emissions from coal-fired steam generators. This announcement indicated that the Agency would conduct a public hearing on the subject.

Notice is hereby given of a public hearing to be held at the following date, time, and place: May 25 and 26, 1977, between 9 a.m. and 4 p.m. e.s.t. at the General Services Administration Auditorium, 18th and F Streets, NW., Washington, D.C. 20460.

This hearing is intended to provide opportunity for interested persons to state their views or to provide information as to:

(1) The beneficial and adverse environmental, energy, and economic impacts of a revised new source performance standard for coal-fired steam generators.

(2) The nature and scope of any studies that should be conducted to understand the above impacts, and possible sources of data and information that would facilitate such analysis.

(3) The advantages and disadvantages of expressing a revised new source performance standard in terms of percent sulfur dioxide control, pounds per million Btu heat input, exit gas concentration, or other units of measurement.

(4) The efficiency, reliability, and availability of flue gas desulfurization units that are operating, under construction, or planned.

The public hearing will be conducted in two parts: The first part will consist of a 20 minute presentation by each member of a four member panel representing: (1) utilities; (2) environmental groups; (3) State agencies; and (4) the Environmental Protection Agency. Following these presentations, an opportunity will be provided for questions from the audience to the panel members. The second part will consist of oral summary statements by interested persons, not to exceed ten minutes in duration. Written material may be submitted by June 30 to expand or comment on these oral presentations.

Mr. Walter C. Barber, Director, Office of Air Quality Planning and Standards, is designated Presiding Officer for the hearing. He will have the responsibility for maintaining order; excluding irrelevant or repetitious material; scheduling presentations; and, to the extent possible, notifying participants of the time at which they may appear. The hearings will be conducted informally. Technical rules of evidence will not apply.

Persons wishing to make a statement at the hearing are requested to file a notice of such intention not later than May 15, and to submit, if practicable, five copies of the proposed statement. Notice of intent to make a presentation, copies of the statement, and questions concerning the hearing should be addressed to: George Walsh, Assistant to the Director, Emission Standards and Engineering Division, MD-13, Environmental Protection Agency, Research Triangle Park, N.C. 27711.

Dated: April 5, 1977.

EDWARD F. TUERK,  
Acting Assistant Administrator  
for Air and Waste Management.

[FR Doc. 77-10486 Filed 4-8-77; 8:45 am]

[FRL 712-2; OPP-66019]

#### PESTICIDE PROGRAMS

#### Intent To Cancel Registrations of Pesticide Products Containing Chlordecone (Kepone) and Response to USDA and SAP Comments on Cancellation

The Environmental Protection Agency (EPA) promulgated regulations (40 CFR 162) for the registration, reregistration, and classification of pesticides on July 3, 1975 (40 FR 28242). Section 162.11 of the regulations provides that a rebuttable presumption against registration shall arise if it is determined that a pesticide meets or exceeds any of the criteria for risk set forth in § 162.11(a)(3). On March 19, 1976, the Deputy Assistant Administrator for Pesticide Programs issued a notice of presumption against registration (41 FR 12334) and continued registration (41 FR 12333) of pesticide products containing chlordecone (Kepone). On April 16, 1976, an extension of time for the submission of rebuttal information and other comments was granted (41 FR 16612).

After reviewing the recommendations of the Agency's technical staff based on a review and analysis of rebuttal information and other comments, and after a complete benefit/risk evaluation of the use of registered chlordecone products, the Deputy Assistant Administrator for Pesticide Programs approved a proposed notice of intent to cancel registrations of pesticide products containing chlordecone (Kepone). This proposed notice with supporting documentation and other material was presented to the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) Scientific Advisory Panel (SAP) and the U.S. Department of Agriculture (USDA) on December 10, 1976, in accordance with section 6(b) of FIFRA, as amended (86 Stat. 973, 89 Stat. 751, 7 U.S.C. 136 et seq.). The following final notice of intent to cancel presents the Agency's statement of reasons for such cancellation, the basis for such reasons, and a detailed response to the SAP and USDA comments on the proposed notice of intent to cancel. The Agency's response to the SAP and USDA

comments, as well as the comments, are included to satisfy the provisions of section 6(b) of FIFRA and to provide an adequate explanation of all the issues before the Agency which have prompted this action.

#### NOTICE OF INTENT TO CANCEL

As a result of the review of the information and data now before the Agency and as set forth in the attached Statement of Reasons, I find that the continued registration and use of these pesticide products appear to pose substantial questions of safety amounting to an unreasonable risk to man and the environment. I therefore serve and file this Notice of Intent, together with the attached Statement of Reasons, to cancel all registered uses of chlordecone (Kepone) within 30 days, pursuant to section 6 of FIFRA, as amended (7 U.S.C. 136d). Any affected party may contest this action by requesting a hearing on specific registered uses on or before May 11, 1977. Requests for hearings should be submitted in quintuplicate to the Hearing Clerk, Environmental Protection

Agency, Rm. 1019, East Tower, 401 M St. SW., Washington, D.C. 20460.

The proposed cancellation shall become final May 11, 1977 with regard to those registered uses for which a hearing is not requested. The proposed cancellation shall not take effect regarding any registered use for which a hearing is requested until the hearing has been completed, unless there is a concurrence from all parties to the proceeding.

Pursuant to section 6(a)(1) of FIFRA, I have determined that distribution, sale, and use of existing stocks of the following inaccessible chlordecone (Kepone) products are not inconsistent with the purposes of this Act and will not have unreasonable adverse effects on the environment. Inaccessible Kepone products are all enclosed Kepone traps made from metal or plastic as well as metal stakes containing enclosed Kepone bait which are hammered into the ground. Therefore, if no hearing is requested on or before May 11, 1977 for any of the following products, sale, distribution, and use of these existing stocks will be allowed indefinitely.

#### Inaccessible products

| EPA Reg. No. | Product name                    | Registrant   |
|--------------|---------------------------------|--|
| 887-64       | Black Leaf Ant Trap             | Black Leaf Products, Co., 667 North State St., Elgin, Ill. 60120.                        |
| 475-11       | Antrol Ant Trap                 | Boyle-Midway, Inc., South Ave. and Hale St., Cranford, N.J. 07016.                       |
| 475-82       | Black Flag Ant Trap             | Do.  |
| 325-4        | Hide Roach and Ant Traps        | Clarence Boord & Sons, Inc., 105 East Commercial, P.O. Box 379, Leon, Iowa 50144.        |
| 460-47       | Lilly's Ant Trap With Kepone    | F. C. Sturtevant Co., 227 Shunpike Rd., Cromwell, Conn. 06416.                           |
| 2019-19      | Johnston's No-Roach Traps       | Gaston Johnston Corp., 24-64 45th St., Long Island, N.Y. 11103.                          |
| 1603-21      | Grant's Ant Trap                | Grant Lab. Division, Leisure Enterprises, Inc., 6020 Adeline St., Oakland, Calif. 94608. |
| 1663-32      | Grant's Roach Trap              | Do.  |
| 1663-24      | Grant's Ant Control             | Do.  |
| 395-19       | Mysterious Ant Trap With Kepone | Lethelin Products Co., Inc., 15 MacQuisten Parkway South, Mount Vernon, N.Y. 10550.      |
| 395-21       | Magikil Ant Trap With Kepone    | Do.  |
| 395-25       | Magikil Roach Trap With Kepone  | Do.  |
| 398-20       | Ant-Not Ant Trap                | Nott Manufacturing Co., Inc., Pleasant Valley, N.Y. 12269.                               |
| 398-129      | Nott Roach Trap                 | Do.  |
| 506-109      | E-Z Ant Trap Contain Kepone     | O. E. Litch Division, Walco Link Corp., 1234 State Highway 85, Clifton, N.J. 07015.      |
| 506-126      | Tai Ant Trap                    | Do.  |
| 506-129      | Antebek Ant Trap                | Do.  |
| 274-23       | Dead Shot Ant Killer            | Trager Manufacturing Co., Inc., 1200 Wheeler Ave., Scranton, Pa. 18510.                  |

I have further determined that distribution, sale, and use of existing stocks of the following accessible chlordecone (Kepone) products are inconsistent with the purpose of the FIFRA and will have unreasonable adverse effects on the environment. Therefore, this notice shall also serve as my notice of intent not to allow sale, distribution, or use of existing

stocks of the following accessible chlordecone (Kepone) products after issuance of a final order of cancellation pursuant to this notice of intent to cancel. Accessible products are those which in normal use would be removed from their containers, as well as foil or cardboard covered traps.

#### Accessible products

| EPA Reg. No. | Product name  | Registrant   |
|--------------|---|--|
| 6538-3       | Kil-Ded Roach Ant Waterbug Killer                       | Action Product Corp., Box H, North Miami, Fla. 33161.                        |
| 3941-20      | Pest Guard Roach, Ant and Waterbug Killer               | Athena Corp., 4838 Woodall, Dallas, Tex. 75247.                              |
| 6245-5       | Black Magic Roach Killer                                | Black Magic Co., Box 16433, Jacksonville, Fla. 32276.                        |
| 5545-2       | Blu-Roach Ban Kills Roaches, Ants, and Waterbugs        | Brown Chemical Specialty, P.O. Box 14007, San Antonio, Tex. 78214.           |
| 401-26       | Common Sense Cockroach and Ant Preparation No. 2 Kepone | Common Sense Manufacturing Co., Inc., 1392 Niagara St., Buffalo, N.Y. 14213. |
| 2019-18      | Johnston's No-Roach Bait                                | Gaston Johnston Corp., 24-64 45th St., Long Island, N.Y. 11103.              |
| 3324-4       | Dokko Roach-Ettes                                       | General Pest Service Co., 2015 Pontius Ave., Los Angeles, Calif. 90025.      |
| 3324-5       | Dokko Silverfish Pak                                    | Do.  |

| EPA Reg. No. | Product name                                       | Registrant   |
|--------------|--|--|
| 6150-2       | Capitol Punishment Roach, Ant and Waterbug Killer. | J. & F. Manufacturing Co., P.O. Box 26363, Houston, Tex. 77062.                    |
| 509-10       | J-O Paste Kills Roaches and Ants.                  | John Opitz, Inc., 5014 29th St., Long Island, N.Y. 11104.                          |
| 4825-17      | Ant Pak.   | Judd Ringer Corp., 6860 Flying Cloud Dr., Eden Prairie, Minn. 55343.               |
| 305-20       | Magick Jelly with Kepone Ant-Roach Bait.           | Lethell Products Co., Inc., 15 MacQuesten Parkway South, Mount Vernon, N.Y. 10550. |
| 5880-1       | Lucky Mack Roach and Ant Killer.                   | McCall Manufacturing Co., P.O. Box 145, Jasper, Fla. 32052.                        |
| 506-113      | TAT Ant Bait Containing Kepone.                    | O. E. Link Division, Walco Link Corp., 1234 State Highway 46, Clifton, N.J. 07015. |
| 267-19       | Old 97 Roach and Ant Killer.                       | Old 97 Co., Box 5207, Tampa, Fla. 33605.   |
| 728-54       | Pearson's Water Bug and Roach Killer Bait.         | Pearson & Co., P.O. Box 7151, Mobile, Ala. 36601.                                  |
| 416-57       | Quinn's Kepone Roach and Ant Bait Pellets.         | Quinn Drug & Chemical Co., Box 847, Greenwood, Miss. 38930.                        |
| 2095-2       | T.N.T. Roach and Ant Killer.                       | Vinson Chemical Products Co., 13001 Port Said Rd., Opelousa, La. 70654.            |
| 7040-3       | Winn's Waterbug Roach and Ant Killer.              | Winn Chemical Co., Inc., Box 91A, R.F.D. 1, Blountsville, Ala. 35031.              |

## STATEMENT OF REASONS

I. *Legal authority.* Section 5(b) of the Federal Insecticide, Fungicide, and Rodenticide Act (7 U.S.C. 135 et seq.) as amended (7 U.S.C. 136a(c) (5) (D)) authorizes the Administrator of the Environmental Protection Agency (or his designee) to issue a notice of intent to cancel the registration of a pesticide or to hold a hearing "if it appears to the Administrator that a pesticide or its labeling \* \* \* does not comply with the provisions of this act or, when used in accordance with widespread and commonly recognized practice, generally causes unreasonable adverse effects on the environment. \* \* \*". The phrase "unreasonable adverse effects on the environment" takes into account the economic, social, and environmental costs and benefits of any pesticide.

II. *Structure and characteristics of chlordecone (Kepone).* Kepone is the trade name for the insecticide decachlorooctahydro-1,3,4-metheno-2H-cyclobuta (cd) pentalen-2-one. The common name is chlordecone. The technical product (which is over 90% pure) sublimes at 350° and is relatively soluble in water (0.4 at 100°) compared to most chlorinated hydrocarbon pesticides. Kepone is soluble in strong alkali, as well as in acetone and ethanol. Kepone is very stable in vitro.

III. *Uses.* Except for manufacturing grade Kepone, which is used solely in the formulation of other Kepone products, the only current use of Kepone is in inaccessible metal and plastic traps and accessible foil and cardboard traps and unenclosed pastes, jellies, and other baits. Kepone is registered for use only against ants, roaches, and other household pests.

IV. *Alternative pesticides.* The only alternative to Kepone bait that is registered in a bait formulation is Baygon. Baygon is registered for use only in a bait against roaches. No alternatives are registered for use in a bait formulation against ants and other household pests. However, many alternatives to Kepone baits are registered in spray and dust formulations for control of roaches, ants, and other household pests; these include diazinon, Baygon, malathion, and ronnel. Although perhaps not as convenient to the homeowner many alternatives exist for every use of Kepone.

V. *Toxicity.* Kepone has demonstrated toxic effects which may have significant adverse effects on human health. "The Report on Carcinogenesis Bioassay of Technical Grade Chlordecone," prepared by the Carcinogenesis Program, Division of Cancer Cause and Prevention, National Cancer Institute, released in January 1976, reports the results of a long-term study on the oncogenic effects of chlordecone on both sexes of Osborne-Mendel rats and B6C3F1 mice. Chlordecone was administered orally at average dosages ranging from 8 to 26 parts per million (ppm) for rats and from 20 to 40 ppm for mice for a period of 80 weeks. The mice were sacrificed after 90 weeks and the rats after 112 weeks; moribund animals were sacrificed and necropsied. None of the 225 control rats developed hepatocellular carcinomas. Fourteen of the 68 male control mice developed hepatocellular carcinomas. None of the 50 female control mice developed hepatocellular carcinomas. Pathological diagnosis revealed a statistically significant increase (P less than 0.05) in the incidence of hepatocellular carcinomas in rats fed an average of 24 ppm (males) and 26 ppm (females) and in mice fed an average of 20 and 23 ppm (males) and 20 and 40 ppm (females). Extensive hyperplasia of the liver was also reported in both species.

Data submitted to EPA by Allied Chemical Company entitled, "Toxicological Studies on Decachlorooctahydro-1,3,4-metheno-2H-cyclobuta (cd) pentalen-2-one" (Document No. 108253, July 1, 1961), also indicate that chlordecone is oncogenic in rats. Six groups of male and female albino rats were fed 0, 5, 10, 25, 50, and 80 ppm chlordecone, respectively, for periods of up to 2 years. Oncogenic effects appeared only in rats receiving chlordecone in their diets for between 1 and 2 years. None of the 23 control rats examined developed hepatocellular carcinomas. Of the 7 male rats examined at the 25 ppm dose level, liver lesions in 1 rat were diagnosed as hepatocellular carcinoma by two pathologists and "evolving carcinoma" by one pathologist, who also found "evolving carcinoma" in a second male rat fed this dosage. Of the 16 surviving female rats fed 10 ppm, liver lesions in 3 were diagnosed as hepatocellular carcinoma by one pathologist. Of the 9 surviving female rats fed 25 ppm, liver lesions in

one was diagnosed as "evolving carcinoma" by one pathologist.

VI. *Human exposure of chlordecone (Kepone).* No significant research has been done on human exposure to Kepone through its use in inaccessible traps and accessible pastes and jellies. Pesticide Episode Report System (PERS) information indicates that Kepone used in these ways is occasionally ingested by children. Although Kepone has a low volatility, inhalation exposure to Kepone can be expected when it is used in traps, pastes, and jellies in households. Kepone use in pastes and jellies presents a higher, but unquantifiable, risk of dermal, inhalation, and oral exposure.

VII. *Risk to humans from exposure to chlordecone (Kepone).* Under 40 CFR § 162.11(2)(4), my inquiry must focus on whether the registrants (or parties seeking registration) have rebutted the presumption by sustaining the burden of proving: (1) The determination that chlordecone (Kepone) meets the criteria set forth in § 162.11(a)(3)(ii) was in error or, (2) when considered with the proposed restrictions on use and widespread and commonly recognized practices of use, chlordecone (Kepone) will not concentrate, persist, or accrue to levels in man or the environment likely to result in significant chronic adverse effects. No registrant or applicant for registration has attempted to show that the determination that chlordecone (Kepone) induces oncogenic effects in both sexes of mice and rats as a result of oral exposure was in error. Several registrants attempted to show that current use of Kepone will not result in significant chronic adverse effects to man. However, the registrants and Agency staff have been unable, on the basis of current data, to determine the extent to which humans are exposed to Kepone as a result of its current registered uses. The registrants and applicants for registration, therefore, have not met the burden of proving that use of chlordecone (Kepone) into the indefinite future in accordance with its current registrations will not result in significant chronic adverse effects to man.

VIII. *Economic impacts of cancellation.* Because of the abundance of less expensive alternatives, the macro-economic and micro-economic impacts of the cancellation of Kepone are estimated to be negligible.

IX. *Balance of risks and benefits.* The Agency staff has prepared a preliminary analysis, balancing the risks and benefits for use of registered Kepone products, and I have exercised my discretion to take into account these staff recommendations. Based on this analysis I have determined that both the risks and the benefits of use of chlordecone (Kepone) into the indefinite future under existing labels are small. However, the registrants and applicants for registration have not shown that the risks are insignificant. When the risks presented by the continued use of Kepone are weighed against the benefits, it appears that continued use poses an unreasonable risk to man.



X. Existing stocks. A recent survey of registrants by this Agency's Office of Enforcement indicates that there are at a minimum 108 pounds of actual Kepone either formulated into existing stocks of end use products or in the process of formulation which are the subject of the present notice of intent to cancel.

Although I have determined that use of chlordecone (Kepone) into the indefinite future will result in unreasonable adverse effects to man, the preliminary staff analysis indicates that use of existing stocks of formulated inaccessible traps will result in a very small risk of human exposure. I have, therefore, determined that distribution, sale, and

use of existing stocks of inaccessible Kepone products is not inconsistent with the purposes of the Act and will not have unreasonable adverse effects on man.

Since use of existing stocks of the accessible formulated products will result in a greater risk of oral, inhalation, and dermal exposure to Kepone, I have determined that such use will have unreasonable adverse effects on man. Therefore, I do not intend to allow the distribution, sale, or use of existing stocks of accessible Kepone products.

XI. Affected registrants and products. All registrants of products containing chlordecone (Kepone) affected by this notice are listed below:

| EPA Reg. No. | Product name  | Registrant  |
|--------------|---|---|
| 6538-3       | KD-Ded Roach Ant Waterbug Killer                        | Action Product Corp., Box H, North Miami, Fla. 33161.                                     |
| 3941-20      | Pest Guard Roach, Ant, and Waterbug Killer              | Athens Corp., 4836 Woodall, Dallas, Tex. 75247.   |
| 5887-63      | Black Leaf Ant Trap                                     | Black Leaf Products, Co., 667 North State St., Elgin, Ill. 60120.                         |
| 6248-5       | Black Magic Roach Killer                                | Black Magic Co., Box 16453, Jacksonville, Fla. 32276.                                     |
| 475-11       | Antrol Ant Trap   | Boyle-Midway, Inc., South Ave. and Hale St., Cranford, N.J. 07016.                        |
| 475-82       | Black Flag Ant Trap                                     | Do.   |
| 5045-2       | Biu-Roach Ban Kills Roaches, Ants, and Waterbugs        | Brown Chemical Speciality, P.O. Box 14007, San Antonio, Tex. 78214.                       |
| 3325-4       | Hide Roach and Ant Traps                                | Clarence Boord & Sons, Inc., 106 East Commercial, P.O. Box 379, Leon, Iowa 50144.         |
| 461-26       | Common Sense Cockroach and Ant Preparation No. 2 Kepone | Common Sense Manufacturing Co., Inc., 1392 Niagara St., Buffalo, N.Y. 14213.              |
| 460-17       | Lilly's Ant Trap With Kepone                            | F. C. Sturtevant Co., 227 Shumpike Rd., Cromwell, Conn. 06416.                            |
| 3019-18      | Johnston's No-Roach Bait                                | Gaston Johnston Corp., 24-64 45th St., Long Island, N.Y. 11163.                           |
| 3019-19      | Johnston's No-Roach Traps                               | Do.   |
| 3324-4       | Dekko Roach-Ettes                                       | General Pest Service Co., 3015 Pontina Ave., Los Angeles, Calif. 90026.                   |
| 3324-5       | Dekko Silverfish Pak                                    | Do.   |
| 1663-21      | Grant's Ant Trap  | Grant Lab. Division, Leisure Enterprises, Inc., 6020 Ardeline St., Oakland, Calif. 94608. |
| 1663-22      | Grant's Roach Trap                                      | Do.   |
| 1663-24      | Grant's Ant Control                                     | Do.   |
| 6150-2       | Capitol Punishment Roach, Ant, and Waterbug Killer      | J. & F. Manufacturing Co., P.O. Box 26363, Houston, Tex. 77032.                           |
| 609-10       | J-O Paste Kills Roaches and Ants                        | John Opitz, Inc., 6014 36th St., Long Island, N.Y. 11164.                                 |
| 4825-17      | Ant Pak   | Judd Ringer Corp., 6880 Flying Cloud Dr., Eden Prairie, Minn. 55343.                      |
| 395-19       | Mysterior Ant Trap With Kepone                          | Lethell Products Co., Inc., 15 MacQuisten Parkway South, Mount Vernon, N.Y. 10550.        |
| 395-20       | Magkil Jelly With Kepone Ant-Roach Bait                 | Do.   |
| 395-21       | Magkil Ant Trap With Kepone                             | Do.   |
| 395-25       | Magkil Roach Trap With Kepone                           | Do.   |
| 5880-1       | Lucky Mack Roach and Ant Killer                         | McCall Manufacturing Co., P.O. Box 145, Jasper, Fla. 32062.                               |
| 338-20       | Ant-Not Ant Trap  | Nott Manufacturing Co., Inc., Pleasant Valley, N.Y. 12969.                                |
| 338-129      | Nott Roach Trap   | Do.   |
| 500-100      | E-Z Ant Trap Contains Kepone                            | O. E. Linek Division, Walco Link Corp., 1234 State Highway 46, Clifton, N.J. 07011.       |
| 606-113      | Tat Ant Bait Containing Kepone                          | Do.   |
| 606-126      | Tat Ant Trap  | Do.   |
| 606-129      | Antechek Ant Trap                                       | Do.   |
| 267-19       | Old 97 Roach and Ant Killer                             | Old 97 Co., Box 5307, Tampa, Fla. 33605.  |
| 728-54       | Pearson's Water Bug and Roach Killer Bait               | Pearson & Co., P.O. Box 7151, Mobile, Ala. 36601.   |
| 416-57       | Quinn's Kepone Roach and Ant Bait Pellets               | Quinn Drug & Chemical Co., Box 847, Greenwood, Miss. 39300.                               |
| 274-23       | Dead Shot Ant Killer                                    | Trager Manufacturing Co., Inc., 1200 Wheeler Ave., Scranton, Pa. 18510.                   |
| 3096-2       | T.N.T. Ant Killer                                       | Vinson Chemical Products Co., 18001 Port Said Rd., Opelocka, Fla. 33054.                  |
| 7040-2       | Winn's Waterbug Roach and Ant Killer                    | Winn Chemical Co., Inc., Box 91A, R.F.D. 1, Blountsville, Ala. 35021.                     |

NOTE—Position documents prepared by the Agency Working Group on Kepone are available for public inspection in the Office of Special Pesticide Reviews (WH-566), Office of Pesticide Programs, Environmental Protection Agency, Room 447, East Tower, 401 M Street, S.W., Washington, D.C. 20460. These documents contain appendices of references, background information, and other material pertinent to this notice. Where appropriate, the supporting materials contained in the position document are referenced in this notice.

Allied Chemical Company, holder of 12 chlordecone registrations, including the manufacturing-use-only registrations, did not attempt to rebut the presumption. Rather, Allied requested that its registrations be voluntarily cancelled pursuant to Section 6(a)(1) of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) as amended (86 Stat. 973, 89 Stat. 751, 7 U.S.C. 136 et seq.). On June 9, 1976, the Deputy Assistant Administrator for Pesticide Programs responded to their request by issuing a notice of cancellation of all Allied registrations for chlordecone products (41 FR 24624). According to the terms of that notice, the registrations of those products are now cancelled.<sup>1</sup>

As indicated above, a notice of intent to cancel registrations of pesticide products containing chlordecone (Kepone) was approved after an extensive review. This proposed notice of intent would have cancelled the remaining registrations of all registered products containing chlordecone. With regard to existing stocks of chlordecone, the proposed notice would have allowed sale, distribution, and use, of all existing stocks of "inaccessible" products,<sup>2</sup> but would have prohibited sale, distribution, or use of existing stocks of "accessible" products.<sup>3</sup> Position Document 3 (developed by the Office of Special Pesticide Reviews) set forth the scientific and policy rationale for the proposed notice of intent to cancel, and recommended that registrants of inaccessible products be permitted to use existing stocks of manufacturing-use-only 25% Kepone (Special Mixture) to formulate additional inaccessible traps for a period of three years. The proposed notice of intent to cancel reflected this determination. In addition, because the June 9, 1976, section 6(a)(1) notice cancelling Allied's registration for manufacturing grade chlordecone did not provide for use of existing stocks, a separate notice was prepared which, in effect, would have rescinded the July 9 notice to the extent that the amended notice would have allowed for use of certain

#### RESPONSE TO USDA AND SAP COMMENTS ON INTENT TO CANCEL PESTICIDE PRODUCTS CONTAINING CHLORDECONE (KEPONE)

##### I. BACKGROUND

The notice of rebuttable presumption was based on studies which demonstrated that chlordecone induces oncogenic effects in experimental mammalian species as a result of oral exposure. The Agency received comments from six registrants and other interested parties. None of these comments contested the validity of the studies upon which the presumption was based. Several submissions,

which did not attempt to demonstrate lack of risk due to chlordecone, urged that the Agency merely allow use of existing stocks of manufacturing grade 25% Kepone Special Mixture (EPA Reg. No. 218-544) already in the hands of formulators for a predetermined period of time. Those comments which did address the risk issue attempted to demonstrate that, when considered in connection with widespread and commonly recognized practice of use, chlordecone will not concentrate, persist, or accrue to levels in man or the environment likely to result in any significant chronic adverse effects.

<sup>1</sup>By letter of February 4, 1977, the EPA Office of Enforcement formally requested that Allied Chemical Corporation voluntarily recall all stocks of its manufacturing use and end-use products containing chlordecone, for which registration was cancelled by the notice of June 9, 1976.

<sup>2</sup>Inaccessible products are defined as all enclosed traps made from metal or plastic, as well as metal stakes containing chlordecone which are hammered into the ground.

<sup>3</sup>Accessible products are defined as those which would be removed from their containers, as well as foil- or cardboard-covered traps.

existing stocks of manufacturing grade chlordecone.

These notices, and supporting documents, were presented to the FIFRA Scientific Advisory Panel and the United States Department of Agriculture (USDA) on December 10, 1976. Both groups have commented on the notices and their comments are produced below. This document contains EPA's response to the comments of the Scientific Advisory Panel and the Secretary of Agriculture and is intended to constitute the response mandated by section 6(b)(2) of FIFRA as amended.

## II. SUMMARY OF EXTERNAL REVIEW COMMENTS

**A. Response of the FIFRA Scientific Advisory Panel (SAP).** The Scientific Advisory Panel submitted its final report to this Agency on January 13, 1977. The Panel stated that, in addition to the carcinogenic effects of chlordecone, the Agency should have considered existing information on other adverse health effects, e.g., neuromuscular, behavioral, reproductive, and mutagenic effects. The Panel also expressed concern with regard to the disposal of the manufacturing-use-only and end-use products.

The recommendations of the Scientific Advisory Panel listed below were unanimously agreed to unless otherwise noted.

(1) The Agency should cancel all uses of chlordecone within 30 days.

(2) The Agency should allow sale and use of existing stocks of inaccessible chlordecone products for a period of up to 3 years from the effective date of cancellation.<sup>a</sup>

(3) The Agency should recover Allied 25% Kepone Special Mixture (EPA Reg.

<sup>a</sup> Minority comment: The minority opinion was in opposition to this recommendation and cited further contamination of the environment by the spread of the bait material by insects and the waste disposal of traps.

No. 218-544) presently held by formulators and dispose of it in a safe manner.<sup>b</sup>

(4) The Agency should limit the quantity of inaccessible bait products containing chlordecone which can be formulated, distributed, or sold during the 3-year period extending from the effective date of cancellation to that quantity which can be formulated from supplies on hand as of the effective date of cancellation. (This recommendation appears to be in direct conflict with recommendation three above, but was intended by the Scientific Advisory Panel to be implemented should number three be rejected by this Agency.)

(5) The Agency should prohibit the sale, distribution, or use of existing stocks of accessible chlordecone products following the effective date of cancellation.

**B. Response of United States Department of Agriculture (USDA).** On January 11, 1977, the comments of the Secretary of Agriculture were transmitted to the Agency. USDA's response noted that, under FIFRA as amended, their responsibility is "in assessing the analysis of the overall impact of regulatory decisions on the ability to effectively control nuisance and damaging pest infestations as they may impinge on the agricultural economy, while preventing any unreasonable adverse effects on man or the environment." It was further noted that the insects controlled by chlordecone products are not of major concern in agricultural production but "are significant nuisances and potential health related pests" associated with farm buildings and farm households. The report stated that ants, roaches, and other household pests are a major concern in the processing of raw agricultural

<sup>b</sup> Minority comment: One member disagreed but believed that because some risk to formulators may exist, formulating processes should be monitored by the appropriate federal agency in order to provide for the safety of the workers and their families.

commodities and the storage of packaged and processed foods. The report limited itself to discussions of biological efficacy, benefit/risk, and exposure aspects of the use of chlordecone products.

USDA recommendations are listed below:

(1) Cancellation of Registrations: No specific recommendation was directed to this issue. However, if the USDA recommendation were adopted, that continued sale and use of end-use products be allowed into the indefinite future, these products would not be cancelled.

(2) Disposition of Existing Stocks, Inaccessible Products: The USDA report agreed with the Working Group's recommendation that the continued sale and use of these products be permitted, but it maintained that there is "strong justification for not placing a time period on the availability and use of chlordecone for ant, roach and other household pest control." (This qualification apparently referred only to inaccessible products.)

(3) Disposition of Existing Stocks, Accessible Products: The report stated that hazard to children from accessible products may be sufficient to warrant permitting continued use only under restrictive labeling both as to placement and to use by certified applicators.

(4) Disposition of Existing Stocks, 25% Kepone Special Mixture Product (Manufacturing Use Only): No specific recommendation was made. Again, however, if the comments directed to inaccessible and accessible products were incorporated into the Working Group recommendations, 25% Kepone Special Mixture would have to be available in order to formulate these products.

## III. SUMMARY OF RECOMMENDATIONS

Table I represents a comparison between the Working Group's original recommendation and those of the USDA and the SAP.

TABLE I.—Comparison of Recommendations of EPA Kepone Working Group, the Department of Agriculture and the Scientific Advisory Panel

| Issue   | Original recommendations of the Kepone Working Group and Pesticide Chemical Review Committee (PCRC)   | Recommendations of the United States Department of Agriculture (USDA)  | Recommendations of the Scientific Advisory Panel (SAP)  | Minority opinions of SAP members  |
|---|---|--|---|---|
| Whether to cancel.....  | Issue notice of intent to cancel.....   | Continue registrations.....  | Agrees with Working Group and PCRC.   |   |
| Disposition of 0.125 percent chlordecone inaccessible bait products.          | Three year limit, on formulation, sale, and use. At the end of this time all remaining inaccessible products would be recalled by formulators and formulation of new products would cease.  | Agrees with permitting continued formulation, sale, and use but note that there is strong justification for not placing a limit on the availability of chlordecone products. | Permit sale and use for three years of only those supplies of inaccessible products formulated at the time the cancellation is effective. Further formulation would not be permitted. Do not permit further formulation from manufacturing grade chlordecone. | Stop all further distribution, sale, and formulation.   |
| Disposition of 0.125 percent chlordecone accessible bait products.            | Prohibit formulation, sale, and use as of the effective date of cancellation. Request recall of existing stocks from retail level.  | Hazard to children may be sufficient to warrant permitting continued use only, (1) under restrictive labeling as to placement and (2) to use by certified applicators.       | Agrees with working group and PCRC recommendations.   |   |
| Dispositions of 25 percent kepone special mixture for manufacturing use only. | Permit use for production of only inaccessible products for a 3 year period. Disallow use for formulation into accessible products as of the effective date of cancellation. Those supplies of 25 percent kepone special mixture for the latter use would be destroyed. | The above recommendations would permit unlimited use should another source of chlordecone be found.  | Disallow formulation, sale, and use of all existing stocks as of the effective date of cancellation.  | Allow disposition consistent with the working group's recommendations, but monitor formulating processes. |

#### IV. SUMMARY OF EPA ANALYSIS AND RESPONSE TO COMMENTS FROM USDA AND SAF

A. *Cancellation of All Existing Chlordecone Registrations.* Only USDA objected to EPA's issuing a notice of intent to cancel remaining chlordecone registrations, and this objection was only tangential (see Table 1). The Working Group remains convinced that chlordecone poses a carcinogenic risk to man,\* and that the risks of continued exposure to man from existing chlordecone registered products outweigh the benefits.† USDA's unsupported assertion to the contrary is rejected.

USDA's comments primarily address the benefits of chlordecone use. USDA states, "Use experience has demonstrated that many species of ants and roaches are more effectively controlled by using baits as either a supplement to other insecticides or as the sole formulation." Yet it presents no comparative efficacy testing or documentation of use experience which this Agency can assess. The USDA report further states that "should these pending actions result in the loss of use through cancellation or lack of availability to the general public by restricted use, the impact on effective control measures could be significant on the ability of homeowners to control their own pests." This appears to be an overstatement in light of the numbers of available alternatives (see Position Document 3 for benefit/risk analyses) and the failure of USDA to provide a measure of the impact on effective control measures and contrary to USDA's recommendation that accessible products be reclassified for restricted use.

The USDA also offers no supportive data for its statement, "Established use experience shows that when inaccessible containers or inaccessible placement are used, the bait displays a high degree of safety in handling with low potential for exposure to humans and animals." USDA stated that the health risks from the inaccessible products baits are "small," citing examples from Position Document 3. The Working Group agrees with this determination. USDA cited the absence of symptoms in children ingesting the contents of traps and the few reported cases of children actually opening the traps. While the absence of acute effects as manifested by the lack of symptoms is very important, the chronic effects of chlordecone exposure are of most concern to the Agency and are the basis for this rebuttable presumption.

The Agency has determined that unlimited sale, distribution and use of any currently registered end-use chlordecone products in the indefinite future would increase the risk of oral, dermal, and in-

\* Chlordecone also poses other risks to man that are detailed further in this section, *infra*.

† On this point the Scientific Advisory Panel is in unanimous agreement. On page 1 of its response it states: "The Panel unanimously agrees that continued registration and use of these pesticides appear to pose substantial questions of safety amounting to an unreasonable risk to man and the environment."

halation exposure without a corresponding increase in benefits. No new evidence has been presented which would cause the Agency to reverse this position.

B. *Use of Manufacturing-Use-Only Chlordecone To Formulate Inaccessible Chlordecone Products.* The proposed notice of intent to cancel and the corresponding amendment of the notice of cancellation of Allied's registrations would have allowed formulators of inaccessible traps to use existing stocks of Allied's manufacturing-use-only chlordecone to formulate additional inaccessible chlordecone products. USDA agreed with this proposal. The Scientific Advisory Panel disagreed with this proposal, and it recommended that "EPA institute efforts to recover and dispose of all nonformulated stocks of this material in a safer manner." The EPA accepts the recommendation of the Scientific Advisory Panel for the reasons given by the Scientific Advisory Panel and for other reasons as listed below.

(1) *Disposal.* In recommending allowing use of existing stocks of manufacturing grade chlordecone for formulation into inaccessible products, the Working Group was strongly motivated by the problems engendered by attempts to dispose of manufacturing grade chlordecone (See Position Document 3). It was generally the opinion of the Working Group that the risks to man and the environment arising from improper disposal of existing stocks of manufacturing grade chlordecone were greater than the risks from the use of inaccessible products formulated from existing stocks of manufacturing grade chlordecone. This situation has changed dramatically in light of the recent enactment of the Solid Waste Disposal Act as amended by the Resource Conservation and Recovery Act of 1976 (Pub. L. 94-580).

Section 7003 of the Resource Conservation and Recovery Act provides that:

Notwithstanding any other provision of this Act, upon receipt of evidence that the handling, storage, treatment, transportation or disposal of any solid waste or hazardous waste is presenting an imminent and substantial endangerment to health or the environment, the Administrator (of EPA) may bring suit on behalf of the United States in the appropriate district court to immediately restrain any person for contributing to the alleged disposal to stop such handling, storage, treatment, transportation, or disposal or to take such other action as may be necessary.

The above provision gives the Agency authority to protect against improper disposal of manufacturing grade chlordecone. The Working Group is now of the opinion that the risks to man and the environment from proper disposal of existing stocks of manufacturing grade chlordecone are diminished and the use of existing stocks of manufacturing-use-only chlordecone to formulate inaccessible products is unwarranted.

(2) *Risks to Man Other than Oncogenicity.* The Scientific Advisory Panel stressed that in addition to oncogenic risks, it was concerned about other adverse effects of chlordecone (e.g., neuromuscular, behavioral, reproductive, and

mutagenic effects). While the Working Group cannot at this stage of the process explore these potential risks thoroughly, it is aware of information that supports the Scientific Advisory Panel's concern.† On this basis, the Working Group is of the opinion that the risks posed by these additional effects constitute a further reason for cancelling all remaining chlordecone registrations and for changing its recommendation so as to forbid use of existing stocks of manufacturing grade chlordecone for formulating additional end use products.

(3) *Amount of Chlordecone Held by Registrants.* The Working Group's original recommendation was made on the basis of a high estimate, derived from an incomplete June 1976 registrant response, of 537.8 pounds of actual chlordecone in the possession of current registrants. Of this amount, it was speculated that 22.8 pounds was formulated into 0.125% chlordecone baits for end-use, and the remainder was 25% Kepone Special Mixture for formulation into 0.125% end-use products.

At the time, the estimated 537.8 pounds actual chlordecone was assumed to be a conservative estimate which sufficiently overstated the amount of chlordecone held by registrants to account for all chlordecone in the channels of trade.

A more recent February 1977 inquiry, however, by the Regional Offices of Pesticide Programs, Office of Enforcement, has revealed that at a minimum\* the following amounts of chlordecone are currently held by registrants:

| Type of product   | Total pounds of product | Total pounds of chlordecone |
|---|-------------------------|-----------------------------|
| 0.125 pct kepone enclosed metal and plastic traps ("inaccessible" product)  | 42,800<br>+ 13,680      | 66.01<br>17.1               |
| 0.125 pct kepone unenclosed end-use products ("accessible" product)   | 13,800                  | 18.06                       |
| 0.125 pct kepone enclosed foil packages ("accessible" product). (One firm formulates a 0.106 pct kepone product.) | 6,050                   | 7.12                        |
| Allied 25 pct kepone special mixture for manufacturing-use only.  | 1,068                   | 49.2                        |
| 10 pct kepone mixture in the formulation process (manufacturer reports end-use product not yet determined).       | 600                     | 60                          |
| Total   | 80,594                  | 660.28                      |

\* In process.

The 660.28 pounds of chlordecone in process and in end-use and manufacturing-use products known to be held by

\* Tremors in laboratory rodents at dosage levels lower than those observed to cause tumors were observed in both of the oncogenicity studies used as a basis for issuance of the RPAR. Several laboratory studies have also shown significant reduction in reproduction in laboratory mice fed chlordecone at dosages ranging from 5 to 37.5 ppm.

In humans, massive doses of chlordecone absorbed by production workers have resulted in tremors and in absent or decreased sperm count with markedly decreased motility.

\* Two small formulating companies have not as yet responded, and another has submitted an incomplete report.

registrants is more than the highest estimate of 537.8 pounds of actual chlordecone in these products which was used in calculations of the oncogenic risk. Although the amount of chlordecone in the 25% Kepone Special Mixture is slightly less than previously supposed, there is far more chlordecone in end-use products and in the formulation process than was estimated, thereby significantly increasing the potential environmental burden.

**C. Use of Existing Stocks of Accessible Products.** The Working Group concurs with the Scientific Advisory Panel in its recommendation not to allow further formulation of accessible chlordecone products and to prohibit from the effective date of cancellation all further sale, distribution, or use of existing accessible chlordecone products.

The Working Group is of the opinion that USDA's suggestion that the safe use of accessible chlordecone products can be accomplished either through appropriate label restrictions or through application by certified applicators cannot adequately protect children from exposure to chlordecone. Label changes will not ensure that the instructions are followed within the household. Moreover, USDA offers no suggestions for how EPA, either using label changes or certified applicators, could ensure that accessible products would be removed from the reach of children and at the same time perform their pesticidal function adequately. Finally, label changes and use by certified applicators will not protect against general environmental contamination nor will these restrictions affect the safety of workers exposed during the manufacturing process.

**D. Use of Existing Stocks of Inaccessible Chlordecone Products.** The Working Group originally selected 3 years as a termination date for formulation, packaging, and sale of these products because that period was considered adequate to allow for the conversion into end-use inaccessible traps of all 25% Kepone Special mixture intended for that purpose and the exhaustion through sale and use of all stocks of these end-use products.

The oncogenic effect of chlordecone was the only chronic health hazard assessed by the Agency when making this determination. As USDA has stated in their comments, EPA determined that there is not a significant risk of cancer to children via the inhalation route from the inaccessible products. Dermal and oral exposure was also judged to be low. Risk analyses determined that an extremely small number of carcinomas would likely result from any expected ingestion of the contents of traps by children. (See Position Document 3.)

The benefit analysis determined that chlordecone baits did provide a minor benefit of convenience to homeowners in the control of ants and roaches. These analyses were predicated, however, upon the cancellation and eventual exhaustion of supply of these products and not upon

the continued unlimited production, sale and use which might result if these products were not cancelled.

The majority of the Scientific Advisory Panel agreed with the Working Group's recommendation to permit the sale and use of these existing stocks of products for 3 years. SAP believed, as did the Working Group, that even given possible adverse health effects in addition to oncogenicity, the use of these products for that time period would not have unreasonable adverse effects on the environment. A minority SAP comment, however, stated opposition to this use on the basis of dispersal of chlordecone from the traps by ants and roaches, and the hazard from waste disposal of discarded containers in incinerators and landfills.

Scientists of the Criteria and Evaluation Division, Office of Pesticide Programs, and the Agency Carcinogen Assessment Group (EPA) in their analyses and the Working Group in its determination considered these aspects of the problem in reaching their conclusions.

The original recommendation of the Kepone Working Group permitting sale for 3 years was based on a "worst case" assumption that 13,772,800 of the 1/4-ounce inaccessible plastic or metal traps could be formulated from the 25% Kepone manufacturing use product earmarked for that purpose (assumed to be one-half the total amount of 25% Kepone Special Mixture estimated to be held by formulators in addition to those traps already made). The recent formulator survey reported that there are 1,405,935 already formulated inaccessible traps ranging in weight from 1 ounce to 1/2 ounce with one firm reporting enough material in process to formulate an additional 1,313,280 1/2-ounce inaccessible traps. The amount of actual chlordecone in these 2,719,215 individual traps is calculated to be 83 pounds. Adding the 60 pounds of actual chlordecone in the 600 pounds of 10% chlordecone held by one formulator, this still constitutes an amount far less than the previously estimated 268 pounds of chlordecone which might have been used in these products had the Working Group's original recommendations been followed.

Registrants have been notified that further formulation of the cancelled Allied 25 percent Kepone Special Mixture is illegal. Registrant firms will be monitored to ensure that this formulation does not occur.

Prevention of any further conversion of 25 percent Kepone Special Mixture to end-use products will thus further limit the amount of these products available to the public and therefore limit the public exposure and risk.

For all of the above reasons I have stated in this notice of intent to cancel, I recommend that sale and use of only those inaccessible traps already formulated at the effective date of cancellation of these products be permitted and

that there will be no time restriction on sale and use of these products.

Dated: April 4, 1977.

ANDREW J. BREIDENBACH,  
Assistant Administrator for  
Water and Hazardous Materials.

FEDERAL INSECTICIDE, FUNGICIDE, AND RODENTICIDE ACT (FIFRA) SCIENTIFIC ADVISORY PANEL

REVIEW OF PROPOSED NOTICE OF INTENT TO CANCEL REGISTRATIONS OF KEPONE

The FIFRA Scientific Advisory Panel has reviewed the proposed notice of intent to cancel registrations of pesticide products containing chlordecone (Kepone) and associated materials provided by EPA in support of the proposal in open meetings held in Arlington, Virginia during December 9-10, 1976 and January 6-7, 1977. Public notice of the meetings was published in the Federal Register on November 16, 1976 and December 21, 1976. No comments were received from the public although provisions were made for submission of written statements and presentation of oral summaries. The Panel also interviewed representatives from EPA who were present during meetings as part of the Agency briefing team on Kepone. On the basis of consideration of all matters brought out during the meetings and other information, the FIFRA Scientific Advisory Panel makes the following specific comments on the possible impact on public health and the environment of five basic recommendations which appear in the proposed notice of intent:

**Recommendation Number 1:** Cancellation of all registered uses of chlordecone (Kepone) within 30 days (page 2, paragraph 1, sentence 2, notice of intent document).

**Comment:**—The Panel unanimously agrees that "continued registration and use of these pesticides appear to pose substantial questions of safety amounting to an unreasonable risk to man and the environment." The Panel considers chlordecone (Kepone) to be a carcinogen but are concerned about other adverse health effects of this pesticide (neuromuscular, behavioral, reproductive and mutagenic effects) which must also be considered in addition to the carcinogenic effects of this agent in relation to the possible adverse health effects of chlordecone (Kepone).

**Recommendation Number 2:** To allow the sale and use of existing stocks of inaccessible chlordecone (Kepone) products for a period of up to 3 years from the effective date of cancellation (page 3, sentence 3, notice of intent document).

**Comment:**—A majority of the members of the Panel concur that the distribution, sale, and use of inaccessible chlordecone (Kepone) products will not have unreasonable adverse effects on the environment.

**Minority Comment:**—Two members of the Advisory Panel are opposed in principle to EPA approving the further distribution and sale of "inaccessible" 0.125% chlordecone (Kepone) cockroach and ant baits. In the minority opinion, this represents a still further distribution of chlordecone (Kepone) into the environment. Such baits exist to attract ants and cockroaches to carry the chlordecone (Kepone) from the traps whereby it is inevitably spread into the immediate environment especially in kitchens with human foods. The further distribution of chlordecone (Kepone) by insects and through waste disposal of discarded containers into incinerators and land-

ills represents an unreasonable and further environmental spread of a highly toxic material which is carcinogenic, neurotoxic, and a sterilant to wildlife. This delay in terminating the use of such a highly toxic material sets a precedent for future EPA actions in disposing of toxic materials which seems undesirable.

**Recommendation Number 3:** To allow the use of existing stocks Allied 25% chlordecone (Kepone)/Special Mixture (EPA Registration No. 218-544) presently in the hands of formulators for the next three years for formulation into the above inaccessible chlordecone (Kepone) products (page 6, sentence 1, notice of intent document).

**Comment.**—A majority of the members of the Panel did not concur with the above recommendation. The Panel feels that the use of existing stocks of Allied 25% chlordecone (Kepone) to formulate inaccessible chlordecone (Kepone) products will have unreasonable adverse effects on man. The Panel is concerned about the potential exposure of formulators and users of these products to an agent which has carcinogenic and other serious adverse health effects. The Panel recommends that EPA institute efforts to recover and dispose of all nonformulated stocks of this material in a safe manner.

**Minority Comment.**—One member of the Scientific Advisory Panel concurs with the recommendation. However, this member feels that some risk to formulators may exist. Therefore, these formulating processes should be monitored by the appropriate federal agency to provide for the safety of the formulators and their families.

**Recommendation Number 4:** Intent to limit the quantity of chlordecone (Kepone) enclosed bait products which can be formulated and distributed or sold during this 3-year period to that quantity which can be formulated by each registrant with the supplies of Allied Chemical 25% chlordecone (Kepone)/Special Mixture (EPA Registration No. 218-544) on hand as of the date of this notice (page 7, paragraph 1, sentence 3, notice of intent document).

**Comment.**—The Panel unanimously agrees with this recommendation.

**Recommendation Number 5:** To not allow sale, distribution or use of existing stocks of accessible chlordecone (Kepone) products following issuance of final order of cancellation (paper 7, paragraph 2, sentence 1, notice of intent document).

**Comment.**—The Panel unanimously concurs with this recommendation.

#### ADDITIONAL COMMENT

In evaluating the potential adverse health and environmental effects of chlordecone (Kepone), the major concern of this Panel is with the potential hazards and problems associated with the disposition of the large stocks of chlordecone (Kepone) and chlordecone (Kepone)-contaminated residues which exist currently in various locations. Because of the obvious health and environmental consequences of disposal of this material, the Panel asks EPA to inform them of current and projected plans for this disposal and to furnish progress reports to the Panel for formal comment.

The Panel also requests that all future FIFRA Section 6(b)(1) actions which are submitted for comment to this group contain an appraisal of the real and potential problems associated with the disposal of existing stocks, wastes, etc. The Panel also requests specific information from EPA on how the Agency plans to handle these problems.

H. WADE FOWLER, Jr., Ph.D.,  
Executive Secretary,  
FIFRA Scientific Advisory Panel.

#### COMMENTS OF THE SECRETARY OF AGRICULTURE IN RESPONSE TO THE NOTICE OF INTENT TO CANCEL PESTICIDE PRODUCTS CONTAINING CHLORDECONE, TRADE NAME KEPONE

The Assessment Team evaluated the registered uses of chlordecone (Kepone) together with the information which accompanied the Notice of Intent to Cancel Registration of Pesticide Products Containing Chlordecone. The Team consulted with registrants, users and research personnel connected with the various aspects of chlordecone. The team leader, S. N. Fertig and the team members, P. W. Bergman, H. E. Fairchild, and B. R. Wilson contributed to the preparation of this report.

#### INTRODUCTION

In compliance with provisions of the Federal Insecticide, Fungicide and Rodenticide Act (FIFRA) as Amended, sections 6(b) and 25, the United States Department of Agriculture has reviewed EPA's Notice of Intent to Cancel Pesticide Products Containing chlordecone (Kepone). The Department has also reviewed the related documents, including position documents and benefit and risk analyses accompanying the Notice of Intent to Cancel. Even though the Department is concerned by the apparent safety problems associated with the manufacture of chlordecone, the following statements are important to an understanding of the Department's response.

1. The response concerns itself only with the biological efficacy, benefit/risk and exposure aspects of the use of chlordecone as registered.

2. The voluntary cancellation by Allied Chemical Corporation, the sole domestic pro-

ducer of chlordecone, has not been a factor in determining the Department's response. Under FIFRA, as Amended, our responsibility is in assessing the analysis of the overall impact of regulatory decisions on the ability to effectively control nuisance and damaging pest infestations as they may impinge on the agricultural economy, while preventing any unreasonable adverse effects on man or the environment.

3. The Department recognizes that ants, roaches, and other household pests are not of major concern in agricultural production, but they are significant nuisances and potential health related pests associated with, but not exclusively, farm buildings and farm households. Also, the Department and the Land Grant Colleges and Universities, through research and extension have the scientific expertise to evaluate control measures and have the responsibility for disseminating safe and effective recommendations for control of household pests irrespective of where they may occur. An additional responsibility is the preservation and maintenance of quality of the food supply including compliance with current federal, state, and local health standards.

4. Ants, roaches and other household pests are a major concern in the processing of raw agricultural commodities and in the storage of packaged and processed foods in commerce and in the home.

#### USE PATTERNS

In the information accompanying the Notice of Intent to Cancel, EPA listed the registered site/pest combinations for chlordecone as follows:

| Site                       | Pest  |
|----------------------------|---|
| Commercial—edible indoor   | American cockroach, cockroaches, southern fire ant, Argentine ant, German cockroach, oriental cockroach, sweet-eating ants, brownbanded cockroach, grease-eating ants, Pariah ant, and western thief ant.   |
| Commercial—inedible indoor | American cockroach, cockroaches, German cockroach, oriental cockroach, silverfish, ants, harvester ants, Pariah ant, thief ant, brownbanded cockroach, crazy ant, and little black ant.   |
| Domestic dwellings—indoor  | American cockroach, ants, Argentine ant, brownbanded cockroach, grease-eating ants, oriental cockroach, pyramid ant, sweet-eating ants, western thief ant, California fire ant, crazy ant, harvester ant, cockroaches, palmetto bug, silverfish, thief ant, German cockroaches, little black ant, southern fire ant, and waterbugs. |
| Domestic dwellings—outdoor | Ants, cockroaches, grease-eating ants, millipede, silverfish, waterbugs, Argentine ant, crazy ant, harvester ant, southern fire ant, western thief ant, California fire ant, fire ant, little black ant, pillbugs, and sweet-eating ants.   |
| Flowering plants           | Ants and cockroaches.   |
| Lawns                      | Ants, grease-eating ants, sweet-eating ants, cockroaches, silverfish, fire ant, and southern fire ant.  |
| Ornamental shrubs          | Ants.   |
| Ornamental trees           | Ants.   |

The above compilation shows the large number of different pests for which chlordecone is registered and for which this single product will give effective control. Based on current trends in registration/re-registration, the likelihood of new compounds reaching the market in time to adequately replace this number of registered uses is considered unlikely.

#### EFFICACY AND BENEFIT

Based on a report "Preliminary Benefit Analysis of Kepone for Control of Ants and Cockroaches in Domestic Dwellings" (August 20, 1976), EPA recognizes and the Department concurs in the following:

(1) "Ants and cockroaches are among the most common of household pests. Ants may nest in or under houses or be invaders in search of food. While most ants are simply annoying, some may be dangerous pests due to biting and stinging of humans, and damaging parts of dwellings."

(2) "Cockroaches give off an offensive odor and are suspected disease carriers. They prefer damp and warm areas such as under kitchens and bathroom sinks, under refrigerators and cupboards and around pipes and

conduits. They feed on a variety of food and refuse including soap, grease, cereals, meats, cheese, and even beer and leather."

(3) "Kepone baits and traps are a unique control method. Baits offer a significantly different approach to pest control not found in the use of non-bait alternative controls. Baits attract the pest to the control agent, while non-bait alternatives are directed at the pest. Ants, for example, are attracted to the bait by the sweet or greasy materials mixed with the active ingredient Kepone. Ants feeding on the bait and returning to the nest may carry some of the material with them, thereby exposing additional ants to the bait."

(4) "Pest control with baits offer the following advantages: No special application equipment is required. No special mixing of materials is needed. No special care is required to assure that food and utensils are not contaminated as with sprays or dusts. However, certain precautionary recommendations, as with the use of any pesticide, must be followed."

As discussed in the Notice of Intent to Cancel, " . . . the only alternative to chlordecone bait that is registered in a bait for-

mulation is Baygon. Baygon is registered for use only in a bait against roaches. No alternatives are registered for use in a bait formulation against ants and other household pests. However, many alternatives to Kepone baits are registered in spray and dust formulations for control of roaches, ants, and other household pests.

Use experience has demonstrated that many species of ants and roaches are more effectively controlled by using baits as either a supplement to other insecticides or as the sole formulation.

Of the pesticides listed by EPA as alternatives for ant and roach control, lindane, carbaryl, rotenone and toxaphene are potential candidates for RPAR's. Available EPA listings of restricted use classification for pesticides indicate that some or all uses of lindane, fenthion, chlorophyrifos, HCN, chloropirrin, and methyl bromide may be included. Should these pending actions result in the loss of use through cancellation or lack of availability to the general public by restricted use, the impact on effective control measures could be significant on the ability of homeowners to control their own pests. Continued regulatory action against registered and effective pesticides for ant and roach control can have an adverse impact.

The Department concurs with EPA's analysis on dollar costs to consumers of chlordecone vs. other pesticides when analyzed on the basis of formulations presently available. We do not believe it is meaningful to compare chlordecone baits with liquid, dust, or wettable powder formulations of other pesticides unless bait formulations of the others have been prepared and evaluated under use conditions.

The use of insecticides for controlling household pests must be classified in the "minor use" category. Based on current trends in registration/re-registration, the costs involved in generating data for new registrations, and the uncertainty of market potential and protection, it is unlikely that new compounds will reach the market unless they also have efficacy on a major commodity. Where efficacy has been demonstrated and use patterns are such as to pose minimal health and environmental risks as in the case of chlordecone, every effort should be made to retain such uses. Looking to the future, this must be a consideration in planning pest control strategies both for USDA and EPA.

In summary, the Department wishes to emphasize that when used according to label directions, chlordecone as formulated is effective for the control of ants, roaches, and other household pests. Established use experience shows that when inaccessible containers or inaccessible placement are used, the bait displays a high degree of safety in handling with low potential for exposure to humans and animals. Considering the methods of use and the low concentration of active ingredient involved, the probability of unreasonable adverse effects on the environment is remote. The fact that other pesticides may be available for controlling household pests, does not ameliorate the concern that many of these are (a) currently listed as possible RPAR candidates, (b) designated for restricted use, (c) may exhibit less convenience, (d) could offer greater exposure to humans and animals, and (e) may be less effective. It is important and should be recognized that resistance to chemicals does occur in biological systems. Thus, there is sound justification for maintaining an array of safe pesticides which are properly labeled and when used as directed on the label do not present an unreasonable adverse effect on the environment, including humans.

#### HEALTH AND ENVIRONMENTAL CONSIDERATIONS

The impact on human health from the continued use of chlordecone for ant and cockroach control was considered by EPA (October 5, 1976) when it prepared the notice of intent to cancel. The Department concurs with the EPA determinations that:

1. The use of chlordecone baits for control of ants and cockroaches is expected to result in minimal human exposure because most traps contain only 0.125 percent active ingredient.

2. The inaccessible traps or products, defined as all enclosed traps made from metal or plastic, as well as metal stakes hammered into the ground, offer very little human risk. Assessment of risk indicates a low probability of even one case of cancer resulting from the registered uses of 0.125 percent chlordecone bait.

3. Some of the inaccessible metal traps are filled with automatic machinery and permanently sealed. While they provide, small openings for the ants, they are virtually impossible to open any further without a screw driver or pliers.

4. If chlordecone is used in baits according to the label for a three year period, there is no significant risk of cancer from either enclosed traps or accessible baits by the inhalation route.

5. The Pesticide Episode Response Branch, Operations Division, Office of Pesticide Programs, EPA summarized 56 reports involving humans and chlordecone. "Of the 56 reports involving humans, 52 involved children under five years of age, two involved adults (17-65) and two specified no age. Of the 52 episodes involving children under five, 43 occurred in the home. Nine did not indicate a location. All home related episodes involved products designed to control household insect pests, primarily ants and roaches. The majority of these involved children who found the pesticide and placed it in their mouths. No symptoms were listed in any of these instances. Several reports stated that treatment rendered was precautionary rather than in response to symptoms."

"Of the two episodes involving adults, one was an attempted suicide and the other a job-related incident in which a man who worked in a packaging plant developed dermatitis on his hands. No fatalities were reported involving the active ingredient Kepone. The only health effect noted in any of the reports was the dermatitis which occurred as stated above."

6. "The human risk of exposure by inhalation of Kepone from inaccessible products is judged to be low. The dermal and oral exposure of children is also judged to be low."

In summary, the Department believes that the registered use of chlordecone baits for controlling ants, cockroaches and other household pests presents minimal human exposure and hazard because:

1. The baits contain only 0.125 percent active ingredient.

2. There is minimal accessibility to baits in the traps, especially those traps defined as inaccessible by EPA.

3. When chlordecone baits are used according to the label for a three year period, there is no significant risk of cancer via the inhalation route, as concluded by EPA.

4. In the Episode Reports involving humans, there were no indication of poisoning from exposure to chlordecone in any of the reported cases of suspected exposure.

#### SUMMARY

The Department concurs with the determination of the Administrator of EPA that "distribution, sale, and use of existing stocks

of the listed inaccessible chlordecone products are not inconsistent with the purposes of FIFRA as amended and will not have unreasonable adverse effects on the environment." As a matter of record, however, the Department's assessment is that the combination of inaccessible packaging, the low concentration of active ingredient, the lack of evidence of adverse health effects due to exposure, and the lack of evidence to support adverse environmental contamination lends strong justification for not placing a time period on the availability and use of chlordecone for ant, roach and other household pest control.

Important considerations in the use of pesticides in public buildings and the home are the safety associated with use, the accuracy of application and potential exposure. The use of prepackaged pesticides with pre-measured quantities of active ingredient offer accuracy of application, minimize the likelihood of misuse and exposure in handling and provide distinct advantages of safety to humans and pets. The information prepared by EPA, which accompanied the Notice of Intent to Cancel, supports these favorable characteristics for chlordecone.

In assessing benefit, the dollar cost to consumers is only one aspect of evaluation; whether placed in monetary terms or not, consideration must be given to effectiveness of control, safety in handling, ease of application, level of exposure, safety to humans and animals and potential for environmental contamination.

After considering needs in pest control, methods of use, the present and future anticipated registration status of other pesticides, the health and environmental data and the scientific conclusions accompanying the Notice of Intent to Cancel, the Department must conclude that the benefits do exceed the risks for the use of inaccessible bait formulations of chlordecone.

Regarding the baits packaged in an accessible form, the added potential for exposure to children and pets is recognized. The likelihood of children ingesting unenclosed sweetened baits, both stored and as applied, is presumed to be greater than the enclosed baits and may be sufficient to warrant permitting continued use only under restrictive labeling as to placement (inside walls and under stationary appliances) and use only by certified applicators. Use information verifies that for the control of inaccessible colonies of ants and for the control of certain cockroach species, accessible formulations are most effective. Labeling changes to assure inaccessible placement and use only by certified applicators should be considered.

#### REFERENCES

- EPA. Notice of intent to cancel Kepone products, Action memorandum by Frederick Hageman. 6 pp. October 5, 1976.
- EPA. Addendum to carcinogenic risk assessment of Kepone. Memorandum by Robert F. Potrepka. 2 pp. August 5, 1976.
- EPA. Episode summary for reports involving Kepone. Pesticide Episode Report System, Report No. 72. 2 pp. 2 tables. June 21, 1976.
- EPA. Notice of Intent to Cancel Pesticide Products Containing chlordecone, trade name Kepone. Memorandum from A. W. Breidenbach to J. A. Knebel. 2 pp. December 9, 1976.
- EPA. Preliminary Benefit Analysis of Kepone for Control of Ants and Cockroaches in Domestic Dwellings. Prepared by Roger C. Holtorf. August 20, 1976.
- EPA. Kepone: Position Document 3. (OPP-66019). Kepone Working Group. August 19, 1976.

[FR Doc.77-10482 Filed 4-8-77;8:45 am]

## NOTICES

[FRL 712-5; OPP-30000/11]

## PESTICIDE PROGRAMS

**Rebuttable Presumption Against Registration and Continued Registration of Pesticide Products Containing Potassium Arsenite**

The Environmental Protection Agency (EPA) promulgated regulations (40 CFR 162) for the registration, reregistration and classification of pesticides on July 3, 1975 (40 FR 28242). Section 162.11 of the regulations provides that a rebuttable presumption against registration (RPAR) shall arise if it is determined that a pesticide ingredient meets or exceeds any of the criteria for risk set forth in § 162.11(a)(3). If it is determined that an RPAR has arisen, the regulations require that the registrant be notified by certified mail and that the registrant be provided with an opportunity to submit evidence in rebuttal of the presumption. In addition, the Agency provides notice to the public to solicit comments from interested parties and to obtain any additional information relevant to the RPAR.

Pesticide ingredients which are suspected of meeting or exceeding the risk criteria set out in 40 CFR 162.11 are referred to the Office of Special Pesticides Review (OSPR), the organizational element within the Office of Pesticide Programs responsible for administering the RPAR process. The OSPR Director is then required to make a preliminary decision concerning whether there is a basis for concluding that one or more of the 40 CFR 162.11 risk criteria have been met or exceeded. If the OSPR Director so decides, the pesticide ingredient is considered an RPAR candidate, and appropriate steps are taken to set the RPAR process in motion for that ingredient.

In keeping with its general policy of informing all interested persons of its activities, OSPR has decided to publish a notice in the FEDERAL REGISTER whenever the administrative process is terminated for a pesticide ingredient which has been accepted as an RPAR candidate. This notice will, among other things, set forth the Agency's rationale for terminating RPAR review of the pesticide ingredient in question.

Potassium arsenite was accepted as an RPAR candidate, along with a number of organic and inorganic arsenic compounds. Since accepting this compound as an RPAR candidate, OSPR has conducted an investigation to identify registrations and applications for registration of pesticide products containing potassium arsenite. This investigation has revealed that there are no potassium arsenite products currently registered with the Agency. Furthermore, there are no applications for registration of products containing potassium arsenite pending at this time. Therefore, OSPR has terminated the administrative process with regard to this pesticide chemical.

Dated: April 5, 1977.

EDWIN L. JOHNSON,  
Deputy Assistant Administrator  
for Pesticide Programs.

[FR Doc.77-10672 Filed 4-8-77; 8:45 am]

[FRL 711-8]

## STATE OF MICHIGAN

**Alternative Air Quality Maintenance Area Analysis Procedures; Approval**

Notice is hereby given that, pursuant to the authority contained in 40 CFR § 51.63, three alternative Air Quality Maintenance Area analysis procedures are approved for the State of Michigan. First, the analysis period is reduced from 20 years to 10 years, as allowed in 40 CFR § 51.42. Second, an alternative dispersion model, Michigan Long-Term Model (MILT), may be used for the projection of air quality concentrations replacing the Air Quality Display Model (AQDM), as allowed in 40 CFR § 51.46. MILT uses dispersion coefficients that differ from the AQDM and contains refinements to take into account various effects such as stack downwash and gravitational settling. The third alternative procedure, approved under 40 CFR § 51.44, allows emissions data for point and area sources to be submitted in a format differing from that specified in the AQMA Analysis Guidelines, Vol. 7, Projecting County Emissions, Second Edition (EPA-450/4-74-008). The approved alternative procedure will specify each point and area source grid location, dispersion parameters, and emission rate.

For further information related to this notice, contact Mr. Wayne E. Pearson of the Air Programs Branch, U.S. Environmental Protection Agency, 230 South Dearborn Street, Chicago, Illinois, 60604, telephone number 312-353-2205 or Mr. Del Rector of the Air Quality Division, Michigan Department of Natural Resources, Post Office Box 30028, Lansing, Michigan 48909.

Dated: March 31, 1977.

GEORGE R. ALEXANDER, JR.,  
Regional Administrator.

[FR Doc.77-10483 Filed 4-8-77; 8:45 am]

[FRL 712-1; OPP-42040A]

## STATE OF UTAH

**Approval of State Plan for Certification of Pesticide Applicators**

Section 4(a)(2) of the Federal Insecticide, Fungicide and Rodenticide Act (FIFRA), as amended (86 Stat. 973; 89 Stat. 751; 7 U.S.C. 136 et seq.) and the implementing regulations of 40 CFR Part 171, require each state desiring to certify applicators to submit a plan to EPA for its certification program. Any state certification program under this section shall be maintained in accordance with the State Plan approved under this section.

On January 31, 1977, notice was published in the FEDERAL REGISTER (42 FR 5747) announcing the intent of the Regional Administrator, EPA Region VIII, to approve, on a contingency basis, the Utah State Plan for the Certification of Pesticide Applicators (Utah State Plan). Contingency approval was requested by the State of Utah pending enactment of amendments to existing legislation and promulgation of imple-

menting regulations. Copies of the Utah State Plan were made available for public inspection at the Utah Department of Agriculture office in Salt Lake City, Utah, the EPA Region VIII office in Denver, Colorado, and the Office of Pesticide Programs, EPA Headquarters, Washington, D.C.

The Utah State Plan will remain available for public inspection at the Utah Department of Agriculture, 147 North 200 West, Salt Lake City, Utah.

No comments were received concerning the Utah State Plan during the allowed comment period. Therefore, it has been determined that the Utah State Plan will satisfy the requirements of the amended FIFRA and of 40 CFR Part 171, if the proposed regulations described in the State Plan are promulgated by the Utah Department of Agriculture. The amendments to the existing Utah State Statutes necessary to implement the State Plan were passed by the Utah State Legislature on February 25, 1977.

The contingency approval shall expire September 30, 1977 if these terms and conditions are not satisfied by that time. On or before the expiration of the period of contingency approval, a notice shall be published in the FEDERAL REGISTER describing the extent to which these terms and conditions have been satisfied, and the approval status of the Utah State Plan as a result thereof.

## EFFECTIVE DATE

Pursuant to section 4(d) of the Administrative Procedure Act, 5 U.S.C. 553 (d), the Agency finds there is good cause for providing that the contingency approval granted herein to the Utah State Plan shall be effective upon the signature of this notice. Neither the Utah State Plan nor this Agency's contingency approval of the Plan creates any direct or immediate obligation on pesticide applicators or other persons in the State of Utah. Delays in starting the work necessary to implement the Plan as may be occasioned by providing some later effective date for this contingency approval are inconsistent with the public interest. Accordingly, this contingent approval shall become effective immediately.

Dated: March 31, 1977.

JOHN A. GREEN,  
Regional Administrator,  
Region VIII.

[FR Doc.77-10485 Filed 4-8-77; 8:45 am]

[FRL 711-7]

## STATE OF WISCONSIN

**Alternative Air Quality Maintenance Area Analysis Procedures; Approval**

Notice is hereby given that, pursuant to the authority contained in 40 CFR § 51.63, alternative Air Quality Maintenance Area (AQMA) analysis procedures are approved for the State of Wisconsin. Unless modified under 40 CFR § 51.63, 40 CFR § 51.46(c)(1) calls for the projection of air quality concentrations using the Air Quality Display Model (AQDM) or an equivalent dispersion model. The AQDM uses area and

point source emissions as inputs to the same Gaussian dispersion expression. The State of Wisconsin requested that two separate models, WIS\*ATMDIF, MLTPT and WIS\*ATMDIF, URBAN, be accepted as a substitute for the AQDM. The point source model (WIS\*ATMDIF, MLTPT) uses dispersion coefficients that differ from those used in the AQDM for the stable stability classes. The dispersion coefficients are appropriate for the geographic areas in which the model is being applied. The area source model (WIS\*ATMDIF, URBAN) is a non-Gaussian box model.

Because of the good statistical correlation with monitored air quality data, the use of these two models is approved as an alternate to the AQDM for the AQMA strategy development in Southeastern Wisconsin.

For further information related to this notice, contact Mr. Wayne E. Pearson of the Air Programs Branch, U.S. Environmental Protection Agency, 230 South Dearborn Street, Chicago, Illinois 60604, telephone number 312-353-2205 or Mr. Paul Kozlar of the Bureau of Air and Solid Waste Management, Wisconsin Department of Natural Resources, Post Office Box 450, Madison, Wisconsin 53701.

Dated: March 31, 1977.

GEORGE R. ALEXANDER, JR.,  
Regional Administrator.

[FR Doc. 77-10484 Filed 4-8-77; 8:45 am]

## FEDERAL COMMUNICATIONS COMMISSION

[Docket No. 21181]

PATRICIA E. HOWELL

Application for Citizens Band Radio Station  
License in Citizens Band Radio Service

Adopted: March 28, 1977.

Released: April 5, 1977.

The Chief, Safety and Special Radio Services Bureau, has under consideration the above-captioned application for a Citizens Band radio station license by Patricia E. Howell, 5 Greenwood Avenue, Baltimore, Maryland 21206, on July 5, 1976.

1. On numerous occasions during 1973 and 1974, Howell operated a Citizens Band radio station without a license. She failed to heed repeated warnings from the Commission regarding her unlicensed operation, and on August 16, 1974, the Commission released an Order to Cease and Desist (SS-026-74). After release of that Order, Howell continued to operate. Her continued unlicensed operation necessitated the initiation of criminal proceedings by the United States Attorney for the District of Maryland.

2. On February 13, 1975, in the United States District Court, Maryland District, Howell pleaded guilty to and was convicted of unlicensed operation of a Citizens Band radio station, in violation of section 301 of the Communications Act of 1934, as amended.

3. In a Commission letter dated December 10, 1976, Howell was offered an opportunity to submit any facts which

she believed relevant to the Commission's determination of whether to grant her application or to designate it for hearing. She was also informed that her temporary authority to operate had expired,<sup>1</sup> and that further operation by her would be unlicensed operation, in violation of section 301 of the Communications Act of 1934, as amended.

4. On January 4, 1977, the Commission received a reply from the applicant. In her letter, Howell stated that she did understand the seriousness of her past violations. She asked what harm might occur if she were given an opportunity to be a licensee, and she suggested that the Commission could "sock it to" her if she were "stupid enough to foul up."

5. Howell's repeated failure to abide by the strictures of the Communications Act raises a serious question as to her qualifications to be a Commission licensee. Her suggestion that her license could be revoked if she violates the rules does not offer reassurance that the applicant is firmly committed to compliance with the Rules.

6. Furthermore, despite the warning contained in the Commission's December 10, 1976, letter to Howell, she apparently operated a Citizens Band radio station on January 27, 1977, without a license, in violation of Section 301 of the Communications Act of 1934, as amended.

7. In view of the findings and conclusions of the Order to Cease and Desist (SS-026-74); the conviction of February 13, 1975, for violation of Section 301 of the Communications Act of 1934, as amended; and her apparently unlicensed operation of January 27, 1977, it cannot be determined that a grant of Howell's application would serve the public interest, convenience, and necessity. Therefore, the Commission must designate the application for hearing. The findings and conclusions of the Cease and Desist Order shall be res judicata as to the applicant and shall not be relitigated in this proceeding.

Accordingly, it is ordered, Pursuant to section 309(e) of the Communications Act of 1934, as amended, and §§ 0.331 and 1.973(b) of the Commission's Rules that the captioned application is designated for hearing at a time and place to be specified by subsequent Order, upon the following issues:

(1) To determine the effect of the facts and conclusions contained in the Order to Cease and Desist, released August 16, 1974 (SS-026-74), upon the applicant's qualifications to be a licensee of the Commission.

(2) To determine the effect of the conviction of February 13, 1975, of violation of Section 301 of the Communications Act of 1934, as amended, in the United States District Court, District of Maryland, upon the applicant's qualifications to be a licensee of the Commission.

<sup>1</sup>An applicant for a Citizens Band radio station license may obtain temporary authority to operate CB transmitting equipment prior to being licensed, for a period not to exceed sixty (60) days, by completing FCC Form 555-B and meeting the requirements specified therein.

(3) To determine whether the applicant engaged in unlicensed operation subsequent to her February 13, 1975, conviction.

(4) To determine, in light of the evidence adduced under the foregoing issues, whether the applicant has the requisite qualifications to be a licensee of the Commission.

(5) To determine whether the public interest, convenience and necessity would be served by a grant of Howell's application for a Citizens Band radio station license.

It is further ordered, That to avail herself of the opportunity to be heard, the applicant herein, pursuant to § 1.221(c) of the Commission's rules, in person or by attorney, shall within twenty days of the mailing of this Order, file with the Commission in triplicate a written appearance stating an intention to appear on the date fixed for hearing and to present evidence on the issues specified in the Order. Failure to file a written appearance within the time specified may result in dismissal of the application with prejudice.

Chief, Safety and Special Radio Services Bureau.

GERALD M. ZUCKERMAN,  
Chief, Legal, Advisory and  
Enforcement Division.

[FR Doc. 77-10534 Filed 4-8-77; 8:45 am]

## FEDERAL MARITIME COMMISSION

[Docket No. 76-46; Agreements Nos. T-3191, et al.]

PUERTO RICO PORTS AUTHORITY,  
ET AL.

Amended Order of Investigation and  
Hearing

In our September 22, 1976, Order of Conditional Approval of Agreement No. DC-75, we stated that the Order of Investigation and Hearing in this proceeding would be amended to include the questions of whether the agreements between the Puerto Rico Ports Authority (Port) on the one hand and SeaLand Service, Inc. (SeaLand), and the Puerto Rico Maritime Shipping Authority (PRMSA) for the lease or use of berths or land parcels at Puerto Nuevo, San Juan, Puerto Rico, are subject to section 15, and, if so, whether they should be approved, disapproved, or modified.

On the basis of information before us, it would appear that the following agreements fall into this category:<sup>1</sup>

<sup>1</sup> Many of these agreements were filed with the Commission in the early days of the Commission's existence and were determined not to be subject to section 15. Since the circumstances surrounding these agreements has changed and the standards for determining whether a terminal agreement is subject to section 15 of the Shipping Act, 1916 have evolved considerably, these agreements need to be reexamined. Furthermore, even though Agreement No. T-3212 was approved, the approvability of that Agreement should be reexamined as a part of the whole Puerto Nuevo arrangement. Those agreements which have not been filed for Commission action are referred to herein by the Port's number.



Agreement No. T-1582 (Port No. AP-62-63-87) is between the Port and Sea-Land and provides for Seal-Land's fifteen-year lease (with renewal options) of Parcel 8, Puerto Nuevo, for the installation and operation of a temporary break-bulk terminal.

Agreement No. T-1583 (Port No. AP-62-63-169), dated July 15, 1963, is between the Port and Sea-Land and provides for Sea-Land's lease of Parcels 6 and 7, Puerto Nuevo, and preferential berthing privileges at Berths E (Parcel 5-A) and F (Parcel 5-B), Puerto Nuevo, for a term of fifteen years, with a renewal option for an additional five-year period.

Agreement AP-64-65-41, dated August 12, 1964, is an unfiled amendment to Agreement No. T-1583, above, providing for Sea-Land's construction of structural members for gantry cranes at Berths E and F, Puerto Nuevo, and grants Sea-Land the right to install gantry cranes at Berths E and F at its own expense, subject to terms and conditions to be negotiated by the parties at the time of such installation.

Agreement AP-64-65-237, dated April 28, 1965, is an unfiled amendment to Agreement No. T-1583, above, providing for Sea-Land's construction of foundation beams for the gantry cranes to be erected at Berths E and F, Puerto Nuevo.

Agreement No. T-1583-1 (Port No. AP-65-66-29), dated August 3, 1965, amends Agreement No. T-1583, above, by providing for Sea-Land's lease of Parcel 6-A, a 1.1 cuerda parcel adjacent to Parcel 6, Puerto Nuevo; the installation of certain improvements at Parcel 6-B; and the installation of certain ancillary facilities for Sea-Land's cranes at Berths E and F.

Agreement AP-65-66-28, dated September 2, 1965, is an unfiled amendment to Agreement No. T-1583, above, providing for Sea-Land's installation and use of up to four gantry cranes at Berths E and F, Puerto Nuevo.

Agreement A7-AP-62-63-169, dated April 3, 1972, is an unfiled amendment to Agreement No. T-1583, above, providing for Sea-Land's lease of an additional 0.9171 cuerdas adjacent to Parcel 7, Puerto Nuevo.

Agreement No. T-3271 (Port No. AP-75-76-(0)070), dated October 1, 1975, cancels Agreement No. T-1583 and all amendments thereto effective upon the effective date of Agreement No. T-3191. Agreement No. T-3271 was filed for Commission review pursuant to section 15, Shipping Act, 1916, on November 26, 1976.

Agreement No. T-2253 (Port No. AP-68-69-57), dated November 20, 1968, is between the Port and Sea-Land and provides for the construction of Berths G and H (Lots 10-A and 10-B), Puerto Nuevo; Sea-Land's preferential use of these berths; and Sea-Land's lease of Lots 11 and 12 Puerto Nuevo; for a term of fifteen years.

Agreement A-1 AP-68-69-57, dated September 24, 1971, is an unfiled amendment to Agreement No. T-2253, above providing for Sea-Land's lease of an ad-

ditional 3.006 cuerdas at Puerto Nuevo for the parking of containers.

Agreement No. T-2254 (Port No. AP-68-69-58), dated November 20, 1968, is between the Port and Sea-Land and provides for the construction of foundation beams and crane rails at Berths G and H, Puerto Nuevo, and grants Sea-Land the right to install gantry cranes at Berths G and H at its own expense, subject to the terms and conditions to be negotiated by the parties at the time of such installation.

Agreement AP-67-68-48, dated October 16, 1967, is an unfiled agreement between the Port and TTT providing for TTT's exclusive use of Parcel 4, Puerto Nuevo, and the preferential use of Berth C, Puerto Nuevo, 100 feet of Berth B, Puerto Nuevo, and 3.917 cuerdas of adjacent back-up area, for a term of fifteen years. TTT's interest in this agreement was acquired by PRMSA through the acquisition of TTT's subsidiary, TTT of Puerto Rico, Inc., this providing the basis for PRMSA's current Roll-on/Roll-off operations at these facilities.

A March 7, 1968 letter amendment to Agreements AP-67-68-48 and AP-67-68-49, is an unfiled amendment providing for an increase in area and rentals in connection with preferential berthing privileges under Agreement AP-67-68-48, above.

"Amendment I to Contract AP-67-68-48", dated June 2, 1969, is an unfiled amendment providing for TTT's exclusive use of a marine terminal building situated to the South of Berth C, Puerto Nuevo.

Agreement A-2 AP-67-68-48, dated November 27, 1970 is an unfiled amendment to Agreement AP-67-68-48, above, and provides for TTT's lease of a one cuerda parcel located between Berth C, Puerto Nuevo, and a parcel owned by the Puerto Rico Water Resources Authority.

Agreement A-3 AP-67-68-48, dated April 15, 1971, is an unfiled amendment to Agreement AP-67-68-48, above, and provides for a one-year extension of Agreement A-2 AP-67-68-48.

A November 16, 1972, letter amendment to Agreement AP-67-68-48, above, is an unfiled amendment providing for TTT's lease of a plot of land formerly used as a parking area by the Commercial Development Company.

Agreement No. T-3211 (Port No. AP-75-76-(4)-042), dated October 1, 1975, is between the Port and PRMSA and provides for PRMSA's fifteen year lease of Parcels IV-F and IV-G, Puerto Nuevo. Agreement No. T-3211 was filed for Commission review pursuant to section 15 on December 4, 1975, and was subsequently protested by Seatrain Gitmo, Inc. (Sea-train). However, Seatrain has since withdrawn its protest.

Agreement No. T-3211-1 (Port No. (A-1) AP-75-76-(4)042A) amends Agreement No. T-3211, above, by correcting the compensation to be paid under the basic agreement. Agreement No. T-3211-1 was filed on July 26, 1976, and was subsequently protested by Seatrain. However, Seatrain has since withdrawn its protest.

Agreement No. T-3212, dated October 1, 1975, is between the Port and PRMSA and provides for PRMSA's eleven-year lease of Lot 9, Puerto Nuevo. Agreement No. T-3212 was approved on February 10, 1976.

Agreement No. T-3211-2/T-3212-1, dated October 27, 1976 is a single document amending both Agreements T-3211 and T-3212 by granting an option to renew these agreements for additional fifteen-year terms upon the expiration of their basic term.

Agreement No. T-3393, dated November 17, 1976, is between the Port and PRMSA and grants PRMSA an option to lease a 32-acre tract of land behind Berth J, Puerto Nuevo, for fifteen years, with further options to renew for two additional terms of fifteen years each.

Agreement No. T-3416, dated October 27, 1976, is between the Port and PRMSA and grants PRMSA an option to lease a 15.3 cuerda portion of Lot C, Puerto Nuevo, for a fifteen-year term with an option to renew for an additional fifteen-year term upon the expiration of the original term.

Notwithstanding the fact that some of the above agreements have previously been either determined not to be subject to section 15 of the Shipping Act, 1916, or approved pursuant to section 15; all of these agreements fall within the scope encompassed by our September 22, 1976, Order in the matter of Agreement No. DC-75. In addition to the issues of whether these agreements are subject to section 15, and if so, whether they should be approved, disapproved or modified, we are also incorporating the following related issues into this proceeding:

(1) Whether or not the above-listed agreements and amendments, together with Agreements Nos. T-3191, T-3193, T-3199, T-3210 and the Puerto Nuevo Contract, represent all of the agreements among the Port, PRMSA and Sea-Land for PRMSA's and Sea-Land's use of Puerto Nuevo.

(2) Whether or not any of those agreements which are subject to section 15 relating to PRMSA's or Sea-Land's use of Puerto Nuevo, have been in any manner implemented prior to Commission approval in violation of section 15.

(3) Whether or not any of the above-listed agreements have in any manner been further amended or whether there are any other agreements subject to section 15 which permit PRMSA's use of Puerto Nuevo marine terminal facilities leased by Sea-Land from the Port.

It is therefore ordered, That Docket No. 76-46 be, and hereby is, amended to include the issue of whether Agreements Nos. T-1582, T-1583, AP-64-65-41, AP-64-65-237, T-1583-1, AP-65-66-28, A-7 AP-62-63-169, T-3271, T-2253, A-1 AP-68-69-57, T-2254, AP-67-68-48, the March 7, 1968, letter amendment to Agreements AP-67-68-48 and AP-67-68-49, "Amendment I to Contract AP-67-68-48", A-2 AP-67-68-48, A-3 AP-67-68-48, the November 16, 1972, letter amendment to Agreement AP-67-68-48, T-3211, T-3211-1, T-3212, T-3211-2/T-3212-1, T-3393, or T-3416 (hereinafter referred

to collectively as Agreements), individually or taken as a whole, are subject to section 15, Shipping Act, 1916, and, if so, whether they should be approved, disapproved, or modified.

It is further ordered, That Docket No. 76-46 be, and hereby is, amended to include the issue of whether there are any agreements, other than those enumerated in the first ordering paragraph, between the Port and PRMSA, between PRMSA and Sea-Land, or between the Port and Sea-Land, for the lease or use of berths or land parcels at Puerto Nuevo, San Juan, Puerto Rico, which are, either individually or taken as a whole, subject to section 15 of the Shipping Act, 1916, and, if so, whether they should be approved, disapproved or modified;

It is further ordered, That Docket No. 76-46 be, and hereby is, amended to include the issue of whether or not any of the Agreements determined to be subject to section 15, Shipping Act, 1916, relating to PRMSA's or Sea-Land's use of Puerto Nuevo marine terminal facilities have been implemented in any manner whatsoever prior to Commission approval in violation of section 15, Shipping Act, 1916.

By the Commission.

JOSEPH C. POLKING,  
Acting Secretary.

[FR Doc.77-10573 Filed 4-8-77;8:45 am]

### FEDERAL POWER COMMISSION

#### EMERGENCY NATURAL GAS ACT OF 1977

[Docket No. E77-86]

##### Emergency Order

On April 5, 1977, Piedmont Natural Gas Company, Inc. (Piedmont), filed, pursuant to section 6 of the Emergency Natural Gas Act of 1977 (Act), Pub. L. 95-2 (91 Stat. 4 (1977)), an application for an order authorizing Texaco, Inc. (Texaco) and Transcontinental Gas Pipe Line Corporation (Transco) to transport natural gas which Piedmont has purchased under section 6(a) of the Act. Also, on April 5, 1977, Texaco stated its willingness to assist Piedmont if duly ordered and authorized to perform such services. For the reasons set forth below, I authorize the requested transportation.

By contract dated March 10, 1977, Piedmont agreed to purchase approximately 1,000 Mcf per day of natural gas from Sabine Production Company (Sabine) at the Lafitte Field, Jefferson Parish, Louisiana. Piedmont will purchase these supplies at a price of \$2.15 per MMBtu inclusive of all state and local taxes and other adjustments. This price is fair and equitable in accordance with Order No. 2.

Sabine will deliver the gas to Piedmont at the points where the field tank batteries are connected to Texaco's gathering lines in the Lafitte Field. Texaco will transport the gas through its intrastate gathering lines to the outlet of its Paradise Gas Processing Plant, St. Charles Parish, Louisiana, where it will deliver the gas to Transco. Transco will deliver

the gas to Piedmont at existing delivery points.

Texaco will charge Piedmont 10 cents per Mcf for costs incurred to transport the subject gas. Transco will charge 45.8 cents per MMBtu plus 3.8 percent of the volumes for compressor fuel; this rate is to be collected subject to refund pursuant to order issued in Docket No. E77-83 (April 4, 1977). Piedmont states that its acceptance of Transco's proposed rate is without prejudice to its position that Transco's rate is not just and reasonable.<sup>1</sup>

Texaco has not advised whether any of the contracts covering intrastate gas flowing through the facilities used to transport gas for the benefit of Piedmont prohibit the sale or commingling of such gas with gas subject to the Natural Gas Act or the termination of such contracts as a result of such sale or commingling. The sale, transportation and delivery of gas for which Piedmont seeks approval may result in some commingling of interstate natural gas with Texaco's normal intrastate gas supplies and with gas owned by other third parties. This order shall be considered as applying to all such commingled gas. Under the provisions of section 9(b), (c) of Pub. L. 95-2 (91 Stat. at 9), the suppliers of such gas, which is so commingled, may not terminate existing contracts with Texaco or such other parties or require a redetermination of the prices provided in such contracts by reason of this transaction. Contractual termination, prohibition or redetermination provisions in any such contracts referred to above are not enforceable by reason of Section 9 of Public Law 95-2 since Sabine is selling and Texaco is delivering and transporting gas for Piedmont pursuant to Section 6(a) of that Act. Texaco and any third person whose gas is commingled with Piedmont's gas shall refer all relevant information concerning any attempt to terminate existing contracts or require a redetermination of prices to the Administrator for appropriate action.

According to the official files of the Federal Power Commission, Sabine is not classified as a natural gas company within the meaning of the Natural Gas Act. Section 6(b)(1)(A) of the Act provides in part that "[t]he provisions of the Natural Gas Act shall not apply \* \* \* to any sale to an interstate pipeline \* \* \* under the authority of subsection (a) or to any transportation by an intrastate pipeline in connection with such sale \* \* \*." 91 Stat. 4, 8. Texaco is a natural gas company within the meaning of the Natural Gas Act. Section 6(b)(1)(B) provides that the provisions of the Natural Gas Act shall not apply "to any natural gas company (within the meaning of the Natural Gas Act) solely by reason of any such sale or

<sup>1</sup> Piedmont's position is that in Transco's rate proceeding in Federal Power Commission Docket No. RP77-48, Piedmont indicates that it plans to contest Transco's proposed rate in FPC Docket No. RP77-48 and before the Administrator.

transportation." Thus, the sale, delivery and transportation of this gas will not subject Sabine, Texaco or any third person supplying gas to Texaco to the provisions of the Natural Gas Act or to regulation as a common carrier under state law.

Piedmont shall submit weekly reports as required by Order No. 4.

Pursuant to section 6(a) of the Act, I hereby authorize Sabine to sell to Piedmont up to 1,000 Mcf of natural gas on the terms and conditions set forth in Piedmont's filing in this proceeding. Pursuant to Section 6(c)(1) of the Act, I hereby authorize and order Texaco and Transco to transport gas for Piedmont. Since the parties have agreed upon the transportation charges (subject to Piedmont's reservations), I find no basis for prescribing other charges.

This order is issued pursuant to the authority delegated to me by the President in Executive Order No. 11989 (February 2, 1977), and shall be served upon Piedmont, Texaco, Sabine, and Transco. This order shall also be published in the FEDERAL REGISTER.

This order and authorization granted herein are subject to the continuing authority of the Administrator under Pub. L. 95-2 and the rules and regulations which may be issued thereunder.

RICHARD L. DUNHAM,  
Administrator.

APRIL 6, 1977.

[FR Doc.77-10567 Filed 4-8-77;8:45 am]

#### EMERGENCY NATURAL GAS ACT OF 1977

[Docket No. E77-851]

##### Emergency Order

On April 4, 1977, Texas Gas Transmission Corporation (Texas Gas) filed, pursuant to Section 6 of the Emergency Natural Gas Act of 1977 (Act), Pub. L. 95-2 (91 Stat. 4 (1977)), an application for authorization to transport natural gas for the account of Indiana Gas Company (Indiana Gas).<sup>1</sup>

Trunkline Gas Company (Trunkline), acting as agent for Indiana Gas, has executed a contract with Good Hope Refineries, Inc. (Good Hope) for the sale of natural gas pursuant to Section 6(a) of the Act (91 Stat. at 7-8). The total price to be paid by Trunkline as agent, is \$2.25 per MMBtu. Thus, the proposed price is fair and equitable in accordance with Order No. 2.

Texas Gas will receive the subject volumes from Trunkline at Dyersburg, Tennessee; and transport and deliver such volumes to Indiana Gas at existing delivery points. No new facilities are required to perform this service.

Texas Gas' proposed transportation rates are based upon the cost data supporting the settlement rates in Texas Gas' most recent Federal Power Commission rate case in Docket No. RP76-17 plus 1.25 percent of the transported

<sup>1</sup> Indiana Gas is a local distribution company as defined in Section 2(1) of the Act (91 Stat. 4).

volumes for compressor fuel and company use and loss. I find no basis on which to prescribe other charges since the parties have agreed upon the transportation charges.

Pursuant to Section 6(c)(1) of the Act (91 Stat. at 8), I authorize Texas Gas to transport gas for Indiana Gas.

Indiana Gas shall submit weekly reports as required by Order No. 4 and shall certify that it is qualified to make this purchase under Order No. 6.

This order is issued pursuant to the authority delegated to me by the President in Executive Order No. 11969 (February 2, 1977), and shall be served upon Texas Gas, Trunkline, Indiana Gas and Good Hope. This order shall also be published in the FEDERAL REGISTER.

This order and authorization granted herein are subject to the continuing authority of the Administrator under Pub. L. 95-2 and the rules and regulations which may be issued thereunder.

RICHARD L. DUNHAM,  
Administrator.

APRIL 5, 1977.

[FR Doc.77-10568 Filed 4-8-77;8:45 am]

[Docket No. E77-87]

#### EMERGENCY NATURAL GAS ACT OF 1977 Emergency Order

On April 5, 1977, Texas Gas Transmission Corporation (Texas Gas), as agent for certain of its customers,<sup>1</sup> filed, pursuant to Section 6 of the Emergency Natural Gas Act of 1977 (Act), Pub. L. 95-2 (91 Stat. 4 (1977)), an application for authorization to transport natural gas which it is purchasing for certain of its customers and to construct the facilities necessary to receive this gas into its pipeline system.

Texas Gas, as agent, executed a contract on March 18, 1977, with Par Oil Corporation (Par) for the purchase of approximately 2,000 Mcfd from the Athens Field, Claiborne Parish, Louisiana. The total price to be paid by Texas Gas, as agent, is \$2.25 per MMBtu. Thus, the proposed price is fair and equitable in accordance with Order No. 2.

Texas Gas will receive these volumes in Claiborne Parish, Louisiana, and transport these supplies through its existing pipeline facilities to the customers for which it is purchasing the gas. Texas Gas' proposed transportation rates are based upon the cost data supporting the settlement rates in Texas Gas' most recent Federal Power Commission rate case in Docket No. RP76-17 and the retention of a percent of the transported volumes for compressor fuel and company use and loss. I find no basis for prescribing other charges since the parties have agreed upon the transportation charges.

Based upon the foregoing, Texas Gas is authorized to purchase gas, as agent, from Par and to transport such gas for certain of its customers. This authori-

<sup>1</sup> These customers are local distribution companies and interstate pipelines as defined in sections 2(1), (5) of the Act (91 Stat. 4).

zation is conditioned on (i) Texas Gas' submission of the names of the customers for which it is acting as agent, (ii) those customers agreeing to submit reports as required by Order No. 4 and (iii) such customers certifying that they are entitled to purchase gas under the provisions of Order No. 6.

This order is issued pursuant to the authority delegated to me by the President in Executive Order No. 11969 (February 2, 1977), and shall be served upon Texas Gas and Par. This order shall also be published in the FEDERAL REGISTER.

This order and authorization granted herein are subject to the continuing authority of the Administrator under Pub. L. 95-2 and the rules and regulations which may be issued thereunder.

RICHARD L. DUNHAM,  
Administrator.

APRIL 6, 1977.

[FR Doc.77-10570 Filed 4-8-77;8:45 am]

#### EMERGENCY NATURAL GAS ACT OF 1977 Emergency Order

On April 6, 1977, Texas Gas Transmission Corporation (Texas Gas), as agent for the certain of its customers,<sup>1</sup> filed, pursuant to section 6 of the Emergency Natural Gas Act of 1977 (Act), Pub. L. 95-2 (91 Stat. 4 (1977)), an application for authorization to transport natural gas which it is purchasing for certain of its customers.

Texas Gas, as agent, executed a contract on March 14, 1977, with Getty Oil Company (Getty) for the purchase of approximately 350 Mcfd from the Carthage Field, Panola County, Texas. The total price to be paid by Texas Gas, as agent, is \$2.25 per MMBtu. Thus, the proposed price is fair and equitable in accordance with Order No. 2.

Texas Gas will receive these volumes at the outlet of the Champlin Gasoline Plant, Panola County, Texas and transport these supplies through its existing pipeline facilities to the customers for which it is purchasing the gas. Texas Gas' proposed transportation rates are based upon the cost data supporting the settlement rates in Texas Gas' most recent Federal Power Commission rate case in Docket No. RP76-17 and the retention of a percent of the transported volumes for compressor fuel and company use and loss. I find no basis on which to fix other charges since the parties have agreed upon the transportation charges.

Based upon the foregoing, Texas Gas is authorized to purchase gas, as agent, from Getty and to transport such gas for certain of its customers. This authorization is conditioned on (i) Texas Gas' submission of the names of the customers for which it is acting as agent, (ii) those customers agreeing to submit reports as required by Order No. 4 and (iii) such customers certifying that they are en-

<sup>1</sup> These customers are local distribution companies and interstate pipelines as defined in sections 2(1), (5) of the Act (91 Stat. 4).

titled to purchase gas under the provisions of Order No. 6.

This order is issued pursuant to the authority delegated to me by the President in Executive Order No. 11969 (February 2, 1977), and shall be served upon Texas Gas and Getty. This order shall also be published in the FEDERAL REGISTER.

This order and authorization granted herein are subject to the continuing authority of the Administrator under Pub. L. 95-2 and the rules and regulations which may be issued thereunder.

RICHARD L. DUNHAM,  
Administrator.

[FR Doc.77-10662 Filed 4-8-77;8:45 am]

[Docket No. E77-79]

#### Supplemental Emergency Order

By order issued March 28, 1977, pursuant to Section 6 of the Emergency Natural Gas Act of 1977 (Act), Pub. L. 95-2 (91 Stat. 4 (1977)), Transwestern Pipeline Company (Transwestern) was authorized to continue an emergency purchase under Section 6 from Inxco Oil Company (Inxco) through July 31, 1977, notwithstanding Order No. 6. On March 31, 1977, Cities Service Gas Company (Cities Service) requested clarification of that order regarding Cities Service's eligibility to purchase emergency gas from Transwestern.

Order No. 6 specifies that, subsequent to February 22, 1977, no interstate pipeline or local distribution company may execute a contract for the purchase of gas pursuant to section 6 of the Act if, contemporaneously with the execution of the contract, the purchaser was serving directly or indirectly any uses specified in Priorities 4 through 9 (18 CFR 2.78 (a) (1) (iv)-(ix)). Order No. 6 is directed to the initial purchaser of the gas not a subsequent recipient of the gas.<sup>1</sup> Transwestern's authorization to purchase this gas encompasses the right to sell such gas to its customers. Therefore, Transwestern may sell the appropriate share of this gas to Cities Service.

Cities Service also raises the question of the manner in which it may recover the cost of the emergency purchase gas. The question of how the costs associated with emergency purchases are to be recovered pursuant to Section 7 of the Act (91 Stat. 4, 8) is currently under consideration. A general order regarding this matter will be issued in the near future.

This order is issued pursuant to the authority delegated to me by the President in Executive Order No. 11969 (February 2, 1977), and shall be served upon Transwestern, Cities Service, Inxco,

<sup>1</sup> Order No. 6 requires that a pipeline not be serving any uses classified in Priorities 4 through 9 contemporaneously with the execution of a contract for an emergency purchase. Thus, a pipeline is required to determine whether any person ultimately receiving gas from it is serving any proscribed uses contemporaneously with the execution of the contract.

CIG, Northwest, and El Paso. This order shall also be published in the FEDERAL REGISTER.

This order is subject to the continuing authority of the Administrator under Pub. L. 95-2 and the rules and regulations which may be issued thereunder.

RICHARD L. DUNHAM,  
Administrator.

APRIL 4, 1977.

[FR Doc.77-10569 Filed 4-8-77; 8:45 am]

### FEDERAL RESERVE SYSTEM CITY NATIONAL BANK CORP.

#### Acquisition of Bank

City National Bank Corporation, Miami, Florida, has applied for the Board's approval under section 3(a)(3) of the Bank Holding Company Act (12 U.S.C. 1842(a)(3)) to acquire 22.5 percent or more of the voting shares of the City National Bank of Lauderhill, Lauderhill, Florida. The factors that are considered in acting on the application are set forth in section 3(c) of the Act (12 U.S.C. 1842(c)).

The application may be inspected at the offices of the Board of Governors or at the Federal Reserve Bank of Atlanta. Any person wishing to comment on the application should submit views in writing to the Secretary, Board of Governors of the Federal Reserve System, Washington, D.C. 20551, to be received not later than May 2, 1977.

Board of Governors of the Federal Reserve System, April 4, 1977.

GRIFFITH L. GARWOOD,  
Deputy Secretary of the Board.

[FR Doc.77-10507 Filed 4-8-77; 8:45 am]

### CLEVETRUST CORP.

#### Order Approving Acquisition of Bank

CleveTrust Corporation, Cleveland, Ohio ("Applicant"), a bank holding company within the mean of the Bank Holding Company Act, has applied for the Board's approval under section 3(a)(3) of the Act (12 U.S.C. 1842(a)(3)) to acquire all of the voting shares (less directors' qualifying shares) of Columbus Trust Company, Columbus, Ohio ("Bank"), a proposed de novo bank.

Notice of the application, affording opportunity for interested persons to submit comments and views, has been given in accordance with section 3(b) of the Act. The time for filing comments and views has expired and the application and all comments received have been considered in light of the factors set forth in section 3(c) of the Act (12 U.S.C. 1842(c)).

Applicant, the largest banking organization in Ohio, controls nine banks with aggregate deposits of \$3.1 billion, representing 9.98 percent of the total deposits in commercial banks in the State.<sup>1</sup> Since

<sup>1</sup> Unless otherwise indicated, all banking data are as of March 31, 1976.

the application involves the acquisition of a proposed de novo bank, consummation of the proposal would not immediately increase Applicant's share of commercial bank deposits in Ohio.

Bank is to be located in the downtown area of Columbus. The closest banking office of any subsidiary of Applicant is located 100 miles northeast of Bank's proposed site and none of Applicant's subsidiaries derives a significant amount of business from the anticipated service area of Bank.

Twenty-six banking organizations presently operate in the Columbus banking market.<sup>2</sup> Despite the large number of competitors, the market is highly concentrated. The three largest organizations control 85.6 per cent of total market deposits. Approval of the proposed transaction would provide the market with a new competitor. Given Applicant's resources and the prospects for continued growth in the Columbus area, the introduction of Bank could eventually lead to a reduction in the current high level of market concentration. Accordingly, on the basis of the facts of record, it has been concluded that consummation of the proposal would not have any adverse effects on existing or potential competition.

The financial and managerial resources and future prospects of Applicant and its subsidiary banks are regarded as satisfactory. Bank, as a proposed de novo bank, has no financial or operating history; however, its prospects as a subsidiary of Applicant appear favorable. Considerations relating to the banking factors are thus consistent with approval of the application. Considerations relating to the convenience and needs of the community to be served lend some weight toward approval of the application. It has been determined that consummation of the proposal would be in the public interest and that the application should be approved.

On the basis of the record, the application is approved for the reasons summarized above. The transaction shall not be made (a) before the thirtieth calendar day following the effective date of this order or (b) later than three months after the effective date of this order, unless such period is extended for good cause by the Board, or by the Federal Reserve Bank of Cleveland pursuant to delegated authority.

By order of the Secretary of the Board of Governors, pursuant to delegated authority, effective April 5, 1977.

THEODORE E. ALLISON,  
Secretary of the Board.

[FR Doc.77-10506 Filed 4-8-77; 8:45 am]

<sup>2</sup> The Columbus banking market is approximated by the Columbus SMSA except for the addition of the western half of Licking County and the omission of some of the outlying townships in Pickaway, Madison, and Delaware Counties. All market data are as of June 30, 1976.

### ELLIS BANKING CORP.

#### Order Approving Acquisition of Bank and Granting a Requested Determination

Ellis Banking Corporation, Bradenton, Florida, a bank holding company within the meaning of the Bank Holding Company Act, has applied for the Board's approval under section 3(a)(3) of the Act (12 U.S.C. 1842(a)(3)) to acquire 50.1 per cent or more of the voting shares of the Citizens Bank of Bunnell, Bunnell, Florida ("Bank").

Notice of the application, affording opportunity for interested persons to submit comments and views, has been given in accordance with section 3(b) of the Act. The time for filing comments and views has expired, and the Board has considered the application and all comments received in light of the factors set forth in section 3(c) of the Act (12 U.S.C. 1842(c)).

Applicant, the tenth largest banking organization in Florida, controls 25 banks with aggregate deposits of approximately \$692.3 million, representing approximately 2.8 percent of total commercial bank deposits in Florida.<sup>1</sup> Acquisition of Bank (approximately \$11.8 million in deposits) would increase Applicant's share of commercial bank deposits in Florida by .05 per cent and would have no appreciable effect upon the concentration of banking resources in Florida.

Bank, the larger of the two banks in the relevant market,<sup>2</sup> controls total deposits of approximately \$11.8 million, representing about 63 percent of the total deposits in commercial banking institutions in the market. The closest subsidiary bank of Applicant is located 150 miles south of Bank in a separate banking market. Approval of the proposal would eliminate no significant existing competition between Applicant's subsidiaries and Bank. Common ownership and control of Bank and the only other bank in the relevant market has led to common senior operating management and numerous director interlocks. Because of this relationship, the two banking institutions do not presently compete with each other to any meaningful extent. If the subject proposal is approved, Applicant has indicated that the present relationship would be terminated and Bank would become independent and an alternative source of banking services in the relevant market. On the basis of the facts of record, the Board concludes that competitive considerations are consistent with, and lend some weight toward, approval of the application.

The financial and managerial resources and future prospects of Applicant and its subsidiaries are regarded as generally satisfactory. The financial and managerial resources and future prospects of Bank appear satisfactory. Considerations relating to banking factors are consistent with approval.

<sup>1</sup> All banking data are as of June 30, 1976.

<sup>2</sup> The relevant market is approximated by Flagler County.

Applicant has indicated that it will provide Bank with specialized lending expertise and assist it in securing loan participations. Furthermore, affiliation with Applicant will provide Bank with access to Applicant's centralized auditing, accounting, investment counseling, and management training program. Considerations relating to the convenience and needs of the community to be served, therefore, lend some weight toward approval of the application. It is the Board's judgment that the proposed acquisition would be in the public interest and that the application should be approved.

In connection with this proposal, Applicant will offer two types of debt instruments in exchange for Bank's stock: a seven-year maturity convertible debenture and a ten-year maturity nonconvertible debenture. Florida National Banks of Florida, Inc. ("Florida National"), Jacksonville, Florida, will exchange the 50.1 per cent of the voting shares it now holds in Bank for the ten-year maturity nonconvertible debentures Applicant is offering.<sup>2</sup> Under section 2(g) (3) of the Act, shares transferred after January 1, 1966, by a bank holding company directly or indirectly to any transferee that is indebted to the transferor are deemed to be indirectly owned or controlled by the transferor unless the Board, after opportunity for hearing, determines that the transferor is not in fact capable of controlling the transferee. On the basis of the facts of record, including submissions from both Applicant and Florida National, the Board has determined, pursuant to section 2(g) (3) of the Act (12 U.S.C. 1841(g) (3)), that Florida National will not in fact be capable of controlling Applicant.<sup>3</sup> Furthermore, upon consummation of this transaction, Florida National will terminate its interest in Bank, as required by the Board's Order of July 29, 1976.

On the basis of the record, the application is approved for the reasons sum-

marized above. The transaction shall not be made (a) before the thirtieth calendar day following the effective date of this order or (b) later than three months after the effective date of this order, unless such period is extended for good cause by the Board, or by the Federal Reserve Bank of Atlanta pursuant to delegated authority.

By order of the Board of Governors,<sup>4</sup> effective April 4, 1977.

GRIFFITH L. GARWOOD,  
Deputy Secretary of the Board.

[FR Doc.77-10508 Filed 4-8-77;8:45 am]

#### KREY COMPANY, LTD.

##### Formation of Bank Holding Company

Krey Company Ltd., Pratt, Kansas, has applied for the Board's approval under section 3(a) (1) of the Bank Holding Company Act (12 U.S.C. 1842(a) (1)) to become a bank holding company through acquisition of 51 percent or more of the voting shares of The Peoples Bank, Pratt, Kansas. The factors that are considered in acting on the application are set forth in section 3(c) of the Act (12 U.S.C. 1842(c)).

Krey Company Ltd., Pratt, Kansas, has also applied, pursuant to § 4(c) (8) of the Bank Holding Company Act (12 U.S.C. 1843(c) (8)) and 225.4(b) (2) of the Board's Regulation Y (12 CFR 225.4(b) (2)), for permission to acquire substantially all of the assets of two consumer finance offices of Central States, Inc., Pratt, Kansas. Notice of the application was published in the following newspapers on the dates indicated: "The Pratt Tribune," Pratt County, Kansas, March 7, 1977; "The Southwest Daily Times," Seward County, Kansas, March 9, 1977; and "The Guymon Daily Herald," Texas County, Oklahoma, March 10, 1977.

Applicant states that the proposed subsidiary would engage in the following activities: Making and acquiring for its own account loans and discounts such as would be made by a consumer and sales finance company, and acting as an insurance agent or broker in selling credit life insurance and credit accident and health insurance in connection with such consumer and sales finance activities. Such activities have been specified by the Board in § 225.4(a) of Regulation Y as permissible for bank holding companies, subject to Board approval of individual proposals in accordance with the procedures of § 225.4(b).

Interested persons may express their views on the question whether consummation of the proposal can "reasonably be expected to produce benefits to the public, such as greater convenience, increases competition or gains in efficiency, that outweigh possible adverse effects, such as undue concentration of resources, decreased or unfair competition, conflicts of interests, or unsound banking practices." Any request for a hearing on this

<sup>2</sup> Voting for this action: Governors Wallach, Coldwell, Jackson, Partee and Lilly. Absent and not voting: Chairman Burns and Governor Gardner.

question should be accompanied by a statement summarizing the evidence the person requesting the hearing proposes to submit or to elicit at the hearing and a statement of the reasons why this matter should not be resolved without a hearing.

The application may be inspected at the offices of the Board of Governors or at the Federal Reserve Bank of Kansas City.

Any views or requests for hearing should be submitted in writing and received by the Secretary, Board of Governors of the Federal Reserve System, Washington, D.C. 20551, not later than May 3, 1977.

Board of Governors of the Federal Reserve System, April 5, 1977.

GRIFFITH L. GARWOOD,  
Deputy Secretary of the Board.

[FR Doc.77-10509 Filed 4-8-77;8:45 am]

#### MARYVILLE BANCSHARES, INC.

##### Formation of Bank Holding Company

Maryville Bancshares, Inc., Kansas City, Missouri, has applied for the Board's approval under section 3(a) (1) of the Bank Holding Company Act (12 U.S.C. 1842(a) (1)) to become a bank holding company through acquisition of 94 percent or more of the voting shares of Citizens State Bank of Maryville, Maryville, Missouri. The factors that are considered in acting on the application are set forth in section 3(c) of the Act (12 U.S.C. 1842(c)).

The application may be inspected at the offices of the Board of Governors or at the Federal Reserve Bank of Kansas City. Any person wishing to comment on the application should submit views in writing to the Reserve Bank, to be received not later than May 10, 1977.

Board of Governors of the Federal Reserve System, April 5, 1977.

GRIFFITH L. GARWOOD,  
Deputy Secretary of the Board.

[FR Doc.77-10510 Filed 4-8-77;8:45 am]

#### FEDERAL TRADE COMMISSION

[File No. 732-3401]

##### ASTOR-SCOTT, INC., ET AL.

Consent Agreement With Analysis to Aid Public Comment

AGENCY: Federal Trade Commission.

ACTION: Placement of consent agreement on public record for comments.

SUMMARY: Pursuant to section 6(f) of the Federal Trade Commission Act, 38 Stat. 721, 15 U.S.C. 46 and § 2.34 of the Commission's rules of practice (16 CFR § 2.34, 40 FR 15236, April 4, 1975), notice is hereby given that the following consent agreement containing a consent order to cease and desist and an explanation thereof, having been filed with and provisionally accepted by the Commission, has been placed on the public record for a period of sixty (60) days. Public comment is invited. Such

<sup>1</sup> Officers of Florida National acquired a controlling interest in Bank in 1974. In its Order of July 29, 1976, denying Florida National's application to acquire control of Bank from its officers, the Board found that Florida National had violated the prior approval requirements of section 3 of the Act, and required Florida National to divest of all direct or indirect interest in Bank (62 Fed. Res. Bulletin 696). The subject application represents the means by which Florida National seeks to comply with the Board's Order.

<sup>2</sup> Among the facts of record upon which the Board bases this determination, the Board notes, in particular, that Florida National has no directors or officers in common with Applicant. Florida National will hold nonconvertible debentures totalling less than 5 per cent of Applicant's total liabilities and Florida National's Board of Directors has resolved that it "will not exercise or attempt to exercise any degree or manner of control of Ellis Banking Corporation as long as Florida National is the owner or holder of the ten-year maturity nonconvertible debentures of Ellis". Furthermore, there are no agreements or understandings between Florida National and Ellis whereby Florida National would regain the shares of Bank's stock it has exchanged.

comments or views will be considered by the Commission and will be available for inspection and copying at its principal office in accordance with § 4.9(b) (14) of the Commission's Rules of Practice (18 CFR § 4.9(b) (14), 40 FR 15236, Apr. 4, 1975).

DATE: Comments must be received on or before June 6, 1977.

ADDRESS: Comments should be directed to: Office of the Secretary, Federal Trade Commission, 6th and Pennsylvania Avenue, N.W., Washington, D.C. 20580.

UNITED STATES OF AMERICA

BEFORE FEDERAL TRADE COMMISSION

[File No. 732-3401]

AGREEMENT CONTAINING CONSENT ORDER TO CEASE AND DESIST

In the matter of Astor-Scott, Inc., a corporation, and Nelson Torelli, individually and as an officer of the corporation.

The Federal Trade Commission having initiated an investigation of certain acts and practices of Astor-Scott, Inc., a corporation, and Nelson Torelli, individually and as an officer of said corporation, and it now appearing that Astor-Scott, Inc., a corporation, and Nelson Torelli, individually and as an officer of said corporation, hereinafter sometimes referred to as proposed respondents, are willing to enter into an agreement containing an order to cease and desist from the use of the acts and practices being investigated:

It is hereby agreed by and between Astor-Scott, Inc., by its duly authorized officer, and Nelson Torelli, individually and as an officer of said corporation, and their attorney, and counsel for the Federal Trade Commission that:

1. Proposed respondent Astor-Scott, Inc., is a corporation organized, existing and doing business under and by virtue of the laws of the State of Florida, with its office and principal place of business located at 6041 N.E. 14th Avenue, Fort Lauderdale, Florida 33334.

Proposed respondent Nelson Torelli is an officer of said corporation. He formulates, directs and controls the policies, acts and practices of said corporation, and his address is the same as that of said corporation.

2. Proposed respondents admit all the jurisdictional facts set forth in the draft of complaint here attached.

3. Proposed respondents waive:

(a) Any further procedural steps;

(b) The requirement that the Commission's decision contain a statement of findings of fact and conclusions of law; and

(c) All rights to seek judicial review or otherwise to challenge or contest the validity of the order entered pursuant to this agreement.

4. This agreement shall not become a part of the official record of the proceeding unless and until it is accepted by the Commission. If this agreement is accepted by the Commission it, together with the draft of complaint contemplated thereby, will be placed on the public record for a period of sixty (60) days and information in respect thereto publicly released; and such acceptance may be withdrawn by the Commission if comments or views submitted to the Commission disclose facts or considerations which indicate that the order contained in the agreement is inappropriate, improper or inadequate.

5. This agreement is for settlement purposes only and does not constitute an ad-

mission by proposed respondents that the law has been violated as alleged in the draft of complaint here attached.

6. This agreement contemplates that, if it is accepted by the Commission, and if such acceptance is not subsequently withdrawn by the Commission pursuant to the provisions of § 2.34 of the Commission's Rules, the Commission may, without further notice to proposed respondents, (1) issue its complaint corresponding in form and substance with the draft of complaint here attached and its decision containing the following order to cease and desist in disposition of the proceeding and (2) make information public in respect thereto. When so entered, the order to cease and desist shall have the same force and effect and may be altered, modified or set aside in the same manner and within the same time provided by statute for other orders. The order shall become final upon service. Mailing of the complaint and decision containing the agreed-to order to proposed respondents' address stated in the agreement shall constitute service. Respondents waive any right they may have to any other manner of service. The complaint may be used in construing the terms of the order, and no agreement, understanding, representation, or interpretation not contained in the order of the agreement may be used to vary or contradict the terms of the order.

7. Proposed respondents have read the proposed complaint and order contemplated hereby, and they understand that once the order has been issued, they will be required to file one or more compliance reports showing that they have fully complied with the order, and that they may be liable for a civil penalty in the amount provided by law for each violation of the order after it becomes final.

ORDER

COUNT I

It is ordered, That respondents, Astor-Scott, Inc., a corporation, its successors and assigns, and its officers, and Nelson Torelli, individually and as an officer of said corporation, and respondents' agents, representatives and employees, directly or through any corporation, subsidiary, division or other device, in connection with the offering for sale, sale or distribution of Exogen Vitamin E Oil, or any food, drug, device or cosmetic, do forthwith cease and desist from:

A. Disseminating, or causing to be disseminated, by means of the United States mails or by any means in or having an effect upon commerce, as "commerce" is defined in the Federal Trade Commission Act, as amended, any advertisement for the purpose of inducing, or which is likely to induce, directly or indirectly, the purchase of said product, which advertisement:

1. Represents in writing, orally, visually or in any other manner, directly or by implication, that:

(a) Vitamin E is absorbed into the skin through topical application to the extent and degree that such absorption would result in cosmetic or rejuvenative benefit;

(b) Vitamin E, through its anti-oxidant properties, prevents moisture loss or supplies oxygen to skin cells;

(c) Vitamin E is a new, different, wonder or miracle ingredient, the inclusion of which yields additional benefits to Exogen Vitamin E Oil beyond the effect of any moisturizing preparation;

(d) The purity and strength of the Vitamin E in Exogen Vitamin E Oil will have an effect on the performance or efficacy of the product;

(e) Topical application of Exogen Vitamin E Oil will make one younger looking, yield a youthful complexion or reverse the process of aging skin;

(f) Topical application of Exogen Vitamin E Oil will prevent and improve skin faults, including, but not limited to, dry flaky skin, fine lines, surface scars, wind or sunburned tissues, stretch marks, wrinkles and blemishes;

(g) Topical application of Vitamin E will have any salutary effect on the skin.

2. Contains any representation for any drug, cosmetic, food or dietary product, as being effective in the prevention, improvement, treatment or relief of skin faults or conditions, obesity or other appearance or health problems unless such representations are supported and substantiated by competent scientific data or tests. Such scientific data or tests shall be available in written form for inspection by authorized representatives of the Federal Trade Commission during the period of time the representation is being made and for at least three years following the final use of the representation.

B. Disseminating, or causing to be disseminated, by any means, any advertisement for the purpose of inducing, or which is likely to induce, directly or indirectly, the purchase in or affecting commerce, as "commerce" is defined in the Federal Trade Commission Act, as amended, of Exogen Vitamin E Oil or any food, drug, device or cosmetic, which advertisement contains any representation prohibited by Count I of this order.

COUNT II

It is further ordered, That respondents Astor-Scott, Inc., a corporation, its successors and assigns, and its officers, and Nelson Torelli, individually and as an officer of said corporation, and respondents' agents, representatives and employees, directly or through any corporation, subsidiary, division or other device, in connection with the advertising, offering for sale, sale or distribution of Phantom Roach Powder, or any other product or service in or affecting commerce, as "commerce" is defined in the Federal Trade Commission Act, as amended, do forthwith cease and desist from:

A. Representing in writing, orally, visually or in any other manner, directly or by implication, that:

1. Product use will result in total pest extermination in an infested household unless respondents can establish that such is the fact.

2. A progressive chain reaction or other functional characteristic will occur during or after product use unless respondents can establish that such is the fact.

3. The period of time during which the use or results of use will remain effective unless respondents can establish that such is the fact.

B. Representing, orally, visually, in writing or in any other manner, directly or by implication, the efficacy, results of use, quality features, performance characteristics or composition of any product or service unless they are supported and substantiated fully by competent data or tests. Such data or tests shall be available in written form for inspection by authorized representatives of the Federal Trade Commission during the period of time the representation is being made and for at least three years following the final use of the representation.

It is further ordered, That respondents notify the Commission at least thirty (30) days prior to any proposed change in the corporate respondent such as dissolution, assignment or sale resulting in the emergence of a successor corporation, or any other change in the corporation which may affect compliance obligations arising out of the order. If it is demonstrably impossible to furnish such notice at least thirty (30) days prior to such event, notice shall be delivered

to the Commission as soon as possible prior to consummation of any such occurrence.

It is further ordered, That the individual respondent shall notify the Commission at least thirty (30) days prior to the discontinuance of his present business and at least thirty (30) days prior to his affiliation with a new business or trade. If it is demonstrably impossible to furnish such notice at least thirty (30) days prior to such event, notice shall be delivered to the Commission as soon as possible prior to consummation of any such occurrence. Such notice shall include the respondent's current business address and a statement as to the nature of the business or employment in which he is engaged, as well as a description of his duties and responsibilities.

It is further ordered, That the respondents herein shall, within sixty (60) days after service upon them of this order, file with the Commission a report, in writing, setting forth in detail the manner and form in which they have complied with this order.

ASTOR-SCOTT, INC., ET AL.

[File No. 732-3401]

ANALYSIS OF PROPOSED CONSENT ORDER TO AID PUBLIC COMMENT

The Federal Trade Commission has accepted an agreement to a proposed consent order from Astor-Scott, Inc., a corporation, and Nelson Torelli, individually and as an officer of said corporation.

The proposed consent order has been placed on the public record for sixty (60) days for reception of comments by interested persons. Comments received during this period will become part of the public record. After sixty (60) days, the Commission will again review the agreement and the comments received and will decide whether it should withdraw from the agreement or make final the agreement's proposed order.

This matter concerns Astor-Scott, Inc., a Florida corporation and its sole stockholder and officer, Nelson Torelli of Fort Lauderdale, Florida, engaged in the past in mail order merchandising of a Vitamin E oil product called Exogen Vitamin E Oil and an insecticide called Phantom Roach Trap and Powder; and currently engaged in mail order marketing of jewelry, books and an indoor television antenna product. The complaint charges the parties with unfair and deceptive acts and practices in several respects: (1) Falsely and without competent substantiation representing the effectiveness of the Exogen Vitamin E Oil product in the prevention, improvement, treatment or relief of skin faults or conditions, obesity, or other appearance or health problems; (2) falsely and without competent substantiation representing the effectiveness, results of use, quality features, performance characteristics or product composition of the insecticide product, the Phantom Roach Trap and Powder.

The consent order requires respondents (1) to stop the use of any claim of product performance, effectiveness, performance characteristics or composition unless the claims are substantiated, factually and fully by competent data or tests; (2) to maintain such data or tests in written form and make such material available for compliance inspection.

The purpose of this analysis is to facilitate public comment on the proposed order and it is not intended to constitute an official interpretation of the agreement and the proposed order or to modify in any way their terms.

JOHN F. DUGAN,  
Acting Secretary.

[FR Doc.77-10491 Filed 4-8-77;8:45 am]

## FOREIGN-TRADE ZONES BOARD

[ORDER NO. 117]

### CITY OF NEW BEDFORD, MASS.

#### Resolution and Order Approving Application of New Bedford, Massachusetts, for a Foreign-Trade Zone in New Bedford

PROCEEDINGS OF THE FOREIGN-TRADE ZONES BOARD, WASHINGTON, D.C.

##### RESOLUTION AND ORDER

Pursuant to the authority granted in the Foreign-Trade Zones Act of June 18, 1934, as amended (19 U.S.C. 81a-81u), the Foreign-Trade Zones Board has adopted the following Resolution and Order:

The Board, having considered the matter hereby orders:

After consideration of the application of the City of New Bedford, Massachusetts, filed with the Foreign-Trade Zones Board (the Board) on October 22, 1976, requesting a grant of authority for establishing, operating and maintaining a foreign-trade zone in New Bedford, the Board, finding that the requirements of the Foreign-Trade Zones Act, as amended, and the Board's regulations are satisfied, and that the proposal is in the public interest, approves the application.

Since the proposal involves an industrial park type zone that envisages the construction of buildings by parties other than the grantee, this approval includes authority to the grantee, to permit the erection of such buildings, pursuant to Section 400.815 of the Board's regulations, as are necessary to carry out the zone proposal, providing that prior to its granting such permission it shall have the concurrences of the local District Director of Customs, the U.S. Army Division Engineer, when appropriate, and the Board's Executive Secretary. Further, the grantee shall notify the Executive Secretary for approval prior to the commencement of any manufacturing operation within the zone. The Secretary of Commerce, as Chairman and Executive Officer of the Board, is hereby authorized to issue a grant of authority and appropriate Board Order.

##### GRANT

TO ESTABLISH, OPERATE, AND MAINTAIN A FOREIGN-TRADE ZONE IN NEW BEDFORD, MASSACHUSETTS

Whereas, by an Act of Congress approved June 18, 1934, an Act "To provide for the establishment, operation, and maintenance of foreign-trade zones in ports of entry of the United States, to expedite and encourage foreign commerce, and for other purposes," as amended (19 U.S.C. 81a-81u), (hereinafter referred to as "the Act"), the Foreign-Trade Zones Board (hereinafter referred to as "the Board") is authorized and empowered to grant to corporations the privilege of establishing, operating, and maintaining foreign-trade zones in or adjacent to ports of entry under the jurisdiction of the United States;

Whereas, the City of New Bedford (hereinafter referred to as "the Grantee"), has made application (filed October 22, 1976) in due and proper form to the Board requesting the establishment, operation, and maintenance of a foreign-trade zone in New Bedford, Massachusetts;

Whereas, notice of said application has been given and published, and full oppor-

tunity has been afforded all interested parties to be heard; and

Whereas, the Board has found that the requirements of the Act and the Board's regulations (15 CFR Part 400) are satisfied;

Now, Therefore, the Board hereby grants to the Grantee the privilege of establishing, operating, and maintaining a foreign-trade zone, designated on the records of the Board as Zone No. 28, at the location mentioned above and more particularly described on the maps and drawings accompanying the application requesting authority for a foreign-trade zone in New Bedford, Massachusetts, marked as Exhibits IX and X, said grant being subject to the provisions, conditions, and restrictions of the Act and the regulations issued thereunder, to the same extent as though the same were fully set forth herein, and also to the following express conditions and limitations, to-wit:

Operation of the foreign-trade zone shall be commenced by the Grantee within a reasonable time from the date of issuance of the grant, and prior thereto the Grantee shall obtain all necessary permits from Federal, State, and municipal authorities.

The Grantee shall allow officers and employees of the United States free and unrestricted access to and throughout the foreign-trade zone in the performance of their official duties.

The Grantee shall notify the Executive Secretary of the Board for approval prior to the commencement of any manufacturing operations within the zone.

The grant shall not be construed to relieve the Grantee from liability for injury or damage to the person or property of others, occasioned by the construction, operation, or maintenance of said zone, and in no event shall the United States be liable therefor.

The grant is further subject to settlement locally by the District Director of Customs and the District Army Engineer with the Grantee regarding compliance with their respective requirements for the protection of the revenue of the United States and the installation of suitable facilities.

In witness whereof, the Foreign-Trade Zones Board has caused its name to be signed and its seal to be affixed hereto by its Chairman and Executive Officer, Juanita M. Kreps, at Washington, D.C., this 5th day of April 1977 pursuant to Order of the Board.

FOREIGN-TRADE ZONES BOARD,

JUANITA M. KREPS,

Chairman and Executive Officer.

[FR Doc.77-10512 Filed 4-8-77;8:45 am]

[Order No. 116]

### MASSACHUSETTS PORT AUTHORITY, BOSTON, MASS.

#### Resolution and Order Approving Application for a Foreign-Trade Zone in Boston

RESOLUTION AND ORDER

Pursuant to the authority granted in the Foreign-Trade Zones Act of June 18,

1934, as amended (19 U.S.C. 81a-81u), the Foreign-Trade Zones Board has adopted the following Resolution and Order:

The Board, having considered the matter hereby orders:

After consideration of the application of the Massachusetts Port Authority (Massport), a Massachusetts public corporation, filed with the Foreign-Trade Zones Board (the Board) on October 22, 1976, requesting a grant of authority for establishing, operating and maintaining a foreign-trade zone in Boston, Massachusetts, the Board, finding that the requirements of the Foreign-Trade Zones Act, as amended, and the Board's regulations are satisfied, and that the proposal is in the public interest, approves the application.

Since the proposal involves an industrial park type zone that envisages the possible construction of buildings by parties other than the grantee, this approval includes authority to the grantee to permit the erection of such buildings, pursuant to Section 400.815 of the Board's regulations, as are necessary to carry out the zone proposal, providing that prior to its granting such permission it shall have the concurrences of the local District Director of Customs, the U.S. Army Division Engineer, when appropriate, and the Board's Executive Secretary. Further, the grantee shall notify the Executive Secretary for approval prior to the commencement of any manufacturing operation within the zone. The Secretary of Commerce, as Chairman and Executive Officer of the Board, is hereby authorized to issue a grant of authority and appropriate Board Order.

#### GRANT

#### TO ESTABLISH, OPERATE, AND MAINTAIN A FOREIGN-TRADE ZONE IN BOSTON, MASSACHUSETTS

Whereas, by an Act of Congress approved June 18, 1934, an Act "To provide for the establishment, operation, and maintenance of foreign-trade zones in ports of entry of the United States, to expedite and encourage foreign commerce, and for other purposes," as amended (19 U.S.C. 81a-81u), (hereinafter referred to as "the Act"), the Foreign-Trade Zones Board (hereinafter referred to as "the Board"), is authorized and empowered to grant to corporations the privilege of establishing, operating, and maintaining foreign-trade zones in or adjacent to ports of entry under the jurisdiction of the United States;

Whereas, the Massachusetts Port Authority (hereinafter referred to as "the Grantee"), has made application (filed October 22, 1976) in due and proper form to the Board requesting the establishment, operation, and maintenance of a foreign-trade zone in Boston, Massachusetts;

Whereas, notice of said application has been given and published, and full opportunity has been afforded all interested parties to be heard; and

Whereas, the Board has found that the requirements of the Act and the Board's regulations (15 CFR Part 400) are satisfied;

Now, therefore, the Board hereby grants to the Grantee the privilege of establishing, operating, and maintaining a foreign-trade zone, designated on the

records of the Board as Zone No. 27, at the location mentioned above and more particularly described on the maps and drawings accompanying the application requesting authority for a foreign-trade zone in Boston, Massachusetts, marked as Exhibits IX and X, said grant being subject to the provisions, conditions, and restrictions of the Act and the regulations issued thereunder, to the same extent as though the same were fully set forth herein, and also to the following express conditions and limitations, to-wit:

Operation of the foreign-trade zone shall be commenced by the Grantee within a reasonable time from the date of issuance of the grant, and prior thereto the Grantee shall obtain all necessary permits from Federal, State, and municipal authorities.

The Grantee shall allow officers and employees of the United States free and unrestricted access to and throughout the foreign-trade zone in the performance of their official duties.

The Grantee shall notify the Executive Secretary of the Board for approval prior to the commencement of any manufacturing operations within the zone.

The grant shall not be construed to relieve the Grantee from liability for injury or damage to the person or property of others occasioned by the construction, operation, or maintenance of said zone, and in no event shall the United States be liable therefor.

The grant is further subject to settlement locally by the District Director of Customs and the District Army Engineer with the Grantee regarding compliance with their respective requirements for the protection of the revenue of the United States and the installation of suitable facilities.

In witness whereof, the Foreign-Trade Zones Board has caused its name to be signed and its seal to be affixed hereto by its Chairman and Executive Officer, Juanita M. Kreps, at Washington, D.C., this 5th day of April 1977 pursuant to Order of the Board.

FOREIGN-TRADE ZONES  
BOARD,

JUANITA M. KREPS,

Chairman and Executive Officer.

[FR Doc. 77-10511 Filed 4-8-77; 8:45 am]

#### DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

Center for Disease Control

#### FINAL REPORT OF DRINKING WATER DISINFECTION AD HOC ADVISORY COMMITTEE

##### Availability of Filing

Notice is hereby given that pursuant to section 13 of Public Law 92-463 (5 U.S.C. Appendix I), the Final Report of the Drinking Water Disinfection ad hoc Advisory Committee of the Center for Disease Control has been filed with the Library of Congress.

Copies are available to the public for inspection at the Library of Congress, Special Forms Reading Room, Main

Building, and on weekdays between 9 a.m. and 4:30 p.m. at the Department of Health, Education, and Welfare, Department Library, HEW North Building, Room 1436, 330 Independence Avenue, SW., Washington, D.C. 20201, telephone 202-245-6791.

Dated: March 29, 1977.

WILLIAM C. WATSON, JR.,  
Acting Director,  
Center for Disease Control.

[FR Doc. 77-10710 Filed 4-8-77; 8:45 am]

#### Office of Education

#### PUBLIC SERVICE FELLOWSHIPS

#### Closing Date for Receipt of Applications

Notice is hereby given that pursuant to the authority contained in Title IX of the Higher Education Act of 1965, as amended, applications are being accepted from institutions of higher education for allocations of fellowships under the Public Service Education Fellowship Program. Processing of these applications will be subject to the availability of funds.

Applications must be received by the U.S. Office of Education Application Control Center on or before May 19, 1977.

A. *Applications sent by mail.* An application sent by mail should be addressed as follows: U.S. Office of Education, Application Control Center, 400 Maryland Avenue SW., Washington, D.C. 20202. Attention: 13.555. An application sent by mail will be considered to be received on time by the Application Control Center if:

(1) The application was sent by registered or certified mail not later than May 16, 1977, as evidenced by the U.S. Postal Service postmark on the wrapper or envelope or on the original receipt from the U.S. Postal Service; or

(2) The application is received on or before the closing date by either the Department of Health, Education, and Welfare, or the U.S. Office of Education mail rooms in Washington, D.C. In establishing the date of receipt, the Commissioner will rely on the time-date stamp of such mail rooms or other documentary evidence of receipt maintained by the Department of Health, Education, and Welfare, or the U.S. Office of Education.

B. *Hand delivered applications.* An application to be hand delivered must be taken to the U.S. Office of Education Application Control Center, Room 5673, Regional Office Building Three, 7th and D Streets SW., Washington, D.C. Hand delivered applications will be accepted daily between the hours of 8 a.m. and 4 p.m. Washington, D.C. time except Saturdays, Sundays, or Federal holidays. Applications will not be accepted after 4 p.m. on the closing date.

C. *Program information and forms.* Instructions containing the application form and other information may be obtained from the Graduate Training Branch, Division of Training and Facilities, Bureau of Postsecondary Edu-



cation. Room 3036, Regional Office Building Three, 7th and D Streets SW., Washington, D.C. 20202. The telephone number is (202) 245-8082.

D. *Estimated number of fellowships to be awarded for the 1977-78 academic year.* During the 1976-77 academic year, 248 new fellowships and 105 continuation fellowships are being supported at 73 approved institutions by a fiscal year 1976 appropriation of \$2,000,000. There has not yet been a final appropriation for fiscal year 1977, though it is anticipated that approximately \$2,000,000 will be available for the program. It is anticipated that this money will be used to award about 350 fellowships at approximately 70 institutions.

An applicant institution will apply for a specified number of fellowships. The Commissioner proposes, in the notice of proposed rulemaking for this program, to give continuing students who are making satisfactory progress in their courses of study in 1976-77 high priority for reappointment to a fellowship in 1977-78. It is expected that about half of the 248 currently supported fellows will continue on into 1977-78. The Commissioner also proposes to pay a fellowship stipend of \$3,600 per year, a dependency allowance of \$300 per year for each dependent; and an educational allowance of \$3,600 per fellow each year to the institution.

The Education Amendments of 1976 (Pub. L. 94-482) authorize the Commissioner to re-award fellowships which are vacated prior to the end of the period for which they were awarded. This means that vacated fellowships may now be re-filled with other eligible students nominated by the institution.

The above statement with regard to the expected distribution of funds is basically for informational purposes and does not bind the Office of Education except as may be required by the applicable statute and regulation.

E. *Applicable regulations.* Proposed regulations governing the administration of this program, including funding criteria for fellowship allocations, are published elsewhere in this issue of the FEDERAL REGISTER. Applicants should base applications on the notice of proposed rulemaking. Applicants will be permitted to amend their applications if the final regulation reflects changes which relate to the preparation of the application.

(20 U.S.C. 1134 et seq.)

(Catalog of Federal Domestic Assistance Number 13.555; Public Service Education Fellowship Program.)

Dated: March 14, 1977.

WILLIAM F. PIERCE,  
Acting U.S.  
Commissioner of Education.

[FR Doc. 77-10317 Filed 4-8-77; 8:45 am]

#### PUBLIC SERVICE INSTITUTIONAL GRANTS

##### Closing Date for Receipt of Applications

Notice is hereby given that pursuant to the authority contained in Title IX of the

Higher Education Act of 1965, as amended, applications are being accepted from institutions of higher education for institutional grants under the Public Service Institutional Grant Program. Processing of these applications will be subject to the availability of funds.

Applications must be received by the U.S. Office of Education Application Control Center on or before May 19, 1977.

A. *Applications sent by mail.* An application sent by mail should be addressed as follows: U.S. Office of Education, Application Control Center, 400 Maryland Avenue SW., Washington, D.C. 20202. Attention: 13.555. An application sent by mail will be considered to be received on time by the Application Control Center if:

(1) The application was sent by registered or certified mail not later than May 16, 1977, as evidenced by the U.S. Postal Service postmark on the wrapper or envelope, or on the original receipt from the U.S. Postal Service; or

(2) The application is received on or before the closing date by either the Department of Health, Education, and Welfare, or the U.S. Office of Education mail rooms in Washington, D.C. In establishing the date of receipt, the Commissioner will rely on the time-date stamp of such mail rooms or other documentary evidence of receipt maintained by the Department of Health, Education, and Welfare, or the U.S. Office of Education.

B. *Hand delivered applications.* An application to be hand delivered must be taken to the U.S. Office of Education Application Control Center, Room 5673, Regional Office Building Three, 7th and D Streets SW., Washington, D.C. Hand delivered applications will be accepted daily between the hours of 8 a.m. and 4 p.m. Washington, D.C. time except Saturdays, Sundays, or Federal holidays. Applications will not be accepted after 4 p.m. on the closing date.

C. *Program information and forms.* Instructions containing an application form and other information may be obtained from the Graduate Training Branch, Division of Training and Facilities, Bureau of Postsecondary Education, Room 3036, Regional Office Building Three, 7th and D Streets SW., Washington, D.C. 20202. The telephone number is (202) 245-8082.

D. *Estimated number of applications for institutional grants to be awarded for the 1977-78 academic year.* During the 1976-77 academic year, 80 approved institutions are being supported for one year by a fiscal year 1976 appropriation of \$2,000,000. There has not yet been a final appropriation for fiscal year 1977, though it is anticipated that approximately \$2,000,000 will be available for this program. It is anticipated that this money will be used to fund about 50 grants to institutions for the continuation of projects currently receiving support and about 25-30 grants for new projects.

The above statement with regard to the expected distribution of funds is

basically for informational purposes and does not bind the Office of Education except as may be required by the applicable statute and regulation.

E. *Applicable regulations.* Proposed regulations governing the administration of this program, including funding criteria, are published elsewhere in this issue of the FEDERAL REGISTER. Applicants should base their applications on the notice of proposed rulemaking. Applicants will be permitted to amend their applications if the final regulation reflects changes which relate to the preparation of the application.

(20 U.S.C. 1134 et seq.)

(Catalog of Federal Domestic Assistance Number 13.555; Public Service Institutional Grant Program.)

Dated: March 14, 1977.

WILLIAM F. PIERCE,  
Acting U.S.  
Commissioner of Education.

[FR Doc. 77-10316 Filed 4-8-77; 8:45 am]

#### Health Resources Administration HEALTH SYSTEMS AGENCY Application Information

Notice is hereby given that application materials are available in DHEW Regional Office V for entities interested in applying for designation as a health systems agency (HSA) in the health service area listed herein under the National Health Planning and Resources Development Act of 1974 (Pub. L. 93-641).

The National Health Planning and Resources Development Act of 1974 added a new Title XV, "National Health Planning and Development," to the Public Health Service Act. Among other things, the new Title XV authorizes the Secretary to enter into agreements with eligible entities for their designation as health systems agencies for health service areas established pursuant to section 1511 of the Public Health Service Act, and to provide grant assistance to these agencies. Each health systems agency shall have as its primary responsibility the provision of effective health planning for its health service area and the promotion of the development within the area of health services, manpower and facilities which meet identified needs, reduce documented inefficiencies and implement the health plans of the agency.

On November 17, 1975, and subsequently on February 3, 1976, and May 7, 1976, the Department published Notices in the FEDERAL REGISTER dealing with HSA application information and setting forth the review cycles for review of applications for designation and funding of health systems agencies for designated health service areas.

On July 23, 1976, the Department published another Notice in the FEDERAL REGISTER which established a special review cycle for several areas, including Health Service Area 6 in the State of Ohio.

The purpose of this Notice is to announce another special designation cycle for Health Service Area 6 in the State of Ohio for which previous applications have been disapproved.

Those entities interested in applying for designation during this special cycle must file a letter of intent to apply for designation with DHEW Regional Office V by April 25, 1977, and an application by May 25, 1977. The deadline for submission of Governor's comments will be June 27, 1977.

Application materials, further information, and specific instructions and guidelines may be obtained from the Regional Health Administrator whose address is listed below:

DHEW Regional Office V, 300 S. Wacker Drive, Chicago, Illinois 60606.

Dated: April 5, 1977.

HAROLD MARGULIES,  
Deputy Administrator,  
Health Resources Administration.  
[FR Doc.77-10503 Filed 4-8-77;8:45 am]

### KANSAS HEALTH SERVICE AREAS 2 AND 3 Redesignation

In accordance with section 1511(b) (4) of the Public Health Service Act as amended by Pub. L. 93-641, the Secretary of Health, Education, and Welfare has determined that Kansas Health Service Areas 2 and 3 should be revised to include Marion County in Health Service Area 3, and delete Marion County from Health Service Area 2. This revision constitutes approval of a redesignation request initiated by the Governor of Kansas on July 21, 1976. The request complied with all the requirements of the Health Service Area Redesignation Guidelines published in the FEDERAL REGISTER, Vol. 41, No. 180, September 15, 1976.

Accordingly, Health Service Areas 2 and 3, approved by the Secretary on August 21, 1975, are revised as follows:

#### KANSAS

Health Service Area 2 is the geographic area comprised of the following counties:

Washington  
Marshall  
Nemaha  
Brown  
Doniphan  
Atchison  
Lyon  
Coffey  
Linn  
Jackson  
Pottawatomie  
Riley  
Clay

Dickinson  
Geary  
Jefferson  
Franklin  
Anderson  
Shawnee  
Douglas  
Osage  
Wabaunsee  
Morris  
Chase  
Miami

Health Service Area 3 is the geographic area comprised of the following counties:

Marion  
Chautauqua  
Harvey  
Montgomery  
Harper  
Allen  
Butler  
Cherokee  
Greenwood  
McPherson  
Wilson  
Kingman

Neosho  
Sedgwick  
Crawford  
Elk  
Rice  
Woodson  
Reno  
Labette  
Sumner  
Bourbon  
Cowley

Dated: April 6, 1977.

HAROLD MARGULIES,  
Deputy Administrator,  
Health Resources Administration.  
[FR Doc.77-10594 Filed 4-8-77;8:45 am]

### Public Health Service FOOD AND DRUG ADMINISTRATION Statement of Organization, Functions, and Delegations of Authority

Part H, Chapter HF (Food and Drug Administration) of the Statement of Organization, Functions, and Delegations of Authority for the Department of Health, Education, and Welfare (35 FR 3685-92, February 25, 1970, as amended by 39 FR 34316, September 24, 1974) is amended to reflect the establishment of the Division of Scientific Investigations within the Bureau of Drugs, Food and Drug Administration.

Section HF-B, Organization and Functions, is amended as follows:

Under the Bureau of Drugs (HFG), insert the following statement after the statement for the Division of Drug Advertising (HFGQ):

(1-6-viii) Division of Scientific Investigations (HFGR).

Implements the Agency's Bio-research Monitoring Program for Human Drugs.

Develops standards for the conduct of clinical and preclinical investigations performed to demonstrate the safety and effectiveness of drugs.

Designs and operates surveillance and compliance programs in the area of preclinical and clinical drug investigations.

Assigns, directs, and coordinates on-site inspections of sponsors and investigators of preclinical and clinical drug studies, institutional review committees, and commercial clinical testing facilities in collaboration with FDA's field organization.

Coordinates preclinical and clinical drug investigation activities within and outside the Bureau to promote maximum utilization of resources and consistency of policies.

Evaluates investigation reports and initiates administrative and regulatory corrective measures as necessary.

Plans and conducts educational and informational activities with regard to

standards for preclinical and clinical drug investigations.

Dated: March 31, 1977.

JOHN D. YOUNG,  
Assistant Secretary for  
Management and Budget.

[FR Doc.77-10543 Filed 4-8-77;8:45 am]

### Office of the Secretary UNIFORM HOSPITAL DISCHARGE ABSTRACT

Withdrawal of Proposed Department-Wide Plan to Implement a Uniform Approach to the Acquisition of a Minimum Basic Hospital Discharge Data Set

On January 16, 1976, the Assistant Secretary for Health of the Department of Health, Education, and Welfare (DHEW) announced a proposed plan to implement a standard format and instructions for the Uniform Hospital Discharge Data Set (UHDDS) acceptable to a majority of users (41 FR 2502, January 16, 1976). The standard format was to be known as the Uniform Hospital Discharge Abstract (UHDA).

By this notice, the proposed implementation plan is being withdrawn.

The Department recognizes the need for establishing a coordinated, uniform, non-duplicative and economic system for securing hospital discharge data. At the same time, extensive Departmental review of the implementation plan proposed in the January 16, 1976 FEDERAL REGISTER, coupled with a careful analysis of numerous comments received from the Nation's health care community, suggests that alternative options be explored and considered.

Any proposed actions, based on this examination, will be published by the Department in the FEDERAL REGISTER with an invitation for public comments.

Dated: April 4, 1977.

JAMES F. DICKSON,  
Acting Assistant  
Secretary for Health.

[FR Doc.77-10522 Filed 4-8-77;8:45 am]

### Social Security Administration DEPUTY COMMISSIONER OF SOCIAL SECURITY

#### Redelegation of Certain Certification Authority

Under section 205(i) of the Social Security Act, as amended, the Secretary of Health, Education, and Welfare (the Secretary) is authorized to certify to the Managing Trustee amounts to be disbursed from the Federal Old-Age and Survivors Insurance Trust Fund; the Federal Disability Insurance Trust Fund; or from related transfer appropriations accounts, for such payments of benefits as are required by and properly author-

ized under pertinent provisions of title II of the Social Security Act, as amended. Sections 412 and 413 of the Federal Coal Mine Health and Safety Act of 1969, as amended, provide the Secretary with authority to certify to the Department of the Treasury amounts to be disbursed from Federal Coal Mine Health and Safety Act appropriation accounts for such payments of benefits and of reasonable medical expenses as are required by and properly authorized under Part B of title IV and related provisions of the Federal Coal Mine Health and Safety Act of 1969, as amended.

The Secretary has delegated his certification authority under section 205(d) of the Social Security Act, as amended, and under sections 412 and 413 of the Federal Coal Mine Health and Safety Act of 1969, as amended, to the Commissioner of Social Security (the Commissioner), with authority to redelegate (33 FR 5836-37 and 35 FR 7033-34). As appropriate, the Commissioner previously redelegated certification authority under these sections to various positions in the Social Security Administration (SSA).

Notice is hereby given that the Commissioner has also redelegated to the Deputy Commissioner of Social Security the subject certification authority under section 205(d) of the Social Security Act, as amended, and under sections 412 and 413 of the Federal Coal Mine Health and Safety Act of 1969, as amended. This redelegation to the Deputy Commissioner shall be effective as of the date that this notice thereof is published in the FEDERAL REGISTER. Before exercising any such certification authority, the Deputy Commissioner must be personally designated as a certifying officer, in accordance with current requirements established by the Department of the Treasury; the Department of Health, Education, and Welfare; and SSA.

Any actions taken by the Deputy Commissioner prior to the date that this notice is published in the FEDERAL REGISTER which, in effect, involve the exercise of authority redelegated by this document, are hereby affirmed and ratified. The Deputy Commissioner may not further redelegate any of this certification authority.

Dated: April 1, 1977.

J. B. CARDWELL,  
Commissioner of Social Security.

[FR Doc.77-10538 Filed 4-8-77;8:45 am]

## DEPARTMENT OF THE INTERIOR

### Bureau of Land Management

[CA 4189]

### CALIFORNIA

#### Designation of Indian Creek Recreation Lands; Correction

APRIL 4, 1977.

In FR Document 77-4303, appearing on page 8433 of the issue of Thursday, February 10, 1977, the first column, the twelfth line from the first line of paragraph 1, the second entry, E $\frac{1}{2}$ SE $\frac{1}{4}$ E $\frac{1}{2}$ -

W $\frac{1}{2}$ SE $\frac{1}{4}$ , should read E $\frac{1}{2}$ SE $\frac{1}{4}$ , E $\frac{1}{2}$ -W $\frac{1}{2}$ SE $\frac{1}{4}$ .

VIOLA A. ANDRADE,  
Acting Chief, Lands Section,  
Branch of Lands and Minerals Operations.

[FR Doc.77-10539 Filed 4-8-77;8:45 am]

[Colorado 16284]

## LANDS IN ROUTT COUNTY, COLORADO

### Public Hearing and Coal Lease Offering by Sealed Bid and Oral Auction

MARCH 28, 1977.

United States Department of the Interior, Bureau of Land Management, Colorado State Office, Denver, Colorado. Notice is hereby given that certain coal resources in the lands hereinafter described in Routt County, Colorado, will be offered for lease by sealed bid, followed by oral auction, to the qualified bidder of the highest cash amount per acre. Only those bidders submitting sealed bids may participate in the oral auction. The sale will be held at 2 p.m., May 25, 1977, in Room 708, Colorado State Bank Building, Denver, Colorado. At that time sealed bids will be opened and read. No bids received after 2 p.m., May 25, 1977, will be considered. Sealed bids may not be modified or withdrawn unless such modification or withdrawal is received before the date, time, and place set for opening of such bids. Payment of the bonus shall be on a deferred basis, one-fifth due on the day of the sale, and the balance in equal annual installments on the first four anniversary dates of the lease. The successful bidder is obligated to pay for the newspaper publications of this notice.

**WARNING TO BIDDERS:** A lease will not be issued to a bidder who holds or controls more than 46,080 acres of Federal coal leases in any one state or 100,000 acres of Federal coal leases in the United States. In accordance with the Federal Coal Leasing Amendments Act of 1975, it will be necessary that the high bidder, as a prospective lessee, disclose the nature and extent of his coal holdings to the Department of Justice before issuance of the lease.

**COAL OFFERED:** The lands are located in Routt County approximately 6 miles northwest of Oak Creek, Colorado, on County Road No. 27. The lands are described as follows:

#### SIXTH PRINCIPAL MERIDIAN, COLORADO

T. 5 N., R. 86 W.,  
Sec. 33, SE $\frac{1}{4}$ NE $\frac{1}{4}$ , E $\frac{1}{2}$ SE $\frac{1}{4}$ ;  
Sec. 34, SW $\frac{1}{4}$ NW $\frac{1}{4}$ , W $\frac{1}{2}$ SW $\frac{1}{4}$ ;  
T. 4 N., R. 86 W.,  
Sec. 10, Lot 3  
containing 263.11 acres.

The coal resources to be offered include all available coal within the Wadge and overlying coal beds. The estimated recoverable coal reserves are as follows: Strip-mining methods—1,677,217 tons; underground mining methods—365,895 tons; total 2,043,112 tons.

## PUBLIC HEARINGS AND COMMENTS:

A public hearing will be held at 10 a.m., May 9, 1977, at Wyer Auditorium, Denver Public Library, 1357 Broadway, Denver, Colorado, to obtain public comments on the following items: (1) The method of mining to be employed to obtain maximum economic recovery of the coal (2) the impact that mining the coal on the proposed leasehold may have on the area, included but not limited to impacts on the environment, agriculture, and other economic activities, and (3) method of evaluation of the coal to be offered. The public is invited to submit written comments on the methods used for resource economic evaluations and fair market value determinations of the coal to be leased to the Area Mining Supervisor, U.S. Geological Survey, Box 25046, Stop 602, Denver Federal Center, Denver, Colorado 80225, through Monday, May 16, 1977.

**NOTICE OF AVAILABILITY:** All case file documents and the written comments submitted by the public on fair market value, except those portions identified as proprietary by the commenter will be available for public inspection at the Bureau of Land Management, Room 701, Colorado State Bank Building, 1600 Broadway, Denver, Colorado.

**DETAILED STATEMENT:** A detailed statement of (1) how, when and where to submit sealed bids, (2) procedures to be followed during and subsequent to lease sale, and (3) terms and conditions of the lease offer may be obtained from Bureau of Land Management, Room 700, Colorado State Bank Building, 1600 Broadway, Denver, Colorado 80202.

JACK G. LORTS,  
Chief, Division of  
Technical Services.

[FR Doc.77-10666 Filed 4-8-77;8:45 am]

## LIST OF RESTRICTED JOINT BIDDERS

### Bidding Period, May 1 Through October 31, 1977

Pursuant to the authority vested in the Director of the Bureau of Land Management by the provisions of 43 CFR § 3302.3-2(a), the following companies shall be restricted from bidding jointly with any other company on this same list at Outer Continental Shelf oil and gas lease sales held during the bidding period of May 1, 1977, through October 31, 1977:

Amoco Production Company  
BP Alaska Exploration Inc.  
Chevron U.S.A. Inc.  
Exxon Corporation  
Gulf Oil Corporation  
Mobil Oil Corporation  
Shell Oil Company  
Standard Oil Company of California  
Texaco Inc.

APRIL 1, 1977.

GEORGE L. TURCOTT,  
Acting Director,  
Bureau of Land Management.

[FR Doc.77-10660 Filed 4-8-77;8:45 am]

## National Park Service

OREGON INLET FISHING CENTER, INC.  
Intention to Negotiate Concession Contract

Pursuant to the provisions of Section 5 of the Act of October 9, 1965 (79 Stat. 969; 16 U.S.C. 20), public notice is hereby given that on May 11, 1977, the Department of the Interior, through the Director of the National Park Service, proposes to negotiate a concession contract with Oregon Inlet Fishing Center, Inc., authorizing it to continue to provide concession facilities and services for the public at Oregon Inlet, Cape Hatteras National Seashore for a period of ten (10) years from January 1, 1977, through December 31, 1986.

An assessment of the environmental impact of this proposed action has been made and it has been determined that it will not significantly affect the quality of the environment, and that it is not a major Federal action having a significant impact on the environment under the National Environmental Policy Act of 1969. The environmental assessment may be reviewed in the Southeast Regional Office, 1895 Phoenix Boulevard, Atlanta, Georgia 30337.

The foregoing concessioner has performed its obligations to the satisfaction of the Secretary under an existing contract which expired by limitation of time on December 31, 1976, and therefore, pursuant to the Act of October 9, 1965, as cited above, is entitled to be given preference in the renewal of the contract and in the negotiation of a new contract. This provision, in effect, grants Oregon Inlet Fishing Center, Inc., as the present satisfactory concessioner, the right to meet the terms of responsive offers for the proposed new contract and a preference in the award of the contract, if the offer of Oregon Inlet is substantially equal to others received. The Secretary is required to consider and evaluate all proposals received as a result of this notice. Any proposal to be considered and evaluated must be submitted on or before May 11, 1977.

Interested parties should contact the Assistant Director, Special Services, National Park Service, Washington, D.C. 20240, for information as to the requirements of the proposed contract.

Dated: April 6, 1977.

PHILIP O. STEWART,  
Acting Deputy Director,  
National Park Service.

[FR Doc. 77-10537 Filed 4-8-77; 8:45 am]

## WIEN AIR ALASKA, INC.

## Intention to Extend Concession Contract

Pursuant to the provisions of Section 5 of the Act of October 9, 1965 (79 Stat. 969; 16 U.S.C. 20), public notice is hereby given that on May 11, 1977, the Department of the Interior, through the Director of the National Park Service, proposes to negotiate a concession contract with Wien Air Alaska, Inc., authorizing it to continue to provide concession facilities

and services for the public at Katmai National Monument for a period of two (2) years from January 1, 1977, through December 31, 1978.

An assessment of the environmental impact of this proposed action has been made and it has been determined that it will not significantly affect the quality of environment, and that it is not a major Federal action having a significant impact on the environment under the National Environmental Policy Act of 1969. The environmental assessment may be reviewed in the Regional Office, Pacific Northwest Region, 601 Fourth and Pike Building, Seattle, Washington 98101.

The foregoing concessioner has performed its obligations to the satisfaction of the Secretary under an existing contract which expired by limitation of time on December 31, 1976, and therefore, pursuant to the Act of October 9, 1965, as cited above, is entitled to be given preference in the renewal of the contract and in the negotiation of a new contract. However, the Secretary is also required to consider and evaluate all proposals received as a result of this notice. Any proposal to be considered and evaluated must be submitted on or before May 11, 1977.

Interested parties should contact the Assistant Director, Special Services, National Park Service, Washington, D.C. 20240, for information as to the requirements of the proposed contract.

Dated: March 25, 1977.

ROBERT STANTON,  
Director,  
National Park Service.

[FR Doc. 77-10536 Filed 4-8-77; 8:45 am]

INTERNATIONAL TRADE  
COMMISSION

[AA1921-165]

METAL-WALLED ABOVE-GROUND  
SWIMMING POOLS FROM JAPAN

## Investigation and Hearing

Having received advice from the Department of the Treasury on March 29, 1977, that metal-walled above-ground swimming pools from Japan are being, or are likely to be, sold at less than fair value, the United States International Trade Commission on April 5, 1977, instituted investigation No. AA1921-165 under section 201(a) of the Antidumping Act, 1921, as amended (19 U.S.C. 160(a)), to determine whether an industry in the United States is being or is likely to be injured, or is prevented from being established, by reason of the importation of such merchandise into the United States.

**Hearing.** A public hearing in connection with the investigation will be held in the Commission's Hearing Room, United States International Trade Commission Building, 701 E Street, NW., Washington, D.C. 20436, beginning at 10 a.m., e.d.t., on Thursday, May 12, 1977. All parties shall there and then have the right to appear by counsel or in person,

to present evidence, and to be heard. Requests to appear at the public hearing, or to intervene under the provisions of section 201(d) of the Antidumping Act, 1291 (19 U.S.C. 160(d)), shall be filed with the Secretary of the Commission, in writing, not later than noon, Monday, May 9, 1977.

By order of the Commission.

Issued: April 6, 1977.

KENNETH R. MASON,  
Secretary.

[FR Doc. 77-10526 Filed 4-8-77; 8:45 am]

NATIONAL ADVISORY COUNCIL ON  
ECONOMIC OPPORTUNITY

## MEETING

APRIL 6, 1977.

Pursuant to section 10 of the Federal Advisory Committee Act of 1972 notice is hereby given that the National Advisory Council on Economic Opportunity will hold a one day meeting on May 13 at the Council office at 1725 K Street NW, (room 405), Washington, D.C. The meeting will begin at 9:30 a.m. and is open to the public.

The purpose of the meeting will be to lay the groundwork for the next report.

The National Advisory Council on Economic Opportunity is authorized by section 605 of the Community Services Act to advise the President and the Director of the Community Services Administration on policy matters arising under the administration of the Act and to review the effectiveness and operations of programs under the Act.

Records shall be kept of all proceedings and shall be available for public inspection at the offices of the National Advisory Council on Economic Opportunity.

WALTER B. QUIETSCH,  
Executive Director.

[FR Doc. 77-10582 Filed 4-8-77; 8:45 am]

NATIONAL AERONAUTICS AND  
SPACE ADMINISTRATION

[Notice 77-24]

DRAFT ENVIRONMENTAL IMPACT  
STATEMENT

## Public Notice Regarding Availability

Notice is hereby given of the public availability of the draft Environmental Impact Statement (EIS) for the National Aeronautics and Space Administration (NASA) Michoud Assembly Facility, New Orleans, Louisiana.

Although the parent Marshall Space Flight Center (and its sub-installation, then the Mississippi Test Facility) was covered by an environmental statement released in 1972, there was no coverage of the Michoud Assembly Facility (MAF) because of its relatively inactive status at that time. Since that time, MAF has become the NASA installation responsible for the design and manufacture of the Space Shuttle External Tanks, the "landlord" for a number of tenant agencies, and the site for a number of other

impending activities. This draft has therefore been prepared to augment the 1972 Marshall Space Flight Center statement, and is being issued at this time.

Comments on the draft environmental statement and on matters set forth therein are solicited from and may be submitted by state and local agencies and members of the public. Such comments should be submitted to the Associate Deputy Administrator, National Aeronautics and Space Administration, Washington, DC 20546. All comments must be received by June 14, 1977, in order to be considered in the preparation of the final Environmental Statement.

Copies of the draft statement may be obtained or examined at any of the following locations:

(a) National Aeronautics and Space Administration, Public Documents Room (Room 126), 600 Independence Avenue, SW, Washington, DC 20546.

(b) Ames Research Center, NASA (Building 201, Room 17), Moffett Field, CA 94035.

(c) Hugh L. Dryden Flight Research Center, NASA (Building 4800, Room 1017), P.O. Box 273, Edwards, CA 93523.

(d) Goddard Space Flight Center, NASA (Building 8, Room 150), Greenbelt, MD 20771.

(e) Johnson Space Center, NASA (Building 1, Room 136), Houston, TX 77058.

(f) John F. Kennedy Space Center, NASA (Headquarters Building, Room 1207), Kennedy Space Center, FL 32899.

(g) Langley Research Center, NASA (Building 1219, Room 304), Hampton, VA 23665.

(h) Lewis Research Center, NASA (Administration Building, Room 120), 21000 Brookpark Road, Cleveland, OH 44135.

(i) George C. Marshall Space Flight Center, NASA (Building 4200, Room G-11), Huntsville, AL 35812.

(j) National Space Technology Laboratories, NASA (Building 1100, Room A-213), Bay St. Louis, MS 39520.

(k) Jet Propulsion Laboratory, (Building 180, Room 600) 4800 Oak Grove Drive, Pasadena, CA 91103.

(l) Wallops Flight Center, NASA (Library Building, Room E-105), Wallops Island, VA 23337.

(m) Governor's Council on Environmental Quality, (Room 11, Natural Resources Bldg., North and 4th Streets), Baton Rouge, LA 70804. (Recommended public access at site of Michoud Assembly Facility.)

Done at Washington, DC, this 5th day of April 1977.

By the direction of the Administrator.

DUWARD L. CROW,  
Associate Deputy Administrator,  
National Aeronautics and  
Space Administration.

[FR Doc.77-10503 Filed 4-8-77;8:45 am]

## [Notice 77-25]

### NASA RESEARCH AND TECHNOLOGY ADVISORY COUNCIL, COMMITTEE ON AERODYNAMICS AND CONFIGURATIONS

#### Meeting

The NASA Research and Technology Advisory Council Committee on Aerodynamics and Configurations will meet on May 4-6, 1977, at the NASA Ames Research Center, Moffett Field, California, 94035. The meeting will be held in Conference Room 213 of Building 200. The meeting will be open to the public on a first-come, first-served basis, up to the seating capacity of the room, which is about 25 persons. All visitors must report to the Ames Research Center Receptionist in Building 200.

The NASA Research and Technology Advisory Council Committee on Aerodynamics and Configurations serves in an advisory capacity only. There are 17 members. The Committee studies issues pertinent to the NASA aerodynamics and configurations research program, pinpoints related critical problems, points out relevant program goals, assesses ongoing work, determines gaps in the technology, and reports its resulting recommendations to the Council. The following list sets forth the approved agenda and schedule for the meeting. For further information, please contact Mr. William S. Aiken, Jr., Code RA, NASA Headquarters, Washington, DC 20546, (202) 755-2400.

MAY 4, 1977

| Time        | Topic   |
|-------------|---|
| 9 am.....   | Report of the Chairman (Purpose: To summarize action taken at the February 1977 meeting of the Research and Technology Advisory Council).   |
| 9:30 am...  | Report of the Executive Secretary (Purpose: To brief the Committee on recent and proposed changes in NASA organization and in pertinent aeronautics programs).  |
| 10:30 am... | Report on Implementation Plans for Locating Primary NASA Rotorcraft Research Responsibility at Ames Research Center (Purpose: To inform the Committee of recent decisions and plans regarding the future NASA rotorcraft research program). |
| 11 am.....  | Status Report on Tilt Rotor XV-15A Research Airplane (Purpose: To update the Committee on progress in the NASA/Army Tilt Rotor Research Airplane Program).  |
| 12:30 pm... | Discussion of NASA Aeronautics Planning (Purpose: To brief the Committee on aeronautics planning in connection with the FY 1979 five-year plan and to obtain comments specifically on areas pertinent to the Committee's charter).          |
| 3:15 pm...  | Status Report on Implementation of Comprehensive Aerodynamic Prediction of Aircraft (CAPAIR) Program (Purpose: To describe progress and plans for carrying out the CAPAIR program).   |

| Time       | Topic   |
|------------|---|
| 3:45 pm... | Discussion of NASA Center Written Reports (Purpose: To provide elaboration on items included in the previously-distributed written reports on pertinent aeronautical research areas prepared by the Ames, Dryden, Langley, and Lewis Research Centers). |

MAY 5, 1977

|             |   |
|-------------|---|
| 8:30 am...  | Proposed Numerical Aerodynamic Simulation Facility (NASF) (Purpose: To describe plans and capability of the proposed NASF at the Ames Research Center).   |
| 10:15 am... | Report on Meeting of Industry Technical Advisory Board (ITAB) on NASA Integrated Programs for Aerospace Vehicle Design (IPAD) (Purpose: To inform the Committee on results of March 1977 meeting of ITAB).  |
| 10:45 am... | Status of Short-Haul Powered-Lift Aircraft Terminal-Area Flight Dynamics Research (Purpose: To describe recent and planned flight research to provide handling-qualities and certification criteria for reduced and short take-off and landing (R/STOL) transport aircraft).                    |
| 12:30 pm... | Tour of Ames Research Center Simulator Facilities and Discussion of Capabilities (Purpose: To describe the characteristics of the new Vertical Motion and other Simulators).  |
| 2:15 pm...  | Member Reports on Items of Interest (Purpose: To provide elaboration on items included in the previously-distributed written reports provided by individual members of the Committee).  |
| 3:45 pm...  | Working Group Sessions on Basic Technology, Conventional Take-Off and Landing (CTOL), and Vertical and Take-Off and Landing (V/STOL) Aircraft (Purpose: To develop draft position statements and recommendations pertaining to the focus and scope of the technical programs reviewed earlier). |

MAY 6, 1977

|             |   |
|-------------|---|
| 9 am.....   | Committee Review of Working Group Reports (Purpose: To review inputs from the three working groups and prepare final position statements and recommendations for consideration by the NASA Research and Technology Advisory Council). |
| 10:45 am... | Discussion of Agenda Items for the Next Meeting (Purpose: To obtain and discuss members' suggestions for potential agenda for the next meeting).  |
| 11:30 am... | Adjournment.  |

Dated: April 5, 1977.

JOHN M. COULTER,  
Acting Assistant Administrator  
for Department of Defense  
and Interagency Affairs, National  
Aeronautics and Space  
Administration.

[FR Doc.77-10504 Filed 4-8-77;8:45 am]

[Notice No. 77-26]

**SPACE PROGRAM ADVISORY COUNCIL  
(SPAC) APPLICATIONS COMMITTEE  
Meeting**

The ad hoc informal Subcommittee on Satellite Telecommunications of the SPAC Applications Committee will meet on April 26, 1977, from 1 p.m. to 9 p.m. at NASA Headquarters, Federal Office Building 10B, Room 226A, 600 Independence Avenue SW., Washington, D.C. Members of the public will be admitted to the meeting at 1 p.m. on a first-come, first-served basis. The seating capacity of the room is 35 people. Visitors will be requested to sign a visitor's register.

This Subcommittee, comprised of 9 members of the SPAC Applications Committee including the Chairman, Mr. Thomas Rogers, serves in an advisory capacity only and will recommend a satellite telecommunications program to NASA.

For further information regarding the meeting, please contact Mr. Louis B. C. Fong, Washington, D.C., 202-755-8617. The approved agenda for the meeting on April 26, 1977, is as follows:

| Time:      | Topic   |
|------------|---|
| 1 p.m.---- | Opening remarks by chairman.  |
| 1:15 p.m.  | Satellite Telecommunications program. Continuation of discussion and review of the report of the National Research Council-Space Applications Board Committee on Satellite Communications (CSC) and the plan on how the subcommittee will proceed to formulate a recommended satellite telecommunications program for NASA. |
| 4 p.m.---- | Adjourn.  |

Dated: April 5, 1977.

JOHN M. COULTER,  
*Acting Assistant Administrator  
for Department of Defense  
and Interagency Affairs.*

[FR Doc.77-10505 Filed 4-8-77;8:45 am]

**NATIONAL RAILROAD  
PASSENGER CORPORATION**

**GOVERNMENT IN THE SUNSHINE ACT  
Rules Governing Open Meetings; Changes  
Based on Public Comment**

The National Railroad Passenger Corporation (hereinafter NRPC) on March 9, 1977 added an Appendix A to its Corporate By-Laws in order to implement sections (b) through (f) of the Government in the Sunshine Act (5 U.S.C. 552 b) (hereinafter the Act) (42 FR 13635, March 11, 1977).

The preamble to the Rule stated that the rules would be reviewed in light of any written comments that might be received no later than March 18, 1977, and that if the Corporation finds it necessary to amend the rules published at that time as a result of any such comments, further publication would follow.

One comment was received during the comment period. Submitted by Congressman Richardson Preyer (N.C.), as Chairman of the Government Information and Individual Rights Subcommittee of the Committee on Government Operations of the House of Representatives, Congress of the United States, the comment referred to four provisions in the rules. Copies of the comment were sent to members of the Board of Directors of the Corporation and were reviewed and discussed by the Board at its meeting in Washington, D.C. on March 30, 1977. The Board's reaction to the comments and respective changes, where any were indicated, are as follows:

1. Congressman Preyer suggested that Rule 4(f), which describes the manner in which information about Board meetings will be provided to the general public, might include other means of informing the public, such as mailing notices to persons on either a general mailing list or a list maintained for those desiring to receive such material. The rule as published requires the Secretary of the Corporation to release announcements of Board meetings to the press, post each such announcement on the bulletin board at the Corporation's principal office, provide copies for interested members of the public and staff, and submit each such announcement for publication in the FEDERAL REGISTER. In connection with the first of these means of informing the public, the Corporation's Department of Public Affairs maintains a mailing list to which all the Corporation's press releases are mailed. While the Board expressed the view that adequate means of public information have been provided, it indicated that the mailing list maintained by the Department of Public Affairs could include the names of any members of the general public who ask to receive notices of future meetings of the Board. Rule 4(f) is accordingly being amended to provide the public with specific advice to this effect.

2. Congressman Preyer noted that while the Act does not specifically establish any right on the part of the public to record what transpires at the open meetings, the right to do so would appear to be a material concomitant of the right of observation as long as note taking or recording does not disrupt the meeting. He recommended that Rule 5a. be changed to eliminate the prohibition on the use of electronic or other recording devices. The Board agreed with the comment and directed that the Amendment to the By-Laws be changed accordingly.

3. Congressman Preyer's third comment is as follows:

Rule 6(a) includes the fact that a meeting coming within a specific exemption is to be open nevertheless when the public interest so requires. However, it should be amended to make it clear that the decision to close a meeting has two distinct steps: first, the Corporation must determine whether the discussion comes within one of the specific exemptions; and second, if the discussion is determined to be exempt, the Corporation must consider and determine

whether the public interest nevertheless requires that the meeting be open. The proposed rule seems to suggest that the Corporation need consider the public interest issue only if it chooses to, whereas the Act contemplates that the public interest issue will be considered in each instance where the Corporation determines that a discussion comes within a specific exemption.

Rule 6a. directly reflects the language of the Act. In stating the rule in this manner, the Board did not believe that it could choose whether or not to consider the public interest, as the comment indicates may have been the case. The Board originally was of the view that it would consider the public interest issue in determining whether to exercise its discretion to make use of the permissive exemptions provided in the Act. The Board indicated that Rule 6a. should be clarified accordingly.

4. The fourth comment suggested that Rule 7. be clarified to reflect that the General Counsel's certification must be made before a meeting may be closed. The Board agreed with the comment and the rule is being clarified accordingly.

The rules, as published on March 11, 1977, contained one misspelling and the technical omission of one word, both of which are corrected as noted below.

In accordance with the foregoing, notice is hereby given that Appendix A to the By-Laws of the Corporation are amended as follows:

Rule 4f. is amended by adding the following sentence at the end thereof: "Any member of the public who desires to receive notices of meetings of the Board of Directors shall advise the Secretary in writing. The Secretary will include the name and mailing address of any such member of the public on the mailing list maintained by the Corporation's Public Affairs Department."

Rule 5a. is amended by deleting the words "electronic or other recording devices or" in the last sentence thereof. This subsection is further amended by adding the following sentence at the end thereof: "The use of electronic or other recording devices is permitted where it does not interfere with or disrupt the orderly conduct of the meeting."

Rule 6a. is amended by inserting after the words "Except in a case where the members of the Board of Directors find that the public interest requires otherwise," the following new language: "which finding shall be made as a part of any decision to close a meeting or a portion of a meeting on the basis of any applicable exemptions contained in the Act or in these rules, \* \* \*"

Rule 7. The first clause of Rule 7 is amended to read as follows: "Before any meeting may be closed pursuant to subparagraphs (1) through (10) of paragraph a. of Rule 6, \* \* \*"

Technical corrections: In clause (B) of Rule 4d., change "earlier" to read "earliest"; and in Rule 7, insert the word "in" at the beginning of the third

clause, so that the clause begins with the words "in his or her opinion \* \* \*".

Signed this 5th day of April 1977.

ELYSE G. WANDER,  
Secretary, National Railroad  
Passenger Corporation.

[FR Doc.77-10502 Filed 4-8-77;8:45 am]

## NUCLEAR REGULATORY COMMISSION

### ADVISORY COMMITTEE ON REACTOR SAFEGUARDS AD HOC WORKING GROUP OF THE SUBCOMMITTEE ON THE CLINCH RIVER BREEDER REACTOR

#### Meeting

In accordance with the purposes of sections 29 and 182b. of the Atomic Energy Act (42 U.S.C. 2039, 2232b.) an Ad Hoc Working Group of the ACRS Subcommittee on the Clinch River Breeder Reactor will hold a meeting on April 27, 1977 in Room 1046, 1717 H St. NW., Washington, D.C. 20555. The Advisory Committee on Reactor Safeguards will review the application of the U.S. Energy Research and Development Administration, the Tennessee Valley Authority, and the Project Management Corporation (hereafter referred to as the CRBR Project Office) for a permit to construct the Clinch River Breeder Reactor. The purpose of this meeting of the Ad Hoc Working Group will be to gather information on site related considerations for the Clinch River Breeder Reactor and to discuss the NRC Site Suitability Report for the Clinch River Breeder Reactor, dated March 4, 1977.

The agenda for subject meeting shall be as follows:

WEDNESDAY, APRIL 27, 1977—8:30 A.M. UNTIL  
THE CONCLUSION OF BUSINESS

The Working Group, with any of its consultants who may be present, will meet in open Executive Session to discuss the agenda for the meeting and to explore preliminary opinions regarding areas to be reviewed and recommendations to the full Committee.

At the conclusion of the Executive Session, the Working Group will meet in open session with representatives of the NRC Staff, the CRBR Project Office, and its consultants, to discuss matters pertinent to this review.

It may be necessary for the Working Group to hold one or more closed sessions for the purpose of exploring with the NRC Staff and Applicant matters involving proprietary information.

I have determined, in accordance with Subsection 10(d) of Public Law 92-463, that it is necessary to conduct the above closed sessions to protect proprietary information (5 U.S.C. 552 b (c) (4)).

Practical considerations may dictate alterations in the above agenda or schedule. The Chairman of the Working group is empowered to conduct the meeting in a manner that, in his judgment, will facilitate the orderly conduct of business, including provisions to carry over an incomplete open session from one day to the next.

The Advisory Committee on Reactor Safeguards is an independent group established by Congress to review and re-

port on each application for a construction permit and on each application for an operating license for a reactor facility and on certain other nuclear safety matters. The Committee's reports become a part of the public record. Although ACRS meetings are ordinarily open to the public and provide for oral or written statements to be considered as a part of the Committee's information gathering procedure concerning the health and safety of the public, they are not adjudicatory type hearings such as are conducted by the Nuclear Regulatory Commission's Atomic Safety & Licensing Board as part of the Commission's licensing process. ACRS meetings do not normally treat matters pertaining to environmental impacts outside the safety area.

With respect to public participation in the open portion of the meeting, the following requirements shall apply:

(a) Persons wishing to submit written statements regarding the agenda may do so by providing a readily reproducible copy to the Working Group at the beginning of the meeting. Comments should be limited to safety related areas within the Committee's purview.

Persons desiring to mail written comments may do so by sending a readily reproducible copy thereof in time for consideration at this meeting. Comments postmarked no later than April 20, 1977 to Mr. Thomas G. McCreless, ACRS, NRC, Washington, D.C. 20555, will normally be received in time to be considered at this meeting.

Background information concerning items to be considered at this meeting can be found in documents on file and available for public inspection at the NRC Public Document Room, 1717 H St. NW., Washington, D.C. 20555; at the Oak Ridge Public Library, Civic Center, Oak Ridge, Tenn. 37830; and at the Lawson McGhee Public Library, 500 W. Church Street, Knoxville, Tenn. 37902.

(b) Persons desiring to make an oral statement at the meeting should make a written request to do so, identifying the topics and desired presentation time so that appropriate arrangements can be made. The Working Group will receive oral statements on topics relevant to its purview at an appropriate time chosen by the Chairman of the Working Group.

(c) Further information regarding topics to be discussed, whether the meeting has been cancelled or rescheduled, the Chairman's ruling on requests for the opportunity to present oral statements and the time allotted therefor can be obtained by a prepaid telephone call on April 26, 1977 to the Office of the Executive Director of the Committee (telephone 202/634-1374, attention: Mr. Thomas G. McCreless) between 8:15 a.m. and 5 p.m., e.s.t.

(d) Questions may be propounded only by members of the Working Group and its consultants.

(e) The use of still, motion picture, and television cameras, the physical installation and presence of which will not interfere with the conduct of the meet-

ing, will be permitted both before and after the meeting and during any recess. The use of such equipment will not, however, be allowed while the meeting is in session. Recordings will be permitted only during those sessions of the meeting when a transcript is being kept.

(f) Persons with agreements or orders permitting access to proprietary information may attend portions of ACRS meetings where this material is being discussed upon confirmation that such agreements are effective and relate to the material being discussed.

The Executive Director of the ACRS should be informed of such an agreement at least three working days prior to the meeting so that the agreement can be confirmed and a determination can be made regarding the applicability of the agreement to the material that will be discussed during the meeting. Minimum information provided should include information regarding the date of the agreement, the scope of material included in the agreement, the project or projects involved, and the names and titles of the persons signing the agreement. Additional information may be requested to identify the specific agreement involved. A copy of the executed agreement should be provided to Mr. Thomas G. McCreless of the ACRS Office, prior to the beginning of the meeting.

(g) A copy of the transcript of the open portion(s) of the meeting where factual information is presented will be available for inspection on or after May 4, 1977 at the NRC Public Document Room, 1717 H St. NW., Washington, D.C. 20555; at the Oak Ridge Public Library, Civic Center, Oak Ridge, Tenn. 37830; and at the Lawson McGhee Public Library, 500 W. Church St., Knoxville, Tenn. 37902.

Copies of the minutes of the meeting will be made available for inspection at the NRC Public Document Room, 1717 H St. NW., Washington, D.C. 20555 after July 25, 1977.

Copies may be obtained upon payment of appropriate charges.

Dated: April 6, 1977.

JOHN C. HOYLE,  
Advisory Committee,  
Management Officer.

[FR Doc.77-10589 Filed 4-8-77;8:45 am]

[Docket No. 50-324]

### CAROLINA POWER AND LIGHT CO.

#### Issuance of Amendment to Facility Operating License

The U.S. Nuclear Regulatory Commission (the Commission) has issued Amendment No. 25 to Facility Operating License No. DPR-62, issued to Carolina Power & Light Company (the licensee), which revised Technical Specifications for operation of the Brunswick Steam Electric Plant, Unit No. 2 (the facility) located in Brunswick County, North Carolina. The amendment is effective as of its date of issuance.

This amendment modifies the inspection schedule for inaccessible safety-related shock suppressors (snubbers) and corrects administrative errors on the list of shock suppressors for which operability and inspection requirements exist.

The application for the amendment complies with the standards and requirements of the Atomic Energy Act of 1954, as amended (the Act), and the Commission's rules and regulations. The Commission has made appropriate findings as required by the Act and the Commission's rules and regulations in 10 CFR Chapter I, which are set forth in the license amendment. Prior public notice of this amendment was not required since the amendment does not involve a significant hazards consideration.

The Commission has determined that the issuance of this amendment will not result in any significant environmental impact and that pursuant to 10 CFR 51.5(d)(4) an environmental impact statement or negative declaration and environmental impact appraisal need not be prepared in connection with issuance of this amendment.

For further details with respect to this action, see (1) the application for amendment dated February 1, 1977, (2) Amendment No. 25 to License No. DPR-62, and (3) the Commission's related Safety Evaluation. All of these items are available for public inspection at the Commission's Public Document Room, 1717 H Street, NW., Washington, D.C. and at the Southport Brunswick County Library, 109 W. Moore Street, Southport, North Carolina 28461. A copy of items (2) and (3) may be obtained upon request addressed to the U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, Attention: Director, Division of Operating Reactors.

Dated at Bethesda, Maryland, this 21st day of March 1977.

For the Nuclear Regulatory Commission.

A. SCHWENGER, *Chief,  
Operating Reactors Branch No.  
1, Division of Operating Reactors.*

[FR Doc.77-10493 Filed 4-8-77;8:45 am]

[Docket No. 50-335]

**FLORIDA POWER AND LIGHT CO.**  
Issuance of Amendment to Facility  
Operating License

The U.S. Nuclear Regulatory Commission (the Commission) has issued Amendment No. 14 to Facility Operating License No. DPR-67, issued to Florida Power & Light Company (the licensee), which revised Enclosure 1 of the license for operation of the St. Lucie Plant Unit No. 1 (the facility) located in St. Lucie County, Florida. The amendment is effective as of its date of issuance.

The amendment (1) deleted those provisions of Enclosure 1 that required performance of (a) tests at greater than 30% of full rated power to determine the maximum steam generator refill rate which does not result in unacceptable

feedwater hammer and (b) tests of power reactivity coefficients at 50%, 80% and 100% of full rated power prior to completion of power ascension testing program for the facility; and (2) revised the provision of Section F of Enclosure 1 to extend the date for completion of installation of water flow control valves in the ultimate heat sink barrier dam from March 31, 1977 to July 31, 1977. The requirements of item (1) have been completed to the satisfaction of the Commission and therefore are no longer relevant.

The applications for the amendment comply with the standards and requirements of the Atomic Energy Act of 1954, as amended (the Act), and the Commission's rules and regulations. The Commission has made appropriate findings as required by the Act and the Commission's rules and regulations in 10 CFR Chapter I, which are set forth in the license amendment. Prior public notice of this amendment was not required since the amendment does not involve a significant hazards consideration.

The Commission has determined that the issuance of this amendment will not result in any significant environmental impact and that pursuant to 10 CFR 51.5(d)(4) an environmental impact statement or negative declaration and environmental impact appraisal need not be prepared in connection with issuance of this amendment.

For further details with respect to this action, see (1) the application for amendment dated February 25, 1977, and March 9, 1977, and supplements thereto dated March 2 and 11, 1977, (2) Amendment No. 14 to License No. DPR-67, and (3) the Commission's related Safety Evaluation. All of these items are available for public inspection at the Commission's Public Document Room, 1717 H Street, N.W., Washington, D.C. and at the Indian River Junior College Library, 3209 Virginia Avenue, Ft. Pierce, Florida 33450. A single copy of items (2) and (3) may be obtained upon request addressed to the U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, Attention: Director, Division of Operating Reactors.

Dated at Bethesda, Maryland, this 25th day of March 1977.

For the Nuclear Regulatory Commission.

DON K. DAVIS,  
*Acting Chief, Operating Reactors Branch No. 2, Division of Operating Reactors.*

[FR Doc.77-10494 Filed 4-8-77;8:45 am]

**REGULATORY GUIDE**  
Issuance and Availability

The Nuclear Regulatory Commission has issued a new guide in its Regulatory Guide Series. This series has been developed to describe and make available to the public methods acceptable to the NRC staff of implementing specific parts of the Commission's regulations and, in some cases, to delineate techniques used by the staff in evaluating specific problems or postulated accidents and to provide

guidance to applicants concerning certain of the information needed by the staff in its review of applications for permits and licenses.

Regulatory Guide 1.126, "An Acceptable Model and Related Statistical Methods for the Analysis of Fuel Densification," provides an analytical model and related assumptions and procedures that are acceptable to the NRC staff for predicting the effects of fuel densification in light-water-cooled nuclear power reactors. The guide also describes statistical methods related to product sampling that will provide a high confidence that the fuel will perform as predicted.

Comments and suggestions in connection with (1) items for inclusion in guides currently being developed or (2) improvements in all published guides are encouraged at any time. Public comments on Regulatory Guide 1.126 will, however, be particularly useful in evaluating the need for an early revision if received by June 10, 1977.

Comments should be sent to the Secretary of the Commission, U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, Attention: Docketing and Service Branch.

Regulatory guides are available for inspection at the Commission's Public Document Room, 1717 H Street, NW., Washington, D.C. Requests for single copies of issued guides (which may be reproduced) or for placement on an automatic distribution list for single copies of future guides in specific divisions should be made in writing to the U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, Attention: Director, Division of Document Control. Telephone requests cannot be accommodated. Regulatory guides are not copyrighted, and Commission approval is not required to reproduce them.

(5 U.S.C. 552(a).)

Dated at Rockville, Maryland this 4th day of April 1977.

For the Nuclear Regulatory Commission.

ROBERT B. MINOGUE,  
*Director, Office of  
Standards Development.*

[FR Doc.77-10498 Filed 4-8-77;8:45 am]

[Docket No. 50-305]

**WISCONSIN PUBLIC SERVICE CORP.;  
ET AL.**

Issuance of Amendment to Facility  
Operating License

The U.S. Nuclear Regulatory Commission (the Commission) has issued Amendment No. 16 to Facility Operating License No. DPR-43, issued to Wisconsin Public Service Corporation, Wisconsin Power & Light Company, and Madison Gas & Electric Company (the licensees), which revised Technical Specifications for operation of the Kewaunee Nuclear Power Plant, located in Kewaunee County, Wisconsin. The amendment is effective as of its date of issuance.

The amendment revises the Technical Specifications to (1) incorporate the re-



sults of an ECCS reanalysis performed as a result of the Order for Modification of License dated August 27, 1976, (2) clarify Figure TS. 3.10-6 on operating limits for axial flux difference and (3) increase reactor coolant boron concentration during refueling.

The application for amendment complies with the standards and requirements of the Atomic Energy Act of 1954, as amended (the Act), and the Commission's rules and regulations. The Commission has made appropriate findings as required by the Act and the Commission's rules and regulations in 10 CFR Chapter I, which are set forth in the license amendment. Notice of Proposed Issuance of Amendment to Facility Operating License in connection with this action was published in the FEDERAL REGISTER on January 17, 1977 (42 FR 3226). No request for a hearing or petition for leave to intervene was filed following notice of the proposed action.

The Commission has determined that the issuance of this amendment will not result in any significant environmental impact and that pursuant to 10 CFR 51.5(d)(4) an environmental impact statement, or negative declaration and environmental impact appraisal need not be prepared in connection with issuance of this amendment.

For further details with respect to this action, see (1) the application for amendment dated December 10, 1976 as supplemented February 8, 1977, (2) Amendment No. 16 to License No. DPR-43, and (3) the Commission's related Safety Evaluation. All of these items are available for public inspection at the Commission's Public Document Room, 1717 H Street, N.W., Washington, D.C. and at the Kewaunee Public Library, 314 Milwaukee Street, Kewaunee, Wisconsin 54218. A copy of items (2) and (3) may be obtained upon request addressed to the U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, Attention: Director, Division of Operating Reactors.

Dated at Bethesda, Maryland, this 25th day of March 1977.

For the Nuclear Regulatory Commission.

A. SCHWENCER,  
Chief, Operating Reactors  
Branch No. 1, Division of  
Operating Reactors.

[FR Doc.77-10497 Filed 4-8-77;8:45 am]

[Docket No. 50-29]

#### YANKEE ATOMIC ELECTRIC CO.

##### Issuance of Amendment to Facility Operating License and Negative Declaration

The U.S. Nuclear Regulatory Commission (the Commission) has issued Amendment No. 36 to Facility Operating License No. DPR-3, issued to Yankee Atomic Electric Company (the licensee), which revised Technical Specifications for operation of the Yankee Nuclear Power Station (Yankee-Rowe) (the facility) located in Rowe, Franklin County, Massachusetts. The amendment is effective as of its date of issuance.

The amendment changes certain requirements for the Environmental Monitoring Program specified in Table 4.7-4 in the Technical Specifications.

The application for the amendment complies with the standards and requirements of the Atomic Energy Act of 1954, as amended (the Act), and the Commission's rules and regulations. The Commission has made appropriate findings as required by the Act and the Commission's rules and regulations in 10 CFR Chapter I, which are set forth in the license amendment. Prior public notice of this amendment was not required since the amendment does not involve a significant hazards consideration.

The Commission has prepared an environmental impact appraisal for the revised Technical Specifications and has concluded that an environmental impact statement for this particular action is not warranted because there will be no significant environmental impact attributable to the action.

For further details with respect to this action, see (1) the application for amendment dated December 13, 1976, (2) Amendment No. 36 to License No. DPR-3, and (3) the Commission's related Environmental Impact Appraisal. All of these items are available for public inspection at the Commission's Public Document Room, 1717 H Street, N.W., Washington, D.C. and at the Greenfield Public Library, 422 Main Street, Greenfield, Massachusetts 01581. A copy of items (2) and (3) may be obtained upon request addressed to the U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, Attention: Director, Division of Operating Reactors.

Dated at Bethesda, Maryland, this 30th day of March 1977.

For the Nuclear Regulatory Commission.

A. SCHWENCER,  
Chief, Operating Reactors  
Branch No. 1, Division of  
Operating Reactors.

[FR Doc.77-10495 Filed 4-8-77;8:45 am]

[Docket No. 50-29]

#### YANKEE ATOMIC ELECTRIC CO.

##### Issuance of Amendment to Facility Operating License

The U.S. Nuclear Regulatory Commission (the Commission) has issued Amendment No. 37 to Facility Operating License No. DPR-3, issued to Yankee Atomic Electric Company (the licensee), which revised Technical Specifications for operation of the Yankee Nuclear Power Station (Yankee-Rowe) (the facility) located in Rowe, Franklin County, Massachusetts. The amendment is effective as of its date of issuance.

The amendment relates to extending the Yankee-Rowe Technical Specification burnup dependent figures from the present limit of 389 effective full power days (EFPD) to 500 (EFPD).

The application for the amendment complies with the standards and requirements of the Atomic Energy Act of 1954,

as amended (the Act), and the Commission's rules and regulations. The Commission has made appropriate findings as required by the Act and the Commission's rules and regulations in 10 CFR Chapter I, which are set forth in the license amendment. Prior public notice of this amendment was not required since the amendment does not involve a significant hazards consideration.

The Commission has determined that the issuance of this amendment will not result in any significant environmental impact and that pursuant to 10 CFR 51.5(d)(4) an environmental impact statement or negative declaration and environmental impact appraisal need not be prepared in connection with issuance of this amendment.

For further details with respect to this action, see (1) the application for amendment dated March 2, 1977, (2) Amendment No. 37 to License No. DPR-3, and (3) the Commission's related Safety Evaluation. All of these items are available for public inspection at the Commission's Public Document Room, 1717 H Street, N.W., Washington, D.C. and at the Greenfield Public Library, 422 Main Street, Greenfield, Massachusetts 01581. A copy of items (2) and (3) may be obtained upon request addressed to the U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, Attention: Director, Division of Operating Reactors.

Dated at Bethesda, Maryland, this 31st day of March 1977.

For the Nuclear Regulatory Commission.

A. SCHWENCER,  
Chief, Operating Reactors  
Branch No. 1, Division of  
Operating Reactors.

[FR Doc.77-10496 Filed 4-8-77;8:45 am]

[Docket No. 50-213]

#### CONNECTICUT YANKEE ATOMIC POWER CO.

##### Issuance of Amendment to Facility Operating License

The U.S. Nuclear Regulatory Commission (the Commission) has issued Amendment No. 15 to Facility Operating License No. DPR-61, issued to Connecticut Yankee Atomic Power Company for operation of the Haddam Neck Plant, located in Middlesex County, Connecticut. The amendment is effective as of the date of issuance.

This amendment revises the Technical Specification to (1) specify limiting conditions of operation (LCO) for reactor coolant flow, temperature and pressure under steady state, 100 percent rate thermal reactor power and (2) implements procedural changes to meet the single failure criteria and guidelines of Branch Technical Position EICSB No. 18.

The application for the amendment complies with the standards and requirements of the Atomic Energy Act of 1954, as amended (the Act), and the Commission's rules and regulations. The Commission has made appropriate findings as required by the Act and the Commission's rules and regulations in 10 CFR

Chapter I, which are set forth in the license amendment. Prior public notice of this amendment was not required since the amendment does not involve a significant hazards consideration.

The Commission has determined that the issuance of this amendment will not result in any significant environmental impact and that pursuant to CFR 51.5 (d) (4) an environmental impact statement, or negative declaration and environmental impact appraisal need not be prepared in connection with issuance of this amendment.

For further details with respect to this action, see (1) the application for amendment dated July 30, 1976, as supplemented January 17, 1977, (2) Amendment No. 15 to License No. DPR-61, (3) The Commission's related Safety Evaluation and (4) The Commission's Safety Evaluation dated June 30, 1976. All of these items are available for public inspection at the Commission's Public Document Room, 1717 H Street NW., Washington, D.C. and at the Russell Library, 119 Broad Street, Middletown, Connecticut 06457. A copy of items (2), (3), and (4) may be obtained upon request addressed to the U. S. Nuclear Regulatory Commission, Washington, D.C. 20555, Attention: Director, Division of Operating Reactors.

Dated at Bethesda, Maryland, this 22nd day of March.

For the Nuclear Regulatory Commission.

A. SCHWENCER,  
Chief, Operating Reactors  
Branch No. 1, Division of  
Operating Reactors.

[FR Doc.77-10375 Filed 4-8-77;8:45 am]

Atomic Safety and Licensing Board  
[Docket No. 50-564]

EXXON NUCLEAR COMPANY, INC.  
Notice and Order Scheduling Special  
Prehearing Conference

Notice is hereby given that, in accordance with the Commission's "Notice of Hearing On Application For Construction Permit" dated February 8, 1977, and published in the FEDERAL REGISTER on February 10, 1977 (42 FR 8439), a Special Prehearing Conference will be held in the above-captioned proceeding on April 28, 1977. The time and place will be notice later.

At that time, consideration will be given to the following:

1. Permit identification of the key issues in the proceeding;
2. Take any steps necessary for further identification of the issues;
3. Consider all intervention petitions to allow the presiding officer to make such preliminary or final determination as to the parties to the proceeding, as may be appropriate;
4. Establish a schedule for further actions in the proceeding; and
5. Discuss the initiation of discovery.

The public is invited to attend. However, neither evidence will be received

nor will limited appearance statements be accepted at the Special Prehearing Conference on April 28, 1977.

It is so ordered.

Dated at Bethesda, Maryland this 4th day of April, 1977.

For the Atomic Safety and Licensing Board.

SHELDON J. WOLFE, ESQ.,  
Chairman.

[FR Doc.77-10376 Filed 4-8-77;8:45 am]

[Docket Nos. STN 50-568, STN 50-569;  
NEP-1 and NEP-2]

NEW ENGLAND POWER CO., ET AL.  
Special Prehearing Conference

APRIL 1, 1977.

Pursuant to notice of hearing in this proceeding, a number of petitions (31) for leave to intervene have heretofore been filed. By Order dated January 7, 1977, the Licensing Board granted leave to intervene to Aquidneck Island Ecology; Rhode Islanders For Safe Power (RISP); Eric D. Schneider and Claudine C. Schneider; The State of Rhode Island and Providence Plantations; Town of Charlestown; Town of South Kingston; and Town of West Greenwich.

By Order dated January 14, 1977, the Licensing Board found that the remaining petitions for leave to intervene failed to meet the requirements of 10 CFR 2.714 but appeared to be capable of amendment to comply with the rules of the Commission. Accordingly, leave was granted to such petitioners to file amended petitions by February 22, 1977. Amended petitions have been filed by Concerned Citizens of Rhode Island and Point Judith Fisherman's Cooperative (and by request to consolidate, New England Coalition on Nuclear Power); The Greater Providence Chamber of Commerce (and by consolidation, the Blackstone Valley Chamber of Commerce); Conservation Law Foundation; Labor and Management Plan for Economic Development (and by consolidation the International Brotherhood of Electrical Workers, International Association of Bridge, Structural and Ornamental Iron Workers, Local No. 37, Rhode Island Carpenters' District Council, and the Rhode Island Chapter of the Associated General Contractors of America); New England Council for Economic Development; Physicians Concerned About Nuclear Power; Rhode Island Association of Conservation Commissioners; Save the Bay, Inc.; Taxpayers and Voters of Charlestown; Town of Hopkington; Town of Richmond; Town of Westerly; Trustees of the Thomas Lyman Arnold Trust; and Coalition for Consumer Justice. Responses to these amended petitions have been filed by the Applicant and by the NRC Staff.

Please take notice that a special prehearing conference pursuant to the provisions of § 2.751a of the Commission's Rules of Practice (10 CFR 2.751a), will

be held at 10 a.m., local time, on May 4, 1977 at the Cranston Hilton Inn, Fire-side Room, Route 1-A, Cranston, Rhode Island. All parties and any petitioners for intervention or their counsel are directed to appear at such special prehearing conference to consider all intervention petitions, including the interest or standing of petitioners as well as the identification of at least one relevant contention with reasonable, specificity and with some basis assigned for it.

The special prehearing conference will also consider the identification of key issues in the proceeding, any steps necessary for the further identification of issues, and the consolidation of parties or issues. A schedule will be established for further actions in the proceeding, including the submission of status reports on discovery.

Members of the public are invited to attend the special prehearing conference. However, the conference will be limited to the purposes specified in this notice. No evidence will be received, and there will not be an opportunity to present statements by persons who wish to make limited appearances. Applications for permission to make limited appearances for the purpose of making such statements will be ruled on by the Licensing Board at the evidentiary hearing to be held at a later date. Notices of such later evidentiary hearings will be published in the FEDERAL REGISTER.

It is so ordered.

Dated at Bethesda, Maryland, this 1st day of April 1977.

For the Atomic Safety and Licensing Board.

MARSHALL E. MILLER,  
Chairman.

[FR Doc.77-10377 Filed 4-8-77;8:45 am]

[Docket Nos. 50-282, 50-306]

NORTHERN STATES POWER CO.  
Order

Notice is hereby given that a prehearing conference in this matter will be held on Thursday, April 21, 1977, at 9:30 a.m., at the U.S. Federal Courthouse, Room 584, 316 North Robert Street, St. Paul, Minnesota.

All of the parties to this proceeding shall attend the prehearing conference and shall be prepared to discuss all outstanding matters. The parties should be especially prepared to discuss the admissibility of each of the contentions asserted by the Intervenor Minnesota Pollution Control Agency.

So ordered.

Dated at Bethesda, Maryland, this 4th day of April 1977.

The Atomic Safety and Licensing Board.

EDWARD LUTON,  
Chairman.

[FR Doc.77-10324 Filed 4-8-77;8:45 am]

## SECURITIES AND EXCHANGE COMMISSION

[Administrative Proceeding File No. 2-57397  
(22-909); File No. 1-2385]

### DAYTON POWER AND LIGHT CO.

#### Application and Opportunity for Hearing

APRIL 8, 1977.

Notice is hereby given, That the Dayton Power and Light Company (the "Company") has filed an application under clause (ii) of Section 310(b)(1) of the Trust Indenture Act of 1939 (the "Act") for a finding that the trusteeship of Irving Trust Company under an indenture heretofore qualified under the Act, and one not to be so qualified, is not so likely to involve a material conflict of interest as to make it necessary in the public interest or for the protection of investors to disqualify Irving Trust Company from acting as trustee under the qualified indenture.

Section 310(b) of the Act provides in part that if a trustee under an indenture qualified under the Act has or shall acquire any conflicting interest, it shall within ninety days after ascertaining that it has such conflicting interest either eliminate such conflicting interest or resign.

Subsection (1) of such Section provides, in effect, with certain exceptions, that a trustee under a qualified indenture shall be deemed to have a conflicting interest if such trustee is trustee under another indenture under which any other securities of the same issuer are outstanding. However, under clause (ii) of subsection (1), there may be excluded from the operation of this provision another indenture under which other securities of the issuer are outstanding, if the issuer shall have sustained the burden of proving, on application to the Commission and after opportunity for hearing thereon, that trusteeship under such qualified indenture and such other indenture is not so likely to involve a material conflict of interest as to make it necessary in the public interest or for the protection of investors to disqualify such trustee from acting as trustee under the qualified indenture.

The Company alleges that: (1) Irving Trust Company is presently acting as trustee under an Indenture of Mortgage dated as of October 1, 1935, between Irving Trust Company as Trustee (the "Mortgage Trustee") and the Company as amended by twenty supplemental indentures, pursuant to which \$458,000,000 principal amount of the Company's First Mortgage Bonds ("First Mortgage Bonds") are issued and outstanding, as of December 31, 1976. It is presently contemplated that Irving Trust Company will also act as Trustee (the "Pollution Control Trustee") under an indenture (the "Pollution Control Indenture") to be dated as of April 15, 1977, between it and the Ohio Water Development Authority (the "Authority"), a body politic and corporate and political subdivision of the State of Ohio, whereby the Au-

thority will issue and sell approximately \$14,000,000 of its Collateralized Pollution Control Revenue Bonds 1977 Series A Due 2007 (The Dayton Power and Light Company Project). This indenture will not be qualified pursuant to the terms of the Act.

(2) The Authority's revenue bonds are being issued to finance the cost of certain waste water facilities (the "Project") to be acquired by the Company for its F. M. Tait and O. H. Hutchings Electric Generating Stations in Montgomery County, Ohio, and for the J. M. Stuart Electric Generating Station in Adams and Brown Counties, Ohio, as to which the Company owns an undivided 35% interest as tenant in common with other public utilities. The proceeds of the sale of such revenue bonds will be loaned by the Authority to the Company to finance the cost of such waste water facilities, pursuant to a Loan Agreement between the Authority and the Company to be dated as of April 15, 1977 (the "Loan Agreement"). The revenue bonds will be payable solely from the revenues derived from the repayment by the Company of such loan. Such repayment will be made over the life of the revenue bonds, secured by a pledge of the Company's New Mortgage Bonds (the "New Mortgage Bonds") to be issued under the twenty-first supplemental indenture to the October 1, 1935, indenture. This supplemental indenture will not be qualified under the Act.

(3) The Company's New Mortgage Bonds will be issued in an aggregate principal amount equal to, bear interest at a rate corresponding to, and have the same maturity and redemption provisions as the Authority's revenue bonds. The New Mortgage Bonds will rank *pari passu* with all First Mortgage Bonds issued and outstanding under the October 1, 1935, indenture and all its supplements.

(4) The Authority will assign to Irving Trust Company as Pollution Control Trustee all its rights and interest in and to the New Mortgage Bonds and, in the manner and to the extent provided in the Pollution Control Indenture, its rights under the Loan Agreement. The Pollution Control Trustee may not transfer the New Mortgage Bonds except to a successor trustee under the relevant indenture. Such Trustee will have no lien on or other interest in the Project, and the obligation to make payments in respect of the revenue bonds will be secured by no collateral other than the New Mortgage Bonds.

(5) It is believed that since the revenue bonds are payable solely from revenues secured by the New Mortgage Bonds, the indenture to a "collateral trust indenture" with respect to which an exception to the provisions of Section 310(b) of the Act is provided by clauses (1) (A) and (1) (B) thereof. In the event that the Company fails to make the required loan repayments, the New Mortgage Bonds are the only collateral.

The obligations of the Company under the mortgage indenture are secured by a lien on substantially all the Company's

fixed property and franchises, subject only to excepted encumbrances permitted by that indenture.

The Company has waived notice of hearing, hearing, and any and all rights to specify procedures under the Rules of Practice of the Securities and Exchange Commission in connection with this matter.

For a more detailed statement of the matters of fact and law asserted, all persons are referred to said application, which is a public document, on file in the Office of the Commission's Public Reference Section, at 1100 L Street, N.W., Washington, D.C. 20549.

Notice is further given, That any interested person may, not later than April 26, 1977, request in writing that a hearing be held on such matter, stating the nature of his interest, the reasons for such request, and the issues of fact or law raised by said application which he desires to controvert, or he may request that he be notified if the Commission should order a hearing thereon. Any such request should be addressed: Secretary, Securities and Exchange Commission, Washington, D.C. 20549. Any time after said date, the Commission may issue an order granting the application, upon such terms and conditions as the Commission may deem necessary or appropriate in the public interest and the interest of investors, unless a hearing is ordered by the Commission.

For the Commission, by the Division of Corporation Finance, pursuant to delegated authority.

GEORGE A. FITZSIMMONS,  
Secretary.

#### SERVICE LIST

J. Robert Newlin, Esq., General Counsel, The Dayton Power and Light Company, Court-house Plaza Southwest, P.O. Box 1247, Dayton, Ohio 45401.

With copy to:

William B. Marshall, Esq., Cravath, Swaine & Moore, One Chase Manhattan Plaza, New York, N.Y. 10005. (Counsel for The Dayton Power and Light Company.)

[PR Doc. 77-10754 Filed 4-8-77; 11:57 am]

## SMALL BUSINESS ADMINISTRATION

### MAXIMUM INTEREST RATES

Notice is given that the Small Business Administration (SBA) has established the maximum rates of interest that lending institutions participating with SBA may charge on loans approved by SBA after April 11, 1977, under section 7 of the Small Business Act, as amended, and section 502 of the Small Business Investment Act, as amended.

Effective April 11, 1977, the maximum rate of interest acceptable to SBA on a guaranteed loan or guaranteed revolving line of credit shall be nine and three-quarters percent (9¾%) per year, and the maximum rate on an immediate participation loan shall be eight and three-quarters percent (8¾%) per year. These maximum interest rates are one-half

percent (1½%) higher than those published in the FEDERAL REGISTER on December 30, 1976 (41 FR 56898), and shall remain in effect until notification of a change is issued by SBA.

The "SBA Optional Peg Rate" for the April-June 1977 quarter will be seven percent (7%) per year, and is effective April 11, 1977. This is an optional "peg" rate for use in connection with fluctuating-interest rate loans made in participation with SBA.

This Notice is issued under 13 CFR 120.3(b)(2)(iv).

(Catalog of Federal Domestic Assistance Programs:

| No.    |  |
|--------|--|
| 59.002 | Economic Injury Disaster Loans (E.F.).                         |
| 59.012 | Small Business Loans.  |
| 59.013 | State and Local Development Company Loans.                     |
| 59.015 | Coal Mine Health and Safety Loans.                             |
| 59.017 | Meat and Poultry Inspection Loans (Consumer Protection Loans). |
| 59.018 | Occupational Safety Health Loans.                              |
| 59.001 | Displaced Business Loans.                                      |
| 59.003 | Economic Opportunity Loans for Small Business.                 |
| 59.010 | Product Disaster Loans (E).                                    |
| 59.020 | Base Closing Economic Injury Loans (E.F.).                     |
| 59.021 | Handicapped Assistance Loans (E.F.).                           |
| 59.022 | Emergency Energy Shortage Economic Injury Loans (E.F.).        |
| 59.023 | Strategic Arms Economic Injury Loans (E.F.).                   |
| 59.024 | Water Pollution Control Loans (E.F.).                          |
| 59.025 | Air Pollution Control Loans (E.F.).                            |

Dated: April 7, 1977.

A. VERNON WEAVER,  
Administrator.

[FR Doc. 77-10591 Filed 4-8-77; 8:45 am]

## DEPARTMENT OF STATE

### Agency for International Development BOARD FOR INTERNATIONAL FOOD AND AGRICULTURAL DEVELOPMENT Meeting

Pursuant to Executive Order 11769 and the provisions of Section 10(a)(2), Pub. L. 92-463, Federal Advisory Committee Act, notice is hereby given of the eighth meeting of the Board for International Food and Agricultural Development on May 7 and 8, 1977. The purpose of the meeting is to receive reports from the Joint Research Committee and the Joint Committee on Agricultural Development; to discuss procedures for establishing a roster of eligible universities; a country roster; and to discuss procedures for strengthening U.S. Universities.

The meeting will begin at 1:30 p.m. on May 7th, following the close of the Conference on Title XII sponsored by the University of Minnesota. The meeting on May 8th will begin at 9 a.m. The meetings will be held in the Minnesota Room of the Registry Hotel, 7901 24th Avenue, South, Minneapolis, Minnesota, 55420.

The meeting is open to the public. Dr. Erven J. Long, Associate Assistant Administrator, is designated as the Federal Officer at the meeting. It is suggested that those desiring more specific information contact him at the Agency for International Development, State Department, Washington, D.C. 20523, or call 703-235-8956.

Dated: April 5, 1977.

ERVEN J. LONG,  
Federal Officer, Board for International Food and Agricultural Development.

[FR Doc. 77-10576 Filed 4-8-77; 8:45 am]

## AGENCY FOR INTERNATIONAL DEVELOPMENT

[No. 165-15]

### DIRECTOR, USAID/GUATEMALA

#### Redelegation of Authority

Pursuant to the authority vested in me as Assistant Administrator for Latin America, Agency for International Development, by the Foreign Assistance Act of 1961, as amended, and the delegations of authority issued thereunder, I hereby delegate to the Director, USAID/Guatemala, authority to negotiate and execute A.I.D. Loan No. 520-V-029 (Primary School Reconstruction) in accordance with and subject to a Loan Authorization dated March 29, 1977 authorizing said Loan ("Loan Authorization").

This delegation of authority shall continue in full force and effect for a period not to exceed 120 days from the date of the Loan Authorization.

Dated: March 29, 1977.

D. M. LION,  
Acting Assistant Administrator,  
Latin American Bureau.

[FR Doc. 77-10500 Filed 4-8-77; 8:45 am]

[No. 165-16; Amdt. 2]

### DIRECTOR, USAID/HAITI

#### Redelegation of Authority

Pursuant to the authority vested in me as Assistant Administrator for Bureau of Latin America, by the Foreign Assistance Act of 1961, as amended, and the delegations of authority issued thereunder, I hereby delegate to the Director, USAID/Haiti, authority to negotiate, execute and implement Loan Agreement No. 521-T-008 (Integrated Agricultural Development) in accordance with and subject to the limitations of the Authorization for said Loan.

The delegation of authority to negotiate and execute is effective through April 28, 1977.

Dated: March 29, 1977.

D. M. LION,  
Acting Assistant Administrator,  
Bureau for Latin America.

[FR Doc. 10501 Filed 4-8-77; 8:45 am]

## HOUSING GUARANTY PROGRAM FOR PORTUGAL

### Information for Investors

The Agency for International Development (AID) has advised the Government of Portugal (the "Borrower") that upon execution by an eligible U.S. investor acceptable to AID of an agreement to loan the Borrower an amount not to exceed \$20 million, and subject to the satisfaction of certain further terms and conditions by the Borrower, AID will guarantee repayment to the investor of the principal and interest on such loan. The guarantee will be backed by the full faith and credit of the United States of America and will be issued pursuant to authority contained in section 221 of the Foreign Assistance Act of 1961, and amended (the "Act").

Proceeds of the loan will be used in the financing of housing in Portugal.

Eligible investors interested in extending a guaranteed loan to the Borrower should communicate promptly with:

Dr. Alexandre Vaz Pinto, President, Sociedade Financiera Portuguesa, Rua Duque de Palmela, 30/8/B, Lisbon, Portugal.

Investors eligible to receive a guaranty are those specified in section 238(c) of the Act. They are: (1) U.S. citizens, (2) domestic corporations, partnerships, or associations substantially beneficially owned by U.S. citizens, (3) foreign corporations whose share capital is at least 95 percent owned by U.S. citizens, and (4) foreign partnerships or associations wholly owned by U.S. citizens.

To be eligible for a guaranty, the loan must be repayable in full not later than 30 years from the first disbursement of the principal amount thereof and the interest rate must be no higher than the maximum rate to be established by AID. AID will charge a guaranty fee equal to one-half of 1 percent per annum on the outstanding guaranteed principal amount of the loan.

The Borrower projects a schedule of disbursements covering a two year period from the date of the loan agreement and prospective investors should consider this in proposing a guaranteed loan to the Borrower. In addition, the investor must provide for the servicing of his loan, i.e., recordation and disposition of loan payments received from the Borrower.

Information as to eligibility of investors and other aspects of the AID housing guaranty program can be obtained from: Director, Office of Housing, Agency for International Development, Room 625, SA-12, Washington, D.C. 20523.

This notice is not an offer by AID or by the Borrower. The Borrower and not AID will select a lender and negotiate the terms of the proposed loan.

Dated: April 1, 1977.

PETER M. KIMM,  
Director of Housing, Agency for  
International Development.

[FR Doc. 77-10577 Filed 4-8-77; 8:45 am]

DEPARTMENT OF  
TRANSPORTATION

## COAST GUARD

[CGD 77-061]

EQUIPMENT, CONSTRUCTION, AND  
MATERIALS

## Approval Notice

1. Certain laws and regulations (46 CFR Chapter I) require that various items of lifesaving, firefighting and miscellaneous equipment, construction, and materials used on board vessels subject to Coast Guard inspection, on certain motorboats and other recreational vessels, and on the artificial islands and fixed structures on the Outer Continental Shelf be of types approved by the Commandant, U.S. Coast Guard. The purpose of this document is to notify all interested persons that certain approvals have been granted as herein described during the period from January 21, 1977 to February 22, 1977 (List No. 2-77). These actions were taken in accordance with the procedures set forth in 46 CFR 2.75-1 to 2.75-50.

2. The statutory authority for equipment, construction, and material approvals is generally set forth in sections 367, 375, 390b, 416, 481, 489, 526p, and 1333 of Title 46, United States Code section 1333 of Title 43, United States Code, and section 198 of Title 50, United States Code. The Secretary of Transportation has delegated authority to the Commandant, U.S. Coast Guard with respect to these approvals (49 CFR 1.46(b)). The specifications prescribed by the Commandant, U.S. Coast Guard for certain types of equipment, construction, and materials are set forth in 46 CFR Parts 160 to 164.

3. The approvals listed in this document shall be in effect for a period of 5 years from the date of issuance, unless sooner cancelled or suspended by proper authority.

LIFEBOAT WINCHES FOR MERCHANT  
VESSELS

Approval No. 160.015/113/0, Type 40 G winch; approval limited to mechanical components only and for a maximum working load of 8,000 lbs. pull at the drums (4,000 lbs. per fall); identified by general arrangement drawing W1-F-023, revision D dated December 22, 1976, and drawing list dated January 13, 1977, Model A, deck-mounted installation, manufactured by Marine Safety Equipment Corporation, Foot of Wyckoff Road, Farmingdale, New Jersey 07727, effective February 1, 1977.

## LIFE FLOATS FOR MERCHANT VESSELS

Approval No. 160.027/48/2, 6.17' x 4.7' (11' x 10 1/4" body section), rectangular life float, fibrous glass reinforced plastic shell with unicellular plastic core, 15-person capacity, identified by dwg. No. M-99-15, Rev. E dated April 6, 1972 and fabrication specification dated April 6, 1972, manufactured by Marine Safety Equipment Corporation, Foot of Wyckoff Road, Farmingdale, New Jersey

07727, effective February 10, 1977. (It is an extension of Approval No. 160.027/48/2 dated April 11, 1972.)

## DAVITS FOR MERCHANT VESSELS

Approval No. 160.032/173/0, Gravity davit, Type 26-15; approved for a maximum working load of 15,000 pounds per set (7,500 pounds per arm) using 2-part falls; identified by general arrangement dwg. D1-F-006, Revision C dated April 14, 1967, and drawing list DL-DIF-006 dated April 28, 1967, manufactured by Marine Safety Equipment Corporation, Foot of Wyckoff Road, Farmingdale, New Jersey 07727, effective February 10, 1977. (It is an extension of Approval No. 160.032/173/0 dated March 2, 1972.)

Approval No. 160.032/210/0, Type GPD-80 gravity pivot davit; approved for a maximum working load of 16,000 lbs. per set (8,000 lbs. per arm) using 2-part falls; identified by general arrangement drawing D1-F-309, revision B dated January 12, 1977, and drawing list dated December 13, 1976, manufactured by Marine Safety Equipment Corporation, Foot of Wyckoff Road, Farmingdale, New Jersey 07727, effective February 10, 1977.

HAND PROPELLING GEAR, LIFEBOATS, FOR  
MERCHANT VESSELS

Approval No. 160.034/15/2, Type WSG-1, hand-propelling gear identified by general arrangement dwg. No. 80139, Rev. C dated June 29, 1964, manufactured by Welin Davit & Boat Division, Lake Shore, Inc., Iron Mountain, Michigan 49801, effective February 7, 1977. (It is an extension of Approval No. 160.034/15/2 dated April 21, 1972.)

## LIFEBOATS

Approval No. 160.035/29/1, 28.0' x 9.0' x 3.96' steel, oar-propelled lifeboat, 59-person capacity, identified by general arrangement dwg. No. 28-1B dated February 16, 1972, 46 CFR 160.035-13(c) Marking, Weights; Condition "A"—5,100 pounds; Condition "B"—16,019 pounds, manufactured by Marine Safety Equipment Corporation, Foot of Wyckoff Road, Farmingdale, New Jersey 07727, effective February 10, 1977. (It is an extension of Approval No. 160.035/229/1 dated April 6, 1972.)

Approval No. 160.035/300/5, 24.0' x 8.0' x 3.5' aluminum, motor-propelled lifeboat, without radio cabin or searchlight (Class 1), 37-person capacity, identified by general arrangement dwg. No. 24-9H Rev. H dated February 14, 1972, 46 CFR 160.035-13(c) Marking, Weights; Condition "A"—3,100 pounds; Condition "B"—10,252 pounds manufactured by Marine Safety Equipment Corporation, Foot of Wyckoff Road, Farmingdale, New Jersey 07727, effective February 10, 1977. (It is an extension of Approval No. 160.035/300/5 dated April 5, 1972.)

## INFLATABLE LIFE RAFTS

Approval No. 160.051/97/0, 15-person inflatable life raft, MK-5 series, identified by general arrangement drawing CJH/MN/15 dated October 4, 1976 and

drawing list dated September 22, 1976, inflation system can use either steel or aluminum cylinders, manufactured by C. J. Hendry Company, 139 Townsend Street, San Francisco, California 94107 or by Patten-Pan Avion Division of American Safety Flight Systems, P.O. Box 480213, Miami, Florida 33148 under subcontract for C. J. Hendry Company, effective February 22, 1977.

Approval No. 160.051/98/0, 20-person inflatable life raft, MK-5 series, identified by general arrangement drawing CJH/MN/20 dated October 4, 1976 and drawing list dated September 22, 1976, inflation system can use either steel or aluminum cylinders, manufactured by C. J. Hendry Company, 139 Townsend Street, San Francisco, California 94107, or by Patten-Pan Avion Division of American Safety Flight Systems, Inc., P.O. Box 480213, Miami, Florida 33148 under subcontract for C. J. Hendry Company, effective February 22, 1977.

LIFE PRESERVERS, UNICELLULAR PLASTIC  
FOAM, ADULT AND CHILD FOR MERCHANT  
VESSELS

Approval No. 160.055/70/0, Model 63, adult cloth-covered unicellular plastic foam life preserver, U.S.C.G. Specification Subpart 160.055 and dwg. No. 160.-055-IB (sheet 1 and 2), Type I PFD, manufactured by Style-Crafters, Inc., P.O. Box 8277, Greenville, South Carolina 29604, effective January 21, 1977. (It is an extension of Approval No. 160.055/70/0 dated February 2, 1972.)

Approval No. 160.055/71/0, Model 67, child cloth-covered unicellular plastic foam life preserver, U.S.C.G. Specification Subpart 160.055 and dwg. No. 160.-055-IB (sheet 3 and 4), Type I PFD, manufactured by Style-Crafters, Inc., P.O. Box 8277, Greenville, South Carolina 29604, effective January 21, 1977. (It is an extension of Approval No. 160.055/71/0 dated February 2, 1972.)

Approval No. 160.055/74/0, Model 62, adult vinyl dip coated unicellular plastic foam life preserver, U.S.C.G. Specification Subpart 160.055 and dwg. No. 160.-055-IA (sheet 1), Type I PFD, manufactured by Style-Crafters, Inc., P.O. Box 8277, Greenville, South Carolina 29604, effective January 21, 1977. (It is an extension of Approval No. 160.055/74/0 dated February 2, 1972.)

Approval No. 160.055/75/0, Model 66, child vinyl dip coated unicellular plastic foam life preserver, U.S.C.G. Specification Subpart 160.055 and dwg. No. 160.-055-IA (sheet 2), Type I PFD, manufactured by Style-Crafters, Inc., P.O. Box 8277, Greenville, South Carolina 29604, effective January 21, 1977. (It is an extension of Approval No. 160.055/75/0 dated February 2, 1972.)

Approval No. 160.055/113/0, adult, model 200 vinyl dip coated unicellular plastic foam life preserver, manufactured in accordance with U.S.C.G. Specification Subpart 160.055; dwg. No. "Job No. 113" sheets 1 and 2 dated December 14, 1976; and letter from Billy Pugh Company to U.S.C.G. Marine Inspection Office dated December 18, 1976,

Type I PFD, manufactured by Billy Pugh Company, Inc., P.O. Box 802, 1415 N. Water Street, Corpus Christi, Texas 78403, effective February 17, 1977.

#### MARINE BUOYANT DEVICE

Approval No. 160.064/326/0, child medium, Model VCM, vinyl dipped PVC foam, Sail 'N' Ski vest, manufactured in accordance with U.S.C.G. Specification Subpart 160.064 and UL/MD report file No. MQ-3, Type III PFD, manufactured by Texas Recreation Corporation, Texas Watercrafters Division, 912 North Beverly Drive, Wichita Falls, Texas 76307, effective January 26, 1977. (It is an extension of Approval No. 160.064/326/0 dated March 17, 1972.)

Approval No. 160.064/327/0, child large, Model VCL, vinyl dipped PVC foam, Sail 'N' Ski vest, manufactured in accordance with U.S.C.G. Specification Subpart 160.064 and UL/MD report file No. MQ-3, Type III PFD, manufactured by Texas Recreation Corporation, Texas Watercrafters Division, 912 North Beverly Drive, Wichita Falls, Texas 76307, effective January 26, 1977. (It is an extension of Approval No. 160.064/327/0 dated March 17, 1972.)

Approval No. 160.064/1127/0, adult small, Model No. 900, cloth covered unicellular plastic foam "Boating Vest", manufactured in accordance with U.S.C.G. Specification Subpart 160.064 and UL/MD report file No. MQ 266, Type III PFD, manufactured by Swan Products Company, Inc., 25 Brighton Avenue, Passaic, New Jersey 07055, effective February 1, 1977.

Approval No. 160.064/1128/0, adult medium, Model No. 900, cloth covered unicellular plastic foam "Boating Vest", manufactured in accordance with U.S.C.G. Specification Subpart 160.064 and UL/MD report file No. MQ 266, Type III PFD, manufactured by Swan Products Company, Inc., 25 Brighton Avenue, Passaic, New Jersey 07055, effective February 1, 1977.

Approval No. 160.064/1129/0, adult large, Model No. 900, cloth covered unicellular plastic foam "Boating Vest", manufactured in accordance with U.S.C.G. Specification Subpart 160.064 and UL/MD report file No. MQ 266, Type III PFD, manufactured by Swan Products Company, Inc., 25 Brighton Avenue, Passaic, New Jersey 07055, effective February 1, 1977.

Approval No. 160.064/1231/0, Youth Universal, Model No. SPV-8, cloth covered unicellular plastic foam "Boating Vest", manufactured in accordance with U.S.C.G. Specification Subpart 160.064 and UL/MD report file No. MQ 29, factory location: Highway 10, Sauk Rapids, Minnesota 56379, Type III PFD, manufactured by Stearns Manufacturing Company, P.O. Box 1498, St. Cloud, Minnesota 56301, effective February 1, 1977.

Approval No. 160.064/1232/0, adult sm/med, Model No. SPV-9, cloth covered unicellular plastic foam "Boating Vest", manufactured in accordance with U.S.C.G. Specification Subpart 160.064 and UL/MD report file No. MQ 29, factory location: Highway 10, Sauk Rapids,

Minnesota 56379, Type III PFD, manufactured by Stearns Manufacturing Company, P.O. Box 1498, St. Cloud, Minnesota 56301, effective February 1, 1977.

Approval No. 160.064/1233/0, adult lg/xl, Model No. SPV-9, cloth covered unicellular plastic foam "Boating Vest", manufactured in accordance with U.S.C.G. Specification Subpart 160.064 and UL/MD report file No. MQ 29, factory location: Highway 10, Sauk Rapids, Minnesota 56379, Type III PFD, manufactured by Stearns Manufacturing Company, P.O. Box 1498, St. Cloud, Minnesota 56301, effective February 1, 1977.

#### STRUCTURAL INSULATIONS FOR MERCHANT VESSELS

Approval No. 164.007/51/0, Type C-X, sprayed asbestos free fiber type structural insulation, identical to that described in Underwriters Laboratories, Inc. report 73NK9675, File R3372-23-24 dated June 18, 1974, approved for use without other insulating material to meet Class A-60 requirements in a 2 3/4 inch thickness and 17.4 pounds per cubic foot density, manufactured by Sprayercraft Corporation, 2508 Coney Island Avenue, Brooklyn, New York 11223, effective February 11, 1977.

#### INTERIOR FINISHES FOR MERCHANT VESSELS

Approval No. 164.012/16/0, vinyl film wall covering material, Type K-2, in thickness of 10 mil, identical to that described in Underwriters Laboratories report 75NK9821, File R4564(Sp), dated October 26, 1976, to be applied with manufacturer's adhesive E8825, manufactured by Columbus Coated Fabrics, Division of Borden Chemical, Borden, Inc., 1280 North Grant Avenue, Columbus, Ohio 43216, effective January 27, 1977.

Approval No. 164.012/17/0, vinyl film wall covering material, Type K-2L, in thickness of 8 mil, identical to that described in Underwriters Laboratories report 75NK9821, File R4564(Sp), dated October 26, 1976, to be applied with manufacturer's adhesive E8825, manufactured by Columbus Coated Fabrics, Division of Borden Chemical, Borden, Inc., 1280 North Grant Avenue, Columbus, Ohio 43216, effective January 27, 1977.

Approval No. 164.012/18/0, vinyl film wall covering material, Type KL-22, in thickness of 10 mil, identical to that described in Underwriters Laboratories report 75NK9821, File R4564(Sp), dated October 26, 1976, to be applied with manufacturer's adhesive E8825, manufactured by Columbus Coated Fabrics, Division of Borden Chemical, Borden, Inc., 1280 North Grant Avenue, Columbus, Ohio 43216, effective January 27, 1977.

Dated: April 1, 1977.

H. G. LYONS,  
Captain, U.S. Coast Guard, Acting Chief, Office of Merchant Marine Safety.

[FR Doc.77-10528 Filed 4-8-77;8:45 am]

#### Federal Aviation Administration

#### FLIGHT STANDARDS

#### Function Transfer

Notice is hereby given that on or about April 18, 1977, the air carrier functions at the Rochester, New York, Flight Standards District Office (FSDO) will be transferred to the Pittsburgh, Pennsylvania, and Kennedy (New York) Air Carrier District Offices (ACDO's). The boundary line for this transfer of air carrier functions will be 76° west longitude with the Kennedy ACDO assuming the Rochester FSDO's responsibilities on or east of this longitude and the Pittsburgh ACDO assuming the responsibilities west of the longitude. The Rochester FSDO will be redesignated as a General Aviation District Office (GADO) and will continue to provide all services to general aviation in the Rochester area. Communications to the GADO should be addressed as follows:

Rochester General Aviation District Office,  
Department of Transportation, Federal Aviation Administration, 1295 Scottsville Road, Rochester-Monroe County Airport, Rochester, New York 14624.

(Sec. 313(a) of the Federal Aviation Act of 1958, 72 Stat. 752, 49 U.S.C. 1354.)

Issued in New York, New York, on March 25, 1977.

WILLIAM E. MORGAN,  
Director, Eastern Region.

[FR Doc.77-10371 Filed 4-8-77;8:45 am]

#### Federal Railroad Administration

[FRA Waiver Petition No. HS-77-0]

#### SOUTH CAROLINA PUBLIC RAILWAYS COMMISSION

#### Petition For Exemption From the Hours of Service Act

The South Carolina Public Railways Commission has petitioned the Federal Railroad Administration pursuant to 45 U.S.C. 64a(e) for an exemption, with respect to certain employees, from the Hours of Service Act, as amended, 45 U.S.C. 61-64(b).

Interested persons are invited to participate in this proceeding by submitting written data, views, or comments. Communications should be submitted in triplicate to the Docket Clerk, Office of Chief Counsel, Federal Railroad Administration, Attention: FRA Waiver Petition No. HS-77-6, Room 5101, 400 Seventh Street SW., Washington, D.C. 20590. Communications received before May 20, 1977, will be considered before final action is taken on this petition. All comments received will be available for examination by interested persons during business hours in Room 5101, Nassif Building, 400 Seventh Street SW., Washington, D.C. 20590.

Issued in Washington, D.C., April 1, 1977.

DONALD W. BENNETT,  
Chairman,  
Railroad Safety Board.

[FR Doc.77-10515 Filed 4-8-77;8:45 am]

## DEPARTMENT OF THE TREASURY

## Customs Service

CHANGES IN CUSTOMS FORM 5515  
(SPECIAL CUSTOMS INVOICE)

## Correction

AGENCY: United States Customs Service.

ACTION: General Notice—Correction.

SUMMARY: The General Notice published in the FEDERAL REGISTER on March 23, 1977 (42 FR 15765), concerning the availability of the revised Special Customs Invoice, Customs Form 5515, incorrectly advised that foreign language instructions for that form were available. Foreign language instructions are currently being prepared, but will not be available for distribution for several months.

## FOR FURTHER INFORMATION CONTACT:

Joseph P. Moss, Senior Operations Officer, Duty Assessment Division (202-566-5307), or Marcia Kaplan, Attorney, Entry Procedures and Penalties Division (202-566-5778), United States Customs Service, Washington, D.C. 20229.

## SUPPLEMENTARY INFORMATION:

Instructions for the revised Customs Form 5515 in French, Spanish, German, and Japanese are currently being prepared and will be made available as soon as possible.

As noted in the General Notice published on March 23, 1977, the old Customs Form 5515 may be used until September 30, 1977. The old Customs Form 5515 may be used by the foreign seller, the shipper, or the agent of either for shipments exported to the United States on or before September 30, 1977.

Accordingly, the last paragraph of the General Notice published on March 23, 1977, is amended to read as follows:

The new Special Customs Invoice forms are now available. The old Special Customs Invoice forms may be used by the foreign seller, the shipper, or the agent of either for shipments exported to the United States on or before September 30, 1977. Foreign language instructions for the revised form in French, Spanish, German, and Japanese will be made available as soon as possible.

VERNON D. ACRES,  
Commissioner of Customs.

[FR Doc.77-10524 Filed 4-8-77; 8:45 am]

## Fiscal Service

[Dept. Circ. 570, Rev., Supp. No. 12]

## GLOBAL SURETY &amp; INSURANCE CO.

## Surety Companies Acceptable on Federal Bonds

A certificate of authority as an acceptable surety on Federal bonds has been issued by the Secretary of the Treasury to the following company under Sections 6 to 13 of Title 6 of the United States Code. An underwriting limitation

of \$1,217,000 has been established for the company.

Name of Company, Location of Principal Executive Office, and State in Which Incorporated: Global Surety & Insurance Co., Omaha, Nebraska—Nebraska.

Certificates of authority expire on June 30 each year, unless sooner revoked, and new certificates are issued on July 1 so long as the companies remain qualified (31 CFR Part 223). A list of qualified companies is published annually as of July 1 in Department Circular 570, with details as to underwriting limitations, areas in which licensed to transact surety business and other information. Copies of the circular, when issued, may be obtained from the Audit Staff, Bureau of Government Financial Operations, Department of the Treasury, Washington, D.C. 20226.

Dated: April 1, 1977.

D. A. PAGLIAI,  
Commissioner, Bureau of  
Government Financial Operations.

[FR Doc.77-10514 Filed 4-8-77; 8:45 am]

## VETERANS ADMINISTRATION

COOPERATIVE STUDIES EVALUATION  
COMMITTEE

## Renewal

This is to give notice in accordance with the Federal Advisory Committee Act (Pub. L. 92-463) of October 6, 1972, that the Cooperative Studies Evaluation Committee has been renewed by the Administrator of Veterans Affairs, with the concurrence of the Office of Management and Budget Committee Management Secretariat, for a two year period beginning March 20, 1977 through March 20, 1979.

All Veterans Administration advisory committees, including the above, are now being reviewed in accordance with the President's memorandum of February 25, 1977 and Office of Management and Budget guidance provided in Circular No. A-63, Transmittal Memorandum No. 5, dated March 7, 1977. This review is to be completed no later than April 15, 1977.

By direction of the Administrator.

Dated: April 5, 1977.

RUFUS H. WILSON,  
Deputy Administrator.

[FR Doc.77-10527 Filed 4-8-77; 8:45 am]

INTERSTATE COMMERCE  
COMMISSION

[Notice No. 364]

## ASSIGNMENT OF HEARINGS

APRIL 6, 1977.

Cases assigned for hearing, postponement, cancellation or oral argument appear below and will be published only once. This list contains prospective assignments only and does not include cases previously assigned hearing dates. The hearings will be on the issues as

presently reflected in the Official Docket of the Commission. An attempt will be made to publish notices of cancellation of hearings as promptly as possible, but interested parties should take appropriate steps to insure that they are notified of cancellation or postponements of hearings in which they are interested.

MC 136669 (Sub-No. 9), Processed Beef Express, Inc., now assigned May 19, 1977, at Omaha, Nebr., and will be held in room 616, Union Plaza, 110 North 14th St.

MC 140829 (Sub-No. 24), Cargo Contract Carrier, Corp., now assigned May 17, 1977, at Omaha, Nebr., and will be held in room 616, Union Plaza, 110 North 14th St.

MC 136275 (Sub-No. 21), Whitfield Associated Transport, Inc., now assigned May 23, 1977, at El Paso, Tex., and will be held in the U.S. Courthouse, court room No. 2, 4th Floor, San Antonio and Kansas Sts. through the 24th and May 25th through the 27th in room 512, Federal Bldg., San Antonio and Kansas Sts.

MC 142449, Speedway Haulers, Inc., now assigned May 24, 1977, at Indianapolis, Ind., and will be held in room 288, U.S. Tax Court, Federal Bldg. and Courthouse, 46 East Ohio St.

MC 113678 (Sub-No. 630), Curtis, Inc., now assigned May 23, 1977, at Omaha, Nebr., and will be held in room 616, Union Plaza, 110 North 14th St.

MC 124038 (Sub-No. 1), Dario Guerra, d.b.a. Dario Guerra Transfer, now assigned May 23, 1977, at Miami, Fla., and will be held in room 228, Federal Bldg., 51 Southwest 1st Ave.

MC 100666 (Sub-No. 334), Melton Truck Lines, Inc., now being assigned June 1, 1977 (3 days), at Albuquerque, N. Mex., in a hearing room to be later designated.

MC 141743 (Sub-No. 1), Mark IV Charter Lines, Inc., now being assigned June 6, 1977 (2 weeks), in Los Angeles, Calif., in a hearing room to be later designated.

MC 107295 (Sub-No. 627), Pre-Fab Transit Co., now being assigned June 7, 1977 (1 day), at St. Louis, Mo., in a hearing room to be later designated.

MC 113325 (Sub-No. 145), Slay Transportation Co., Inc., now being assigned June 8, 1977 (1 day), at St. Louis, Mo., in a hearing room to be later designated.

MC 119768 (Sub-No. 38), Eight Way Express, Inc., and MC 134755 (Sub-No. 79), Charter Express, Inc., now being assigned June 9, 1977 (2 days), at St. Louis, Mo., in a hearing room to be later designated.

MC 142188 (Sub-No. 1), Mary Wimberly and Betty Beals, d.b.a. Wimberly Bus Service, now being assigned June 13, 1977 (1 week), at St. Louis, Mo., in a hearing room to be later designated.

MC 61592 (Sub-No. 392), Jenkins Truck Line, Inc., now assigned May 3, 1977, at Atlanta, Ga., will be held in room 305, 1252 West Peachtree St. NW.

MC 108676 (Sub-No. 97), A. J. Metler Hauling and Rigging, Inc., now assigned May 4, 1977, at Atlanta, Ga., will be held in room 305, 1252 West Peachtree St. NW.

MC 107615 (Sub-No. 1002), Refrigerated Transport Co., Inc., now assigned May 9, 1977, at Atlanta, Ga., will be held in room 305, West Peachtree St. NW.

MC 30487 (Sub-No. 7), Dearman Moving & Storage Co., now assigned May 3, 1977, at Memphis, Tenn., will be held in room 987, Federal Bldg., 167 North Main St.

MC 138627 (Sub-No. 16), Smithway Motor Xpress, Inc., now assigned May 4, 1977, at Memphis, Tenn., will be held in room 987, Federal Bldg., 167 North Main St.

MC 141109 (Sub-No. 2), Bingham Trucking Corp., now assigned May 9, 1977, at Tupelo, Miss., will be held in conference room 215, Federal Bldg., Main and Church Sts.

MC 111302 (Sub-No. 93), Highway Transport, Inc., now assigned April 14, 1977, at Chicago, Ill., is canceled and application dismissed.

MC 112801 (Sub-No. 186), Transport Service Co., now assigned April 14, 1977, at Chicago, Ill., is canceled and application dismissed.

ROBERT L. OSWALD,  
Secretary.

[FR Doc. 77-10580 Filed 4-8-77; 8:45 am]

[Notice No. 147]

#### MOTOR CARRIER BOARD TRANSFER PROCEEDINGS

The following publications include motor carrier, water carrier, broker, and freight forwarder transfer applications filed under sections 212(b), 206(a), 211, 312(b), and 410(g) of the Interstate Commerce Act.

Each application (except as otherwise specifically noted) contains a statement by applicants that there will be no significant effect on the quality of the human environment resulting from approval of the application.

Protests against approval of the application, which may include a request for oral hearing, must be filed with the Commission on or before May 11, 1977. Failure seasonably to file a protest will be construed as a waiver of opposition and participation in the proceeding. A protest must be served upon applicants' representative(s), or applicants (if no such representative is named), and the protestant must certify that such service has been made.

Unless otherwise specified, the signed original and six copies of the protest shall be filed with the Commission. All protests must specify with particularity the factual basis, and the section of the Act, or the applicable rule governing the proposed transfer which protestant believes would preclude approval of the application. If the protest contains a request for oral hearing, the request shall be supported by an explanation as to why the evidence sought to be presented cannot reasonably be submitted through the use of affidavits.

The operating rights set forth below are in synopsis form, but are deemed sufficient to place interested persons on notice of the proposed transfer.

No. MC-FC-77001, filed March 1, 1977. Transferee: LEE ROY HEERMAN, an individual doing business as COIN TRANSFER, P.O. Box 296, Coin, Iowa 51636. Transferor: Linnie Swanson, Stanton, Iowa 51573. Applicants' representative: Bradford E. Kistler, Attorney at Law, P.O. Box 82028, Lincoln, Nebr. 68501. Authority sought for purchase by transferee of the operating rights of transferor, as set forth in Certificate No. MC 24896, issued March 5, 1957, as follows: General commodities (with exceptions) from Omaha, Nebr., to Stanton, Iowa, serving intermediate and off-route

points within 25 miles of Stanton. From Omaha over U.S. Highway 275 to junction U.S. Highway 34, thence over U.S. Highway 34 to junction Iowa Highway 120, thence over Iowa Highway 120 to Stanton. Transferee is presently authorized to operate as a common carrier under Certificate No. MC 25255 and subs thereafter. Application has not been filed for temporary authority under Section 210a(b).

No. MC-FC-77003, filed March 1, 1977. Transferee: HERBERT L. SCHERER, An individual doing business as SCHERER TRANSFER, 1510 15th Ave., Monroe, Wis. 53566. Transferor: Herbert L. Scherer and Louis F. Scherer, a partnership doing business as Scherer Transfer, 1510 15th Ave., Monroe, Wis. 53566. Applicants' representative: Rolfe E. Hanson, Attorney at Law, 121 Doty St., Madison, Wis. 53703. Authority sought for purchase by transferee of the operating rights of transferor, as set forth in Certificate No. MC 128362, issued December 23, 1969, as follows: Household goods as defined by the Commission, between points in Green County, Wis., on the one hand, and, on the other, points in Illinois within 45 miles of Juda, Wis. Transferee presently holds no authority from this Commission. Application has not been filed for temporary authority under Section 210a(b).

#### REPUBLICATION

No. MC-FC-77005, filed March 2, 1977. Transferee: EARLY RIVAL MOTOR EXPRESS, INC., 2545 Jonesboro Rd. SE., Atlanta, Ga. 30315. Transferor: Meadors Freight Lines, Inc., (Stacey W. Cotton, trustee in bankruptcy), 2545 Jonesboro Rd. SE., Atlanta, Ga. 30315. Applicants' representative: Virgil H. Smith, Attorney at Law, 1587 Phoenix Blvd., Suite 12, Atlanta, Ga. 30349. Authority sought for purchase by transferee of a portion of the operating rights of transferor, as set forth in Certificates No. MC 117604 and Sub 4 and Sub 8, and certificate of Registration No. MC 117604 Sub 2 and Sub 3, issued December 16, 1958, June 22, 1972, July 10, 1972, April 13, 1965, and April 1, 1965, as follows: General commodities (with exceptions), between Atlanta, Ga., and Covington, Ga., serving all intermediate points and the off-route points of Millstead, and Porterdale, Ga.: From Atlanta over U.S. Highway 278 (formerly Georgia Highway 12) via Avondale Estate, Ga., to junction Georgia Highway 124, thence over Georgia Highway 124 to Lithonia, Ga., thence return over Georgia Highway 124 to junction U.S. Highway 278, thence over U.S. Highway 278 to Covington, and return over the same route. Property, between Covington, Ga., and Snapping Shoals, Ga., over State Highway 81 and property, between Madison, Ga., and Atlanta, Ga., via Ruthledge, Covington, Conyers, and Decatur, Ga., over State Highway 12 serving the off route point of Social Circle, Ga. Also property, between Atlanta, Ga., and Union Point, Ga., over State High-

way 12 serving all intermediate points, and property between Greensboro, Ga., and Sparta, Ga., over State Highway 15 serving all intermediate points. Also property, from Atlanta, Ga. to Elizabeth, Ga. Also General commodities (with exceptions) between Cartersville, Ga. and Marietta, Ga. serving no intermediate points and serving termini for the purpose of joinder only, from Cartersville, Ga. to Marietta, Ga. over State Highway 3 and return over the same route. And General commodities (with exceptions) between Cartersville, Ga. and Dalton, Ga. serving all intermediate points, from Cartersville, Ga. over U.S. Highway 41 to Dalton, Ga. and return over the same route. Transferee presently holds no authority from this Commission. Application has now been filed for temporary authority under section 210a(b). This case is being republished to add authority which was previously omitted and also to show the filing of a TA application.

No. MC-FC-77017, filed March 9, 1977. Transferee: ROBERT W. RUXTON, an individual doing business as RUXTON'S EXPRESS, 95 Pierce Rd., Weymouth, Mass. 02188. Transferor: Harold F. Ruxton, an individual doing business as Ruxton's Express, 95 Pierce Rd., Weymouth, Mass. 02188. Applicants' representative: Robert W. Ruxton, 95 Pierce Rd., Weymouth, Mass. 02188. Authority sought for purchase by transferee of the operating rights of transferor, as set forth in Certificate No. MC 82018, issued June 9, 1941, as follows: General commodities, except those of unusual value, and except dangerous explosives, household goods (when transported as a separate and distinct service in connection with so-called "household movings"), commodities in bulk, commodities requiring special equipment, and those injurious or contaminating to other lading, over regular routes, between Cambridge, Mass., and Randolph, Mass.: From Cambridge over city streets via Boston and Brookline, Mass., to junction Massachusetts Highway 28, thence over Massachusetts Highway 28 to Randolph, and return over the same route. Between Randolph, Mass., and Weymouth, Mass.: From Randolph over Massachusetts Highway 28 to junction Massachusetts Highway 128, thence over Massachusetts Highway 128, to Braintree, Mass., thence over Massachusetts Highway 37 to Quincy, Mass., and thence over Massachusetts Highway 3 to Weymouth, and return over the same route. Service is authorized to and from the intermediate points of Boston, Brookline, Milton, Braintree, and Quincy, Mass. Transferee presently holds no authority from this Commission. Application has not been filed for Temporary authority under Section 210a(b).

No. MC-FC-77025, filed March 14, 1977. Transferee: CHARLES F. LIGHT, doing business as BEN SLAVEN TOURS, 3765 Windover Circle, Hamburg, N.Y. 14075. Transferor: Alexander A. Slaven



and Bella Levy, a partnership, doing business as Ben Slaven Tours, 12 St. James Rd., Cheektowaga, N.Y. 14225. Applicant's representative: Charles F. Light, 3765 Windover Circle, Hamburg, N.Y. 14075. Application sought for purchase by transferee of License No. MC 12754 issued November 30, 1964, to transferor authorizing it to engage in operations as a broker of passengers and their baggage, in special and charter operations, in all-expense tours beginning and ending at points in Erie County, N.Y., and extending to ports of entry on the United States-Canada Boundary line along the Niagara River between New York and Ontario. Transferee presently holds no authority from this Commission.

No. MC-FC-77026, filed March 4, 1977. Transferee: JENSEN CHARTERS, INC., 155 South 1st St., St. Helens, Ore. 97223. Transferor: Kelso Ocean Beach Stage Lines, a corporation, 204 South 7th St., P.O. Box 746, West Kelso, Wash. 98626. Applicant's representative: Robt. R. Hollis, Attorney at Law, 400 Pacific Bldg., Portland, Ore. 97204. Authority sought for purchase by transferee of the operating rights of transferor, as set forth in Certificates No. MC 33705 (Sub-No. 4) and MC 33705 (Sub-No. 5), issued February 10, 1966 and September 19, 1975, respectively, as follows: Passengers and their baggage, express, and newspapers over a specified regular route between Ranier, Ore. and Ocean Park, Wash.; passengers and their baggage in special operations in round trip sight-seeing or pleasure tours beginning and ending at points in Pacific and Wahkiakum Counties, Wash. and a specified portion of Cowlitz County, Wash. and extending to all points in the United States including Alaska but excluding Hawaii. Transferee is presently authorized to operate as a common carrier under Certificate No. MC 123178. Application has been filed for temporary authority under Section 210a(b).

ROBERT L. OSWALD,  
Secretary.

[FR Doc.77-10581 Filed 4-8-77; 8:45 am]

[I.C.C. Order No. 28; Under Service Order No. 1252]

**VIRGINIA AND MARYLAND RAILROAD CO.**  
Rerouting Traffic

To all railroads: In the opinion of Joel E. Burns, Agent, The Virginia and Maryland Railroad Company is unable to transport traffic routed via its car ferry between Norfolk, Virginia, and Cape Charles, Virginia, because of a temporary inability to operate ferry service between these points.

It is ordered, That: (a) Rerouting traffic. The Virginia and Maryland Railroad Company being unable to transport traffic routed via its car ferry between Norfolk, Virginia, and Cape Charles, Virginia, because of a temporary inability to operate ferry service between these points, that line and its connections

are hereby authorized to divert and reroute such traffic over any available route to expedite the movement regardless of the routing shown on the waybill. The billing covering all such cars rerouted shall carry a reference to this order as authority for the rerouting.

(b) Concurrence of receiving roads to be obtained. The railroad desiring to divert or reroute traffic under this order shall receive the concurrence of other railroads to which such traffic is to be diverted or rerouted, before the rerouting or diversion is ordered.

(c) Notification to shippers. Each carrier rerouting cars in accordance with this order shall notify each shipper at the time each car is rerouted or diverted and shall furnish to such shipper the new routing provided under this order.

(d) Inasmuch as the diversion or rerouting of traffic by said Agent is deemed to be due to carrier's disability, the rates applicable to traffic diverted or rerouted by said Agent shall be the rates which were applicable at the time of shipment on the shipments as originally routed.

(e) In executing the directions of the Commission and of such Agent provided for in this order, the common carriers involved shall proceed even though no contracts, agreements, or arrangements now exist between them with reference to the divisions of the rates of transportation applicable to said traffic. Divisions shall be, during the time this order remains in force, those voluntarily agreed upon by and between said carriers; or upon failure of the carriers to so agree, said divisions shall be those hereafter fixed by the Commission in accordance with pertinent authority conferred upon it by the Interstate Commerce Act.

(f) Effective date. This order shall become effective at 5 p.m., April 1, 1977.

(g) Expiration date. This order shall expire at 11:59 p.m., May 1, 1977, unless otherwise modified, changed or suspended.

It is further ordered, That this order shall be served upon the Association of American Railroads, Car Service Division, as agent of all railroads subscribing to the car service and car hire agreement under the terms of that agreement, and upon the American Short Line Railroad Association; and that it be filed with the Director, Office of the Federal Register.

Issued at Washington, D.C., April 1, 1977.

INTERSTATE COMMERCE  
COMMISSION,  
JOEL E. BURNS,  
Agent.

[FR Doc.77-10579 Filed 4-8-77; 8:45 am]

**CHICAGO, MILWAUKEE, ST. PAUL AND  
PACIFIC RAILROAD CO.**

Chicago, Milwaukee, St. Paul and Pacific Railroad Company, 516 West Jackson Boulevard, Chicago, Illinois 60606, represented by Raymond K. Merrill, Vice President-Law, 516 West Jackson

Boulevard, Chicago, Illinois 60606 and Thomas H. Ploss, General Attorney and Commerce Counsel, 516 West Jackson Boulevard, Chicago, Illinois 60606, hereby give notice that on the 28th day of March, 1977, it filed with the Interstate Commerce Commission at Washington, D.C., an application under section 5(2) of the Interstate Commerce Act for an order approving and authorizing trackage rights over the lines of William M. Gibbons, Trustee of the Property of Chicago, Rock Island and Pacific Railroad Company, which application is assigned Finance Docket No. 28437.

Approval of the application will permit the Chicago, Milwaukee, St. Paul and Pacific Railroad Company to acquire trackage rights over the lines of William M. Gibbons, Trustee of the Property of Chicago, Rock Island and Pacific Railroad Company between Culver, Iowa, and Polo, Missouri (232 miles) and between Eldon and Ottumwa, Iowa (11 miles) so as to enable the operation of Milwaukee Road through Chicago-Kansas City, Missouri, trains over the Culver-Polo line of Rock Island and to permit the continued provision of Milwaukee Road service to Ottumwa, Iowa by operation over Rock Island's line between Eldon, Iowa, and Ottumwa, Iowa.

In the opinion of the applicant, the granting of the authority sought will not constitute a major Federal action significantly affecting the quality of the human environment within the meaning of the National Environmental Policy Act of 1969. In accordance with the Commission's regulations (49 C.F.R. 1108.8) in Ex Parte No. 55 (Sub-No. 4), Implementation—National Environmental Policy Act, 1969, 352 I.C.C. 451 (1976), any protests may include a statement indicating the presence or absence of any effect of the requested Commission action on the quality of the human environment. If any such effect is alleged to be present, the statement shall indicate with specific data the exact nature and degree of the anticipated impact. See Implementation—National Environmental Policy Act, 1969, supra, at p. 487.

Interested persons may participate formally in a proceeding by submitting written comments regarding the application. Such submissions shall indicate the proceeding designation Finance Docket No. 28437 and the original and two copies thereof shall be filed with the Secretary, Interstate Commerce Commission, Washington, D.C. 20423, not later than 45 days after the date notice of the filing of the application is published in the FEDERAL REGISTER. Such written comments shall include the following: the person's position, e.g., party protestant or party in support, regarding the proposed transaction; specific reasons why approval would or would not be in the public interest; and a request for oral hearing if one is desired. Additionally, interested persons who do not intend to formally participate in a proceeding but who desire to comment thereon, may file such statements and information as they

may desire, subject to the filing and service requirements specified herein. Persons submitting written comments to the Commission shall, at the same time, serve copies of such written comments upon the applicant, the Secretary of Transportation and the Attorney General.

ROBERT L. OSWALD,  
Secretary.

[FR Doc.77-10499 Filed 4-8-77; 8:45 am]

[Notice No. 148]

#### MOTOR CARRIER BOARD TRANSFER PROCEEDINGS

The following publications include motor carrier, water carrier, broker, and freight forwarder transfer applications filed under section 212(b), 206(a), 211, 312(b), and 410(g) of the Interstate Commerce Act.

Each application (except as otherwise specifically noted) contains a statement by applicants that there will be no significant effect on the quality of the human environment resulting from approval of the application.

Protests against approval of the application, which may include a request for oral hearing, must be filed with the Com-

mission by May 11, 1977. Failure seasonably to file a protest will be construed as a waiver of opposition and participation in the proceeding. A protest must be served upon applicant's representative(s), or applicants (if no such representative is named), and the protestant must certify that such service has been made.

Unless otherwise specified, the signed original and six copies of the protest shall be filed with the Commission. All protests must specify with particularity the factual basis, and the section of the Act, of the applicable rule governing the proposed transfer which protestant believes would preclude approval of the application. If the protest contains a request for oral hearing, the request shall be supported by an explanation as to why the evidence sought to be presented cannot reasonably be submitted through the use of affidavits.

The operating rights set forth below are in synopsis form, but are deemed sufficient to place interested persons on notice of the proposed transfer.

No. MC-FC-77023. By order entered April 4, 1977, the Commission, Division 3, approved the transfer to Automotive Merchandisers of Texas, Inc., Columbus,

Ohio, and Ohio Merchandising Corporation, Columbus, Ohio, of the operating rights set forth in Certificates No. MC 32562 and subnumbers 10, 11, 12, 13, 15, 17, 19, 20, 23, 25, 26, 27, 28, 30, and 31 issued by the Commission September 14, 1955, October 17, 1956, August 16, 1957, April 29, 1958, May 28, 1959, August 20, 1959, October 11, 1961, October 12, 1962, November 7, 1962, February 28, 1966, June 20, 1967, May 31, 1968, September 8, 1970, November 25, 1969, November 25, 1969 and April 1, 1971, respectively, to Point Express authorizing the transportation of: general commodities, including but not limited to packing house products, malt beverages, household goods, carbide, corn meal and flour products, coal mining machinery, glass containers, canned milk and such merchandise as is dealt in by retail and wholesale food business houses, from, to, or between, points in Indiana, Kentucky, Missouri, Ohio, Pennsylvania, Tennessee, Virginia and West Virginia.

Thomas C. Scott, 88 East Broad St., Suite 980, Columbus, Ohio 43215, Attorney for Point Express, Inc.

ROBERT L. OSWALD,  
Secretary.

[FR Doc.77-10587 Filed 4-8-77; 8:45 am]

# sunshine act meetings

This section of the FEDERAL REGISTER contains notices of meetings published under the "Government in the Sunshine Act" (Pub. L. 94-409), 5 U.S.C. 552b(e)(3).

## CONTENTS

|  |     |
|--|-----|
| Civil Aeronautics Board.....                 | 3   |
| Commodity Futures Trading Commission.....    | 1   |
| Equal Employment Opportunity Commission..... | 6   |
| Federal Home Loan Mortgage Corporation.....  | 4,5 |
| Federal Power Commission.....                | 8   |
| Foreign Claims Settlement Commission.....    | 2   |
| National Labor Relations Board.....          | 7   |
| Nuclear Regulatory Commission.....           | 9   |

### 1

#### AGENCY HOLDING THE MEETING: Commodity Futures Trading Commission.

Notice is hereby given, pursuant to section 3(a) of the Government in the Sunshine Act, 5 U.S.C. 552(b) (e) (3), and 17 CFR 147.4(e), that the Commodity Futures Trading Commission will conduct a meeting of the Commission on April 12, 1977, at 2033 K Street NW., Washington, D.C., in Room 520, beginning at 10 a.m. The Commission intends to consider the following items in closed session:

##### 1. Enforcement Matter.

Questions concerning the agenda for the April 12, 1977, Commission meeting, or possible changes therein, may be directed to the Commission's Office of the Secretariat at 202-254-6126.

Dated: April 6, 1977.

JANE K. STUCKEY,  
Director, Office of the Secretariat,  
Commodity Futures Trading Commission.

[S-121-77 Filed 4-6-77;3:56 pm]

### 2

#### AGENCY HOLDING THE MEETING: Foreign Claims Settlement Commission.

[P.C.S.C. Meeting Notice No. 4-77]

#### ANNOUNCEMENT IN REGARD TO COMMISSION MEETINGS AND HEARINGS

The Foreign Claims Settlement Commission, pursuant to its regulations (45 CFR Part 504), and the Government in the Sunshine Act (5 U.S.C. 552b), hereby gives notice in regard to the scheduling of open meetings and oral hearings for the transaction of routine Commission business and other matters specified, as follows:

##### Date, time and subject matter

Friday, April 22, 1977, at 10:30 a.m., Consideration of Hungarian Claims.

Wednesday, April 27, 1977, at 10:30 a.m., Consideration of Hungarian Claims.

Thursday, April 28, 1977, at 10 a.m., Oral hearings on objections to decisions issued under the Hungarian Claims Program.

Subject matter listed above, not disposed of at the scheduled meeting, may be carried over to the agenda of the following meeting.

All meetings are held at the Foreign Claims Settlement Commission, 1111 20th Street, N.W., Washington, D.C. Requests for information, or advance notices of intention to observe a meeting, may be directed to: Executive Director, Foreign Claims Settlement Commission, 1111 20th Street, N.W., Washington, D.C. 20579. Telephone 202-653-6156.

Dated at Washington, D.C., on April 4, 1977.

FRANCIS T. MASTERSON,  
Executive Director.

[S-117-77 Filed 4-6-77;3:56 pm]

### 3

#### AGENCY HOLDING THE MEETING: Civil Aeronautics Board.

TIME AND DATE: 10 a.m., April 12, 1977.

PLACE: Room 1027, 1825 Connecticut Avenue, N.W., Washington, D.C. 20428.

SUBJECT: Docket 29898-EDR-307, Part 207, Charter Trips and Special Services—Off-Route Charter Limitations.

STATUS: Open.

#### PERSON TO CONTACT:

Phyllis T. Kaylor, The Secretary, 202-673-5068.

[S-118-77 Filed 4-6-77;3:56 pm]

### 4

#### AGENCY HOLDING THE MEETING: Federal Home Loan Mortgage Corporation.

[No. 9]

APRIL 6, 1977.

Pursuant to the Government in the Sunshine Act of 1976, 5 U.S.C. 552b(e) (1) and (3), announcement is made of a Board of Directors meeting to be held on April 14, 1977, at 2:30 p.m., at 320 First Street, NW., Room 630, Washington, D.C., at which the following Corporation business will be conducted:

Status Report on Corporation's Move to New FHLBB Building.

Consideration of Mortgage Corporation Advisory Committee Appointed Members.

Mr. Henry L. Judy (202-624-7107) is the Corporation official designated to

respond to requests for information pertaining to such meeting.

The Federal Home Loan Mortgage Corporation.

RONALD A. SNIDER,  
Assistant Secretary.

[S-119-77 Filed 4-6-77;3:56 pm]

### 5

#### AGENCY HOLDING THE MEETING: Federal Home Loan Mortgage Corporation.

[No. 10]

APRIL 6, 1977.

Pursuant to the Government in the Sunshine Act of 1976, 5 U.S.C. 552b(e) (1) and (3), announcement is made that at a Board of Directors meeting closed to the public, to be held on April 14, 1977, at the conclusion of the open meeting to be held at 2:30 p.m. on that date, at 320 First Street, NW., Room 630, Washington, D.C., the following Corporation business will be conducted:

Consideration of Revocation of Resolutions Appointing FHLBank Presidents as Vice Presidents of the Corporation.

Consideration of Appointment of Additional Assistant Secretary in Dallas Region.

Mr. Henry L. Judy (202-624-7107) is the Corporation official designated to respond to requests for information pertaining to such meeting.

The Federal Home Loan Mortgage Corporation.

RONALD A. SNIDER,  
Assistant Secretary.

[S-120-77 Filed 4-6-77;3:56 pm]

### 6

#### AGENCY HOLDING THE MEETING: Equal Employment Opportunity Commission.

APRIL 6, 1977.

Pursuant to the Government in the Sunshine Act, 5 U.S.C. 552b, notice is hereby given that the Equal Employment Opportunity Commission will meet on Tuesday, April 19, 1977 in the Chairman's Conference Room, Room No. 5240, on the fifth floor of the Columbia Plaza Office Building, 2401 E Street, NW., Washington, D.C. 20506. No meeting is scheduled for the week beginning Monday, April 11, 1977.

The first portion of the meeting, starting at 9:30 a.m. (Eastern Time) will be open to the public, and the Commission plans to consider the following matter during this open session:

PRIVACY ACT; PROPOSED NOTICE OF  
CANCELLATION OF SYSTEMS OF RECORDS  
NO. EEOC-1

The Commission will consider that the subject Notice of Cancellation be published, since the records are no longer maintained.

Immediately after the open session and departure of the public observers, the Commission plans to consider the following matters in closed session:

(1) *Freedom of Information Act Appeal No. 77-2-FOIA-27.* This appeal is on behalf of an employer charged with discrimination by an individual and requests access to files, if any, concerning charges by the same individual filed against other employers.

(2) *Litigation Authorization; General Counsel Recommendations.* Five cases will be presented to the Commission by the General Counsel recommending authorization to bring suit.

(3) *Proposed Decision in Charge No. 750-74-C5000 and 52 others.* A proposed decision, first discussed at the Commission meeting of March 22, 1977, will be presented for further consideration.

(4) *Proposal to Establish a Subdistrict Office in Minneapolis/St. Paul, Minnesota.* The Commission will continue the discussion, started at its April 5 meeting, of a proposal by the Director of its Milwaukee District Office, through the Director of the Chicago Regional Office, that a subdistrict office be established in the Minneapolis/St. Paul, Minnesota area, for the purpose of processing charges of discrimination filed against respondents in the State of Minnesota.

If you have any questions concerning the agenda for the April 19, 1977 Commission Meeting, please contact the Office of the Executive Secretariat at (202) 634-6748.

Issued April 6, 1977.

By order of the Commission.

ETHEL BENT WALSH,  
Vice Chairman.

[S-122-77 Filed 4-7-77; 9:04 am]

7

AGENCY HOLDING THE MEETING:  
National Labor Relations Board.

TIME AND DATE: 9:30 a.m., Tuesday,  
April 19, 1977.

PLACE: Board Conference Room, Sixth  
Floor, 1717 Pennsylvania Avenue, NW.,  
Washington, D.C. 20570.

STATUS: Open to public observation.

MATTERS TO BE CONSIDERED:  
Consideration of proposals to improve  
processing of bound volumes of Board  
Decisions.

CONTACT PERSON FOR MORE IN-  
FORMATION:

John C. Truesdale, Esq., Executive  
Secretary, Washington, D.C. 20570,  
Telephone 202-254-9430.

[S-123-77 Filed 4-7-77; 11:00 am]

8

AGENCY HOLDING THE MEETING:  
Federal Power Commission.

FEDERAL REGISTER CITATION OF  
PREVIOUS ANNOUNCEMENT: 42 CFR  
18340.

PREVIOUSLY ANNOUNCED TIME  
AND DATE OF THE MEETING: 2 p.m.  
on April 7, 1977.

CHANGES IN THE MEETING: The  
following item is added to the Commis-  
sion meeting upon the affirmative votes  
of Chairman Dunham, Commissioners  
Smith, Holloman and Watt.

G-25. Docket No. CI77-328, Mobil Oil Cor-  
poration.

KENNETH F. PLUMB,  
Secretary.

[S-124-77 Filed 4-7-77; 1:55 pm]

9

AGENCY HOLDING THE MEETING:  
Nuclear Regulatory Commission.

In accordance with the requirements  
of the Government in the Sunshine Act  
and the Commission's Rules implement-  
ing the Act, this Notice identifies  
changes in previously announced meet-  
ings.

WEEK OF APRIL 11, MONDAY, APRIL 11

The Briefing on Detailed Program  
Plan for LMFBR Confirmatory Assess-  
ment Program (Public Meeting) sched-  
uled for 1:30 p.m., Monday, April 11, is  
cancelled.

The Briefing on US-Indian Nuclear  
Cooperation and Supply Issues (Closed  
Meeting) scheduled for 3:00 p.m., Tues-  
day, April 12, has been rescheduled. The  
Briefing will be given at 3:00 p.m. on  
Monday, April 11. The meetings will be  
held in the Commissioners' Conference  
Room, 1717 H Street, NW., Washington,  
D.C. For further information, contact  
Walter Magee, Office of the Secretary,  
telephone: 634-1410.

For the Commission,

Dated at Washington, D.C., this 7th  
day of April 1977.

JOHN C. HOYLE,  
Assistant Secretary  
of the Commission.

[S-125-77 Filed 4-7-77; 4:50 pm]

**Federal Register**

**MONDAY, APRIL 11, 1977**

**PART II**



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**DEPARTMENT OF  
HEALTH,  
EDUCATION, AND  
WELFARE**

**Office of the Secretary**



**GRANTS FOR FAMILY  
PLANNING SERVICES**

**Intent To Issue Proposed Rules**

INDEX

PAGE

GENERAL INFORMATION

PLANNING SERVICES

PLANNING SERVICES

PLANNING SERVICES

PLANNING SERVICES

PLANNING SERVICES

PLANNING SERVICES

PLANNING SERVICES

PLANNING SERVICES

PLANNING SERVICES

PLANNING SERVICES

PLANNING SERVICES

PLANNING SERVICES

PLANNING SERVICES

**DEPARTMENT OF HEALTH,  
EDUCATION, AND WELFARE**

Office of the Secretary

[ 42 CFR Part 59 ]

**GRANTS FOR FAMILY PLANNING  
SERVICES**

**Intent to Issue Proposed Rules**

Notice is hereby given that the Assistant Secretary for Health, with the approval of the Secretary of Health, Education, and Welfare, intends to propose regulations revising Part 59 of Title 42, Code of Federal Regulations, to implement the amendments to Title X of the Public Health Service Act (42 U.S.C. 300 et seq.) enacted by Public Law 94-63. This Notice presents a description of the amendments, discusses possible approaches to implementing them, and invites comments and suggestions from all interested parties on how they should be implemented.

1. Section 204(a) of the Public Law 94-63 amended section 1001(a) of the Public Health Service Act ("Act") to require that the range of family planning methods to be offered by family planning projects include natural family planning methods. Since the meaning of the term "natural family planning methods" is well understood in the family planning field and the requirement reflects current program policy, the Department proposes to implement the statutory language simply by amending the regulations where appropriate to reflect it.

2. Section 204(b) of Public Law 94-63 amended section 1001(b) of the Act to provide that local and regional entities are to be assured the right to apply for Title X grants and contracts and requires the Secretary to "by regulation fully provide for and protect such right." The legislative history of this section suggests that Congress was primarily concerned that the Secretary not systematically require statewide consolidation of grantees and that, in cases where such consolidation occurs, former grantees which are part of the consolidated project have the right to participate in the decisionmaking of the consolidated grantee as it affects their operations.

The Secretary feels that the present § 59.3 gives local and regional entities full right to apply for Title X grants. Further, the congressional concern that the Secretary not systematically require further consolidation relates solely to the internal operations of the Department. Accordingly, the Secretary does not plan to revise this section of the regulations in reference to section 204(b). In response to the congressional concern, though, the Secretary will not systematically undertake to accomplish such consolidation.

However, it is felt that the regulations should be revised to reflect the concern expressed in the legislative history that local and regional entities which are, or are to become, part of a consolidated

grant participate in both the consolidation decision and in the consolidated grantee's decisionmaking. If the regulations are revised by the addition of requirements implementing these concerns, it will be necessary to determine what the requirements should be. The main question to be resolved in this regard is whether the Federal requirements should be very general in nature, permitting flexibility in the organizational and consultation arrangements, or whether they should prescribe detailed, less flexible organizational arrangements regarding input into the consolidation decision and the relationship between consolidated grantees and the local and regional entities that function as part of the consolidated project. If the latter approach is recommended, the Secretary would appreciate suggestions on what kinds of organizational arrangements would be appropriate and what kinds of operational problems might be encountered.

3. Section 204(d) of Public Law 94-63 amended Section 1006(c) to require the Secretary to define the term "low-income family" "so as to insure that economic status shall not be a deterrent to participation in the programs assisted under this title." By statute, low-income individuals are the priority group to receive family planning services and may not be charged for such services. The present regulatory definition places low income at fixed dollar income levels—for example, an annual income of \$5,000 for a family of four. The legislative history of the amendment indicates that the levels are too low in today's economy and should be increased. There would seem to be two policy options in implementing such an increase. First, the present approach could be retained, with only the dollar income levels being raised. If this approach is recommended, then suggestions will be needed as to what dollar income levels would be appropriate. Alternatively, an approach which ties the income cut-off to some national standard or index, such as the Community Services Administration (CSA) Income Poverty Guidelines, could be adopted. If this approach is recommended, suggestions will be needed as to what the standard or index should be and precisely how the income cut-off should be tied to it (e.g., should the cut-off be at 150 percent of the index level, and so on). At present, the Secretary is inclined to adopt the latter approach, with the income cut-off set at 150 percent of the CSA Income Poverty Guidelines.

The legislative history of this section also indicates that Congress intended the term "low-income family" to be defined so as to permit individuals who do not meet whatever income cut-offs are established but who are nevertheless unable to pay for family planning services to be considered "low-income" for the purpose of the program. Two general approaches seem possible to accomplish

this. First, the regulation could enumerate specific economic or other circumstances which would constitute a basis under which an individual not meeting the income cut-off could nevertheless qualify as low-income. If such an approach is recommended, suggestions on what such circumstances should be would be needed. Second, an approach using a general criterion for waiver of the income cut-off could be adopted. If this approach is adopted, suggestions regarding what the criterion should be would be called for. The Secretary would also appreciate guidance as to what operational problems may be encountered and should be considered under either approach, or any other approach recommended.

4. The Secretary also proposes to make an amendment not related to the enactment of Pub. L. 94-63. Section 59.5 of the regulations presently lists requirements that a supported project must comply with. That section is prefaced, however, by a waiver provision which seems to permit the Secretary to allow a grantee to omit one or more of the requirements if the grantee can establish "good cause." Some of the requirements listed (such as the abortion prohibition and priority to low-income persons), however, are imposed by statute and cannot be waived by the Secretary. It is proposed to restructure § 59.5 so as to clarify this.

In addition, the Secretary as a matter of policy proposes to require that grantees assure that they will make services available without regard to age, sex, or marital status, with no provision for waiver. The Secretary solicits views on what problems would be created if this assurance were made nonwaivable and suggestions for alternative approaches, such as restricting the present provision for waiver.

For further information, contact Mr. James Corrigan, Director, Division of Policy Development, 301-443-1034.

Comments, suggestions and recommendations concerning the proposed revision of the regulations should be submitted in writing to the Director, Division of Policy Development, Bureau of Community Health Services, 5600 Fishers Lane, Rockville, Maryland 20857. Consideration will be given to all written comments, suggestions and recommendations received by May 26, 1977. Such comments, suggestions and recommendations will be available for public inspection at the above address during regular business hours.

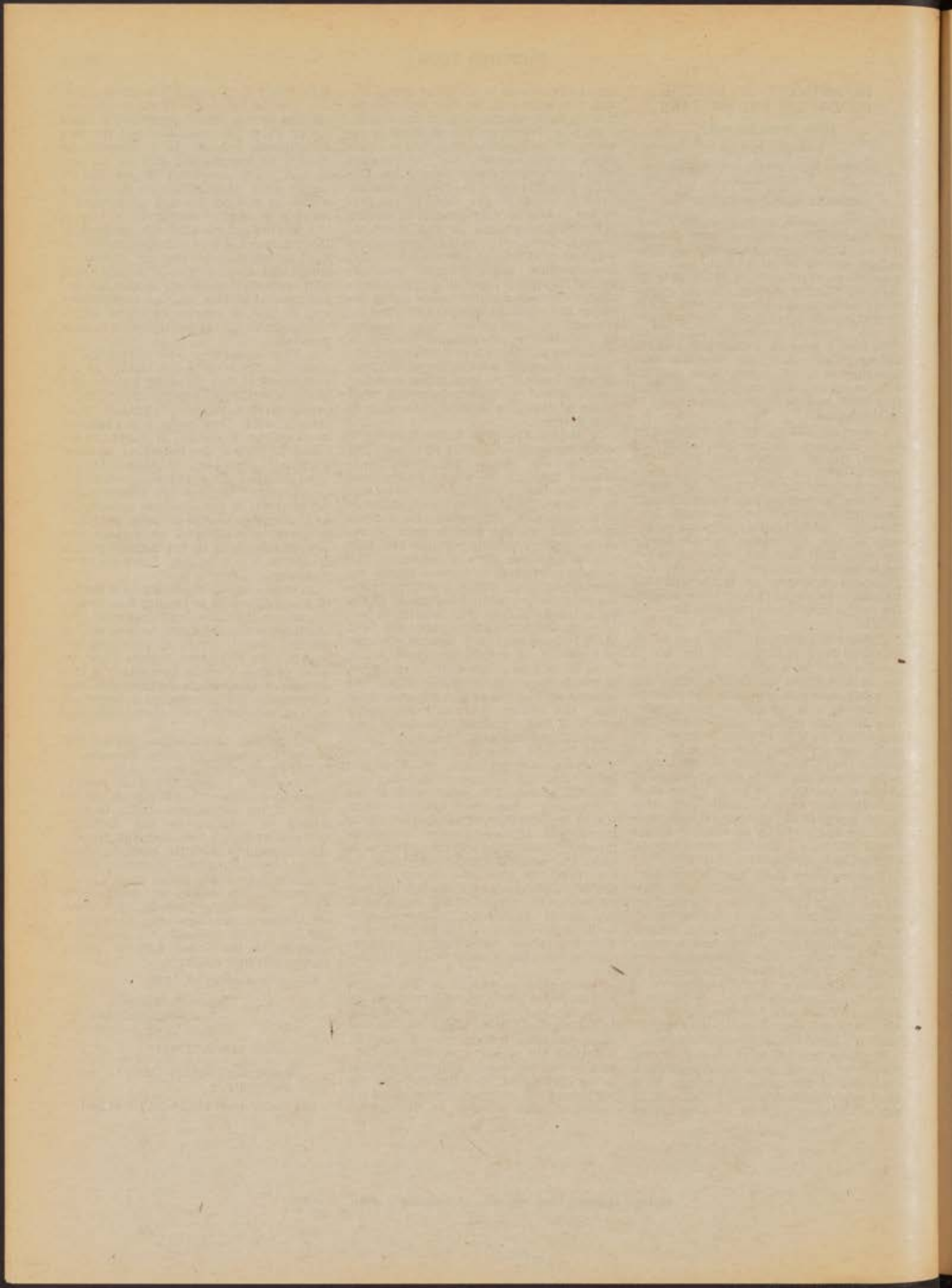
Dated: February 18, 1977.

**JAMES F. DICKSON,**  
*Acting Assistant  
Secretary for Health.*

Approved: March 31, 1977.

**JOSEPH A. CALIFANO, Jr.,**  
*Secretary.*

[FR Doc. 77-10133 Filed 4-8-77; 8:45 am]





**Federal Register**

MONDAY, APRIL 11, 1977

PART III



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DEPARTMENT OF  
AGRICULTURE

Agricultural Marketing Service



MILK IN THE NEW YORK-  
NEW JERSEY MARKETING  
AREA

Recommended Partial Decision

## DEPARTMENT OF AGRICULTURE

Agricultural Marketing Service

[7 CFR Part 1002]

[Docket No. AO-71-A71]

MILK IN THE NEW YORK-NEW JERSEY  
MARKETING AREA

Recommended Decision (Partial) and Opportunity to File Written Exceptions on Proposed Amendments to Tentative Marketing Agreement and to Order

AGENCY: Agricultural Marketing Service, USDA.

ACTION: Proposed rule.

**SUMMARY:** This decision recommends certain changes in the New York-New Jersey Federal milk marketing order (Order 2), based on industry proposals considered at a public hearing in February 1976. The principal changes would adjust the prices for milk throughout the production area to more nearly reflect the costs incurred by handlers in assembling milk from farms and transporting it to plants for processing. Another change would institute a late-payment charge on overdue payments by handlers to the market administrator to encourage prompt payments for milk. Also, a number of minor changes would be made in the classification of milk according to its use to reflect current marketing practices.

**DATE:** Comments are due on or before May 11, 1977.

**ADDRESS:** Comments (6 copies) should be filed with the Hearing Clerk, U.S. Department of Agriculture, Washington, D.C. 20250.

## FOR FURTHER INFORMATION CONTACT:

Willard H. Blanchard, Deputy Director, Dairy Division, Agricultural Marketing Service, U.S. Department of Agriculture, Washington, D.C. 20250, 202-447-5661.

## SUPPLEMENTARY INFORMATION:

Prior documents in this proceeding:  
Notice of Hearing, Issued January 9, 1976; published January 14, 1976 (41 FR 2092).

Extension of Time for Filing Briefs, Issued April 15, 1976; published April 21, 1976 (41 FR 16660).

## PRELIMINARY STATEMENT

Notice is hereby given of the filing with the Hearing Clerk of this recommended decision with respect to proposed amendments to the tentative marketing agreement and order regulating the handling of milk in the New York-New Jersey marketing area, and of the opportunity to file written exceptions thereto. This notice is issued pursuant to the provisions of the Agricultural Marketing Agreement Act of 1937, as amended (7 U.S.C. 601 et seq.), and the applicable rules of practice and procedure governing the formulation of marketing agreements and marketing orders (7 CFR Part 900).

Interested parties may file written exceptions to this decision with the Hear-

ing Clerk, U.S. Department of Agriculture, Washington, D.C. 20250, on or before May 11, 1977. Six copies are required for this proceeding. All written submissions will be made available for public inspection at the office of the Hearing Clerk during regular business hours (7 CFR 1.27(b)).

The hearing on the record of which the proposed amendments, as herein-after set forth, to the tentative marketing agreement and to the order, as amended, were formulated was conducted at New York, New York, on February 17-20, 1976, and at Syracuse, New York, on February 23-26, 1976, pursuant to notice thereof.

The material issues on the record of the hearing relate to:

1. Whether changes should be made in the following provisions for purposes of permitting a more equitable competitive situation, on both an intramarket and intermarket basis, for Order 2 handlers:

- Class I price differential.
- Transportation differentials.
- Pool transportation credit to handlers for bulk tank milk.
- Tank truck service charge.
- Direct delivery differential.

2. Whether the classification provisions should be changed with respect to the following:

- Milk moved between Order 2 pool plants and plants regulated under an order administered solely by the State of New York.
- Bulk milk received at an Order 2 pool plant from a plant regulated under another Federal order.
- Milk dumped, disposed of for animal feed, or lost under extraordinary circumstances.

3. Whether the procedure for classifying shrinkage should be changed.

4. Whether the order should provide for charges on overdue accounts.

This decision deals with all issues except Issue No. 3. The latter issue is reserved for a later decision.

## FINDINGS AND CONCLUSIONS

The following findings and conclusions on the material issues are based on evidence presented at the hearing and the record thereof:

1. *Modification of transportation allowances.* For the purpose of permitting a more equitable competitive situation for regulated handlers, on both an intermarket and intramarket basis, and to provide a more stable market for producers, the New York-New Jersey order (Order 2) should be amended in the following manner:

The present Class I differential of \$2.40 that is applicable at the 201-210 mile zone should be reduced to \$2.25.

The transportation differential rate of 1.2 cents per hundredweight for each 10 miles that is now used to adjust the Class I and uniform prices for location should be increased to 1.5 cents for each 10-mile zone more distant than the 201-210 mile zone, and to 1.8 cents for each 10-mile zone less distant than the 201-210 mile zone. With the new base zone differential, this would result in a Class I differential at New York City of \$2.61.

The current 10-cent per hundredweight pool transportation credit to handlers on receipts of bulk tank milk

allocated to Class II uses should be replaced with a 15-cent pool credit on all farm bulk tank milk received by handlers.

Handlers should be permitted to negotiate with producers or their cooperatives a tank truck service charge of up to 15 cents per hundredweight (now limited to 10 cents) with respect to any farm-to-first plant hauling costs not recovered through the 15-cent pool transportation credit on farm bulk tank milk.

The present 5-cent direct delivery differential should be increased to 15 cents per hundredweight. The differential should continue to be applicable to all milk of producers located within the 1-70 mile zone.

No provision should be made in the order for a "metropolitan transfer credit" or an "upstate fluid differential," as was proposed by several cooperatives, or for pricing milk at the plant where first received, as several handlers urged.

a. *Summary of hearing proposals.* The basic purpose of the hearing was to consider proposals that were designed to improve the competitive situation for Order 2 handlers located in or near the major metropolitan area of the market (New York City and nearby heavily populated areas) who are engaged in the distribution of Class I milk. Witnesses contended that in recent years the cost of hauling milk from farms to plants has increased substantially. They indicated that this has resulted in a significant deterioration in the ability of metropolitan area handlers to compete effectively with handlers in neighboring markets, particularly the Middle Atlantic Federal order market, and with various handlers in the Order 2 market.

With respect to the intermarket competition, witnesses claimed that the adverse situation is attributable largely to the unique procedure for pricing producer milk under Order 2 relative to other orders. It was pointed out that under Order 2 bulk tank milk from producers is priced essentially at the location of the farms where received by handlers. Witnesses alleged that this has caused the burden of the higher hauling costs to fall largely on handlers, including cooperatives operating in a handler capacity, who move the milk from the farms to the major consumption center of the market. The witnesses stressed that in contrast handlers in other markets have not borne the brunt of the increase in hauling costs. They pointed out that under other orders producer milk is priced at the first plant at which it is received. The witnesses claimed that in those cases where the producers deliver their milk directly to the city distributing plants, the higher hauling costs have been absorbed largely by the individual producers rather than by the handlers receiving their milk.

Witnesses contended that the disparity in the cost of milk to handlers under Order 2 and other orders has resulted in a substantial loss of Class I sales by Order 2 handlers to the other markets. They claimed that corrective action is

essential to the long-run interests of producers in the New York-New Jersey market.

With respect to the alleged intramarket competitive problems, it was claimed that the impact of the increased hauling costs on Class I distributors in the major metropolitan area has varied, depending on each distributor's source of milk. Witnesses contended that the metropolitan area handlers who rely on supply plant milk are experiencing much higher procurement costs than those receiving milk at their distributing plants directly from farms. This, they claimed, is because of the costs associated with reloading milk at supply plants and moving it long distances to the metropolitan area, plus using a transportation differential rate under the order that does not reflect actual hauling costs.

Cooperative associations and proprietary handlers offered a number of proposals which they believed would minimize the competitive problems of which they complained. Six major proposals dealing with this issue were made by two principal producer organizations in the market. The basic thrust of the proposals was to shift the assembly and hauling costs for milk from handlers to producers. Various modifications of these proposals were proposed by several proprietary handlers to accommodate their individual operations. A number of other producer groups in the market, including a third major producer organization, opposed any changes in the order relative to this issue.

Two of the six major proposals relate to the recovery of costs associated with picking up farm bulk tank milk and moving it to the first plant of receipt. One proposal would provide handlers with a 15-cent per hundredweight pool credit on all such milk. A 10-cent pool credit currently applies just on Class II bulk tank milk. The other proposal would increase the maximum negotiable tank truck service charge, which is now 10 cents per hundredweight, to 25 cents. Together, these two proposals would make it possible for handlers to recover up to 40 cents per hundredweight of the farm-to-first plant hauling cost for bulk tank milk—15 cents through the pool credit and the remainder through negotiations with the producer or his cooperative.

Proponents supported a pool credit for handlers of farm bulk tank milk as an appropriate mechanism for recovering from producers a portion of the farm-to-plant hauling costs. They maintained that a 15-cent credit would reflect the minimum cost incurred by handlers in picking up bulk tank milk at the farm. They took the view that a pool credit could be easily administered, that it would avoid confusion among producers since it would affect all bulk tank producers uniformly, and that it would contribute to market stability.

Spokesmen for the proposals indicated that for most handlers the 15-cent pool credit would not sufficiently reimburse handlers for the farm-to-plant hauling costs incurred and that additional amounts should be recoverable through

a negotiated charge to producers. It was contended that setting the maximum negotiable charge at 25 cents per hundredweight would permit handlers to recover most, if not all, of such costs. Witnesses stated that limiting negotiated deductions for hauling to 25 cents per hundredweight is necessary to ensure that handlers do not negotiate deductions in excess of actual hauling costs. Also, it was claimed that a limit, compared to unlimited deductions, would provide an incentive for handlers to assemble milk in the most efficient manner.

Two other major proposals relate to the recovery of costs for long-haul shipments of bulk milk. One proposal would increase the present 1.2-cent transportation differential rate to 1.5 cents per hundredweight for each 10-mile zone. The other would provide a 25-cent per hundredweight pool credit to handlers on Class I milk originating beyond the 1-140 mile zone and transferred in bulk, directly from farms or from plants, to plants located within the 1-65 mile zone. In combination, these proposals are intended to permit handlers to recover the average cost of shipping bulk milk from country locations to distributing plants in the major metropolitan areas for Class I use.

In support of these proposals, witnesses claimed that the long-distance hauling rates now being charged in the market reflect a "variable" hauling cost of 1.8 cents per hundredweight per 10 miles, and "fixed" hauling costs totaling 19 cents per hundredweight.<sup>1</sup> Although supporting the 1.8-cent variable rate, proponents indicated that their proposal was couched in terms of a 1.5-cent variable rate since this is the rate commonly reflected in other orders. For a 200-mile shipment, such as from the base zone to the New York City area, witnesses indicated that a 1.5-cent transportation differential rate would cover 30 cents of the average 55-cent actual hauling cost for this distance.

Proponents contended that the total cost of long-distance shipments, and not just the variable cost, should be reflected in the order's pricing provisions if the metropolitan area handlers are to be competitive with handlers in other markets. Accordingly, it was proposed that handlers be given a 25-cent "metropolitan transfer credit" for the purpose of covering that portion of the 55-cent hauling cost for a 200-mile shipment not reflected in the adjustment of prices for location, i.e., 25 cents. The 25-cent credit would cover the remaining 6 cents of the

<sup>1</sup> "Fixed" hauling costs are the costs associated with the ownership of the trucking equipment and any related maintenance facilities. Such costs include depreciation, licenses, interest, taxes and insurance. "Variable" hauling costs are the costs associated with the over-the-road operation of the trucking equipment, such as gas, tires and repairs. The estimates of fixed and variable costs that were placed in the record were determined from a regression analysis of various hauling rates applicable in the New York-New Jersey market.

actual 36-cent variable cost for 200 miles not covered by the 1.5-cent transportation differential rate, plus the 19-cent fixed cost. Proponents noted that if the zone differentials were to be established at 1.8 cents per 10 miles a metropolitan transfer credit reflecting only the 19-cent fixed cost would be appropriate.

At the hearing, proponents modified their initial proposal to provide for a "feathering" of the metropolitan transfer credit. They suggested that a credit of 10 cents per hundredweight be given for milk transferred to metropolitan area plants from the 91-100 mile zone, 15 cents for the 101-120 mile zone, 20 cents for the 121-140 mile zone, and 25 cents for milk shipped from more distant areas.

Another of the major proposals would increase the present 5-cent direct delivery differential to 10 cents per hundredweight and would extend the zone of application to include all pool milk received from farms within the 1-110 mile zone. Witnesses indicated that this proposed change is intended to more nearly equate the cost of nearby milk moved directly from farms to plants in the major metropolitan area for Class I use with the cost of Class I milk obtained by handlers from country plants. It was claimed that the handler receiving direct-ship milk avoids reloading costs that attach to supply plant milk. It was suggested that in view of current reloading costs the direct delivery differential perhaps should be as much as 13 cents per hundredweight. A witness also indicated that a larger direct delivery differential payable to the nearby producers would partially offset the incentive that such producers now have for shifting to neighboring markets where higher blend prices are being paid.

The sixth major proposal would require distributing plants located outside the 1-65 mile zone to pay to the pool an "upstate fluid differential" of 10 cents per hundredweight on all Class I milk received from farms located outside the 1-110 mile zone. It was indicated that such distributing plants normally receive milk directly from producers and thus do not experience the reloading costs incurred by many of the distributors in the major metropolitan area of the market who rely on supply plant milk. The upstate fluid differential, it was claimed, would tend to equalize the procurement costs of those handlers in the outlying areas of the market with the cost incurred by competing handlers in the major metropolitan area.

At the hearing and in their briefs, proprietary handlers took varying positions with respect to the six major proposals of the producer groups. As would be expected, handlers generally supported those proposals—such as the 15-cent pool credit on bulk tank milk and the 25-cent negotiable tank truck service charge—that would lower their procurement costs and thus enhance their competitive position in the retail market. Some preference was expressed, however, for either holding the nego-

liable charge at the present maximum level of 10 cents or eliminating the charge and increasing the pool transportation credit to something more than the 15 cents proposed by cooperatives. It was claimed that negotiable charges are an unsatisfactory arrangement since not all handlers in the market negotiate such charges, thus causing disparate procurement costs among handlers, and because producers resist such charges.

Handlers also expressed support for a higher transportation differential rate under the order, although they were not in agreement on the specific rate. Some handlers urged the 1.8-cent rate proposed by cooperatives, while others favored a 1.5-cent rate on the basis that any higher rate would be out of line with location adjustment rates generally applicable under other Federal orders.

Several handlers opposed the adoption of an "upstate fluid differential" on the basis that the affected distributing plants were having no difficulties in attracting adequate milk supplies and that the differential thus would unjustifiably increase the cost of Class I milk at such plants. Opposition was expressed also against the use of a direct delivery differential. It was claimed that the differential adds to the misalignment of milk costs between Order 2 handlers and handlers in the Middle Atlantic Order 4 market.

There was considerable disagreement among handlers regarding the cooperatives' proposed "metropolitan transfer credit." This stemmed largely from the varying impact that such a credit would have on different handlers because of their location or method of operation. The various handler positions included having no such credit, having it apply to transfers of both packaged and bulk milk, "feathering" the credit, precluding the movement of any milk subject to the credit back into the outlying areas of the market, and reducing the Class I price to reflect transportation costs rather than applying a credit.

Several handlers took the position that the problems of intermarket competition arising from unequal procurement costs could best be resolved by replacing the Order 2 farm point pricing arrangement with the plant pricing concept used under the other orders. In this regard, one group of handlers proposed that milk from a producer be priced at the pool plant located nearest the producer's farm. A corollary proposal would permit handlers to negotiate with producers or their cooperatives a tank truck service charge of up to 30 cents per hundred-weight.

Another handler, who operates a distributing plant in northern New Jersey, urged that milk from producers be priced at the first plant where it is received, as under the Middle Atlantic order. According to the handler's spokesman, such action, along with an increase in the transportation differential rate to 1.8 cents per 10 miles, would effectively deal with three major problems that he identified. The first was the misalignment of costs

for Class I milk between Orders 2 and 4. He contended that the handler he was representing has had to lower prices to remain competitive with Order 4 handlers who distribute milk in the North Jersey area. Such reductions, he claimed, have spread to other areas of the Order 2 market and thus have resulted in chaotic conditions, reduced margins, elimination of profits, reduced volume, and higher unit costs for Order 2 plants. These conditions occurred, in his view, because the differing pricing provisions of the two orders have resulted in unequal changes in the cost of milk to handlers during the time of rapid inflation of milk transportation and handling costs.

A second problem that would be alleviated under plant pricing, according to the witness, is that inflation also has caused a misalignment of Class I prices within the Order 2 market. In this connection, he held that intramarket alignment should be achieved by fixing the basic Class I price at the major consumption area of the market and then reducing the price at outlying areas of the market to reflect the costs of hauling milk to the consumption center from country plants.

Third, the spokesman contended that inflation will continue, with milk transportation and handling costs thus increasing further in the future. Such future economic conditions, he claimed, would not be recognized under the cooperatives' proposals, and the adoption of the proposals thus would not prevent future price alignment problems.

Strong opposition to any changes in the order's transportation allowances was indicated by a spokesman for a number of cooperatives, including one of the principal cooperatives in the market. The spokesman expressed the cooperatives' view that changing the order to impose additional transportation costs on producers, either directly through negotiable deductions or indirectly through pool credits for handlers, would undermine the principle of farm point pricing in this market and would lower returns to producers. The spokesman stressed the cooperatives' basic position that the costs of moving bulk milk from farms to plants are marketing costs that should be borne by the market and not by producers.

These cooperatives opposed any order changes that would provide for pricing milk at plant locations rather than at the farm. It was contended that such a change would subject producers and handlers alike to the abuses and administrative difficulties that prevailed prior to the adoption of farm point pricing. The cooperatives also opposed increasing the transportation differential rate from the present level of 1.2 cents per 10 miles on the basis that the data submitted by proponents to support the proposed increase were based on hauling charges higher than those that generally prevail in the market. The proposed metropolitan transfer credit also was opposed on the basis that it would impose an arbitrary cost on the pool and thus on producers. The cooperatives' spokesman also

expressed the view that there are potential inequities in the application of the proposals with regard to the appropriate zone or zones to which the credit would apply. Moreover, the cooperatives held that order provisions covering only the variable costs of transportation, as provided in most orders, tend to provide a degree of restraint on unneeded movements of milk from supply plants and should be continued.

The spokesman for the opposing cooperatives contended that the proposed package of changes may not, in fact, improve the alignment of Class I between Order 2 market and surrounding markets. He indicated that any savings in the cost of Class I milk that handlers might gain from the proposals would be used by labor unions as a basis for bargaining for higher benefits. Thus, he claimed, the handlers would not be able to pass the full Class I cost savings on to the marketplace, with the result that their ability to regain or expand Class I sales, which was the alleged purpose of the proposals, would be lost or greatly diminished. It was indicated that the risk of producers receiving lower returns without accomplishing the intent of the proposals is not one that the opposing cooperatives are willing to take.

Thirty-six individual dairy farm operators testified during the course of the hearing. Only two indicated support for any of the proposals that would result in dairy farmers paying a higher portion of the milk transportation costs. The others strongly opposed such proposals. They claimed that dairy farmers cannot afford to pay more for milk hauling, and that handlers should bear the costs of transportation since the milk is under their control once it is picked up at the farm.

Spokesmen for two handlers also expressed opposition to any actions that would reduce returns to producers. Their concern was that such actions would jeopardize their producer milk supplies because dairy farmers would seek higher returns by shifting their milk to outlets in other markets.

It should be noted that two proposals included in the hearing notice were abandoned by the proponent, and no other support was offered at the hearing for such proposals. One would allow handlers to recover the full farm-to-first plant hauling cost on bulk tank milk if authorized by the producer. The other proposal would increase the transportation differential rate from 1.2 cents to 1.7 cents per each 10-mile zone.

b. *The need for order changes.* It is clear that the present provisions of the New York-New Jersey order are not compatible with current marketing conditions. Major increases in the costs of assembling and transporting milk during the last several years have occurred without corresponding changes in the various transportation allowances under the order. As a result, various handlers under Order 2 are experiencing a deteriorating competitive situation in the Class I (fluid milk) market. Corrective action is essential if the order is to fulfill its

purpose of providing stable and orderly marketing conditions for producers and for the handlers through whom they market their milk.

A brief description of the New York-New Jersey market will help place the issue at hand in a better perspective. By any measure, the Order 2 market may be considered as large. In 1975, approximately 20,000 dairy farmers supplied about 786 million pounds of milk monthly for a marketing area population of more than 20 million persons.<sup>2</sup> Although the marketing area includes 39 counties and portions of 12 other counties in New York and New Jersey, nearly 80 percent of the population is concentrated in a relatively small area comprised of New York City and adjacent areas in New York and New Jersey. This heavily populated area extends outward roughly 60 to 70 miles from New York City, and constitutes the major milk consumption center in the Order 2 market.

Besides the major New York City metropolitan area, there are several other relatively large consumption centers at various locations in the New York portion of the marketing area. These include Elmira (metropolitan area population in 1975, 100,100), Binghamton (population 266,700), the Albany-Schenectady-Troy area (population 799,000), Syracuse (population 647,800), and the Utica-Rome area (population 334,900), all of which are located in the outlying production areas of the market. Milk processors that operate in these outlying areas compete with handlers in the major metropolitan area for milk supplies.

Processing plants, where milk is pasteurized and bottled, also are concentrated in the major metropolitan area. In March 1975, there were 28 processing plants located in the 1-10 mile zone. Within the 1-70 mile zone, 44 plants processed and distributed fluid milk, which was over half of the fluid milk processing plants on the Order 2 market. These 44 plants received from farms or other plants 81 percent of the producer milk utilized in the market for fluid purposes that month.

As would be expected, country supply plants are located primarily in the more distant areas of the milkshed. Only 7 such plants were located within 140 miles of New York City in March 1975, whereas 47 were located beyond 140 miles. Of these, 37 were located in the 141-240 mile zone, which gives an indication of the distance that milk must be moved in supplying the fluid milk requirements of the processors in the New York City area.

Dairy farmers who supply milk for the Order 2 market are widely dispersed over a large area. In March 1975, milk associated with this market was produced in the States of New York, New Jersey, Pennsylvania, and Maryland. Some pro-

ducers' farms are located more than 400 miles from New York City.

Most of the Order 2 milk supply (more than 93 percent in 1975) originates in areas beyond the market's 1-100 mile zone. Practically all of the distant milk that is used in Class I at the metropolitan area distributing plants is first assembled at country plants and then hauled to the distributing plants in large over-the-road trucks. Metropolitan area plants also receive some milk directly from farms, most of which are located in the nearby production areas. In March 1975, 19 percent of the milk received for fluid use at these distributing plants moved directly from farms to such plants. The remainder was obtained through country plants.

Two basic concerns exist. One is the disparity in milk costs between those metropolitan area handlers using supply plant milk and those using direct-ship milk for meeting their Class I needs. The other concern is the disparity in Class I milk costs between metropolitan area handlers under Order 2 and handlers regulated under the Middle Atlantic order. In both cases, the increased assembly and transportation costs have seriously distorted the competitive relationships among the handlers involved. This may be shown through a brief description of the pricing arrangements for Class I milk that are applicable to such handlers.

Under Order 2, milk received from bulk tank producers is priced essentially at the location of the farm, with such pricing commonly referred to as "farm point pricing." Under this arrangement, all milk that originates in the same township is priced at the zone price applicable to that township. For pricing purposes, the township's location is based on the point in the township that is nearest the market's major consumption center. Such pricing applies to about 97 percent of the producer milk on the market. The remainder of the milk is shipped in cans and is priced at the location of the plant at which the milk is first received. Unless indicated otherwise, the discussion on transportation allowances will be in terms of farm bulk tank milk.

The Class I prices at the various farm locations vary basically according to the nearness of the farms to New York City. For example, the Class I price at farms in the 101-110 mile zone is 12 cents per hundredweight less than the Class I price at New York City. For farms in the 201-210 mile zone, the Class I price is 24 cents less. Prices are adjusted for location by the order's transportation differentials, which currently apply at a rate of 1.2 cents for each 10-mile zone. This rate is intended to reflect the "variable" cost of hauling milk over long distances.

The metropolitan area handler normally pays most of the costs associated with the collection of the milk at the farms and its movement to his processing plant. This is so whether he moves the milk himself or whether another handler, such as a cooperative, performs this service, since the latter custom-

arily attempts to pass on all related costs to the processing handler. The current order does permit hauling deductions from producer payments of up to 10 cents per hundredweight on all farm bulk tank milk, if authorized by the producer or his cooperative association. In December 1975, authorized deductions were being made for about 75 percent of the bulk tank producers, in most cases probably at the maximum rate.<sup>3</sup> Thus, the basic cost of Class I milk to metropolitan area handlers is the Class I price applicable at the farm (township) location plus the cost of moving the milk, either directly or through a supply plant, to the processing plant, less any hauling deduction. Although additional handling charges for other services usually apply in the case of milk received from cooperatives, such charges are disregarded for purposes of this discussion.

An additional cost applies in the case of those handlers who receive milk from farms located within the 1-70 mile zone. The order provides that handlers shall pay producers a so-called direct delivery differential of 5 cents per hundredweight on all such milk, whether in bulk or in cans.

It is readily apparent that under this pricing arrangement any increases in assembly and transportation costs will have a direct impact on the procurement costs of metropolitan area handlers. Because milk is priced at the farm location, and because the transportation allowances under the order are fixed, any additional assembly and transfer costs must be borne by the processing handler. Actually, even prior to the recent cost increases, the present order provisions did not permit metropolitan area handlers to recover through various price allowances the full cost of getting milk from the farm to their processing plants for Class I use. Although the farm-to-first plant hauling costs were higher, negotiable hauling deductions have been limited to 10 cents.<sup>4</sup> In addition, when the present Class I transportation differentials were adopted, the total cost of hauling milk over a 200-mile distance was about 34 cents per hundredweight.<sup>5</sup> Only 24 cents, which was considered to be the "variable" cost for this distance, was reflected in the transportation differentials. Also, reloading costs at supply plants have not been reflected in the price structure of the order except as they might be offset from a competitive standpoint by the direct delivery differential. Thus, the problem of recovering procurement costs has existed for some time. The recent increases in hauling

<sup>2</sup> Official notice is taken of The Market Administrator's Bulletin, Quarterly Statistical Issue (A), volume 36/1976, no. 4, issued by the Market Administrator for the New York-New Jersey Federal order.

<sup>3</sup> Official notice is taken of the Assistant Secretary's decision on proposed amendments to the New York-New Jersey order and certain other orders that was issued on October 5, 1970 (35 FR 15927).

<sup>4</sup> Official notice is taken of the Assistant Secretary's decision on proposed amendments to the New York-New Jersey order that was issued on June 9, 1959 (24 FR 4836).

<sup>5</sup> Official notice is taken of CURRENT POPULATION REPORTS, Population Estimates and Projections, Series P-25, No. 631 (issued July 1976), and Series P-26, No. 75-30 (issued October 1976), published by the Bureau of the Census, U.S. Department of Commerce.

costs, however, have greatly aggravated this problem for handlers.

The average farm-to-first plant hauling cost for bulk tank milk is now 30 cents per hundredweight. The maximum recovery of such costs for milk used in Class I is 10 cents, which must be negotiated with the producer or his cooperative. Until recently, relatively few deductions were being authorized. The supply plant transfer costs are now averaging 12 cents per hundredweight. These costs are borne by the metropolitan area handler who receives such milk. The only offsetting factor is the 5-cent direct delivery differential applicable to nearby direct-ship milk. The average cost of hauling milk between plants is 55 cents per hundredweight for a 200-mile distance. The order establishes a difference in Class I prices for this distance of only 24 cents. (These various costs will be described in greater detail at a later point in the decision.)

Metropolitan area handlers must either absorb these various costs not recoverable from producers through the order or pass them on to consumers. To the extent that competitive conditions permit, handlers can be expected to resort to the latter procedure. This point, however, leads to the crux of the entire issue.

Because of differences in their procurement arrangements, not all Order 2 handlers in the major metropolitan area experience the same raw milk costs. Such costs are substantially less for those handlers using nearby direct-ship milk than for those using supply plant milk. The handlers using direct-ship milk thus are in a favored position in competing for sales outlets.

On the basis of cost data presented at the hearing, a comparison of the average cost of Class I milk to metropolitan area handlers from various sources may be made. For this purpose, the costs are expressed in terms of the Class I differential plus other costs, rather than in terms of the Class I price (i.e., the basic formula price for the second preceding month plus the Class I differential) plus other costs. The exclusion of the basic formula price does not affect the validity of the cost comparisons (for either intramarket or intermarket purposes) since this cost factor is the same for all handlers.

Table 1 sets forth an example of the different procurement costs incurred by certain handlers. The average differential cost to a New York City handler for Class I bulk tank milk obtained directly from farms in the 51-60 mile zone is \$2.98 per hundredweight. This consists of the present Class I differential of \$2.58 that is applicable at the township pricing points in that zone, a 35-cent farm-to-plant hauling cost, and the 5-cent direct delivery differential that the handler must pay to the producers. By comparison, the average differential cost for Class I milk obtained by a city handler from a supply plant located in the 201-210 mile zone is \$3.37 per hundredweight. The cost make-up in this case includes a base zone Class I differential of \$2.40, a farm-to-first plant hauling cost

of 30 cents, a supply plant transfer cost of 12 cents, and a long-distance hauling cost of 55 cents. In either situation, the cost of such milk can be reduced by 10 cents per hundredweight if the maximum hauling deduction is authorized by producers.

TABLE 1.—Examples of Procurement Costs Incurred by New York City Handlers for Class I Bulk Tank Milk Obtained from Selected Sources (excluding basic formula price)

|                                       | [In dollars per hundredweight]   |                                   |
|---------------------------------------|----------------------------------|-----------------------------------|
|                                       | Direct-ship from 51-60 mile zone | Supply plant in 201-210 mile zone |
| Class I differential at zone..        | 2.58                             | 2.40                              |
| Farm-to-first plant hauling cost..... | .35                              | .30                               |
| Supply plant transfer cost.....       |                                  | .12                               |
| Over-the-road hauling cost.....       |                                  | .55                               |
| Direct delivery differential.....     | .05                              |                                   |
| Total cost.....                       | 2.98                             | 3.37                              |
| Authorized hauling deduction.....     | -.10                             | -.10                              |
| Net cost.....                         | 2.88                             | 3.27                              |

Handlers obtaining milk from locations in the market different from those shown in Table 1 are experiencing slightly different procurement costs. However, the examples portrayed in Table 1 are representative of the general cost situation being faced by city handlers.

Although this difference between the cost of direct-ship milk and supply plant milk supposedly would induce handlers to rely more on direct-ship milk, this generally has not been the case. This may be attributed to the fact that metropolitan area distributors must obtain substantial quantities of milk from distant production areas since nearby milk supplies are inadequate. It is not feasible in most cases to move milk directly from farms in the distant areas to metropolitan area plants without any reloading. Generally, the trucks that must be used for picking up bulk tank milk at the farms are too small for economical long-distance hauling. Also, haulers who assemble milk from farms are limited in the distance they can move the milk since their trucks must be available each day for picking up milk at farms. Since Order 2 handlers currently must pay most of the cost of assembling and transporting milk, it must be presumed that the handlers have been using the most economical procurement arrangements.

A comparable competitive problem exists for Order 2 handlers relative to Order 4 handlers in the Middle Atlantic market. A number of Order 4 handlers in the Philadelphia and southern New Jersey area distribute Class I milk in the northern New Jersey portion of the Order 2 market in competition with Order 2 metropolitan area handlers. The Order 4 Class I differential, which applies at the plant where the milk is first received, is \$2.78 at Philadelphia. Handlers in the Philadelphia and South Jersey area also must pay producers a direct

delivery differential of 6 cents. Thus, the differential cost of Class I milk under Order 4 for these handlers is \$2.84 per hundredweight.

From the data in Table 1, it may be seen that the Order 2 metropolitan area handler using supply plant milk is in a relatively poor competitive posture in terms of his raw milk costs. Assuming that the 10-cent negotiable hauling deduction is being made, his milk cost is averaging 43 cents per hundredweight greater than the cost of milk for the Order 4 handlers. The Order 2 handler using direct-ship milk is in a much better position relative to Order 4 handlers, with the Order 2 cost averaging only 4 cents per hundredweight more. However, it should be noted that in terms of the class prices applicable at the two cities, which are about 100 miles apart, the Class I price at New York City is 14 cents lower than the Class I price at Philadelphia. Thus, the 4-cent cost difference, although appearing to be reasonably satisfactory, does not properly reflect the full cost difference that has been intended under the present pricing provisions.

Because of their lower raw milk costs, Order 4 handlers are in a position to establish lower resale prices for fluid milk than their competitors in the Order 2 market, particularly those metropolitan area handlers using supply plant milk. Thus, as in the intramarket competitive situation described earlier, Order 2 handlers using supply plant milk are, in this situation also, seriously disadvantaged in competing for fluid milk sales. As noted earlier, about 80 percent of the Class I milk used by Order 2 metropolitan area handlers is received from supply plants.

Although the record provides no specific data regarding hauling costs in the Order 4 market, it may be presumed that the increased costs experienced by haulers of milk in the Order 2 market have been experienced in the Middle Atlantic market as well. The inflationary trend in the economy that is associated with such increases has been a national phenomenon. However, handlers in the two markets have been affected in significantly different ways by these cost increases. This is because of the differences in the pricing arrangements and procurement methods in the two markets.

In the Order 4 market, producer milk is priced at the location of the plant where the milk is first received. Since most of the milk is moved to city processing plants directly from farms, most producers receive the city price for their milk. Under this pricing arrangement, however, the cost of getting the milk to the city is borne by the producers. Any increases in hauling costs likewise are absorbed by producers. Handlers' procurement costs are not affected by the hauling costs.

In the Order 2 market, the current pricing arrangement and the extensive reliance on supply plants have caused the burden of increased hauling costs to fall largely on handlers. The higher hauling costs have been reflected not in lower re-

turns at the farm level, as in the case of the Order 4 market, but in higher costs for milk at the distribution center. As a result, Order 2 handlers in the metropolitan area are not able to compete on a comparable cost basis with handlers in the nearby Middle Atlantic market for Class I sales.

As already noted, there is substantial competition between Order 2 and Order 4 handlers for Class I sales in the North Jersey portion of the Order 2 market. This is a relatively heavily populated area located between New York City and Philadelphia. Total consumption of fluid milk products in this area in 1975 was estimated to be nearly 1.4 billion pounds, which was equivalent to 29 percent of the Order 2 Class I milk that year.

Data presented at the hearing indicate that handlers under other orders have substantially increased their Class I dispositions in North Jersey over the past several years. In 1969, such sales amounted to 194 million pounds. A preliminary estimate of such sales in 1975 was 349 million pounds, an increase of 79 percent. In 1975, such dispositions of fluid milk products by other order handlers comprised 25 percent of the estimated fluid milk consumption in North Jersey. This was up from 13 percent in 1969. It should be noted that total consumption in this area declined by 7.5 percent during this 1969-1975 period. Although the record does not indicate how many other orders were involved in these intermarket sales, data for 1973, 1974 and 1975 indicate that most of the other order sales were by Order 4 handlers. Because North Jersey adjoins the Middle Atlantic marketing area, it is reasonable to presume that most of the other order sales in this area in earlier years also were by such handlers.

This major shift of Class I sales in North Jersey from Order 2 handlers to Order 4 handlers cannot be attributed entirely to the rather significant advantage that Order 4 handlers have on their raw milk costs. Nevertheless, it is reasonable to conclude that this was a major contributing factor in the changing sales pattern for this area.

Although the increased hauling costs have been absorbed by handlers rather than producers, Order 2 producers nevertheless are having their returns reduced as a result of the shift in Class I sales in North Jersey to Order 4 handlers. This is because the lost sales mean less milk being used in Class I, which in turn results in lower blend prices.

The discussion on the need for order changes has been limited thus far to the impact of increased hauling costs on the cost of Class I milk. Because of the order's pricing system, the competitive position of those handlers processing Class II milk also is affected by the higher transportation costs. Although much less attention was focused on this issue at the hearing, handlers of Class II milk are always very much concerned that their cost of raw milk be comparable with that of competing processors. This is particularly important for processors of butter, nonfat dry milk and hard cheese. Such

products are sold in a national market and the prices of these products are fairly uniform across the country. Thus, processors have little opportunity to pass on to the buyers of these products any procurement costs not generally experienced by all Class II processors.

Since most of the Class II milk is processed at country plants, the basic concern of handlers is the cost of moving Class II milk from the farms to these plants. Such cost is now averaging 30 cents per hundredweight. The order permits a maximum recovery from producers of 20 cents. As indicated, handlers may negotiate hauling deductions of up to 10 cents per hundredweight on all bulk tank milk. In addition, handlers receive a 10-cent transportation credit from the pool on all receipts of bulk tank milk used in Class II. Based on average costs, Class II processors thus must absorb at least 10 cents of the farm-to-plant hauling costs.

In conclusion, the record amply demonstrates that the pricing provisions of the order are not in harmony with the current market situation. Such provisions do not reflect the differences in value that milk currently has to handlers at various locations and under various handling arrangements. The value that the order attaches to milk affects, of course, the degree to which Order 2 handlers are able to compete effectively with each other and with handlers regulated under other orders. Thus, revision of the Order 2 pricing provisions is essential if orderly and stable marketing conditions for handlers and producers in the New York-New Jersey market are to exist.

A cooperative association urged in its brief that a decision on the proposals under consideration be delayed to permit further study of the existing marketing problems. The cooperative's position was that the only guaranteed result of the proposals would be a reduction in the prices that producers receive for their milk. This would be adverse to producers, it was claimed, in view of spiraling milk production costs.

A similar delay was requested in its brief by a County Board of Agriculture, which expressed opposition to the proposals "until such time that further study and better proposals (may) be made so that the farmers' blend price is not lowered to subsidize the cost of milk handling" by cooperatives. It was also claimed that the hearing was called without farmers having adequate time to determine the effect of the proposals.

A decision in this proceeding should not be delayed pending further study of the issues and the development of other proposals. The record indicates that there are significant marketing problems that require amendments to the order. The record provides an adequate basis for the proposed amendments adopted herein and any further delay in their implementation would not carry out the purposes of the Act.

c. *Order modifications.* As outlined earlier, hearing witnesses supported two basic approaches to resolving the com-

petitive problems of Order 2 handlers. One is the continuation of farm point pricing plus a number of changes in the order's transportation allowances. The other approach is the replacement of farm point pricing with plant pricing and a revision of the transportation differentials.

Farm point pricing has been used as the basis for pricing milk in the New York-New Jersey market since 1961. In the absence of a compelling need for the use of plant pricing, it appears reasonable to recognize the broad support by producers for farm point pricing. As noted, support for plant pricing was limited to several proprietary handlers. Therefore, it is concluded that milk received from producers should continue to be priced under the order at the township location of their farms.

The continued use of farm point pricing must be accompanied, however, with major changes in the order's pricing provisions if the present disparity in procurement costs for various metropolitan area handlers is to be minimized. In the Order 2 market, the recent increases in assembly and transportation costs have not been passed back to producers as in the Middle Atlantic market where milk is priced at the plant of first receipt. Thus, relative to the milk received by Order 4 handlers, the milk of Order 2 producers is over-priced in terms of its value to Order 2 handlers in the market's major metropolitan area. Also, within the Order 2 market, procurement costs for supply plant milk have increased much more than for milk received directly from farms. Such increases have been borne by the metropolitan area handlers.

Thus, relative to the direct-ship milk, supply plant milk is over-priced in terms of its value to Order 2 metropolitan area handlers. Accordingly, in recognition of both situations, the price of milk throughout the Order 2 milkshed should be reduced to reflect the decline in the value of such milk to handlers in the metropolitan area. The order changes considered necessary to implement this concept are discussed under the following subheadings.

*Pool transportation credit and tank truck service charge.* The current 10-cent per hundredweight pool transportation credit to handlers of Class II bulk tank milk should be replaced with a 15-cent pool credit on all farm bulk tank milk received by handlers. In addition, handlers should be permitted to negotiate with producers or their cooperatives a tank truck charge of up to 15 cents per hundredweight, rather than 10 cents as at present, with respect to any farm-to-first plant hauling costs not recovered through the pool transportation credit.

Under farm point pricing, handlers must have an opportunity to recover the farm-to-first plant hauling costs for bulk tank milk if they are to be competitive with handlers in other markets on their sales of Class I and Class II products. Presently, the recovery of such costs is limited to the 10-cent negotiable haul-

ing deduction, plus or minus whatever difference there is between the class prices applicable at the farm (township) pricing point and the plant. In the case of Class II milk, handlers recover an additional 10 cents per hundredweight through a pool credit. Although these provisions were intended to provide handlers with sufficient flexibility in pricing to recover farm-to-first plant assembly and hauling costs, the record indicates that under current conditions handlers are not able to do so.

One of the proponent cooperatives presented data showing the average costs incurred by the cooperative in hauling bulk milk from members' farms to different types of plants during the 12-month period of November 1974 through October 1975. Since the major portion of this milk was hauled by contract haulers, the data reflect actual hauling charges paid by the cooperative to haulers. About 2.5 billion pounds of milk were hauled, which represented 27 percent of the total producer milk on the market during that period.

For the 12-month period, the cooperative's average cost for picking up farm bulk tank milk and delivering it to 7 country reload stations was 26 cents per hundredweight. The average cost for deliveries to the cooperative's 5 manufacturing plants was 29 cents. The average hauling cost for milk moved directly from farms to 18 distributing plants of other handlers was 33 cents, while the cost for similar deliveries to 20 manufacturing plants of other handlers averaged 28 cents. The 12-month weighted average cost of hauling milk to all such plants was 29 cents. For the last four months of this 12-month period, the average monthly costs ranged from 29 to 31 cents per hundredweight.

Several proprietary handlers also presented data on farm-to-plant hauling costs. Such costs ranged from 22 cents to 70 cents per hundredweight, with most falling between 25 cents and 33 cents. These data reflect similar ranges of hauling costs for both handlers and the proponent cooperative.

It is readily apparent that under the present order provisions handlers are not able to recover all of their farm-to-first plant hauling costs. Although such costs vary among handlers, it is reasonable to conclude that such costs average, as proponents contend, about 30 cents per hundredweight. As indicated, the recovery of hauling costs is limited under the order essentially to 10 cents on Class I milk and 20 cents on Class II milk. Relatively limited amounts may be recovered through the transportation differentials if the milk is moved from farms in one price zone to plants in a higher price zone.

The order provisions should reflect the costs that handlers incur in assembling milk from farms and transporting it to the plant of first receipt. Accordingly, the cooperatives' proposed 15-cent pool credit on all receipts of farm bulk tank milk should be adopted. As noted, a pool credit is now applicable to bulk tank milk used in Class II. The application of this pro-

cedure to all bulk tank milk would merely extend a method for recovering hauling costs that appears to be operating satisfactorily in this market. This revised procedure will tend to place handlers in a more comparable competitive position relative to both their intramarket and intermarket sales.

The record does not indicate that a pool credit of 15 cents per hundredweight will result in handlers being over-compensated for hauling costs that they incur. Minimum farm-to-first plant hauling costs were described as being at least this amount. The hauling cost data referred to earlier indicate that charges to the cooperative by contract haulers in October 1975, a month for which detailed data were presented, were not less than 17 cents per hundredweight. There may be an occasion, of course, where a handler, in order to hold his milk supply, may not wish to pass his hauling costs back to his producers. Although the pool credit would still apply, there would be nothing to preclude a handler from making off-setting over-order payments to his producers.

As envisioned by the proponent producer groups, the transportation credit on bulk tank milk would be applied in such a way that it would result in a lower blend price not only for bulk tank shippers but for can shippers as well. Although the present pool credit on Class II bulk tank milk is applied in this manner, this procedure should not be used under the pricing changes adopted herein. Can shippers, whose milk is priced at the plant to which it is delivered, normally pay the farm-to-plant hauling costs themselves. When their blend price is reduced because of the pool transportation credit, they in effect are also paying a portion of the farm-to-plant hauling costs on bulk tank milk as well. Since only about 3 percent of the Order 2 producer milk is now shipped in cans, about 14.5 cents of the 15-cent transportation credit would, under the cooperatives' proposal, be passed back to bulk tank shippers through the lower blend price. Nevertheless, the can shippers would experience the same 14.5-cent blend price reduction. Although the record reflects a view that can shippers should subsidize the cost of getting bulk tank milk from farms to plants, the record does not provide a reasonable basis for this position.

Most handlers will incur farm-to-first plant hauling costs in excess of the 15 cents that they would recover through the transportation credit on bulk tank milk. As indicated, the average cost is 30 cents per hundredweight. Handlers need to have the opportunity to recover at least some of these additional costs if the milk is to be competitive in the marketplace. Thus, they should be permitted to negotiate with a producer or his cooperative a tank truck service charge of up to 15 cents per hundredweight with respect to any farm-to-plant hauling costs not recovered through the 15-cent pool credit.

Numerous factors affect the cost of farm-to-plant hauling. These include the distance involved, terrain, types and condition of roads, weight limits on

roads and bridges, volume of milk picked up at each farm, and size of tank truck used (often a function of the other factors). Thus, it is in the interest of both handlers and producers that the order provide some flexibility in pricing arrangements that reflects the varying conditions that affect the cost of collecting and hauling milk from farms to plants. Because of the relatively higher assembly costs involved, small producers, or those in somewhat isolated locations, for example, may find it necessary to authorize hauling deductions in order to be assured of continued tank truck service. Others may find handlers willing to absorb some of the farm-to-plant hauling in order to maintain conveniently located sources of supply. Such flexibility is provided in the present order provisions and should be continued.

The negotiable hauling deduction should be limited to 15 cents per hundredweight rather than 25 cents as proposed by cooperatives. In some cases, of course, farm-to-plant hauling costs will exceed the 30 cents that handlers would be permitted to recover through the pool credit and negotiable hauling deduction. However, the imposed limit recognizes that handlers can recover part of the hauling cost through the difference in zone prices when milk is procured from locations more distant from the city than their plant. Also, the limit will tend to encourage handlers to move milk as efficiently as possible.

A brief from certain handlers requested the elimination of the order provision that allows a cooperative to authorize hauling deductions on behalf of its members. The handlers contended that the intent of the hearing proposals is to provide more comparable procurement costs among competing handlers, which would be achieved in part by permitting handlers to negotiate with producers to recover a portion of the hauling cost. The handlers indicated that this goal would not necessarily be achieved if cooperatives can act on behalf of their members and thus, as an organization, preclude the recovery of hauling costs by handlers that producers acting individually might be willing to authorize.

This request, although related to the issues under consideration, goes somewhat beyond the scope of the evidence presented on the basic issues. Any order change of this nature should be based on testimony directly related to this point. Accordingly, the request is denied.

*Transportation differentials and Class I price differential.* The transportation differential rate of 1.2 cents per hundredweight for each 10 miles that is now used to adjust the Class I and uniform prices for location should be increased to 1.5 cents for each 10-mile zone more distant than the 201-210 mile zone and to 1.8 cents for each 10-mile zone less distant than the 201-210 mile zone. Also, the present Class I differential of \$2.40 that is applicable at the 201-210 mile zone should be reduced to \$2.25.

The combination of the pool transportation credit and tank truck service charge in itself will not place metropolitan area handlers in a comparable posi-



tion with Order 4 handlers relative to their cost of Class I milk. As indicated, most of the milk in the Middle Atlantic market moves directly from farms to the city distributing plants, with producers paying the hauling costs. In the Order 2 market, about 80 percent of the milk received by the metropolitan area handlers for fluid use moves through supply plants. The costs of transferring this milk at supply plants and hauling it to the metropolitan area are borne by the distributors. Thus, comparable procurement costs for Order 2 and Order 4 handlers are possible only if the differences between the Class I prices at supply plants and at the distributing plants reflect the full cost of obtaining supply plant milk. Presently, such price differences under the order fall considerably short of this.

The proponent cooperative that presented data on farm-to-plant hauling costs also submitted data regarding its cost experience for 7 country reload stations which it operated. During the 12-month period of November 1974 through October 1975, over 597 million pounds of farm bulk tank milk were received at these stations and reloaded onto over-the-road tankers for shipment to other plants. No milk was received in cans, nor was there any milk processing at such facilities. The average operating costs at the individual plants ranged from 8.5 cents (84.6 million pounds of receipts) to 21 cents (60.3 million pounds) for this period. For all plants, the weighted average cost was 12 cents per hundredweight. Thus, on this basis, it is presumed that metropolitan area handlers must pay an average of 12 cents per hundredweight on supply plant milk to cover the cost of operating the transfer facilities.

The same cooperative also presented data regarding long-distance hauling rates that were applicable to interplant movements of milk. The hauling rates were those being charged by a major commercial hauler for transporting milk from 14 country plants to distributing plants in Queens, Nassau, Suffolk and Westchester Counties in New York State. The country plant locations varied from the 151-160 mile zone to the 391-400 mile zone. The rates were applicable to minimum loads of 49,000 pounds of milk. The cooperative's witness indicated that from time to time the cooperative had used the hauler's services for moving milk to the metropolitan area from these various country locations. Also, the witness indicated that the cooperative applied the same rates to milk which it moved in its own trucks.

An analysis of the hauling data indicates that "fixed" costs associated with long-distance shipments are 19 cents per hundredweight. The rates reflect a "variable" cost of 1.8 cents per hundredweight per 10 miles, or 36 cents for 200 miles. Thus, the total transportation cost for 200-mile shipments from the 201-210 mile zone to distributing plants in the major metropolitan area, for example, would be 55 cents per hundredweight (19 cents plus 36 cents).

A spokesman for several cooperative associations maintained at the hearing and in their brief that no change should be made in the transportation differential rate on the basis of data presented in this proceeding. The witness claimed that the hauling rates submitted as evidence were not representative of actual rates paid by most handlers in this market. However, the witness did not provide any detailed hauling cost data to substantiate his position. Several handler witnesses testified that the hauling cost data appeared to be reasonable. Since these were the only comprehensive hauling cost data presented at the hearing, they must be viewed as the best evidence available in considering whether a change in the transportation differentials is needed.

In view of the variable cost reflected in the hauling rates being charged for long-distance milk shipments, the present 1.2-cent transportation differential rate should be increased. For those zones less distant from the city than the 201-210 mile base zone, the rate should be increased to 1.8 cents, which is the full variable cost. For the more distant zones, the rate should be limited to 1.5 cents, which is the rate commonly used under other Federal orders.

Historically, the Upper Midwest has been the major source of supplemental milk supplies for many of the markets throughout the United States. To reflect the variable cost of moving such milk to distant markets, Class I prices in Federal order markets gradually increase the more distant the markets are from the Upper Midwest. Such gradation of prices may be noted, for example, as one moves from the Upper Midwest to markets (including the Order 2 market) in the northeastern part of the country. The gradation of prices reflects to a large degree a transportation rate of 1.5 cents per hundredweight per 10 miles. The adoption of this rate for at least the outer portion of the Order 2 milkshed would tend to provide a further coordination of Class I prices on a geographical basis.

With respect to the inner zones of the Order 2 market, however, a 1.8-cent transportation rate should be established in recognition of the pricing problems that are peculiar to this market and which are of particular concern in this proceeding. As indicated, the basic thrust of the proposals under consideration is the establishment of a Class I price structure under the order that will permit milk distributors in the major metropolitan area of the market to be competitive with distributors in the nearby Middle Atlantic market. Much of the milk obtained by these Order 2 handlers originates in those production areas between New York City and the 201-210 mile zone, the base price zone for the market. For this milk to be competitive with Order 4 milk, the cost of transporting such milk to the market outlets must be adequately reflected in the Order 2 price structure. As noted, the variable cost for hauling milk in this market is 1.8 cents per 10 miles. Refec-

tion of this full amount in the order's transportation differentials for the inner pricing zones is needed in conjunction with other changes in the order's pricing provisions to overcome the severe competitive problems being experienced by certain Order 2 handlers.

Because of the geographic location of the area in which the 1.8-cent transportation differential rate would apply, the use of this rate, versus the 1.5-cent rate, should not result in problems of price alignment between markets, as might be the case if this rate were adopted for other markets. Only two markets are adjacent to the inner zones of the Order 2 market—Middle Atlantic and New England. As indicated, the 1.8-cent rate is being adopted to provide a better alignment of Class I prices between the Middle Atlantic and New York-New Jersey markets. In the New England market, the applicable location adjustments for the inner zones of that market are in effect providing handlers a transportation rate on supply plant milk of 2 cents per 10 miles. Since there are no other markets further east of the Order 2 market, this eliminates any concern for price coordination in that direction.

Increasing the transportation differential rate of 1.8 cents will not in itself permit metropolitan area handlers to recover all of the procurement costs associated with supply plant milk. As indicated, there are the fixed costs for long-distance shipments, which are now 19 cents per hundredweight. Also, there is the cost of transferring milk at supply plants, which is now averaging 12 cents per hundredweight. Historically, these additional costs have not been reflected in the order's price structure. Nevertheless, they have a direct bearing on the metropolitan area handler's ability to be competitive in terms of his Class I procurement costs with handlers in the Middle Atlantic market, as well as Order 2 handlers receiving direct-ship milk, who are not experiencing such costs. It is necessary, then, that recognition be given to these costs. This should be done by lowering the Class I price differential at the 201-210 mile zone from \$2.40 to \$2.25.

The Class I price structure and transportation allowances under Order 2 should be such that the average cost of Class I milk to metropolitan area handlers does not exceed the approximate cost of Class I milk sold in the Order 2 North Jersey area by handlers under the Middle Atlantic order. As noted earlier, distributors in the Philadelphia-South Jersey area must pay a Class I differential of \$2.78 plus a 6-cent direct delivery differential on milk received directly from producers' farms, or a total of \$2.84. In addition, there is the cost of moving packaged products from Order 4 plants into the North Jersey area, although this is limited in some cases since there is relatively little distance between a number of Order 2 distributing plants in North Jersey and several Order 4 plants in South Jersey and southeastern Pennsylvania. In fact, one Order 4 distributing plant is located at Flemington,

New Jersey, which is in the Order 2 marketing area and only a few miles from several Order 2 plants.<sup>6</sup> While it might be reasonable to use the Order 4 differential cost of \$2.84 for intermarket price comparisons, it is concluded that this amount should be increased to \$2.92 to reflect some additional costs that Order 4 handlers would experience in moving packaged milk into the North Jersey area.

Unless the base zone Class I differential is lowered, the cost of supply plant milk to Order 2 handlers in the major metropolitan area will continue to exceed the cost of Order 4 milk. If the base zone differential were maintained at \$2.40, the transportation differential rate of 1.8 cents per 10 miles would result in a Class I differential at the 1-10 mile zone of \$2.76. To this must be added the fixed hauling cost of 19 cents and the supply plant transfer cost of 12 cents, which totals \$3.07. This is 15 cents higher than the approximate Order 4 differential cost of \$2.92 against which Order 2 handlers are generally competing.

It might be argued by producers who obviously will be concerned about the impact of such a price reduction on their returns that the estimated Order 4 cost of \$2.92 is too low and that a lesser reduction in the Order 2 Class I differential is needed to overcome the competitive problem at issue. On the other hand, handlers could argue that the present 14-cent difference in Class I prices at New York City and Philadelphia (in favor of Order 2 handlers) should be reflected in the new price structure. To have supply plant milk obtained from the 201-210 mile base zone cost no more to handlers than the present \$2.84 Class I differential at New York City, the base zone differential would have to be reduced to \$1.97. A price reduction of this magnitude would have, of course, a severe impact on the returns to Order 2 producers. It is concluded that although the 15-cent reduction adopted herein will not maintain the present intermarket price relationship reflected in the present Order 2 and Order 4 Class I pricing provisions, it will permit Order 2 handlers to be competitive with Order 4 handlers in the North Jersey area. At the same time, the 15-cent reduction can be considered as having only a moderate impact on producers relative to the seriousness of the loss of Class I sales that is the central theme of this proceeding.

Rather than using a Class I price reduction as a means for the recovery of transportation costs by handlers the proponent producer groups urged that a "metropolitan transfer credit" be adopted. Under their proposal, handlers would receive a 25-cent per hundredweight credit on receipts of Class I milk originating beyond the 1-140 mile zone and transferred in bulk, either directly from farms or from plants, to plants lo-

cated within the 1-65 mile zone. The credit would be limited to 19 cents if the transportation differential rate were set at 1.8 cents per 10 miles rather than at 1.5 cents, the rate on which the proposed 25-cent credit was based. The intent of the credit was to enable metropolitan area handlers to recover the "fixed" hauling costs and any "variable" hauling costs not reflected in the transportation differential rate.

The metropolitan transfer credit was proposed in recognition of the fact that the order pricing does not reflect the current differences in value that milk has to handlers at various locations. Because of the increased transportation costs, the value of milk in the more distant production areas of the milkshed relative to its value at the major consumption center is less now than previously. Although the metropolitan transfer credit would reflect this decrease in value of distant milk in prices paid by handlers, the order prices payable to the distant producers would not be reduced to the same extent. This is because the credit to handlers, through the mechanism of pooling, would result in all producers in the market, and not just the distant producers, sharing equally the cost of moving distant milk supplies to the metropolitan area. Thus, the returns to the distant producers would not be lowered as much as is warranted by the actual difference in location values. The Class I price reduction, on the other hand, would impact fully on the distant producers. Accordingly, this is the preferable means for reflecting the lower value of distant milk in the returns of distant producers.

**Direct delivery differential.** A direct delivery differential of 15 cents per hundredweight should be paid to all producers whose farms are located in the 1-70 mile zone.

Under the Class I price structure adopted herein, the Class I differential at the 1-10 mile zone would be \$2.61. Handlers in the 1-10 mile zone who receive bulk tank milk directly from farms should be able under the provisions adopted herein to obtain such milk at not more than this differential cost. If the cost of hauling such milk from the 51-60 mile zone, for example, were 35 cents, as the record suggests, the handler would recover 15 cents of this cost through the pool transportation credit. Another 9 cents would be recovered through the transportation differential. The remaining 11 cents of the 35-cent cost could be recovered by negotiating a hauling deduction with the producer or his cooperative. Similar cost portrayals could be set forth for other near-in zones from which direct-ship milk is received by city handlers. However, it is reasonable to presume under these assumptions that the cost of such milk at plants in the 1-10 mile zone would approximate the \$2.61 differential cost.

Under the adopted pricing arrangement, the differential cost of supply plant milk at city plants would average \$2.92 per hundredweight. This would mean a 31-cent difference between the \$2.92 cost of supply plant milk and the

\$2.61 cost of direct-ship milk at the city. The 31-cent difference represents the 19-cent fixed hauling cost on long-distance shipments and the 12-cent supply plant transfer cost. This difference suggests that direct-ship milk would be worth up to 31 cents per hundredweight more to city handlers than the adopted city Class I differential of \$2.61. Accordingly, the present 5-cent direct delivery differential would not adequately reflect the additional value that direct-ship milk from nearby areas would have to city handlers under the new price structure relative to alternative milk supplies from distant supply plants.

The economic value of milk that metropolitan area handlers receive directly from producers is determined in part by the cost of alternative supplies. Only relatively limited quantities of milk are available to metropolitan area handlers on a direct-ship basis. Most of the Class I milk needed by such handlers must be obtained from distant supply plants. The procurement costs for such milk, however, are substantially higher than for nearby direct-ship milk, which enhances the value of the direct-ship milk. By making their milk available to the metropolitan area handlers, the nearby direct-ship producers are providing these handlers with an economic service of benefit to the handlers, since the handlers otherwise would have to incur the additional procurement costs associated with alternative milk supplies from supply plants. The direct-ship producers should be compensated for this service.

Although the direct-ship milk may have greater value to metropolitan area handlers, the increase in the present direct delivery differential should be limited to 15 cents per hundredweight for two basic reasons. First, the direct delivery differential would apply, as now, to all direct-ship milk used in Class II as well as to that used in Class I. Through the transportation differentials for Class II milk, the order already fixes for the Class II price at plants in the 1-10 mile zone 8 cents higher than at the 201-210 mile zone. With the 15-cent direct delivery differential, the cost of Class II milk at New York City would be 23 cents per hundredweight over the base zone Class II price. Actually, relatively little milk is used in Class II at the city distributing plants. Nevertheless, in increasing the direct delivery differential, its impact on a handler's Class II milk cost should be recognized.

A second consideration is the general tendency for handlers not to negotiate any hauling deductions with producers located in those production areas near the major metropolitan area. There has been a gradual shift of producers in these areas from the Order 2 market to the nearby New England and Middle Atlantic Federal order markets. To hold their local supplies, Order 2 handlers have been reluctant to seek authorized hauling deductions from the near-in producers. Because the blend prices in these neighboring markets can be expected to remain attractive to such producers, it is questionable whether handlers will negotiate any hauling de-

<sup>6</sup> Official notice is taken of the list of pool handlers regulated under the Middle Atlantic order in December 1975, which was released to the industry on January 13, 1976, by the Market Administrator of that order.

ductions on direct-ship milk. This, of course, would offset some of the cost advantage that direct-ship milk might otherwise have relative to supply plant milk.

The direct delivery differential should continue to be applicable only to producers located in the 1-70 mile zone, rather than to producers in the 1-110 mile zone as was proposed. In March 1975, the only month for which such data are available in the record, all of the Class I producer milk originating on farms in the 1-70 mile zone was direct-shipped to plants located in this zone. With respect to the more distant zones, at least some of the Class I milk originating in these zones and moved to metropolitan area plants was reloaded. For the 71-80 mile zone, the amount was very limited—only 1 percent of the total Class I milk originating in that zone. With respect to the 81-90, 91-100, and 101-110 mile zones, however, the amounts of Class I milk reloaded for shipment to plants in the 1-70 mile zone ranged from 27 to 29 percent of the total Class I milk originating in each of these zones.

Under the current handling arrangements, an extension of the direct delivery differential zone would mean that the differential would be applicable not only to direct-ship milk, as intended, but also to milk that is reloaded before being delivered to metropolitan area plants. A handler could not afford to pay both the supply plant transfer cost and the direct delivery differential on the same milk. As long as the direct delivery differential is applied on the basis of where a producer's farm is located rather than on the basis of the milk actually being delivered directly from the farm to the plant, it is not feasible to extend the application of the differential as was proposed.

*Upstate fluid differential.* A proposed "upstate fluid differential" of 10 cents per hundredweight to be applicable to Class I milk received at distributing plants located outside the 1-65 mile zone from farms beyond the 1-110 mile zone should not be adopted.

This proposal was intended to provide a certain cost relationship between distributing plants in upstate New York and distributing plants in the major metropolitan area for milk received at these plants for Class I use. It was pointed out that most upstate distributing plants receive milk directly from farms, thereby avoiding reloading costs that are incurred by the metropolitan area handlers who receive milk from supply plants. Proponents contended that the additional 10-cent charge to the upstate handlers would tend to equalize procurement costs among competing handlers. The extra 10 cents charged such upstate handlers would be pooled, which would result in a slightly higher uniform price to all producers in the market.

Although the thrust of the order changes adopted herein is to more nearly equate the procurement costs of competing handlers, the proposed 10-cent charge would go beyond what is necessary to meet the competitive problems

portrayed on this record. Moreover, the proposal gives no consideration to the present adequacy of milk supplies at distributing plants in upstate New York. Although the Class I price would be lowered throughout the milkshed under the proposals adopted herein, there is no indication that any offsetting increase in the Class I price at such plants is necessary to attract adequate milk supplies to these plants for fluid use.

*2. Changes in certain classification provisions.* Cooperatives and proprietary handlers proposed several changes in the provisions that set forth the manner in which milk shall be classified. The specific changes are discussed under the following subheadings.

*a. Classification of milk moved between Order 2 pool plants and plants regulated under an order administered solely by the State of New York.* A proposal to classify transfers of milk between an Order 2 pool plant and a plant regulated under a New York State order in the same manner as transfers between an Order 2 plant and a plant under another Federal order should not be adopted.

A handler organization proposed that milk moved between a plant regulated under the New York-New Jersey order and a plant regulated under a New York State order (other than the State counterpart of Federal Order 2) be accorded the same regulatory treatment now provided under Order 2 for milk moving between federally-regulated markets. Thus, the classification of milk transferred by an Order 2 handler to a plant regulated under a State order would be determined by the classification established for such milk under the State regulations. Packaged milk that is classified in Class I as defined under the State regulations could be transferred to an Order 2 plant without the receiving handler incurring any obligation under Order 2 on such milk. Receipts of bulk milk at the Order 2 plant would be classified pro rata to the plant's utilization unless a Class II classification were requested by both plant operators.

The witness for the proponent handlers indicated that the proposal was prompted by the experience of an Order 2 handler who also operates a plant in Rochester, New York, that is regulated under a separate State order. According to the witness, the Rochester plant has both a fluid milk operation and a manufacturing operation. Bulk milk pooled under Order 2 is moved to the Rochester plant for manufacturing. At the same time, the handler moves a very limited quantity of packaged fluid milk products from the Rochester plant to an Order 2 pool plant for the latter plant's employees.

The witness indicated that the State regulations require that fluid milk sales in the marketing area defined by the State order be assigned first to milk of qualified dairy farmers who supply the fluid needs of that market. He stated that under the terms of Order 2, however, bulk milk transfers to the Rochester plant, although intended for manu-

facturing, are assigned to both Class I and Class II. This, he pointed out, results in the handler being charged twice for a portion of his fluid milk sales, i.e., once under the State order and again under the Federal order. The witness further stated that the packaged milk moved from the Rochester plant to the Order 2 plant is Class I under the State order but is prorated to Class I and Class II under the provisions of Order 2. He contended that the Federal order thus imposes a penalty on milk moved between plants regulated under a separate New York State order and plants regulated under Federal Order 2.

Although there was no opposition testimony concerning the proposal, its adoption was opposed in a brief submitted by two cooperatives. They expressed the view that the proposed change could result in a surplus classification for Order 2 milk that actually was shipped to a State regulated plant for fluid use. The cooperatives suggested that a more appropriate solution would be the amendment of the State order if some relief is considered necessary.

According to the same regulatory treatment for milk movements between the Order 2 market and a State order market as is provided for milk movements between federally-regulated markets would imply that the State order is comparable with Order 2 in its regulatory effectiveness. This is not the case. Not only are the provisions of the State orders significantly different from those of Order 2 but the State orders cannot be effective in pricing milk received from out-of-state sources, since the State of New York has no pricing authority relative to such milk. Regulation of plants under the New York statutes therefore provides no assurance that the classification and pricing of milk received at such plants are compatible with the provisions of the New York-New Jersey order.

*b. Classification of bulk milk received from other order plants.* Bulk milk received at an Order 2 pool plant from a plant regulated under another Federal order, if not classified as Class II milk by agreement, should be classified pro rata to the marketwide utilization of producer milk or the handler's total utilization, whichever results in the greater Class II classification. The classification of such receipts should be limited however, so that the quantity of milk assigned to Class II is not greater than the receiving handler's Class II use.

Such receipts are now classified pro rata to the utilization in each class at the plant where the milk was received.

The classification procedure adopted herein for receipts of other order milk was proposed by a number of cooperatives, although they urged that certain modifications be included. Certain cooperatives proposed that when prorating the other order milk the utilization of the receiving plant, rather than the receiving handler's total utilization in his system, be used whenever this would result in more of the milk being assigned to Class II. The other cooperatives suggested that the allocation of other order bulk milk

to the receiving handler's utilization be carried out at an earlier point in the allocation procedure.

In supporting the proposals, spokesmen for proponents pointed out that other order bulk milk received at a Class I distributing plant operated by a multiple-plant handler is now assigned primarily to Class I, even if the handler's other pool plants are mainly Class II operations. This procedure, they asserted, gives the other order milk a priority claim on the handler's Class I use and results in more milk from local producers being assigned to Class II. According to the spokesmen, the intent of the proposals is to encourage handlers to use pool milk for fluid use and to minimize any displacement of local milk in Class I with other order milk. Witnesses contended, however, that the proposals would not prevent or impede interorder milk movements when additional milk is needed for fluid use. They also pointed out that the proposed classification conforms with the procedure followed under virtually all other Federal orders.

Receipts of other order bulk milk not assigned to Class II use by agreement presently are assigned pro rata to the utilization in each class at the Order 2 plant where the milk is received. Thus, in the case of a handler who, for example, operates a pool distributing plant and a pool plant at which the utilization is largely Class II, receipts of other order bulk milk at the distributing plant are assigned largely to Class I. At the same time, pool milk received from local producers at the handler's other pool plant is assigned largely to Class II. Although the handler's total operation utilizes milk from both local and other order producers, the economic burden of the handler's lower-valued reserve milk supplies is borne by the local producers. Thus, an equitable sharing of Class I and Class II utilization among the handler's supply sources is not achieved.

The provisions for dealing with interorder bulk shipments should be ones which assign a proportion of the Class I and Class II utilization of the receiving handler between the local producers and the other order producers in a way that accords recognition to each group's interest in, and contribution to, such utilization. As provided under other orders, interorder bulk milk shipments should share in the utilization of Order 2 handlers basically to the same extent that Order 2 producers share in the market's Class I and Class II utilization. Accordingly, the classification of bulk milk received from other order plants by handlers receiving both local and other order supplies should be determined by assigning the marketwide utilization to such milk, unless a Class II classification is requested. This would mean that when a handler calls upon another order market to furnish a part of his total supply his local producer milk would not be assigned the lower surplus return until the milk from the shipping market has been assigned a Class II utilization equal to

the marketwide utilization of Class II milk in the receiving market.

The limit placed on the quantity of other order milk assigned to Class II (i.e., the actual quantity utilized as Class II by the receiving handler) assures that the order provisions themselves will not assess the handler an additional cost related to the assignment to Class II of milk in excess of a handler's own utilization in that class. It assures also that producers in the shipping market will have their milk classified as Class II milk in no greater quantity than that actually utilized by the handler to whom the milk was transferred.

The order should not provide for marketwide proration of bulk milk received from another order plant when the receiving handler has a greater proportion of milk in Class II than the average in the receiving market. Instead, such milk should be classified pro rata to the handler's total utilization in each class. Marketwide proration of receipts of milk from other markets is designed to deal primarily with milk received by a handler who is supplementing his local supply for Class I use. In the case of a handler with a higher proportion of milk in Class II than the market average, marketwide proration would tend to encourage unduly and uneconomically the importation of milk because it would assign a disproportionate share of the milk of local producers to Class II.

Other order milk may be imported by a handler who operates just a single plant. In such cases, the milk would be assigned to the particular plant's utilization within the limits described above. In other cases, however, the milk may be received at the distributing plant of a multiple-plant handler. The Class I utilization at such plant is likely to be higher than the average Class I utilization at all of his pool plants. It is possible that the handler would have supplies at his other pool plants which would be in lower-priced uses than the milk brought in from other regulated markets. If the milk imported by a multiple-plant handler is assigned in this case only to the receiving plant's utilization, such assignment could reduce the handler's percentage of producer milk in Class I in his system to a greater extent than if the assignment were applied over the whole system.

Assigning bulk receipts from other order plants to the handler's system utilization will prevent a handler with more than one pool plant from discriminating against either his own producers or those supplying the other Federal order market by importing milk not filling a bona fide need for Class I use. The order should provide, therefore, that receipts of interorder bulk milk be prorated to the combined utilization of milk at all of the handler's pool plants in the receiving market.

At the hearing, producer groups proposed that the utilization of the receiving plant be used in those cases where this would result in a greater Class II classification of the other order milk than if the utilization of the handler's

system were used. Their spokesman indicated that this procedure would generally preclude such milk from sharing in the receiving handler's Class I utilization at other plants when the milk is received at the handler's manufacturing plant for Class II use but a Class II classification is not requested by the receiving and shipping handlers.

This procedure should not be adopted. The order provides that a Class II classification may be requested by the two handlers involved when bulk milk is shipped from one market to another for surplus disposal. This arrangement facilitates the disposal of surplus milk through outlets in another market without disrupting intermarket blend price relationships. It is expected that when milk is received at an Order 2 plant for manufacturing the handlers will request the lower classification for the milk. In the absence of such request, it must be assumed that the Order 2 handler is supplementing his local supply for his Class I operation. In this case, the classification of the other order milk should reflect the handler's reliance on both local and other order producers for milk for fluid use.

In order to implement the procedure adopted herein for classifying other order bulk milk on the basis of the combined utilization at all of the handler's pool plants, it is necessary to modify the procedure for allocating a handler's milk receipts to his utilization. The changes establish a procedure whereby the milk from other order plants will be classified on the basis of the handler's total system, but will be assigned to classes at the pool plant of actual receipt. Under this procedure, a situation may arise where the quantity of other source milk to be subtracted from a specific class (as determined from receipts and utilization in his entire system) exceeds the utilization remaining in that class at the plant of actual receipt. In this case, an accounting technique is used for increasing the utilization in such class at the plant of actual receipt and making a corresponding reduction in the same class at one or more of his other pool plants in his system. Since such exchanges of utilization will be made in corresponding amounts as between plants, this technique will not change the amount of milk to be accounted for at each plant or the classification of milk within the handler's entire system. The order should specify that such utilization shifts be made first at the other pool plant of the handler located nearest to the plant of actual receipt, and then in sequence at each successively more distant pool plant of the handler.

The present sequence for allocating receipts from various sources to a handler's utilization should not be changed. A spokesman for several cooperatives asked that the allocation procedure be reviewed to determine whether the pro rata classification of other order bulk milk should be moved forward in the allocation sequence. The cooperatives' position was that such a shift, if appropriate, would increase the availability of Class II utili-

zation for assignment to receipts of other order milk.

If other order milk were prorated earlier in the allocation sequence, some receipts from unregulated sources necessarily would be classified later in the sequence. Thus, receipts of other order milk would be assigned to Class II utilization before such receipts from unregulated sources. This could result in a greater quantity of unregulated milk being assigned to Class I uses than otherwise, and a corresponding increase in the handler's pool obligation. Such a change would not be appropriate on the basis of this record and should not be made.

As indicated, the marketwide utilization of producer milk for the Order 2 market will be one of the bases for classifying bulk milk received from other Federal order markets. However, the actual marketwide utilization for the month in which the milk is received cannot be determined until the other order milk is classified. This cannot be done until the marketwide utilization is known. To overcome this problem, the order should provide for the use of an estimated marketwide utilization. The estimate should be announced by the market administrator and should be final for this purpose.

c. *Classification of milk dumped, disposed of for animal feed, or lost under extraordinary circumstances.* Fluid milk products that a handler dumps, disposes of for animal feed, or loses due to extraordinary circumstances beyond his control should be classified as Class II milk.

Presently, such types of disposition are considered as part of a pool plant's shrinkage of milk. Under the current provisions, shrinkage is prorated to the plant's utilization in each class, except that the Class II assignment is limited to 2 percent of the plant's actual Class II use. Thus, the classification of these several types of disposition varies according to the type of plant operation involved.

A handler organization proposed that fluid milk products dumped or discarded, or destroyed or lost under extraordinary circumstances, be specified as Class II milk. The organization's spokesman indicated that a handler may dump milk because it is unsuitable for disposition as a fluid milk product. He cited instances where entire truck loads of milk have been dumped because strong odors or high acidity rendered the milk useless for fluid purposes. He pointed out that occasionally a manufacturing outlet can be located to take such milk, but that quite often outlets are not available or they may be so distant that such disposition is not economically feasible. With respect to milk destroyed or lost under extraordinary circumstances, the witness indicated that a Class II classification should be provided to cover situations where milk is destroyed through accidental contamination or is lost in a truck accident.

Classifying dumped milk in Class II was supported by another handler. The handler's witness pointed out that han-

dlers ordinarily experience some spoilage in fluid milk products, particularly in the case of route returns. The witness stated that handlers must either dump such milk or dispose of it as animal feed, and urged that such disposition be priced as Class II milk. He claimed that such classification is justified because the handler receives virtually no monetary return for such milk. The witness also supported, on the same basis, a Class II classification for milk destroyed or lost under extraordinary circumstances, such as vehicular accidents, fire, flood, or similar catastrophic occurrences.

A number of cooperatives in the market opposed the classification changes urged by handlers. It was their position that dumpage and losses due to extraordinary circumstances are business risks and should be absorbed by the handler. They contended that milk lost under extraordinary circumstances should not be classified as Class II milk since handlers customarily insure against such losses.

"Shrinkage" should be considered as a disappearance of milk that cannot be accounted for in a handler's operations. When a handler finds it necessary to dump fluid milk products or dispose of fluid milk products for animal feed, it is possible to establish that such disposition actually occurred. Also, to the extent determinable from records, milk that is lost or destroyed can represent a known disposition. In these cases, the milk involved can be accounted for and should not be included with shrinkage as milk for which no disposition can be established. Moreover, since the handler receives virtually no return from such disposition, the handler's obligation under the order for such milk should be limited to the lowest class price under the order, which is the Class II price. Although the loss may be insured, it is reasonable to presume that any indemnification would be commensurate with whatever value is established for the milk under the order.

It is important that the market administrator have an opportunity to verify all dispositions of milk claimed by a handler. In the case of fluid milk products disposed of for animal feed, handlers should keep records suitable for the market administrator to determine that such dispositions actually occurred. When milk is dumped, however, the market administrator cannot later determine with certainty the quantities involved through examination of handler records. Therefore, it will be necessary for the handler to provide the market administrator advance notice and opportunity to verify milk dumpages, as prescribed by the market administrator.

Milk destroyed or lost under extraordinary circumstances completely beyond the handler's control should be Class II milk to the extent that the quantity involved can be established from handler records satisfactory to the market administrator. Such Class II milk should include milk destroyed or lost as a result of such things as vehicular accidents, fire or floods. In such circum-

stances, it is impossible to provide advance notice to the market administrator. However, the quantities of fluid milk products involved can generally be established in a reasonable manner. The quantities of milk lost in a truck accident, for example, can frequently be verified from shipping invoices or from farm pickup records.

It is not intended that this provision shall be applicable to milk lost through faulty pipe connections, open or leaking valves, broken hoses, contamination and similar accidents that must be considered normal business hazards. Milk lost in such manner is part of the normal shrinkage experienced in any milk operation and such losses are adequately provided for under the shrinkage provisions.

At the hearing, proponent's witness indicated his intent that milk contaminated with antibiotics be considered as destroyed or lost under extraordinary circumstances. In the event such milk could not be otherwise disposed of, the dumpage provisions adopted herein should accommodate the disposition of such milk.

4. *Charges on overdue accounts.* The order should provide for the application of a late-payment charge of 1 percent per month on handler obligations to the market administrator that are overdue. Such obligations would be those due the producer settlement fund and the administrative expense fund, both of which are maintained by the market administrator. Any such unpaid obligation should be increased by 1 percent on the first day after the due date of the obligation and on the same day of each succeeding month until the obligation is paid.

The institution of a late-payment charge under the order was proposed by a cooperative association. It proposed that any unpaid obligation of a handler that is due the market administrator be increased on a daily basis, beginning the first day the obligation is overdue, by an amount reflecting the "prime rate" charged by banks plus 5 percentage points. Thus, if the prime rate (the interest charged by commercial banks on loans to their preferred business customers) were 7 percent, the annual charge would be 12 percent (7 percent plus 5 percentage points), or approximately 0.033 percent as applied on a daily basis.

As set forth in the hearing notice, the cooperative's proposal also would have applied a charge on any overdue payments by the market administrator from the producer settlement fund to handlers. At the hearing, however, the cooperative deleted this type of charge from its proposal.

The proposal, including the charge on overdue payments by the market administrator, was supported by a number of other cooperatives in the market.

Spokesmen for the proponent and supporting cooperatives indicated that the institution of a charge on overdue obligations of handlers is necessary to encourage prompt payments to the market administrator by regulated handlers. They cited the collection problems being

experienced by the market administrator and indicated that producers have an interest in timely payments by handlers. It was pointed out that late payments can result in the market administrator having insufficient money in the producer settlement fund to make the necessary equalization payments to handlers, with handlers in turn being unable to pay their producers. It was also pointed out that late payments result in money due the market administrator not being available for investment by him to maximum advantage, such as during the heavy-production months when money from handlers is withheld under the "Louisville Plan" for later payment to producers during the short-production months. In addition, the spokesmen indicated that those handlers making late payments have a competitive advantage in their business operations relative to handlers making timely payments.

In support of the proposed late-payment charge, the witness for the proponent cooperative contended that the charge should be related to current interest rates since delinquent handlers are in effect borrowing money from producers. The spokesman indicated that a charge based on the prime rate plus 5 percentage points is in line with interest rates on business loans where more than a minimal credit risk is involved. In urging that the charge be apportioned on a daily basis, the witness contended that delinquent handlers should be assessed for only the number of days that the payment is actually late, rather than be assessed on the basis of a monthly charge as under other orders.

A number of handlers, although they did not testify at the hearing on this issue, submitted briefs in which they set forth varying positions on the proposed late-payment charge. Several suggested that a "grace" period be provided, with the charge not becoming applicable until 3 to 7 days after the due date for the payment. This, they claimed, is needed to accommodate weekends, holidays, and delays in mail delivery that could result in handlers' payments not reaching the market administrator by the payment deadline. One handler contended that the charge should be based on the prime rate plus 2 percentage points. Another urged a fixed charge of 0.75 percent per month. Several handlers held that if a late-payment charge is adopted the charge should apply not only to late payments from handlers to the market administrator but to late payments or refunds from the market administrator's office to handlers as well.

It is essential to the effective operation of the order that handlers make their payments to the market administrator on time. Under the present marketwide pooling arrangement, it is necessary that handlers with Class I utilization higher than the market average pay part of their total use value of milk to the producer settlement fund. Through this means, money is made available to handlers with lower than average Class I utilization so that all handlers in the market, irrespective of the way they use

the milk, can pay their producers the uniform price. The success of this arrangement depends on the solvency of the producer settlement fund.

Also, the prompt payment of amounts due the administrative expense fund is essential to the performance by the market administrator of the various administrative functions prescribed by the order. Delinquent payments to this fund could impair the ability of the market administrator to carry out his duties in a timely and efficient manner.

Payment delinquency also results in an inequity among handlers. Handlers who pay late are, in effect, borrowing money from producers. In the absence of any late-payment charge that is at least as much as the cost of borrowing from commercial sources, handlers who are delinquent in their payments have a financial advantage relative to those handlers making timely payments.

Data placed in the record by a representative of the market administrator's office indicate a late-payment experience of a serious and continuing nature on the part of handlers in the New York-New Jersey market. For the 12-month period of January through December 1975, the market administrator issued 1,119 charge billings to handlers. These covered the monthly obligations of handlers to the producer settlement and administrative expense funds, all of which were due by the 18th day of the following month (i.e., payments were to be received by the market administrator by that date). For this period, only 216 payments were received by the market administrator on time. This payment delinquency probably can be attributed in part, however, to the relatively short time between the mailing of the billings to handlers, which was completed by the market administrator's office at the latest by the 15th of the month, and the date by which the payments were due. Nevertheless, even by the 21st day of the month, which should have been sufficient time for the transmission of the billings and payments through the mail, only 630 payments, or 56 percent of the payments due, were received by the market administrator. On a monthly basis, the percentage of payments received by the 21st ranged from 48 percent to 65 percent. For the year, 203 of the 1,119 payments due were still outstanding at the end of the month in which the billings were made.

In terms of money owed, the data indicate that for the 12-month period nearly one-fourth of the money due the market administrator had not yet been received by the 21st day of the month.

On the basis of this payment experience, it is appropriate to institute a late-payment charge on handler payments to the market administrator that are overdue. In the absence of a late-payment charge, handlers have little incentive to make their payments to the market administrator on time. Enforcement action may be taken, of course, to seek strict handler compliance with the payment dates. However, this is a cumbersome administrative route, and the practicalness

of such action becomes questionable in the case of handlers who are only several days late. While the charge adopted herein may not result in strict compliance by all handlers, it should provide handlers a substantial inducement to make their payments to the market administrator on time.

The late-payment charge should be established at the rate of 1 percent per month of the unpaid balance. If the charge is to have any impact on handlers in terms of encouraging prompt payments, it must be an amount that is at least as much as what a delinquent handler would be charged by commercial banks for money borrowed for short-term purposes. If this is not so, handlers who may have financial problems would be encouraged to delay their payments, knowing that the charge under the order is cheaper than borrowing money commercially at a higher loan rate. Under present conditions, a monthly charge of 1 percent should provide reasonable assurance that producer funds do not represent the cheapest source of money.

As noted earlier, it was proposed that the late-payment charge be a variable charge that parallels the movements of the "prime rate." It also was proposed that the charge be apportioned on a daily basis so that a handler would be assessed for only the number of days he was actually late in making his payment. These procedures should not be adopted. If the late-payment charge were treated strictly as interest and computed on a daily basis, the order would merely represent a banking service for handlers who desire to use producer funds as an alternative source of money at the going interest rate. This is not the intended purpose of the late-payment charge. Rather, it is to be a penalty, in effect, that will induce handlers to pay their obligations to the market administrator on time.

In their brief, certain handlers contended that the Secretary has no authority under the Act to impose a "penalty" on a handler under the terms of a milk order. We do not take this position. Instead, we contend that a late-payment charge, or "penalty," may be adopted under the statutory authority set forth in section 608c(7)(D) of the Act. This subsection specifies that an order may contain various terms that are incidental to, and not inconsistent with, the terms explicitly authorized by the Act if the incidental terms are found necessary to effectuate the other provisions of the order. The late-payment charge adopted herein is considered essential to the effectuation of the payment provisions of the order.

The Act does contain provisions in section 608c(14) pertaining to certain penalties. In short, these provisions specify that a handler shall be fined a monetary amount upon being convicted of violating a provision of an order. However, we do not look upon these provisions as precluding the use of a late-payment charge, or "penalty," on overdue accounts.

No "grace" period should be provided in applying the late-payment charge, as

several handlers urged in their briefs. It was their concern that the payment dates in the order would not accommodate the normal time required for billings to reach handlers and for the handlers to process the billings and have their payments reach the market administrator. For this reason, they asked that any late-payment charge not apply until several days after the due date of the payment.

On the basis of a hearing held in September 1976 for the New York-New Jersey market, several changes were made in payment dates prescribed by the order.<sup>7</sup> These changes, in part, require that payments by handlers to the market administrator be received by the market administrator no later than the 21st day of the month rather than by the 18th day. This extended period should provide a reasonable time for billings to reach handlers and for handlers to get their payments to the market administrator. Moreover, the order changes resulting from the September 1976 hearing should accommodate those occasions when the date by which payments to the market administrator are due falls on a weekend or holiday. When this happens, the due date of the payment would be the next day that the market administrator's office is open for business.

Under these new arrangements, there would appear to be no necessity for a grace period before applying the late-payment charge. It must be recognized that with a grace period handlers actually would have little incentive to have their payments arrive at the market administrator's office prior to the end of the grace period, even though the due date actually was a few days earlier.

Under the provisions adopted herein, overdue handler obligations that are payable to the market administrator would be increased by 1 percent on the first day after the due date. Any remaining unpaid portion of the original obligation would be further increased by 1 percent on the same date of each succeeding month until the obligation is paid. The additional late-payment charge would apply not only to the original obligation but also to any unpaid late-payment charges previously assessed.

At the time the adopted provisions become effective, there may be handlers with obligations already overdue. To the extent of any remaining unpaid balance on the 22nd day of the month in which the amendments adopted herein become effective, the newly-adopted late-payment charge should apply even though the obligation was incurred prior to the institution of the charge under the order.

The order should not provide for any late-payment charge on payments to handlers from the producer settlement fund by the market administrator. There are no circumstances in which the market administrator would not promptly

disperse payments to handlers from the producer settlement fund except when the fund is insolvent because of substantial delinquencies in payments to the fund by other handlers. The order provides that in this circumstance the market administrator shall reduce uniformly his payments to handlers and shall complete his payments as soon as the necessary funds are available. The order also provides that when a handler has not received full payment from the market administrator he in turn may reduce pro rata his payments to producers by the amount of the under-payment. Thus, under any situation in which the market administrator is late in paying handlers, the handlers are excused from paying the deficit to producers until the market administrator completes his payments. Hence, there is no reasonable basis for handlers to be the beneficiaries of a late-payment charge imposed upon the market administrator.

Certain handlers urged in their brief that the market administrator be required to pay interest on money that is refunded to handlers on the basis of legal proceedings, or for other reasons. Since the merits of this particular issue were not explored at the hearing, no action should be taken on the handlers' request.

#### RULINGS ON PROPOSED FINDINGS AND CONCLUSIONS

Briefs and proposed findings and conclusions were filed on behalf of certain interested parties. These briefs, proposed findings and conclusions and the evidence in the record were considered in making the findings and conclusions set forth above. To the extent that the suggested findings and conclusions filed by interested parties are inconsistent with the findings and conclusions set forth herein, the requests to make such findings or reach such conclusions are denied for the reasons previously stated in this decision.

#### GENERAL FINDINGS

The findings and determinations hereinafter set forth are supplementary and in addition to the findings and determinations previously made in connection with the issuance of the aforesaid order and of the previously issued amendments thereto; and all of said previous findings and determinations are hereby ratified and affirmed, except insofar as such findings and determinations may be in conflict with the findings and determinations set forth herein.

(a) The tentative marketing agreement and the order, as hereby proposed to be amended, and all of the terms and conditions thereof, will tend to effectuate the declared policy of the Act;

(b) The parity prices of milk as determined pursuant to section 2 of the Act are not reasonable in view of the price of feeds, available supplies of feeds, and other economic conditions which affect market supply and demand for milk in the marketing area, and the minimum prices specified in the tentative marketing agreement and the order, as hereby proposed to be amended, are such prices

as will reflect the aforesaid factors, insure a sufficient quantity of pure and wholesome milk, and be in the public interest; and

(c) The tentative marketing agreement and the order, as hereby proposed to be amended, will regulate the handling of milk in the same manner as, and will be applicable only to persons in the respective classes of industrial and commercial activity specified in, a marketing agreement upon which a hearing has been held.

#### RECOMMENDED MARKETING AGREEMENT AND ORDER AMENDING THE ORDER

The recommended marketing agreement is not included in this decision because the regulatory provisions thereof would be the same as those contained in the order, as hereby proposed to be amended. The following order amending the order, as amended, regulating the handling of milk in the New York-New Jersey marketing area is recommended as the detailed and appropriate means by which the foregoing conclusions may be carried out:

1. In § 1002.22(m), a new subparagraph (3) is added to read as follows:

§ 1002.22 Additional duties of the market administrator.

(m) \* \* \*

(3) For the purpose of allocating receipts from other Federal order plants under § 1002.45(a)(12) and the corresponding step of § 1002.45(b), his estimate of the utilization (to the nearest whole percentage) in each class during the month of skim milk and butterfat, respectively, in pool milk of all handlers. Such estimate shall be final for such purpose.

2. In § 1002.41(c), subparagraphs (7) and (8) are revised and new subparagraphs (9), (10), and (11) are added to read as follows:

§ 1002.41 Classes of utilization.

(c) \* \* \*

(7) In shrinkage allocated to Class II pursuant to § 1002.42;

(8) In skim milk represented by the nonfat solids added to a fluid milk product for fortification which is in excess of the volume included within the fluid milk product definition pursuant to § 1002.15;

(9) Contained in fluid milk products that are disposed of for animal feed;

(10) Contained in fluid milk products that are dumped, if the market administrator is notified in advance and is given the opportunity to verify such disposition; and

(11) Contained in fluid milk products that are destroyed or lost by a handler in a vehicular accident, flood, fire, or in a similar occurrence beyond his control, to the extent that the quantities destroyed or lost can be verified from records satisfactory to the market administrator.

3. In § 1002.45(a), subparagraph (12) is revised to read as follows:

<sup>7</sup> Official notice is taken of the Assistant Secretary's decision on proposed amendments to the New York-New Jersey order that was issued on January 19, 1977 (42 FR 4471).

§ 1002.45 Allocation of skim milk and butterfat classified.

(a) \* \* \*

(12) Subtract in the manner specified below from the pounds of skim milk remaining in each class the pounds of skim milk in receipts of fluid milk products from other order plants not previously assigned pursuant to paragraphs (2) and (10) of this paragraph:

(i) Subject to the provisions of subdivisions (ii), (iii), and (iv) of this subparagraph, such subtraction shall be pro rata to the pounds of skim milk in each class with respect to whichever of the following quantities represents the higher proportion of Class II milk:

(a) The estimated utilization of skim milk of all handlers in each class as announced for the month pursuant to § 1002.22(m) (3); or

(b) The total pounds of skim milk remaining in each class at this allocation step at all pool plants of the handler (excluding any duplication of utilization in each class resulting from transfers between pool plants of the handler);

(ii) Should the proration pursuant to subdivision (i) of this subparagraph result in the total pounds of skim milk at all pool plants of the handler that are to be subtracted at this allocation step from Class II milk exceeding the pounds of skim milk remaining in Class II milk at all such plants, the pounds of such excess shall be subtracted pro rata from the pounds of skim milk remaining in Class I-A milk and Class I-B milk after such proration at the pool plants at which such other source milk was received;

(iii) Except as provided in subdivision (ii) of this subparagraph, should the computations pursuant to subdivisions (i) or (ii) of this subparagraph result in a quantity of skim milk to be subtracted from Class II milk that exceeds the pounds of skim milk remaining in such class, the pounds of skim milk in Class II milk shall be increased (to the extent of available utilization in such class at the nearest other pool plant of the handler, and then at each successively more distant pool plant of the handler) by an amount equal to such excess quantity to be subtracted, and the pounds of skim milk in Class I-A milk and Class I-B milk combined shall be decreased by a like amount, pro rata to remaining utilization in each such class. In such case, the pounds of skim milk remaining in each class at this allocation step at the handler's other pool plants shall be adjusted in the reverse direction by a like amount; and

(iv) Except as provided in subdivision (ii) of this subparagraph, should the computations pursuant to subdivisions (i) or (ii) of this subparagraph result in a quantity of skim milk to be subtracted from Class I-A milk or Class I-B milk that exceeds the pounds of skim milk remaining in that class, the pounds of skim milk in such class shall be increased by an amount equal to such excess quantity to be subtracted, and the pounds of skim milk in Class II milk

shall be decreased by a like amount. In such case, the pounds of skim milk remaining in each class at this allocation step at the handler's other pool plants shall be adjusted in reverse direction by a like amount in sequence beginning with the nearest other pool plant of such handler at which such adjustment can be made;

§ 1002.50a [Amended]

4. In § 1002.50a(a), the number "\$2.40" is changed to "\$2.25."

5. In § 1002.51, paragraph (c) is revised to read as follows:

§ 1002.51 Transportation differentials.

(c) The differential rates applicable at plants shall be as set forth in the following schedule:

| [In cents per hundredweight] |                   |          |
|------------------------------|-------------------|----------|
| A                            | B                 | C        |
| Freight zone (miles)         | Class I-A and I-B | Class II |
| 1-10                         | +36.0             | +8       |
| 11-20                        | +34.2             | +8       |
| 21-25                        | +32.4             | +8       |
| 26-30                        | +32.4             | +7       |
| 31-40                        | +30.6             | +7       |
| 41-50                        | +28.8             | +7       |
| 51-60                        | +27.0             | +6       |
| 61-70                        | +25.2             | +6       |
| 71-75                        | +23.4             | +6       |
| 76-80                        | +21.6             | +5       |
| 81-90                        | +21.6             | +5       |
| 91-100                       | +19.8             | +5       |
| 101-110                      | +18.0             | +4       |
| 111-120                      | +16.2             | +4       |
| 121-125                      | +14.4             | +4       |
| 126-130                      | +14.4             | +3       |
| 131-140                      | +12.6             | +3       |
| 141-150                      | +10.8             | +3       |
| 151-160                      | +9.0              | +2       |
| 161-170                      | +7.2              | +2       |
| 171-175                      | +5.4              | +2       |
| 176-180                      | +5.4              | +1       |
| 181-190                      | +3.6              | +1       |
| 191-200                      | +1.8              | +1       |
| 201-210                      | 0                 | 0        |
| 211-220                      | -1.8              | 0        |
| 221-225                      | -3.6              | 0        |
| 226-230                      | -3.6              | -1       |
| 231-240                      | -4.5              | -1       |
| 241-250                      | -6.0              | -1       |
| 251-260                      | -7.5              | -2       |
| 261-270                      | -9.0              | -2       |
| 271-275                      | -10.5             | -2       |
| 276-280                      | -10.5             | -3       |
| 281-290                      | -12.0             | -3       |
| 291-300                      | -13.5             | -3       |
| 301-310                      | -15.0             | -4       |
| 311-320                      | -16.5             | -4       |
| 321-325                      | -18.0             | -4       |
| 326-330                      | -18.0             | -5       |
| 331-340                      | -19.5             | -5       |
| 341-350                      | -21.0             | -5       |
| 351-360                      | -22.5             | -6       |
| 361-370                      | -24.0             | -6       |
| 371-375                      | -25.5             | -6       |
| 376-380                      | -25.5             | -7       |
| 381-390                      | -27.0             | -7       |
| 391-400                      | -28.5             | -7       |
| 401 and over                 | -30.0             | -8       |

6. Section 1002.55 is revised to read as follows:

§ 1002.55 Transportation credit on bulk unit pool milk.

For pool milk received by a handler in a pool or partial pool unit, a transportation credit at the rate of 15 cents per hundredweight shall be computed.

7. In § 1002.71, a new paragraph (b-1) is added to read as follows:

§ 1002.71 Computation of the uniform price.

(b-1) Subtract an amount computed by multiplying the quantity of pool milk delivered directly from farms to pool plants and partial pool plants that was not put into a tank truck prior to such delivery, by a rate per hundredweight that is equal to the rate specified in § 1002.55.

8. In § 1002.80(a), subparagraphs (2) and (3) are revised and a new subparagraph (4) is added to read as follows:

§ 1002.80 Time and rate of payments.

(a) \* \* \*

(2) Proper deductions for the month that were authorized in writing by producers from whom the handler received milk;

(3) For milk received in a bulk tank unit and for which transportation was provided by the handler or at his expense, there may be deducted, as proper and as authorized in writing by the producer, or by a cooperative association authorized to act on behalf of such producer, a tank truck service (transportation) charge of up to 15 cents per hundredweight for any farm-to-first plant transportation costs for which the handler was not reimbursed through the transportation credit pursuant to § 1002.55, except that the deduction and the transportation credit combined shall not exceed the actual transportation costs incurred. Any such deduction must be made by the handler not later than the date on which the producer is required to be paid for such milk. If authorization for such deduction is canceled by the producer or by the cooperative by notifying the handler in writing, such cancellation shall be effective on the first day of the month following its receipt by the handler; and

(4) For pool milk delivered directly from a farm to a pool plant or a partial pool plant that was not put into a tank truck prior to such delivery, the handler shall pay to the producer an additional amount computed by multiplying the quantity of such milk by a rate per hundredweight that is equal to the rate specified in § 1002.55.

§ 1002.82b [Amended]

9. In § 1002.82(b), the word "five" is changed to "15."

10. In § 1002.87, paragraph (a) is revised to read as follows:

§ 1002.87 Handler's pool debit or credit.

(a) Add the amounts computed as follows:

(1) Multiply the quantity of pool milk received by each handler from dairy farmers by the uniform price; and

(2) Multiply the quantity of pool milk received by the handler at a pool plant or partial pool plant directly from farms that was not put into a tank truck prior



to delivery by a rate per hundredweight that is equal to the rate specified in § 1002.55.

\* \* \* \* \*

11. A new § 1002.88a is added to read as follows:

§ 1002.88a Charges on overdue accounts.

Any unpaid obligation of a handler pursuant to §§ 1002.85 and 1002.90 shall be increased 1 percent on the first day after the due date of such obligation and

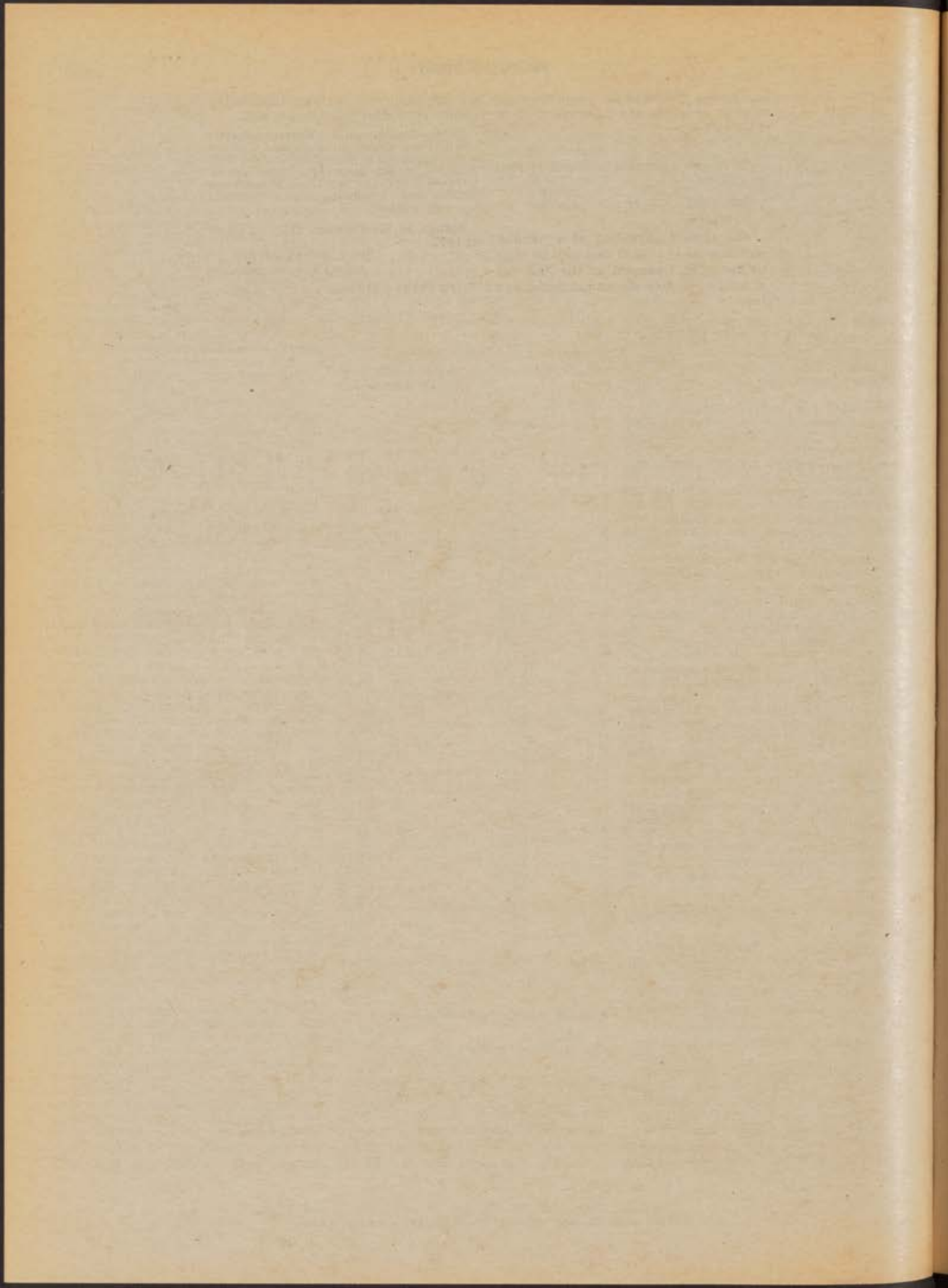
on the same day of each succeeding month until such obligation is paid.

NOTE.—The Agricultural Marketing Service has determined that this document contains a major proposal requiring preparation of an inflation impact statement under Executive Order 11821 and OMB Circular A-107 and certifies that an inflation impact statement has been prepared.

Signed at Washington, D.C., on April 5, 1977.

WILLIAM T. MANLEY,  
Acting Administrator.

[FR Doc.77-10518 Filed 4-8-77;8:45 am]



# federd register

MONDAY, APRIL 11, 1977

PART IV



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## DEPARTMENT OF THE INTERIOR

Office of the Secretary



### PRIVACY ACT OF 1974

Systems of Records

**DEPARTMENT OF THE INTERIOR  
OFFICE OF THE SECRETARY  
PRIVACY ACT OF 1974  
Revised System Notices**

Note: This document was originally published at 42 FR 16121 on Thursday, March 24, 1977. The appendix and systems of records were inadvertently omitted at that time. The document is being published in its entirety below.

The Department of the Interior hereby republishes below the notices describing the systems of records which it maintains which are subject to the requirements of section 3 of the Privacy Act of 1974, 5 U.S.C. 552a. This republication replaces all previous notice publications by the Department of the Interior.

The notices set out below are similar to those published in the Federal Register for September 21, 1976 (41 F.R. 41222-41327) with the following exceptions:

1. A table of contents has been added, as has an appendix listing the addresses of facilities of the Department maintaining records subject to Privacy Act.

2. As part of the Department's effort to simplify and clarify its Privacy Act system notices some notices have been rewritten in whole or in part. These are revisions of style only, however, and do not affect the substance of the notices.

3. The "Routine Use" paragraphs contained in the notices published in September 21, 1976, were proposed paragraphs, comments upon which were solicited. Pursuant to authority granted by 5 U.S.C. 552a, these paragraphs are now adopted as final "Routine Uses," replacing the "Routine Uses" contained in the original (and now obsolete) group of system notices which the Department of the Interior published in its 1975 annual notice publication (40 FR 41432-41507, 43467-43477, 46066-46074, 47985-47992, 50434).

4. In response to the request for comments on the proposed "Routine Uses," several comments were received suggesting addition of a "Routine Use" to Bureau of Indian Affairs systems 4 (Indian Land Records), 5 (Indian Land Leases) and 12 (Indian Trust Land Mortgages) permitting disclosure to title insurance and abstracting companies and attorneys for purposes of determining ownership of and encumbrances against title. The Department agrees that such a "Routine Use" is necessary to facilitate land transactions involving Indian land and accordingly proposes adoption of a new "Routine Use" for the three systems.

5. Seven new systems are proposed to be added. These are Fish and Wildlife Service-28, Avitrol Authorization Records; Fish and Wildlife Service-29, Animal Damage Control Non-Federal Personnel Records; Bureau of Land Management-24, Copy Fee Deposit Records; Bureau of Land Management-27, Real Estate Appraiser Roster; Bureau of Land Management-28, Adopt A Wild Horse Program Records; Bureau of Land Management-29, Recordation of Mining Claims Records; and Office of the Secretary-82, Departmental Manager Development Program Records.

Comments on the proposed new "Routine Uses" and the proposed new system notices may be submitted to the Departmental Privacy Act Officer, Office of Administrative and Management Policy, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. Comments received on or before June 1, 1977, will be considered. Copies of any comments which are received will be available for inspection at the above address.

March 7, 1977.

Richard R. Hite,

*Deputy Assistant Secretary of the Interior.*

**TABLE OF CONTENTS**

I. Office of the Secretary (Notices which apply to some or all bureaus outside of the Office of the Secretary are marked with an asterisk.)

Audit Files and Workpapers—Interior, Office of the Secretary—1.

Investigative Records—Interior, Office of the Secretary—2.

Financial Interest Statements and Ethics Counselor Decisions—Interior, Office of the Secretary—3.

Aircraft Instructor Qualification File—Interior Office of the Secretary—5.

Aircraft Crew/Mechanic Information File (Commercial Operators)—Interior, Office of the Secretary—6.

Aircraft Crew/Mechanic Information File—Interior, Office of the Secretary—7.

Aircraft Services Administrative Management and Fiscal Records—Interior, Office of the Secretary—8.

Private Relief Claimants, Department—Interior, Office of the Secretary—12.

Private Relief Claimants, Bureau—Office of the Secretary—13.  
Emergency Defense Mobilization Files—Interior, Office of the Secretary—15.

Discrimination Complaints—Interior, Office of the Secretary—18.  
Secretarial Correspondence Card File—Interior, Office of the Secretary—20.

Youth Conservation Corps (YCC) Enrollee Records—Interior, Office of the Secretary—25.\*

Youth Conservation Corps (YCC) Enrollee Payroll Records File—Interior, Office of the Secretary—26.

Youth Conservation Corps (YCC) Enrollee Medical Records—Interior, Office of the Secretary—27.

Youth Conservation Corps (YCC) Research File—Interior, Office of the Secretary—28.

Youth Conservation Corps (YCC) Recruitment Files—Interior, Office of the Secretary—29.

Library Circulation Control System—Interior, Office of the Secretary—35.\*

Security Clearance Files and Other Reference Files—Interior, Office of the Secretary—45.

Secretarial Subject Files—Interior, Office of the Secretary—46.

Parking Assignment Records—Interior, Office of the Secretary—47.

Employee Identification Card Files—Interior, Office of the Secretary—48.

Motor Vehicle Operator's Identification Card Applications—Interior, Office of the Secretary—50.

Property accountability—Interior, Office of the Secretary—51.

Travel Management Records—Interior, Office of the Secretary—52.

Classified Documents—Interior, Office of the Secretary—53.\*

Privacy Act Files—Interior, Office of the Secretary—57.\*

Office Operations Records on Employees, Department System—Interior, Office of the Secretary—58.\*

Office Operations Records on Employees, Bureau System—Interior, Office of the Secretary—59.\*

Safety Management Information System—Office of the Secretary—60.\*

Safety Career Opportunity Plan for Employees—Interior, Office of the Secretary—61.

Biography File—Interior, Office of the Secretary—65.\*

Committee Management Files—Interior, Office of the Secretary—68.\*

Freedom of Information Appeal Files—Interior, Office of the Secretary—69.\*

Applicant Files—Interior, Office of the Secretary—70.\*

Freedom of Information Request Files System—Interior, Office of the Secretary—71.

Supervisors' Records of Employees—Interior, Office of the Secretary—75.\*

Employee Experience, Skills, Performance and Career Development Records—Interior, Office of the Secretary—76.\*

Unfair Labor Practice Charges/Complaints—Interior, Office of the Secretary—77.\*

Negotiated Grievance Procedure Files—Interior, Office of the Secretary—78.\*

Personnel Data Files (Automated)—Interior, Office of the Secretary—79.\*

Emergency Loan Fund Committee Loan Records—Interior, Office of the Secretary—80.\*

Health Unit Medical Records—Interior, Office of the Secretary—81.

Departmental Manager Development Program—Interior, Office of the Secretary—82.

Payroll, Attendance and Leave—Interior, Office of the Secretary—85.

Accounts Receivable—Interior, Office of the Secretary—86.

Cash Receipts—Interior, Office of the Secretary—87.

Travel—Interior, Office of the Secretary—88.

Position Control—Interior, Office of the Secretary—89.

Interior, Office of the Secretary—95.

Government of American Samoa Administrative Management and Fiscal Records—Interior, Office of the Secretary—96.

II. Bureau of Indian Affairs.

Property Loan Agreement Files—Interior, BIA—1.

Safety Management Information—Interior, BIA—2.

Individual Indian Monies—Interior, BIA—3.

Indian Land Records—Interior, BIA—4.

Indian Land Leases—Interior, BIA—5.

Navajo-Hopi Joint Use Project—Interior, BIA—6.

Tribal Rolls—Interior, BIA—7.

- Indian Social Services Case Files—Interior, BIA—8.  
 Traders License Files—Interior, BIA—9.  
 Indian Housing Improvement Program—Interior, BIA—10.  
 Indian Business Development Program (Grants)—Interior, BIA—11.  
 Indian Trust Land Mortgages—Interior, BIA—12.  
 Indian Loan Files—Interior, BIA—13.  
 Travel Accounting System—Interior, BIA—14.  
 Trip Reports—Interior, BIA—15.  
 Travel Files—Interior, BIA—16.  
 Payroll—Interior, BIA—17.  
 Law Enforcement Services—Interior, BIA—18.  
 Indian Association Stock Purchase Records—Interior, BIA—19.  
 Correspondence Files System—Interior, BIA—20.  
 Correspondence Control System—Interior, BIA—21.  
 Indian Student Records—Interior, BIA—22.  
 Employment Assistance Case Files—Interior, BIA—23.  
 Timber Cutting and Fire Trespass Claims Case Files—Interior, BIA—24.
- III. Alaska Power Administration.  
 Administrative Management and Fiscal Records—Interior, Alaska Power Administration—1.
- IV. Bureau of Mines.  
 Payroll—Interior, Mines—1.  
 Travel Advance File—Interior, Mines—2.  
 Travel Vouchers and Authorizations—Interior, Mines—3.  
 Property Control—Interior, Mines—4.  
 Personnel Identification—Interior, Mines—5.  
 Safety Files—Interior, Mines—6.  
 Personnel Security Files—Interior, Mines—7.  
 Distribution Center and Film Borrower Record Cards—Interior, Mines—9.  
 Biographical reference File—Interior, Mines—10.
- V. Bonneville Power Administration.  
 Travel Records—Interior, BPA—1.  
 Payroll Files—Interior, BPA—2.  
 Audiometric Testing Forms—Interior, BPA—3.  
 Automotive Accident Files—Interior, BPA—4.  
 Motor Vehicle Operator Identification Records—Interior, BPA—5.  
 Industrial Accident Files and Employee Claims Files—Interior, BPA—6.  
 Safety Training Files—Interior, BPA—7.  
 Plant Services History Files—Interior, BPA—8.  
 Plant Services Personal Accountability Property System—Interior, BPA—9.  
 Land Records—Interior, BPA—10.  
 Accounts Receivable—Interior, BPA—11.  
 Report of Inventions—Interior, BPA—12.  
 Parking Assignment Records—Interior, BPA—13.  
 Security Clearance File—Interior, BPA—14.
- VI. U.S. Geological Survey.  
 Payroll, Attendance and Leave Records—Interior, GS—1. (2) Input documents supplied by all facilities of the U.S. Geological Survey. (See Appendix for addresses.)  
 Authorized Cashier, Alternate Cashier, Certifying Officer and Cashier and Collection Officers—Interior and GS—2.  
 Accounts Receivable—Interior, GS—3.  
 Contract Files—Interior, GS—5.  
 RELOS Records—Interior, GS—6.  
 Personal Property Accountability Records—Interior, GS—7.  
 Accident Reports and Investigations—Interior, GS—8.  
 National Research Council Grants Program—Interior, GS—9.  
 Lunar Sample—Interior, GS—10.  
 Security—Interior, GS—11.  
 Project Descriptions and Work Plans and Accomplishments—Interior, GS—12.  
 Manuscript Processing—Interior, GS—13.  
 Travel Files—Interior, GS—14.  
 Cartographic Information Customer Records—Interior, GS—15.  
 Office of Minerals Exploration (OME) Financial Assistance Applications—Interior, GS—16.  
 Management Information System, Publications Division—Interior, GS—17.  
 Computer Services Users—Interior, GS—18.  
 Photo File System—Interior, GS—20.  
 Mineral Lease and Royalty Accounting Files—Interior, GS—21.  
 Correspondence—Interior, GS—22.  
 Personnel Investigations Records—Interior, GS—23.  
 Employee Work Report Edit and Individual Employee Production Rates—Interior, GS—24.
- VII. Mining Enforcement and Safety Administration.  
 Coal and Metal and Nonmetal Mine Accident and Injury—Interior, MESA—1.  
 Identification Cards—Interior, MESA—2.  
 Metal and Nonmetal Mine Health and Safety Management Control—Interior, MESA—3.  
 Employee Conduct Investigations—Interior, MESA—4.  
 Payroll Records—Interior, MESA—5.  
 Travel—Interior, MESA—6.  
 Travel Advance File—Interior, MESA—7.  
 Accident and Injury Records—Interior, MESA—8.  
 Security Clearance Records—Interior, MESA—9.  
 Coal Mine Health and Safety Special Investigations—Interior, MESA—10.  
 National Mine Health and Safety Academy Records—Interior, MESA—11.  
 Property Control—Interior, MESA—12.  
 Coal Mine Respirable Dust Program—Interior, MESA—13.  
 Coal Mine Noise Level Program—Interior, MESA—14.  
 Health and Safety Training Records Including Qualifications and Certification Data—Interior, MESA—15.  
 Employee Locator System, Department of the Interior, MESA—16.
- VIII. Southeastern Power Administration.  
 Administrative Management and Fiscal Records—Interior, Southeastern Power Administration—1.
- IX. Southwestern Power Administration.  
 Payroll System—Interior, Southwestern Power Administration—1.  
 Contracts System—Interior, Southwestern Power Administration—2.  
 Property Management System—Interior, Southwestern Power Administration—4.  
 Travel Record System—Interior, Southwestern Power Administration—5.  
 Imprest Fund Cashiers—Interior, Southwestern Power Administration—6.  
 Accidents—Interior, Southwestern Power Administration—7.
- X. National Park Service.  
 Special Use Permits—Interior, NPS—1.  
 Land Acquisition & Relocation Files—Interior, NPS—2.  
 Land Acquisition Management Information System—Interior, NPS—3.  
 Travel Records—Interior, NPS—4.  
 Retirement Record—Interior, NPS—5.  
 Audiovisual Performance Selection Files—Interior, NPS—6.  
 National Park Service Historical Library—Interior, NPS—7.  
 Property and Supplies Accountability—Interior, NPS—8.  
 Advisory Council on Historic Preservation Membership—Interior, NPS—9.  
 Central Files—Interior, NPS—10.  
 Congressional Correspondence, Advisory Council on Historic Preservation—Interior, NPS—11.  
 U.S. Park Police Personnel Photograph File—Interior, NPS—12.  
 Concessioners—Interior, NPS—13.  
 Concessioner Financial Statement and Audit Report files—Interior, NPS—14.  
 Concessions Management Files—Interior, NPS—15.  
 Position and Manpower Reporting System (PMRS)—Interior, NPS—16.  
 Employee Financial Irregularities—Interior, NPS—17.  
 Collection, Certifying and Disbursing Officers, and Imprest Fund Cashiers—Interior, NPS—18.  
 Law Enforcement Statistical Reporting System, incident card reference and related files—Interior, NPS—19.  
 Payroll—Interior, NPS—20.  
 Visitor Statistical Survey Forms—Interior, NPS—21.
- XI. Bureau of Outdoor Recreation.  
 Congressional Correspondence File—Interior, BOR—1.  
 Payroll System—Interior, BOR—2.  
 Management by Objectives—Interior, BOR—3.  
 Motor Vehicle Operations Program—Interior, BOR—4.  
 Financial Management System—Interior, BOR—5.  
 Property Hand Receipt File—Interior, BOR—6.  
 Travel and Transportation Automated Accounting System—Interior, BOR—7.
- XII. U.S. Fish and Wildlife Service.  
 Labor Cost Information Records—Interior, FWS—1.  
 Travel Records—Interior, FWS—2.  
 Security File—Interior, FWS—  
 Tort Claim Records—Interior, FWS—4

National Wildlife Refuge Special Use Permits—Interior, FWS—5  
 Hunting and Fishing Survey Records—Interior, FWS—6  
 Water Development Project and/or Effluent Discharge Permit Application Review—Interior, FWS—7  
 Fish Disease Inspection Report—Interior, FWS—8  
 Farm Pond Stocking Program—Interior, FWS—9  
 National Fish Hatchery Special Use Permits—Interior, FWS—10  
 Real Property Records—Interior, FWS—11  
 Fish Tag Returns—Interior, FWS—12  
 North American Breeding Bird Survey—Interior, FWS—13  
 Great Lakes Commercial Fisheries Catch Records—Interior, FWS—14  
 American Attitudes Toward Animals—Interior, FWS—15  
 Waterfowl Hunter Attitude Study—Interior, FWS—16  
 Diagnostic-Extension Service Records—Interior, FWS—17  
 Animal Damage Control Authorization Records—Interior, FWS—18  
 Endangered Species Licensee System—Interior, FWS—19  
 Investigative Case File System—Interior, FWS—20  
 Permits System—Interior, FWS—21  
 U.S. Deputy Game Warden—Interior, FWS—22  
 Motor Vehicle Permit Log—Interior, FWS—23  
 Payroll—Interior, FWS—24  
 Contract and Procurement Records—Interior, FWS—25  
 Migratory Bird Population and Harvest Systems—Interior, FWS—26  
 Correspondence Control System—Interior, FWS—27  
 Avitrol Authorization Records—Interior, FWS—28  
 Animal Damage Control Non-Federal Personnel Records—Interior, FWS—29

XIII. Bureau of Reclamation.  
 Accidents—Interior, Reclamation—1.  
 Accounts Receivable—Interior, Reclamation—2.  
 Attendance at Meetings—Interior, Reclamation—3.  
 Audiograms (Hearing Test Record)—Interior, Reclamation—4.  
 Claims—Interior, Reclamation—5.  
 Collection Contracts—Interior, Reclamation—6.  
 Concessions—Interior, Reclamation—7.  
 Driver's License—Interior, Reclamation—8.  
 Foreign Visitors and Observers—Interior, Reclamation—9.  
 Identification Cards—Interior, Reclamation—10.  
 Individual Record of Issues—Interior, Reclamation—11.  
 Inventions and Patents—Interior, Reclamation—12.  
 Irrigation Management Service—Interior, Reclamation—13.  
 Land Exchange—Interior, Reclamation—14.  
 Land Settlement Entries—Interior, Reclamation—15.  
 Litigation—Interior, Reclamation—16.  
 Lands—Leases, Sales, Rentals, and Transfers—Interior, Reclamation—17.  
 Lease of Housing—Interior, Reclamation—18.  
 Mineral Location Entries—Interior, Reclamation—19.  
 Movable Property ADP Records—Interior, Reclamation—20.  
 Movable Property Individual Responsibility—Interior, Reclamation—21.  
 Oil and Gas Applications—Interior, Reclamation—22.  
 Parking—Interior, Reclamation—23.  
 Payroll, Attendance and Leave Records (PAYPERS)—Interior, Reclamation—24.  
 Personal Author Reports—Interior, Reclamation—25.  
 Photographic Files—Interior, Reclamation—26.  
 Publication Sales—Interior, Reclamation—27.  
 Real Property and Right-of-Way Acquisitions—Interior, Reclamation—28.  
 Right-of-Way Applications—Interior, Reclamation—29.  
 Safe Driving Records—Interior, Reclamation—30.  
 Sale of Power to Individuals—Interior, Reclamation—31.  
 Special Use Applications, Licenses, and Permits—Interior, Reclamation—32.  
 Speeches—Interior, Reclamation—33.  
 Thefts Listing—Interior, Reclamation—34.  
 Travel Approval Authorizations and Reports—Interior, Reclamation—35.  
 Travel Voucher Records—Interior, Reclamation—36.  
 Trespass Cases—Interior, Reclamation—37.  
 Water Right Applications—Interior, Reclamation—38.  
 Water Rights Acquisition—Interior, Reclamation—39.  
 Water Sales and Delivery Contracts—Interior, Reclamation—40.  
 Permits—Interior, Reclamation—41.  
 Recordable Contracts—Interior, Reclamation—42.  
 Vendor Payment Records—Interior, Reclamation—44.

Equipment, Supply and Service Contracts—Interior, Reclamation—45.  
 Employee Trip Reports—Interior, Reclamation—46.  
 Employees' Compensation Records—Interior, Bureau of Reclamation—47.

XIV. Bureau of Land Management.  
 Mining Claim Title Clearance—Interior, BLM—1.  
 Range Management System—Interior, BLM—2.  
 Mineral Lease Management—Interior, BLM—3.  
 Coal Lease Data System—Interior, BLM—4.  
 Alaska Native Claims—Interior, BLM—5.  
 Mineral Surveyor Appointment File—Interior, BLM—6.  
 Land and Resource Case File—Interior, BLM—7.  
 Aircraft Passenger Manifest Records—Fire Control—Interior, BLM—8.  
 Property and Supplies Accountability—Interior, BLM—9.  
 Vehicle Use Authorization—Interior, BLM—10.  
 Identification Cards and Passes—Interior, BLM—11.  
 Manpower Management—Interior, BLM—12.  
 Safety Management Information—Interior, BLM—13.  
 Security Clearance Files—Interior, BLM—14.  
 Correspondence Control—Interior, BLM—15.  
 Mineral and Vegetal Material Sales—Interior, BLM—16.  
 Payroll—Interior, BLM—17.  
 Criminal Case Investigation—Interior, BLM—18.  
 Civil Trespass Case Investigations—Interior, BLM—19.  
 Employee Conduct Investigations—Interior, BLM—20.  
 Travel—Interior, BLM—21.  
 Financial Management—Interior, BLM—22.  
 Contract Files—Interior, BLM—23.  
 Copy Fee Deposit—Interior, BLM—24.  
 Outer Continental Shelf Lease Sale—Interior, BLM—25.  
 Incentive and Honor Awards—Interior, BLM—26.  
 Real Estate Appraiser Roster—Interior, BLM—27.  
 Adopt a Wild Horse—Interior, BLM—28.  
 Recordation of Mining Claims—Interior, BLM—29.

XV. Office of Hearings and Appeals.  
 Hearings and Appeals Files—Interior, OHA—1.

XVI. Office of the Solicitor.  
 Litigation, Appeal and Case Files—Interior, Office of the Solicitor—1.  
 Claims Files—Interior, Office of the Solicitor—2.  
 Patent Files—Interior, Office of the Solicitor—3.  
 Workload Analysis—Interior, Office of the Solicitor—4.

## Appendix

This Appendix contains the addresses of facilities of the Department of the Interior. The Appendix is to be used in conjunction with the Department's notices describing systems of records which it maintains which are subject to section 3 of the Privacy Act of 1974 to determine the specific addresses of facilities maintaining these records.

I. OFFICE OF THE SECRETARY. (All addresses are as follows unless otherwise indicated: U. S. Department of the Interior, 18th and C Streets, N. W., Washington, D. C. 20240).

A. Immediate Office of the Secretary

B. Office of the Under Secretary

C. Office of the Assistant Secretary -- Fish and Wildlife and Parks

D. Office of the Assistant Secretary -- Land and Water Resources

E. Office of the Assistant Secretary -- Energy and Minerals

F. Office of the Assistant Secretary -- Administration and Management

G. Office of the Assistant Secretary -- Program Development and Budget

H. Office of the Assistant Secretary -- Congressional and Legislative Affairs

- I. Office of Legislation
- J. Office for Equal Opportunity
- K. Office of Public Affairs
- L. Office of Administrative and Management Policy
- M. Office of Administrative Services
- N. Office of Secretarial Operations
- O. Office of Personnel Management
- P. Office of Library and Information Services
- Q. Office of Manpower Training and Youth Activities
- R. Office of Audit and Investigation

Headquarters Office  
U. S. Department of the Interior  
18th and C Streets, N. W.  
Washington, D. C. 20240

Eastern Region  
Ballston Towers 01  
800 N. Quincy Street  
Room 401  
Arlington, Virginia 22203

Central Region  
1841 Wadsworth  
Lakewood, Colorado 80215

Central Region, Suboffice  
Room 334, Old Post Office Bldg.  
123 4th Street, S.W.  
P. O. Box 128  
Albuquerque, New Mexico 87103

Western Region  
Federal Office Building  
Room W 2219  
2800 Cottage Way  
Sacramento, California 95825

Western Region Suboffice  
920 N.E. 7th Avenue  
Room 106  
Portland, Oregon 97232

- T. Office of Aircraft Services

Headquarters Office  
Office of Aircraft Services  
3905 Vista Avenue  
Boise, Idaho 83705

Regional Office  
Office of Aircraft Services  
1935 Merrill Field Drive  
Anchorage, Alaska 99501

- U. Office of Budget

- V. Office of Policy Analysis

- W. Office of Environmental Project Review

- X. Office of Outer Continental Shelf Program Coordination

II. OFFICE OF THE SOLICITOR.

- A. Headquarters Office

Office of the Solicitor  
U. S. Department of the Interior  
18th and C Streets, N. W.

Washington, D. C. 20240

- B. Anchorage Region

Regional Solicitor  
Federal Building  
Anchorage, Alaska 99501

Field Solicitor  
U. S. Post Office and Courthouse  
Juneau, Alaska 99801

- C. Atlanta Region

Regional Solicitor  
148 Cain Street, N.E., Suite 410  
Atlanta, Georgia 30303

Field Solicitor  
Elberton, Georgia 30635

- D. Denver Region

Regional Solicitor  
Denver Federal Center  
Denver, Colorado 80225

Field Solicitor  
Post Office Box 549  
Aberdeen, South Dakota 57401

Field Solicitor  
Post Office Box 1538  
Billings, Montana 59103

Field Solicitor  
Post Office Box 983  
Cheyenne, Wyoming 82001

- E. Portland Region

Regional Solicitor  
Post Office Box 3621 -- Room 766  
1002 N.E. Holladay Street  
Portland, Oregon 97108

Field Solicitor  
Post Office Box 020  
Boise, Idaho 83702

Field Solicitor  
Reclamation Building  
Division and C Streets  
Ephrata, Washington 98823

- F. Philadelphia Region

Regional Solicitor  
Merchants Exchange Building  
143 South Third Street  
Philadelphia, Pennsylvania 19106

Field Solicitor  
686 Federal Building  
Fort Snelling  
Twin Cities, Minnesota 55111

- G. Sacramento Region

Regional Solicitor  
2800 Cottage Way -- Room E-2753  
Sacramento, California 95825

Field Solicitor  
Arizona Title Annex Building -- Room 410  
135 North 2nd Avenue  
Phoenix, Arizona 85003

Field Solicitor  
4127 Federal Building -- U. S. Courthouse  
Reno, Nevada 90502

Field Solicitor  
Post Office Box 36064  
450 Golden Gate Avenue -- Room 14126  
San Francisco, California 94102

Field Solicitor  
Window Rock, Arizona 86515

#### H. Salt Lake City Region

Regional Solicitor  
Federal Building -- Suite 6201  
125 South State Street  
Salt Lake City, Utah 84111

#### I. Tulsa Region

Regional Solicitor  
Page Belcher Federal Building -- Room 3068  
333 West 4th Street  
Tulsa, Oklahoma 74103

Field Solicitor  
Federal Building & Courthouse  
500 Gold Avenue, S.W.  
Albuquerque, New Mexico 87101

Field Solicitor  
Box H -- 4393, Herring Plaza  
317 East Third  
Amarillo, Texas 79101

Field Solicitor  
Post Office Box 397  
Anadarko, Oklahoma 73005

Field Solicitor  
Federal Building -- Room 319  
5th and Broadway  
Muskogee, Oklahoma 74401

Field Solicitor  
c/o Osage Agency  
Grandview Avenue  
Pawhuska, Oklahoma 74056

Field Solicitor  
U. S. Courthouse -- Room 224  
Federal Place & Washington Street  
Santa Fe, New Mexico 87501

### III. OFFICE OF HEARINGS AND APPEALS.

#### A. Headquarters Office

Office of Hearings and Appeals  
U. S. Department of the Interior  
4015 Wilson Boulevard  
Arlington, Virginia 22203

#### B. Field Offices

Administrative Law Judge  
Federal Building  
Sacramento, California 95825

Administrative Law Judge  
Federal Building  
Salt Lake City, Utah 84138

Administrative Law Judge  
Indian Probate  
Arizona Title Annex Building  
Phoenix, Arizona 85012

Administrative Law Judge  
Indian Probate  
Federal Building  
Sacramento, California 95825

Administrative Law Judge  
Indian Probate  
Federal Building and Courthouse  
Billings, Montana 59101

Administrative Law Judge  
Indian Probate  
V.E.M. Building  
Gallup, New Mexico 87301

Administrative Law Judge  
Indian Probate  
U. S. Post Office and Federal Office Building  
Tulsa, Oklahoma 74101

Administrative Law Judge  
Indian Probate  
Federal Building  
Portland, Oregon 97208

### IV. OFFICE OF LAND USE AND WATER PLANNING.

Office of Land Use and Water Planning  
U. S. Department of the Interior  
801 - 19th Street, N. W.  
Washington, D. C. 20006

### V. OFFICE OF MINERALS AND RESEARCH ANALYSIS

Office of Minerals Policy Development  
U. S. Department of the Interior  
18th and C Streets, N. W.  
Washington, D. C. 20240

### VI. OFFICE OF TERRITORIAL AFFAIRS.

Office of Territorial Affairs  
U. S. Department of the Interior  
18th and C Streets, N. W.  
Washington, D. C. 20240

### VII. OFFICE OF WATER RESEARCH AND TECHNOLOGY

Office of Water Research and Technology  
U. S. Department of the Interior  
18th and C Streets, N. W.  
Washington, D. C. 20240

### VIII. FISH AND WILDLIFE SERVICE

#### A. Headquarters Office

Fish and Wildlife Service  
U. S. Department of the Interior  
18th and C Streets, N. W.  
Washington, D. C. 20240

#### B. Regional Offices

Portland Regional Office (Region 1)  
Fish and Wildlife Service  
U. S. Department of the Interior  
1500 N.E. Irving Street  
Post Office Box 3737  
Portland, Oregon 97208

Albuquerque Regional Office (Region 2)  
Fish and Wildlife Service  
U. S. Department of the Interior  
500 Gold Avenue, S.W.  
Albuquerque, New Mexico 87102

Twin Cities Regional Office (Region 3)  
Fish and Wildlife Service



U. S. Department of the Interior  
Federal Building  
Fort Snelling  
Twin Cities, Minnesota 55111

Atlanta Regional Office (Region 4)  
Fish and Wildlife Service  
U. S. Department of the Interior  
17 Executive Park Drive, N.E.  
Atlanta, Georgia 30329

Boston Regional Office (Region 5)  
Fish and Wildlife Service  
U. S. Department of the Interior  
John W. McCormack Post Office and Courthouse  
Boston, Massachusetts 02109

Denver Regional Office (Region 6)  
Fish and Wildlife Service  
U. S. Department of the Interior  
10597 West Sixth Avenue  
Lakewood, Colorado 80215

Alaska Area Office  
Fish and Wildlife Service  
U. S. Department of the Interior  
813 D Street  
Anchorage, Alaska 99501

#### C. National Fish Hatcheries

Carbon Hill National Fish Hatchery  
Carbon Hill, Alabama 35549

Alchey Williams Creek National Fish Hatchery  
P. O. Box 398  
Whiteriver, Arizona 85941

Willow Beach National Fish Hatchery  
P.O. Box 757  
Boulder City, Nevada 89005

Corning National Fish Hatchery  
Corning, Kansas 72422

Greers Ferry National Fish Hatchery  
Rt. 4, Box 296  
Herber Springs, Kansas 72543

Mammoth Spring National Fish Hatchery  
P.O. Box 146  
Mammoth Spring, Arkansas 72554

National Fish Hatchery  
Norfolk Route 3  
Mountain Home, Arkansas 72653

Coleman National Fish Hatchery  
Rt. 1, Box 2105  
Anderson, California 96007

Tehama-Colusa Spawning Channel National Fish Hatchery  
P. O. Box 1050  
Red Bluff, California 96080

Hotchkiss National Fish Hatchery  
P. O. Box 519  
Hotchkiss, Colorado 81419

Leadville National Fish Hatchery  
Box 364  
Leadville, Colorado 80461

Welaka National Fish Hatchery  
Welaka, Florida 32093

Chattahoochee Forest National Fish Hatchery  
Suches, Georgia 30572

Cohutta National Fish Hatchery  
Cohutta, Georgia 30710

Millen National Fish Hatchery  
P. O. Box 719  
Millen, Georgia 30442  
Warm Springs, Georgia 31830

Hagerman National Fish Hatchery  
Hagerman, Idaho 83332

Dworshak National Fish Hatchery  
P. O. Box 251  
Ahsahka, Idaho 83520

Kooskia National Fish Hatchery  
Route 1, Box 987  
Kooskia, Idaho 83539

Manchester National Fish Hatchery  
R.R. 0 2  
Manchester, Iowa 52057

Cedar Bluff National Fish Hatchery  
Rt. 2  
Ellis, Kansas 67637

Frankfort National Fish Hatchery  
Rt. 1, Box 1  
Indian Gap Road  
Frankfort, Kentucky 40601

Wolf Creek National Fish Hatchery  
Jamestown, Kentucky 42629

Natchitoches National Fish Hatchery  
Rt. 1, Box 316  
Natchitoches, Louisiana 71457

Craig Brook National Fish Hatchery  
East Orland, Maine 04431

Green Lake National Fish Hatchery  
RFD 1, Box 48  
Ellsworth, Maine 04633

North Attleboro  
Box 660, R.D. 2  
North Attleboro, Massachusetts 02760

Berkshire Trout Hatchery  
National Fish Hatchery  
Star Route Hartsville Post Office  
Great Barrington, Massachusetts 01230

Hiawatha Forest National Fish Hatchery  
(Under jurisdiction of Pendills Creek, NFH)

Jordan River National Fish Hatchery  
Rt. 1, Box 64A  
Elmira, Michigan 49730

Pendills Creek National Fish Hatchery  
R. R. 01, Box 214  
Brimley, Michigan 49715

New London National Fish Hatchery  
P. O. Box 304  
New London, Minnesota 56273

Meridian National Fish Hatchery  
P. O. Box 4277  
Meridian, Mississippi 39301

Tupelo National Fish Hatchery  
Tupelo, Mississippi 38801

Neosho National Fish Hatchery  
Neosho, Missouri 64850

Bozeman National Fish Hatchery  
Rt. 2, Box 333  
Bozeman, Montana 59715

Creston National Fish Hatchery  
Rt. 4  
Kalispell, Montana 59901

Ennis National Fish Hatchery  
P.O. Box 335  
Ennis, Montana 59729

Miles City National Fish Hatchery  
P. O. Box 756  
Miles City, Montana 59301

Crawford National Fish Hatchery  
P. O. Box 387  
Crawford, Nebraska 69339

Lahontan National Fish Hatchery  
Rt. 2, Box 80  
Gardnerville, Nevada 89410

Berlin National Fish Hatchery  
RFD 1  
Berlin, New Hampshire 93570

Nashua National Fish Hatchery  
151 Broad Street  
Nashua, New Hampshire 03060

Dexter National Fish Hatchery  
Box 217  
Dexter, New Mexico 88230

Mescalero National Fish Hatchery  
Box 257  
Mescalero, New Mexico 88340

Edenton National Fish Hatchery  
Edenton, North Carolina 27932

McKinney Lake National Fish Hatchery  
Hoffman, North Carolina 28347

Pisgah Forest National Fish Hatchery  
P. O. Box 158  
Pisgah Forest, North Carolina 28768

Baldhill Dam National Fish Hatchery  
(Under jurisdiction of Valley City)

Garrison Dam National Fish Hatchery  
Riverdale, North Dakota 58565

Valley City National Fish Hatchery  
R.R. 0 1  
Valley City, North Dakota 58072

Hebron National Fish Hatchery  
Rt. 1  
Hebron, Ohio 43025

Senecaville National Fish Hatchery  
R.R. 0 1  
Senecaville, Ohio 43780

Tishomingo National Fish Hatchery  
Rt. 1  
Tishomingo, Oklahoma 73460

Eagle Creek National Fish Hatchery  
R. 1, Box 610  
Estacada, Oregon 97023

Warm Springs National Fish Hatchery  
Warm Springs, Oregon 97761

Allegheny National Fish Hatchery  
RD 1, Box 1050  
Warreb, Pennsylvania 16365

Lamar National Fish Hatchery

P.O. Box 75  
Lamar, Pennsylvania 16848

Cheraw National Fish Hatchery  
Rt. 2, box 620  
Cheraw, South Carolina 29529

Orangeburg National Fish Hatchery  
P. O. Box 410  
Orangeburg, South Carolina 29115

Orangeburg County National Fish Hatchery  
(Under jurisdiction of Orangeburg, South Carolina, Station)

Walhalla National Fish Hatchery  
P. O. Box 9  
Walhalla, South Carolina 29691

Gavins Point National Fish Hatchery  
R.R. 0 1  
Yankton, South Dakota 57078

McNenny National Fish Hatchery  
Spearfish, South Dakota 57783

Spearfish National Fish Hatchery  
(Under jurisdiction of McNenny, South Dakota, Station)

Dale Hollow National Fish Hatchery  
P. O. Box C  
Celina, Tennessee 38551

Erwin National Fish Hatchery  
P. O. Box 548  
Erwin, Tennessee 37650

Fort Worth National Fish Hatchery  
6200 Hatchery Road  
Fort Worth, Texas 76114

Inks Dam National Fish Hatchery  
Rt. 2  
Burnet, Texas 78611

San Marcos National Fish Hatchery  
San Marcos, Texas 78666

Uvalde National Fish Hatchery  
Box 708  
Uvalde, Texas 78801

Jones Hole National Fish Hatchery  
P. O. Box 718  
Vernal, Utah 84078

Pittsford National Fish Hatchery  
Pittsford, Vermont 05763

White River National Fish Hatchery  
Bethel, Vermont 05032

Harrison Lake National Fish Hatchery  
Rt. 2, Box 93  
Charles City, Virginia 23030

Paint Bank National Fish Hatchery  
Paint Bank, Virginia 24131

Wytheville National Fish Hatchery  
Route 2, Box 300  
Max Meadows, Virginia 24360

Carson National Fish Hatchery  
Carson, Washington 98610

Abernathy National Fish Hatchery  
Longview, Washington 98632

Entiat National Fish Hatchery  
Star Rt. 1, Box 410  
Entiat, Washington 98822

Leavenworth National Fish Hatchery  
Rt. 1, Box 123-A  
Leavenworth, Washington 98826

Little White Salmon National Fish Hatchery  
Box 17  
Cook, Washington 98605

Makah National Fish Hatchery  
Neah Bay, Washington 98357

Quilcene National Fish Hatchery  
Quilcene, Washington 98376

Quinault National Fish Hatchery  
P. O. Box 80  
Neilton, Washington 98566

Spring Creek National Fish Hatchery  
Underwood, Washington 98651

Willard National Fish Hatchery  
Star Route  
Cook, Washington 98605

Winthrop National Fish Hatchery  
Winthrop, Washington 98862

Fish Screens National Fish Hatchery  
Box 902  
Yakima, Washington 98901

Bowden National Fish Hatchery  
Rt. 1, Box 80  
Elkins, West Virginia 26241

Leetown National Fish Hatchery  
Kearneysville, West Virginia 25430

White Sulphur Springs National Fish Hatchery  
P. O. Box F  
White Sulphur Springs, West Virginia 24986

Genoa National Fish Hatchery  
Rt. 1  
Genoa, Wisconsin 54632

Lake Mills National Fish Hatchery  
Lake Mills, Wisconsin 53551

Jackson National Fish Hatchery  
Box 1845  
Jackson, Wyoming 83001

Saratoga National Fish Hatchery  
Box 665  
Saratoga, Wyoming 82331

#### D. Fish Hatchery Biologists

Fish Hatchery Biologist  
Stuttgart  
Box 292  
Stuttgart, Arkansas 72160

Fish Hatchery Biologist  
Fort Morgan  
P. O. Box 917  
Fort Morgan, Colorado 80701

Fish Hatchery Biologist  
Dworshak  
P. O. Box 251  
Ahsahka, Idaho 83520

Fish Hatchery Biologist  
Craig Rrook  
East Orland, Maine 04431

Fish Hatchery Biologist

Pisgah Forest  
P. O. Box 158  
Pisgah Forest, North Carolina 28768

Fish Hatchery Biologist  
San Marcos  
Box 786  
San Marcos, Texas 78666

Fish Hatchery Biologist  
Abernathy  
Longview, Washington 98632

Fish Hatchery Biologist  
Little White Salmon  
P. O. Box 17  
Cook, Washington 98605

Fish Hatchery Biologist  
Leetown  
Kearneysville, West Virginia 25430

Fish Hatchery Biologist  
Genoa  
Box 252  
Genoa, Wisconsin 54632

Fish Hatchery Biologist  
Spearfish Fisheries Center  
P. O. Box 640  
Spearfish, South Dakota 57783

Fish Hatchery Biologist  
Eastern Fish Disease Laboratory  
Kearneysville, West Virginia 25430

#### E. National Wildlife Refuges

Choctaw National Wildlife Refuge  
Box 325  
Jackson, Alabama 36545

Eufaula National Wildlife Refuge  
Box 258  
Eufaula, Alabama 36027

Wheeler National Wildlife Refuge  
Box 1643  
Decatur, Alabama 35601

Alaska Area Office  
813 D Street  
Anchorage, Alaska 99501

Aleutian Island National Wildlife Refuge  
Box 5251  
Adak, Alaska 98791

Arctic National Wildlife Range  
1412 Airport Way  
Fairbanks, Alaska 99701

Clarence Rhode National Wildlife Range  
Box 346  
Bethel, Alaska 99559

Izembek National Wildlife Refuge  
Pouch 0 2  
Cold Bay, Alaska 99571

Kenai National Moose Range  
Box 500  
Kenai, Alaska 99611

Kodiak National Wildlife Refuge  
Box 825  
Kodiak, Alaska 99615

Southwestern Desert Zones Refuges  
Box 1032  
Yuma, Arizona 85364

- Cibola National Wildlife Refuge  
Box AP  
Blythe, California 92225
- Havasu National Wildlife Refuge  
Box A  
Needles, California 92363
- Imperial National Wildlife Refuge  
Box 2164  
Martinez Lake, Arizona 85364
- Kofa Game Range & Cabeza Prieta Game Range  
Box 1032  
Yuma, Arizona 85364
- Big Lake National Wildlife Refuge  
Box 67  
Manila, Arkansas 72442
- Holla Bend National Wildlife Refuge  
Box 1043  
Russellville, Arkansas 72801
- Wapanocca National Wildlife Refuge  
Box 257  
Turrell, Arkansas 72384
- White River National Wildlife Refuge  
Box 308  
704 S. Jefferson Street  
Dewitt, Arkansas 72042
- Humboldt Bay National Wildlife Refuge  
Box 1386  
Eureka, California 95501
- Kern and Pixley National Wildlife Refuge  
Box 219  
Delano, California 93215
- Kalamath Basin Refuges  
Rt. 1, Box 74  
Tule Lake, California 96134
- Sacramento National Wildlife Refuge  
Rt. 1, Box 311  
Willows, California 95988
- Colusa National Wildlife Refuge  
Box 809  
Colusa, California 95932
- Sutter National Wildlife Refuge  
Box 66  
Sutter, California 95982
- Salton Sea  
Box 247  
Calipatria, California 92233
- San Francisco Bay National Wildlife Refuge  
3849 Peralta Blvd.  
Fremont, California 94536
- San Luis National Wildlife Refuge  
Box 2176  
Los Banos, California 93602
- Arapaho National Wildlife Refuge  
Walden, Colorado 80480
- Browns Park National Wildlife Refuge  
Greystone, Colorado 81635
- Monte Vista National Wildlife Refuge  
Box 511  
Monte Vista, Colorado 81144
- Alamosa National Wildlife Refuge  
Box 1148  
Alamosa, Colorado 81101
- Bombay Hook National Wildlife Refuge  
R.D. 1, Box 147  
Smyrna, Delaware 19977
- Prime Hook National Wildlife Refuge  
R.D. 1, Box 195  
Milford, Delaware 19968
- Chassahowitzka National Wildlife Refuge  
Route 1, Box 153  
Homosassa, Florida 32646
- Lake Woodruff National Wildlife Refuge  
Box 488  
DeLeon Springs, Florida 32028
- Merritt Island National Wildlife Refuge  
Box 6504  
Titusville, Florida 32780
- St. Marks National Wildlife Refuge  
Box 68  
St. Marks, Florida 32355
- St. Vincent National Wildlife Refuge  
Box 447  
Apalachicola, Florida 32320
- South Florida Refuges  
Route 1, Box 278  
Delray Beach, Florida 33444
- National Key Deer National Wildlife Refuge  
Box 510  
Big Pine Key, Florida 33043
- J. N. 'Ding' Darling National Wildlife Refuge  
P. O. Drawer B  
Sanibel, Florida 33957
- Okefenokee National Wildlife Refuge  
Box 117  
Waycross, Georgia 31501
- Piedmont National Wildlife Refuge  
Round Oak, Georgia 31080
- Hawaiian Islands National Wildlife Refuge  
337 Uluniu Street  
Kailua, Hawaii 96734
- Camas National Wildlife Refuge  
Hamer, Idaho 83425
- Deer Flat National Wildlife Refuge  
Route 1, Box 1457  
Nampa, Idaho 83651
- Grays Lake National Wildlife Refuge  
Box 837  
Soda Springs, Idaho 83257
- Bear Lake National Wildlife Refuge  
802 Washington  
Montpelier, Idaho 83254
- Kootenai National Wildlife Refuge  
Star Route 1, Box 160  
Bonners Ferry, Idaho 83805
- Minidoka National Wildlife Refuge  
Rt. 4, U.S.F.W.S.  
Rupert, Idaho 83350
- Crab Orchard National Wildlife Refuge  
Box J  
Carterville, Illinois 62918

- Mark Twain National Wildlife Refuge  
Box 225  
Quincy, Illinois 62301
- Batchtown and Calhoun Division National Wildlife Refuge  
Box 142  
Brussels, Illinois 62013
- Chautauqua and Meredosia National Wildlife Refuge  
Rt. 2  
Havana, Illinois 62644
- Savanna District National Wildlife Refuge  
Box 190  
Savanna, Illinois 61074
- Muscatatuck National Wildlife Refuge  
Box 631  
Saymour, Indiana 47274
- De Soto National Wildlife Refuge  
Route 1, Box 114  
Missouri Valley, Iowa 51555
- Lansing District National Wildlife Refuge  
Box 128  
Lansing, Iowa 52151
- Louisa and Keithsburg Division National Wildlife Refuge  
Route 1  
Wapello, Iowa 52653
- Union Slough National Wildlife Refuge  
Box 248  
Titonka, Iowa 50480
- Flint Hills National Wildlife Refuge  
Box 213  
Burlington, Kansas 66839
- Kirwin National Wildlife Refuge  
Kirwin, Kansas 67644
- Quivira National Wildlife Refuge  
Box G  
Stafford, Kansas 67578
- Catahoula National Wildlife Refuge  
P. O. Drawer LL  
Jena, Louisiana 71342
- Delta-Breton National Wildlife Refuge  
Venice, Louisiana 70091
- Lacassine National Wildlife Refuge  
Route 1, Box 186  
Lake Arthur, Louisiana 70549
- Sabine National Wildlife Refuge  
MRH 107  
Sulphur, Louisiana 70663
- Moosehorn National Wildlife Refuge  
Box X  
Calais, Maine 04619
- Blackwater National Wildlife Refuge  
Route 1, Box 121  
Cambridge, Maryland 21613
- Eastern Neck National Wildlife Refuge  
Route 2, Box 225  
Rock Hall, Maryland 21661
- Great Meadows National Wildlife Refuge  
181 Sudbury Road  
Concord, Massachusetts 01742
- Parker River National Wildlife Refuge  
Northern Boulevard Plum Island  
Newburyport, Massachusetts 01950
- Seney National Wildlife Refuge  
Star Route  
Seney, Michigan 49883
- Shiawassee National Wildlife Refuge  
6975 Mower Road, Route 1  
Saginaw, Michigan 48601
- Ottawa National Wildlife Refuge  
14000 W. State, Route 2  
Oak Harbor, Ohio 43449
- Agassiz National Wildlife Refuge  
Middle River, Minnesota 56737
- Fergus Falls Wetland Complex  
Box 294  
Fergus Falls, Minnesota 56537
- Benson Wetland Management District  
N.W. Highway 9, Box D  
Benson, Minnesota 56215
- Big Stone NWR & Wetland Management District  
Ortonville, Minnesota 56278
- Fergus Falls Wetland Management District  
Box 294  
Fergus Falls, Minnesota 56537
- Fergus Falls Wetland Office  
Box 222  
Fergus Falls, Minnesota 56537
- Tamarac Wetland Management District  
Rural Route  
Rochert, Minnesota 56578
- Rice Lake National Wildlife Refuge  
McGregor, Minnesota 55760
- Sherburne National Wildlife Refuge  
Route 2  
Zimmerman, Minnesota 55398
- Upper Mississippi River Wildlife and Fish Refuge  
Box 226  
Winona, Minnesota 55987
- Noxubee National Wildlife Refuge  
Route 1  
Brooksville, Mississippi 39739
- Yazoo National Wildlife Refuge  
Route 1, Box 286  
Hollandale, Mississippi 38748
- Clarence Cannon National Wildlife Refuge  
Box 88  
Annada, Missouri 63330
- Mingo National Wildlife Refuge  
Rural Route 1, Box 9A  
Puxico, Missouri 63960
- Squaw Creek National Wildlife Refuge  
Box 101  
Mound City, Missouri 64470
- Swan Lake National Wildlife Refuge  
Box 68  
Sumner, Missouri 64681
- Benton Lake National Wildlife Refuge  
Box 450  
Black Eagle, Montana 59414
- UL Bend National Wildlife Refuge  
Box J  
Malta, Montana 59538

Charles M. Russell National Wildlife Range  
Box 110  
Lewiston, Montana 59457

Medicine Lake National Wildlife Refuge  
Medicine Lake, Montana 59247

National Bison Range  
Moiese, Montana 59824

Ravalli National Wildlife Refuge  
No. 5, 3rd Street  
Stevensville, Montana 59870

Red Rock Lakes National Wildlife Refuge  
Monida Star Route  
Lima, Montana 59739

Crescent Lake National Wildlife Refuge  
Star Route 69368  
Ellsworth, Nebraska 69340

Fort Niobrara National Wildlife Refuge  
Hidden Timber Star Route  
Valentine, Nebraska 69201

Hastings Wetland Management District  
Box 847  
Hastings, Nebraska

Desert National Wildlife Range  
1500 North Decatur Boulevard  
Las Vegas, Nevada 89108

Ruby Lake National Wildlife Refuge  
Ruby Valley, Nevada 89833

Brigantine National Wildlife Refuge  
Great Creek Road, Box 72  
Oceanville, New Jersey 08231

Great Swamp National Wildlife Refuge  
Pleasant Plains Road  
RD1, Box 148  
Basking Ridge, New Jersey 07920

Bitter Lake National Wildlife Refuge  
Box 7  
Rosewell, New Mexico 88201

Bosque del Apache National Wildlife Refuge  
Box 278  
San Antonio, New Mexico 87832

Seville National Wildlife Refuge  
San Acacia, New Mexico 87831

Las Vegas National Wildlife Refuge  
Box 1070  
Las Vegas, New Mexico 87701

Maxwell National Wildlife Refuge  
Box 276  
Maxwell, New Mexico 87728

Iroquois National Wildlife Refuge  
RFD 1  
Basom, New York 14013

Montezuma National Wildlife Refuge  
RD 1, Box 232  
Seneca Falls, New York 13148

Target Rock National Wildlife Refuge  
Target Rock Road  
Huntington, New York 11743

Mackay Island National Wildlife Refuge  
Knotts Island, North Carolina 27950

Mattamuskeet National Wildlife Refuge  
New Holland, North Carolina 27885

Pea Island National Wildlife Refuge  
Box 606  
Manteo, North Carolina 27954

Pee Dee National Wildlife Refuge  
Box 780  
Wadesboro, North Carolina 28170

Pungo National Wildlife Refuge  
Plymouth, North Carolina 27962

Arrowwood National Wildlife Refuge  
Rural Route 1  
Edmunds, North Dakota 58434

Audubon National Wildlife Refuge  
Rural Route 1  
Coleharbor, North Dakota 58531

Crosby Wetland Management District  
Box 148  
Crosby, North Dakota 58746

Des Lacs National Wildlife Refuge  
Box 578  
Kenmare, North Dakota 58746

Devils Lake Wetland Management District  
Box 159-D  
Devils Lake, North Dakota 58301

Garrison Waterfowl Management Office  
Box 456  
Bismarck, North Dakota 58501

J. Clark Salyer National Wildlife Refuge  
Upham, North Dakota 58789

Kulm Wetland Management District  
Box E  
Kulm, North Dakota 58456

Tewaukon National Wildlife Refuge  
Rural Route 1  
Cayuga, North Dakota 58013

Upper Souris National Wildlife Refuge  
Rural Route 1  
Foxholm, North Dakota 58738

Salt Plains National Wildlife Refuge  
Route 1, Box 49  
Jet, Oklahoma 73749

Sequoyah National Wildlife Refuge  
Box 398  
Sallisaw, Oklahoma 74955

Tishomingo National Wildlife Refuge  
Box 248  
Tishomingo, Oklahoma 73460

Washita National Wildlife Refuge  
Route 2, Box 100  
Butler, Oklahoma 73625

Charles Sheldon Antelope Range  
Hart Mountain National Antelope Refuge  
Box 111  
Lakeview, Oregon 97630

Modoc National Wildlife Refuge  
Box 1610  
Alturas, California 96101

Malheur National Wildlife Refuge  
Box 113  
Burns, Oregon 97720

- Umatilla National Wildlife Refuge  
Box 239  
Umatilla, Oregon 97882
- William L. Finley National Wildlife Refuge  
Route 2, Box 208  
Corvallis, Oregon 97330
- Ankeny National Wildlife Refuge  
Route 1, Box 198  
Jefferson, Oregon 97352
- Baskett Slough National Wildlife Refuge  
Route 1, Box 709  
Dallas, Oregon 97338
- Erie National Wildlife Refuge  
RD 2, Box 191  
Guys Mills, Pennsylvania 16327
- Minigret National Wildlife Refuge  
Charlestown, Rhode Island 02813
- Cape Romain National Wildlife Refuge  
Route 1, Box 191  
Awendaw, South Carolina 24929
- Carolina Sandhills National Wildlife Refuge  
Route 2, Box 447  
McBee, South Carolina 29101
- Santee National Wildlife Refuge  
Box 158  
Summerton, South Carolina 29148
- Savannah National Wildlife Refuge  
Route 1  
Hardeeville, South Carolina 29927
- Lacreek National Wildlife Refuge  
South Rural Route  
Martin, South Dakota 57551
- Lake Andes National Wildlife Refuge  
Box 396  
Land Andes, South Dakota 57356
- Madison Wetland Management District  
Box 48  
Madison, South Dakota 57042
- Sand Lake National Wildlife Refuge  
Rural Route  
Columbia, South Dakota 57433
- Waubay National Wildlife Refuge  
Rural Route 1  
Waubay, South Dakota 57273
- Cross Creeks National Wildlife Refuge  
Route 1, Box 229  
Dover, Tennessee 37058
- Hatchie National Wildlife Refuge  
Box 187  
Brownsville, Tennessee 38012
- Reelfoot National Wildlife Refuge  
Box 295  
Samburg, Tennessee 38254
- Tennessee National Wildlife Refuge  
Box 849  
Paris, Tennessee 38242
- Gulf Coastal Zone Refuges  
Box 2506  
Victoria, Texas 77901
- Anahuac National Wildlife Refuge  
Box 278  
Anahuac, Texas 77514
- Aransas National Wildlife Refuge  
Box 68  
Austwell, Texas 77950
- Attwater Prairie Chicken National Wildlife Refuge  
Eagle Lake, Texas 77434
- Brazoria National Wildlife Refuge  
Box 1088  
Angleton, Texas 77515
- Lauguna Atascosa National Wildlife Refuge  
Box 2683  
Harlingen, Texas 78550
- Santa Ana National Wildlife Refuge  
Alamo, Texas 78516
- Buffalo Lake National Wildlife Refuge  
Box 228  
Umbarger, Texas 79091
- Hagerman National Wildlife Refuge  
Route 3, Box 123  
Sherman, Texas 75090
- Muleshoe National Wildlife Refuge  
Box 549  
Muleshoe, Texas 79347
- Bear River Migratory Bird Refuge  
Box 459  
Brigham City, Utah 84302
- Fish Springs National Wildlife Refuge  
Dugway, Utah 84022
- Ouray National Wildlife Refuge  
447 E. Main St., Suite 4  
Vernal, Utah 84078
- Mississquoi National Wildlife Refuge  
Route 2  
Swanton, Vermont 05488
- Back Bay National Wildlife Refuge  
Suite 218, 387 Pembroke Office Park  
Virginia Beach, Virginia 23462
- Chincoteague National Wildlife Refuge  
Box 62  
Chincoteague, Virginia 23336
- Dismal Swamp National Wildlife Refuge  
Box 349  
Suffolk, Virginia 23434
- Mason Neck National Wildlife Refuge  
14015 Jefferson Davis Highway  
Woodbridge, Virginia 22191
- Presquile National Wildlife Refuge  
Box 658  
Hopewell, Virginia 23860
- Columbia National Wildlife Refuge  
P. O. Drawer F  
Othello, Washington 99344
- Lower Columbia River Complex National Wildlife Refuge  
Box 467  
Ridgefield, Washington 98642
- Willapa National Wildlife Refuge  
Ilwaco, Washington 98624
- Columbian White-tailed Deer National Wildlife Refuge  
Route 1, Box 876C

Cathlamet, Washington 98612

McNary National Wildlife Refuge  
Box 308  
Burbank, Washington 99323

Toppenish National Wildlife Refuge  
Route 1, Box 1300  
Toppenish, Washington 98948

Turnbull National Wildlife Refuge  
Route 3, Box 107  
Cheney, Washington 99004

Horicon National Wildlife Refuge  
Route 2  
Mayville, Wisconsin 53050

Necedah National Wildlife Refuge  
Star Route  
Necedah, Wisconsin 54646

Cassville District  
Box 51  
Cassville, Wisconsin 53806

La Crosse District  
Box 619  
La Crosse, Wisconsin 54602

Trempealeau National Wildlife Refuge  
Route 1  
Trempealeau, Wisconsin 54661

National Elk Refuge  
Box C  
Jackson, Wyoming 83001

Seedskaadee National Wildlife Refuge  
Box 67  
Green River, Wyoming 82935

#### F. Ecological Services

Regional Director  
P.O. Box 3737  
Portland, Oregon 97208

Field Supervisor  
809 N.E., Sixth Avenue  
Portland, Oregon 97232

Field Supervisor  
Room 209  
4620 Overland Road  
Boise, Idaho 83705

Field Supervisor  
Room 3-2727  
Federal Office Building  
2800 Cottage Way  
Sacramento, California 95825

Field Supervisor  
P.O. Box 1487  
Olympia, Washington 98501

Field Supervisor  
Federal Building  
24000 Avila Road  
Laguna Niguel, California 92677

Field Supervisor  
821 Milinani Street  
Honolulu, Hawaii 96813

Regional Director  
P.O. Box 1306  
Albuquerque, New Mexico 87103

Field Supervisor

7A25G Fritz Lanham Building  
819 Taylor Street  
Fort Worth, Texas 76102

Field Supervisor  
Room 3097  
Federal Building  
333 West 4th Street  
Tulsa, Oklahoma 74103

Field Supervisor  
Room 247A - Downtown Post Office Building  
522 North Central Avenue  
Phoenix, Arizona 85004

Field Supervisor  
Room 327 - U. S. Custom House  
Galveston, Texas 77550

Field Supervisor  
Commerce One Building - Suite 120  
4455 Padre Island Drive  
Corpus Christi, Texas 78411

Regional Director  
Federal Building  
Fort Snelling, Twin Cities, Minnesota 55111

Field Supervisor  
Twin Cities, Minnesota 55111

Field Supervisor  
301 Manly Miles Building  
1405 S. Harrison Road  
East Lansing, Michigan 48823

Field Supervisor  
P. O. Box 54  
Lebanon, Ohio 45306

Field Supervisor  
Rock Island County Office Building  
1504 Third Avenue  
Rock Island, Illinois 61201

Field Supervisor  
University of Wisconsin Library  
Green Bay, Wisconsin 54301

Regional Director  
17 Executive Park Drive, N.E.  
Atlanta, Georgia 30329

Field Supervisor  
Merchants National Bank Building  
Room 409 - 820 South Street  
Vicksburg, Mississippi 39180

Field Supervisor  
Federal Office Building  
Room 468 - 310 New Bern Avenue  
Raleigh, North Carolina 27601

Field Supervisor  
P. O. Box 837  
Dacatur, Alabama 35601

Biologist-in-charge  
Billeaud Hall  
Box 4753  
University of Southwestern Louisiana  
Lafayette, Louisiana 70501

Biologist-in-charge  
217 Fort Johnson Road  
Charleston, South Carolina 29412

Regional Director  
John W. McCormack Post Office and Court House  
Boston, Massachusetts 02109



Area Supervisor  
55 Pleasant Street  
Federal Building  
Concord, New Hampshire 03301

Area Supervisor  
1825-B Virginia Street  
Annapolis, Maryland

Area Supervisor  
100 Grange Place  
Cortland, New York 11772

Biologist-in-charge  
50 Maple Street  
Patchogue, New York 11772

Area Supervisor  
Center Building - Room 225  
6816 Market Street  
Upper Darby, Pennsylvania 19082

Biologist-in-charge  
Necote Creek Research Center  
Star Route  
Absecon, New Jersey 08201

Biologist-in-charge  
P. O. Box 346  
Elkins, West Virginia 26241

Regional Director  
P.O. Box 25486  
Denver, Colorado 80225

Area Manager  
P.O. Box 25486  
Denver Federal Center  
Denver, Colorado 80225

Area Manager  
P. O. Box 1296  
Billings, Montana 59103

Area Manager  
P.O. Box 1897  
Bismarck, North Dakota 58501

Area Manager  
601 12th Street  
Federal Building  
Kansas City, Missouri 64106

Area Manager  
P. O. Box 250  
Pierre, South Dakota 57501

Field Supervisor  
P.O. Box 159  
Grand Island, Nebraska 68801

Area Manager  
Federal Building - Room 2215  
125 S. State Street  
Salt Lake City, Utah 84111

Area Director  
813 D Street  
Anchorage, Alaska 99501

Field Supervisor  
813 D Street  
Anchorage, Alaska 99502

Field Supervisor  
P. O. Box 1287  
Juneau, Alaska 99801

#### G. Law Enforcement District Offices

Special Agent-in-charge

813 D Street  
Anchorage, Alaska 99501

Special Agent-in-charge  
P. O. Box 3737  
Portland, Oregon 97208

Special Agent-in-charge  
Room E 1924 - 2800 Cottage Way  
Sacramento, California 95825

Special Agent-in-charge  
P. O. Box 25486  
Denver Federal Center  
Denver, Colorado 80225

Special Agent-in-charge  
P. O. Box 1038  
Independence, Missouri

Special Agent-in-charge  
P. O. Box 329  
Albuquerque, New Mexico

Special Agent-in-charge  
P. O. Box 45  
Twin Cities, Minnesota 55111

Special Agent-in-charge  
546 Carondelet Street - Room 408  
New Orleans, Louisiana 70130

Special Agent-in-charge  
P. O. Box 95467  
Atlanta, Georgia 30347

Special Agent-in-charge  
P. O. Box 290  
Nashville, Tennessee 37202

Special Agent-in-charge  
95 Aquahart Road  
Glen Burnie, Maryland 21061

Special Agent-in-charge  
Hangar 11, Room 1-49  
John F. Kennedy Airport  
Jamaica, New York 11430

Special Agent-in-charge  
P. O. Box 34  
Boston, Massachusetts 02101

#### H. Animal Damage Control State Supervisors

711 Central Avenue  
Billings, Montana 59102

Federal Building and U. S. Courthouse  
111 S. Wolcott  
P. O. Box 59  
Casper, Wyoming 82601

P. O. Box 25487  
Denver Federal Center  
Denver, Colorado 80225

Federal Building  
Room 2215  
125 S. State Street  
Salt Lake City, Utah 84138

P. O. Box 1897  
1500 Capitol Avenue  
Bismarck, North Dakota 58501

439 Federal Building  
P. O. Box 250  
Pierre, South Dakota 57501

133 Federal Building

Lincoln, Nebraska 68508

2800 Cottage Way  
Federal Building, Room E2717  
Sacramento, California 95825

300 Booth Street  
Federal Building, Room 4007  
U. S. Courthouse  
Reno, Nevada 89502

919 N.E., 19th Avenue, Room 210  
Portland, Oregon 97232

4620 Overland Road, Room 107  
Boise, Idaho 83705

P. O. Box 902  
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San Antonio, Texas 78204

10304 Candelaria, N.E.  
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Reston, Virginia 22092

##### B. Alabama (AL)

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Tuscaloosa, Alabama 35486

##### C. Alaska (AK)

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Publications Division  
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508 2nd Avenue  
Anchorage, Alaska 99501

U. S. Geological Survey  
Geologic Division  
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U. S. Geological Survey  
Conservation Division  
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218 E Street  
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U. S. Geological Survey  
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U. S. Geological Survey  
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310 First Avenue  
Fairbanks, Alaska 99701

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Sitka, Alaska

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Little Rock, Arkansas 72201

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Little Rock, Arkansas 72204

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309 Federal Building  
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Hawaiian Volcano Observatory  
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Peoria, Illinois 61601

Q. Indiana (IN)

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R. Indonesia (OS)

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## V. Kenya (OS)

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Jonesboro, Louisiana 71251

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Red Cedar Research Park  
Okemas, Michigan 48864

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National Space Technology Lab  
Bay St. Louis, Mississippi

U. S. Geological Survey  
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National Space Technology Laboratory  
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Artesia, New Mexico

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Roswell, New Mexico 88201

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QQ. Pakistan, West (OS)

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U. S. Department of State  
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U. S. Geological Survey  
Water Resources Division  
P. O. Box 1107 4th Floor  
Federal Building  
228 Walnut Street  
Harrisburg, Pennsylvania 17108

U. S. Geological Survey  
Water Resources Division  
405 Broad Street  
Millford, Pennsylvania 18337

U. S. Geological Survey  
Water Resources Division  
Room 607 U. S. Custom House  
2nd and Chestnut Street  
Philadelphia, Pennsylvania 19106

U. S. Geological Survey  
Water Resources Division  
Room 2204 Federal Building  
1000 Liberty Avenue  
Pittsburgh, Pennsylvania 15222

SS. Puerto Rico (SO)

U. S. Geological Survey  
Geologic Division  
Box 936  
San Juan Observatory  
Cayey, Puerto Rico 00633

U. S. Geological Survey  
Geologic Division  
GPO Box 2230  
San Juan, Puerto Rico 00936

U. S. Geological Survey  
Water Resources Division  
P. O. Box 34168, Building 652  
Fort Buchanan  
San Juan, Puerto Rico 00934

TT. Rhode Island (RI)

U. S. Geological Survey  
Water Resources Division  
Room 314 Federal Building & U. S. Post Office  
Providence, Rhode Island 02903

UU. Saudi Arabia (OS)

U. S. Geological Survey  
Topographic Division  
c/o American Embassy  
Jidda, APO New York 09697

U. S. Geological Survey

Geologic Division  
c/o American Embassy  
Jidda, APO New York 09697

## VV. South Carolina (SC)

U. S. Geological Survey  
Water Resources Division  
Suite 200  
2001 Assembly Street  
Columbia, South Carolina 29201

## WW. South Dakota (SD)

U. S. Geological Survey  
Water Resources Division  
P. O. Box 1412  
Room 231 Federal Building  
Huron, South Dakota 57350

U. S. Geological Survey  
Water Resources Division  
Room 346 Federal Building  
P. O. Box 220  
Pierre, South Dakota 57501

U. S. Geological Survey  
Water Resources Division  
Room 327, Federal Building & U. S. Court House  
515 9th Street  
Rapid City, South Dakota 57701

U. S. Geological Survey  
Computer Center Division  
EROS Data Center  
Sioux Falls, South Dakota 57198

U. S. Geological Survey  
Office of the Director  
EROS Data Center  
Sioux Falls, South Dakota 57198

U. S. Geological Survey  
Water Resources Division  
P. O. Box 437  
Vermillion, South Dakota 57069

## XX. Tennessee (TN)

U. S. Geological Survey  
Geologic Division  
301 West Cumberland Avenue  
Knoxville, Tennessee 37901

U. S. Geological Survey  
Water Resources Division  
1013 N. Broadway  
Knoxville, Tennessee 37917

U. S. Geological Survey  
Water Resources Division  
816 Federal Office Building  
167 N. Main Street  
Memphis, Tennessee 38103

U. S. Geological Survey  
Water Resources Division  
Room 1-413, U. S. Court House Building  
Nashville, Tennessee 37203

## YY. Texas (TX)

U. S. Geological Survey  
Geologic Division  
Room 801 Federal Center  
Austin, Texas 78701

U. S. Geological Survey  
Water Resources Division  
Room 630 Federal Building  
300 East 8th Street

Austin, Texas 78701

U. S. Geological Survey  
Geologic Division  
P. O. Box 6732  
Corpus Christi, Texas 78411

U. S. Geological Survey  
Publications Divisions  
Room 1045  
1100 Commerce Street  
Dallas, Texas 75202

U. S. Geological Survey  
Water Resources Division  
P. O. Box 6976, Room 200  
Building 23, Ft., Worth Federal Center  
Felix and Hemphill Streets  
Fort Worth, Texas 76115

U. S. Geological Survey  
Conservation Division  
1007 Brazosport Boulevard  
P. O. Box 2006  
Freeport, Texas 77541

U. S. Geological Survey  
Water Resources Division  
2320 La Branch Street  
Room 1112  
Houston, Texas 77004

U. S. Geological Survey  
Water Resources Division  
1409 Knickerbocker Road  
San Angelo, Texas 76901

U. S. Geological Survey  
Water Resources Division  
Room 116, 7077 San Pedro Avenue  
San Antonio, Texas 78216

U. S. Geological Survey  
Geologic Division  
Room 318 - 320-A  
Federal Building  
Wichita Falls, Texas 76301

## ZZ. Turkey (OS)

U. S. Geological Survey  
Geologic Division  
US AID/ADCD  
Ankara, APO New York 09254

## AAA. Utah (UT)

U. S. Geological Survey  
Water Resources Division  
P. O. Box 1066  
10 N. Main Street  
Cedar City, Utah 84720

U. S. Geological Survey  
Water Resources Division  
P. O. Box 413  
22 East Center Street 84321

U. S. Geological Survey  
Publications Division  
8102 Federal Building  
125 South State Street  
Salt Lake City, Utah 84138

U. S. Geological Survey  
Geologic Division  
8416 Federal Building  
Salt Lake City, Utah 84138

U. S. Geological Survey  
Conservation Division

8416 Federal Building  
125 South State Street  
Salt Lake City, Utah 84138

U. S. Geological Survey  
Water Resources Division  
Room 8002 Federal Building  
125 South State Street  
Salt Lake City, Utah 84111

U. S. Geological Survey  
Water Resources Division  
Room 119 Administration Building 1750 South Redwood Road  
Salt Lake City, Utah 84104

U. S. Geological Survey  
Water Resources Division  
89 West Main Street  
Vernal, Utah 84078

#### BBB. Virginia (VA)

U. S. Geological Survey  
Publications Division  
1200 South Eads Street  
Arlington, Virginia 22202

U. S. Geological Survey  
Water Resources Division  
P. O. Box 5184  
Barracks Road Station  
Charlottesville, Virginia 22903

U. S. Geological Survey  
Water Resources Division  
9673 Lee Highway, Suite 20  
Fairfax, Virginia 22030

U. S. Geological Survey  
Water Resources Division  
554 South Main Street  
Marion, Virginia 24354

U. S. Geological Survey  
Office of the Director  
12201 Sunrise Valley Drive  
Reston, Virginia 22092

U. S. Geological Survey  
Administrative Division  
12201 Sunrise Valley Drive  
Reston, Virginia 22092

U. S. Geological Survey  
Topographic Division  
12201 Sunrise Valley Drive  
Reston, Virginia 22092

U. S. Geological Survey  
Water Resources Division  
12201 Sunrise Valley Drive  
Reston, Virginia 22092

U. S. Geological Survey  
Publications Division  
12201 Sunrise Valley Drive  
Reston, Virginia 22092

U. S. Geological Survey  
Geologic Division  
12201 Sunrise Valley Drive  
Reston, Virginia 22092

U. S. Geological Survey  
Water Resources Division  
Room 304  
200 West Grace Street  
Richmond, Virginia 23220

U. S. Geological Survey  
Conservation Division

12201 Sunrise Valley Drive  
Reston, Virginia 22092

#### CCC. Washington (WA)

U. S. Geological Survey  
Geologic Division  
Route 4, Box 56A  
Newport Observatory  
Newport, Washington 99156

U. S. Geological Survey  
Geologic Division  
University of Washington  
Department of Oceanography  
Seattle, Washington 98105

U. S. Geological Survey  
Publications Division  
Room 678 U. S. Court House Building  
West 920 Riverside Avenue  
Spokane, Washington 99201

U. S. Geological Survey  
Water Resources Division  
Room 694 U. S. Court House Building  
West 920 Riverside Avenue  
Spokane, Washington 99201

U. S. Geological Survey  
Water Resources Division  
Room 300  
1305 Tacoma Avenue, South  
Tacoma, Washington 98402

U. S. Geological Survey  
Conservation Division  
244 Federal Building  
P. O. Box 1152  
Tacoma, Washington 98402

U. S. Geological Survey  
Water Resources Division  
Room 300  
1305 Tacoma Avenue, South  
Tacoma, Washington 98402

#### DDD. West Virginia (WV)

U. S. Geological Survey  
Water Resources Division  
Room 3303 New Federal Building &  
U. S. Court House  
500 Quarrier Street, East  
Charleston, West Virginia 25301

U. S. Geological Survey  
Water Resources Division  
P. O. Box 730  
Room 229 Federal Building  
Morgantown, West Virginia

#### EEE. Wisconsin (WI)

U. S. Geological Survey  
Water Resources Division  
Room 200  
1815 University of Wisconsin  
Madison, Wisconsin 53706

U. S. Geological Survey  
Geologic Division  
Room 222 Science Hall  
University of Wisconsin  
Madison, Wisconsin 53706

U. S. Geological Survey  
Geologic Division  
Wisconsin State University  
P. O. Box 2871  
Roundtree Hall

Platteville, Wisconsin 53818

FFF. Wyoming (WY)

U. S. Geological Survey  
Water Resources Division  
P. O. Box 2871  
Casper, Wyoming 82601

U. S. Geological Survey  
Conservation Division  
P. O. Box 2859  
2002 Federal Building & Post Office  
Casper, Wyoming 82601

U. S. Geological Survey  
Conservation Division  
P. O. Box 1087  
Cheyenne, Wyoming 82001

U. S. Geological Survey  
Conservation Division  
P. O. Box 219 - 201 Grieves Building  
110 1/2 W. Main  
Newcastle, Wyoming 82701

U. S. Geological Survey  
Water Resources Division  
P. O. Box 431  
Riverton, Wyoming 82501

U. S. Geological Survey  
Conservation Division  
126 Elk Street  
P. O. Box 1170  
Rock Springs, Wyoming 82901

U. S. Geological Survey  
Conservation Division  
202 Federal Building  
P. O. Box 590  
Thermopolis, Wyoming 82443

U. S. Geological Survey  
Water Resources Division  
1214 Big Horn Avenue  
Worland, Wyoming 82401

GGG. Yeman (OS)

U. S. Geological Survey  
Water Resources Division  
US AID/SANAA  
Washington, D. C. 20521

X. BUREAU OF INDIAN AFFAIRS.

(Add Bureau of Indian Affairs, U. S. Department of the Interior  
to all  
addresses).

A. Headquarters Office

Bureau of Indian Affairs  
U. S. Department of the Interior  
18th and C Streets, N. W.  
Washington, D. C. 20240

B. Aberdeen Area

Aberdeen Area Office  
Federal Building  
115 4th Avenue, S.E.  
Aberdeen, South Dakota 57401

Cheyenne River Agency  
Eagle Butte, South Dakota 57625

Crow Creek Agency  
P. O. Box 616  
Ft. Thompson, South Dakota 57339

Flandreau School  
Flandreau, South Dakota 57028

Fort Berthold Agency  
New Town, North Dakota 58763

Fort Totten Agency  
Fort Totten, North Dakota 58335

Lower Brule Agency  
Lower Brule, South Dakota 57548

Pierre Boarding School  
Star Route 3  
Pierre, South Dakota 57501

Pine Ridge Agency  
Pine Ridge, South Dakota 57770

Rosebud Agency  
Rosebud, South Dakota 57570

Sisseton Agency  
Sisseton, South Dakota 67262 0

Standing Rock Agency  
Fort Yates, North Dakota 58538

Turtle Mountain Agency  
Belcourt, North Dakota 58316

Wahpeton School  
Wahpeton, North Dakota 58075

Winnebago Agency  
Winnebago, Nebraska 68071

Yankton Agency  
Wagner, South Dakota

C. Albuquerque Area

Albuquerque Area Office  
P. O. Box 8327  
5301 Central Avenue  
Albuquerque, New Mexico 87108

Albuquerque Indian School  
1000 Menaul N.W.  
Albuquerque, New Mexico 87103

Institute of American Indian Arts  
Cerrillos Road  
Santa Fe, New Mexico 87501

Jicarilla Agency  
Dulce, New Mexico 87528

Mescalero Agency  
Mescalero, New Mexico 88340

Northern Pueblos Agency  
P. O. Box 580  
Federal Post Office Building  
Santa Fe, New Mexico 87501

Ramah-Navajo Agency  
Ramah, New Mexico 87321

Southern Pueblos Agency  
P. O. Box 1667  
Albuquerque, New Mexico 87103

Southern Ute Agency  
P. O. Box 315  
Ignacio, Colorado 81137

Southwestern Indian Polytechnic Institute  
P. O. Box 10146  
Albuquerque, New Mexico 87114

Ute Mountain Ute Agency  
General Delivery  
Bureau of Indian Affairs  
Towaoc, Colorado 81334

Zuni Agency  
Box 5  
Zuni, New Mexico 87327

Denver Field Employment Assistant Office  
19th & Stout Street  
Denver, Colorado 80202

#### D. Anadarko Area

Anadarko Area Office  
Federal Building  
P. O. Box 368  
Anadarko, Oklahoma 73005

Anadarko Agency  
P. O. Box 309  
Anadarko, Oklahoma 73005

Chilocco School  
Arkansas City, Oklahoma 74635

Concho Agency  
Concho, Oklahoma 73022

Fort Sill School  
Lawton, Oklahoma 73501

Haskell Indian Junior College  
Lawrence, Kansas 66055

Horton Agency  
Horton, Kansas 66439

Pawnee Agency  
Pawnee, Oklahoma 74058

Riverside School  
P. O. Box 489  
Anadarko, Oklahoma 73005

Shawnee Agency  
Federal Building  
Shawnee, Oklahoma 74801

Concho School  
P. O. Box 91  
Concho, Oklahoma 73022

Oklahoma City Employment Assistance Office  
P. O. Box 236 - U. S. Post Office  
Oklahoma City, Oklahoma 73102

#### E. Billings Area

Billings Area Office  
316 N. 26th Street  
Billings, Montana 59101

Blackfeet Agency  
Browning, Montana 59417

Crow Agency  
Crow Agency, Montana 59022

Flathead Agency  
P. O. Box 518  
Ronan, Montana 59864

Flathead Irrigation Project  
St. Ignatius, Montana 59865

Fort Belknap Agency  
P. O. Box 80  
Harlem, Montana 59526

Fort Peck Agency  
P. O. Box 637  
Poplar, Montana 59255

Northern Cheyenne Agency  
Lame Deer, Montana 59043

Planning Support Group  
316 N. 26th Street  
Billings, Montana 59101

Rocky Boy's Agency  
Box Elder, Montana 59521

Wind River Agency  
Riverton, Wyoming

#### F. Juneau Area

Juneau Area Office  
Box 3-8000  
Juneau, Alaska 99802

Southeast Agency  
P. O. Box 1587  
Juneau, Alaska 99802

Anchorage Agency  
P. O. Box 120  
Anchorage, Alaska 99501

Bethel Agency  
P. O. Box 347  
Bethel, Alaska 99559

Fairbanks Agency  
P. O. Box 530  
Fairbanks, Alaska 99707

Mt. Edgecumbe School  
Mt. Edgecumbe, Alaska 99835

Nome Agency  
Box 1198  
Nome, Alaska

Seattle Liaison Office  
Bureau of Indian Affairs  
816 United Pacific Building  
1000 Second Avenue  
Seattle, Washington 98104

#### G. Minneapolis Area

Minneapolis Area Office  
831 Second Avenue, S.  
Minneapolis, Minnesota 55402

Great Lakes Agency  
Ashland, Wisconsin

Sac and Fox Area Field Office  
Tama, Iowa 52339

Chicago Field Employment Assistance Office  
433 West Van Buren Street  
Chicago, Illinois 60607

Minnesota Agency  
P. O. Box 1127  
Bemidji, Minnesota 56601

Red Lake Agency  
Red Lake, Minnesota 56671

Michigan Agency  
Sault Ste. Marie, Michigan 49783

Office of Indian Business Enterprises  
435 W. Van Buren, Rm. 429

Chicago, Illinois 60607

#### H. Muskogee Area

Muskogee Area Office  
Federal Building  
Muskogee, Oklahoma 74401

Ardmore Agency  
P. O. Box 997  
Ardmore, Oklahoma 73401

Okmulgee Agency  
P. O. Box 370  
Okmulgee, Oklahoma 74447

Osage Agency  
Pawkuska, Oklahoma 74056

Miami Agency  
P. O. Box 391  
Miami, Oklahoma 74354

Sequoyah High School  
Tahlequah, Oklahoma 74464

Tahlequah Agency  
P. O. Box 459  
Tahlequah, Oklahoma 74464

Talihina Agency  
Drawer H  
Talihina, Oklahoma 74571

Wewoka Agency  
P. O. Box 1060  
Wewoka, Oklahoma 74884

Eufaula Dormitory  
Eufaula, Oklahoma 74432

Carter Seminary  
Ardmore, Oklahoma 73401

Jones Academy  
Ardmore, Oklahoma 73401

Seneca Indian School  
Wyandotte, Oklahoma 74370

Tulsa Employment Assistance Field Office  
630 W. 7th Street  
Tulsa, Oklahoma 73102

Dallas Field Employment Assistance Office  
1100 Commerce Street  
Room 2C44  
Dallas, Texas 75202

#### I. Navajo Area

Navajo Area Office  
Window Rock, Arizona 86515

Navajo Area Office (Admin.)  
P. O. Box 1060  
Gallup, New Mexico 87301

Chinle Agency  
Chinle, Arizona

Eastern Navajo Agency  
P. O. Box 328  
Crownpoint, New Mexico

Fort Defiance Agency  
Fort Defiance, Arizona 86704

Navajo Irrigation Project  
900 LaPlata Highway  
P. O. Box 2157

Farmington, New Mexico 87401

Shiprock Agency  
P. O. Box 966  
Shiprock, New Mexico 87420

Western Navajo Agency  
Tuba City, Arizona 86045

#### J. Phoenix Area

Phoenix Area Office  
124 W. Thomas Road  
P. O. Box 7007  
Phoenix, Arizona 85011

Colorado River Agency  
Parker, Arizona 85344

Eastern Nevada Agency  
Owyhee, Nevada 89832

Ft. Apache Agency  
Whiteriver, Arizona

Hopi Agency  
Keams Canyon, Arizona

Papago Agency  
Sells, Arizona 85634

Phoenix Indian High School  
Phoenix, Arizona 85011

Pima Agency  
Sacaton, Arizona 85247

Salt River Agency  
Route 1, Box 117  
Scottsdale, Arizona

San Carlos Agency  
San Carlos, Arizona 85550

San Carlos Irrigation Project  
Coolidge, Arizona 85228

Sherman Indian High School  
Riverside, California 92501

Stewart Boarding School  
Stewart, Nevada

Truxton Canon Agency  
Valentine, Arizona 86437

Unintah and Ouray Agency  
Ft. Duchesne, Utah

Western Nevada Agency  
Stewart, Nevada

Joint Use Administrative Office  
Flagstaff, Arizona 86001

Fort Yuma Agency  
P. O. Box 1591  
Yuma, Arizona 85364

Intermountain School  
P. O. Box 345  
Brigham City, Utah 84302

#### K. Portland Area

Portland Area Office  
P. O. Box 3785  
Portland, Oregon 97208

Chemawa Indian School  
5495 Chugach Street, N.E.

Chemawa, Oregon 97303

Colville Agency  
P. O. Box 111  
Nespelem, Washington 99155

Fort Hall Agency  
Fort Hall, Idaho

Northern Idaho Agency  
Lapwai, Idaho

Spokane Agency  
Spokane Indian Agency  
Wellpinit, Washington 99040

Umatilla Agency  
P. O. Box 520  
Pendleton, Oregon 978001

Wapato Irrigation Project  
Wapato, Washington 98951

Warm Springs Agency  
Warm Springs, Oregon 97761

Western Washington Agency  
3006 Colby Street  
Everett, Washington 98201

Yakima Agency  
P. O. Box 632  
Toppenish, Washington 98948

Seattle Employment Assistance  
2716 East Lake Avenue, E.  
Seattle, Washington 98102

L. Sacramento Area  
Sacramento Area Office  
Federal Office Building  
2800 Cottage Way  
Sacramento, California 95825

Central California Agency  
P. O. Box 15740  
Sacramento, California 95813

Hoopa Agency  
P. O. Box 367  
Hoopa, California 95546

Palm Springs Area Field Office  
P. O. Box 2245  
587 South Palm Canyon Drive  
Palm Springs, California 92262

Southern California Agency  
5750 Division St., Suite 201  
Riverside, California 92506

Oakland/San Francisco FEAO  
620 Central Avenue  
Alameda, California 94501

Los Angeles Field Employment Assistance Office  
300 N. Los Angeles Street  
Los Angeles, California 90012

Madera Residential Training Center  
19500 Road 28 1/2  
Madera, California 93637

M. Eastern Area

Eastern Area Office  
1951 Constitution Avenue, N. W.  
Washington, D. C. 20245

Cherokee Agency  
Cherokee, North Carolina 28719

Choctaw Agency  
421 Powell  
Philadelphia, Mississippi 39350

Miccosukee Agency  
P. O. Box 44021  
Tamiami Station, Florida 33144

Seminole Agency  
6075 Stirling Road  
Hollywood, Florida 33024

New York Field Office  
Midtown Plaza  
700 East Water Street  
Syracuse, New York 13210

Cleveland FEAO  
1240 East 9th Street  
Cleveland, Ohio 44199

Washington FEAO  
1951 Constitution Avenue, N. W.  
Washington, D. C. 20245

XI. BUREAU OF LAND MANAGEMENT.

(Add Bureau of Land Management, U. S. Department of the Interior to all addresses.)

A. Headquarters Office

Bureau of Land Management  
U. S. Department of the Interior  
18th and C Streets, N. W.  
Washington, D. C. 20240

B. State and District Offices

Alaska State Office  
555 Cordova Street  
Anchorage, Alaska 99502

District Office  
4700 East 72nd

Anchorage, Alaska 99502

District Office  
1028 Aurora Drive  
Fairbanks, Alaska 99707

Arizona State Office  
Federal Building  
2400 Valley Bank CENTER  
Phoenix, Arizona 85073

District Office  
Arizona Strip Office  
196 E. Tabernacle  
St. George, Utah 84770

District Office  
2929 West Clarendon Avenue  
Phoenix, Arizona 85017

District Office  
1707 W. Thatcher Boulevard  
Safford, Arizona 85546

District Officer  
2450 Fourth Avenue  
Yuma, Arizona 85364

California State Office  
2800 Cottage Way  
Sacramento, California 95825

District Office  
800 Truxtun Avenue

Bakersfield, California 93301

District Office  
63 Natoma Street  
Folsom, California 95630

District Officer  
2460 Athens Avenue  
Redding, California 96001

District Office  
1695 Spruce Street  
Riverside, California 92507

District Officer  
705 Hall Street  
Susanville, California 96130

District Office  
555 Leslie Street  
Ukiah, California 95482

Colorado State Office  
1600 Broadway  
Denver, Colorado 80202

District Office  
3080 East Main Street  
Canon City, Colorado 81212

District Office  
455 Emerson Street  
Craig, Colorado 81625

District Office  
223 Federal Office Building  
Grand Junction, Colorado 81501

District Office  
Highway 550 South  
Montrose, Colorado 81401

Idaho State Office  
550 West Fort Street  
Boise, Idaho 83724

District Office  
230 Collins Road  
Boise, Idaho 83702

District Office  
Route 3, Box 1  
Burley, Idaho 83318

District Office  
1808 N. Third Street  
Coeur d'Arlene, Idaho 83814

District Office  
940 Lincoln Road  
Idaho Falls, Idaho 83401

District Office  
Salmon, Idaho 83467

District Office  
112 S. Cherry Street  
Shoshone, Idaho 83352

Montana State Office  
222 N. 32nd Street  
Billings, Montana 59107

District Office, Butte  
Ibey Building N. Dillon  
Dillon, Montana 59725

District Office  
Bank Electric Building  
Lewistown, Montana 59457

District Office  
West of Miles City  
Miles City, Montana 59301

Nevada State Office  
300 Booth Street  
Reno, Nevada 89502

District Office  
Battle Mountain, Nevada 89820

District Manager  
801 N. Plaza Street  
Carson City, Nevada 89701

District Office  
2002 Idaho Street  
Elko, Nevada 89801

District Office  
Star Route, Box 1  
Ely, Nevada 89301

District Office  
4765 Vegas Drive  
Las Vegas, Nevada 89102

District Office  
705 East 4th Street  
Winnemucca, Nevada 89445

New Mexico State Office  
South Federal Place  
Santa Fe, New Mexico 87501

District Office  
3550 Pan American Freeway, N.E.  
Albuquerque, New Mexico 87107

District Office  
1795 N. Valley Drive  
Las Cruces, New Mexico 88001

District Office  
1717 W. Second Street  
Roswell, New Mexico 88201

District Office  
200 Neel Avenue, N.W.  
Socorro, New Mexico 87801

Oregon State Office  
729 N.E. Oregon Street  
Portland, Oregon 97208

District Office  
Federal Building  
Baker, Oregon 97814

District Office  
74 S. Alvord Street  
Burns, Oregon 97720

District Office  
333 S. Fourth Street  
Coos Bay, Oregon 97420

District Office  
1255 Pearl Street  
Eugene, Oregon 97401

District Office  
357 North 'L' Street  
Lakeview, Oregon 97630

District Office  
310 W. 6th Street  
Medford, Oregon 97501

District Office  
185 E. Fourth Street



Prineville, Oregon 97754

District Office  
777 N.W. Garden Valley Blvd.  
Roseburg, Oregon 97470

District Office  
3550 Liberty Road, S.  
Salem, Oregon 97302

District Office  
West 920 Riverside  
Spokane, Washington 99201

District Office  
365 A Street West  
Vale, Oregon 97918

Utah State Office  
136 East South Temple  
Salt Lake City, Utah 84111

District Office  
72 South Main  
Moab, Utah 84532

District Office  
850 N. Main Street  
Richfield, Utah 84701

District Office  
2370 South 2300 West  
Salt Lake City, Utah 84119

District Office  
91 W. Main Street  
Vernal, Utah 84078

Wyoming State Office  
2120 Capitol Avenue  
Cheyenne, Wyoming 82001

District Office  
100 East B Street  
Casper, Wyoming 82601

District Office  
1300 Third Street  
Rawlins, Wyoming 82301

District Office  
Highway 187 North  
Rock Springs, Wyoming 82901

District Office  
1700 Robertson Avenue  
Worland, Wyoming 82401

Eastern States Office  
7981 Eastern Avenue  
Silver Spring, Maryland 20910

C. Outer Continental Shelf Offices

Alaska Office  
800 A Street  
Anchorage, Alaska 99510

New Orleans Office  
500 Camp Street  
New Orleans, Louisiana 70130

New York Office  
6 World Trade Center  
New York, New York 10048

Pacific Office  
300 North Los Angeles Street  
Los Angeles, California 90012

XII. BUREAU OF MINES

A. Headquarters Office

U. S. Bureau of Mines  
Department of the Interior  
2401 E Street, N. W.  
Washington, D. C. 20241

B. Minerals and Materials Research and Development

Chief  
Process Evaluation Group  
U. S. Bureau of Mines  
P. O. Box 880  
Morgantown, West Virginia 26505

Chief  
Coal Sampling and Inspection Office  
U. S. Bureau of Mines  
College Park, Maryland 20740

Chief  
Industrial Water Laboratory  
U. S. Bureau of Mines  
College Park, Maryland 20740

Chief  
Coal Preparation and Analysis Group  
U. S. Bureau of Mines  
4800 Forbes Avenue  
Pittsburgh, Pennsylvania 15213

Chief  
Helium Operations  
U. S. Bureau of Mines  
P. O. Box H4372  
Herring Plaza  
Amarillo, Texas 79101

Superintendent  
Exell Helium Plant  
U. S. Bureau of Mines  
Box 100  
Masterson, Texas 79058

Superintendent  
Keyes Helium Plant  
U. S. Bureau of Mines  
P. O. Box 46  
Keyes, Oklahoma 73947

Superintendent  
Satanta Maintenance Station  
U. S. Bureau of Mines  
Box 517  
Satanta, Kansas 67870

Chief  
Environmental Field Office  
U. S. Bureau of Mines  
19 North Main Street  
Wilkes-Barre, Pennsylvania 18701

Chief  
Research Director  
Twin Cities Mining Research Center  
U. S. Bureau of Mines  
P. O. Box 1660  
Twin Cities, Minnesota 55111

Research Director  
Denver Mining Research Center  
U. S. Bureau of Mines  
Building 20, Denver Federal Center  
Denver, Colorado 80225

Research Director  
Spokane Mining Research Center  
U. S. Bureau of Mines  
E. 315 Montgomery Street

Spokane, Washington 99107

Research Director  
Pittsburgh Mining and Safety Research Center  
U. S. Bureau of Mines  
4800 Forbes Avenue  
Pittsburgh, Pennsylvania 15213

Research Director  
College Park Metallurgy Research Center  
U. S. Bureau of Mines  
College Park, Maryland 20740

Research Director  
Twin Cities Metallurgy Research Center  
U. S. Bureau of Mines  
P. O. Box 1660  
Twin Cities, Minnesota 55111

Research Director  
Salt Lake City Metallurgy Research Center  
U. S. Bureau of Mines  
1600 East 1st South  
Salt Lake City, Utah 84112

Research Director  
Rolla Metallurgy Research Center  
U. S. Bureau of Mines  
P. O. Box 280  
Rolla, Missouri 65401

Research Director  
Reno Metallurgy Research Center  
U. S. Bureau of Mines  
1605 Evans Avenue  
Reno, Nevada 89505

Research Director  
Albany Metallurgy Research Laboratory  
U. S. Bureau of Mines  
P. O. Box 70  
Albany, Oregon 97321

Laboratory Chief  
Tuscaloosa Metallurgy Research Laboratory  
U. S. Bureau of Mines  
P. O. Box L  
University, Alabama 35486

Laboratory Chief  
Boulder City Metallurgy Research Laboratory  
U. S. Bureau of Mines  
500 Date Street  
Boulder City, Nevada 89005

#### C. Mineral and Materials Supply/Demand Analysis

Chief  
Dallas Field Office  
U. S. Bureau of Mines  
1200 Main Tower  
Dallas, Texas 75202

Chief  
Alaska Field Operations Center  
U. S. Bureau of Mines  
P. O. Box 550  
Juneau, Anchorage 99801

Chief  
Eastern Field Operations Center  
U. S. Bureau of Mines  
4800 Forbes Avenue  
Pittsburgh, Pennsylvania 15213

Chief  
Intermountain Field Operations Center  
U. S. Bureau of Mines  
Building 20, Denver Federal Center  
Denver, Colorado 80225

Chief  
Western Field Operations Center  
U. S. Bureau of Mines  
E. 315 Montgomery Street  
Spokane, Washington 99207

State Liaison Officer  
U. S. Bureau of Mines  
P. O. Box L  
University, Alabama 35486

State Liaison Officer  
U. S. Bureau of Mines  
Room G-81, Federal Building  
Anchorage, Alaska 99501

State Liaison Officer  
U. S. Bureau of Mines  
Room 1023  
2721 N. Central Avenue  
Phoenix, Arizona 85004

State Liaison Officer  
U. S. Bureau of Mines  
Room 3331, Federal Office Building  
Little Rock, Arkansas 72201

State Liaison Officer  
U. S. Bureau of Mines  
Room 3046  
650 Capitol Mall  
Sacramento, California 95814

State Liaison Officer  
U. S. Bureau of Mines  
Building 20, Denver Federal Center  
Denver, Colorado 80225

State Liaison Officer  
U. S. Bureau of Mines  
Room 204  
547 N. Monroe Street  
Tallahassee, Florida 32301

State Liaison Officer  
U. S. Bureau of Mines  
Room 431  
19 Hunter Street S.W.  
Atlanta, Georgia 30334

State Liaison Officer  
U. S. Bureau of Mines  
Room 203  
4620 Overland Road  
Boise, Idaho 83705

State Liaison Officer  
U. S. Bureau of Mines  
Room 1117, Ridgely Building  
504 E. Monroe Street  
Springfield, Illinois 62701

State Liaison Officer  
U. S. Bureau of Mines  
Room 113  
7th & College Streets  
Bloomington, Indiana 47401

State Liaison Officer  
U. S. Bureau of Mines  
Room 518, Capitol Federal Building  
700 Kansas Avenue  
Topeka, Kansas 66603

State Liaison Officer  
U. S. Bureau of Mines  
Room 269  
John C. Watts Federal Building  
330 W. Broadway  
Frankfort, Kentucky 40601

State Liaison Officer  
U. S. Bureau of Mines  
Room 229, Federal Building & Courthouse  
707 Florida Street  
Baton Rouge, Louisiana 70801

State Liaison Officer  
U. S. Bureau of Mines  
Federal Building 6 Post Office  
40 Western Avenue  
Augusta, Maine 04330

State Liaison Officer  
U. S. Bureau of Mines  
Room 1121, Commerce Center Building  
300 Capitol Street  
Lansing, Michigan 48933

State Liaison Officer  
U. S. Bureau of Mines  
Room G-23, Federal Building, Fort Snelling  
Twin Cities, Minnesota 55111

State Liaison Officer  
U. S. Bureau of Mines  
Room 408, 301 Building  
301 N. Lamar Street  
Jackson, Mississippi 39202

State Liaison Officer  
U. S. Bureau of Mines  
P. O. Box 1187  
Rolla, Missouri 65401

State Liaison Officer  
U. S. Bureau of Mines  
636 N. Logan  
Helena, Montana 59601

State Liaison Officer  
U. S. Bureau of Mines  
Room 306, Post Office Building  
705 N. Plaza Street  
Carson City, Nevada 89701

State Liaison Officer  
U. S. Bureau of Mines  
Post Office & Federal Building  
Newmarket, New Hampshire 03857

State Liaison Officer  
U. S. Bureau of Mines  
P. O. Box 587  
Albuquerque, New Mexico 87103

State Liaison Officer  
U. S. Bureau of Mines  
Suite 203  
1659 Central Avenue  
Albany, New York 12205

State Liaison Officer  
U. S. Bureau of Mines  
P. O. Box 2828  
Raleigh, North Carolina 27602

State Liaison Officer  
U. S. Bureau of Mines  
Suite 10  
219 North 7th  
Bismarck, North Dakota 58501

State Liaison Officer  
U. S. Bureau of Mines  
168 Post Office Building  
N.W. Third Street  
Oklahoma City, Oklahoma 73102

State Liaison Officer  
U. S. Bureau of Mines  
Suite 7, Standard Insurance Building

475 Cottage Street, N.E.  
Salem, Oregon 97301

State Liaison Officer  
U. S. Bureau of Mines  
P. O. Box 783  
Federal Square Station  
Harrisburg, Pennsylvania 17108

State Liaison Officer  
U. S. Bureau of Mines  
403 Columbia Building  
Main & Gervais Streets  
Columbia, South Carolina 29201

State Liaison Officer  
U. S. Bureau of Mines  
Federal Building, U. S. Courthouse  
515 9th Street  
Rapid City, South Dakota 57701

State Liaison Officer  
U. S. Bureau of Mines  
1109 Parkway Towers  
404 James Robertson Parkway  
Nashville, Tennessee 37219

State Liaison Officer  
U. S. Bureau of Mines  
Room 782, Federal Building  
Austin, Texas 78701

State Liaison Officer  
U. S. Bureau of Mines  
1600 E. First South Street  
Salt Lake City, Utah 84112

State Liaison Officer  
U. S. Bureau of Mines  
909 Capitol Center Building  
Olympia, Washington 98501

State Liaison Officer  
U. S. Bureau of Mines  
P. O. Box 428  
Charleston, West Virginia 25322

State Liaison Officer  
U. S. Bureau of Mines  
P. O. Box 1796  
Cheyenne, Wyoming 82001

#### D. Administration

Chief  
Division of Automatic Data Processing  
U. S. Bureau of Mines  
Building 53, Denver Federal Center  
Denver, Colorado 80225

Chief  
Pittsburgh/Bruceton Administrative Office  
U. S. Bureau of Mines  
4800 Forbes Avenue  
Pittsburgh, Pennsylvania 15213

Chief  
Division of Finance  
U. S. Bureau of Mines  
Building 20, Denver Federal Center  
Denver, Colorado 80225

Chief  
Branch of Procurement and Property Operations  
U. S. Bureau of Mines  
Building 20, Denver Federal Center  
Denver, Colorado 80225

Chief  
Branch of Personnel  
U. S. Bureau of Mines

Building 20, Denver Federal Center  
Denver, Colorado 80225

### XIII. MINING ENFORCEMENT AND SAFETY ADMINISTRATION

#### A. Headquarters Office

Mining Enforcement and Safety Administration  
U. S. Department of the Interior  
4015 Wilson Boulevard  
Arlington, Virginia 22203

#### B. Metal and Nonmetal Mine Health and Safety

District Manager  
Northeastern District  
Metal & Nonmetal Mine Health & Safety  
4800 Forbes Avenue  
Pittsburgh, Pennsylvania 15213

Subdistrict Manager  
Northeastern District  
Metal & Nonmetal Mine Health & Safety  
4800 Forbes Avenue  
Pittsburgh, Pennsylvania 15213

Subdistrict Manager  
Northeastern District  
Metal & Nonmetal Mine Health & Safety  
Federal Building, Room 329  
Albany, New York 12201

District Manager  
Southeastern District  
Metal & Nonmetal Mine Health & Safety  
228 West Valley Avenue  
Birmingham, Alabama 35209

Subdistrict Manager  
Southeastern District  
Metal & Nonmetal Mine Health & Safety  
228 West Valley Avenue  
Birmingham, Alabama 35209

Subdistrict Manager  
Southeastern District  
Metal and Nonmetal Mine Health & Safety  
301 West Cumberland Avenue  
Knoxville, Tennessee 37902

District Manager  
North Central District  
Metal & Nonmetal Mine Health & Safety  
228 Federal Building  
Duluth, Minnesota 55802

Subdistrict Manager  
North Central District  
Metal & Nonmetal Mine Health and Safety  
228 Federal Building  
Duluth, Minnesota 55802

Subdistrict Manager  
North Central District  
Metal & Nonmetal Mine Health & Safety  
501 Busseron Street  
Vincennes, Indiana 47591

District Manager  
South Central District  
Metal & Nonmetal Mine Health & Safety  
1100 Commerce Street  
Dallas, Texas 75202

Subdistrict Manager  
South Central District  
Metal & Nonmetal Mine Health & Safety  
1100 Commerce Street  
Dallas, Texas 75202

Subdistrict Manager  
South Central District  
Metal & Nonmetal Mine Health & Safety  
206 S. Bishop  
Rolla, Missouri 65401

District Manager  
Rocky Mountain District  
Metal & Nonmetal Mine Health & Safety  
603 Miller Court  
Lakewood, Colorado 80215

Subdistrict Manager  
Rocky Mountain District  
Metal & Nonmetal Mine Health & Safety  
603 Miller Court  
Lakewood, Colorado 80215

Subdistrict Manager  
Rocky Mountain District  
Metal & Nonmetal Mine Health & Safety  
2900 S. Main Street  
Salt Lake City, Utah 84115

District Manager  
Western District  
Metal & Nonmetal Mine Health & Safety  
620 Central Avenue, Building 7  
Alameda, California 94501

Subdistrict Manager  
Western District  
Metal & Nonmetal Mine Health & Safety  
117 - 107th Avenue, N.E.  
Bellevue, Washington 98004

Subdistrict Manager  
Western District  
Metal & Nonmetal Mine Health & Safety  
2721 North Central Avenue  
South Tower, Amerco Towers  
Phoenix, Arizona 85004

ADP Liaison - MESA  
Metal and Nonmetal Mine Health and Safety  
603 Miller Court  
Lakewood, Colorado 80215

#### C. Coal Mine Health and Safety

District Manager  
District 1  
Coal Mine Health & Safety  
Penn Place, 20 N. Pennsylvania Avenue  
Wilkes-Barre, Pennsylvania 18071

District Manager  
District 2  
Coal Mine Health & Safety  
4800 Forbes Avenue  
Pittsburgh, Pennsylvania 15213

Subdistrict Manager  
District 2  
Coal Mine Health & Safety  
Jonnet Building  
4099 William Penn Highway  
Monroeville, Pennsylvania 15146

Subdistrict Manager  
District 2  
Coal Mine Health & Safety  
Sunray and Goucher  
Johnstown, Pennsylvania 15905

District Manager  
District 3  
Coal Mine Health & Safety  
Mountaineer Mall, Green Bag Road  
Morgantown, West Virginia 26505

District Manager  
District 4  
Coal Mine Health & Safety  
Stadium Drive & Bluestone Road  
Mt. Hope, West Virginia 25880

Subdistrict Manager - MESA  
District 4  
Coal Mine Health and Safety  
Stadium Drive and Bluestone Road  
Mount Hope, West Virginia 25880

Subdistrict Manager  
District 4  
Coal Mine Health & Safety  
Oliver Road  
Princeton, West Virginia 24740

Subdistrict Manager  
District 4  
Coal Mine Health & Safety  
327 State Street  
Madison, West Virginia 25130

District Manager  
District 5  
Coal Mine Health & Safety  
560 Park Avenue, N.W.  
Norton, Virginia 24273

Subdistrict Manager  
District 5  
Coal Mine Health & Safety  
560 Park Avenue, N.W.  
Norton, Virginia 24273

Subdistrict Manager  
District 5  
Coal Mine Health & Safety  
2722 South Front Street  
Richlands, Virginia 24641

District Manager  
District 6  
Coal Mine Health & Safety  
218 High Street  
Pikeville, Kentucky 41501

District Manager  
District 7  
Coal Mine Health & Safety  
Municipal Building  
Daniel Boone Drive  
Barbourville, Kentucky 40906

Subdistrict Manager  
District 7  
616 Manchester Street  
Barbourville, Kentucky 40906

Subdistrict Manager  
District 7  
Coal Mine Health & Safety  
228 West Valley Avenue  
Birmingham, Alabama 35209

District Manager  
District 8  
Coal Mine Health & Safety  
501 Busseron Street  
Vincennes, Indiana 47591

Subdistrict Manager  
District 8  
Coal Mine Health & Safety  
905 W. Washington  
Benton, Illinois 62812

Subdistrict Manager  
District 8

Coal Mine Health & Safety  
Route 40 East  
St. Clairsville, Ohio 43950

District Manager  
District 9  
Coal Mine Health & Safety  
1457 Ammons Street  
Lakewood, Colorado 80215

Subdistrict Manager  
District 9  
Coal Mine Health & Safety  
1457 Ammons Street  
Lakewood, Colorado 80215

Subdistrict Manager  
District 9  
Coal Mine Health & Safety  
575 East 1st South  
Price, Utah 84501

Subdistrict Manager  
District 9  
Coal Mine Health & Safety  
509 S. Third Street  
McAlester, Oklahoma 74501

District Manager - MESA  
District 10  
Coal Mine Health and Safety  
Lions Club Building  
Highway 41 North  
Madisonville, Kentucky 42431

ADP Liaison - MESA  
Coal Mine Health and Safety  
Building 56  
Denver Federal Center  
Denver, Colorado 80225

#### D. Assessment Office

Office of Assessments - MESA  
4015 Wilson Boulevard  
Arlington, Virginia 22203

#### E. Training

Norton Training Center - MESA  
Federal Building  
Federal Street  
Norton, Virginia 24273

Chicago Training Center - MESA  
10600 Higgins Road, Suite 610  
Rosemont, Illinois 60018

Dallas Training Center - MESA  
Room 362  
U. S. Post Office & Court House  
Bryan and St. Paul Streets  
Dallas, Texas 75201

Denver Training Center - MESA  
603 Miller Court  
Denver, Colorado 80215

Lexington Training Center  
340 Legion Drive, Suite 28  
Lexington, Kentucky 40504

Boulder City Training Center - MESA  
500 Date Street  
Boulder City, Nevada 89005

Albany Training Center - MESA  
Building 2  
1450 W. Queen Avenue  
Albany, Oregon 97321

Beckley Training Center - MESA  
Airport Road  
Beckley, West Virginia 25801

Pittsburgh Training Center - MESA  
4 Parkway Center - Suite 100  
Pittsburgh, Pennsylvania 15220

Birmingham Training Center - MESA  
228 West Valley Avenue  
Room 200  
Birmingham, Alabama 35209

Lexington Training Center - MESA  
Suite 28  
340 Legion Drive  
Lexington, Kentucky 40504

Certification and Qualification Unit - MESA  
Education and Training  
603 Miller Court  
Lakewood, Colorado 80215

#### F. Technical Support

Pittsburgh Technical Support Center - MESA  
4800 Forbes Avenue  
Pittsburgh, Pennsylvania 15213

Denver Technical Support Center - MESA  
Denver Federal Center, Building 56  
Denver, Colorado 80225

Health & Safety Analysis Center - MESA  
Denver Federal Center, Building 56  
Denver, Colorado 80225

#### G. National Mine Health & Safety Academy

National Mine Health & Safety Academy - MESA  
Airport Road  
Beckley, West Virginia 25801

### XIV. NATIONAL PARK SERVICE

#### A. Headquarters Offices

Washington Office  
National Park Service  
Department of the Interior  
Washington, D. C. 20240

North Atlantic Regional Office  
National Park Service  
150 Causeway Street  
Boston, MA 02114

Mid-Atlantic Regional Office  
National Park Service  
143 South Third Street  
Philadelphia, PA 19106

Southeast Regional Office  
National Park Service  
1895 Phoenix Boulevard  
Atlanta, GA 30349

Midwest Regional Office  
National Park Service  
1709 Jackson Street  
Omaha, NB 68102

Rocky Mountain Regional Office  
National Park Service  
P. O. Box 25287  
655 Parfet Street  
Denver, CO 80225

Southwest Regional Office  
National Park Service

Box 728  
Santa Fe, NM 87501

Western Regional Office  
National Park Service  
450 Golden Gate Ave.  
P. O. Box 36063  
San Francisco, CA 94102

Pacific Northwest Regional Office  
National Park Service  
601 Fourth and Pike Building  
Seattle, WA 98101

National Capital Parks  
National Park Service  
1100 Ohio Drive, S. W.  
Washington, D. C. 20242  
(Includes over 700 park units in  
the Metropolitan Area.)

Denver Service Center  
National Park Service  
P. O. Box 25287  
Denver, CO 80225

Harpers Ferry Center  
National Park Service  
Harpers Ferry, WV 25425

#### B. Group Offices

Catoctin Mountain Park and  
Baltimore Washington Parkway Group  
Thurmont, MD 21788

Klamath Falls Group  
National Park Service  
P. O. Box 128  
Klamath Falls, OR 97601

Navajo Lands Group  
National Park Service  
P. O. Box 539  
Farmington, NM 87401

New York Group  
National Park Service  
26 Wall Street  
New York, NY 10005

Southern Arizona Group  
National Park Service  
1115 North First Street  
Phoenix, AZ 85004

Western Pennsylvania Group  
National Park Service  
P. O. Box 247  
Cresson, PA 16630

#### C. State Directors

Alaska State Director  
National Park Service  
334 West Fifth Avenue  
Suite 250  
Anchorage, AK 99501

Hawaii State Director  
National Park Service  
677 Ala Moana Boulevard  
Suite 512  
Honolulu, HI 96813

#### D. Archeological Centers

Midwest Archeological Center  
National Park Service  
Room 474, Federal Building  
100 Centennial Mall North

Lincoln, NB 68504

Southeast Archeological Center  
National Park Service  
P. O. Box 2416  
Tallahassee, FL 32304

Western Archeological Center  
National Park Service  
P. O. Box 49008  
Tucson, AZ 85717

#### E. Lands Offices

(Add to each address National Park Service, U. S. Department of the Interior)

Big Cypress Lands Office  
P. O. Box 1515  
Naples, FL 33940

Buffalo National River Lands Office  
P. O. Box 1173  
Harrison, AR 72601

Chesapeake and Ohio Canal Lands Office  
P. O. Box A  
College Estate Station  
Frederick, MD 21701

Colonial Lands Office  
P. O. Box 210  
Yorktown, VA 23690

Everglades Lands Office  
28 W. Flagler Street  
Miami, FL 33130

Fredericksburg Lands Office  
P. O. Box 679  
Fredericksburg, VA 22401

Gettysburg Lands Office  
R.D. 1  
Gettysburg, PA 17325

Glacier Lands Office  
West Glacier, MT 59936

Grand Teton Lands Office  
P. O. Box 1219  
Moose, WY 83012

Hot Springs Lands Office  
P. O. Box 1860  
Hot Springs National Park, AR 71901

Indiana Dunes Lands Office  
Marquette Mall  
Suite 551  
Michigan City, IN 46360

Joshua Tree Lands Office  
74485 Palm Vista Drive  
Twentynine Palms, CA 92277

Lake Mead Lands Office  
601 Nevada Highway  
Boulder City, NV 89005

Saint Croix Lands Office  
P. O. Box 579  
St. Croix Falls, WI 54024

Sleeping Bear Dunes Lands Office  
400 1/2 Main Street  
Frankfort, MI 49635

Utah Lands Office  
Room 103  
Federal Annex Building  
Salt Lake City, UT 84111

Yosemite Lands Office  
P. O. Box 577  
Yosemite National Park, CA 95389

#### F. Other Offices

Chaco Center  
National Park Service  
P. O. Box 26176  
Albuquerque, NM 97125

National Park Service Science Center  
National Space Technology Laboratory  
Bay St. Louis, MS 39520

United States Park Police  
National Park Service  
1100 Ohio Drive, S. W.  
Washington, D. C. 20242

#### G. National Park Service Areas

(Add to each address National Park Service, U. S. Department of the Interior)

Abraham Lincoln Birthplace  
National Historic Site  
RFD 1  
Hodgenville, Kentucky 42748

Acadia National Park  
RFD 01  
Bar Harbor, ME 04609

Adams National Historic Site  
Box 531  
Quincy, MA 02169

Agate Fossil Beds National Monument  
c/o Scotts Bluff National Monument  
P. O. Box 427  
Gering, NB 69341

Alibates Flint Quarries & Texas Panhandle  
Pueblo Culture National Monument  
P. O. Box 1438  
Fritch, Texas 79036

Allegheny Portage Railroad  
National Historic Site  
P. O. Box 247  
Cresson, PA 16630

Amistad Recreation Area  
P. O. Box 1463  
Del Rio, TX 78840

Andersonville National Historic Site  
Andersoneville, GA 31711

Andrew Johnson National Historic Site  
Depot Street  
Greenville, TN 37743

Antietam National Battlefield Site  
P. O. Box 158  
Sharpsburg, MD 21782

Apostle Islands National Lakeshore  
1972 Centennial Drive, R.R.  
Bayfield, WI 54814

Appomattox Court House  
National Historical Park  
P. O. Box 218  
Appomattox, VA 24522

Appalachian National Scenic Trail  
Northern Unit: 150 Causeway St.  
Boston, MA 02114  
Southern Unit: c/o Southeast Regional Office

3401 Whipple Avenue  
Atlanta, GA 30344

Arbuckle Recreation Area  
c/o Platt National Park  
P. O. Box 201  
Sulphur, OK 73086

Arches National Park  
c/o Canyonlands National Park  
446 S. Main Street  
Moab, UT 84532

Arkansas Post National Memorial  
Rt. 1, Box 16  
Gillett, AR 72055

Arlington House  
The Robert E. Lee Memorial  
c/o George Washington Memorial Parkway  
Turkey Run Park  
McLean, VA 22101

Assateague Island National Seashore  
Route 2, Box 294  
Berlin, MD 21811

Aztec Ruins National Monument  
c/o Navajo Lands Group  
P. O. Box 539  
Farmington, MN 87401

Badlands National Monument  
P. O. Box 72  
Interior, SD 57750

Bandelier National Monument  
Los Alamos, NM 87544

Bent's Old Fort National Historic Site  
P. O. Box 581  
La Junta, CO 82050

Big Bend National Park  
Big Bend National Park, TX 79834

Big Cypress National Preserve  
c/o Southeast Regional Office  
3401 Whipple Avenue  
Atlanta, GA 30344

Big Hole National Battlefield  
c/o Yellowstone National Park  
Yellowstone National Park, WY 82190

Big Thicket National Preserve  
P. O. Box 7408  
Beaumont, TX 77706

Bighorn Canyon National Recreation Area  
P. O. Box 458  
Fort Smith, MT 59035

Biscayne National Monument  
P. O. Box 1369  
Homestead, FL 33030

Black Canyon of the Gunnison National Monument  
P. O. Box 1648  
Montrose, CO 81401

Blue Ridge Parkway  
P. O. Box 7606  
Asheville, NC 28807

Booker T. Washington National Monument  
Route 1, Box 195  
Hardy, VA 24101

Boston National Historical Park  
c/o North Atlantic Regional Office

150 Causeway Street, Room 715  
Boston, MA 02114

Brices Cross Roads National Battlefield Site  
c/o Natchez Trace Parkway  
R.R. 1, NT-143  
Tupelo, MS 38801

Bryce Canyon National Park  
Bryce Canyon, UT 84717

Buck Island Reef National Monument  
c/o Christiansted National Historic Site  
P. O. Box 160  
Christiansted, VI 00820

Buffalo National River  
P. O. Box 1173  
Harrison, AR 72601

Cabrillo National Monument  
P. O. Box 6175  
San Diego, CA 92106  
Canaveral National Seashore  
P. O. Box 2583  
Titusville, FL 32780

Canyon de Chelly National Monument  
Navajo Lands Group  
P. O. Box 539  
Farmington, NM 87401

Canyonlands National Park  
446 S. Main Street  
Moab, UT 84532

Cape Cod National Seashore  
South Wellfleet, MA 02663

Cape Hatteras National Seashore  
P. O. Box 457  
Manteo, NC 27954

Cape Lookout National Seashore  
P. O. Box 690  
Beaufort, NC 28516

Capitol Reef National Park  
Torrey, UT 84775

Capulin Mountain National Monument  
Capulin, NM 88414

Carl Sandburg Home National Historic Site  
P. O. Box 395  
Flat Rock, NC 28731

Carlsbad Caverns National Park  
3225 National Parks Highway  
Carlsbad, NM 88220

Casa Grande Ruins National Monument  
P. O. Box 518  
Coolidge, Arizona 85228

Castillo de San Marcos National Monument  
1 Castillo Drive  
St. Augustine, FL 32084

Castle Clinton National Monument  
c/o Manhattan Sites Unit  
26 Wall Street  
New York, NY 10005

Catoctin Mountain Park  
Thurmont, MD 21788

Cedar Breaks National Monument  
c/o Zion National Park  
Springdale, UT 84767



- Chaco Canyon National Monument  
Star Rt.  
Bloomfield, NM 87413
- Chalmette National Historical Park  
P. O. Box 429  
Arabi, LA 70032
- Chamizal National Memorial  
Southwest National Bank Building, Rm. 620  
300 E. Main Drive  
El Paso, Texas 79901
- Channel Islands National Monument  
1699 Anchors Way Drive  
Ventura, CA 93003
- Chesapeake and Ohio Canal National  
Historical Park  
P. O. Box 158  
Sharpsburg, MD 21782
- Chickamauga and Chattanooga  
National Military Park  
P. O. Box 2126  
Fort Oglethorpe, GA 30742
- Chiricahua National Monument  
Dos Cabezas Star Rt.  
Willcox, AZ 85643
- Christiansted National Historic Site  
c/o Virgin Islands National Park  
P. O. Box 806  
Charlott Amelie, VI 00801
- City of Refuge National Historical Park  
c/o Hawaii State Director  
677 Ala Moana Blvd., Suite 512  
Honolulu, HI 96813
- Clara Barton National Historic Site  
National Capital Parks  
1100 Ohio Drive, S. W.  
Washington, D. C. 20242
- Colonial National Historical Park  
Yorktown, VA 23490
- Colorado National Monument  
P. O. Box 438  
Fruita, CO 81521
- Coronado National Memorial  
c/o Southern Arizona Group  
1115 N. First Street  
Phoenix, AZ 85004
- Coulee Dam Recreation Area  
P. O. Box 37  
Coulee Dam, WA 99116
- Cowpens National Battlefield  
c/o Kings Mountain National Military Park  
P. O. Box 31  
Kings Mountain, SC 28086
- Crater Lake National Park  
c/o Klamath Falls Group  
P. O. Box 128  
Klamath Falls, OR 97601
- Craters of the Moon National Monument  
P. O. Box 29  
Arco, ID 83213
- Cumberland Gap National Historical Park  
P. O. Box 840  
Middlesboro, KY 40965
- Cumberland Island National Seashore  
P. O. Box 806  
Saint Marys, GA 31558
- Curecanti Recreation Area  
P. O. Box 1040  
Gunnison, CO 81230
- Custer Battlefield National Monument  
P. O. Box 416  
Crow Agency, MT 59022
- Cuyahoga Valley National Recreation Area  
c/o Midwest Regional Office  
1709 Jackson Street  
Omaha, Nebraska 68102
- Death Valley National Monument  
Death Valley, CA 92328
- Delaware Water Gap National Recreation Area  
1-80  
Columbia, NJ 07832
- De Sota National Memorial  
P. O. Box 1377  
Bradenton, FL 33506
- Devils Postpile National Monument  
c/o Sequoia National Park  
Three Rivers, CA 93271
- Devils Tower National Monument  
Devils Tower, WY 82714
- Dinosaur National Monument  
P. O. Box 210  
Dinosaur, CO 81610
- Edison National Historic Site  
c/o Morristown National Historical Park  
P. O. Box 1136R  
Morristown, NJ 07960
- Effigy Mounds National Monument  
P. O. Box R  
McGregor, IA 52157
- Eisenhower National Historic Site  
R.D. 1  
Gettysburg, PA 17325
- El Morro National Monument  
Ramah, NM 87321
- Everglades National Park  
P. O. Box 279  
Homestead, FL 33030
- Federal Hall National Memorial  
c/o Manhattan Sites Unit  
26 Wall Street  
New York, NY 10005
- Fire Island National Seashore  
Box 229  
Patchogue, NY 11772
- Florissant Fossil Beds National Monument  
P. O. Box 185  
Florissant, CO 80816
- Ford's Theatre National Historic Site  
c/o National Capital Parks-West  
1100 Ohio Drive, S. W.  
Washington, D. C. 20242
- Fort Bowie National Historic Site  
Dos Cabezas Star Rt.  
Willcox, AZ 85643

Fort Caroline National Memorial  
12713 Fort Caroline Road  
Jacksonville, FL 32225

Fort Clatsop National Memorial  
Route 3, Box 604-FC  
Astoria, OR 97103

Fort Davis National Historic Site  
P. O. Box 1456  
Fort Davis, TX 79734

Fort Donelson National Military Park  
P. O. Box F  
Dover, TN 37085

Fort Frederica National Monument  
Ft. 4, Box 286-C  
St. Simons Island, GA 31522

Fort Jefferson National Monument  
c/o Everglades National Park  
P. O. Box 279  
Homestead, FL 33030

Fort Laramie National Historic Site  
Fort Laramie, WY 82212

Fort Larned National Historic Site  
Route 3  
Larned, KS 67550

Fort Matanzas National National Monument  
c/o Castillo de San Marcos National Monument  
1 Castillo Drive  
St. Augustine, FL 32084

Fort McHenry National Monument  
& Historic Shrine  
Baltimore, MD 21230

Fort Necessity National Battlefield  
Rt. 1, Box 360  
Farmington, PA 25437

Fort Point National Historic Site  
P. O. Box 9167  
San Francisco, CA 94129

Fort Pulaski National Monument  
P. O. Box 98  
Savannah Beach, GA 31328

Fort Raleigh National Historic Site  
c/o Cape Hatteras National Seashore  
Manteo, NC 27954

Fort Smith National Historic Site  
P. O. Box 1406  
Fort Smith, AR 72901

Fort Stanwix National Monument  
112 E. Park Street  
Rome, NY 13440

Fort Sumter National Monument  
Drawer R  
Sullivans Islands, SC 29482

Fort Union National Monument  
Watrous, NM 87753

Fort Union Trading Post National  
Historic Site  
c/o Theodore Roosevelt National Memorial  
Medora, ND 58645

Fort Vancouver National Historic Site  
Vancouver, WA 98661

Fossil Butte National Monument

c/o Grand Teton National Park  
Moose, WY 83012

Fredericksburg & Spotsylvania County  
Battlefields Memorial National Military  
Park - P. O. Box 679  
Fredericksburg, VA 22401

Gateway National Recreation Area  
Floyd Bennett Field  
Building 69  
Brooklyn, NY 11234

General Grant National Memorial  
Manhattan Sites Unit  
26 Wall Street  
New York, NY 10005

George Rogeers Clark National  
Historical Park  
115 Dubois Street  
Vincennes, IN 47591

George Washington Birthplace National Monument  
c/o Fredericksburg & Spotsylvania County  
Battlefields Memorial National Military  
Park - P. O. Box 679  
Fredericksburg, VA 22401

George Washington Carver National Monument  
P. O. Box 38  
Diamond, MO 64840

George Washington Memorial Parkway  
Turkey Run Park  
McLean, VA 22101

Gettysburg National Military Park  
P. O. Box 70  
Gettysburg, PA 17325

Gila Cliff Dwellings National Monument  
Gila Hot Springs  
Rt. 11, Box 100  
Silver City, NM 88061

Glacier National Park  
West Glacier, MT 59936

Glacier Bay National Monument  
c/o Alaska State Director  
334 W. Fifth Avenue  
Suite 250  
Anchorage, AK 99501

Glen Canyon National Recreation Area  
P. O. Box 1507  
Page, AZ 86040

Golden Gate National Recreation Area  
Fort Mason  
San Francisco, CA 94123

Golden Spike National Historic Site  
Promontory Star Route  
Corinne, UT 84307

Gran Quivira National Monument  
Route 1  
Mountainair, NM 87036

Grand Canyon National Park  
P. O. Box 129  
Grand Canyon, AZ 86023

Grand Portage National Monument  
P. O. 666  
Grand Marais, NM 55604

Grand Teton National Park  
P. O. Box 67

- Moose, WY 83012
- Grant-Kohrs Ranch National Historic Site  
P. O. Box 799  
Deer Lodge, MT 59722
- Great Sand Dunes National Monument  
P. O. Box 60  
Alamosa, CO 81101
- Great Smoky Mountains National Park  
Gatlinburg, TN 37738
- Guadalupe Mountains National Park  
c/o Carlsbad Caverns National Park  
3225 National Parks Highway  
Carlsbad, NM 88220
- Guilford Courthouse National Park  
P. O. Box 9334  
Plaza Station  
Greensboro, NC 27408
- Gulf Islands National Seashore  
P. O. Box 100  
Gulf Breeze, FL 32561
- Haleakala National Park  
c/o Hawaii State Director  
677 Ala Moana Boulevard  
Suite 512  
Honolulu, HI 96813
- Hamilton Grange National Memorial  
c/o Manhattan Sites Unit  
26 Wall Street  
New York, NY 10005
- Hampton National Historic Site  
c/o Fort McHenry National Monument  
and Historic Shrine  
Baltimore, MD 96813
- Harpers Ferry National Historical Park  
P. O. Box 65  
Harpers Ferry, WV 25425
- Hawaii Volcanoes National Park  
c/o Hawaii State Director  
677 Ala Moana Boulevard  
Suite 512  
Honolulu, HI 96813
- Herbert Hoover National Historic Site  
P. O. Box 607  
West Branch, IA 52358
- Hohokam Pima National Monument  
P. O. Box 518  
Coolidge, AZ 85228
- Home of Franklin D. Roosevelt National  
Historic Site  
Hyde Park  
Dutchess County, NY 12538
- Homestead National Monument of America  
Beatrice, NB 68310
- Hopewell Village National Historic Site  
R.D. 1, Box 345  
Elverson, PA 19520
- Horseshoe Bend National Military Park  
R. 1, Box 63  
Daviston, AL 36256
- Hot Springs National Park  
P. O. Box 1860  
Hot Springs, AR 71901
- Hovenweep National Monument  
c/o Mesa Verde National Park  
Mesa Verde National Park, CO 81330
- Hubbell Trading Post National Historic Site  
P. O. Box 298  
Ganado, AZ 86505
- Independence National Historical Park  
313 Walnut Street  
Philadelphia, PA 19106
- Indiana Dunes National Lakeshore  
R.R. 2, Box 139-A  
Chesterton, IN 43604
- Isle Royale National Park  
P. O. Box 27  
Houghton, MI 49931
- Jefferson National Expansion  
Memorial Historic Site  
11 North 4th Street  
St. Louis, MO 63102
- Jewel Cave National Monument  
c/o Wind Cave National Park  
Hot Springs, SD 57747
- John D. Rockefeller Junior  
Memorial Parkway  
c/o Grand Teton National Park  
P. O. Box 67  
Moose, WY 83012
- John Day Fossil Beds National Monument  
c/o Klamath Falls Group  
P. O. Box 128  
Klamath Falls, OR 97601
- John F. Kennedy Center for the Performing Arts  
c/o National Capital Parks Kennedy Center  
Washington, D. C. 20566
- John Fitzgerald Kennedy National  
Historic Site  
105 Bramble Street  
Cambridge, MA 02138
- John Muir National Historic Site  
4202 Alhambra Avenue  
Martinez, CA 94553
- Johnstown Flood National Memorial  
c/o Western Pennsylvania Group  
P. O. Box 247  
Cresson, PA 16630
- Joshua Tree National Monument  
74485 Palm Vista Drive  
Twenty-nine Palms, CA 92277
- Katmai National Monument  
c/o Alaska State Director  
334 W. Fifth Avenue  
Suite 250  
Anchorage, AK 99501
- Kennesaw Mountain National Battlefield Park  
P. O. Box 1167  
Marietta, GA 30061
- Kings Canyon National Park  
c/o Sequoia National Park  
Three Rivers, CA 93271
- Kings Mountain National Military Park  
P. O. Box 31  
Kings Mountain, SC 28086
- Knife River Indian Villages

National Historic Site  
c/o Theodore Roosevelt National  
Memorial Park  
Medora, ND 58645

Lake Chelan National Recreation Area  
c/o North Cascades National Park  
Sedro Woolley, WA 98284

Lake Mead National Recreation Area  
601 Nevada Highway  
Boulder City, NV 89005

Lake Meredith Recreation Area  
P. O. Box 1438  
Fritch, TX 79036

Lassen Volcanic National Park  
Mineral, CA 96063

Lava Beds National Monument  
P. O. Box 867  
Tule Lake, CA 96134

Lehman Caves National Monument  
Baker, NV 89311

Lincoln Boyhood National Memorial  
Lincoln City, IN 47552

Lincoln Home National Historic Site  
413 South Eighth Street  
Springfield, IL 62701

Longfellow National Historic Site  
105 Brattle Street  
Cambridge, MA 02138

Lower St. Croix River  
c/o St. Croix National Scenic Riverway  
P. O. Box 708  
St. Croix Falls, WI 54028

Lyndon B. Johnson National  
Historic Site  
P. O. Box 329  
Johnson City, TX 78636

Mammoth Cave National Park  
Mammoth Cave, KY 42259

Manassas National Battlefield Park  
P. O. Box 350  
Manassas, VA 22110

Mar-A-Lago National Historic Site  
P. O. Box 2527  
Palm Beach, FL 33480

Martin Van Buren National Historic Site  
c/o Home of FDR National Historic Site  
Hyde Park  
Dutchess County, NY 12538

Mesa Verde National Park  
Mesa Verde National Park, CO 81330

Minute Man National Historical Park  
P. O. Box 160  
Concord, MA 01742

Montezuma Castle National Monument  
c/o Tuzigoot National Monument  
P. O. Box 68  
Clarkdale, AZ 86234

Moore's Creek National Military Park  
Currie  
Pender County, NC 28435

Morristown National Historical Park

P. O. Box 1136R  
Morristown, NJ 07960

Mound City Group National Monument  
P. O. Box 327  
Chillicothe, OH 45601

Mount McKinley National Park  
c/o Alaska State Director  
334 W. Fifth Avenue  
Suite 250  
Anchorage, AK 99501

Mount Rainier National Park  
Longmire, WA 98397

Mount Rushmore National Memorial  
Keystone, SD 57751

Muir Woods National Monument  
c/o Golden Gate National Recreation Area  
Fort Mason  
San Francisco, CA 94123

Natchez Trace Parkway  
RR 1, NT-143  
Tupelo, MS 38801

National Visitor Center  
Union Station  
Washington, DC 20002

Natural Bridges National Monument  
c/o Canyonlands National Park  
Moab, UT 84532

Navajo National Monument  
c/o Navajo Lands Group  
P. O. Box 539  
Farmington, NM 87401

Nez Perce National Historical Park  
P. O. Box 93  
Spalding, ID 93551

North Cascades National Park  
Sedro Woolley, WA 98284

Ocmulgee National Monument  
P. O. Box 4186  
Macon, GA 31208

Olympic National Park  
600 East Park Avenue  
Port Angeles, WA 98362

Oregon Caves National Monument  
c/o Klamath Falls Group  
P. O. Box 128  
Klamath Falls, OR 97601

Organ Pipe Cactus National Monument  
Southern Arizona Group  
1115 N. 1st Street  
Phoenix, AZ 85004

Padre Island National Seashore  
10235 S. Padre Island Drive  
Corpus Christi, TX 78418

Pea Ridge National Military Park  
Pea Ridge, AR 72751

Pecos National Monument  
P. O. Drawer 11  
Pecos, NM 87552

Perry's Victory & International  
Peace Memorial  
P. O. Box 78  
Put-in-Bay, OH 43456

Petersburg National Battlefield  
P. O. Box 549  
Petersburg, VA 23803

Petrified Forest National Park  
Petrified Forest National Park, AZ 86025

Pictured Rocks National Lakeshore  
P. O. Box 40  
Munising, MI 49862

Pinnacles National Monument  
Paicines, CA 95043

Pipe Spring National Monument  
c/o Zion National Park  
Springdale, UT 84767

Pipestone National Monument  
P. O. Box 727  
Pipestone, MN 56164

Platt National Park  
P. O. Box 201  
Sulphur, OK 73086

Point Reyes National Seashore  
c/o Golden Gate National Recreation Area  
Fort Mason  
San Francisco, CA 94123

Prince William Forest Park  
P. O. Box 208  
Triangle, VA 22172

Puukohola Heiau National Historic Site  
c/o City of Refuge National Historical Park  
Honaunau, HI 96726

Rainbow Bridge National Monument  
c/o Glen Canyon National Recreation Area  
P. O. Box 1507  
Page, AZ 86040

Redwood National Park  
Drawer N  
Crescent City, CA 95531

Richmond National Battlefield Park  
3215 East Broad Street  
Richmond, VA 23223

Rocky Mountain National Park  
Estes Park, CO 80517

Roger Williams National Memorial  
c/o North Atlantic Regional Office  
150 Causeway Street  
Boston, MA 02114

Ross Lake National Recreation Area  
c/o North Cascades National Park  
Sedro Woolley, WA 98284

Russell Cave National Monument  
Rt. 1, Box 175  
Bridgeport, AL 35740

Sagamore Hill National Historic Site  
c/o New York Group  
26 Wall Street  
New York, NY 10005

Saguaro National Monument  
Southern Arizona Group  
1115 N. 1st Street  
Phoenix, AZ 85004

Saint Croix Islands National Monument  
c/o Acadia National Park

RFD 0 1  
Bar Harbor, ME 04609

Saint Croix National Scenic Riverway  
P. O. Box 579  
St. Croix Falls, WI 54024

Saint-Guadens National Historic Site  
RD 2  
Windsor, VT 05089

Salem Maritime National Historic Site  
Custom House  
174 Derby Street  
Salem, MA 01970

San Juan Island National Historical Park  
P. O. Box 549  
Friday Harbor, WA 98250

San Juan National Historic Site  
P. O. Box 712  
Old San Juan, PR 00902

Saratoga National Historical Park  
R.D. 1, Box 113-C  
Stillwater, NY 12178

Saugus Iron Works National Historic Site  
244 Central Street  
Saugus, MA 01906

Scotts Bluff National Monument  
P. O. Box 427  
Gering, NB 69341

Sequoia National Park  
Three Rivers, CA 93271

Sewall-Beimont House  
144 Constitution Avenue, N. W.  
Washington, D. C. 20002

Shadow Mountain Recreation Area  
c/o Rocky Mountain National Park  
Estes Park, CO 80517

Shenandoah National Park  
Luray, VA 22835

Shiloh National Military Park  
c/o Natchez Trace Parkway  
RR. 1, NT-143  
Tupelo, MS 38801

Sitka National Historical Park  
c/o Alaska State Director  
344 W. Fifth Avenue - Suite 250  
Anchorage, AK 99501

Sleeping Bear Dunes National Lakeshore  
400 1/2 Main Street  
Frankfort, MI 49635

Springfield Armory National Historic Site  
c/o North Atlantic Regional Office  
150 Causeway Street  
Boston, MA 02114

Statue of Liberty National Monument  
c/o New York Group  
26 Wall Street  
New York, NY 10005

Stones River National Battlefield  
P. O. Box 1039  
Murfreesboro, TN 37130

Sunset Crater National Monument  
c/o Navajo Lands Group  
P. O. Box 539

Farmington, NM 87401

Thaddeus Kosciuszko National Memorial  
c/o Independence National Historical Park  
313 Walnut Street  
Philadelphia, PA 19106

Theodore Roosevelt Birthplace  
National Historic Site  
New York Group  
26 Wall Street  
New York, NY 10005

Theodore Roosevelt Inaugural  
National Historic Site  
641 Delaware Avenue  
Buffalo, NY 14209

Theodore Roosevelt Island  
c/o George Washington Memorial Parkway  
Turkey Run Park  
McLean, VA 22101

Theodore Roosevelt National Memorial Park  
Medora, ND 58645

Timpanogos Cave National Monument  
Rt. 2, Box 200  
American Fork, UT 84003

Tonto National Monument  
c/o Southern Arizona Group  
1115 N. 1st Street  
Phoenix, AZ 85004

Tumacacori National Monument  
Southern Arizona Group  
1115 N. 1st Street  
Phoenix, AZ 85004

Tupelo National Battlefield  
c/o Natchez Trace Parkway  
R.R. 1, NT-143  
Tupelo, MS 38801

Tuskegee Institute National Historic Site  
Tuskegee, AL 36088

Tuzigoot National Monument  
Southern Arizona Group  
1115 N. 1st Street  
Phoenix, AZ 85004

Vanderbilt Mansion National Historic Site  
c/o Home of FDR National Historic Site  
Hyde Park  
Dutchess County, NY 12538

Vicksburg National Military Park  
P. O. Box 349  
Vicksburg, MS 39180

Virgin Islands National Park  
P. O. Box 806  
Charlotte Amalie, VI 00801

Vogageurs National Park  
P. O. Drawer 50  
International Falls, MN 56649

Walnut Canyon National Monument  
c/o Southern Arizona Group  
1115 N. 1st Street  
Phoenix, AZ 85004

Whiskeytown National Recreation Area  
P. O. Box 188  
Whiskeytown, CA 96095

White Sands National Monument  
P. O. Box 458

Alamogordo, NM 88310

Whitman Mission National Historic Site  
Rt. 2  
Walla Walla, WA 99362

William Howard Taft National Historic Site  
2038 Auburn Avenue  
Cincinnati, OH 45219

Wilson's Creek National Battlefield  
c/o George Washington Carver  
National Monument  
P. O. Box 38  
Diamond, MO 64840

Wind Cave National Park  
Hot Springs, SD 57747

Wolf National Scenic Riverway  
c/o Midwest Regional Office  
1709 Jackson Street  
Omaha, NB 68102

Wolf Trap Farm Park  
1551 Trap Road  
Vienna, VA 22180

Wright Brothers National Memorial  
c/o Cape Hatteras National Seashore  
P. O. Box 457  
Manteo, NC 27954

Wupatki National Monument  
c/o Navajo Lands Group  
P. O. Box 539  
Farmington, NM 87401

Yellowstone National Park  
Yellowstone National Park, WY 82190

Yosemite National Park  
P. O. Box 577  
Yosemite National Park, CA 95389

Yucca House National Monument  
Mesa Verde National Park, CO 81330

Zion National Park  
Springdale, UT 84767

H. National Cemeteries  
(Add National Park Service, U. S. Department of the  
Interior to addresses.)

Antietam National Cemetery  
c/o Antietam National Battlefield Site  
P. O. Box 158  
Sharpsburg, MD 21782

Battleground National Cemetery  
c/o National Capital Parks  
1100 Ohio Drive S. W.  
Washington, D. C. 20242

Fort Donelson National Cemetery  
c/o Fort Donelson National Military Park  
P. O. Box F  
Dover, TN 37058

Fredericksburg National Cemetery  
c/o Fredericksburg & Spotsylvania County  
Battlefields Memorial Military Park  
P. O. Box 679  
Fredericksburg, VA 22401

Gettysburg National Cemetery  
c/o Gettysburg National Military Park  
P. O. Box 70  
Gettysburg, PA 17325

Poplar Grove National Cemetery  
c/o Petersburg National Battlefield  
P. O. Box 549  
Petersburg, VA 23803

Shiloh National Cemetery  
c/o Shiloh National Military Park  
Shiloh, TN 38376

Stones River National Cemetery  
c/o Stones River National Battlefield  
P. O. Box 1039  
Murfreesboro, TN 37130

Vicksburg National Cemetery  
c/o Vicksburg National Military Park  
P. O. Box 806  
Vicksburg, MS 39180

Yorktown National Cemetery  
c/o Colonial National Historical Park  
P. O. Box 210  
Yorktown, VA 23690

#### I. Affiliated Areas

Benjamin Franklin National Memorial  
The Franklin Institute  
20th & Benjamin Franklin Parkway  
Philadelphia, PA 19103

Chicago Portage National Historic Site  
c/o Cook County Forest Preserve  
Cummings Square  
River Forest, IL 60305

Chimney Rock National Historic Site  
c/o Scotts Bluff National Monument  
P. O. Box 427  
Gering, NB 69341

Dorchester Heights National Historic Site  
c/o Parks & Recreation Department  
33 Beacon Street  
Boston, MA 02108

Fort Scott Historic Area  
c/o Fort Scott Chamber of Commerce  
Fort Scott, KS 66701

Gloria Dei (Old Swedes) Church  
National Historic Site  
c/o Independence National Historical Park  
313 Walnut Street  
Philadelphia, PA 19106

Ice Age National Scientific Reserve  
P. O. Box 419  
Dunseith, ND 58637

Jamestown National Historic Site  
c/o Colonial National Historical Park  
P. O. Box 210  
Yorktown, VA 23690

McLoughlin House National Historic Site  
Oregon City, OR 97045

Pennsylvania Avenue National Historic Site  
c/o National Capital Parks  
1100 Ohio Drive, S. W.  
Washington, D. C. 20242

Roosevelt Campobello International Park  
c/o Executive Secretary  
Roosevelt Campobello International  
Park Commission  
P. O. Box 97  
Lubec, ME 04652

St. Paul's Church National Historical Site

c/o Corporation of St. Paul's Church  
897 South Columbus Avenue  
Mount Vernon, NY 10550

San Jose Mission National Historic Site  
6539 San Jose Drive  
San Antonio, TX 78214

Touro Synagogue National Historic Site  
85 Touro Street  
Newport, RI 02840

International Peace Garden  
P. O. Box 419  
Dunseith, ND 58637

St. Thomas National Historic Site  
Virgin Islands  
Charlotte Amalie  
St. Thomas, VI 00801

#### XV. BUREAU OF OUTDOOR RECREATION.

##### A. Headquarters Office

Bureau of Outdoor Recreation  
U. S. Department of the Interior  
18th and C Streets, N. W.  
Washington, D. C. 20240

##### B. Regional Offices (Add Bureau of Outdoor Recreation, U. S. Department of the Interior to addresses.)

Northeast Regional Office  
Federal Office, Building  
600 Arch Street  
Philadelphia, Pennsylvania 19106

Southeast Regional Office  
178 Cain Street  
Atlanta, Georgia 30303

Lake Central Regional Office  
3853 Research Park Drive  
Ann Arbor, Michigan 48104

Mid-Continent Regional Office  
Denver Federal Center  
Building 41, P. O. Box 25387  
Denver, Colorado 80225

South Central Regional Office  
5000 Marble Avenue, N. E.  
Albuquerque, New Mexico 87110

Northwest Regional Office  
Federal Building, Room 990  
915 Second Avenue  
Seattle, Washington 98174

Pacific Southwest Regional Office  
450 Golden Gates Avenue  
San Francisco, California 94102

#### XVI. BUREAU OF RECLAMATION.

(The address for all offices should include U. S. Department of the Interior, Bureau of Reclamation.)

Chief, Division of General Services  
18th and C Streets, N. W.  
Washington, D. C. 20240

Chief, General Services Branch  
Engineering & Research Center  
P. O. Box 25007  
Building 67, Denver Federal Center  
Denver, Colorado 80225

## Pacific Northwest Region

General Services Officer  
Pacific Northwest Region  
Federal Building & U. S. Courthouse  
Box 043-550 West Fort Street  
Boise, Idaho 83724

Central Sanke Projects Office  
214 Broadway  
Boise, Idaho 83702

Chief Joseph Dam Project Office  
Box 346  
Wapato Way  
Manson, Washington 98831

Columbia Basin Project Office  
Box 815  
Division Avenue and C Streets, N.W.  
Ephrata, Washington 98823

East Greenacres Project Office  
P. O. Box 857  
North McGuire Road  
Post Falls, Idaho 83854

Grand Coulee Project Office  
Box 620  
Highway 155  
Grand Coulee, Washington 99133

Hungry Horse Project Office  
Hungry Horse Powerplant  
Hungry Horse, Montana 59919

Minidoka Project Office  
Box 549  
Teton Damsite  
Newdale, Idaho 83436

Tualitin Project Office  
Box 98  
2330 Elm Street  
Forest Grove, Oregon 97116

Yakima Project Office  
Box 1377  
1917 Marsh Road  
Yakima, Washington 98901

Columbia Basin Civilian Conservation Center  
Building 2404  
24th Street  
Moses Lake, Washington 98837

Marsing Civilian Conservation Center  
Route 1 (4 miles south of Marsing)  
Marsing, Idaho 83639

Teton Claim Center  
Bowen Professional Building  
445 North Capital Avenue  
P. O. Box 656  
Idaho Falls, Idaho 83401

Teton Claim Center  
Ricks College  
Old Gymnasium Building  
P. O. Box 640  
Rexburg, Idaho 83449

Teton Claim Center  
Riverside Plaza, Space 29  
Blackfoot, Idaho 83221

## Mid-Pacific Region

Supply & Services Officer  
Mid-Pacific Region

Federal Office Building  
2800 Cottage Way  
Sacramento, California 95825

Auburn-Folsom South Unit CVP Construction Unit  
P. O. Box 1309  
471 Maidu Drive  
Auburn, California 95603

Lahontan Basin Projects Office  
P. O. Box 640  
Federal Building  
705 North Plaza Street  
Carson City, Nevada 89701

Folsom Field Division  
P. O. Box 37  
Folsom-Auburn Road (Approx. 2 miles north of Folsom)  
Folsom, California 95630

Fresno Office (CVP)  
Federal Building, Room 2215  
1130 'O' Street  
Fresno, California 93721

Klamath Project Office  
P. O. Box R  
Washburn Way and Joe Wright Road  
Klamath Falls, Oregon 97601

Shasta Office (CVP)  
Route 2, Box 2615  
Visitor Center  
Redding, California 96001

Tracy Field Division  
P. O. Box 1209  
Mountain Home & Kelso Road  
Tracy, California 95376

Sacramento Valley CVP Construction Office  
P. O. Box 988  
1140 West Wood Street  
Willows, California 95988

San Felipe CVP Construction Office  
7891A Westwood Drive  
Gilroy, California 95020

## Lower Colorado Region

Lower Colorado Regional Office  
P. O. Box 427  
Boulder Highway & Park Street  
Boulder City, Nevada

Arizona Projects Office  
Valley Center  
Suite 2200  
201 North Central Avenue  
Phoenix, Arizona 85073

Boulder Canyon Project  
Hoover Dam  
P. O. Box 427  
Boulder City, Nevada 89005

Parker-Davis Project  
615 South 43rd Avenue  
P. O. Box 6457  
Phoenix, Arizona 85005

Yuma Projects Office  
3800 Avenue S.E.  
P. O. Bin 5569  
Yuma, Arizona

## Upper Colorado Region

Upper Colorado Regional Office



125 South State Street  
P. O. Box 11568  
Salt Lake City, Utah 84111

Collbran Job Corps Civilian Conservation Center  
R.R. 0 1  
Collbran, Colorado 81624

CRSP Power Operations Office  
1200 S. Rio Grande  
P. O. Box 1069  
Montrose, Colorado 81401

Flaming Gorge Field Division  
P. O. Box 278  
Dutch John, Utah 84023

Glen Canyon Field Division  
P. O. Box 1477  
Page, Arizona 86040

Uinta Basin Construction Office  
11th Street & Highway 40  
P. O. Box 420  
Duchesne, Utah

Weber Basin Job Corps Civilian Conservation Center  
R.F.D. 0 4  
Ogden, Utah 84403

Western Colorado Projects Office  
P. O. Box 1728  
Grand Junction, Colorado 81501

Durango Operations Field Division  
835 Second Avenue  
P. O. Box 640  
Durango, Colorado 81301

Montrose Construction Field Division  
10th and Cascade Avenue  
P. O. Box 1390  
Montrose, Colorado 81401

Central Utah Projects Office  
Nesral Center Building  
160 North 200 West  
Provo, Utah 84601

Lyman Project Office  
600 4th Street  
P. O. Box 340  
Mountain View, Wyoming 82939

#### Southwest Region

Public Information & General Services Officer  
Southwest Region  
Herring Plaza, 3rd & Pierce  
P. O. Box H-4377  
Amarillo, Texas 71901

Albuquerque Planning Office  
505 Marquette Avenue, N.W.  
P. O. Box 252  
Albuquerque, New Mexico 87103

Austin Planning Office  
300 East 8th Street  
P. O. Box 1946  
Austin, Texas 78767

Loan Program  
410 Gray Lane  
P. O. Box 357  
Weslaco, Texas 78596

Mountain Park Project Office  
1115 North Spurgeon  
P. O. Box 660  
Altus, Oklahoma 73521

Navajo Indian Irrigation Project Office  
1006 Municipal Drive  
P. O. Box 28  
Farmington, New Mexico 87401

Oklahoma City Planning Office  
200 Northwest 4th Street  
P. O. Box 495  
Oklahoma City, Oklahoma 73191

Palmetto Bend Project Office  
609 North Wells Street  
P. O. Drawer O  
Edna, Texas 77957

Pecos River Projects Office  
800 West Pierce Street  
P. O. Box 1356  
Carlsbad, New Mexico 88220

Rio Grande Project Office  
303 North Oregon Street  
P. O. Drawer P  
El Paso, Texas 79952

San Juan-Chama Project Office  
509 Camino de los Marquez  
P. O. Box 5091  
Santa Fe, New Mexico 87502

Upper Rio Grande Basin Project Office  
505 Marquette Avenue, N.W.  
P. O. Box 252  
Albuquerque, New Mexico 87103

Nueces River Project Office  
City Building, Thornton Street  
P. O. Box Drawer 767  
Three Rivers, Texas 78071

#### Upper Missouri Region

Procurement and Property Officer  
Upper Missouri Region  
Federal Office Building  
316 North 26TH Street  
P. O. Box 2553  
Billings, Montana 59103

Missouri-Oahe Projects Office  
450 Dakota Avenue, South  
P. O. Box 825  
Huron, South Dakota 57350

Missouri-Couris Projects Office  
304 East Broadway Avenue  
P. O. Box 1017  
Bismarck, North Dakota 58501

Upper Missouri Projects Office  
3-6th Street, North  
P. O. Box 1629  
Great Falls, Montana 59403

Fort Peck Project Office  
Administration Building  
East Kansas Street  
P. O. Box 145  
Fort Peck, Montana 59223

Canyon Ferry Project Office  
Canyon Ferry Rural Office  
Helena, Montana 59601

Yellowtail Project Office  
P. O. Box 51B YRS  
Hardin, Montana 59035

Riverton Unit  
12th & Roosevelt Streets

P. O. Box 31  
Riverton, Wyoming 82501

Power System Operations Office  
P. O. Box 864  
Watertown, South Dakota 57201

#### Lower Missouri Region

General Services Officer  
Lower Missouri Region  
Building 20, Denver Federal Center  
P. O. Box 25247  
Denver, Colorado 80225

Fryingpan-Arkansas Project  
219 West 5th Street  
P. O. Box 515  
Pueblo, Colorado

South Platte River Projects  
995 Wilson Avenue  
P. O. Box 449  
Loveland, Colorado

North Platte River Projects  
P. O. Box 280  
Casper, Wyoming

Nebraska Reclamation Office  
2nd & Locust Streets  
P. O. Box 1607  
Grand Island, Nebraska

Kansas River Projects  
1706 West 3rd Street  
P. O. Box 737  
McCook, Nebraska

Cheyenne Construction Office  
P. O. Box 507  
Cheyenne, Wyoming

Missouri River Basin Planning Office  
215 North 17th Street  
P. O. Box 428  
Omaha, Nebraska

Kansas Reclamation Office  
Landmark Plaza Building  
103 East 10th Street  
Topeka, Kansas 66612

#### XVII. ALASKA POWER ADMINISTRATION.

Alaska Power Administration  
P. O. Box 50  
Juneau, Alaska 99802

#### XVIII. BONNEVILLE POWER ADMINISTRATION.

Bonneville Power Administration  
P. O. Box 3621  
Portland, Oregon 97208

#### XIX. DEFENSE ELECTRIC POWER ADMINISTRATION.

Defense Electric Power Administration  
U. S. Department of the Interior  
18th and C Streets, N. W.  
Washington, D. C. 20240

#### XX. SOUTHEASTERN POWER ADMINISTRATION.

Southeastern Power Administration  
Samuel Elbert Building

Elberton, Georgia 30635

#### XXI. SOUTHWESTERN POWER ADMINISTRATION.

Southwestern Power Administration  
P. O. Drawer 1619  
Tulsa, Oklahoma 74101

#### INTERIOR/AAI-1

**System name:** Audit Files and Workpapers -- Interior, Office of the Secretary-- 1.

**System location:** Office of Audit and Investigation at the following locations: (1) 18th and C St., N.W., Washington, D.C. 20240. (2) Eastern Region, 801 19th St., N.W., Washington, D.C. 20240. (3) Central Region, 1841 Wadsworth, Lakewood, Colorado 80215. (4) Central Region, Suboffice, Rm. 334, Old Post Office Bldg., 123 4th St. S.W., Albuquerque, New Mexico 87102. (5) Western Region, Federal Office Bldg., Rm. W2219, 2800 Cottage Way, Sacramento, California 95825. (6) Western Region Suboffice, 2149 N.E. Hoyt St., Portland, Oregon 97232. (7) Audit site during process of an audit.

**Categories of individuals covered by the system:** Individuals who are or have been subject to an audit.

**Categories of records in the system:** Information such as earnings, employment history, debts, performance, and other personal information.

**Authority for maintenance of the system:** (1) 5 U.S.C. 301. (2) 43 U.S.C. 1457. (3) 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to develop audit reports which bring to the attention of management, contractors and grantees existing deficiencies and recommendations for correcting those deficiencies. Disclosures outside the Department of the Interior may be made: (1) to a Federal, State or local government agency who has funds involved to alert that agency to the deficiencies so the agency may take corrective action; (2) by transfer to another Federal agency or a State or local government body having partial or complete jurisdiction over the auditee; (3) to the U. S. Department of Justice when related to litigation or anticipated litigation; (4) for transfer of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in binders and file folders; (2) Retrieval -- indexed by audit assignment number and report title, state or bureau; (3) Safeguards -- those files and reports whose contents include items subject to the Privacy Act will be locked and access restricted; (4) Disposal -- (a) grants: last audit retained in office; five years retained in Archives. (b) contracts: current fiscal year plus one past retained in office; five years retained in Archives. (c) internal: two years retained in office; five years retained in Archives. Disposal is authorized after expiration of above time periods.

**System manager(s) and address:** Director of Audit and Investigation, Office of Audit and Investigation, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Same as above. (See 43 CFR 2.60 for details on inquiries.)

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Individuals and from records about the individuals.

#### INTERIOR/AAI-2

**System name:** Investigative Records -- Interior, Office of the Secretary-m 2.

**System location:** Division of Investigation, Office of Audit and Investigation, Office of the Secretary, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Departmental employees, prospective employees, contractors, subcontractors (prospective contractors and subcontractors), grantees, subgrantees, persons doing business with the Department, private citizens who have contact with the Department or geographical areas under its jurisdiction.

**Categories of records in the system:** Investigative reports and material pertaining to allegations of violations of law, such as, misconduct by employees, irregularities by contractors, grantees, etc., and irregularities involving the integrity of the policies and practices of the Interior and real and personal property under its jurisdiction.

**Authority for maintenance of the system:** (1) Reorganization Plan No. 3 of 1950, 43 U.S.C. 1951n. (2) 5 U.S.C. 7301. (3) Executive Order No. 11222. 18 U.S.C. 201n. (4) 43 U.S.C. 11. (5) 30 U.S.C. 6. (6) 43 U.S.C. 31. (7) 25 U.S.C. 68.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to (a) conduct and report investigations stemming from specific complaints of serious misconduct or irregularities to ensure compliance by Departmental employees, prospective employees, contractors, subcontractors (prospective contractors and subcontractors), grantees, subgrantees, and persons doing business with the Department with Federal Statutes, regulations, policies, and procedures; and (b) conduct other fact finding studies as the Secretary may direct. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation involving the records or the subject matter of the records; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulations, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; and (5) to Federal, State, or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- file folders. (2) Retrievability -- by name. (3) Safeguards -- locked file cabinets within locked rooms. Access to authorized persons only. (4) Retention and Disposal -- reports of complete field investigations are disposed of after 30 years. Matters not subjected to full field investigation are disposed of after 15 years. Destruction is by shredding or burning under supervision.

**System manager(s) and address:** Chief, Division of Investigation, Office of Audit and Investigation, Room 7356, Interior Building, 18th and C Streets, N.W., Washington, D.C. 20240.

**Systems exempted from certain provisions of the act:** Under the specific authority provided by 5 U.S.C. 552a(k)(2), the Department of the Interior has adopted a regulation, 43 CFR 2.79(b), which exempts this system from the provisions of 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H) and (1) and (f) and the portions of 43 CFR, Part 2, Subpart C which implement these provisions. The reasons for adoption of this regulation are set out at 40 FR 37217 (August 26, 1975); by 5 U.S.C. 552a(k).

#### INTERIOR/AAI-3

**System name:** Financial Interest Statements and Ethics Counselor Decisions - Interior, Office of the Secretary--3.

**System location:** (1) Office of Audit and Investigation, U.S. Department of the Interior, 18th and C St., N.W., Washington, D.C. 20240. (2) Bureau and Office Ethics Counselors, Deputy Ethics Counselors and Assistant Ethics Counselors. (A list may be obtained from the Department Ethics Counselor, Office of Audit and Investigation.)

**Categories of individuals covered by the system:** Current or past Interior Department employees required to file Statement of Employment and Financial Interest as required in 43 CFR 20.735-18,19, 20,22 and 43.

**Categories of records in the system:** Contains Confidential Statements of Employment and Financial Interest (forms DI-212 or DI-213) for present or past incumbents in positions required to file such statements by 43 CFR 20.735-22(a) or 20.735-43(a) respectively. Contains Public Disclosure Statements of known Financial Interests (forms DI-211, DI-211A and DI-211B) for present or past incumbents in positions required to file such statements by 43 CFR 20.735-18,19 and 20. Also contains record of conflict of interest decisions, analysis of financial holdings, employee statement, Solicitor's comments, head of bureau or office comments, and supervisor comments on present or past employees as requested by the bureau or office counselors or needed by the Departmental counselor.

**Authority for maintenance of the system:** (1) 5 USC 7301. (2) 43 USC 11. (3) 30 USC 6. (4) 43 USC 31. (5) 18 USC 201-209. (6) 25 USC 68. (7) E.O. 11222. (8) PL. 94-579. (9) P.L. 94-429. (10) P.L. 94-163.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to review employee financial interests and determine employee compliance or non-compliance with applicable conflict of interest statutes and regulations; (b) to record the fact that the employee has been made aware of specifically directed legislation or regulations covering his organization and that he or she is in compliance with such specific legislation or regulations; and (c) to provide an adequate system of records for Interior auditors performing compliance audits within the Interior Department. Disclosures outside the Department of the Interior may be made; (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or, (3) to a Congressional office from the record of an individual in response to an inquiry made at the request of that individual, (4) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit, (5) to the Civil Service Commission to perform oversight reviews, (6) to the public for only those records covered by 43 CFR 20.735-18,19 and 20.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** For Confidential Statements of Employment and Financial Interests: (1) Storage -- maintained in file folders; (2) Retrievability -- filed alphabetically by position or employee name; (3) Safeguards -- maintained in locked file cabinet in locked office; (4) Disposal schedule -- 43 CFR, Part 20.735-22(c)(2) requires disposal two years after employee leaves position requiring the filing of the Statement. For Public Disclosure Statements of known Financial Interests: (1) Storage--maintained in file folders (2) Retrievability-- filed by Bureau, form number, and alphabetically by employee name at the Department Library. (3) Accessing--maintained by Bureau or Office Ethics Counselors designated in 20.735-22(c) and maintained centrally at the main Department Library.

**System manager(s) and address:** Department Ethics Counselor, Office of Audit and Investigation, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Inquiries may be addressed to the System manager, as indicated above, or to the Bureau or Office Ethics Counselor as listed in 43 CFR 20.735-22(c). (See 43 CFR 2.60 for details on inquiries.)

**Record access procedures:** A request for access may be addressed to the System Manager (for information regarding the entire system) or to the Bureau or Office Ethics Counselor as listed in 43 CFR 20.735-22(c) (for information regarding the specific bureau or office system). The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63. With respect to the public disclosure statements, persons wishing to invoke the Privacy Act may do so in accordance with the foregoing procedures. However, such persons are advised that the public disclosure statements are available for direct access from Bureau of Office Ethics Counselors designated in 20.735-22(c) and at the main Department Library.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager or to the appropriate Bureau or Office Ethics Counselor as listed in 43 CFR 20.735-22(c), and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Present or past Interior employees required to file Financial Interest Statements, Ethics Counselors, employee's supervisors, or the Solicitor.

#### INTERIOR/AAS-5

**System name:** Aircraft Instructor Qualification File -- Interior Office of the Secretary -- 5.

**System location:** Division of Technical Services, Office of Aircraft Services (OAS), 3905 Vista Avenue, Boise, Idaho 83705.

**Categories of individuals covered by the system:** Department of the Interior (DOI) employees.

**Categories of records in the system:** This system contains data concerning DOI employees trained by OAS to instruct aviation related subjects within DOI, including addresses, phone numbers, and notification procedures.

**Authority for maintenance of the system:** 5 U.S.C. 301, Reorganization Plan 3 of 1950.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to maintain updated instructor qualification records (b) to refer instructors to DOI Bureaus/Offices. Disclosures outside the Department of the Interior may be made, (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (3) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit, (4) to Federal, State, or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are maintained in manual form. (2) Retrievability -- records are indexed by name, instructional function, bureau/office. (3) Safeguards -- access to and use of these records are limited to those persons whose official duties require such access. (4) Retention and Disposal -- records are maintained on a current basis and disposed of when superseded.

**System manager(s) and address:** Chief, Technical Services Division, Office of Aircraft Services, 3905 Vista Avenue, Boise, Idaho 83705.

**Notification procedure:** Inquiries should be directed to the System Manager. See 43 CFR 2.60 for submission requirements.

**Record access procedures:** Same as above. See 43 CFR 2.63 for submission requirements.

**Contesting record procedures:** Same as above. See 43 CFR 2.71 for submission requirements.

**Record source categories:** Information in this system comes from individuals to whom it applies and Technical Representative (Training), OAS.

#### INTERIOR/AAS-6

**System name:** Aircraft Crew/Mechanic Information File (Commercial Operators) -- Interior, Office of the Secretary -- 6.

**System location:** (1) National Headquarters, Division of Technical Services, Office of Aircraft Services, 3905 Vista Avenue, Boise, Idaho 83705. (2) Regional Director, Office of Aircraft Services, 1935 Merrill Field Drive, Anchorage, Alaska 99501.

**Categories of individuals covered by the system:** Aircraft crew/mechanic employees of commercial operators, utilized by Department of the Interior (DOI) bureaus/offices.

**Categories of records in the system:** The system contains information relative to certificates, qualifications, experience levels, training and proficiency, performance information, and accident experience data.

**Authority for maintenance of the system:** 5 U.S.C. 301, Reorganization Plan 3 of 1950.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to determine aircraft crew/mechanic qualifications to comply with contract specifications. Disclosures outside the Department of the Interior may be made, (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (3) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, pilot qualification card, grant or other benefit, (4) to Federal, State, local agencies or commercial business where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, pilot qualification card, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are maintained in manual form (2) Retrievability -- records are indexed by name. (3) Safeguards -- access to and use of these records are limited to those persons whose official duties require such access. (4) Retention and Disposal -- records are maintained on a current basis and disposed of when superseded.

**System manager(s) and address:** (1) For National Headquarters -- Chief, Technical Services Division, Office of Aircraft Services, 3905 Vista Avenue, Boise, Idaho 83705. (2) For Regional Offices -- Regional Director, Office of Aircraft Services, 1935 Merrill Field Drive, Anchorage, Alaska 99501.

**Notification procedure:** Inquiries should be directed to the System Manager. See 43 CFR 2.60 for submission requirements.

**Record access procedures:** Same as above. See 43 CFR 2.63 for submission requirements.

**Contesting record procedures:** Same as above. See 43 CFR 2.71 for submission requirements.

**Record source categories:** Information in this system comes from individuals to whom it applies and Technical Representatives, Office of Aircraft Services.

#### INTERIOR/AAS-7

**System name:** Aircraft Crew/Mechanic Information File -- Interior, Office of the Secretary -- 7.

**System location:** (1) National Headquarters -- Office of Aircraft Services, Division of Technical Services, 3905 Vista Avenue, Boise, Idaho 83705. (2) Regional Office -- Regional Director, Office of Aircraft Services, 1935 Merrill Field Drive, Anchorage, Alaska 99501.

**Categories of individuals covered by the system:** Professional, dual function and incidental function pilots, aircrew members, and mechanics employed by Interior bureaus/offices.

**Categories of records in the system:** The system contains information relative to certificates, qualifications, experience levels, training and proficiency, performance information, and accident experience data.

**Authority for maintenance of the system:** 5 U.S.C. 301, Reorganization Plan 3 of 1950.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to determine aircraft crew/mechanic qualifications to comply with OAS procedures and directives. Disclosure outside the Department of the Interior may be made, (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule regulation, order or license, (3) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, pilot qualification card, grant or other benefit, (4) to Federal, State, local agencies or commercial business where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, pilot qualification card, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Records are maintained in manual form. (2) Retrievability -- Records are indexed by agency, location, name. (3) Safeguards -- Access to and use of these records are limited to those persons whose official duties require such access. (4) Retention and Disposal -- Records are maintained on a current basis and disposed of when superseded.

**System manager(s) and address:** (1) National Headquarters--Chief, Technical Services Division, Office of Aircraft Services, 3905 Vista Avenue, Boise, Idaho 83705. (2) Regional Office--Regional Director, Office of Aircraft Services, 1935 Merrill Field Drive, Anchorage, Alaska 99501.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the appropriate System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the appropriate System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the appropriate System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Information in this system comes from individual to whom it applies and Technical Representatives, Office of Aircraft Services.

#### INTERIOR/AAS-8

**System name:** Aircraft Services Administrative Management and Fiscal Records - Interior, Office of the Secretary-- 8.

**System location:** (1) Office of Aircraft Services, U.S. Department of the Interior, 3905 Vista Avenue, Boise, Idaho 83705. (2) Office of Aircraft Services, U.S. Department of the Interior, 1935 Merrill Field Drive, Anchorage, Alaska 99501.

**Categories of individuals covered by the system:** Employees and some former employees of the Office of Aircraft Services.

**Categories of records in the system:** Payroll records, including pay, leave and cost distribution records, including deductions for bonds, insurance, income taxes, allotments to financial institutions, overtime authorizations, and related documents. Travel records, including administrative approvals, travel expenses claimed and/or paid, receipts for expenditures claims. Government transportation requests, travel advance accounts and related records. Records of accountability for Government-owned property. Safety records, including claims under the Military Personnel and Civil Employees Claims Act. Records of issuance of Government identification cards and Government driver's licenses. Related records concerning administrative and fiscal management.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101, 5101-5115, 5501-5596, 5701-5709, 31 U.S.C. 66a, 240-243, 40 U.S.C. 483(b), 43 U.S.C. 1467, 44 U.S.C. 3101, Executive Order No. 11807.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records are (a) for administrative and fiscal management. Disclosure outside the Department of the Interior may be made, (1) to the Department of the Treasury for preparation of (a) payroll checks, (b) payroll deduction and other checks to Federal, State and local and (c) checks for reimbursement of employees and others, (2) to the Internal Revenue Service and to the State, Commonwealth, Territorial and local governments for tax purposes, (3) to the Civil Service Retirement System and other contributions, (4) to another Federal agency to which an employee has transferred, (5) to another Federal agency having a subject matter interest in the records, (6) to the U. S. Department of Justice when related to litigation or anticipated litigation, (7) of information indicating a violation or potential violation of a statute, regulation, rule, order, license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (8) to Federal, State, local agencies or commercial business where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, pilot qualification card, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual and automated. (2) Retrievability -- May be retrieved by individual name

or social security number. (3) Safeguards -- Records are maintained in accordance with 43 CFR 2.51. (4) Retention and Disposal -- According to approved records disposal schedules.

**System manager(s) and address:** Director, Office of Aircraft Services, U.S. Department of the Interior, 3905 Vista Avenue, Boise, Idaho 83705.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Employees, supervisors, timekeepers.

#### INTERIOR/ACL-12

**System name:** Private Relief Claimants, Department -- Interior, Office of the Secretary--12.

**System location:** Office of Legislation, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Individual claimants against the United States seeking remedy through private relief bills for claims involving the programs and activities of the Department of the Interior.

**Categories of records in the system:** Copies of relief bills and congressional committee reports, Departmental reports on bills, correspondence, comments of bureaus and offices.

**Authority for maintenance of the system:** 5 U.S.C. 301, 43 U.S.C. 1457, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to support legislation for the relief of private claimants. Disclosures outside the Department of the Interior may be made (1) to Congress to report on the basis and validity of claims; (2) to another Federal agency having a subject matter interest in the claim; (3) to the Office of Management and Budget in connection with the review of private relief legislation as set forth in OMB Circular No. A-19, at any stage of the legislative coordination and clearance process as set forth in that Circular; (5) to the Congressional sponsor of a private relief bill and to representatives of the individual who is the subject of the legislation; (6) to the U. S. Department of Justice when related to litigation or anticipated litigation.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Maintained manually in file folders. (2) Retrievability -- cross-indexed by name of claimant. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- retired to Federal Records Center after three Congresses.

**System manager(s) and address:** Director, Office of Legislation, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to Chief, Service Branch, Office of Legislation, U.S. Department of the Interior, Washington, D.C. 20240. The inquiry must be in writing and state that the individual seeks information concerning records pertaining to him. See 43 CFR 2.60.

**Record access procedures:** Same as Notification. See 43 CFR 2.63 for additional content requirements for requests.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Congress, individual claimants, bureaus and offices of the Department.

#### INTERIOR/ACL-13

**System name:** Private Relief Claimants, Bureau -- Office of the Secretary--13.

**System location:** (1) Bureau of Indian Affairs, 1951 Constitution Avenue, N.W., Washington, D.C. 20245. (2) Geological Survey Na-

tional Center, Reston, Virginia 22092. (3) Bureau of Land Management, Division of Legislation and Regulatory Management, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Individual claimants against the United States seeking remedy through private relief bills for claims involving the programs and activities of the Department of the Interior.

**Categories of records in the system:** Copies of relief bills and Congressional committee reports, Departmental reports on bills, correspondence, information compiled in connection with the claims, communications of requests from the sponsor of the bill on the claimant's attorney.

**Authority for maintenance of the system:** 5 U.S.C. 301, 43 U.S.C. 1457, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to support legislation for the relief of private claimants. Disclosures outside the Department of the Interior may be made (1) to Congress on the basis and validity of claims; (2) to another Federal agency having a subject matter interest in a claim; (3) to the Office of Management and Budget in connection with the review of private relief legislation as set forth in OMB Circular No. A-19 at any stage of the legislative coordination and clearance process as set forth in that Circular; (4) to the Congressional sponsor of a private relief bill and to representatives of the individual who is subject of the legislation; (5) to the U. S. Department of Justice when related to litigation or anticipated litigation; (6) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (7) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Maintained manually in file folders. (2) Retrievability -- Cross-indexed by name of claimant. (3) Safeguards -- Maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- Retired to Federal Records Center after three Congresses.

**System manager(s) and address:** (1) Director, Congressional and Legislative Staff, Room 4639, Bureau of Indian Affairs, 1951 Constitution Avenue, N.W., Washington, D.C. 20245. (2) Legislative Specialist, Geological Survey National Center, Reston, Virginia 22092. (3) Chief, Division of Legislation and Regulatory Management, Bureau of Land Management, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the appropriate System Manager listed above. The inquiry must be in writing and state that the individual seeks information concerning records pertaining to him. See 43 CFR 2.60.

**Record access procedures:** Same as Notification. See 43 CFR 2.63 for additional content requirements for requests.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Congress, individual claimants, bureaus and offices of the Department.

#### INTERIOR/AEM-15

**System name:** Emergency Defense Mobilization Files -- Interior, Office of the Secretary--15.

**System location:** (1) Office of the Assistant Secretary -- Energy and Minerals. (2) Office of the Assistant Secretary -- Land and Water Resources. (3) Defense Electric Power Administration. Address for all locations: U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Members of the National Defense Executive Reserve and other individuals assigned responsibilities in the event of a national defense emergency.

**Categories of records in the system:** Biographical and related records.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the

records is to administer the NDER program. Disclosures outside the Department of the Interior may be made (1) to officials of participating departments and agencies relevant to reservists assigned to their units; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Maintained in manual form. (2) Retrievability -- Indexed by individual name. (3) Safeguards -- Maintained with safeguards meeting the requirements of 43 CFR 2.51. (4) Disposal -- Records held for two years after individual's resignation or death.

**System manager(s) and address:** (1) For records maintained in the Office of the Assistant Secretary -- Energy and Minerals, the Assistant Secretary -- Energy and Minerals. (2) For records maintained in the Office of the Assistant Secretary -- Land and Water Resources, the Assistant Secretary -- Land and Water Resources. (3) For records maintained by the Defense Electric Power Administration, the Administrator, DEPA.

**Notification procedure:** A written, signed request stating that the requester seeks information concerning records pertaining to him is required. The request shall be addressed to the appropriate System Manager. See 43 CFR 2.60 for submission requirements.

**Record access procedures:** A request for access shall be addressed to the appropriate System Manager and shall meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and shall meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individuals.

#### INTERIOR/AEO-18

**System name:** Discrimination Complaints -- Interior, Office of the Secretary--18.

**System location:** (1) Office for Equal Opportunity, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (2) All Regional Offices of the Office for Equal Opportunity: (a) Office for Equal Opportunity - Eastern Region, U.S. Department of the Interior, 4040 N. Fairfax Drive - 10th Floor, Arlington, VA. 22203; (b) Office for Equal Opportunity - Western Region, U.S. Department of the Interior, Denver Federal Center, Building 67, Room 880, Denver, CO 80225; and (c) Office for Equal Opportunity - Alaska Region, U.S. Department of the Interior, 204 East Fifth Avenue, Anchorage, AK 99501.

**Categories of individuals covered by the system:** Individuals who claim to have been discriminated against on the basis of race, color, sex, religion, or national origin in violation of Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), in violation of Section 403 of the Trans-Alaska Pipeline Authorization Act (87 Stat. 576), or in violation of Executive Order 11246, as amended (3 CFR 169 (1974 ed.)).

**Categories of records in the system:** Contains complaints of discrimination; reports of complaint investigations and supplementary documentary evidence; correspondence, including requests for information from other Federal agencies and from minority, civil rights, women's, and community organizations; documents obtained from Government contractors and subcontractors, from the administrators and recipients of Government funds, and from Government permittees under the Trans-Alaska Pipeline Authorization Act (87 Stat. 576); and miscellaneous statistical data obtained from various sources.

**Authority for maintenance of the system:** Title VI of the Civil Rights Act of 1964 (42 USC 2000d) and its implementing regulations (43 CFR Pt. 17); Section 403 of the Trans-Alaska Pipeline Authorization Act (87 Stat. 576) and its implementing regulations (43 CFR Pt. 27); and Executive Order 11246, as amended (3 CFR 169 (1974 ed.)) and its implementing regulations (41 CFR Ch. 60).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is for the investigation and resolution of complaints of dis-

crimination in violation of Title VI of the Civil Rights Act of 1964 (42 USC 2000D), in violation of Section 403 of the Trans-Alaska Pipeline Authorization Act (87 Stat. 576), or in violation of Executive Order 11246, as amended (3CFR 169 1974 Ed.). Disclosures outside the Department of the Interior may be made (1) to the U. S. Equal Employment Opportunity Commission under the terms of the Office of Federal Contract Compliance Equal Employment Opportunity Commission Memorandum of Understanding dated September 11, 1974, (39 FR 35855); (2) to the Office of Federal Contract Compliance for the purpose of audit and evaluation; (3) to other Federal agencies charged with the enforcement of equal employment opportunity laws, orders and regulations, on a need-to-know basis to assist these agencies in their enforcement activities; (4) to the U. S. Department of Justice when related to litigation or anticipated litigation; (5) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (6) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by name of complainant. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- records held for three years after close of case, then sent to storage in Federal Records Center.

**System manager(s) and address:** (a) For complaints arising under Executive Order 11246, as amended (3 CFR 169 (1974 ed.)), and under Title VI of the Civil Rights Act of 1964 (42 USC 2000d) - Director, Office for Equal Opportunity, Office of the Secretary, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (b) For complaints arising under Section 403 of the Trans-Alaska Pipeline Authorization Act (87 Stat. 576) - Authorized Officer, Alaska Pipeline Office, U.S. Department of the Interior, 808 E Street, Anchorage, AK 99501.

**Notification procedure:** A written and signed request stating that the requester seeks information concerning records pertaining to him is required, and shall be addressed to the appropriate System Manager. 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the appropriate System Manager, and shall meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall meet the requirements of 43 CFR 2.71.

**Record source categories:** Complainants; Government contractors and subcontractors and their employees; Government permittees under Section 403 of the Trans-Alaska Pipeline Authorization Act (87 Stat. 576) and their employees; the administrators and recipients of Government funds from programs administered by the Department of the Interior; Federal, State, and local government agencies; community, minority, civil rights, and women's organizations; unions; members of Congress and their staffs; Bureaus and offices of the Department of the Interior; and confidential informants, to the extent they possess data otherwise unavailable.

#### INTERIOR/AES-20

**System name:** Secretariat Correspondence Card File -- Interior, Office of the Secretary--20.

**System location:** Executive Secretariat, Office of the Under Secretary, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Persons who have written to the Secretary of the Interior on official business.

**Categories of records in the system:** Identification of writer; subject, date and disposition of correspondence.

**Authority for maintenance of the system:** 5 U.S.C. 301; 43 U.S.C. 1457, 44 U.S.C. 3101, Reorganization Plan 3 of 1950.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of records is to ascertain status of correspondence to the Secretary of the Interior. Disclosures outside the Department of the Interior may be made (1) to a Federal agency so that the agency may respond to an inquiry from the named individual, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation (3) of

information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, and (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Wheelindex (index file). (2) Retrievability -- indexed by name and number. (3) Safeguards -- records maintained in locked file in secure room. (4) Retention and Disposal -- records maintained for one to two years then destroyed.

**System manager(s) and address:** Executive Secretary, Interior Building, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** Same as above. See 43 CFR 2.60 for submission requirements.

**Record access procedures:** Same as above. See 43 CFR 2.63 for submission requirements.

**Contesting record procedures:** Same as above. See 43 CFR 2.71 for submission requirements.

**Record source categories:** Individuals on whom the record is maintained.

#### INTERIOR/AJC-25

**System name:** Youth Conservation Corps (YCC) Enrollee Records - Interior, Office of the Secretary--25.

**System location:** (1) All USDI Federal Camp Directors' Offices. Addresses of YCC Camp Directors may be obtained each year by writing to the System Manager. (2) Administrative Services Center, Bureau of Reclamation, Salt Lake City, Utah 84147.

**Categories of individuals covered by the system:** Enrollees of current year USDI Federal YCC program.

**Categories of records in the system:** (1) Current Enrollees: USDI Application Forms; USDI Medical History Forms; Personal and Statistical Information. (2) Optional: Evaluation of enrollee's performance by camp staff; Accident, injury and treatment forms. (3) Past Enrollees: List of names and addresses. (4) Current Alternates: USDI Application Forms.

**Authority for maintenance of the system:** PL 93-408.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) the identification of current and past enrollees and current alternates; (b) for the selection of alternate upon enrollee withdrawal from program; (c) to provide enrollee participation record for school credit. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Agriculture in connection with joint administration of YCC program; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (6) to Federal State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in personnel jackets. (2) Safeguards -- stored in metal filing cabinets with three way combination locks or key locks. (3) Retention and Disposal -- records are maintained until the end of the current program. At termination, a list of the names and addresses of enrollees is retained, while the parental permission portion of USDI Application Forms and all USDI Medical History Forms and any completed Accident, injury and treatment forms are forwarded to the Administrative Services Center, Bureau of Reclamation, Salt Lake City, Utah 84147. All other non-record information in the system of records is destroyed. The list of enrollee names and addresses is retained. Disposal schedule is pending. The application forms of current alternates are destroyed at the termination of the current program.

**System manager(s) and address:** Director, Office of Manpower Training and Youth Activities, Department of the Interior, Office of the Secretary, Washington, D.C. 20240.

**Notification procedure:** System Manager and camp directors. Camp director will only be able to provide information from records maintained at the camp. See 43 CFR 2.60.

**Record access procedures:** System Manager or camp directors. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained, medical doctor, school or other official.

#### INTERIOR/AJC-26

**System name:** Youth Conservation Corps (YCC) Enrollee Payroll Records File - Interior, Office of the Secretary--26.

**System location:** Administrative Services Center, Bureau of Reclamation, P.O. Box 11568, Salt Lake City, Utah 84147. Records are joint records of the U.S. Department of the Interior, Office of Manpower Training and Youth Activities and the U.S. Department of Agriculture, Forest Service.

**Categories of individuals covered by the system:** Youth accepted into the YCC program.

**Categories of records in the system:** Personnel, pay, statistical and termination data compiled by camp officials.

**Authority for maintenance of the system:** PL 93-408.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) the identification of current and past enrollees; (b) for payroll purposes for current enrollees; (c) to develop demographic characteristics of enrollee population for statistical purposes. Disclosures outside the Department of the Interior may be made (1) to the Department of the Treasury for preparation of (a) payroll checks and (b) payroll deduction and other checks to Federal, State and local government agencies, non-governmental organizations and individuals; (2) to the Internal Revenue Service and to State, Commonwealth, Territorial and local government for tax purposes; (3) to the Civil Service Commission in connection with the Civil Service Retirement system; (4) to another Federal agency to which an employee has transferred; (5) to the U. S. Department of Justice when related to litigation or anticipated litigation; (6) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (7) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (8) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (9) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- current and past personal and statistical information on magnetic tape and printouts. (2) Retrievability -- tape reels are coded by number. (3) Safeguards -- tapes are stored in a tape file and vault while printouts are stored in a locked metal filing cabinet. (4) Retention and Disposal -- current payroll information is purged from magnetic tapes at the termination of the program after being transferred to a sedle magnetic tape which is retained permanently. Other material disposal regulations are pending.

**System manager(s) and address:** (1) Director, Division of Manpower and Youth Conservation Programs, U.S. Department of Agriculture, Forest Service, Washington, D.C. 20250. (2) Director, Office of Manpower Training and Youth Activities, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Managers. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Managers. The request must be in writing and be

signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Managers and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained, camp personnel.

#### INTERIOR/AJC-27

**System name:** Youth Conservation Corps (YCC) Enrollee Medical Records -- Interior, Office of the Secretary--27.

**System location:** Administrative Services Center, Bureau of Reclamation, P.O. Box 11568, Salt Lake City, Utah 84147.

**Categories of individuals covered by the system:** Enrollees of past Interior Federal YCC programs.

**Categories of records in the system:** (1) U.S.D.I. Medical History Forms. (2) Accident, injury and treatment forms. (3) Parental permission portion of the U.S.D.I. Application forms.

**Authority for maintenance of the system:** PL 93-408.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for the adjudication of FEC medical claims, and (b) the adjudication of tort claims. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Agriculture in connection with joint administration of YCC program; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (6) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- manual records. (2) Retrievability -- by individual name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51. (4) Retention and Disposal -- pending.

**System manager(s) and address:** Director, Office of Manpower Training and Youth Activities, Department of the Interior, Office of the Secretary, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained, medical doctor, and camp official compiling accident or medical treatment information.

#### INTERIOR/AJC-28

**System name:** Youth Conservation Corps (YCC) Research file -- Interior, Office of the Secretary--28.

**System location:** Institute for Social Research, University of Michigan, Ann Arbor, Michigan 48106. Records are joint records of the U.S. Department of the Interior, Office of Manpower Training and Youth Activities and the U.S. Department of Agriculture, Forest Service.

**Categories of individuals covered by the system:** A random sample of 600 1973 YCC enrollees.

**Categories of records in the system:** The file contains questionnaire responses, environmental education scores, and verbal skills test data of questionnaire respondents.



Authority for maintenance of the system: PL 93-408.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is for future research on long term values gained from the YCC experience. Disclosures outside the Department of the Interior when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, state, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- file is on a magnetic tape. (2) Retrievability -- data on each person is identified by a case number. It can be retrieved by matching the case number with a mailing list containing case numbers, name and address. (3) Safeguards -- only the research staff have access to the mailing list. (4) Retention and Disposal -- files are being retained indefinitely for possible future longitudinal studies of long range benefit.

**System manager(s) and address:** (1) Director, Division of Manpower and Youth Conservation Programs, U.S. Department of Agriculture, Forest Service, Washington, D.C. 20250. (2) Director, Office of Manpower Training and Youth Activities, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** The individual covered in the sample.

#### INTERIOR/AJC-29

**System name:** Youth Conservation Corps (YCC) Recruitment Files -- Interior, Office of the Secretary--29.

**System location:** Office of the YCC recruiter in each State. Address of State recruiter may be obtained each year by writing to the System Managers as listed below.

**Categories of individuals covered by the system:** Youths between the ages of 15 and 18 who file an application to attend a Forest Service, Department of the Interior, or State Grant YCC camp.

**Categories of records in the system:** The system consists of application forms submitted by eligible youth.

**Authority for maintenance of the system:** Public Law 93-408.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is for selection among applications of all eligible applications. Persons selected are either offered employment in a YCC camp or placed on an alternate list to be used in case of declination. Disclosures outside the Department of the Interior may be made (1) to the U. S. Forest Service, States, counties, cities and other subgrantees for employment purposes; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- manual. (2) Retrievability -- applications are filed alphabetically by name of individual applicant. (3) Safeguards -- records are kept locked in confidential files. (4) Retention and Disposal -- pending.

**System manager(s) and address:** (1) Director, Division of Manpower and Youth Conservation Programs, U.S. Department of Agriculture, Forest Service, Washington, D.C. 20250. (2) Director, Office of Manpower Training and Youth Activities, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Inquiries should be made to one of the above offices for information regarding this system of records.

**Record access procedures:** Any individual may obtain information as to the procedures for gaining access to a record in the system which pertains to him, by submitting a written request to the appropriate official referred to in the preceding paragraph.

**Contesting record procedures:** A petition for amendment should be submitted to the appropriate System Manager.

**Record source categories:** The records in this system originate in two ways: (1) The YCC application form prepared by the applicant and any additions or corrections thereto, also prepared by the applicant. (2) Additional information added to the file by the State recruiter concerning the applicant's selection, nonselection, declination, etc.

#### INTERIOR/ALS-35

**System name:** Library Circulation Control System -- Interior, Office of the Secretary--35.

**System location:** (1) Office of Library Services, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (2) Libraries maintained by bureaus and offices of the Department. (List of addresses may be obtained from the System Manager.)

**Categories of individuals covered by the system:** Borrowers of library materials from Department of the Interior libraries.

**Categories of records in the system:** Borrowers' name and working address, book call number and due date.

**Authority for maintenance of the system:** 43 U.S.C. 67a, 1457, 1467, 40 U.S.C. 483(b), 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is control of library materials. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- manual card file. (2) Retrievability -- name, call number, date due. (3) Safeguards -- attended constantly during working hours, library locked thereafter. (4) Retention and Disposal -- current year plus one, disposed of in regular trash.

**System manager(s) and address:** Director, Office of Library Services, Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the System Manager, with respect to records located in the Office of Library Services, and to individual librarians with respect to records located in the facility for which each is responsible. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager, with respect to records located in the Office of Library Services, and to individual librarians with respect to records located in the facility for which each is responsible. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual using library services.

#### INTERIOR/AMO-45

**System name:** Security Clearance Files and Other Reference Files -- Interior, Office of the Secretary--45.

**System location:** Office of the Secretary, Office of Administrative Services (AMO), Division of Enforcement and Security Manage-

ment, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Office of the Secretary personnel, Heads of Bureaus, and their respective Bureau Security Officers whose duties have been designated critical sensitive or non-critical sensitive. Additional indices are maintained on 3 x 5 index cards as indicated under the Subparagraph 'Authority for maintenance of the system'.

**Categories of records in the system:** Contains copies of SF-85 or SF-86 and/or SF-171 supplied by individual concerned as well as copies of letters of transmittal between Interior and U.S. Civil Service Commission concerning the individuals' background investigation. Further, contains copy of certification of clearance status and briefing and/or debriefing certificate signed by individual as appropriate. Card file reflects summary, case number and disposition of the case number and disposition of the case file following review.

**Authority for maintenance of the system:** Executive Order 10450. Additionally certain reports of investigation pertaining to crimes committed on Federal Lands under programs within the jurisdiction of the Secretary of the Interior are screened and summarized on 2 x 5 index cards.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are for the identification of (a) Office of the Secretary personnel and Heads of Bureaus and their respective Security Officers who have been granted a security clearance; (b) persons in a pending clearance status awaiting the results and adjudication of Civil Service Commission investigations; and (c) persons whose clearance has been terminated in the last two years due to an administrative down-grading, transfer to other agencies, employment retirement, or death. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, state, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in 8 x 10 1/2 inch folders or on card stock as indicated above. (2) Retrievability -- indexed by name. (3) Safeguards -- stored in a locked room in manipulation-proof 3-way combination lock steel safes. Access granted only to cleared personnel on official business. (4) Retention and disposal -- records are maintained in active status until the individual is debriefed; held for two years and then destroyed. Index cards are retained for a period of two years after the case is closed.

**System manager(s) and address:** Chief, Division of Enforcement and Security Management, AMO, Room 6222, Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Same as the above. A written and signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** Same as the above. The request must be in writing, signed by the requester, and meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained as well as data furnished by other Federal agencies on the person concerned.

#### INTERIOR/AMO-46

**System name:** Secretarial Subject Files -- Interior, Office of the Secretary--46.

**System location:** Office of the Secretary, Office of Administrative Services (AMO), Central Files Section, Room 6013, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Those who have had correspondence with the Office of the Secretary.

**Categories of records in the system:** Index cards containing the name, dates, and subject codes for retrieval of subject files, subject files of correspondence.

**Authority for maintenance of the system:** 5 U.S.C. 301, 43 U.S.C. 1457, 44 U.S.C. 3101, Reorganization Plan 3 of 1950.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records are to support the operational, program and policy decisions of the Secretary of the Interior, Solicitor, Assistant Secretaries, Deputy Assistant Secretaries, and their immediate staff. Disclosures outside the department are (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, and (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- index cards maintained on 3' x 5' cards, correspondence filed in 9 1/2' x 12' folders. (2) Retrievability -- indexed by name. (3) Safeguards -- stored in locked office. (4) Retention and Disposal -- permanent.

**System manager(s) and address:** Records Manager, AMO, Room 6013, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Same as the above. See 43 CFR 2.60 for submission requirements.

**Record access procedures:** Same as the above. See 43 CFR 2.63 for submission requirements.

**Contesting record procedures:** Same as the above. See 43 CFR 2.71 for submission requirements.

**Record source categories:** Correspondence or documents signed at the Secretarial level.

#### INTERIOR/AMO-47

**System name:** Parking Assignment Records -- Interior, Office of the Secretary--47.

**System location:** Office of Administrative Services (AMO), Division of General Services, Room 6221, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Individual requesting a parking permit or joining a carpool.

**Categories of records in the system:** The records contain the individual's name, social security number, telephone number at work, service computation date, vehicle model, state of registration, license tag number and the individual's residence address and location of employment.

**Authority for maintenance of the system:** 40 U.S.C. 471, et seq., FMC 74-1 FPMR Temporary Regulation D-43.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) internal control over the assignment of parking permits (b) assistance to individuals in locating carpools. Disclosures outside the department are (1) to a Federal agency that has jurisdiction over parking space, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, and (3) of information indicating a violation of a statute, regulation, rule, order or license, to appropriate Federal, State local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on computer printout. (2) Retrievability -- indexed by name of individual, social security number, zip code of individual's residence, and organization location. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for computerized records. (4) Disposal -- records maintained on a current basis.

**System manager(s) and address:** Chief, Division of General Services, AMO, Room 6221, U. S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Same as the above. A written and signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** Same as the above. The request must be in writing, signed by the requester, and meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Data furnished by the individual.

#### INTERIOR/AMO-48

**System name:** Employee Identification Card Files -- Interior, Office of the Secretary--48.

**System location:** Division of Enforcement and Security Management, Office of Administrative Services (AMO), U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Employees of the Office of the Secretary and other Departmental offices and non-Federal support personnel requiring access to Department buildings.

**Categories of records in the system:** These files contain the name of the individual issued the particular card, date of issue and card number.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101, 43 U.S.C. 1467.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is for internal control over the issuance of the cards. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, and (2) in the event there is information indicating a violation or potential violation of a statute, regulation, rule, order, or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in large bound record book. (2) Retrievability -- indexed by card number. (3) Safeguards -- stored in a locked room in manipulation-proof, 3-way combination lock steel safe. Access limited to those persons whose official duties require such access. (4) Retention and Disposal -- records are maintained for the duration of the employment or contractual relationship.

**System manager(s) and address:** Chief, Division of Enforcement and Security Management, AMO, Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Information is furnished by the person to whom the card is issued.

#### INTERIOR/AMO-50

**System name:** Motor Vehicle Operator's Identification Card Applications - Interior, Office of the Secretary--50.

**System location:** Division of General Services, Office of Administrative Services, U.S. Department of the Interior, Washington, D.C. 20240. (2) Division of Personnel Services, Office of the Secretary, U.S. Department of the Interior, Washington, D.C. 20240.

**Categories of individuals covered by the system:** Employees in the Office of the Secretary and other Departmental offices who have applied for driver permits.

**Categories of records in the system:** The records contain the name, title, sex, date of birth, place of birth, physical characteristics, social security number, past driving record, traffic citations, accidents for past three years, medical history, state driver license number, and road test results.

**Authority for maintenance of the system:** 40 U.S.C. 491(j).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is internal control over the issuance of driver permits. Disclosures outside the Department are (1) to a Federal agency for the purpose of checking individual driving records, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, and (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on form DI-131-Rev. and Standard Form 47. (2) Retrievability -- by name. (3) Safeguards -- stored in locked file cabinet. (4) Retention and Disposal -- subject to general records schedule.

**System manager(s) and address:** Chief, Division of General Services, Office of Administrative Services, Department of the Interior, Washington, D. C. 20240.

**Notification procedure:** Same as the above. A written and signed request stating that the requester seeks information concerning records pertaining to him is required.

**Record access procedures:** Same as the above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Federal employees applying for a government driver permit.

#### INTERIOR/AMO-51

**System name:** Property Accountability -- Interior, Office of the Secretary--51.

**System location:** Division of General Services, Office of Administrative Services U.S. Department of the Interior, Washington, D.C. 20240.

**Categories of individuals covered by the system:** Assignment of property to offices accountable to individuals designated as Responsible Officers.

**Categories of records in the system:** The records system contains computer codes for each Responsible Officer and descriptive data about each piece of capitalized property assigned.

**Authority for maintenance of the system:** 40 U.S.C. 483(b).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is the internal assignment of property to offices and individuals. Disclosures outside the Department are (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, and (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are maintained on computer media. (2) Retrievability -- system is indexed by code assigned to each individual. (3) Safeguards -- maintained with safeguards meeting the 'Computer Security Guidelines for Implementing the Privacy Act of 1974.' (4) Retention and Disposal -- destroyed when obsolete.

**System manager(s) and address:** Division of General Services, Office of Administrative Services, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Same as the above. A written and signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** Same as the above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Individual that is assigned the property.

#### INTERIOR/AMO-52

**System name:** Travel Management Records -- Interior, Office of the Secretary--52.

**System location:** Branch of Travel, Office of Administrative Services (AMO), U. S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Federal Employees or individuals who travel on behalf of the Department on official business.

**Categories of records in the system:** The records system contains passports, visas, travel folders, rental credit cards, Government transportation requests, travel tickets, and imprest fund.

**Authority for maintenance of the system:** 5 U.S.C. 5701, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) making reservations (b) the generating of tickets (c) the transfer of travel tickets to fiscal services. Disclosures outside the Department are (1) to the airlines for travel and reservation purposes, (2) the transfer of passports and visa to other Federal agencies, (3) to the U. S. Department of Justice when related to litigation or anticipated litigation, and (4) of information indicating a violation or potential violation of a statute regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- travel folders and tickets maintained in 8"x10 1/2" folders. Rental credit cards maintained on 2"x3" plastic card. Government transportation and imprest fund requests maintained in log books. Each passport and visa maintained in separate book. (2) Retrievability -- travel folders and tickets filed by bureau. Rental credit cards filed by number and name. Government transportation requests filed by number and name. Passports and visas filed by name. Imprest fund requests filed by name and number amount. (3) Safeguards -- passports, visas, imprest fund and Government transportation log books stored in a locked room in manipulation proof three way combination lock steel safes. Travel folders, rental credit cards stored in a locked room. Access granted only to designated personnel. (4) Retention and Disposal -- General Records Schedule No. 9, Item No. 4.

**System manager(s) and address:** Chief, Branch of Travel, Office of Administrative Services, Department of the Interior, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** Same as the above. A written and signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** Same as the above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Federal employees and individuals on behalf of the Department.

#### INTERIOR/AMO-53

**System name:** Classified Documents -- Interior, Office of the Secretary--53.

**System location:** Office of Administrative Services (AMO), U.S. Department of the Interior, c/o U.S. State Department, 2201 C Street NW, Washington, D.C., 20520.

**Categories of individuals covered by the system:** Employees of U.S. Department of the Interior authorized to receive classified documents.

**Categories of records in the system:** The system contains the individual name, security clearance, employment address, and telephone number.

**Authority for maintenance of the system:** Executive Orders 10450 and 10865.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is the internal transmittal of the classified documents to in-

dividuals. Disclosures outside the Department are (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, and (2) of information indicating a violation or potential violation of a statute regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in 8x10 1/2" folders. (2) Retrievability -- indexed by bureau and name. (3) Safeguards -- stored in a locked room in manipulation-proof 3-way combination lock steel safe. (4) Retention and Disposal -- records are maintained in active status.

**System manager(s) and address:** Chief, Division of General Services, AMO, U.S. Department of the Interior, 18th & C Streets, NW, Washington, D.C. 20240.

**Notification procedure:** Same as the above. A written and signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60. requester and meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** List of employees who have security clearance.

#### INTERIOR/AMP-57

**System name:** Privacy Act Files -- Interior, Office of the Secretary--57.

**System location:** (1) Office of the Assistant Secretary -- Administration and Management, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (2) Offices of Privacy Act Officers of each bureau of the Department. (See Appendix for addresses of bureau headquarters offices.)

**Categories of individuals covered by the system:** (1) Individuals who have submitted requests for notification, access or amendment of records under the Privacy Act. (2) Individuals who have filed Privacy Act appeals with Assistant Secretary--Administration and Management under the department's regulations. (3) Offices of Systems Managers and other officials authorized to receive requests for notification and access and petitions for amendments. (See system notices for addresses.)

**Categories of records in the system:** Requests, appeals, decisions and related correspondence.

**Authority for maintenance of the system:** 5 U.S.C. 552a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are for action on requests and appeals of Privacy Act matters. Disclosures outside the Department of the Interior may be made (1) to other Federal agencies having a subject matter interest in a request or an appeal or a decision thereon; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Maintained in manual form. (2) Retrievability -- By individual name. (3) Safeguards -- Maintained with safeguards meeting the requirements of 43 CFR 2.51.

**System manager(s) and address:** (1) For records in the Office of the Assistant Secretary -- Administration and Management: Privacy Act Officer, Office of the Assistant Secretary -- Administration and Management, U.S. Department of the Interior, 18th and C Streets, NW., Washington, D.C. 20240. (2) For other records: Bureau Privacy Act Officers. (See Appendix for addresses of bureau headquarters offices.)

**Notification procedure:** The System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access should be addressed to any office or offices to which the requester has submitted a request for access or an appeal. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the appropriate System Manager and must meet the content requirements of 43 CFR 2.71.

**Systems exempted from certain provisions of the act:** The Privacy Act does not entitle an individual to access to information compiled in reasonable anticipation of a civil action or proceeding.

#### INTERIOR/AMP-58

**System name:** Office Operations Records on Employees, Department System -- Interior, Office of the Secretary--58.

**System location:** (1) Offices making up the Office of the Secretary, including regional and field facilities thereof. (See item I of Appendix for addresses). (2) Other Departmental offices of the Department of the Interior, including regional and field facilities thereof. (See items II-VII of Appendix for addresses.)

**Categories of individuals covered by the system:** Employees of the Office of the Secretary and other Departmental offices.

**Categories of records in the system:** Records involving operational relationships between the employee and the office in which the employee works. These records include: workload and productivity records for scheduling purposes; travel activity and budgets; accident and safety records, property accountability; studies and special projects; committee and detail assignments; locator indexes and parking space assignments.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101, 5105-5115, 5501-5516, 5701-5709, 31 U.S.C. 240-243, 40 U.S.C. 483(B), 43 U.S.C. 1467, 44 U.S.C. 3101, Executive Order No. 11807.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to administer the employee's relationship to the activities and functions of the office. Disclosures outside the U. S. Department of Justice when related the Department of the Interior may be made (1) to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**System manager(s) and address:** For the records located in the office for which each is responsible, the head of each office making up the Office of the Secretary and the head of each other Departmental office.

**Notification procedure:** Information in this system of records either comes from the individual to whom it applies or is obtained through internal office procedures with which the individual is involved.

**Record access procedures:** A request for access to records should be addressed to the highest official of the requester's office at the facility at which he is (or was) employed. See 43 CFR 2.61 for submission requirements.

**Contesting record procedures:** A petition for amendment shall be addressed to the appropriate System Manager and must meet the content requirements of 43 CFR 2.71.

#### INTERIOR/AMP-59

**System name:** Office Operations Records on Employees, Bureau System -- Interior, Office of the Secretary--59.

**System location:** Substantially all facilities of the U.S. Fish and Wildlife Service, Geological Survey, Bureau of Indian Affairs, Bureau of Land Management, Bureau of Mines, Mining Enforcement and Safety Administration, National Park Service, Bureau of Outdoor Recreation, Bureau of Reclamation, Alaska Power Administration, Defense Electronic Power Administration, Southeastern Power Administration, and Southwestern Power Administration. (See items VIII-XXI in Appendix.)

**Categories of individuals covered by the system:** Employees of the bureaus listed under Location, above.

**Categories of records in the system:** Records involving operational relationships between the employee and the office in which the em-

ployee works. These records include: workload and productivity records for scheduling purposes; travel activity and budgets; accident and safety records, property accountability; studies and special projects; committee and detail assignments; locator indexes and parking space assignments.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101, 5105-5115, 5501-5516, 5701-5709, 31 U.S.C. 66a, 240-243, 40 U.S.C. 483(b), 43 U.S.C. 1467, 44 U.S.C. 3101, Executive Order No. 11807.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** License, to appropriate Federal, state, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**System manager(s) and address:** (1) For the Geological Survey: Assistant Director for Administration. (2) For the Fish and Wildlife Service: Assistant Director--Administration. (3) For the Bureau of Indian Affairs: Director, Office of Administration. (4) For the Bureau of Land Management: Assistant Director--Technical Services. (5) For the Bureau of Mines: Assistant Director--Administration. (6) For the Mining Enforcement and Safety Administration: Administrative Officer. (7) For the National Park Service: Associate Director--Administration. (8) For the Bureau of Outdoor Recreation: Assistant Director for Management and Budget. (9) For the Bureau of Reclamation: Chief, Division of General Services. (10) For the Alaska Power Administration: Administrator. (13) For the Southwestern Power Administration: Administrator. (See Appendix for addresses of bureau headquarters offices.)

**Notification procedure:** With respect to the headquarters facility of each bureau, an individual may inquire whether the system contains a record pertaining to him by contacting the System Manager. With respect to field facilities of each bureau, an inquiry shall be addressed to the highest official of the bureau at each facility. See 43 CFR 2.60 for submission requirements.

**Record access procedures:** With respect to the headquarters facility of each bureau, an individual may request access to a record pertaining to him by contacting the System Manager. With respect to the field facilities of each bureau, a request shall be addressed to the highest official of the bureau at each facility. See 43 CFR 2.61 for submission requirements.

**Contesting record procedures:** A petition for amendment shall be addressed to the appropriate System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Information in this system of records either comes from the individual to whom it applies or is obtained through internal office procedures with which that individual is involved.

#### INTERIOR/AMP-60

**System name:** Safety Management Information System -- Office of the Secretary--60.

**System location:** (1) Division of Safety Management, Office of Administrative and Management Policy, Office of the Secretary, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (2) All field offices and bureau headquarters retain copies of source document. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Employees, contractors, concessioners and public visitors to Interior facilities who have been involved in an accident resulting in personal injury, and/or property damage.

**Categories of records in the system:** Contains the name, social security number (employees only), occupation, date and location of accident; data elements about the accident for analytical purposes; and descriptive narrative concerning the reason for the loss producing event.

**Authority for maintenance of the system:** (1) 5 U.S.C. 7901, (2) 28 U.S.C. 2671-2680, (3) 31 U.S.C. 240-243, (4) Executive Order 11807 (1974), (5) 29 CFR 1960.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) provide summary data of injury, illness and property loss information to bureaus in a number of formats for analytical purposes in establishing program to reduce or eliminate loss producing problem areas, (b) provide listings of individual cases to bureaus to insure that accidents occurring are reported through the Safety Management Information System, and (c) adjudicating tort

and employee claims. Disclosures outside the Department of the Interior may be made, (1) to a Federal, State or local government agency that has partial or complete jurisdiction over the claim or related claims; (2) to provide to the Department of Labor quarterly summary listings of fatalities and disabling injuries and illnesses in compliance with 29 CFR 1960.6; (3) to the U. S. Department of Justice when related to litigation or anticipated litigation; (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; and (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are maintained on magnetic tape, with copies of source document maintained at bureau safety management headquarters, regional, and field offices where accident is reported. (2) Retrievability -- system is indexed by bureau assigned document control number. (3) Safeguards -- maintained with safeguards meeting the 'Computer Security Guidelines for Implementing the Privacy Act of 1974.' (4) Disposal -- data stored on magnetic tape is retained as a permanent record. Source documents are to be retained at the field level for five years following end of the calendar year to which the record relates.

**System manager(s) and address:** Assistant Director for Safety Management, Office of Administrative and Management Policy, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** System manager or the field office in which the source document pertaining to the individual would be filed. The source document contained in the field office need not be maintained more than five calendar years past the date of the record as indicated in records disposal. A written and signed request stating that the requester seeks information concerning records pertaining to him/her is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager or the field safety office in which the source document for the individual would be filed. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Supervisor of employee involved in accident. Investigation conducted by supervisor of employee and may include safety professionals and other management officials of the involved bureau or office.

#### INTERIOR/AMP-61

**System name:** Safety Career Opportunity Plan for Employees -- Interior, Office of the Secretary--61.

**System location:** Division of Safety Management, Office of Administrative and Management Policy, Office of the Secretary, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Individuals who have filed to be included in the SCOPE system.

**Categories of records in the system:** Contains records concerning education, job experience and grade level of safety professionals and others interested in entering the safety profession.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101; 43 U.S.C. 1457; Departmental Manual, Part 395 Safety Management.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of records are: (a) to obtain listing of safety professionals eligible for announced vacancies, and (b) to provide a copy of the vacancy announcements to all interested, eligible persons. Disclosures outside the Department of the Interior may be made, (1) to the U. S. Department of Justice when related litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (3) from the record of an

individual in response to an inquiry from a Congressional office made at the request of that individual, and (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are maintained on magnetic tape, with copies of source document maintained in Division of Safety Management files. (2) Retrievability -- system is indexed by name. (3) Safeguards -- maintained with the safeguards meeting the 'Computer Security Guidelines for Implementing the Privacy Act of 1974.' (4) Disposal -- data stored on magnetic tape is kept current by periodic up-date with each record remaining in the SCOPE system until an individual requests that it be corrected or removed.

**System manager(s) and address:** Division of Safety Management, Office of Administrative and Management Policy, Office of the Secretary, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/AOC-65

**System name:** Biography File -- Interior, Office of the Secretary--65.

**System location:** (1) Office of Public Affairs, Research Office, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (2) Bureau public information offices in the Bureau of Indian Affairs, the Bureau of Reclamation, the U.S. Geological Survey, the Mining Enforcement and Safety Administration, the National Park Service, the U.S. Fish and Wildlife Service, the Office of Land Use and Water Planning, the Bonneville Power Administration, the Alaska Power Administration, the Southeastern Power Administration and the Southwestern Power Administration. (See System Manager paragraph for addresses.)

**Categories of individuals covered by the system:** Officials of the Department of the Interior, including the Secretary, Assistant Secretaries, heads of Bureaus and Offices.

**Categories of records in the system:** The records are biographical news releases generally containing the individual's name, place and date of birth, education, military service, work experience, publications, membership in professional or scientific societies, marital status plus occasional newspaper clippings about the individual and in some cases a photograph of the individual.

**Authority for maintenance of the system:** Statutes 5 USC 301, 3101, 43 USC 1467, 44 USC 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to maintain biographic information on key officials of the Department. Disclosures outside the Department of the Interior may be made (1) to the news media and the public for public information purposes.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- biographies are in press release form, maintained in file folders. (2) Retrievability -- alphabetized by name. (3) Safeguards -- maintained in a locked room. (4) Retention and Disposal -- destroyed when obsolete.

**System manager(s) and address:** (Unless otherwise noted, the address for all system managers in U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (1) For the Office of Public Affairs: Director, Office of Public Affairs. (2) For the Bureau of Indian Affairs: Director, Public Information Staff, Bureau of Indian Affairs. (3) For the Bureau of Reclamation: Chief, Office of Public Affairs, Bureau of Reclamation. (4) For the Geological Survey: Information Officer, U.S. Geological Survey.

the National Center, Reston, Virginia 22092. (5) For MESA: Chief, MESA Information Office, Mining Enforcement and Safety Administration, Ballston Tower No. 3, 4015 Wilson Blvd., Arlington, Virginia 22203. (6) For the National Park Service: Assistant to the Director, Office of Public Affairs, National Park Service. (7) For the Fish and Wildlife Service: Assistant Director-- Public Affairs, U.S. Fish and Wildlife Service. (8) For the Office of Land Use and Water Planning: Staff Assistant for Public Affairs, Office of Land Use and Water Planning, 801 - 19th Street, N.W., Washington, D.C. 20006. (9) For the Bonneville Power Administration: Information Officer, Bonneville Power Administration, P.O. Box 3621, Portland, Oregon 97208. (10) For the Alaska Power Administration: Administrator, Alaska Power Administration: Chief, Division of Administrative Management, Southeastern Power Administration, Samuel Elbert Bldg., Elberton, Georgia 30635. (12) For the Southwestern Power Administration, P.O. Drawer 1619 Tulsa, Oklahoma 74101.

**Notification procedure:** Same as the above. A written and signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** Same as the above. The request must be in writing, signed by the

**Contesting record procedures:** A petition for amendment should be addressed to the appropriate System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Data furnished by the individual and newspaper clippings.

**INTERIOR/APB-68**

**System name:** Committee Management Files -- Interior, Office of the Secretary--68.

**System location:** (1) Department Committee Management Officer and Bureau Committee Management Officers. (2) Headquarters office of all bureaus. (See appendix for addresses.)

**Categories of individuals covered by the system:** Members of advisory committees and candidates for advisory committee membership.

**Categories of records in the system:** Biographies and records of committee participation.

**Authority for maintenance of the system:** 5 U.S.C. App. I.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is administration of committee activities within the Department. Disclosures outside the Department of the Interior may be made (1) to OMB in connection with its committee management responsibilities; (2) to other Federal agencies which have joint responsibility for advisory committees or which receive or utilize advice of the committees; (3) to a Federal, State or local agency, private organization or individual as necessary to obtain information in connection with a decision concerning appointment or reappointment of an individual to committee membership; (4) to the U. S. Department of Justice when related to litigation or anticipated litigation; (5) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in file folders. (2) Retrievability -- filed by committee or bureau. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- Department Committee Management Office records are maintained no longer than two years. Bureau records are subject to bureau disposal schedules.

**System manager(s) and address:** Department Committee Management Officer, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** System Manager. A written and signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Individual members or candidates for membership. Persons recommending or proposing or having knowledge of the qualifications of an individual.

**Systems exempted from certain provisions of the act:** Under the specific exemption authority of 5 U.S.C. 552a(k)(5), the Department of the Interior has adopted a regulation (43 CFR 2.79(c)) which exempts this system from the provisions of 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G),(H) and (I) and (f) to the extent that the system consists of investigatory material compiled solely for the purpose of determining suitability, eligibility or qualifications for federal civilian employment. The reasons for adoption of this regulation are set out at 40 FR 50432 (October 29, 1975).

**INTERIOR/APB-69**

**System name:** Freedom of Information Appeal Files -- Interior, Office of the Secretary--69.

**System location:** (1) Office of the Assistant Secretary -- Administration and Management, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (2) Office of Public Affairs, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** (1) Individuals who have filed appeals under Department of the Interior Freedom of Information appeal procedures. (2) Individuals whose Freedom of Information requests to bureaus and offices have required longer than 10 days to process. (3) Individuals whose Freedom of Information requests to bureaus and offices have been denied in whole or part.

**Categories of records in the system:** Appeals; recommendations of Solicitor, Director of Public Affairs, Program Assistant Secretaries and other officials, decisions of Assistant Secretary -- Program Development and Budget, extension of time and initial decisions issued by bureaus and offices.

**Authority for maintenance of the system:** 5 U.S.C. 552.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to support review and decision-making for Freedom of Information appeals, (b) for preparation of annual report to the Congress. Disclosures outside the Department of the Interior may be made (1) to other Federal agencies having a subject matter interest in an appeal or bureau or office decision; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by individual name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51. (4) Retention and Disposal -- not authorized.

**System manager(s) and address:** Assistant Secretary -- Administration and Management, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Bureaus and offices of the Department, appellants.

**Systems exempted from certain provisions of the act:** The Privacy Act does not entitle an individual to have access to information compiled in reasonable anticipation of a civil action or proceeding.

## INTERIOR/APB-70

**System name:** Applicant Files -- Interior, Office of the Secretary--70.

**System location:** (1) Office of the Secretary, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (2) Office of the Solicitor, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (3) Office of Hearings and Appeals, U.S. Department of the Interior, 4015 Wilson Blvd., Arlington, Virginia 22203. (4) Office of Land Use and Water Planning, U.S. Department of the Interior, 801 - 19th Street, N.W., Washington, D.C. 20006. (5) Office of Minerals Policy Development, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (6) Office of Territorial Affairs, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (7) Office of Water Research and Technology, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Applicants for employment.

**Categories of records in the system:** Applications, recommendations, interview notes and other documents utilized to determine eligibility, suitability and qualifications for Federal civilian employment maintained subject to applicable Civil Service Commission requirements, including Civil Service Commission Rule VI and Chapter 302 of the Federal Personnel Manual.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101, 43 U.S.C. 1457, Reorganization Plan 3 of 1950.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is for the hiring of applicants. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- by individual name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51. (4) Retention and Disposal -- current applications retained.

**System manager(s) and address:** (1) For the Office of the Secretary: Staff Assistant, Office of the Assistant Secretary--Program Development and Budget, U.S. Department of the Interior, Washington, D.C. 20240. (2) For the Office of the Solicitor: Administrative Officer, Office of the Solicitor, U.S. Department of the Interior, Washington, D.C. 20240. (3) For the Office of Hearings and Appeals: Director, Office of Hearings and Appeals, U.S. Department of the Interior, 4015 Wilson Blvd., Arlington, Virginia 22203. (4) For the Office of Land Use and Water Planning: Director, Office of Land Use and Water Planning, U.S. Department of the Interior, 801 - 19th Street, N.W., Washington, D.C. 20006. (5) For the Office of Minerals Policy and Development: Director, Office of Minerals Policy Development, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (6) For the Office of Territorial Affairs: Director, Office of Territorial Affairs, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (7) For the Office of Water Research and Technology, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the appropriate systems manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the appropriate System Manager. The request must be in writing

and be signed by the requester. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the appropriate System Manager and must meet the content requirements of 43 CFR 2.71.

**Systems exempted from certain provisions of the act:** Under the specific exemption authority provided by 5 U.S.C. 552a(k)(5), the Department of the Interior has adopted a regulation, 43 CFR 2.79(c), which exempts this system from the provisions of 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H) and (1) and (f) to the extent that it consists of investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for federal civilian employment. The reasons for adoption of this regulation are set out at 40 FR 37217 (August 26, 1975).

## INTERIOR/APB-71

**System name:** Freedom of Information Request Files System -- Interior, Office of the Secretary--71.

**System location:** All facilities of the Department of the Interior which have received requests under the Freedom of Information Act seeking access to or copies of records.

**Categories of individuals covered by the system:** Individuals who have submitted Freedom of Information requests.

**Categories of records in the system:** Requests, responses, related documents.

**Authority for maintenance of the system:** 5 U.S.C. 552.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to administer Freedom of Information requests. Disclosures outside the Department of the Interior may be made (1) to other Federal agencies having a subject matter interest in a request or an appeal or a decision thereon; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**System manager(s) and address:** For the office or bureau for which each is responsible, the head of each office making up the Office of the Secretary, each other Departmental office and each bureau. (See Appendix for addresses of office and bureau headquarters offices.)

**Notification procedure:** Inquiries regarding the existence of records in the system shall be addressed to each facility to which an individual has submitted a Freedom of Information request. See 43 CFR 2.60 for submission requirements.

**Record access procedures:** A request for access shall be addressed to each facility to which the requester has submitted a Freedom of Information request. See 43 CFR 2.61 for submission requirements.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Requesters, internally generated documents.

**Systems exempted from certain provisions of the act:** The Privacy Act does not entitle an individual to access to information compiled in reasonable anticipation of a civil action or proceeding.

## INTERIOR/APM-75

**System name:** Supervisors' Records of Employees -- Interior, Office of the Secretary--75.

**System location:** Authorized to be maintained by immediate supervisors and one additional organizational level at all facilities of the Department of Interior. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Current employees and employees departed within the past one year.

**Categories of records in the system:** These records relate to individuals while employed by the Department of the Interior and contain such information as: emergency addressee information; record of personnel actions; record of employee/supervisor discussions; copies of officially recommended actions such as personnel actions, awards, disciplinary actions, and training requests.



**Authority for maintenance of the system:** 5 U.S.C. 1302, 2951, 4118, 4308, 4506, 3101, 43 U.S.C. 1457, Reorganization Plan 3 of 1950, Executive Order 10561 (September 13, 1954).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) as a source of data to initiate requests for personnel actions, to plan and schedule training, to counsel employees on their performance, to establish a basis for proposing commendations or disciplinary actions, and to carry out their personnel management responsibilities in general, and (b) to complete reference checks or supervisory appraisals. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are maintained on SF-7B's and/or authorized attachments thereto. (2) Retrievability -- records are indexed by any combination of name or Social Security Account Number. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51. (4) Retention and Disposal -- records are maintained on current employees and on former employees for one year after the employee vacates the position he holds in the bureau.

**System manager(s) and address:** The Personnel Officer of each bureau of the Department for the records maintained in his bureau. (See Appendix for addresses of bureau headquarters offices.) The Chief, Division of Personnel Services, Office of Secretarial Operations, U.S. Department of the Interior, Washington, D.C. 20240 for records maintained by offices in the Office of the Secretary and the other Departmental offices.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by contacting his supervisor and/or the Personnel Officer who services the installation where the employee is (or was) employed. See 43 CFR 2.60 for procedures.

**Record access procedures:** Requests for access to records should be addressed to the requester's supervisor and/or the Personnel Officer servicing the installation where the employee is (or was) employed. See 43 CFR 2.63 for procedures.

**Contesting record procedures:** A petition for amendment should be addressed to the appropriate System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Information in this system of records either comes from the individual to whom it applies or is derived from information he supplied, except information provided by agency officials.

#### INTERIOR/APM-76

**System name:** Employee Experience, Skills, Performance and Career Development Records -- Interior, Office of the Secretary-76.

**System location:** Servicing personnel office and/or administrative office of all bureaus and offices of the Department of the Interior.

**Categories of individuals covered by the system:** Current employees of the Department of the Interior.

**Categories of records in the system:** These records relate to employees and contain such information as: name; date of birth; social security number; office address and phone; service computation date; physical limitations or interests which might affect type or location of assignment; career interests; education history; work or skills experience; availability for geographic relocation; outside activities including membership in professional organizations; listing of special qualifications; licenses and certificates held; listing of honors and awards; career goals and objectives of the employee; annual supervisory evaluation.

**Authority for maintenance of the system:** 5 U.S.C. 1302, 2951, 4118, 4308, 4506, 3101, 43 U.S.C. 1457, Reorganization Plan 3 of 1950, Executive Order 10561.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the

records are (a) by bureau officials for purposes of review in connection with transfers, promotions, reassignments, adverse actions, disciplinary actions, and determination of qualifications of an individual, and (b) by bureau officials for setting out career goals and objectives of the employee and for documenting attainment of these targets. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are maintained manually in file folders or on pre-printed forms in file cabinets or on computer media. (2) Retrievability -- Records may be indexed by name of the subject employee. (3) Safeguards -- Records are maintained with safeguards meeting minimum security requirements of 43 CFR 2.51. (4) Retention and Disposal -- Records are maintained only current employees. Records are destroyed upon departure of the employee.

**System manager(s) and address:** (1) The Personnel Officer of each bureau of the Department for records maintained in his bureaus. (See Appendix for addresses of bureau headquarters offices.) (2) The chief, Division of Personnel Services, Office of Secretarial Operations, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by contacting: The personnel officer and/or administrative officer servicing the facility where he is employed.

**Record access procedures:** Current employees who wish to gain access to their records should contact: Same as above. See 43 CFR 2.63.

**Contesting record procedures:** Employees who wish to contest their records should contact: Personnel Officer, bureau or office where employed. See 43 CFR 2.71.

**Record source categories:** Information in this system of records either comes from the individual to whom it applies or is derived from information he supplied, except information provided by agency officials.

#### INTERIOR/APM-77

**System name:** Unfair Labor Practice Charges/Complaints -- Interior, Office of the Secretary-77.

**System location:** a. For Departmental Head: Office of the Secretary, Personnel Management, Division of Labor Management Relations, 19th & C Streets, N.W., Washington, D.C. 20240. b. For Employees of BIA: Bureau of Indian Affairs, Division of Personnel Management, 1951 Constitution Ave., N.W., Washington, D.C. 20245. c. for employees of EBM: Bureau of Mines, Division of Personnel, Branch of Compensation and Labor Relations, Room 2629, Washington, D.C. 20240. d. For Employees of EGS: Geological Survey, 215 National Center, 12201 Sunrise Valley Drive, Reston, Virginia 22092. e. For Employees of MESA: Mining Enforcement and Safety Administration, Division of Personnel, 4015 Wilson Boulevard, Arlington, Virginia 22203. f. For Employees of EAP: Alaska Power Administration, p. o. box 50, Juneau, Alaska 99801. g. For Employees of EBP: Bonneville Power Administration, 1002 N.E. Holladay Street, Portland, Oregon 97208. h. For Employees of ESW: Southwestern Power Administration, Branch of Personnel, P.O. Drawer 1619, Tulsa, Oklahoma 74101. i. For Employees of FNP: National Park Service, Division of Personnel, Branch of Labor Management Relations, 19th and C Streets, N.W., Washington, D.C. 20240. j. For Employees of FFWS: U.S. Fish and Wildlife Service, Division of Personnel Management and Organization, 19th and C Streets, N.W., Washington, D.C. 20240. k. For Employees of LBR: Bureau of Reclamation, Division of Personnel & Management, Compensation & Labor Relations Branch, 19th and C Streets, N.W., Washington, D.C. 20240. l. For Employees of LLM: Bureau of Land Management, Division of Personnel (530), 19th and C Streets, N.W., Washington, D.C. 20240. m. For Employees of FOR: Bureau of Outdoor Recreation, Division of Personnel, 19th and C Streets, N.W., Washington, D.C. 20240. n. For Employees of

OS and other Departmental Offices: Office of the Secretary, Division of Personnel Services, Branch of Programs, Standards and Issuances, 19th and C Streets, N.W., Washington, D.C. 20240. o. For Employees of ESE: Southeastern Power Administration, Elberton, Georgia 30635.

**Categories of individuals covered by the system:** Interior employees filing unfair labor practice charges/complaints.

**Categories of records in the system:** Formal charge and complaint; name, address, and other personal information about complainant, transcript of hearing (if held), and information about other personnel in complainant's work unit, as relevant.

**Authority for maintenance of the system:** Executive Order 11491, as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to administer charges or complaints of unfair labor practices. Disclosures outside the Department of the Interior may be made (1) to the Department of Labor and to the Federal Labor Relations Council for settlement of the complaint or appeal; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- paper records in file folders. (2) Retrievability -- name and docket or case number. (3) Safeguards -- records are locked in lockable metal file cabinets or in metal file cabinets in secured rooms or secured premises with access limited to those whose official duties require access. (4) Retention and Disposal -- records are retained indefinitely.

**System manager(s) and address:** For records at Location (a): Chief, Division of Labor Management Relations, Office of the Secretary, Personnel Management, Division of Labor Management Relations, 19th and C Streets, N.W., Washington, D.C. 20240. For records at Location (b): Labor Relations Officer, Bureau of Indian Affairs, Division of Personnel Management, 1951 Constitution Ave., N.W., Washington, D.C. 20245. For records at Location (c): Labor Relations Officer, Bureau of Mines, Division of Personnel, Branch of Compensation and Labor Relations, 19th and C Streets, N.W., Washington, D.C. 20240. For records at Location (d): Personnel Officer, Geological Survey, National Center, 12201 Sunrise Valley Drive, Reston, Virginia 22092. For records at Location (e): Chief, Division of Personnel, Mining Enforcement and Safety Administration, 4015 Wilson Boulevard, Arlington, Virginia 22203. For records at Location (f): Administrative Officer, Alaska Power Administration, P.O. Box 50, Juneau, Alaska 99801. For records at Location (g): Labor Relations Officer, Bonneville Power Administration, 1002 N.E. Holladay Street, Portland, Oregon 97208. For records at Location (h): Chief, Branch of Personnel, Southwestern Power Administration, P.O. Drawer 1619, Tulsa, Oklahoma 74101. For records at Location (i): Labor Relations Officer, National Park Service, Division of Personnel, Branch of Labor Management Relations, 19th and C Streets, N.W., Washington, D.C. 20240. For records at Location (j): Personnel Officer, U.S. Fish and Wildlife Service, Division of Personnel Management and Organization, 19th & C Streets, N.W., Washington, D.C. 20240. For records at Location (k): Labor Relations Officer, Bureau of Reclamation, Division of Personnel and Management, Compensation and Labor Relations Branch, 19th & C Streets, N.W., Washington, D.C. 20240. For records at Location (l): Labor Relations Officer, Bureau of Land Management, Division of Personnel (530), 19th & C Streets, N.W., Washington, D.C. 20240. For records at Location (m): Labor Relations Officer, Bureau of Outdoor Recreation, Division of Personnel, 19th & C Streets, N.W., Washington, D.C. 20240. For records at Location (n): Chief, Branch of Programs, Standards and Issuances, Office of the Secretary, 19th & C Streets, N.W., Washington, D.C. 20240. For records at Location (o): Administrative Officer, Southeastern Power Administration, Elberton, Georgia 30635.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the appropriate System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the appropriate System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the appropriate System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Subject complainant, colleagues and supervisors of complainant and management officials.

#### INTERIOR/APM-78

**System name:** Negotiated Grievance Procedure Files -- Interior, Office of the Secretary--78.

**System location:** a. For Departmental Head: Office of the Secretary, Personnel Management, Division of Labor Management Relations, 19th & C Streets, N.W., Washington, D.C. 20240. b. For Employees of BIA: Bureau of Indian Affairs, Division of Personnel Management, 1951 Constitution Ave., N.W., Washington, D.C. 20245. c. For Employees of EBM: Bureau of Mines, Division of Personnel, Branch of Compensation and Labor Relations, Room 2629, Washington, D.C. 20240. d. For Employees of EGS: Geological Survey, 215 National Center, 12201 Sunrise Valley Drive, Reston, Virginia 22092. e. For Employees of MESA: Mining Enforcement and Safety Administration, Division of Personnel, EAP: Alaska Power Administration, P.O. Box 50, Juneau, Alaska 99801. g. For Employees of EBP: Bonneville Power Administration, 1002 N.E. Holladay Street, Portland, Oregon 97208. h. For Employees of ES: Southwestern Power Administration, Branch of Personnel, P.O. Drawer 1619, Tulsa, Oklahoma 74101. i. For Employees of FNP: National Park Service, Division of Personnel, Branch of Labor Management Relations, 19th and C Streets, N.W., Washington, D.C. 20240. j. For Employees of FWS: U.S. Fish and Wildlife Service, Division of Personnel Management and Organization, 19th and C Streets, N.W., Washington, D.C. 20240. k. For Employees of LBR: Bureau of Reclamation, Division of Personnel & Management, Compensation & Labor Relations Branch, 19th and C Streets, N.W., Washington, D.C. 20240. l. For Employees of LLM: Bureau of Land Management, Division of Personnel (530), 19th and C Streets, N.W., Washington, D.C. 20240. m. For Employees of FOR: Bureau of Outdoor Recreation, Division of Personnel, 19th and C Streets, N.W., Washington, D.C. 20240. n. For Employees of OS and other Departmental Offices: Office of the Secretary, Division of Personnel Services, Branch of Programs, Standards and Issuances, 19th and C Streets, N.W., Washington, D.C. 20240. o. For Employees of ESE: Southeastern Power Administration, Elberton, Georgia 30635.

**Categories of individuals covered by the system:** Interior employees filing grievances/complaints.

**Categories of records in the system:** Formal charge and complaint; name, address, and other personal information about complainant, transcript of hearing (if held), and information about other personnel in complainant's work unit, as relevant.

**Authority for maintenance of the system:** Executive Order 11491, as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to administer employee grievances. Disclosures outside the Department of the Interior may be made (1) to the Federal Labor Relations Council, or to the Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of the individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- paper records in file folders. (2) Retrievability -- name and Docket or Case number. (3) Safeguards -- records are located in lockable metal file cabinets or in metal file cabinets in secured premises with access limited to those whose official duties require access. (4) Retention and Disposal -- records are retained indefinitely.

**System manager(s) and address:** For records at Location (a): Chief, Division of Labor Management Relations, Office of the Secretary, Personnel Management, Division of Labor Management

Relations, 19th and C Streets, N.W., Washington, D.C. 20240. For records at Location (b): Labor Relations Officer, Bureau of Indian Affairs, Division of Personnel Management, 1951 Constitution Ave., N.W., Washington, D.C. 20245. For records at Location (c): Labor Relations Officer, Bureau of Mines, Division of Personnel, Branch of Compensation and Labor Relations, 19th and C Streets, N.W., Washington, D.C. 20240. For records at Location (d): Personnel Officer, Geological Survey, National Center, 12201 Sunrise Valley Drive, Reston, Virginia 22092. For records at Location (e): Chief, Division of Personnel, Mining Enforcement and Safety Administration, 4015 Wilson Boulevard, Arlington, Virginia 22203. For records at Location (f): Administrative Officer, Alaska Power Administration, P.O. Box 50, Juneau, Alaska 99801. For records at Location (g): Labor Relations Officer, Bonneville Power Administration, 1002 N.E. Holladay Street, Portland, Oregon 97208. For records at Location (h): Chief, Branch of Personnel, Southwestern Power Administration, P.O. Drawer 1619, Tulsa, Oklahoma 74101. For records at Location (i): Labor Relations Officer, National Park Service, Division of Personnel, Branch of Labor Management Relations, 19th and C Streets, N.W., Washington, D.C. 20240. For records at Location (j): Personnel Officer, U.S. Fish and Wildlife Service, Division of Personnel Management and Organization, 19th & C Streets, N.W., Washington, D.C. 20240. For records at Location (k): Labor Relations Officer, Bureau of Reclamation, Division of Personnel and Management, Compensation and Labor Relations Branch, 19th & C Streets, N.W., Washington, D.C. 20240. For records at Location (l): Labor Relations Officer, Bureau of Land Management, Division of Personnel (530), 19th & C Streets, N.W., Washington, D.C. 20240. For records at Location (m): Labor Relations Officer, Bureau of Outdoor Recreation, Division of Personnel, 19th & C Streets, N.W., Washington, D.C. 20240. For records at Location (n): Chief, Branch of Programs, Standards and Issuances, Office of the Secretary, 19th & C Streets, N.W., Washington, D.C. 20240. For records at Location (o): Administrative Officer, Southeastern Power Administration, Elberton, Georgia 30635.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the appropriate System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the appropriate System Manager. The request must be in writing and be signed by the requester.

**Contesting record procedures:** A petition for amendment should be addressed to the appropriate The request must meet the content requirements of 43 CFR 2.63. System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Subject complainant, colleagues and supervisors of complainant and management officials.

#### INTERIOR/APM-79

**System name:** Personnel Data Files (Automated) -- Interior, Office of the Secretary--79.

**System location:** Data processing centers of the Department of the Interior in Washington, D.C. at U.S. Department of the Interior, Washington, D.C. 20240; Denver Colorado, at U.S. Bureau of Mines, Denver Federal Center, P.O. Box 25407, Denver Colorado 80225 and Bureau of Reclamation, Engineering and Research Center, Denver Federal Center, P.O. Box 25007, Denver, Colorado 80225; Albuquerque, New Mexico, at Bureau of Indian Affairs, P.O. Box 2088, Albuquerque, New Mexico 87103; Portland Oregon, at Bonneville Power Administration, 1002 N.E. Holladay Street, P.O. Box 3621, Portland, Oregon 97208; Tulsa, Oklahoma, at Southwestern Power Administration, 333 West Fourth Street, Tulsa Oklahoma 74103; and Elberton, Georgia, at Southeastern Power Administration, Samuel Elbert Bldg., Elberton, Georgia 30635.

**Categories of individuals covered by the system:** Current employees and recently separated employees of the Department.

**Categories of records in the system:** Personnel records relating to individuals employed in the Department and consisting of these categories: BUREAU - biographic, position, transaction and training records; DEPARTMENT - biographical, transaction, and training records.

**Authority for maintenance of the system:** 5 U.S.C. 1302, 2951, 4118, 4308, 4506, 3101, 43 U.S.C. 1457, Reorganization Plan 3 of 1950, Executive Order 10561 (September 13, 1954).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the

records are in accordance with, or in addition to the records described by the Civil Service Commission. These systems are used (a) to provide data for the governmentwide and Departmentwide central personnel files; (b) to produce day-to-day personnel management action, such as notification of personnel actions, automatic notices (e.g. conversion to career tenure, length of service awards, within grade increases) and to provide input to produce payroll and financial management actions; (c) used as an employee locator file and to provide information for organization and position management matters; (d) to provide information on current and potential executive level employees in an executive retrieval system; and (e) as a data source for management information for production of summary descriptive statistics and analytical studies in support of the function for which the records were collected and maintained or related personnel management functions or manpower studies. Disclosures outside the Department of the Interior may be made (1) to the Civil Service Commission to respond to their requests; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are maintained on magnetic tape, drum, disk, punched cards, and in computer printouts. (2) Retrievability -- records are indexed by name, date of birth, and social security account number of the employee. (3) Safeguards -- records are located in facilities adequately secure to meet criteria established in 5 CFR 293.109. (4) Disposal -- records are destroyed five years after individual ceases to be employed.

**System manager(s) and address:** (1) Department System: Director, Personnel Management, Office of the Secretary, U.S. Department of the Interior, Washington, D.C. 20240. (2) Bureau Systems: Bureau Personnel Officer (See Appendix for addresses of bureau headquarters offices.)

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to: Personnel Officer who services the installation where the employee is (or was) employed.

**Record access procedures:** Current and past Federal employees who wish to gain access to their files should contact: Personnel Officer servicing installation where employee is (or was) employed.

**Contesting record procedures:** Current and past Federal employees who wish to contest their files should contact: Personnel Officer servicing installation where employee is (or was) employed.

**Record source categories:** Information in this system of records either comes from the individual to whom it applies or is derived from information he supplied.

#### INTERIOR/APM-80

**System name:** Emergency Loan Fund Committee Loan Records -- Interior, Office of the Secretary--80.

**System location:** Emergency Loan Fund Treasurers at (1) Office of Secretarial Operations - Fiscal, Bureau of Land Management, (3) Fish and Wildlife Service, (4) Bureau of Outdoor Recreation, (5) Bureau of Reclamation, all at Interior Bldg. 18th and C Streets, N.W., Washington, D.C. 20240, (6) Bureau of Indian Affairs, Interior Bldg. South, 1951 Constitution Ave., N.W., Washington, D.C. 20240, (7) Headquarters, Geological Survey, 12201 Sunrise Valley Dr., Reston, Va. 22092, (8) National Park Service, 1100 L St., N.W., Washington, D.C., (9) Bureau of Mines, Columbia Plaza, 2401 E Street, N. W., Washington, D. C. 20242. Three more Treasurers to be assigned in the Office of the Secretary.

**Categories of individuals covered by the system:** Employees of the Department of the Interior who have applied for emergency loans.

**Categories of records in the system:** Contains the name, grade, and organization of person applying for a loan. Contains a statement of need for the loan written by the employee and endorsed by his supervisor. Contains a record of action taken by the Emergency Loan Committee, a schedule of repayments and a history of how repayment was accomplished.

Authority for maintenance of the system: 5 U.S.C. 301, 3101, 43 U.S.C. 1457i 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are for application, approval, processing and accounting of emergency loans. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by name of borrower. (3) Safeguards -- stored in file cabinets in locked rooms. (4) Disposal -- accomplished by individual treasurers according to disposal schedule each has devised.

**System manager(s) and address:** Chairman, Emergency Loan Fund, Office of Personnel Management, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** To determine if the system contains a record on himself, an individual may contact: The Treasurer of the Emergency Loan Fund servicing his bureau and work location. See 43 CFR 2.60.

**Record access procedures:** An individual may gain access to the records about himself by contacting the Treasurer of the Emergency Loan Fund which services his bureau and work location. See 43 CFR 2.63.

**Contesting record procedures:** An individual may contest the records about himself by contacting the System Manager. See 43 CFR 2.71.

**Record source categories:** Information in this system of records comes from the individual himself and from his supervisor.

#### INTERIOR/APM-81

**System name:** Health Unit Medical Records -- Interior, Office of the Secretary--81.

**System location:** (1) Division of Medical and Health Services, Interior Bldg., 18th and C Streets, N.W., Washington, D.C. 20240. (2) Former employees: National Personnel Records Center, 111 Winnebago St., St. Louis, MO 63118.

**Categories of individuals covered by the system:** (1) Present Interior Department employees. (2) Former Interior Department employees.

**Categories of records in the system:** Medical records including documentation of screening examinations and tests; immunization records; law enforcement personnel periodic examinations; medical and health unit records; records pertaining to employees in these special programs: Alcoholic and Drug Abuse Programs, Troubled Employee Program.

**Authority for maintenance of the system:** 5 U.S.C. 3301, P.L. 91-616, P.L. 92-255, P.L. 93-282, P.L. 79-658 (5 U.S.C. 7901), OMB Circular A-72.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for medical counseling and referral; (b) as a data source for management information for production of summary statistics and analytical studies in support of the function for which the records are collected and maintained, or for related management functions or manpower studies; and (c) to locate specific individuals for personnel research. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained manually in file folders. (2) Retrievability -- indexed by name of employee and by name of bureau where employed. (3) Safeguards --

access to and use of these records are limited to those persons whose official duties require such access. Records are maintained in lockable metal file cabinets inside a secured room. (4) Disposal -- records are maintained on present employees only. Records are retired to the National Personnel Records Center upon the departure of the employee from the Interior Department.

**System manager(s) and address:** Chief, Division of Medical and Health Services, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** An individual may inquire as to whether or not the system contains a record pertaining to him by contacting: Same as above. See 43 CFR 2.60.

**Record access procedures:** Individuals who wish to gain access to their records should contact: Same as above. See 43 CFR 2.63.

**Contesting record procedures:** Individuals who wish to contest their records should contact: Same as above. See 43 CFR 2.71.

**Record source categories:** Information in this system comes from the individual to whom it applies, from private physicians, and from Departmental medical staff personnel.

#### INTERIOR/APM-82

**System name:** Departmental Manager Development Program -- Interior, Office of the Secretary--82.

**System location:** Office of Personnel Management, U. S. Department of the Interior, 18th and C Streets, N. W., Washington, D. C. 20240.

**Categories of individuals covered by the system:** (1) Present participants in the DMDP Program; (2) former participants in the program; (3) nominees selected or not selected for the program.

**Categories of records in the system:** Nomination documents, reports of training assignments, evaluation statements list of graduates and placement assignments of current participants.

**Authority for maintenance of the system:** 5 U.S.C.-3301, P.L. 91-616, P.L. 92-255, P.L. 93-282, P.L. 79-658 (5 U.S.C.-7901) OMB Circular A-72.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses are (a) select candidates for the program; (b) to evaluate caliber of assignments; (c) evaluate performance of the participant; (d) determine or assist in placement efforts; (e) track career of graduates to determine success of program. Disclosures outside the Department of the Interior may be made, (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (4) to a Federal Agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit, and, (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Maintained manually in file folders; (2) Retrievability -- Indexed by name and/or by bureau; (3) Safeguards -- Access to and use of these records are limited to those persons whose official duties require such access and to the participant records are maintained in lockable cabinets; (4) Disposal -- In accordance with established disposal schedule.

**System manager(s) and address:** Chief, Division of Employee Development, Office of Personnel Management, U. S. Department of the Interior, Washington, D. C. 20240.

**Notification procedure:** A request for access must be presented in person or in writing and signed by the requestor with proof of identity. Individuals may inquire whether or not the system contains a record pertaining to them by contacting the systems manager cited above.

**Record access procedures:** Individuals who wish to gain access should contact the systems manager cited above.

**Contesting record procedures:** Individuals who wish to contest their records should contact the systems manager cited above.

**Record source categories:** Information in this system of records either comes from the individual to whom it applies, is derived from information supplied by the individual, or comes from agency officials.

**INTERIOR/ASF-85**

**System name:** Payroll, Attendance and Leave -- Interior, Office of the Secretary--85.

**System location:** (1) Office of Secretarial Operations - Fiscal, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (2) Input documents supplied by all offices serviced by the Office of Secretarial Operations -- Fiscal. (See Appendix for Addresses).

**Categories of individuals covered by the system:** Employees of the Office of the Secretary, the other Departmental Offices, the Defense Electric Power Administration, Delaware River Basin Commission, Susquehanna River Basin Commission, the American Revolution Bicentennial Administration, and Commission of Fine Arts.

**Categories of records in the system:** Contains data concerning employee attendance, pay, allowances, awards, deductions, leave, employer contributions, duty station and mailing address.

**Authority for maintenance of the system:** 5 U.S.C. 5101 et seq., 31 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to administer payroll, attendance and leave matters. Disclosures outside the Department of the Interior may be made (1) to the U. S. Treasury to issue checks to employees and make distributions of pay according to employee authorizations for savings bonds, allotments and other authorized purposes; (2) to the Civil Service Commission to report retirement deductions; (3) to the Internal Revenue Service and appropriate State, Commonwealth, Territorial and local taxing authorities for tax purposes; (4) to the Social Security Administration to report FICA deductions; (5) to labor unions to report union dues deductions; (6) to the Civil Service Commission and insurance carriers to report withholdings for health insurance; (7) to State employment security agencies and the U. S. Department of Labor for unemployment insurance; (8) to other Federal agencies as required by laws and implementing regulations; (9) to the U. S. Department of Justice when related to litigation or anticipated litigation; (10) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (11) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (12) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual, punch card, microfilm and printout form. (2) Retrievability -- indexed by social security number and/or employee name. (3) Safeguards -- maintained in locked room when not being used. (4) Disposal -- retained on site until audited by GAO or transferred to a Federal Records Storage Center in accordance with the fiscal records program approved by GAO, if appropriate, or the applicable GSA General Record Schedule.

**System manager(s) and address:** Chief, Office of Secretarial Operations - Fiscal, U.S. Department of the Interior, 18th and C Streets, N.W. Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Employee, previous employers, employing office, official personnel records, and Internal Revenue Service.

**INTERIOR/ASF-86**

**System name:** Accounts Receivable -- Interior, Office of the Secretary--86.

**System location:** Office of Secretarial Operations - Fiscal, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** All debtors including employees, former employees, business firms, private citizens and institutions. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations, other business entities and organizations. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Name, address, amount and basis including goods, services or overpayments therefore.

**Authority for maintenance of the system:** (1) 5 U.S.C. 5701-09. (2) FPMR 101-7. (3) Treasury Fiscal Requirements Manual. (4) 31 U.S.C. 952.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are for billing and follow-up. Disclosure outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form. (2) Retrievability -- indexed by appropriation or fund to be credited. (3) Safeguards -- maintained in locked room when not in use. (4) Disposal -- retained until payment is received and then incorporated in collection records.

**System manager(s) and address:** Chief, Office of Secretarial Operations - Fiscal, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Debtor, accounting records.

**INTERIOR/ASF-87**

**System name:** Cash Receipts -- Interior, Office of the Secretary--87.

**System location:** Office of Secretarial Operations - Fiscal, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Persons paying for goods or services, returning overpayments or otherwise delivering cash. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations, other business entities and organizations. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Individual's name, the goods or services purchased, check number, date and treasury deposit number.

**Authority for maintenance of the system:** 31 U.S.C. 66 (a).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to account for monies paid to the Office of the Secretary. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- manual and machine readable. (2) Retrievability -- date and name. (3) Safeguards -- maintained in locked room when not in use. (4) Disposal -- retained on site until audited by GAO or transferred to a Federal Records Center in accordance with the fiscal records program approved by GAO, if appropriate, or the applicable GSA General Record Schedule.

**System manager(s) and address:** Chief, Office of Secretarial Operations - Fiscal, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual remitters.

#### INTERIOR/ASF-88

**System name:** Travel -- Interior, Office of the Secretary--88.

**System location:** (1) Office of Secretarial Operations - Fiscal, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (2) Input documents supplied by all offices serviced by the Office of Secretarial Operations - Fiscal. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Employees of the Office of the Secretary, the other Departmental Offices, the Defense Electric Power Administration, Delaware River Basin Commission, Susquehanna River Basin Commission, the American Revolution Bicentennial Administration, and persons serving without compensation to the extent authorized under 5 U.S.C. 5703.

**Categories of records in the system:** Name, address, destination, itinerary, mode and purpose, dates, expenses, advances, claims, reimbursements, and authorizations.

**Authority for maintenance of the system:** 5 U.S.C. 5701 et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to process travel authorizations and claims. Disclosures outside the Department of the Interior may be made (1) to the U. S. Treasury for payment of claims; (2) to the State Department for passports; (3) to the U. S. Department of Justice when related to litigation or anticipated litigation; (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (6) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual, machine readable and printout form. (2) Retrievability -- indexed by employee name and/or account number. (3) Safeguards -- maintained in locked room when not in use. (4) Disposal -- disposal is governed by General Records Schedule 9 issued by the Administrator of the General Services Administration pursuant to section 505(b) of the Federal Records Act of 1950 (44 U.S.C. 395(b).)

**System manager(s) and address:** Chief, Office of Secretarial Operations - Fiscal, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Employee, employing office, and standard travel document references.

#### INTERIOR/ASF-89

**System name:** Position Control -- Interior, Office of the Secretary--89.

**System location:** Office of Secretarial Operations - Fiscal, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Employees of the Office of the Secretary, the other Departmental Offices, the Defense Electric Power Administration, Delaware River Basin Commission, Susquehanna River Basin Commission, the American Revolution Bicentennial Administration, and Commission of Fine Arts.

**Categories of records in the system:** Contains descriptive data concerning position incumbents which is obtained from the Departmental Integrated Personnel/Payroll System.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101, 43 U.S.C. 1457, Office of Management and Budget Circular A-64 (Revised).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to provide management information on authorized positions. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual, punch card, magnetic tape, magnetic disk and printout form. (2) Retrievability -- indexed by organization and management account. (3) Safeguards -- maintained in locked room when not in use. (4) Disposal -- records are maintained on a current basis and printouts are disposed of when superseded.

**System manager(s) and address:** Chief, Office of Secretarial Operations - Fiscal, U.S. Department of the Interior, 18th and C Street, N.W., Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Departmental Integrated Personnel/Payroll System and employing offices.

#### INTERIOR/ATA-95

**System name:** Interior, Office of the Secretary--95.

**System location:** Government of the Trust Territory of the Pacific Islands, Saipan, Mariana Islands 96950.

**Categories of individuals covered by the system:** U.S. Civil Service employees and former U.S. Civil Service employees assigned to the Trust Territory of the Pacific Islands.

**Categories of records in the system:** Payroll records, including pay, leave and cost distribution records, including deductions for bonds, insurance, income taxes, allotments to financial institutions, overtime authorizations, and related documents. Travel records, including administrative approvals, travel expenses claimed and/or paid, receipts for expenditures claims, Government transportation requests travel advance accounts and related records. Records of accountability for Government-owned property. Safety records, including claims under the Military Personnel and Civil Employees Claims Act. Records of issuance of Government identification cards and Government driver's licenses. Related records concerning administrative and fiscal management.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101, 5101-5115, 5501-5596, 5701-5709, 31 U.S.C. 66a, 240-243, 40 U.S.C. 483(b), 43 U.S.C. 1467, 44 U.S.C. 3101, Executive Order No. 11807.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is for administrative and fiscal management. Disclosures outside the Department of the Interior may be made (1) to the Department of the Treasury for the preparation of (a) payroll checks, (b) payroll deduction and other checks to Federal, State and local government agencies, non-governmental organizations and individuals, and (c) checks for reimbursement of employees and others; (2) to the Internal Revenue Service and to State, commonwealth, territorial and local governments for tax purposes; (3) to the Civil Service Commission to report contributions to the Civil Service retirement system and other contributions; (4) to another Federal agency to which an employee has transferred; (5) to the U. S. Department of Justice when related to litigation or anticipated litigation; (6) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (7) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (9) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (10) to Federal, State or local agencies where necessary to obtain information relevant to the hiring, or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual and automated. (2) Retrievability -- May be retrieved by individual name or social security number. (3) Safeguards -- Records are maintained in accordance with 43 CFR 2.51. (4) Retention and Disposal -- According to approved records disposal schedules.

**System manager(s) and address:** High Commissioner, Trust Territory of the Pacific Islands, Saipan, Mariana Islands 96950.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Employees, supervisors, timekeepers.

#### INTERIOR/ATA-96

**System name:** Government of American Samoa Administrative Management and Fiscal Records -- Interior, Office of the Secretary--96.

**System location:** Government of American Samoa, Pago Pago, American Samoa 96799.

**Categories of individuals covered by the system:** U.S. Civil Service employees and former U.S. Civil Service employees assigned to Government of American Samoa.

**Categories of records in the system:** Payroll records, including pay, leave and cost distribution records, including deductions for bonds, insurance, income taxes, allotments to financial institutions, overtime authorizations, and related documents. Travel records, including administrative approvals, travel expenses claimed and/or paid, receipts for expenditures claims, Government transportation requests, travel advance accounts and related records. Records of accountability for Government-owned property. Safety records, including claims under the Military Personnel and Civil Employees Claims Act. Records of issuance of Government identification cards and Government driver's licenses. Related records concerning administrative and fiscal management.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101, 5101-5115, 5501-5596, 5701-5709, 31 U.S.C. 66a, 240-243, 40 U.S.C. 483(b), 43 U.S.C. 1467, 44 U.S.C. 3101, Executive Order No. 11807.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is for administrative and fiscal management. Disclosures outside the Department of the Interior may be made (1) to the Department of the Treasury for preparation of (a) payroll checks; (b) payroll deduction and other checks to Federal, State and local government agencies, non-governmental organizations and individuals; and (c) checks for reimbursement of employees and others; (2) to the Internal Revenue Service and to State, Commonwealth, Territorial and local governments for tax purposes; (3) to the Civil Service Commission to report contributions to the Civil Service retirement system and other contributions; (4) to another Federal agency to which an employee has transferred; (5) to another Federal agency having a subject matter interest in the records; (6) to the U. S. Department of Justice when related to litigation or anticipated litigation; (7) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (8) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual and automated. (2) Retrievability -- May be retrieved by an individual name or social security number. (3) Safeguards -- Records are maintained in accordance with 43 CFR 2.51. (4) Retention and Disposal -- According to approved records disposal schedules.

**System manager(s) and address:** Governor of American Samoa, Pago Pago, American Samoa 96799.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Employees, supervisors, timekeepers.

#### INTERIOR/BIA-1

**System name:** Property Loan Agreement Files -- Interior, BIA-1.

**System location:** All Area and Agency Offices (See Appendix for addresses.)

**Categories of individuals covered by the system:** Individual Indians or non-Indians having a need for Government-owned real or personal property for use in a Bureau program.

**Categories of records in the system:** Records of accountability for Government-owned real or personal property loaned to individuals.

**Authority for maintenance of the system:** 40 U.S.C. 483(6).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to identify individuals responsible for government-owned real or personal property by agreement. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute,

regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: letter files. (2) Retrievability -- (a) Indexed by individual name and cross-referenced by tribal name, contract or use permit number, (b) retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- destroy one year after property is returned.

**System manager(s) and address:** Director, Office of Administration, Bureau of Indian Affairs, Department of the Interior, 1951 Constitution Avenue, N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or, with respect to records maintained in the office for which he is responsible, an Area Director or Agency Superintendent (see Appendix for addresses).

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom the record is maintained, Bureau of Indian Affairs employees, supervisors.

#### INTERIOR/BIA-2

**System name:** Safety Management Information -- Interior, BIA-2.

**System location:** (1) All Area, Agency and Field Offices of the BIA. (See appendix for addresses). (2) Director, Office of Administration, Bureau of Indian Affairs, Department of the Interior, 1951 Constitution Avenue, N.W., Washington, D.C. 20245.

**Categories of individuals covered by the system:** (1) Employee operators and incidental operators of government-owned vehicles and equipment. (2) Federal employees who have had an accident or incident. (3) Injured employees who submit claims for medical attention or loss of earning capability due to on-the-job injury. (4) Individuals filing tort claims against the U.S. Government.

**Categories of records in the system:** (1) Documents supporting the issuance of SF-46 Motor Vehicle Identification Cards to employees, (2) reports of accident/incident by agency, area, name of person involved and social security number, (3) employee claims case files pertaining to claims submitted to the Office of Workmen's Compensation, and (4) case files with supporting documents pertaining to tort claims filed by an individual against the U. S. Government.

**Authority for maintenance of the system:** 5 U.S.C. 7902, 28 U.S.C. 2671-2680, 31 U.S.C. 240-243.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to provide complete record-keeping on qualified motor vehicle operators in BIA, employee accidents or incidents, Federal employees compensation claims and adjudication of tort claims. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit, (5) to Federal, State, or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: letter files. (2) Retrievability -- (a) Indexed alphabetically by name of employee. (b) Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Records permanently retained.

**System manager(s) and address:** Director, Office of Administration, Bureau of Indian Affairs, Department of the Interior, 1951 Constitution Avenue N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or with respect to records maintained in the office for which he is responsible, the Agency or School Superintendent, the Area or Field Office Director (see Appendix for addresses).

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Claimants. Individuals on whom the record is maintained.

#### INTERIOR/BIA-3

**System name:** Individual Indian Monies -- Interior, BIA-3.

**System location:** (1) All Area and Agency Offices of the BIA or contractors processing IIM accounts for them (See appendix for addresses). (2) Division of Accounting Management, Bureau of Indian Affairs, P.O. Box 2088, Albuquerque, NM 87103.

**Categories of individuals covered by the system:** Individual Indians who have money accounts.

**Categories of records in the system:** General ledgers showing deposits and withdrawals from Indians' accounts and money folders with supporting documentation.

**Authority for maintenance of the system:** 25 U.S.C. 151.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to control individual Indian's money accounts and to disclose to them the status of those accounts. (b) Provides management with an automated information system for program planning, reporting and management utilization. Disclosures outside the Department of the Interior may be made (1) granting of access or transfer to another Federal agency, a State or local government, Indian tribal group or to any individual or establishment that, under contract to the BIA or as the result of some form of legal transfer of the program to them, will have jurisdiction of the IIM program now under the jurisdiction of the BIA, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: maintained in letter files, cardex, and binders for non-automated areas; Computer: maintained in computer translatable form on magnetic tape for automated areas. (2) Retrievability -- (a) Indexed by name of identifying number. (b) Retrieved by manual search and through computer batch processes. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Closed files are transferred to the appropriate GSA Federal Records Center five years after probate and other actions are completed. Prior information on magnetic tape erased as updated information is added to the system.

**System manager(s) and address:** Director, Office of Administration, Bureau of Indian Affairs, 1951 Constitution Avenue, N. W., Washington, D. C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or, with respect to records maintained in the office for which he is responsible, an Area Director or Agency Superintendent (see Appendix for addresses).

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.



**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom record is maintained, depositors in the accounts and claimants against the accounts.

#### INTERIOR/BIA-4

**System name:** Indian Land Records -- Interior, BIA-4.

**System location:** (1) Land Records Improvement Program Liaison Office, Bureau of Indian Affairs, 500 Gold Ave., S.W., Albuquerque, NM 87103. (2) Title plants at the following five Area Offices of the BIA: Portland, Billings, Anadarko, Aberdeen and Albuquerque. (See Appendix for addresses.) (3) Central Area, Agency and Field Offices of the BIA. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Individual Indians and Indian tribal groups that are owners of land held in trust by the government.

**Categories of records in the system:** Land description, current ownership, probate and title history of Indian trust lands.

**Authority for maintenance of the system:** 25 U.S.C. 392.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to identify individual Indians' and Indian Tribal Groups' interest in lands held in trust, (b) land acquisition and disposal and tenure and management purposes, (c) adjudication of rights to the land or resources (d) administration of leases, sales, rentals, transfers; (e) land statistics for BIA personnel information uses, and (f) to answer questions regarding land rights. Disclosures outside the Department of the Interior may be made (1) to transfer or disclose to another Federal agency, a State or local government, or to any individual or establishment that has been appointed to act as trustee for Indian lands, (2) to the Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual or tribe in response to an inquiry from a Congressional office made at the request of that individual or authorized tribal official, (5) to title insurance and abstracting companies and attorneys for the purposes of determining ownership of and encumbrance against title.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: basic legal documents in letter files at the five title plants and input documents and printed copies in letter files at the Albuquerque Office, the five title plants, and the Area, Agency and Field Offices. Computer: mag-tape and disk. (2) Retrievability -- (a) Indexed by name of identification number of individual. Historical Index Computer files are in order by land location. Current owners are in order by land location and then by owner identification number. (b) Retrieved by manual search, use of computer printouts and batch inquiries of the computer. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Records permanently retained. Records permanently retained for historical index. Prior information on mag-tape and disk is erased as new data is added for the current owner files.

**System manager(s) and address:** Director, Office of Trust Responsibilities, Bureau of Indian Affairs, 18th and C Streets N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or, with respect to records maintained in the office for which he is responsible, an Area or Field Office Director, or an Agency Superintendent (see Appendix for addresses).

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Legal records such as titles, deeds, probates and birth notices.

#### INTERIOR/BIA-5

**System name:** Indian Land Leases -- Interior, BIA-5.

**System location:** (1) Area, Agency and Field Offices of the BIA. (See Appendix for addresses.) (2) Division of Automatic Data Processing Services, Bureau of Indian Affairs, 500 Gold Ave., S.W., Albuquerque, NM 87103. (3) Contractors, including Indian tribal groups and other federal agencies. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Individual Indian and Indian tribal groups that are owners of real property held in trust by the government, and individuals or groups that are potential or actual lessees of that property.

**Categories of records in the system:** Land description, heirship and current ownership of Indian trust lands and real property; identification of owners and lessees; water, surface and subsurface rights on that land; conservation, irrigation and land use projects; and information on all types of leases, including grazing, farming, minerals and mining, timber, business, etc.

**Authority for maintenance of the system:** 25 U.S.C. 415.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to control leases on Indian trust lands and real property, (b) for the collection and distribution of lease income (c) protection of water, surface and subsurface rights on Indian trust lands, and (d) planning and implementing conservation, irrigation and land use projects on Indian lands. Disclosures outside the Department of the Interior may be made, (1) to another Federal agency, a State or local government, Indian tribal group or contractor having jurisdiction of programs ordinarily the responsibility of the BIA, (2) to the Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) to title insurance and abstracting companies and attorneys for the purposes of determining ownership of and encumbrances against title.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: letter files and maps. Computer: mag-tape. (2) Retrievability -- (a) Indexed by name of identification number of the individual. Computer file is in order by reservation and then by land lease numbers. (b) Retrieved by manual search, use of computer printouts, and batch inquiries of the computer. (3) Safeguards -- Most records are maintained in accordance with 43 CFR 2.51 for both manual and computer records. A program will be initiated to bring the safeguards for the remaining systems of records up to the same standards. (4) Retention and Disposal - Records held two years after lease expiration and then transferred to the Federal Records Center. Records permanently retained on mag-tape. Prior information on mag-tape is erased as new data or changes are added.

**System manager(s) and address:** Director, Office of Trust Responsibilities, Bureau of Indian Affairs, 18th and C Streets N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or, with respect to records maintained in the office for which he is responsible, an Area or Field Office Director, or an Agency Superintendent (see Appendix for addresses).

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom record is maintained. Titles, deeds, birth and death notices, all types of land and water rights and usages documents.

#### INTERIOR/BIA-6

**System name:** Navajo-Hopi Joint Use Project -- Interior, BIA-6.

**System location:** (1) Joint Use Administrative Office, 125 E. Birch St., Arizona Bank Bldg., Flagstaff, Arizona 86001. (2) Division of Automatic Data Processing Services, Bureau of Indian Affairs, 500 Gold Ave., S.W., Albuquerque, NM 87103.

**Categories of individuals covered by the system:** Navajo and Hopi Indians who are residents of the Joint Use Area in Arizona.

**Categories of records in the system:** Census enumerations, and inventories and ownerships of property improvements (includes livestock inventories).

**Authority for maintenance of the system:** 25 U.S.C. 631, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to identify improvements, locations, ownership and residents of the Joint Use Administration. Disclosures outside the Department of the Interior may be made (1) for Tribal Government use in adjudicating land disputes, (2) to the Relocation Commission to identify resident and location and ownership of improvements, (3) U. S. Federal Courts concerned with the project, (4) to the U. S. Department of Justice when related to litigation or anticipated litigation, (5) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, and (6) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage - Manual: letter files and computer printouts at the JUA Office. Computer: disk files with mag-tape backup. (2) Retrievability - (a) Indexed by name of individual. (b) Retrieved by manual search. Computer listings are by name in alphabetical order, also location and individual assigned number. Records are accessed from disk by location and individual's assigned number or a real estate improvement number in a batch process mode. (3) Safeguards - In accordance with 43 CFR 2.51. (4) Retention and Disposal - Disk files are perpetual. Prior information on disk is erased as new data is added.

**System manager(s) and address:** Project Officer, Joint Use Administrative Office, 125 E. Birch St., Arizona Bank Building, Flagstaff, Arizona 86001.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager.

**Record access procedures:** To see your records, write the System Manager or the Offices cited under 'Systems Location'. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Navajo and Hopi residents of the Joint Use Area and enumeration surveyors who are interviewing claimants and physically examining property improvements.

#### INTERIOR/BIA-7

**System name:** Tribal Rolls -- Interior, BIA--7.

**System location:** (1) All Area, Agency and Field Offices of the BIA. (See Appendix for addresses.) (2) Director, Office of Indian Services, Bureau of Indian Affairs, 18th and C Streets, N. W., Washington, D. C. 20245. (3) Division of Automatic Data Processing Services, Bureau of Indian Affairs, 500 Gold Ave., S.W., Albuquerque, NM 87103.

**Categories of individuals covered by the system:** Individual Indians who are applying for or have been assigned interests of any kind in Indian tribes, bands, pueblos or corporations.

**Categories of records in the system:** Documents supporting Individual Indians claims to interests in Indian tribal groups, including birth, marriage and death notices; records of actions taken (approvals, rejections, appeals); rolls of approved individuals; records of actions taken (judgement distributions, per capita payments, shares of stocks); ownership and census data taken using the rolls as a base.

**Authority for maintenance of the system:** 25 U.S.C. 163.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to determine eligibility of individuals who participate in or enjoy benefits from an interest in a tribal group, and (b) provide lists of approved enrollees used to distribute funds or income, or as a base to gather census or ownership data for planning purposes. Disclosures outside the Department of the Interior may be made (1) to the Tribe, Band, Pueblo or corporation of which the individual to whom a record pertains is a member or a stockholder, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or

potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit, and (6) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage - Manual: letter files and computer printouts. Computer: Disk files with mag-tape backup protection. (2) Retrievability - (a) Indexed by name, identification numbers, family numbers, etc. (b) Retrieved by manual search or computer inquiry. (3) Safeguards - In accordance with 43 CFR 2.51. (4) Retention and Disposal - Records permanently retained. Disk files are perpetual. Prior information on disk is erased as new data is added or changed.

**System manager(s) and address:** Director, Office of Indian Services, Bureau of Indian Affairs, 18th and C Streets N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or, with respect to records maintained in the office for which he is responsible, an Agency Superintendent or an Area or Field Office Director (see Appendix for addresses).

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom record is maintained. Birth, marriage and death certificates, and family and tribal histories.

#### INTERIOR/BIA-8

**System name:** Indian Social Services Case Files -- Interior, BIA--8.

**System location:** All Area, Agency and Field Offices of the BIA. (See appendix for addresses.)

**Categories of individuals covered by the system:** Individual Indians who apply and receive social services and direct assistance from the Bureau of Indian Affairs on Indian reservations.

**Categories of records in the system:** Case files and related card files giving history of social services and direct assistance to individual Indians.

**Authority for maintenance of the system:** 25 U.S.C. 13.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) provides permanent individual records on social services and direct assistance to individual Indians, (b) Provides management with an automated information system for program planning, reporting and management utilization. Disclosures outside the Department of the Interior may be made (1) granting of access or transfer to another Federal agency, a State or local government, Indian tribal group or to any individual or establishment that will have jurisdiction whether by contract to the BIA, by assumption of trust responsibilities or by other means, for social services programs now controlled by the BIA, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license to appropriate Federal, State, local or foreign Agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit, and (6) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: letter files; computer-maintained in computer translatable form on magnetic tape for automated areas. (2) Retrievability -- (a) Indexed alphabetically by name of applicant and/or recipient. (b) Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Transfer inactive files to GSA Federal Records Center five years.

**System manager(s) and address:** Director, Office of Indian Services, Bureau of Indian Affairs, 18th and C Streets N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system write to the System Manager or, with respect to records maintained in the office for which he is responsible, an Agency Superintendent or an Area or Field Office Director (see Appendix for addresses).

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/BIA-9

**System name:** Traders License Files -- Interior, BIA-9.

**System location:** All Area and Agency Offices of the BIA. (See appendix for addresses.)

**Categories of individuals covered by the system:** Applicants requesting licenses to trade on Indian reservations.

**Categories of records in the system:** Case files containing applications, bond forms, copies of licenses and related correspondence.

**Authority for maintenance of the system:** 25 U.S.C. 261.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to identify individuals authorized to trade on Indian reservations. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, and (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: letter files at Area and Agency Offices. (2) Retrievability -- (a) Indexed alphabetically by name of applicant. (b) Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Transfer to the GSA Federal Records Center five years after case becomes inactive.

**System manager(s) and address:** Director, Office of Indian Services, Bureau of Indian Affairs, 18th and C Streets N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or, for records maintained in the office for which he is responsible, an Agency Superintendent or an Area Director (see Appendix for addresses).

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/BIA-10

**System name:** Indian Housing Improvement Program -- Interior, BIA-10.

**System location:** (1) Division of Housing Services, Bureau of Indian Affairs, 1951 Constitution Avenue, N.W., Washington, D.C.

20245. (2) All Area and Agency Offices. (See appendix for addresses.)

**Categories of individuals covered by the system:** Individual Indians who qualify as housing improvement participants.

**Categories of records in the system:** Housing applications, financial records, and engineering drawing material.

**Authority for maintenance of the system:** 25 U.S.C. 13.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to maintain a management control of funds distributed to each individual and (b) to provide a progress report on housing improvements. Provides management with an automated information system for program planning, reporting and management utilization. Disclosures outside the Department of the Interior may be made (1) disclosure or transfer to another Federal agency, a State or local government, an Indian tribal group or a contractor that will have jurisdiction over programs now controlled by the BIA, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, and (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: letter files. Computer: Maintained in computer translatable form on magnetic tape for automated areas. (2) Retrievability -- (a) Indexed by name of applicant. (b) Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Records permanently retained.

**System manager(s) and address:** Director, Office of Indian Services, Bureau of Indian Affairs, 18th and C Sts., N. W., Washington, D. C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or, with respect to records maintained in the office for which he is responsible, the Agency Superintendent or the Area or Field Office Director (see Appendix for addresses).

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/BIA-11

**System name:** Indian Business Development Program (Grants) -- Interior, BIA-11.

**System location:** (1) Office of Tribal Resources Development, Bureau of Indian Affairs, 18th and C Streets, N. W., Washington, D. C. 20245. (2) Division of ADP Services, Bureau of Indian Affairs, 500 Gold Ave., S.W., Albuquerque, NM 87103. (3) Area and Agency Offices. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Indian Business Grant applicants.

**Categories of records in the system:** Grant application and supporting documents including financial transactions recording obligations and disbursements of grant funds.

**Authority for maintenance of the system:** 88 Stat. 77 (1974).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to identify individual receiving grant. Disclosures outside the Department of the Interior may be made (1) transfer or disclosure to another Federal agency, a State or local government, an Indian tribal group or a contractor that will have jurisdiction over programs now managed by the BIA, (2) to the Economic Development Administration, Farmers Home Administration and Small Business Administration in regard to participating funding packages

between these agencies and BIA, (3) to the U. S. Department of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, and (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: letter files and computer printouts. Computer: mag-tape/disk. (2) Retrievability -- (a) Indexed by individual's name or control number. (b) Retrieved by manual search and through batch inquiries of computer. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Records permanently retained. Prior information on mag-tape is erased as new data is added.

**System manager(s) and address:** Director, Office of Tribal Resources Development, Bureau of Indian Affairs, 18th and C Streets N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or, with respect to records maintained in the office for which he is responsible, an Area Director or an Agency Superintendent (see Appendix for addresses).

**Record access procedures:** To see your records, write the Systems Manager or the offices cited under 'Systems Location'. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom record is maintained. Legal records such as titles, deeds, probates and birth notices.

#### INTERIOR/BIA-12

**System name:** Indian Trust Land Mortgages -- Interior, BIA--12.

**System location:** Area and Agency Offices. (See appendix for addresses.)

**Categories of individuals covered by the system:** Individual Indians who mortgaged trust land to customary lenders.

**Categories of records in the system:** Mortgage records and supporting documents.

**Authority for maintenance of the system:** 25 U.S.C. 483(a).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to furnish lender with information on applicant and on status of land and (b) to maintain current information on payments and balances of loan. Disclosures outside the Department of the Interior may be made (1) to disclose or transfer to another Federal agency, a State or local government, an Indian tribal group or a contractor that will have jurisdiction over programs now managed by the BIA, (2) to the Economic Development Administration, Farmers Home Administration and Small Business Administration, in regard to participating funding between those agencies and BIA, (3) to the U. S. Department of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, and (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (6) to title insurance and abstracting companies and attorneys for the purposes of determining ownership of and encumbrances against title.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: letter files. (2) Retrievability -- (a) Indexed by individual's name. (b) Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Records are permanently retained.

**System manager(s) and address:** Director, Office of Tribal Resources Development, Bureau of Indian Affairs, 18th and C Streets N.W., Washington, D. C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or, with respect to records maintained in the office for which he is responsible, an Area or Field Office Director, or an Agency Superintendent (see Appendix for addresses).

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Mortgage applicants.

#### INTERIOR/BIA-13

**System name:** Indian Loan Files -- Interior, BIA--13.

**System location:** (1) Office of Tribal Resources Development, Bureau of Indian Affairs, 18th and C Streets, N. W., Washington, D. C. 20245. (2) Division of ADP Services, Bureau of Indian Affairs, 500 Gold Ave., S.W., Albuquerque, NM 87103. (3) Area and Agency offices. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Applicants who applied for or received loans. Applicants who applied for or received guaranteed loans.

**Categories of records in the system:** Loan applications and supporting documents, record of payment cards, guaranty agreements, eligibility certificates, default documents, and/or promissory notes.

**Authority for maintenance of the system:** 25 U.S.C. 482, 461, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to maintain a record of payments and unpaid balances and (b) to provide information on payments made for paying interest subsidy, credits obtained, service loans, and premiums paid by lenders. Disclosures outside the Department of the Interior may be made (1) disclosure or transfer to another Federal agency, a State or local government, an Indian tribal group or a contractor that will have jurisdiction over programs now maintained by the BIA, (2) to the Economic Development Administration, Farmers Home Administration and Small Business Administration, in regard to participating funding between those agencies and BIA, (3) to the U. S. Department of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, and (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: letter files, applications and computer printouts. Computer: mag-tape/disk. (2) Retrievability -- (a) Indexed by individual's name, control number or tribal name. (b) Retrieved by manual search and through batch inquiries. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Destroy seven years after loan is paid, cancelled, or otherwise disposed of. Prior information on mag-tape is erased as new data is added.

**System manager(s) and address:** Director, Office of Tribal Resources Development, Bureau of Indian Affairs, 18th and C Street N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or, with respect to records maintained in the office for which he is responsible, an Area Director or an Agency Superintendent.

**Record access procedures:** To see your records, write the Systems Manager or the offices cited under 'Systems Location'. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/BIA-14

**System name:** Travel Accounting System -- Interior, BIA--14.

**System location:** (1) Division of Accounting Management, Bureau of Indian Affairs, 500 Gold Ave., S.W., Albuquerque, NM 87103. (2) All Area, Agency, and Field Offices (including the Washington Office) of the BIA. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Individuals who are traveling at government expense.

**Categories of records in the system:** Travel authorization, advances and vouchers.

**Authority for maintenance of the system:** 5 U.S.C. 5701, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to identify individuals who are authorized to travel and be reimbursed by the government. Disclosures outside the Department of the Interior may be made (1) disclosure or transfer to another Federal agency, a State or local government, an Indian tribal group or a contractor that will have jurisdiction over programs now controlled by the BIA and that require personal travel at program expense, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, and (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage-- Manual: Input documents and printed copies are maintained at the Albuquerque Office and at Area, Agency and Field Offices for individuals under their jurisdiction; Computer: maintained in computer translatable form on mag-tape. (2) Retrievability -- (a) Indexed by name or identification number of traveler. (b) Retrieved by manual search or batch computer processing. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Records are retained in current status pending final action which is accomplished through batched computer processing. Historical records retained one year then transferred to Federal Records Center.

**System manager(s) and address:** Director, Office of Administration, Bureau of Indian Affairs, 1951 Constitution Avenue, N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or, with respect to records maintained in the office for which he is responsible, the Agency or School Superintendent, or the Area or Field Office Director.

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/BIA-15

**System name:** Trip Reports -- Interior, BIA--15.

**System location:** Central Office, Area, Agency and Field Office of the BIA. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Federal employees who are assigned to travel as part of their job.

**Categories of records in the system:** Copies of reports to supervisors and management officials documenting employee travel, findings and recommendations.

**Authority for maintenance of the system:** 5 U.S.C. 5701, 25 U.S.C.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to provide local files which identify staff officer trip reports by name of individual for each BIA program office. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, and (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: letter files (2) Retrievability -- (a) Indexed alphabetically by name of traveler. (b) Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- As administrative copies, records are destroyed after four years.

**System manager(s) and address:** Commissioner, Bureau of Indian Affairs, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Agency Superintendent, the Area or Field Office director with respect to records maintained in the office for which he is responsible or to the System Manager in the Washington Office.

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual travelers who prepare the reports.

#### INTERIOR/BIA-16

**System name:** Travel Files -- Interior, BIA--16.

**System location:** Central Office, Area, Agency and Field Offices of the BIA. (See appendix for addresses.)

**Categories of individuals covered by the system:** Federal employees who are authorized to travel at government expense.

**Categories of records in the system:** Copies of correspondence, requests, travel authorizations and orders, itineraries and similar papers pertaining to an employees travel.

**Authority for maintenance of the system:** 5 U.S.C. 5701, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records are (a) to provide administrative copy files on each traveler for local office use. (b) Provides management with an automated information system for program planning, reporting and management utilization. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, and (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: letter files; Computer: Maintained in computer translatable form on magnetic tape for automated areas. Retrievability -- Indexed alphabetically by name of traveler. (b) Retrieved by manual search. (3) Safeguards - In accordance with 43 CFR 2.51. (4) Retention and Disposal - As administrative copies, records are destroyed after four years.

**System manager(s) and address:** Director, Office of Administration, Bureau of Indian Affairs, 1951 Constitution Avenue, N.W., Washington, D.C. 20242.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or with respect to records maintained in the office for which he is responsible, the Agency or School Superintendent, or the Area or Field Office Director (see Appendix for addresses).

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/BIA-17

**System name:** Payroll -- Interior, BIA--17.

**System location:** (1) Division of Employee Data and Compensation, Bureau of Indian Affairs, 500 Gold Ave., S.W., Albuquerque, NM 87103. (2) Input documents supplied by all Area, Agency and Field Offices. (See Appendix for addresses.)

**Categories of individuals covered by the system:** All employees of the BIA, including all types of employment.

**Categories of records in the system:** Time and attendance data from each pay station are matched with personnel data at the Albuquerque Data Center and payrolls are prepared for distribution by Treasury (RDO), and numerous reports and call-ups are printed out.

**Authority for maintenance of the system:** 5 U.S.C. 5101, 31 U.S.C.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to prepare payrolls for distribution by the Regional Disbursing Offices of Department of Treasury and (b) to report results to the Civil Service Commission. Disclosures outside the Department of the Interior may be made (1) granting of access or transfer to a Federal, State or local agency, or to an Indian tribal group or any establishment or individual that assumes jurisdiction, whether by contract to the BIA or by legal transfer, of any program under the control of the BIA, (2) to the Department of the Treasury for preparation of (a) payroll checks and (b) payroll deduction and other checks to Federal, State and local government agencies, non-governmental organizations and individuals, (3) to the Internal Revenue Service and to State, Commonwealth, Territorial and local governments for tax purposes, (4) TO THE Civil Service Commission in connection with the Civil Service Retirement System, (5) disclosure to another Federal agency to which an employee has transferred, (6) to the U. S. Department of Justice when related to litigation or anticipated litigation, (7) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (8) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (9) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit, and (10) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: Computer printouts, microfiche, microfilm and special input and output forms are retained by user in the Employee Data and Compensation administrative offices; Computer: Master, History, and Recycle Data maintained on mag-tape/disk; a tape backup is stored in off-site vault. (2) Retrievability -- (a) Indexed by name and identifying number of the employee. Computer printouts are in order alphabetic by last name, numeric by social security number and numeric by state code. (b) Retrieved from disk and/or mag-tape, indexed by name and social security number. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Computer printouts are kept one pay period then shredded, microfiche and microfilm are permanent. Disk files are permanent, outdated information is written over during updates; mag-tape files are permanent, yearly and cyclic.

**System manager(s) and address:** Director, Office of Administration, Bureau of Indian Affairs, 1951 Constitution Avenue, N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or, with respect to records maintained in the office for which he is responsible, an Area or Field Office Director or Agency Superintendent (see Appendix for addresses).

**Record access procedures:** To see your records write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/BIA-18

**System name:** Law Enforcement Services -- Interior, BIA--18.

**System location:** (1) All Area, Agency and Field Offices of the BIA. (See Appendix for addresses.) (2) Director, Office of Indian Services, Bureau of Indian Affairs, 18th and C Streets, N.W., Washington, D.C. 20245.

**Categories of individuals covered by the system:** (1) Individuals violating laws on Indian Reservations and those who appear in court for violations of 25 CFR regulations. (2) Individuals primarily interested in Indian Affairs who advocate violence as a means of obtaining their goals.

**Categories of records in the system:** Documentation includes statements of witnesses, statutes involved, evidence seized, photographs, final disposition reports and related correspondence.

**Authority for maintenance of the system:** 25 U.S.C. 1, 1a, 13; 18 U.S.C. 3055; Act of May 10, 1939, 58 Stat. 693; 53 Stat. 520.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to identify individuals who have been arrested on Indian Reservations and who have appeared in court for violations of 25 CFR regulations. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit, and (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders throughout Area and Agency offices. (2) Retrievability -- cross referenced by individual's name, case number and docket number. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- transfer to GSA Federal Records Center five years after case is closed.

**System manager(s) and address:** Director, Office of Indian Services, Bureau of Indian Affairs, 18th and C Streets, N.W., Washington, D.C. 20245.

**Systems exempted from certain provisions of the act:** Under the general exemption authority provided by 5 U.S.C. 552a(j)(2), the Department of the Interior has adopted a regulation, 43 CFR 2.79(a), which exempts this system from all of the provisions of 5 U.S.C. 552a and the regulations in 43 CFR Part 2, Subpart D, except subsections (b), (c)(1) and (2), (e)(4)(A) through (F), (e)(6), (7), (9), (10), (11) and (i) of 5 U.S.C. 552a and the portions of the regulations in 43 CFR Part 2, Subpart D implementing these subsections. The reasons for adoption of this regulation are set out at 40 FR 37317 (August 26, 1975).

#### INTERIOR/BIA-19

**System name:** Indian Association Stock Purchase Records -- Interior, BIA--19.

**System location:** Agency Offices (See Appendix for addresses.)

**Categories of individuals covered by the system:** Indian stockholders.

**Categories of records in the system:** Records of purchase of stock in Indian associations by individuals.

**Authority for maintenance of the system:** 25 U.S.C. 1, 1a, 13.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to identify individual Indians who have stocks in Indian Associations. Disclosures outside the Department of the Interior may be made (1) to another Federal agency, a State or local government, an Indian tribal group or a contractor that will have jurisdiction over programs now managed by the BIA, (2) to the Economic Development Administration, Farmers Home Administration and Small Business Administration in regard to participating funding between these agencies and the BIA, (3) to the U. S. De-

partment of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, and (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: letter files (2) Retrievability -- (a) Indexed by individual's name. (b) Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Destroy three years after disposal of stocks.

**System manager(s) and address:** Director, Office of Tribal Resources Development, Bureau of Indian Affairs, 18th and C Streets, N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or, with respect to records maintained in the office for which he is responsible, an Area or Field Office Director, or an Agency Superintendent (see Appendix for addresses).

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/BIA-20

**System name:** Correspondence Files System -- Interior, BIA--20.

**System location:** Division of Administrative Services, Bureau of Indian Affairs, 1951 Constitution Avenue, N.W., Washington, D.C. 20242.

**Categories of individuals covered by the system:** Individuals who correspond with or apply to the BIA Central Office on significant business or program matters.

**Categories of records in the system:** Subject and case files pertaining to individual Indians and tribes on various BIA programs and subject matters.

**Authority for maintenance of the system:** 5 U.S.C. 301, 43 U.S.C. 1457, 44 U.S.C. 3101, Reorganization Plan 3 of 1950, 25 U.S.C. 1a, 2.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to provide information for use by Department of the Interior; BIA; Indian Tribes; Indian Claims Commission; and the Indian Claims Division, Office of Finance, GSA. Disclosures outside the Department of the Interior may be made (1) to another Federal agency, a State or local government, an Indian Tribal Group or a contractor that will have jurisdiction over programs now controlled by the BIA, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, and (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: letter files. (2) Retrievability -- (a) Cross-indexed by name of person or firm name and subject. (b) Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal - Records are permanent and are transferred to the GSA Federal Records Center after four years.

**System manager(s) and address:** Director, Office of Administration, Bureau of Indian Affairs, 1951 Constitution Avenue, N.W., Washington, D.C. 20242.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager.

**Record access procedures:** To see your records, write the System Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/BIA-21

**System name:** Correspondence Control System -- Interior, BIA--21.

**System location:** Office of the Commissioner, Bureau of Indian Affairs, Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20245.

**Categories of individuals covered by the system:** U.S. Senators and Congressmen, Governors of States, Indian leaders.

**Categories of records in the system:** Correspondence.

**Authority for maintenance of the system:** 5 U.S.C. 301, 43 U.S.C. 1457, 44 U.S.C. 3101, Reorganization Plan 3 of 1950, 25 U.S.C. 1a, 2.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to provide control for prompt handling or priority correspondence by the Bureau of Indian Affairs. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, and (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed alphabetically by name of congressman or letter writer. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- copies are destroyed after one year.

**System manager(s) and address:** Commissioner of Indian Affairs, Bureau of Indian Affairs, Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager.

**Record access procedures:** To see your records, write the System Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual from whom incoming letter was received.

#### INTERIOR/BIA-22

**System name:** Indian Student Records -- Interior, BIA--22.

**System location:** (1) All Area and Agency Offices and BIA schools. (See Appendix for addresses.) (2) Indian Education Resources Center, Bureau of Indian Affairs, 123 Fourth Street, S.W., Albuquerque, NM 87103. (3) Division of ADP Services, Bureau of Indian Affairs, 500 Gold Ave., S.W., Albuquerque, NM 87103. (4) Washington Computer Center, Department of the Interior, 18th and C Streets, N. W., Washington, D. C. 20242.

**Categories of individuals covered by the system:** Students or potential students at BIA schools (including contract schools) and applicants for or recipients of BIA scholarships or educational grants.

**Categories of records in the system:** Student case files, attendance and performance records, banking records and expenditures of tribal benefit funds, and applications for grants and grant agreements.

**Authority for maintenance of the system:** 25 U.S.C. 271, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to provide permanent individual student records on all phases of the education of Indians in BIA schools or under Government Education Grants. Disclosures outside the Department of the

Interior may be made to (1) another federal agency, a State or local government, Indian Tribal Group or to any individual or establishment that will have jurisdiction whether by contract to the BIA, by assumption of Trust Responsibilities or by other means for school programs now controlled by the BIA; (2) to any domestic recognized school, whether public, private, parochial or other, of those portions of students' records specified by the requesting school as being necessary for the acceptance, placement or satisfactory performance of the student at the requesting school, (3) to any individual or establishment of those portions of students' records specified by the requester as necessary for a decision concerning the hiring or retention of the student as an employee of the requester, (4) to a Federal, State or local agency maintaining civil, criminal or other relevant enforcement information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit by the requesting agency, (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit, (6) to persons having official involvement in conjunction with a student's application for/or grant of financial aid, (7) to parents of a dependent student as defined in section 152 of the Internal Revenue Code of 1954, as amended, (8) to accreditation agencies in order to carry out their accrediting functions, (9) to the Department of Health, Education and Welfare and other governmental education officials when necessary to carry out their functions, (10) to an educational testing center or similar institution as part of validation research authorized by the school involved, (11) to the U. S. Department of Justice when related to litigation or anticipated litigation, (12) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, and (13) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: student case letter files at the schools; Computer: student identification data on mag-tape/disk. (2) Retrievability -- (a) Indexed by name of student and filed by student identification number. (b) Retrieved by manual search and through batch inquiries of computer. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Records permanently retained.

**System manager(s) and address:** Director, Office of Indian Education Programs, Bureau of Indian Affairs, 18th and C Streets, N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or, with respect to records maintained in the office for which he is responsible, an Area Director, an Agency or School Superintendent or a School Principal. (See Appendix for addresses.)

**Record access procedures:** To see your records, write the System Manager or the offices cited under 'Records Location'. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom record is maintained, his parents, teachers, counselors, school principals, doctors, etc.

#### INTERIOR/BIA-23

**System name:** Employment Assistance Case Files -- Interior, BIA--23.

**System location:** Central Office, Area, Agency and Field Employment Assistance Offices of the BIA. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Individual Indians who are given assistance in connection with direct employment service or adult vocational training.

**Categories of records in the system:** Application for assistance, departure and arrival schedules, records documenting financial assistance, training plans, contact sheets recording counseling and guidance service, employment referral and placement records, and reports on progress. Case history of employment assistance for individual Indians.

**Authority for maintenance of the system:** 25 U.S.C. 13.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to identify individual Indians who are given direct employment or vocational training, and (b) to provide permanent records on Employment Assistance to individual Indians. (c) Provides management with an automated information system for program planning, reporting and management utilization. Disclosures outside the Department of the Interior may be made (1) to another Federal agency, a State or local government, Indian Tribal Group or to any individual or establishment that will have jurisdiction whether by contract to the BIA, by assumption of Trust Responsibilities or by other means, for Employment Assistance Programs now controlled by the BIA, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigation or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, and (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: letter files, Computer: Maintained in computer translatable form on magnetic tape for automated areas. (2) Retrievability -- (a) Indexed alphabetically by name of applicant and/or recipient. (b) Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Transfer inactive files to GSA Federal Records Center five years after case is closed.

**System manager(s) and address:** Director, Office of Tribal Resources Development, Bureau of Indian Affairs, 18th and C Streets, N.W., Washington, D.C. 20245.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager or, with respect to records maintained in the office for which he is responsible, an Agency Superintendent or an Area or Field Office Director (see Appendix for addresses).

**Record access procedures:** To see your records, write the officials listed in the Notification procedure. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Individual on whom record is maintained, schools, law enforcement agencies, employers, doctors, other Bureau of Indian Affairs activities having dealings with the applicant, other agencies with whom applicant has dealt.

#### INTERIOR/BIA-24

**System name:** Timber Cutting and Fire Trespass Claims Case Files -- Interior, BIA--24.

**System location:** Central Office, Area, Agency and Field Offices of the BIA. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Indian landowners who have filed trespass claims for fire or cutting damage to Indian forest lands under Bureau of Indian Affairs supervision.

**Categories of records in the system:** Investigation and Claims Case Files on trespass actions involving fire or cutting damage to Indian forest lands under Bureau of Indian Affairs supervision.

**Authority for maintenance of the system:** 13 U.S.C. 1, 1A, 13; Act of May 10, 1939; 58 Stat. 3693; 43 Stat. 520.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to identify unauthorized timber cutting claims filed against trespassers and/or converters, (b) for property damage claims filed against careless or negligent originators of wildfire which trespasses Indian property, and (c) establishing amounts and types of timber trespassed and their value. Disclosures outside the Department of the Interior may be made (1) authorized legal representative of Tribal Groups or members if necessary for litigation, (2) to the Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or



potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Maintained in manual form in file folders. (2) Retrievability -- Indexed by name of landowner. (3) Safeguards -- Maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- Records are disposed of after claims have been settled or closed out in full.

**System manager(s) and address:** Director, Office of Trust Responsibilities, Bureau of Indian Affairs, 1951 Constitution Avenue, N.W., Washington, D.C. 20242.

**Notification procedure:** System Manager or with respect to records maintained in the office for which he is responsible, the Agency or the Area Office Director. A written and signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed the same as the notification. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and meet the requirements of 43 CFR 2.71.

**Record source categories:** Indian landowners.

**Systems exempted from certain provisions of the act:** Under the general exemption authority provided by 5 U.S.C. 43 CFR 2.79(b), which exempts this system from the provisions of 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H) and (I) and (f) and the portions of 43 CFR Part 2, Subpart D which implement these subsections. The reasons for adoptions of this regulation are set out at 40 FR 50432 (October 29, 1975).

#### INTERIOR/EAP-1

**System name:** Administrative Management and Fiscal Records -- Interior, Alaska Power Administration--1.

**System location:** Alaska Power Administration, P.O. Box 50, Juneau, Alaska.

**Categories of individuals covered by the system:** Employees and some former employees of the Alaska Power Administration.

**Categories of records in the system:** Payroll records, including pay, leave and cost distribution records, including deductions for bonds, insurance, income taxes, allotments to financial institutions, overtime authorizations, and related documents. Travel records, including administrative approvals, travel expenses claimed and/or paid, receipts for expenditures claims, Government transportation requests, travel advance accounts and related records. Records of accountability for Government-owned property. Safety records, including claims under the Military Personnel and Civil Employees Claims Act. Records of issuance of Government identification cards and Government driver's licenses. Related records concerning administrative and fiscal management of the Alaska Power Administration.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101, 5101-5115, 5501-5596, 5701-5709, 31 U.S.C. 66a, 240-243, 40 U.S.C. 483(b), 43 U.S.C. 1467, 44 U.S.C. 3101, Executive Order No. 11807.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is for the administrative and fiscal management of the Alaska Power Administration. Disclosures outside the Department of the Interior may be made (1) to the Department of the Treasury for preparation of (a) payroll checks; (b) payroll deduction and other checks to Federal, State and local government agencies, non-governmental organizations and individuals; and (3) checks for reimbursement of employees and others; (2) to the Internal Revenue Service and to State, Commonwealth, Territorial and local governments for tax purposes; (3) to the Civil Service Commission to report contributions to the Civil Service Retirement System and other contributions; (4) to another Federal agency to which an employee has transferred; (5) to another Federal agency having a subject matter interest in the records; (6) to the U. S. Department of Justice when related to litigation or anticipated litigation; (7) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State,

local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (8) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- manual and automated. (2) Retrievability -- may be retrieved by individual name or social security number. (3) Safeguards -- records are maintained in accordance with 43 CFR 2.51. (4) Retention and Disposal -- according to approved records disposal schedules.

**System manager(s) and address:** Administrator, Alaska Power Administration.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Employees, supervisors, timekeepers.

#### INTERIOR/EBM-1

**System name:** Payroll -- Interior, Mines--1.

**System location:** (1) U.S. Bureau of Mines, Division of Finance, Building 53, Denver Federal Center, Denver, Colorado 80225. (2) Input documents supplied by all facilities of U.S. Bureau of Mines (See Appendix for addresses).

**Categories of individuals covered by the system:** Current Mines employees and those formerly employed by Mines within the last two years.

**Categories of records in the system:** A variety of documents which set forth or affect an employee's annual wage rate, leave, biweekly earnings, payroll deductions, and disposition of earnings. Hard copy records consist of a folder of action-type documents for each employee. The information from these documents is recorded on computer tape for payroll purposes.

**Authority for maintenance of the system:** 5 U.S.C. 5101, et seq., 31 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to provide information and accounting records regarding employee pay and leave for the automated payroll data file; (b) to inform each Bureau office of the composition of their labor cost changes by reporting total payroll changes for each individual made to various cost accounts within the Finance system. This reporting is made every two weeks on a regular payroll cycle. Disclosures outside the Department of the Interior may be made (1) to provide states with pay data relative to claims for unemployment; (2) to the Department of the Treasury for preparation of payroll checks and payroll deductions and other checks to Federal, state and local Government agencies, non-governmental organizations and individuals; (3) to the Internal Revenue Service and to state, commonwealth, territorial and local Governments for tax purposes; (4) to the Civil Service Commission in connection with the Civil Service Retirement System; (5) to another Federal agency to which an employee has transferred; (6) to the U. S. Department of Justice when related to litigation or anticipated litigation involving the records or the subject matter of the records; (7) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, state, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (8) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (9) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (10) to Federal, state, or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are maintained in file folders, magnetic tape, and punched cards. (2) Retrievability -- file folders are maintained by name and magnetic tape and punched cards are maintained by social security number. (3) Safeguards -- file folders are maintained in metal file cabinets which are in a locked room during periods of non-work. During working hours, access is allowed only to Division of Finance personnel. Punched cards have no interpreted printing on them and are retained in cardboard boxes-locked into the same room as the file folders. Magnetic tapes are maintained in the Division of Data Processing with limited ADP personnel accessibility. (4) Retention and Disposal -- actively employed personnel file folders are retained indefinitely. Inactive employees' folders (death, resignation, retirement, and separation) are destroyed after two years. Cards are destroyed after one year. Magnetic tapes are erased and reused in accordance with memorandum dated December 29, 1970 from the Chief, Division of Finance to the Chief, Division of ADP, Bureau of Mines. All other official payroll data are disposed of in accordance with General Records Schedule FPMR 101-11.4 dated August 1, 1974.

**System manager(s) and address:** Chief, Division of Finance, U.S. Bureau of Mines, Building 20, Denver Federal Center, Denver, Colorado 80225.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Information in this system of records comes from the individual to whom it applies or is derived from information he supplied. Pay rates and their applicability and leave regulations are established by public law and their effect upon the individual are in accordance with such public laws and regulations. Generally, most payroll source data are echo records of official personnel actions.

#### INTERIOR/EBM-2

**System name:** Travel Advance File -- Interior, Mines--2.

**System location:** U.S. Bureau of Mines, Division of Finance, Building 20, Denver Federal Center, Denver, Colorado 80225.

**Categories of individuals covered by the system:** All Bureau of Mines employees who have active travel advances or who have closed travel advances.

**Categories of records in the system:** File consists of signed forms whereon employees request travel advances for the purpose of paying travel expenses incurred in the performance of official government business. These forms also include repayments against any advances, whether by claims offset on travel vouchers or remittances by checks, money orders, etc.

**Authority for maintenance of the system:** 5 U.S.C. 4111(b), 5701-5709, 5721-5733, 5742(b).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to provide an accounting record of obligations due to the U. S. Government from employees authorized cash advances to defray expenses incurred in official travel. Payments to the traveler and repayments to the Government are reflected in this record; (b) to serve as a backup authority and manually reconciled file to the entries for travel expenses in the automated Finance system; (c) computer data are reported to each Bureau office as part of the detailed composition of monthly expense reports applicable to charges made to cost accounts within the Finance system. Only data pertinent to individual Bureau offices are available to that office. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation involving the records or the subject matter of the records; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies

responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State, or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are maintained in cardboard boxes in the Division of Finance. (2) Retrievability -- files are stored alphabetically by fiscal year. (3) Safeguards -- files are maintained in a locked steel file drawer during periods of non-work and are accessible during working hours only by personnel from the Division of Finance. (4) Retention and Disposal -- disposition is in accordance with General Records Schedule, FPMR 101-11.4 dated August 1, 1974.

**System manager(s) and address:** Chief, Division of Finance, U.S. Bureau of Mines, Building 20, Denver Federal Center, Denver, Colorado 80225.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Information for this system originates with the traveler who specifies the need of a travel advance. The request is concurred in by signature of a responsible supervisory official. All entries on the file are as a result of actions take by the individual to liquidate his travel advance.

#### INTERIOR/EBM-3

**System name:** Travel Vouchers and Authorizations -- Interior, Mines--3.

**System location:** U.S. Bureau of Mines, Division of Finance, Building 20, Denver Federal Center, Denver, Colorado 80225.

**Categories of individuals covered by the system:** All persons traveling for or, in behalf of the Bureau of Mines on official business.

**Categories of records in the system:** Voucher file consists of paid travel vouchers which reimburse travelers for expenses incurred in connection with official travel. Travel authorization file consists of record copies of authorizations for travel for which no travel vouchers have been submitted for payment.

**Authority for maintenance of the system:** 5 U.S.C. 5701, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) as backup entry data for obligations and disbursements in the automated Finance system of the Bureau of Mines; (b) computer data are reported to each Bureau office as part of the detailed composition of monthly expense reports applicable to charges made to cost accounts within the Finance system. Only data pertinent to individual Bureau offices are available to that office; (c) vouchers are used to determine allowability of expenses within the law authorizing payment of travel expenses. The documents are used to determine which expenses incurred by the traveler can be paid and are sometimes used to report to other Federal agencies summarizations of those types of allowable expenses. Usually, the individual's name is not used in outside reporting but the data is. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation involving the records or the subject matter of the records; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, state, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from

the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, state, or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are maintained in steel filing cabinet in the Division of Finance. (2) Retrievability -- vouchers are filed by voucher number in sequence of payment within the overall numbering sequence of the Finance system. Authorizations are filed alphabetically by name awaiting payment of a travel voucher. Authorization becomes part of the voucher packet at time of payment. (3) Safeguards -- files are maintained with safeguards meeting the requirements of 43 CFR 2.51 in the Division of Finance and are available only to Division of Finance personnel. (4) Retention and Disposal -- disposition is in accordance with General Schedule, FPMR 101-11.4 dated August 1, 1974.

**System manager(s) and address:** Chief, Division of Finance, Bureau of Mines, U.S. Department of the Interior, Building 20, Denver Federal Center, Denver, Colorado 80225.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Information for these files is based on an authorization signed by the traveler in the form of a request. Travel vouchers are submitted by the traveler after incurring expenses for official travel and is a request for payment based on his record of official expenses.

#### INTERIOR/EBM- 4

**System name:** Property Control -- Interior, Mines--4.

**System location:** (1) Bureau of Mines, U.S. Department of the Interior, 2401 E Street, N.W., Washington, D.C. 20241. (2) All field facilities of the Bureau of Mines (See Appendix for addresses).

**Categories of individuals covered by the system:** Employees who have custody or responsibility for Bureau of Mines property.

**Categories of records in the system:** Contains information indicating what property, including equipment, motor vehicle operator's license, keys, motor pool vehicles, transportation request books, and parking spaces, for which the employee has custody or responsibility. A list is maintained of inventions by name as a cross reference to case numbers. In addition, all other records directly related to the property control function.

**Authority for maintenance of the system:** Federal Property and Administrative Services Act of 1949, as amended, 40 U.S.C. 483 (b)(1).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) identification, assignment, and control of Bureau property; (b) assistance in locating carpools; Disclosures outside of the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders or card indexes, a limited quantity on computer tape. (2) Retrievability -- indexed by employee name or control number. (3) Safeguards -- security will be provided to meet the requirements of 43 CFR 2.51 for manual records. (4) Disposal --

upon completion of the use period, vital records are transferred to the Official Personnel Folder or Federal Records Center and all other records are destroyed.

**System manager(s) and address:** Chief, Division of Management Services, Bureau of Mines, U.S. Department of the Interior, 2401 E Street, NW., Washington, D.C. 20241.

**Notification procedure:** System Manager, or with respect to records maintained at field facilities, the administrative officer of the facility. A written and signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager or, with respect to records maintained at field facilities, the administrative officer of the facility. The request must be in writing and signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Employees. Property control information required for accountability purposes.

#### INTERIOR/EBM- 5

**System name:** Personnel Identification -- Interior, Mines--5.

**System location:** (1) Bureau of Mines, U.S. Department of the Interior, 2401 E Street, NW, Washington, D.C. 20241. (2) All field facilities of the Bureau of Mines (See Appendix for addresses).

**Categories of individuals covered by the system:** All employees of the Bureau of Mines.

**Categories of records in the system:** Records concerning identification and location of employees.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101; 43 U.S.C. 1457.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to provide identification cards to employees; (b) locator information provided for use by management to contact employees in case of an emergency. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- card indexes, manually. (2) Retrievability -- indexed by employee name and identification card number. (3) Safeguards -- security will be provided to meet requirements of 43 CFR 2.51 for manual records. (4) Disposal -- after use period, records transferred to Federal Records Center or destroyed.

**System manager(s) and address:** Chief, Branch of Records Management and Office Services, Division of Management Services, Bureau of Mines, U.S. Department of the Interior, 2401 E Street, NW., Washington, D.C. 20241.

**Notification procedure:** System Manager, or with respect to records maintained at field facilities, the administrative officer of the facility. A written and signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager or, with respect to records maintained at field facilities, the administrative officer of the facility. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Employees. Information necessary to prepare the identification card and locator index.

#### INTERIOR/EBM- 6

**System name:** Safety Files -- Interior, Mines--6.

**System location:** (1) Bureau of Mines, U.S. Department of the Interior, 2401 E Street, NW., Washington, D.C. 20241. (2) All field facilities of the Bureau of Mines (See Appendix for addresses).

**Categories of individuals covered by the system:** Persons who have had an accident, injury, illness, or fatality or are associated with a health hazard, radio-active materials, and radiation producing media in performance of job related duties or while a visitor.

**Categories of records in the system:** Contains records about accident, injury, illness, or fatality of an employee in a work related situation or a visitor. Also, records of initial, re-examination, annual, and terminal health physicals of employees in potentially hazardous health and radiation situations. In addition, all other records directly related to employee health and safety.

**Authority for maintenance of the system:** (1) Occupational Safety and Health Act of 1970, as amended, (29 U.S.C. 668 and 5 U.S.C. 7902. (2) Executive Order 11807 (September 28, 1974). (3) Federal Employees Compensation Act, as amended, 5 U.S.C. 81.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for managerial review of safety related work situations, and (b) for authenticating work related accident, injury, illness, or fatalities for employee compensation purposes. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintenance in manual form in card index and file folders. (2) Retrievability -- indexed by name or control number of the individual. (3) Safeguards -- security will be provided to meet the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- upon completion of work project or employee separation, health records are transferred to the Official Personnel Folder. All other records are transferred to Federal Records Centers upon completion of case processing.

**System manager(s) and address:** Bureau Safety Manager, Division of Management Services, Bureau of Mines, U.S. Department of the Interior, 2401 E Street, NW, Washington, D.C. 20241.

**Notification procedure:** System Manager, or with respect to records maintained at field facilities, the safety officer of the facility. A written and signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager or, with respect to records maintained at field facilities, the safety officer of the facility. The request must be in writing and signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Individuals involved and physicians.

#### INTERIOR/EBM-7

**System name:** Personnel Security Files -- Interior, Mines -- 7.

**System location:** Office of the Assistant Director--Administration, Department of the Interior, Bureau of Mines, 2401 E Street, N.W., Washington, D.C. 20241.

**Categories of individuals covered by the system:** Mines personnel who have been authorized access to classified information.

**Categories of records in the system:** Contains records concerning employees, including personal data submitted by the individual, information developed by investigatory authorities, and records of the requirement, basis, degree and date of clearance. Contains a security briefing statement signed by the employee.

**Authority for maintenance of the system:** Executive Order 10450, as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to identify Mines personnel who have security clearances and their degree of clearance. Disclosures outside the Department of the Interior may be made (1) to a Federal agency which has

requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (2) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or issuance of a security clearance, contract, license, grant or other benefit; (3) to the U. S. Department of Justice when related to litigation or anticipated litigation; (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by name. (3) Safeguards -- maintained in a safe having a three-position dial-type, manipulation proof, combination lock, in the same manner as defense classified material. (4) Retention and Disposal -- maintained until the individual has been debriefed or terminated. Destroyed by fire, shredder, disintegrator or pulverizer.

**System manager(s) and address:** Security Officer (Assistant Director--Administration), Bureau of Mines, 2401 E Street, N.W., Washington, D.C. 20241.

**Notification procedure:** A written and signed request to the System Manager stating that the requester seeks information concerning record pertaining to him.

**Record access procedures:** A request for access shall be addressed to the System Manager. The request must be in writing and signed by the requester.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager.

**Record source categories:** Individual on whom the record is maintained and investigations conducted by Federal, State or local agencies or other pertinent authorities.

#### INTERIOR/EBM-9

**System name:** Distribution Center and Film Borrower Record Cards -- Interior, Mines--9.

**System location:** Bureau of Mines, U.S. Department of the Interior, Division of Production and Distribution, Room 359, 4800 Forbes Avenue, Pittsburgh, Pa. 15213.

**Categories of individuals covered by the system:** Officials of schools and other organizations borrowing Bureau of Mines motion pictures; officials of cooperating film distribution centers.

**Categories of records in the system:** Information pertaining to loan of films, shipment dates, number of showings, audience size, etc., used in connection with distribution of Bureau of Mines informational motion pictures.

**Authority for maintenance of the system:** 30 U.S.C. 1, 3, 5-7.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are for (a) reference by Bureau of Mines film distribution personnel in determining locations of films out on loan, (b) scheduling film shipments, and returns, and (c) checking borrower's treatment of films borrowed in the past. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- paper cards kept in office files. (2) Retrievability -- indexed by name. (3) Safeguards -- stored and used in government office building protected by uniformed guards. (4) Retention and Disposal -- cards used until all spaces filled, then destroyed after 12 months.

**System manager(s) and address:** Chief, Division of Production and Distribution, Bureau of Mines, U.S. Department of the Interior, 4800 Forbes Avenue, Pittsburgh, Pa. 15213, (412) 621-4500.

**Notification procedure:** Address inquiries to the System Manager.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Borrowers' film loan requests; correspondence from film distribution centers.

#### INTERIOR/EBM-10

**System name:** Biographical Reference File -- Interior, Mines--10.

**System location:** Bureau of Mines, U.S. Department of the Interior, Division of Public Information, Columbia Plaza Office Building, 2401 E Street, N.W., Washington, D.C. 20241.

**Categories of individuals covered by the system:** Past and present officials of the Department of the Interior.

**Categories of records in the system:** Biographical notes, resumes, news releases, etc., published or prepared for publication.

**Authority for maintenance of the system:** 30 U.S.C. 1, 3, 5-7.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) as a public information file on officials of the Bureau of Mines and (b) as background material in preparing public announcements on assignments, transfers or official activities of the named official. Disclosures outside the Department of the Interior may be made (1) to the public and news media seeking biographical information about named officials.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- papers in folders, kept in office filing cabinet. (2) Retrievability -- indexed by name. (3) Safeguards -- stored and used in commercial office building leased by government and protected by uniformed guards. (4) Retention and Disposal -- kept as long as needed for convenient reference; no systematic disposal procedure.

**System manager(s) and address:** Chief, Division of Public Information, Bureau of Mines, U.S. Department of the Interior, Columbia Plaza Office Building, 2401 E Street, N.W., Washington, D.C. 20241, (202) 634-1005.

**Notification procedure:** Address inquiries to the System Manager.

**Record access procedures:** Address inquiries to the System Manager.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Published materials, plus information volunteered by officials covered.

#### INTERIOR/EBP-1

**System name:** Travel Records -- Interior, BPA -- 1.

**System location:** Bonneville Power Administration, 1002 NE. Holaday Street, P.O. Box 3621, Portland, Oregon 97208.

**Categories of individuals covered by the system:** BPA employees who have traveled on official business or changed official duty stations, and other individuals authorized to travel at Government expense for BPA.

**Categories of records in the system:** Contains the traveler's itinerary, method of travel, travel expenses claimed and/or paid, receipts for expenditures claimed, administrative approvals, lodging certifications, authorizations for travel, Government transportation requests issued by traveler, and travel advance accounts including request cards.

**Authority for maintenance of the system:** 5 U.S.C. 5701-5709; Federal Property Management Regulations 101-7, Federal Travel Regulations, as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for the establishment of employee travel or change of station claims for reimbursement; (b) for the determination of available lodging rates whenever BPA employees conduct official travel; and (c) control of amounts of travel advances and repayment of those amounts provided. Disclosures outside the Department of the Interior may be made (1) to the Department of Treasury for reimbursement of travel expenses; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or

prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- travel itineraries and changes of station are maintained manually on standard forms in file folders; records of travel advances are maintained manually on standard card files; record of lodging locations and rates are on punchcard, magnetic tape, and computer printout. (2) Retrievability -- indexed by name of traveler, except lodging location and rates which are indexed by location. (3) Safeguards -- records are maintained in accordance with 43 CFR 2.51. (4) Disposal -- maintained at BPA headquarters for 4 years and at the records center for 3 years before being destroyed by shredding.

**System manager(s) and address:** Chief, Branch of Finance and Accounts, Bonneville Power Administration, P.O. Box 3621, Portland, Oregon 97208.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual travelers, supervisors, voucher preparation clerks.

#### INTERIOR/EBP-2

**System name:** Payroll Files -- Interior, BPA -- 2.

**System location:** Bonneville Power Administration, 1002 NE. Holaday Street, P.O. Box 3621, Portland, Oregon 97208.

**Categories of individuals covered by the system:** BPA annual and hourly employees.

**Categories of records in the system:** Pay, allowances, retirement, and leave records.

**Authority for maintenance of the system:** 5 U.S.C. 5101, et seq.; GAO Manual, 6 GAO 1, Authority and Responsibilities.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records are (a) to prepare schedule of net pay and record gross pay, leave, retirement, and payroll deductions; (b) to maintain payroll records for GAO and other audits; (c) to provide input for BPA cost accounting for budgeting systems; (d) to provide information for union negotiation processes, disclosures outside the Department of the Interior may be made (1) to transfer earnings and withholding data to State employment agencies as requested for unemployment claims; (2) to transfer earnings for withholding, data to Federal and State income tax authorities; (3) to transfer leave and retirement records to other Federal agencies when employees transfer; (4) to transfer retirement records to the Civil Service Commission for employees retiring, terminating, or transferring outside the Department; (5) to GAO when BPA requests Comptroller General decisions; (6) to the Department of the Treasury for issuance of checks and distribution of pay according to employee authorizations for savings and allotments and other authorized purposes; (7) to IRS for tax levies; (8) to Office of Federal Employee Compensation for employees injured on duty; (9) to State welfare agencies on written request regarding qualifications for food stamps; (10) to financial organizations for net pay and payroll savings for employees making deposits; (11) to unions of listings of union dues deductions to unions; (12) to the Department of the Treasury for issuance of checks to satisfy court orders authorizing garnishment under provisions of P.L. 93-647; (13) to the U. S. Department of Justice when related to litigation or anticipated litigation; (14) of transfer in the event it is indicated a violation or potential violation of a statute, regulation, rule, order or license, whether civil, criminal, or regulatory in nature, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (15) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- the master file information for processing pay, the payroll register, leave, and payroll history are stored on microfiche and computer printouts. In addition, standard forms and other authorized forms are maintained which cover personnel actions, income tax withholdings, health benefit deductions, insurance, bond, savings, union, and authorized deductions, retirement records, and pay history. Magnetic disk contains master information required to process each employee's pay. Magnetic tape containing denomination of bonds, amounts of net payroll checks, and payroll history is used as a device to transfer data to the Treasury Department. The bond and net check tape is destroyed after each payroll. Pay history is transferred to microfiche or hard copy via magnetic tape, and the tape containing each pay period's information is retained. (2) Retrievability -- data is filed by employee name and/or social security number. (3) Safeguards -- records are maintained in accordance with 43 CFR 2.51. (4) Retention and Disposal -- the various payroll records are retained in accordance with regulations which vary according to document.

**System manager(s) and address:** Chief, Branch of Finance and Accounts, Bonneville Power Administration, P.O. Box 3621, Portland, Oregon 97208.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him and supplying social security number is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester and supply his social security number. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual employee and Personnel Office.

#### INTERIOR/EBP- 3

**System name:** Audiometric Testing Forms -- Interior, BPA -- 3.

**System location:** Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Categories of individuals covered by the system:** BPA employees who have had a hearing test by BPA's Audiometric Technician.

**Categories of records in the system:** Test Form.

**Authority for maintenance of the system:** Act of August 8, 1946, as amended, 5 U.S.C. 7901; OMB Circular A-72 of June 18, 1965.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to advise employees that they have hearing deficiencies. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- filed in file folders. (2) Retrievability -- filed by organization, alphabetically within organization. (3) Safeguards -- records are maintained in accordance with 43 CFR 2.51. (4) Retention and Disposal -- forms destroyed 5 years after separation of employee.

**System manager(s) and address:** Safety Manager, Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Notification procedure:** System Manager. A written signed request stating the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Employee and certified Audiometric Technician.

#### INTERIOR/EBP- 4

**System name:** Automotive Accident Files -- Interior, BPA -- 4.

**System location:** Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Categories of individuals covered by the system:** Drivers involved in automotive accidents, employees and private drivers involved in accidents with employees.

**Categories of records in the system:** Records concerning automotive accidents, forms, statements, police reports, claims and supporting information, and pictures.

**Authority for maintenance of the system:** Federal Tort Claims Act, 28 U.S.C. 2671-2680; Military Personnel and Civilian Employees Claims Act, 31 U.S.C. 240-243.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to determine the classification of the accident, i.e. preventable, nonpreventable, or reportable incident; (b) to adjudicate tort claims; (c) to determine financial liability and disciplinary action. Disclosures outside the Department of the Interior may be made (1) to General Services Administration for reimbursement; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by employee name and by case number. (3) Safeguards -- records are maintained in accordance with 43 CFR 2.51. (4) Retention and Disposal -- maintained for 3 years, then transferred to GSA Federal Records Center for 3 years. Destroyed by shredding.

**System manager(s) and address:** Safety Manager, Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Notification procedure:** System Manager. A written signed request stating the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Accident investigators, individual employees, witnesses, and State or local police.

#### INTERIOR/EBP- 5

**System name:** Motor Vehicle Operator Identification Records -- Interior, BPA -- 5.

**System location:** (1) Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208. (2) Bonneville Power Administration, Portland Area Office, 919 NE. 19th Avenue, P.O. Box 3621, Portland Oregon 97208. (3) Bonneville Power Administration, Ross Complex, 5400 NE. Highway 99, P.O. Box 491, Vancouver, Washington 98660. (4) Bonneville Power Administration, Seattle Area Office, 415 First Avenue North, Room 250, Seattle, Washington 98109. (5) Bonneville Power Administration Spokane Area Office, Room 561, U.S. Court House, W. 920 Riverside Avenue, Spokane, Washington 99201. (6) Bonneville Power Administration, Walla Walla Area Office, West 101 Poplar, P.O. Box 1518, Walla Walla, Washington 99362.

**Categories of individuals covered by the system:** BPA employees holding Motor Vehicle Operator Identification Cards.

**Categories of records in the system:** Application form and Physical Fitness Inquiry.

**Authority for maintenance of the system:** Section 211j of the Federal Property and Administrative Services Act of 1949, as amended, 40 U.S.C., 491j.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to determine eligibility for motor vehicle operator identification cards. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- filed manually. (2) Retrievability -- alphabetically by employee name. (3) Safeguards -- records are maintained in accordance with 43 CFR 2.51. (4) Retention and Disposal -- Application form is filed in employee's official personnel file and Physical Fitness Inquiry is retained or destroyed at the discretion of the issuing officer.

**System manager(s) and address:** Safety Manager, Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Notification procedure:** System Manager. A written signed request stating the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** BPA employee and supervisors.

#### INTERIOR/EBP-6

**System name:** Industrial Accident Files and Employee Claims Files -- Interior, BPA -- 6.

**System location:** Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Categories of individuals covered by the system:** Employees, contractors, or private parties involved in serious accidents with BPA facilities or employees filing claims for lost or damaged personal property.

**Categories of records in the system:** Records concerning accidents involving serious injury, death, or which could have resulted in serious injury or death. Forms, statements, police reports, claims and supporting information, and pictures.

**Authority for maintenance of the system:** Federal Tort Claims Act, 28 U.S.C. 2671-2680; Military Personnel and Civilian Employees Claims Act, 31 U.S.C. 240-243; Federal Employees Compensation Act, 5 U.S.C. 8101-8193.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to analyze the facts and circumstances surrounding each accident for cause, (b) adjudication of tort and employee claims. Disclosures outside the Department of the Interior may be made (1) to another Federal agency, or a State or local government having partial or complete jurisdiction over the claim or related claims; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, state, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in

manual form in file folders. (2) Retrievability -- indexed by claimant's name and by case number. (3) Safeguards -- records are maintained in accordance with 43 CFR 2.51. (4) Retention and Disposal -- maintained for 3 years then transferred to GSA Federal Records Center for 4 years, and destroyed by shredding; except for fatalities which are retained indefinitely in Safety Office.

**System manager(s) and address:** Safety Manager, Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Notification procedure:** System Manager. A written signed request stating the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Accident investigators, individual employees, witnesses, and State or local police.

#### INTERIOR/EBP-7

**System name:** Safety Training Files -- Interior, BPA -- 7.

**System location:** Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Categories of individuals covered by the system:** BPA employees who have completed safety training courses offered by BPA.

**Categories of records in the system:** Alphabetical listing of employee names by organization code.

**Authority for maintenance of the system:** 5 U.S.C. 4101, et seq.; Executive Order 11348 (April 20, 1967).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to determine which employees have valid first aid cards; (b) to determine which employees hold certifications to access BPA facilities and (c) to determine which drivers have completed defensive driver courses. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- computer list and manual files. (2) Retrievability -- alphabetically by employee name within organization code. (3) Safeguards -- records are maintained in accordance with 43 CFR 2.51. (4) Retention and Disposal -- destroyed by shredding when new list is issued. Manual files are destroyed by shredding upon separation of employee.

**System manager(s) and address:** Safety Manager, Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Notification procedure:** Same as above. A written signed request stating the requester seeks information concerning records pertaining to him is required. See CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual employees who have completed BPA safety training courses, class attendance rosters, test papers, substation operators.

#### INTERIOR/EBP-8

**System name:** Plant Services History Files -- Interior, BPA -- 8.

**System location:** Office of the Chief, Branch of Plant Services - EJ, Bonneville Power Administration, U.S. Department of the Interior, 5400 NE, Highway 99, P.O. Box 491, Vancouver, Washington 98660. Services, Bonneville Power Administration.

**Categories of individuals covered by the system:** Individuals who have been or are employed by the Branch of Plant

**Categories of records in the system:** Contains records concerning labor performed by above defined individuals including type of work, rate, pay, and travel and per diem status, and for whom these services were performed.

**Authority for maintenance of the system:** Budget and Accounting Act of 1921, 31 U.S.C. 24; Budget and Accounting Act of 1950, as amended, 31 U.S.C. 65-66.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to provide a detailed record of costs incurred for labor against any plant services requested on a monthly basis, (b) to provide backup for charges for work performed for other Federal agencies under the cross-servicing agreements authorized by GSA Bulletin FPMR 100.G-23 and (c) to provide backup for charges against contractors and other vendors for corrective work performed by the Branch of Plant Services. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on magnetic tape. (2) Retrievability -- indexed by individual's position number and time period involved. (3) Safeguards -- records are maintained in accordance with 43 CFR 2.51. (4) Disposal -- tapes are erased after 10 years as authorized under BPA Records Disposal Schedule. (5) Officials having access to system -- BPA management, administrative, and accounting personnel.

**System manager(s) and address:** Chief, Branch of Plant Services - EJ, Bonneville Power Administration, U.S. Department of the Interior, 5400 N.E. Highway 99, P.O. Box 491, Vancouver, Washington 98660.

**Notification procedure:** System Manager. A written and signed request stating that the requester seeks information concerning records pertaining to him/her is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager. See 43 CFR 2.71.

**Record source categories:** Daily Time Reports and leave applications completed and signed by individuals defined in 'Category of Individuals'.

#### INTERIOR/EBP-9

**System name:** Plant Services Personal Accountability Property System -- Interior, BPA -- 9.

**System location:** Branch of Plant Services - EJ, Bonneville Power Administration, U.S. Department of the Interior, 5400 NE, Highway 99, P.O. Box 491, Vancouver, Washington 98660.

**Categories of individuals covered by the system:** BPA craftsmen and supervisors who are authorized to have tools and work equipment assigned to them.

**Categories of records in the system:** Contains records concerning the types, quantity, make and models, and value of equipment assigned to individuals and crews.

**Authority for maintenance of the system:** Federal Property and Administrative Services Act of 1949, Section 202(b), 40 U.S.C. 483(b).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to provide inventories to satisfy other FPMR requirements; (b) to maintain a record of location of emergency equipment; (c) to control equipment assignments authorized under

union contracts; (d) to provide management information necessary for the budgeting and allocation of equipment funds; and (e) to provide evidence of assignment, location, and value when government property is stolen. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- on magnetic disks. (2) Retrievability -- by position number, name, and BPA employee identification number. (3) Safeguards -- records are maintained in accordance with 43 CFR 2.51. (a) Reports do not include 'sensitive' information and (b) Special reports are personally handed to the requester after identification of a bona fide need. (4) Disposal -- tapes are erased after 10 years as authorized under BPA Records Disposal Schedule. (5) Officials having access to system -- BPA management, administrative, and accounting personnel.

**System manager(s) and address:** Chief, Branch of Plant Services - EJ, Bonneville Power Administration, U.S. Department of the Interior, 5400 NE, Highway 99, P.O. Box 491, Vancouver, Washington 98660.

**Notification procedure:** System Manager. A written and signed request stating that the requester seeks information concerning records pertaining to him/her is required. See 43 CFR 2.60.

**Record access procedures:** Requests for access for special reports not included in routine uses may be addressed to the System Manager. The requests must be in writing and signed by the requester. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager. See 43 CFR 2.71.

**Record source categories:** BPA employees who have authority to have tools and work equipment.

#### INTERIOR/EBP-10

**System name:** Land Records--Interior, BPA -- 10.

**System location:** (1) Bonneville Power Administration, Branch of Land, 1002 NE, Holladay Street, P.O. Box 3621, Portland, Oregon 97208. (2) Portland Area Office, Lloyd Plaza Building, 919 NE, 19th Avenue, Room 201, P.O. Box 3621, Portland, Oregon 97208. (3) Ross Complex, 5400 NE, Highway 99, P.O. Box 491, Vancouver, Washington 98660. (4) Spokane Area Office, U.S. Court House, West 920 Riverside Avenue, Room 561, Spokane, Washington 99201. (5) Walla Walla Area Office, West 101 Poplar, P.O. Box 1518, Walla Walla, Washington 99362.

**Categories of individuals covered by the system:** Individuals and/or companies from whom or to whom BPA has acquired or given interests in land.

**Categories of records in the system:** The records contain the individual's name, description of land tract, type of agreement, rights granted or received, and history of the transaction.

**Authority for maintenance of the system:** Bonneville Project Act of August 20, 1937; 16 U.S.C. 832a(c)(e) as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to answer questions regarding land rights which BPA has granted to individuals or land rights individuals have granted to BPA; and (b) as a data source used to update maps. Disclosures outside the Department of the Interior may be made (1) to other Federal agencies as questions arise concerning specific tracts of land; (2) to individuals, companies, or private organizations to answer questions concerning tracts of land; (3) to the U. S. Department of Justice when related to litigation or anticipated litigation; (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.



**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on computer punch cards and magnetic tape; maintained manually in file folders and card files; the land tract register is stored in a safe; computer records (punched cards) are stored in a cabinet. (2) Retrievability -- indexed by line identification, tract number, and contract number; cross referenced by name of landowner, grantee, or grantor. (3) Safeguards -- records are maintained in accordance with 43 CFR 2.51. (4) Disposal -- records are permanently retained; magnetic tape file is updated as needed and old tape erased.

**System manager(s) and address:** Chief, Branch of Land, Bonneville Power Administration, P.O. Box 3621, Portland, Oregon 97208.

**Notification procedure:** An individual may inquire as to whether or not the system contains a record pertaining to him by contacting the System Manager. See 43 CFR 2.63.

**Record access procedures:** Individuals who wish to gain access to their records should contact the System Manager. See 43 CFR 2.63.

**Contesting record procedures:** Individuals who wish to contest their records should contact the System Manager. See 43 CFR 2.71.

**Record source categories:** Information in this system comes from individual landowners, grantees, or grantors; BPA officials; and State and local authorities.

#### INTERIOR/EBP-11

**System name:** Accounts Receivable--Interior, BPA -- 11.

**System location:** Branch of Finance and Accounts, Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Categories of individuals covered by the system:** Individuals who are indebted to BPA.

**Categories of records in the system:** The records contain the individual's name, bill number, amount owed, and date of billing.

**Authority for maintenance of the system:** 31 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to assure collection of amounts due; (b) to provide data for accounting results; (c) to verify payment activity by individuals; and (d) to verify accuracy of accounting results. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when relate E 8 to litigation or anticipated litigation; (2) of information indicating E 8 violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained manually in file folders. (2) Retrievability -- records are maintained in accordance with 43 CFR 2.51. (4) Disposal -- records are transferred to Federal Records Center 2 years after file is closed and destroyed by shredding 4 years thereafter.

**System manager(s) and address:** Chief, Branch of Finance and Accounts, Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by contacting the System Manager. See 43 CFR 2.63.

**Record access procedures:** Individuals who wish to gain access to their records should contact the System Manager. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Information in this system comes from the individual to whom it applies, BPA officials, and law enforcement officials.

#### INTERIOR/EBP-12

**System name:** Report of Inventions--Interior, BPA -- 12.

**System location:** Branch of Substation Design, Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Categories of individuals covered by the system:** BPA employees who have produced an invention.

**Categories of records in the system:** The records contain the individual's name, address, title, job description of the invention, and copies of correspondence between the inventor and the Office of the Solicitor.

**Authority for maintenance of the system:** 43 CFR 6.4-6.6, Executive Order 10096 (January 23, 1950), Executive Order 10930 (March 24, 1961), 43 CFR 6.4-6.6.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to determine employee's rights to license invention; (b) to determine status of invention in process of securing patent. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained manually in file folder. (2) Retrievability -- indexed by individual name and title of invention. (3) Safeguards -- records are maintained in accordance with 43 CFR 2.51. (4) Disposal -- records are transferred to Federal Record Center after 10 years and retained indefinitely.

**System manager(s) and address:** Chief, Branch of Substation Design, Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Notification procedure:** An individual may inquire as to whether or not the system contains a record pertaining to him by contacting the System Manager. See 43 CFR 2.60.

**Record access procedures:** Individuals who wish to gain access to their records should contact the System Manager. See 43 CFR 2.63.

**Contesting record procedures:** Individuals who wish to contest their records should contact the System Manager. See 43 CFR 2.71.

**Record source categories:** Information in this system comes from the individual inventor.

#### INTERIOR/EBP-13

**System name:** Parking Assignment Records--Interior, BPA -- 13.

**System location:** Bonneville Power Administration, Branch of Administrative Services, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Categories of individuals covered by the system:** Individuals requesting a parking permit.

**Categories of records in the system:** Name of individual, work telephone number, service computation date, and individual's work location.

**Authority for maintenance of the system:** 40 U.S.C. 471, et seq.; FMC 74-1; FPMR Temporary Regulation D-43.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to assign parking permits and assist in locating car pools. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual file. (2) Retrievability -- indexed by name of individual and parking stall number. (3) Safeguards -- locked file cabinet within locked room. (4) Disposal -- records destroyed every 6 months when new file is created.

**System manager(s) and address:** Chief, Branch of Administrative Services, Bonneville Power Administration, P.O. Box 3621, Portland, Oregon 97208.

**Notification procedure:** Inquiries regarding the existence of record should be addressed to the System Manager. A written, signed request stating that the requestor seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requestor. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Data furnished by the individual.

#### INTERIOR/EBP-14

**System name:** Security Clearance File--Interior, BPA -- 14.

**System location:** Branch of Personnel Management, Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Categories of individuals covered by the system:** Occupants of critical-sensitive and non-critical sensitive positions.

**Categories of records in the system:** Contains notice of level of security clearance granted to the individual or notice of full-field report as well as standard form 86 and ERDA 1(Parts 1 and 2) supplied by individual, as appropriate.

**Authority for maintenance of the system:** Executive Order 10450.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to document clearances granted to individuals. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- file maintained in individual folders. (2) Retrievability -- folders identified by employee name. (3) Safeguards -- folders contained in locked cabinet. (4) Retention and Disposal -- destroyed when clearance requirement no longer exists or when employee separates.

**System manager(s) and address:** Chief, Branch of Personnel Management, Bonneville Power Administration, 1002 NE. Holladay Street, P.O. Box 3621, Portland, Oregon 97208.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requestor seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requestor. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Information supplied by individual, the U.S. Civil Service Commission, and the Energy Research and Development Administration.

#### INTERIOR/EGS-1

**System name:** Payroll, Attendance and Leave Records -- Interior, GS-1. (2) Input documents supplied by all facilities of the U.S. Geological Survey. (See Appendix for addresses.)

**Categories of individuals covered by the system:** All Geological Survey Employees.

**Categories of records in the system:** Name, social security number, grade, step and salary; organization, retirement or FICA data as applicable; Federal, State and local tax deductions, as appropriate; IRS tax lien data; savings bond and charity deductions; regular and optional Government life insurance deductions; health insurance deduction and plan or code; cash award data, jury duty data; military leave data; pay differentials; union dues deductions;

allotments, by type and amount; financial institution code and employee account number; leave status and leave data of all types; time and attendance records; cost of living allowances; mailing address; co-owner and/or beneficiary of bonds; marital status and number of dependents; and 'Notification of Personnel Action'. The individual records listed are included only as pertinent to the individual employees.

**Authority for maintenance of the system:** 5 U.S.C. 5101, et seq.; Title 6, GAO Policy and Procedure Manual; 31 U.S.C. 66(a); Sections 112(a) and 113 of the Budget and Accounting Procedures Act of 1950.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to (a) to issue pay to employees, and (b) distribute pay according to the directions of employees for allotments, financial institutions, savings bonds, charitable institutions and other purposes authorized. Disclosures outside the Department of the Interior may be made to: (1) Report and send tax withholdings to the Internal Revenue Service and state and local taxing authorities; (2) report and send FICA deductions to the Social Security Administration; (3) report and send withholdings for health and life insurance to the Civil Service Commission and authorized insurance carriers; (4) report and send contributions to agents of charitable institutions; (5) report and send deductions for dues to labor unions; (6) send W-2 statements annually to taxing authorities; (7) to the U. S. Department of Justice when related to litigation or anticipated litigation; (8) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (9) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (10) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (11) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- both machine readable and manual (2) Retrievability -- by name or social security number of employee. (3) Safeguards -- storage equipment and rooms locked when not in use. Access is restricted to authorized personnel only. Computer and payroll personnel are instructed as to the need for security and confidentiality. (4) Disposal -- retained on-site until GAO audit, then destroyed or transferred to Federal Records Center, as appropriate according to GAO fiscal records program, or GSA General Records Schedules.

**System manager(s) and address:** Chief, Branch of Financial Management, Geological Survey, National Center, Reston, Virginia 22092.

**Notification procedure:** System Manager. A written and signed request is required from anyone seeking information concerning him/her.

**Record access procedures:** Requests for access to records should be addressed to the System Manager and must meet the requirements of 43 CFR 2.63.

**Contesting record procedures:** Petitions for amendment should also be addressed to the System Manager and meet the requirements of 43 CFR 2.71.

**Record source categories:** Subject individuals, supervisors, timekeepers and personnel records.

#### INTERIOR/EGS-2

**System name:** Authorized Cashier, Alternate Cashier, Certifying Officer and Cashier and Collection Officers-- Interior and GS-2.

**System location:** Geological Survey, National Center, Reston, Virginia 22092.

**Categories of individuals covered by the system:** Authorized Cashiers, Alternate Cashiers, Certifying Officers and Cashiers - Collection Officers assigned to perform these functions in the conduct of Survey financial business.

**Categories of records in the system:** Up-to-date records including name and address showing authorizations for certain persons to perform the functions of cashier, alternate cashier, certifying officer, and cashier - collection officer.

Authority for maintenance of the system: 31 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to maintain records of authorizations for individuals to perform the functions of cashiers, alternate cashier, certifying officer and cashier - collection officers. Disclosures outside the Department of the Interior may be made to: (1) The U. S. Treasury to maintain Treasury records on these functions; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (6) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- by name of individual. (3) Safeguards -- access limited to those personnel who have requirement for access. (4) Disposal -- retained and disposed of according to GSA General Records Schedules.

**System manager(s) and address:** Chief, Branch of Financial Management, Geological Survey, National Center, Reston, Virginia 22092.

**Notification procedure:** A written and signed request from the requester seeking information about him or herself is required and is submitted to the System Manager.

**Record access procedures:** Requests for access should be addressed to the System Manager and meet the requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individuals and supervisors.

#### INTERIOR/EGS-3

**System name:** Accounts Receivable -- Interior, GS-3.

**System location:** Geological Survey, National Center, Reston, Virginia 22092.

**Categories of individuals covered by the system:** Debtors owing money to the Geological Survey, including employees, former employees, business firms, institutions and private individuals. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Name and address, amount owed, and service, overpayment or other accounting; invoice number.

**Authority for maintenance of the system:** 5 U.S.C. 5701-09; FPMR 101-7; Treasury Fiscal Requirements Manual.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to bill persons and firms owing money to the Geological Survey. Disclosures outside the Department of the Interior may be made to: (1) The U. S. Civil Service Commission for reporting purposes; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (5) to a Federal agency which has requested information

relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (6) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- manual form in file folders. (2) Retrievability -- by individual name. (3) Safeguards -- handling by authorized personnel only. (4) Disposal -- retained until payment received and account audited, then disposed of in accordance with Records Control Schedule.

**System manager(s) and address:** Chief, Branch of Financial Management, Geological Survey, National Center, Reston, Virginia 22092.

**Notification procedure:** A written and signed request from the requester seeking information about him/her is required and is submitted to the System Manager.

**Record access procedures:** Requests for access should be addressed to the System Manager and must meet the requirements of 43 CFR 2.63.

**Contesting record procedures:** Petitions for amendment should be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Subject individual, contracting officer, accounting records.

#### INTERIOR/EGS-5

**System name:** Contract Files -- Interior, GS-5.

**System location:** Branch of Procurement and Contracts, Geological Survey, National Center, Reston, Virginia, CA-13, CO-15 and VA-6.

**Categories of individuals covered by the system:** Individuals who have contracts with the Geological Survey. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Record of contract information, from inception of requirement, through contract award, contract administration and completion of the contract. Copies of contractor and technical and cost proposals, documentation pertaining to the award, contract and miscellaneous correspondence.

**Authority for maintenance of the system:** 40 U.S.C. 481.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is in awarding and administering contracts through their completion. Disclosure outside the Department of the Interior may be made: (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- by name of individual contractor and by contract number. (3) Safeguards -- proprietary technical and cost information maintained separately in locked cabinet. (4) Disposal -- retained and disposed of according to GSA General Records Schedule.

**System manager(s) and address:** Assistant Chief, Branch of Procurement and Contracts, Geological Survey, Department of the Interior, National Center, Reston, VA 22092.

**Notification procedure:** A written and signed request stating that the requester seeks information concerning records pertaining to him must be addressed to the System Manager. See 43 CFR 2.60.

**Record access procedures:** Requests for access shall be addressed to the System Manager, signed by the requester and meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and meet the requirements of 43 CFR 2.71.

**Record source categories:** Information comes from the individual contractor.

#### INTERIOR/EGS- 6

**System name:** RELOS Records -- Interior, GS--6.

**System location:** Branch of General Services, Geological Survey, National Center, Reston, Virginia 22092.

**Categories of individuals covered by the system:** Survey employees in the Washington Metropolitan Area.

**Categories of records in the system:** Names of individual employees, social security numbers, office telephones, location codes, room numbers, mail stop numbers, organization codes, parking permit numbers, carpool numbers, names of individuals who qualify for preferential parking due to handicap or position, makes of cars and license numbers, home addresses and telephone numbers.

**Authority for maintenance of the system:** 5 U.S.C. 3101, 40 U.S.C. 483(b).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of these records are: (a) To prepare the bureau telephone directories; (b) to issue parking permits; (c) to make carpool assignments; (d) to prepare space occupancy reports. Disclosure outside the Department of the Interior may be made: (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual and computerized form. (2) Retrievability -- by name or social security number. (3) Safeguards -- records kept in locked cabinets for use of Branch of General Services personnel only. (4) Disposal -- retained until obsolete, then destroyed.

**System manager(s) and address:** Chief, Branch of General Services, Geological Survey, National Center, Reston, Virginia 22092.

**Notification procedure:** Same as above. See 43 CFR 2.60.

**Record access procedures:** Same as above. See 43 CFR 2.63.

**Contesting record procedures:** Same as above. See 43 CFR 2.71.

**Record source categories:** Information came from individual.

#### INTERIOR/EGS- 7

**System name:** Personal Property Accountability Records -- Interior--7.

**System location:** (1) Branch of General Services, Geological Survey, National Center, Reston, Virginia 22092. (2) Administrative offices in all or substantially all field locations. (See Appendix for addresses). (3) Facilities of Geological Survey designated VA-6, CO-15 and CA-13 in Appendix.

**Categories of individuals covered by the system:** Survey employees who are accountable for government owned controlled property.

**Categories of records in the system:** Records of assignment of an internal identification number and acknowledgement of receipt by employees. Records of transfers to other accountable employees. Inventory records containing employee social security numbers and duty stations.

**Authority for maintenance of the system:** 40 U.S.C. 483(b).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to: (a) Maintain control over bureau owned and controlled property; (b) to maintain up-to-date inventory of the property and to record accountability for the property. Disclosure outside the Department of the Interior may be made: (1) To the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the Statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are both manual and computerized. (2) Retrievability -- by employee social security number. (3) Safeguards -- access by authorized employees only. (4) Disposal -- when obsolete.

**System manager(s) and address:** Chief, Branch of General Services, Geological Survey, National Center, Reston, Virginia 22092.

**Notification procedure:** Same as above or to individual installations. See 43 CFR 2.60.

**Record access procedures:** Same as above or to individual installations for access. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual employees.

#### INTERIOR/EGS- 8

**System name:** Accident Reports and Investigations -- Interior, GS--8.

**System location:** Branch of General Services, Geological Survey, National Center, Reston, Virginia 22092.

**Categories of individuals covered by the system:** All personnel of the Geological Survey who have had on-the-job accidents.

**Categories of records in the system:** Form DI-134, Accident Reports, correspondence, historical information and corrective action reviews relating to accidents which have occurred on-the-job.

**Authority for maintenance of the system:** 5 U.S.C. 7902.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are: (a) To maintain records of accidents in which Survey employees have been involved; (b) to report statistics and trends of the Departments; (c) to monitor and report progress of the safety program in the Survey, using historical data and records of actions taken. Disclosure outside the Department of the Interior may be made: (1) To the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- by name of individual. (3) Safeguards -- kept in locked cabinet. Access limited to authorized personnel. (4) Disposal -- retained on-site.

**System manager(s) and address:** Survey Safety Management Officer, Branch of General Services, Geological Survey, National Center, Reston, Virginia 22092.

**Notification procedure:** A written and signed request stating that the requester seeks information concerning records pertaining to him must be addressed to the System Manager. See 43 CFR 2.60.

**Record access procedures:** A request for access must be in writing, signed by the requester, submitted to the System Manager, and meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and meet the requirements of 43 CFR 2.71.

**Record source categories:** Accident victims, witnesses, supervisors and investigators.

#### INTERIOR/EGS-9

**System name:** National Research Council Grants Program -- Interior, GS-9.

**System location:** (1) Office of the Chief Geologist, Geologic Division, Reston, Virginia 22092. (2) National Research Council, 2101 Constitution Avenue, N.W., Washington, D.C. 20418.

**Categories of individuals covered by the system:** Contains name, grade, title, organization, and place of birth of person being granted access. Also file of SF 171 and college transcripts for each individual.

**Authority for maintenance of the system:** 43 U.S.C. 31, et seq., 5 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to evaluate individuals being considered for grants made through the National Research Council. Disclosures outside the Department of the Interior may be made: (1) To the National Research Council for evaluation purposes; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the Statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (6) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- manual system maintained in cardex and legal files showing data on Research Associates assigned to U.S. Geological Survey, Geologic Division under this program. (2) Retrievability -- indexed by name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51. (4) Retention and Disposal -- records disposed of periodically as prescribed under records control system.

**System manager(s) and address:** Deputy Chief Geologist, U.S. Geological Survey, National Center Mail Stop 911, Reston, Virginia 22092, Phone (703) 860-6531.

**Systems exempted from certain provisions of the act:** Under the specific exemption authority of 5 U.S.C. 552a(k)(5), the Department of the Interior has adopted a regulation (43 CFR 2.79(c)) which exempts this system from the provisions of 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H) and (I) and (f) to the extent that the system consists of investigatory material compiled solely for the purpose of determining suitability, eligibility or qualifications for federal civilian employment. The reasons for adoption of this regulation are set out at 40 FR 37217 (August 26, 1975), and (I), and (f).

#### INTERIOR/EGS-10

**System name:** Lunar Sample -- Interior, GS-10.

**System location:** Office of Environmental Geology, Branch of Astrogeology, Geologic Division, Reston, Virginia 22092.

**Categories of individuals covered by the system:** Records of Principal Investigators, NASA Lunar sample program, Houston, and hand receipts on Lunar Samples obtained from NASA for Scientific Analysis. Contains the name and location of individual who used lunar sample.

**Authority for maintenance of the system:** 43 U.S.C. 31, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to maintain up-to-date and accurate documentation regarding which employees have custody of lunar sample material lent by NASA. Disclosure outside the Department of the Interior may be made: (1) To the National Aeronautics and Space Administration for property accounting purposes; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (6) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- manual system. (2) Retrievability -- maintained to identify individual using Lunar Samples -- Date received and returned to NASA -- so that samples will not be lost and can be located anytime. (3) Safeguards -- laboratories where samples are stored are locked at night. Only principal investigators have access to records. (4) Retention and Disposal -- records disposed of periodically in accordance with records control procedures.

**System manager(s) and address:** Project Chief, Branch of Astrogeology, Office of Environmental Geology, U.S. Geological Survey, Room 3C400, Mail Stop 929, Reston, Virginia 22092, Phone (703) 860-6787.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is being maintained.

#### INTERIOR/EGS-11

**System name:** Security -- Interior, GS-11.

**System location:** (1) Office of the Chief Geologist, Geologic Division, Reston, Virginia 22092. (2) Central and Western Regional Offices of the Geologic Division. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Record of Security Clearance, for Division personnel; contains name, grade, organization and place and date of birth and type of security clearance of person being granted access.

**Authority for maintenance of the system:** Executive Order 10501.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to keep current records on security clearances in the Geologic Division. Disclosure outside the Department of the Interior may be made: (1) To the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other

benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- manual systems maintained in locked cardex and legal size files. (2) Retrievability -- indexed by individual name. (3) Safeguards -- maintained with security meeting the requirements of 43 CFR 2.51. (4) Retention and Disposal -- records on former employees disposed under prescribed procedures.

**System manager(s) and address:** Deputy Chief Geologist, U.S. Geological Survey, National Center, Mail Stop 911, Reston, Virginia 22092 Phone (703) 860-6531.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/EGS-12

**System name:** Project Descriptions and Work Plans and Accomplishments -- Interior, GS-12.

**System location:** Budget and Program Office, Office of the Chief Geologist, U.S. Geological Survey, Reston, Virginia 22091.

**Categories of individuals covered by the system:** Scientists who are in charge of one or more projects.

**Categories of records in the system:** The Project Description describes the objectives, location, and justification of the project. The Work Plans and Accomplishments is a yearly accountability of each project's scientific progress during the past year and a work plan for the coming year.

**Authority for maintenance of the system:** 43 U.S.C. 31, 48, 49.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are: (a) To track and account for the progress of various projects and (b) to develop a work plan for the next year. Disclosure outside the Department of the Interior may be made: (1) To the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by project number with a crosswalk of individual in charge of project. (3) Safeguards -- all project files are maintained by a Program Specialist. (4) Disposal -- all project files are kept until the final report is published.

**System manager(s) and address:** Assistant Deputy Chief Geologist, Budget and Program Office, Office of the Chief Geologist, Reston, Virginia 22091.

**Notification procedure:** System Manager. See 43 CFR 2.60.

**Record access procedures:** System Manager. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** The information comes from the individuals who are in charge of one or more projects.

#### INTERIOR/EGS-13

**System name:** Manuscript Processing -- Interior, GS-13.

**System location:** (1) Office of Scientific Publications, Geologic Division, Reston, Virginia 22092. (2) Central and Western Regional Offices of the Geologic Division. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Geologic Division authors of Geo-Science publications.

**Categories of records in the system:** Contains record by author or title on publication plans, status and location of all manuscript maps and reports in preparation or published by Geologic Division employees.

**Authority for maintenance of the system:** 43 U.S.C. 31, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to maintain knowledge of and track publication plans, status of manuscript maps and reports in preparation being entered by Geologic Division employees. Disclosure outside the Department of the Interior may be made: (1) To the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form on cards. (2) Retrievability -- indexed by author and Geographic area. (3) Safeguards -- none required. (4) Disposal -- indefinite retention.

**System manager(s) and address:** Deputy Chief, Office of Scientific Publications, Geologic Division, Reston, Virginia 22092.

**Notification procedure:** Same as above. See 43 CFR 2.60.

**Record access procedures:** Same as above. See 43 CFR 2.63.

**Contesting record procedures:** Same as above. See 43 CFR 2.71.

**Record source categories:** Authors.

#### INTERIOR/EGS-14

**System name:** Travel Files -- Interior, GS-14.

**System location:** Geological Survey, National Center, Reston, Virginia 22092.

**Categories of individuals covered by the system:** Employees of the Geological Survey.

**Categories of records in the system:** Names, addresses, social security numbers; destination; itineraries; modes and purposes of travel; dates; expenses including advances; amounts claimed and reimbursed; travel orders; vouchers; receipts and passport record cards.

**Authority for maintenance of the system:** 5 U.S.C., 5701, 31 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to: (a) Account for travel advances; (b) compute vouchers to determine amounts claimed and reimbursed; (c) account for travel orders; maintaining records of modes and purposes of travel and itineraries; (d) maintain records of passports. Disclosure outside the Department of the Interior may be made to: (1) The U. S. Treasury Department for payments; (2) the U. S. Department of State for passports; (3) to the U. S. Department of Justice when related to litigation or anticipated litigation; (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order

or license; (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (6) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (7) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- manual and machine readable. (2) Retrievability -- filed by name, social security number or travel order number. (3) Safeguards -- storage facilities are in secured premises with access limited to personnel whose official duties require access. (4) Disposal -- retained according to GSA Federal Travel Regulations, and disposed of according to Records Control Schedule and GSA General Records Schedules.

**System manager(s) and address:** Chief, Branch of Financial Management, Geological Survey, National Center, Reston, Virginia 22092.

**Notification procedure:** System Manager. A written and signed request is required from anyone seeking information concerning him or herself.

**Record access procedures:** Requests for access should be addressed to the System Manager and meet the requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Subject individuals, supervisors and standard finance office references.

#### INTERIOR/EGS-15

**System name:** Cartographic Information Customer Records -- Interior, GS-15.

**System location:** (1) National Cartographic Information Center, Topographic Division, Reston, Virginia 22092. (2) U.S. Geological Survey Field Offices listed in the Appendix as CA-12, CO-11, MO-5 and SD-5.

**Categories of individuals covered by the system:** Individuals who have requested Cartographic Information directly from, or whose requests have been forwarded to the National Cartographic Information Center or its sponsored field centers.

**Categories of records in the system:** Contains name, address, customer's inquiry, response to inquiry and appropriate accounting entries.

**Authority for maintenance of the system:** (1) Executive Order 3206. (2) OMB Circular A-16. (3) 31 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is for reference by Survey and Survey contract employees in processing customer inquiries, orders, and complaints. Disclosure outside the Department of the Interior may be made: (1) To the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders, correspondence recorded on microfilm, and key information recorded on magnetic tape in some instances; (2) Retrievability -- indexed by name of inquirer, cross indexed chronologically; (3) Safeguards -- maintained in GS areas occupied by GS personnel during working hours with building locked and/or guarded during off hours; (4) Disposal -- original hard copy destroyed after three years or sooner if recorded on microfilm. Indexes and microfilm maintained at least three years (longer if useful to operations or if active).

**System manager(s) and address:** Chief, National Cartographic Information Center, Topographic Division, GS, Reston, Virginia 22092.

**Notification procedure:** System Manager or installation which is believed to have the requested record. Installations will only provide information on records held locally. A written and signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** Same as above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Customers on whom record is maintained and GS or GS contract information researchers.

#### INTERIOR/EGS-16

**System name:** Office of Minerals Exploration (OME) Financial Assistance Applications -- Interior, GS-16.

**System location:** Office of Minerals Exploration, U.S. Geological Survey, 953 National Center, Reston, Virginia 22092.

**Categories of individuals covered by the system:** Applicants for financial assistance to explore mineral deposits.

**Categories of records in the system:** Information furnished by the applicant in support of a loan application including financial eligibility, rights in land to be explored, operating experience and background to conduct the proposed exploration work.

**Authority for maintenance of the system:** Public Law 85-701, 30 U.S.C. 642(e).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of these records is to evaluate applications for loans to conduct exploration projects. Disclosure outside the Department of the Interior may be made: (1) To the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in file folders in metal filing cabinets. (2) Retrievability -- indexed by name of individual applicant and by docket number assigned to each application. (3) Retention and Disposal -- complete file is retained until decision is reached on denial or approval. If denied or after period of contract obligation for royalty payments to the Government has expired -- a period of ten years or more -- file should be stripped of non technical material and placed in permanent storage. Discarded material is destroyed.

**System manager(s) and address:** Chief, Office of Minerals Exploration, Geological Survey, U.S. Department of the Interior, 953 National Center, Reston, Virginia 22092.

**Notification procedure:** Same as the above. See 43 CFR 2.60.

**Record access procedures:** Same as the above. See 43 CFR 2.63.

**Contesting record procedures:** Same as the above. See 43 CFR 2.71.

**Record source categories:** Applicant on whom the file is maintained.

#### INTERIOR/EGS-17

**System name:** Management Information System, Publications Division -- Interior, GS-17.

**System location:** U.S. Geological Survey, National Center, Mail Stop 341, 12201 Sunrise Valley Drive, Reston, Virginia 22092.

**Categories of individuals covered by the system:** Publications Division employees; GS professionals (geologists, hydrologists, etc.) who conduct research and investigation for which results are published in GS reports.

**Categories of records in the system:** Contains records concerning production planning, scheduling, costs, manpower utilization, capacity.

**Authority for maintenance of the system:** 5 U.S.C. 3101, 43 U.S.C. 31, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records is: (a) To control and manage publication production; (b) to accumulate costs for the purpose of billing Geological Survey Divisions. Disclosure outside the Department of the Interior may be made for: (1) To the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in punch card and manual form (2) Retrievability -- indexed by pertinent GS division, GS reports, phase of production. (3) Safeguards -- none required. (4) Disposal -- routinely disposed of as updated information is generated. Annual summaries are maintained indefinitely.

**System manager(s) and address:** Assistant Chief, Publications Division (Management and Administration), USGS National Center, MS0341, 12201 Sunrise Valley Drive, Reston, Va. 22092.

**Notification procedure:** Same as above. See 43 CFR 2.60.

**Record access procedures:** Same as above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/EGS-18

**System name:** Computer Services Users -- Interior, GS--18.

**System location:** U.S. Geological Survey, Computer Center Division, National Center, Mail Stop 801, Reston, Virginia 22092.

**Categories of individuals covered by the system:** Users of Computer Services.

**Categories of records in the system:** Name, computer user number and work location.

**Authority for maintenance of the system:** 31 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is: (a) To bill computer users; (b) to mail information to computer users. Disclosure outside the Department of the Interior may be made: (1) To the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are maintained on either punched cards or magnetic tape. (2) Retrievability -- by individual user's name. (3) Safeguards -- maintained with

safeguards meeting the requirements of 43 CFR 2.51. (4) Retention and Disposal -- records are periodically updated and obsolete records are deleted from magnetic tape or punched cards are destroyed.

**System manager(s) and address:** Chief, Computer Center Division, U.S. Geological Survey, Mail Stop 801, National Center, Reston, Virginia 22092.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual users of computer services.

#### INTERIOR/EGS-20

**System name:** Photo File System -- Interior, GS--20.

**System location:** (1) Publications Division, U.S. Geological Survey, National Center, Stop 303, Reston, Virginia 22092. (2) Survey facilities numbered GA-8 and CO-2 in Appendix.

**Categories of individuals covered by the system:** U.S.G.S. employees.

**Categories of records in the system:** Photographs.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101, 43 U.S.C. 1467.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to maintain photographs of USGS top level employees. Disclosure outside the Department of the Interior may be made: (1) To the public in presentations and publications; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or licensee; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- PIC cards 5 x 8. (2) Retrievability -- indexed by name. (3) Safeguards -- maintained in accordance with 43 CFR 2.51. (4) Disposal -- records maintained indefinitely.

**System manager(s) and address:** Chief, Branch of Visual Services, Publications Division, Mail Stop 0303, National Center.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Photographs of individuals employed by the U.S.G.S.

#### INTERIOR/EGS-21

**System name:** Mineral Lease and Royalty Accounting Files -- Interior, GS--21.

**System location:** (1) U.S. Geological Survey, Conservation Division, Reston, Virginia 22092. (2) U.S. Geological Survey Field Offices listed in the Appendix as AK-3, AZ-4, CA-1, CA-5, CA-9, CA-16, CA-18, CO-3, CO-4, CO-16, CO-19, DC-2, ID-3, LA-3, LA-5, LA-6, LA-7, MS-4, MO-1, MT-2, NV-4, NM-2, NM-3, NM-4, NM-5, NM-6, OK-1, OK-2, OK-4, TX-6, UT-5, WA-6, WY-2, WY-4, WY-6, WY-7.



**Categories of individuals covered by the system:** Lease or Permit Holders, and individuals who have requested statistical books. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Lease contractual terms, production, royalty, reserve and related information.

**Authority for maintenance of the system:** Mineral Leasing Act of 1920, as amended, 30 U.S.C. 22, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are: (a) To manage mineral leases on public lands for which the Conservation Division is responsible; (b) to supervise the leases and permits; (c) to keep records of royalty accounts; (d) to control revenues; (e) to gather statistical data for planning and managing the mineral leasing program. Disclosure outside the Department of the Interior may be made: (1) To the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders, tab runs and information recorded on magnetic tape. (2) Retrievability -- indexed by lease number. (3) Safeguards -- maintained in GS areas occupied by GS personnel during working hours with building locked and/or guarded during off hours. (4) Disposal -- destroyed in accordance with the bureau's records disposal authority.

**System manager(s) and address:** Chief, Conservation Division, GS, Reston, Virginia 22092.

**Notification procedure:** System Manager or installation which is believed to have the requested record. Installations will only provide information on records held locally.

**Record access procedures:** Same as above.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Lessees, permittees and individuals on whom records are maintained.

#### INTERIOR/EGS-22

**System name:** Correspondence - Interior, GS -- 22.

**System location:** (1) Publications Division, U.S. Geological Survey, National Center, Mail stop No.'s 301, 303, 321, 325, 326, 328, 329, 330, & 341, 12201 Sunrise Valley Drive, Reston, VA 09222. (2) Geological Survey facilities numbered AK-1 & 10; CA-4, 8, & 17; CO-1, 2, 7, 8, & 13; DC-1; KY-3; TX-4; UT-3; VA 1 & 9; WA-3 in Appendix.

**Categories of individuals covered by the system:** General public, members of educational institutions, business firms, members of Congress, other government agencies, and those from offices within the agency and Department of the Interior.

**Categories of records in the system:** Correspondence with and publications purchase orders by those listed above.

**Authority for maintenance of the system:** 5 U.S.C. 301, 31 U.S.C. 66a, 43 U.S.C. 41-45, 1467.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is by the Publication Division to maintain records of cor-

respondence relating to policy and day-to-day activities of the Division. Disclosure outside the Department of the Interior may be made: (1) To the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by name. (3) Safeguards -- maintained in accordance with requirements of 43 CFR 2.51 for manual record requirements. (4) Disposal -- varies from retaining material for as much as 5 years to destroying on an ongoing basis.

**System manager(s) and address:** Assistant Chief, Publications Division (Management & Administration), Geological Survey, National Center, MS 0341, 12201 Sunrise Valley Drive, Reston, VA 09222.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** System includes correspondence with individuals on variety of subjects including Accounting, Meetings, Organization & Management, Training, Procurement, and Personnel related matters.

#### INTERIOR/EGS-23

**System name:** Personnel Investigations Records -- Interior, GS--23.

**System location:** Security Office, Branch of General Services, Administrative Division, USGS National Center, 12201 Sunrise Valley Drive, Reston, Virginia 22092.

**Categories of individuals covered by the system:** (1) Current USGS employees who (a) have been granted access to classified information; (b) are selected applicants considered for access to classified information; (c) are selected applicants found unsuitable for access to classified information because unfavorable information was developed during the conduct of their security investigations; (d) are selected employees fulfilling sensitive or critical-sensitive positions not requiring access to classified information; and (e) are selected employees fulfilling non-sensitive positions whose employment suitability investigation disclosed unfavorable or questionable information. (2) Former USGS employees who (a) had been granted access to classified information, and (b) unfavorable or questionable information was disclosed as a result of a security or employment suitability investigation.

**Categories of records in the system:** These records contain investigative information regarding an individual's character, conduct, and behavior in the community where he or she lives or lived; arrests and convictions for any violations against the law; reports of interviews with present and former supervisors, co-workers, associates, educators, etc.; reports about the qualifications of an individual for a specific position; reports of inquiries with or from law enforcement agencies, employers, and educational institutions attended; foreign affiliations which may affect his or her loyalty to the United States; and other information developed from the above.

**Authority for maintenance of the system:** Executive Order 10450, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The contents of these records and files may be disclosed and used as follows: (1) To designated officials, officers, and employees of the USGS, DOI, CSC, ERDA, CIA, FBI, and all other agencies and departments of the Federal Government who in the performance of their duties have an interest in the individual for employment purposes, including a security clearance or access determination, and a need to evaluate qualifications, suitability, and loyalty to the United States Government. (2) To all law enforcement agencies, whether Federal, State, or local, who are charged with the responsibility of investigating or prosecuting any violation or potential violation of the law, whether civil, criminal, or regulatory in nature, and whether arising by statute, or by regulation, rule, or order issued pursuant thereto.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage and Safeguards -- All investigative records are maintained in file folders stored in Class 5 security containers having manipulation resistant combination locks. The card index for this system of records is contained in a metal cabinet with a secure key locking device; the key is secured in a Class 5 security container. All containers and cabinets are further secured in a windowless room having one doorway which is secured by a key locking device. Both the key locking devices and combinations to the Class 5 security containers are under stringent security controls. (2) Retrievability -- All records are indexed by surname in alphabetical order. (3) Retention and Disposal -- (a) The CSC investigative files are returned to that agency when the subject of the investigation terminates employment. (b) All information supplementing the above CSC investigative files, originated by the USGS, is retained for five (5) years following termination of employment and is then destroyed. (c) The card index to this system of records which reflects a summary of information is retained for five (5) additional years following termination of employment and is then destroyed.

**System manager(s) and address:** Security Officer/Alternate Security Officer, USGS, 12201 Sunrise Valley Drive, Reston, Virginia 22092.

**Notification procedure:** Same as above. Written inquiries are required and must include the following information in order to positively identify the individual whose records are requested: (1) full name, (2) date of birth, (3) place of birth, (4) any available information regarding the type of record requested.

**Record access procedures:** An individual can obtain information on the procedures for gaining access to and contesting the records from the above System Manager.

**Contesting record procedures:** Same as above.

**Record source categories:** Information contained in this system was obtained from the following categories of sources: (1) Applications and other personnel and security forms furnished by the individual. (2) Results of investigations and other record material furnished by Federal agencies. (3) Notices of personnel actions initiated within the USGS.

#### INTERIOR/EGS-24

**System name:** Employee Work Report Edit and Individual Employee Production Rates -- Interior, GS-24.

**System location:** (1) U.S. Geological Survey, Topographic Division, National Center, Stop 511, 12201 Sunrise Valley Drive, Reston, Virginia 22092. (2) Eastern Mapping Center, Topographic Division, National Center, Stop 567, 12201 Sunrise Valley Drive, Reston, Virginia 22092. (3) Mid-Continent Mapping Center, Topographic Division, Ninth and Pine Streets, Box 133, Rolla Missouri 65401. (4) Rocky Mountain Mapping, Topographic Division, Box 25046, Stop 510, Denver, Colorado 80225. (5) Western Mapping Center, Topographic Division, 345 Middlefield Road, Menlo Park, California 94025. (6) Special Mapping Center, Topographic Division, 1943 Newton Square, East, Reston, Virginia 22090.

**Categories of individuals covered by the system:** Production employees in Mapping Centers.

**Categories of records in the system:** Contains name, social security number, cost and production rates, hours, and miles by individual production employee in each of the five Mapping Centers listed above.

**Authority for maintenance of the system:** 5 U.S.C 301, 3101; 43 U.S.C. 31, 1467.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The primary use of the records is for analysis of cost and production rate for individual employees and for units of Topographic Division. Disclosure outside the Department of the Interior may be made: (1) To the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Maintained on punched cards, mag-tape, and disc. (2) Retrievability -- By name. (3) Safeguards -- Authorized persons only from locked storage. (4) Retention and Disposal -- Records maintained for a period of 1 to 5 years and then destroyed in accordance with existing regulations for this class of records.

**System manager(s) and address:** (1) Chief, Production Analysis and Evaluation Section, Office of Plans and Program Development, Topographic Division, National Center, Stop 511, 12201 Sunrise Valley Drive, Reston, Virginia 22092. (2) Chief, Plans and Production Branch, Eastern Mapping Center, Topographic Division, National Center, Stop 567, 12201 Sunrise Valley Drive, Reston, Virginia 22092. (3) Chief, Plans and Production Branch, Mid-Continent Mapping Center, Topographic Division, Ninth and Pine Streets, Box 133, Rolla, Missouri 65401. (4) Chief, Plans and Production Branch, Rocky Mountain Mapping Center, Topographic Division, Box 25046, Stop 510, Denver Federal Center, Denver, Colorado 80225. (5) Chief, Plans and Production Branch, Western Mapping Center, Topographic Division, 345 Middlefield Road, Menlo Park, California 94025. (6) Chief, Plans and Production Section, Special Mapping Center, Topographic Division, 1943 Newton Square, East, Reston, Virginia 22090.

**Notification procedure:** A request for notification shall be addressed to the appropriate System Manager. See 43 CFR 2.60 for submission requirements.

**Record access procedures:** A request for access shall be addressed to the appropriate System Manager. See 43 CFR 2.61 for submission requirements.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager. See 43 CFR 2.71 for submission requirements.

**Record source categories:** Data from work report prepared by individuals.

#### INTERIOR/EMS-1

**System name:** Coal and Metal and Nonmetal Mine Accident and Injury -- Interior, MESA-1.

**System location:** Health and Safety Analysis Center, Technical Support Center, Building 56, Denver Federal Center, Denver, Colorado 80225.

**Categories of individuals covered by the system:** Individual workers in the coal and metal and nonmetal mining industries.

**Categories of records in the system:** These records contain accident, injury, fatality, and occupational illness data which includes the individual miner's name, social security number, date and time of accident or injury, place of accident or injury, man-hours worked, name of mine and mine identification number and type and cause of accident, injury or illness.

**Authority for maintenance of the system:** 30 U.S.C. 721, et seq., 801, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to determine probable cause of accidents, injuries, and illnesses and (b) to provide a statistical analytic data base for allocation of MESA and other resources to reduce occupational injuries and illnesses. Disclosures outside the Department of the In-

terior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: in file folders; Computer: disk pack. (2) Retrievability - indexed by mine identification number, name of mine, individual's name and social security number. Retrieved by manual search, frequently used information maintained on Computer printouts. (3) Safeguards - In accordance with 43 CFR 2.51. (4) Retention and Disposal - At present the source documents are retained for up to three years and then transferred to Federal Records Center. Tapes are retained indefinitely for historical purposes. Microfilm records are held for five years and then disposed of. A records retention schedule is being developed for all MESA records; therefore, the disposition is subject to change.

**System manager(s) and address:** Chief, Health and Safety Analysis Center, Denver Federal Center, Bldg. 56, Denver, Colorado 80225.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** To see your records write the Systems Manager or the offices cited under 'Records Location'. Describe as specifically as possible the records sought.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Information in these records is obtained from accident, injury, illness and fatality reports submitted by mine operators as required by the Federal Coal Mine Health and Safety and Metal and Nonmetallic Mine Safety Acts.

#### INTERIOR/EMS-2

**System name:** Identification Cards -- Interior, MESA-2.

**System location:** Office of the Assistant Administrator--Plans, Assessments and Management, Branch of Records Management, 4015 Wilson Boulevard, Arlington, Virginia 22203.

**Categories of individuals covered by the system:** Individuals who have been issued identification cards or who have been appointed as Duly Authorized Representatives (DAR) to administer the provisions of the Federal Coal Mine Health and Safety Act, and the Federal Metal and Nonmetallic Mine Safety Act.

**Categories of records in the system:** The records contain the individuals name, weight, height, age etc. Some DAR records contain a statement of an individual's qualifications (e.g. education, work experience, training, etc.) as a justification for being appointed as a DAR.

**Authority for maintenance of the system:** (1) Employee identification cards -- 5 U.S.C. 3101. (2) DAR identification cards -- 30 U.S.C. 736, 954.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to provide identification cards to employees. Disclosures outside of the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: Listing maintained on 8 x 10 1/2 inch paper, filed in manila folders, and stored in file cabinets. (2) Retrievability -- Indexed by name and number issued. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- A records retention schedule is being developed.

**System manager(s) and address:** Records Management Officer, Division of Management Services, MESA, Room 537, 4015 Wilson Boulevard, Arlington, Virginia 22203.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Information is obtained from individual personnel records as needed.

#### INTERIOR/EMS-3

**System name:** Metal and Nonmetal Mine Health and Safety Management Control -- Interior, MESA-3.

**System location:** (1) Office of Assistant Administrator--Metal and Nonmetal Mine Health and Safety, U.S. Department of the Interior, 4015 Wilson Boulevard, Arlington, Virginia 22203. (2) Substantially all District and Subdistrict Offices. (See Appendix for addresses.)

**Categories of individuals covered by the system:** (1) Individual metal and nonmetal miners who are covered by the Federal Metal and Nonmetal Mine Safety Act, 30 U.S.C. 721, et seq. (2) MESA personnel.

**Categories of records in the system:** Contains records on metal and nonmetal mine health and safety activities which includes annual manpower and activity plans, mine and mill locations, metal and nonmetal mine inspection personnel time and activity, inspections, notices and orders against operators, personal exposure data on personal exposure of miners and MESA personnel to radiation, dust, noise and other contaminants, and comprehensive health surveys on individual operations.

**Authority for maintenance of the system:** 30 U.S.C. 721, et seq.; 29 U.S.C. 668.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to determine the workload work scheduling and performance of mine inspection personnel; (b) to maintain records on violations of health and safety standards and regulations; (c) to determine contaminant exposure level; (d) employment data relative to metal and nonmetal mine workers, e.g., number of workers, etc. Disclosures outside the Department of the Interior may be made (1) to the National Institute of Occupational Safety and Health and the Environmental Protection Agency information resulting from special health studies; (2) to provide state agencies or bodies in state plan states applicable inspection reports, surveys on personal exposure and special health studies; (3) to furnish unions and company officials inspection reports containing exposure data pertaining to members and employees, respectively; (4) to the U. S. Department of Justice when related to litigation or anticipated litigation; (5) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rules, regulation, order or license; (6) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- (a) Computer - Information from source documents to punch cards to disk storage for processing, final storage on magnetic tape. (b) Manual storage - 8 x 10-1/2 inch report forms in standard file cabinets. (2) Retrievability -- Computerized and manual records are indexed by mine identification number for operators, by Duly Authorized Representative number for enforcement personnel and by social security number for individuals. (3) Safeguards -- In accordance with 43 C.F.R. 2.51. (4) Retention and Disposal -- Computer - Records are retired yearly. Historical tapes are retained permanently. Punch cards are destroyed after 90 days. Source documents are destroyed after 90 days.

**System manager(s) and address:** Assistant Administrator--Metal and Nonmetal Mine Health and Safety, Interior Department, MESA, 4015 Wilson Boulevard, Arlington, Virginia 22203.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager, or to the offices cited under 'Records Location'.

**Record access procedures:** To see your records write the System Manager or the offices cited under Records Location. Describe as specifically as possible the records sought.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** MESA inspection personnel and individual mine operators submit reports and information in accordance with prescribed procedures.

#### INTERIOR/EMS- 4

**System name:** Employee Conduct Investigations -- Interior, MESA--4.

**System location:** Office of Internal Affairs, Office of the Administrator, MESA, U.S. Department of the Interior, 4015 Wilson Blvd., Arlington, Va. 22203.

**Categories of individuals covered by the system:** Any MESA employee against whom any allegation of misconduct, illegal acts, conflict of interest, etc. has been made.

**Categories of records in the system:** Contains the name, organization, allegation and other pertinent information relating to the individual involved. It also contains the investigative report associated with the case including interviews and other confidential data gathered by investigators.

**Authority for maintenance of the system:** 5 U.S.C. 301, 7301, Executive Order 11222.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to determine facts and circumstances relative to allegations. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; and (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant, or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: In manila file folders. (2) Retrievability: Filed by name in alphabetical order. Retrieved by manual search. (3) Safeguards: Stored in GSA approved 3-way combination safe (Diebold). (4) Retention and Disposal: Records will be disposed of after a 5-year period from the time of initial notification of alleged misconduct, etc.

**System manager(s) and address:** Chief, Office of Internal Affairs, MESA, 4015 Wilson Blvd., Arlington, Va. 22203.

**Systems exempted from certain provisions of the act:** Under the specific exemption authority provided by 5 U.S.C. 552a(k)(2), the Department of the Interior has adopted a regulation, 43 CFR 2.79(b) exempting this system from the following provisions of the Privacy Act: 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H), and (I) and (f) and the portions of 43 CFR, Part 2, Subpart C which implement these provisions.

#### INTERIOR/EMS- 5

**System name:** Payroll Records -- Interior, MESA--5.

**System location:** Mining Enforcement and Safety Administration, Division of Budget and Finance, Branch of Finance, Building 53, Denver Federal Center, Denver, Colorado 80225.

**Categories of individuals covered by the system:** Current MESA employees and those formerly employed by MESA within the last two years.

**Categories of records in the system:** A variety of documents which set forth or effect an employee's annual wage rate, leave, biweekly earnings, payroll deductions and disposition of earnings. Hard copy records consist of folders of action type documents for each employee. These records are source documents for data records on computer tape for payroll purposes.

**Authority for maintenance of the system:** 5 U.S.C. 5101, et seq., Budget and Accounting Procedures Act of 1950, as amended, 30 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to provide information and accounting records regarding employees pay and leave for the automated payroll data file; (b) to inform each MESA office of the composition of the labor cost changes by reporting total payroll changes for each individual made to various cost accounts within the finance system. This reporting is made every two weeks on a regular payroll cycle. Disclosures outside the Department of the Interior may be made (1) to provide states with pay data relative to plans for unemployment compensation; (2) to the Department of the Treasury for preparation of (a) payroll checks and (b) payroll deduction and other checks to Federal, state and local government agencies, non-governmental organizations and individuals; (3) to the Internal Revenue Service and to state, commonwealth, territorial and local governments for tax purposes; (4) to the Civil Service Commission in connection with the Civil Service Retirement System; (5) to another Federal agency to which an employee has transferred; (6) to provide Social Security Numbers for use in identifying MESA employee travel advance accounts which are maintained in travel advance file; (7) to the U. S. Department of Justice when related to litigation or anticipated litigation; (8) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, state, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (9) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (10) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (11) to Federal, state or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: Records are maintained in file folders, computer magnetic tape, and punched cards. (2) Retrievability - File folders are maintained by name and magnetic tape and punched cards are maintained by social security number. (3) Safeguards - In accordance with 43 CFR 2.51. (4) Retention and Disposal - Active employee personnel file folders are retained indefinitely. Inactive employee folders (death, resignation, retirement, and separation) are destroyed after two years. Cards are destroyed after one year. Magnetic tapes are erased and reused in accordance with schedule of retention agreed to by the Chief, Division of Budget and Finance, and the Chief, Division of Automatic Data Processing, MESA. All other official payroll data are disposed of in accordance with the general records schedule, FPMR 101-11.4, dated August 1, 1974.

**System manager(s) and address:** Chief, Branch of Finance, MESA, Building 53, Denver Federal Center, Denver, Colorado 80225.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under 'Records Location'.

**Record access procedures:** To see your records write the Systems Manager or the offices cited under 'Records Location'. Describe as specifically as possible the records sought. If copies are desired indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Information in this system of records comes from individual to whom it applies or is derived from information he supplied. Payroll and their applicability and leave regulations are all established by public law and their effects upon the individual is in accordance with such public laws and regulations. Generally, most payroll source data are echo records of official personnel actions.

#### INTERIOR/EMS- 6

**System name:** Travel -- Interior, MESA -- 6.

**System location:** (1) Office of the Assistant Administrator--Plans, Assessments, and Management, Division of Budget & Finance, Branch of Finance, Building 53, Denver Federal Center, Denver, Colorado 80225. (2) Input documents supplied by all facilities of the Mining Enforcement and Safety Administration.

**Categories of individuals covered by the system:** All persons traveling for or in behalf of MESA on official business.

**Categories of records in the system:** Voucher file consists of copies of paid travel vouchers which reimburse travelers for expenses incurred in connection with official travel.

**Authority for maintenance of the system:** 5 U.S.C. 5701, et seq., GSA regulations, FPMR 101-7 dated May 1973, as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) as backup entry data for obligation and disbursements in the automated finance system of MESA; (b) as an audit file for examining travel vouchers for travelers who travel on a continuing basis under area or general travel authorizations; (c) for travel cost which information is reported to each MESA office as part of the detailed composition of monthly expense reports for cost accounts within the finance system. Only data pertinent to individual MESA offices are available to that office. Disclosures outside of the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, state, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, state or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage - Manual: Records are maintained in file folders in steel filing cabinets in Branch of Finance. (2) Retrievability - Vouchers are filed alphabetically by traveler name. Retrieved by manual search. (3) Safeguards - In accordance with 43 CFR 2.51. (4) Retention and Disposal - Disposition is in accordance with General Schedule, FPMR 101-11.4.

**System manager(s) and address:** Chief, Branch of Finance, MESA, Building 53, Denver Federal Center, Denver, Colorado 80225.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the Systems Manager or to the offices cited under 'Records Location'.

**Record access procedures:** To see your records write the Systems Manager or the offices cited under 'Records Location'. Describe as specifically as possible the records sought. If copies are desired indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Travel vouchers are submitted by the traveler after incurring expenses or official travel pursuant to a signed travel authorization. Each voucher is a request for payment based on the travelers record of official expenses. Input documents supplied by all facilities of MESA.

#### INTERIOR/EMS- 7

**System name:** Travel Advance File - Interior, MESA - 7.

**System location:** Office of the Assistant Administrator-Plans, Assessment, and Management, Division of Budget and Finance, Branch of Finance, Building 53, Denver Federal Center, Denver, Colorado 80225.

**Categories of individuals covered by the system:** All MESA employees who have outstanding travel advances or who have closed travel advances.

**Categories of records in the system:** File consists of automatic data tape files of travel advance transactions retrieved on the basis of Social Security Numbers and forms, filed in alphabetical order by surname, whereon employees request and receive advances of funds for the purpose of paying travel expenses incurred in the performance of official Government business. These forms also include a record of repayment against an advance, whether by set-off on travel vouchers or repaid by check, money order, etc.

**Authority for maintenance of the system:** 5 U.S.C. 5701, et seq., GSA regulations FPMR 101-7 dated May 1973, as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to provide an accounting record of obligation due to the U. S. Government from employees who have cost advances to defray expenses incurred in official travel. Payments to the traveler and repayments to the Government are reflected in this record; (b) to serve as a source file of hard copy documents for entries for travel advances in the Automated Finance System; and (c) computer listings are produced monthly of outstanding travel advances for supporting accounts receivable. Listings are also produced with a computer analysis to assist in the control and proper utilization of travel advances. Listings are produced in alphabetical order by name of traveler. Computer generated verification notices are mailed to individual travelers on a quarterly basis. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, state, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, state or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage - Manual: Records are maintained in cardboard boxes in the Branch of Finance and on magnetic tape in the Division of A.D.P. (2) Retrievability - Files are indexed alphabetically by employee name and by transaction number. Retrieved by manual search. (3) Safeguards - In accordance with 43 CFR 2.51. (4) Retention and Disposal - Disposition is in accordance with general records schedule FPMR 101-11.4 dated August 1, 1974.

**System manager(s) and address:** Chief, Branch of Finance, MESA, Building 53, Denver Federal Center, Denver, Colorado 80225.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under 'Records Location'.

**Record access procedures:** To see your records write the Systems Manager or the offices cited under 'Records Location'. Describe as specifically as possible the records sought. If copies are desired indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Information for this system originates with the traveler who specifies the need of a travel advance. The request is concurred in by signature of a responsible supervisory official. Repayment entries on the file are as a result of actions taken by the individual to liquidate his travel advance.

#### INTERIOR/EMS- 8

**System name:** Accident and Injury Records - Interior, MESA - 8.

**System location:** Office of MESA Employee Safety Manager, MESA, U.S. Department of the Interior, 4015 Wilson Blvd., Arlington, Virginia 22203.

**Categories of individuals covered by the system:** Any MESA employee who has had an on-the-job accident or injury.

**Categories of records in the system:** Records contain investigative information pertaining to any accident or injury an employee of MESA is involved in.

**Authority for maintenance of the system:** 5 U.S.C. 7902, Sections 6 and 19 of the Occupational Safety and Health Act of 1970, 29 U.S.C. 1960, Executive Order 11807.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to identify deficiencies in the Employee Safety Programs that must be corrected in order to maintain a safe and healthy work environment; (b) adjudication of tort, employee and

similar claims against the government. Disclosures outside the Department of the Interior may be made (1) to General Services Administration when a GSA motor vehicle is involved in an accident; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, and or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manila folders. (2) Retrievability -- indexed by assigned accident number. (3) Safeguards -- folders kept in locked filing cabinets. (4) Retention and Disposal -- reports are kept for five years and then destroyed.

**System manager(s) and address:** MESA Employee Safety Manager, U.S. Department of the Interior, 4015 Wilson Boulevard, Arlington, Virginia 22203.

**Notification procedure:** Inquiries regarding records in this system should be addressed to the System Manager. Such requests must be submitted in writing and be signed by the requester. For additional information, see 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Reports are completed by the individual employees and their supervisors.

#### INTERIOR/EMS-9

**System name:** Security Clearance Records -- Interior, MESA-9.

**System location:** MESA, Branch of Records Management, 4015 Wilson Boulevard, Arlington, Virginia 22203.

**Categories of individuals covered by the system:** Employees and prospective employees of MESA on whom a security investigation has been conducted by the CSC or FBI.

**Categories of records in the system:** Records pertain to loyalty checks, character evaluations, and other information resulting from investigations by the CSC or NACI's.

**Authority for maintenance of the system:** Executive Order 10450.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the system is to determine qualifications of individuals for employment with MESA. Disclosures outside of the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; and (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: Maintained in manila filed folders. (2) Retrievability: Filed by name in alphabetical order. Retrieved by manual search. (3) Safeguards -- Stored in GSA approved 3-way combination safe. (4) Retention and Disposal: Records are kept as long as the individual is employed and then the records are destroyed.

**System manager(s) and address:** Chief, Branch of Records Management, MESA, 4015 Wilson Boulevard, Arlington, Virginia 22203.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager.

**Record access procedures:** To see your records write the System Manager. Describe as specifically as possible the records sought.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Loyalty checks, inquiries, field investigation reports, and other Government agencies.

#### INTERIOR/EMS-10

**System name:** Coal Mine Health and Safety Special Investigations -- Interior, MESA-10.

**System location:** Office of the Assistant Administrator--Coal Mine Health and Safety, MESA, U. S. Department of the Interior, 4015 Wilson Boulevard, Arlington, Virginia 22203 and some of the Coal Mine Health and Safety offices (see Appendix for addresses).

**Categories of individuals covered by the system:** Any individual alleged to have committed or have information concerning willful or knowing violations of the Coal Mine Health and Safety Act and related sections of the U.S. Code.

**Categories of records in the system:** Contains name, address, telephone number, social security number, occupation, place of employment, and other identifying data along with the type of allegation. This material includes interviews and other confidential data gathered by the investigator.

**Authority for maintenance of the system:** Investigations conducted pursuant to 30 U.S.C. 813, 819, appropriate sections of 18 U.S.C.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to determine validity of allegations and (b) for use in determining amount of civil penalty assessments against individuals and operators. Disclosures outside of the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, state, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; and (5) to Federal, state or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: In manila file folders. (2) Retrievability -- Filed by docket and status of case, indexed by name of mine, docket number, and date of receipt. Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Retained for 5 years then transferred to Federal Records Center. Destroy after ten years.

**System manager(s) and address:** Assistant Administrator -- Coal Mine Health and Safety, Room 814, Ballston Tower 03, 4015 Wilson Blvd., Arlington, Va. 22203.

**Systems exempted from certain provisions of the act:** Under the specific exemption authority provided by 5 U.S.C. 552a(k)(2), the Department of the Interior has adopted a regulation, 43 CFR 2.79(b) exempting this system from the following provisions of the Privacy Act: 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H) and (I), and (f) and the portions of 43 CFR, Part 2, Subpart C which implement these provisions.

#### INTERIOR/EMS-11

**System name:** National Mine Health and Safety Academy Records--Interior, MESA -- 11.

**System location:** National Mine Health and Safety Academy, Mining Enforcement and Safety Administration, Airport Road, Beckley, West Virginia 25801.

**Categories of individuals covered by the system:** Federal and State Mine Inspectors and Inspector Trainees, other Federal employees, and other individuals who either attend the Academy as students of who receive training through correspondence courses.

**Categories of records in the system:** Records contain data relative to name of student, place of employment, course name, instructor evaluation, and course and cumulative grade achievement associated with each student. A photograph is maintained for each student who physically attends the Academy facilities.

**Authority for maintenance of the system:** Sections 502 and 505 of PL 91-173, Federal Coal Mine Health and Safety Act of 1969 (30 U.S.C. 952, 954) and section 15 of PL 89-577, Federal Metal and Nonmetallic Mine Safety Act. (30 U.S.C. 734).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is by agency officials for purposes of review in connection with an employee's probationary period, transfer, promotion, or determination of qualifications of the individual. Disclosures may be made outside the Department of the Interior (1) to provide information to prospective employers of a government employee or former Federal employee or to an organization at the request of the student; (2) to provide statistical reports to Congress, agencies, and the public relative to health and safety training; (3) to provide information to another educational institution for training purposes; (4) to respond to requests from labor organizations for names and training information; (5) to the U.S. Department of Justice when related to litigation or anticipated litigation; (6) of information indicating a violation or a potential violation of a statute, rule, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (7) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (8) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: Records are kept on forms devised by the Academy and are stored in letter sized files. (2) Retrievability - Files are indexed by name, organization and place of work by date of class and are retrieved by manual search. (3) Safeguards - In accordance with 43 CFR 2.51. (4) Retention and Disposal - Records are permanently retained.

**System manager(s) and address:** Registrar, National Mine Health and Safety Academy, Mining Enforcement and Safety Administration, Airport Road, Beckley, West Virginia 25801.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records, write the Systems Manager. Describe as specifically as possible the records sought.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** MESA Academy instructors and students.

#### INTERIOR/EMS-12

**System name:** Property Control--Interior, MESA -- 12.

**System location:** (1) MESA, Chief, Division of Management Services, 4015 Wilson Boulevard, Arlington, Virginia 22203. (2) Substantially all field offices listed in lists of field offices in Appendix.

**Categories of individuals covered by the system:** Any person who has responsibility for MESA property; who have reserved parking spaces assigned, or who have submitted invention reports and all records directly related to property control functions.

**Categories of records in the system:** Contains information indicating what property, including equipment, application for motor vehicle operator's license, keys, motor pool vehicles, requests for assignment of carpool or reserved parking spaces, and transportation request books, for which the employee has custody and/or responsibility. A list is maintained of inventions by case numbers. In addition, all other records directly related to the property control function.

**Authority for maintenance of the system:** Federal Property and Administrative Services Act of 1949, as amended, 40 U.S.C. 483(b)(1).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is the identification and control of MESA property. Disclosures outside the Department of the Interior may be made (1) to the

U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) disclosure from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Records are kept in manual form in file folders or card indexes, microfilm cassettes, and computer disks. (2) Retrievability -- Indexed by alphabetical order or control number. Entire file retrieved by manual search; frequently used information maintained on computer printouts. (3) Safeguards -- In accordance with 43 CFR 2.51. Retention and Disposal -- Pending completion of records disposition schedule.

**System manager(s) and address:** Chief, Division of Management Services, MESA, 4015 Wilson Boulevard, Arlington, Virginia 22203.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under 'Records Location'.

**Record access procedures:** To see your records write the Systems Manager or the offices cited under 'Records Location'. Describe as specifically as possible the records sought.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** MESA employees and any property control records maintained by MESA.

#### INTERIOR/EMS-13

**System name:** Coal Mine Respirable Dust Program -- Interior, MESA-13.

**System location:** Coal Mine Health and Safety Activity, Mining Enforcement and Safety Administration, U. S. Department of the Interior, 4015 Wilson Blvd., Arlington, Virginia 22203 and substantially all coal mine health and safety offices listed in Appendix.

**Categories of individuals covered by the system:** Individual coal miners for whom personal dust samples have been submitted for analysis.

**Categories of records in the system:** Contains data concerning mine identification, mine section, name of individual sampled, social security number, date of sample, and concentration of respirable dust contained in the personal sampler.

**Authority for maintenance of the system:** Title II of PL 91-173, Federal Coal Mine Health and Safety Act of 1969 (30 U.S.C. 201-206).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to determine respirable dust levels in every active working mine to insure compliance with dust level standards. Disclosures outside the Department of the Interior may be made (1) of respirable dust data to the U. S. Department of HEW in accordance with provisions of PL 91-173; (2) of special studies relative to occupational types, mining methods, ventilation, etc. (3) to furnish mine operators with information relevant to the respirable dust program as it applies to their operations as required by the law; (4) to the U. S. Department of Justice when related to litigation or anticipated litigation; (5) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (6) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Computer - on diskettes and mag tape. Retrievability - Indexed by mine identification number and social security number, frequently used information is maintained on computer printouts. (3) Safeguards -- In accordance with 43 C.F.R. 2.51. (4) Retention and Disposal -- Analysis forms are mailed to Denver and microfilmed. The forms and the microfilm record are maintained indefinitely. Computer tapes are purged periodically and computer output is utilized in the districts indefinitely.

**System manager(s) and address:** Chief, Division of Health, Coal Mine Health and Safety, MESA, 4015 Wilson Blvd., Arlington, Virginia 22203.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager.

**Record access procedures:** To see your records write the System Manager. Describe as specifically as possible the records sought.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the System Manager.

**Record source categories:** Mine operators submit the information used in this system.

#### INTERIOR/EMS-14

**System name:** Coal Mine Noise Level Program -- Interior, MESA-14.

**System location:** (1) Coal Mine Health and Safety Activity, Mining Enforcement and Safety Administration, U.S. Department of the Interior, 4015 Wilson Blvd., Arlington, Virginia 22203. (2) Substantially all Coal Mine Health and Safety Offices listed in Appendix.

**Categories of individuals covered by the system:** Individual coal miners for whom noise level samples have been submitted for analysis.

**Categories of records in the system:** Contains data concerning mine identification, mine section, name of individual sampled, social security number, date of sample, and noise level data.

**Authority for maintenance of the system:** Title II of PL 91-173, Federal Coal Mine Health and Safety Act of 1969 (30 U.S.C. 201-206).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) determine noise levels in every active working mine to insure compliance with noise level standards; (b) special studies relative to occupational types, mining methods, ventilation, etc. Disclosures outside the Department of the Interior may be made (1) to furnish mine operators with information relevant to the noise program as it applies to their operations as required by the law; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Currently noise level data is entered onto a form which is sent to District Offices for manual processing and filing in manila folders. All district offices utilize diskettes and mini-computers to process the data, as practical. (2) Retrievability -- Data is indexed by mine identification number, name of mine, name of operator, name of individual and individual social security number. (3) Safeguards -- In accordance with 43 C.F.R. 2.51. (4) Retention and Disposal -- Forms and diskettes are retained indefinitely in District offices.

**System manager(s) and address:** Chief, Division of Health, Coal Mine Health and Safety, MESA, 4015 Wilson Blvd., Arlington, Virginia 22203.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager.

**Record access procedures:** To see your records write the System Manager. Describe as specifically as possible the records sought.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Mine operators submit the information used in this system.

#### INTERIOR/EMS-15

**System name:** Health and Safety Training Records including Qualifications and Certification Data --Interior, MESA-15.

**System location:** Qualifications and Certification Unit, Education and Training, Mining Enforcement and Safety Administration, U.S. Department of the Interior, 603 Miller Court, Lakewood, Colorado 80215.

**Categories of individuals covered by the system:** Contains training data on miners, mining industry personnel, State and Federal employees who have taken MESA approved training courses.

**Categories of records in the system:** Contains name, social security number, mine ID number, training course, instructor's name and other relevant data.

**Authority for maintenance of the system:** Section 317 (i) and Section 502 of PL 91-173, Federal Coal Mine Health and Safety Act of 1969 (30 U.S.C. 877) and Section 15 of PL 89-577, Federal Metal and Nonmetallic Mine Safety Act (30 U.S.C. 734).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to (a) issue certification cards to individual miners, mining industry personnel and State and Federal employees who have taken MESA's approved training courses and become certified and/or qualified under the law; (b) issue certification cards to instructors authorizing them to teach MESA training courses; (c) statistical reporting of training data in various formats for a variety of uses, e.g., reporting to Congress, publications, etc. Disclosures outside of the Department of the Interior may be made (1) to coal companies requesting information to verify training required by law; (2) Unions requesting information on the training status of its members; (3) coal operators associations requiring training for policy and programming utilization; (4) to the U. S. Department of Justice when related to litigation or anticipated litigation; (5) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (6) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, to individual miners, mining industry personnel and State and Federal employees who have taken MESA's approved training courses and become certified and/or qualified under the law; (b) issue certification cards to instructors authorizing them to teach MESA training courses; (c) statistical reporting of training data in various formats for a variety of uses, e.g., reporting to Congress, publications, etc. Disclosures outside of the Department of the Interior may be made (1) to coal companies requesting information to verify training required by law; (2) Unions requesting information on the training status of its members; (3) coal operators associations requiring training for policy and programming utilization; (4) to the U. S. Department of Justice when related to litigation or anticipated litigation; (5) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (6) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: Microfilm - original training records; and Computer: Magnetic tape - training, certification and qualification of individual. (2) Retrievability -- Microfilm: Computerized document listing; filed by mine ID number; filed by date processed. Magnetic tape: retrieved by feeding in individuals' social security number. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Computer records are kept indefinitely; reporting outputs are discarded after they have served their purpose; microfilm records are permanently retained for historical file.

**System manager(s) and address:** Education Specialist, Qualifications and Certification Unit, Education and Training, 603 Miller Court, Lakewood, Colorado 80215. (Area Code 303, telephone 234-4398.)

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager cited under 'Records Location'. Describe as specifically as possible the records sought. If copies are desired indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Individual on whom record is maintained. Instructors of the training courses.

#### INTERIOR/EMS-16



**System name:** Employee Locator System, Department of the Interior, MESA-16.

**System location:** (1) Branch of Records Management, Mining Enforcement and Safety Administration, 4015 Wilson Boulevard, Arlington, Virginia 22203. Substantially all locations listed in Appendix.

**Categories of individuals covered by the system:** Current employees of MESA.

**Categories of records in the system:** Records contain name, title, office address, organization symbol, business telephone number, room number, home address, home telephone number, reason for preparation (i.e., new listing, change of address or location, separation).

**Authority for maintenance of the system:** 5 U.S.C. 301, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) used to assist callers in locating MESA employees and for the use of other employees of MESA who have a need for the records in the performance of their duty; and (b) to complete agency telephone directory. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State, or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual: Records are maintained on DI-28 Forms in a 3 x 5 file box. (2) Retrievability -- Records are filed alphabetically by name. (3) Safeguards -- File is kept in a locked cabinet and only those employees who work with the records have access to them. (4) Retention and Disposal -- Records are maintained until a notice of change or employment is terminated. Records are destroyed when no longer needed.

**System manager(s) and address:** Chief, Branch of Records Management, Mining Enforcement and Enforcement and Safety Administration, 4015 Wilson Boulevard, Arlington, Virginia 22203.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under 'Records Location'.

**Record access procedures:** To see your records, write the Systems Manager or the offices cited under 'Records Location'. Describe as specifically as possible the records sought. If copies are desired indicate the maximum you are willing to pay.

**Contesting record procedures:** A petition for amendment shall be in writing and addressed to the System Manager. The petition shall meet the content requirements of 43 CFR 2.71.

**Record source categories:** Information is from individual employees.

#### INTERIOR/ESE-1

**System name:** Administrative Management and Fiscal Records -- Interior, Southeastern Power Administration-1.

**System location:** Southeastern Power Administration, Samuel Elbert Bldg., Elberton, Georgia 30635.

**Categories of individuals covered by the system:** Employees and some former employees of Southeastern Power Administration.

**Categories of records in the system:** Payroll records, including pay, leave and cost distribution records, including deductions for bonds, insurance, income taxes, allotments to financial institutions, overtime authorizations, and related documents. Travel records, including administrative approvals, travel expenses claimed and/or paid, receipts for expenditures claims, Government transportation requests, travel advance accounts and related records. Records of

accountability for Government-owned property. Safety records, including claims under the Military Personnel and Civil Employees Claims Act. Records of issuance of Government identification cards and Government driver's licenses. Related records concerning administrative and fiscal management of the Southeastern Power Administration.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101, 5101-5115, 5501-5596, 5701-5709, 31 U.S.C. 66a, 240-243, 40 U.S.C. 483(b), 43 U.S.C. 1467, 44 U.S.C. 3101, Executive Order No. 11807.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is for administrative and fiscal management of the Southeastern Power Administration. Disclosures outside the Department of the Interior may be made (1) to the Department of the Treasury for preparation of (a) payroll checks; (b) payroll deduction and other checks to Federal, State and local government agencies, non-governmental organizations and individuals; and (c) checks for reimbursement of employees and others; (2) to the Internal Revenue Service and to State, Commonwealth, Territorial and local governments for tax purposes; (3) to the Civil Service Commission to report contributions to the Civil Service Retirement System and other contributions; (4) to another Federal agency to which an employee has transferred; (5) to another Federal agency having a subject matter interest in the records; (6) to the U. S. Department of Justice when related to litigation or anticipated litigation; (7) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (8) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- manual and automated. (2) Retrievability -- may be retrieved by individual name or social security number. (3) Safeguards -- records are maintained in accordance with 43 CFR 2.51. (4) Retention and Disposal -- according to approved records disposal schedules.

**System manager(s) and address:** Administrator, Southeastern Power Administration, Samuel Elbert Bldg., Elberton, Georgia 30635.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Employees, supervisors, timekeepers.

#### INTERIOR/ESW-1

**System name:** Payroll System -- Interior, Southwestern Power Administration -- 1.

**System location:** (1) Southwestern Power Administration, U.S. Department of the Interior, 333 West Fourth Street, Tulsa, OK 74103. (2) All Field Offices of Southwestern Power Administration. (See appendix for addresses.)

**Categories of individuals covered by the system:** All Southwestern Power Administration personnel.

**Categories of records in the system:** Pay, leave and cost distribution records, including authorized deductions for bonds, insurance, taxes, and related records.

**Authority for maintenance of the system:** Classification Act of 1949 as amended, 5 U.S.C. 5101-5115 (1970), 5 U.S.C. 5501-5596 (1970).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses are (a) fiscal operations for payroll, leave, insurance, tax, retirement, and cost programs. Disclosures outside the Department of the Interior may be made (1) to the Treasury Department for issuance of payroll checks, (2) to Internal Revenue for audit of computations of

income and social security taxes, (3) to State Governments in area of operations for audit of computation of income tax, (4) to Civil Service Commission in connection with retirement, life insurance, and health insurance accounts, (5) to authorized insurance carriers for use in balancing and reconciling payments, (6) to Federal Power Commission in connection with listing officers in the annual report of SPA to the FPC, (7) to the U. S. Department of Justice when related to litigation or anticipated litigation, (8) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (9) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (10) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual on forms. Computer -- punched cards, magnetic discs and computer printouts. (2) Retrievability -- Indexed by employee name and by social security number. Retrieved from punched cards and computer printouts by manual search. Retrieved from disks by social security number. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Manual records destroyed three years after receipt. Punched cards destroyed two years after creation. Computer printouts held two years and then transferred to FARC. Magnetic discs -- prior information erased as new data added. Entire disk erased at end of pay year.

**System manager(s) and address:** Chief, Division of Administrative Management, 333 West Fourth Street, Tulsa, Oklahoma 74103.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under 'Records Location.'

**Record access procedures:** To see your records write the Systems Manager or the offices cited under 'Records Location'. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your file, write the Systems Manager.

**Record source categories:** Individual on whom the record is maintained, supervisors, and personnel officials.

#### INTERIOR/ESW-2

**System name:** Contracts System -- Interior, Southwestern Power Administration -- 2.

**System location:** (1) Southwestern Power Administration, U.S. Department of the Interior, 333 West Fourth Street, Tulsa, OK 74103. (2) All Field Offices of Southwestern Power Administration. (See appendix for addresses.)

**Categories of individuals covered by the system:** Individuals who have submitted bids, who have been granted a contract or a purchase order, or from whom materials have been purchased by open market. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Contains bids submitted by individuals, copies of contracts and purchase orders with individuals, invoices received from vendors, and related papers. Contains name and address of individuals.

**Authority for maintenance of the system:** Federal Property and Administrative Services Act of 1949, as amended, 40 U.S.C. 486(c) (1970), 1 CFR 1-1.313, 1 CFR 1-2.204.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) processing requisitions and requests for purchasing materials and supplies and (b) processing invoices for payment. Disclosures outside the Department of the Interior may be made,

(1) to the Treasury Department for issuance of checks for payment, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual in letter files and binders. (2) Retrievability -- Indexed by name of individual. Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Records held not longer than six years after final payment.

**System manager(s) and address:** Chief, Division of Administrative Management, 333 West Fourth Street, Tulsa, Oklahoma 74103.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under 'Records Location.'

**Record access procedures:** To see your records write the Systems Manager or the offices cited under 'Records Location'. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your file, write the Systems Manager.

**Record source categories:** Individual on whom the record is maintained, or from persons for whom contractor has performed similar work or provided similar equipment.

#### INTERIOR/ESW-4

**System name:** Property Management System -- Interior, Southwestern Power Administration -- 4.

**System location:** Southwestern Power Administration, U.S. Department of the Interior, 333 West Fourth Street, Tulsa, Oklahoma 74103.

**Categories of individuals covered by the system:** All SPA personnel.

**Categories of records in the system:** Contains reports of survey, records of assignment of property, parking spaces, and motor vehicles.

**Authority for maintenance of the system:** Federal Property and Administrative Services Act of 1949, as amended, 40 U.S.C. 483(b), 486(c) (1970); 41 CFR 60.200-203; 41 CFR 114-38.001-38.5203; 41 CFR 114-60.106.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses are (a) processing survey reports of lost, stolen or worn out property and (b) assignment of property items, parking space and motor vehicles for official use. Disclosures outside the Department of the Interior may be made (1) to the General Services Administration for assignment of parking space or motor vehicles, (2) to the Postal Service for assignment of parking space, (3) to the U. S. Department of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (6) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit, (7) to Federal, State, or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual on forms. (2) Retrievability -- Indexed alphabetically by employee name. Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Records held not longer than six years after final payment.

**System manager(s) and address:** Chief, Division of Administrative Management, 333 West Fourth Street, Tulsa, Oklahoma 74103.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your file, write the Systems Manager.

**Record source categories:** Individual on whom the record is maintained, and supervisors.

#### INTERIOR/ESW-5

**System name:** Travel Record System -- Interior, Southwestern Power Administration -- 5.

**System location:** Southwestern Power Administration, U.S. Department of the Interior, 333 West Fourth Street, Tulsa, OK 74103.

**Categories of individuals covered by the system:** Individuals who have submitted bids, or with whom a contract, purchase order, or open market purchase was made. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Contains travel authorizations, travel vouchers, and related papers.

**Authority for maintenance of the system:** Travel Expense Act of 1949, as amended, 5 U.S.C. 5701-5742 (1970).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use is (a) processing travel vouchers for reimbursement of official travel. Disclosures outside the Department of the Interior may be made (1) to the Treasury Department for issuance of travel reimbursement checks, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of security clearance, license, contract, grant or other benefit, (6) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual on forms. (2) Retrievability -- Indexed alphabetically by employee name. Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Records destroyed three years after claim is settled.

**System manager(s) and address:** Chief, Division of Administrative Management, 333 West Fourth Street, Tulsa, Oklahoma 74103.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your file, write the Systems Manager.

**Record source categories:** Individual on whom record is maintained, and supervisors.

#### INTERIOR/ESW-6

**System name:** Imprest Fund Cashiers -- Interior, Southwestern Power Administration--6.

**System location:** (1) Southwestern Power Administration, U. S. Department of the Interior, 333 West Fourth Street, Tulsa Oklahoma 74103; (2) following field offices with the above function: (A) Oklahoma Area Office, Southeastern Power Administration, 1409 West Chawnee Street, Muskogee, Oklahoma 74401 (B) Line Maintenance Crew, Southwestern Power Administration, 907

North Mississippi, Ada, Oklahoma 74820 (C) Missouri Area Office, Southwestern Power Administration, Golden Avenue and Battlefield Road, Springfield, Missouri 65804 (D) Arkansas Area Office, Southwestern Power Administration, 305 North Floyd Street, Jonesboro, Arkansas 72402.

**Categories of individuals covered by the system:** Employees of SPA with the above funds handling title.

**Categories of records in the system:** Date of appointment, authorized amount of funds, and reports of unannounced administrative reviews.

**Authority for maintenance of the system:** (1) 31 U.S.C. 686. (2) 31 U.S.C. 66(a).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use is (a) for control of funds handling appointments. Disclosures outside the Department of the Interior may be made, (1) to the Department of Justice when related to litigation, and (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual on letter memorandums or forms. (2) Retrievability -- Indexed alphabetically by employee name and by office location. Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Records destroyed six years after receipt.

**System manager(s) and address:** Chief, Division of Administrative Management, 333 West Fourth Street, Tulsa, Oklahoma 74103.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your file, write the Systems Manager.

**Record source categories:** Individual on whom the record is maintained, supervisors, and employees appointed to conduct administrative reviews.

#### INTERIOR/ESW-7

**System name:** Accidents -- Interior, Southwestern Power Administration--7.

**System location:** Southwestern Power Administration, U.S. Department of the Interior, 333 West Fourth Street, Tulsa, Oklahoma 74103.

**Categories of individuals covered by the system:** Individuals having an accident either by a motor vehicle or any other form of accident.

**Categories of records in the system:** Reports of personal and motor vehicle accidents, related statements and pictures.

**Authority for maintenance of the system:** (1) Military Personnel and Civilian Employees Claims Act, 31 U.S.C. 240-243 (1970); (2) Federal Tort Claims Act, 28 U.S.C. 2401, 2671-2680 (1970); (3) Occupational Safety and Health Act of 1970, as amended, 29 U.S.C. 668; (4) 5 U.S.C. 7902 (1970); and (5) 5 U.S.C. 2100 (1970).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses are (a) to identify deficiencies in the employee safety program that must be corrected in order to maintain a safe and healthful workplace for all employees, (b) determination of financial liability and disciplinary action, (c) input documents for Department of the Interior automated system for accident reporting and, (d) to Office of the Solicitor for use in determining tort, employee, and similar claims against the U. S. Disclosures outside the Department of the Interior may be made, (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (4) to a Federal agency which has

requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit. (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual on forms. (2) Retrievability -- Indexed alphabetically by employee name. Retrieved by manual search. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Records destroyed no later than ten years after case closed.

**System manager(s) and address:** Chief, Division of Administrative Management, 333 West Fourth Street, Tulsa, Oklahoma 74103.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your file, write the Systems Manager.

**Record source categories:** Individual on whom record is maintained, supervisors, witnesses, and investigating officers.

#### INTERIOR/FNP-1

**System name:** Special Use Permits -- Interior, NPS-1.

**System location:** Substantially all Regional and park offices of the National Park Service. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Visitors to National Parks who receive special use permits.

**Categories of records in the system:** Contains permittees' names, tract numbers, addresses, and terms and conditions of permits.

**Authority for maintenance of the system:** 16 USC 1 and 44 USC 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is for (1) park management. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by tract number or permittee name. (3) Safeguards -- stored in lockable metal file cabinets or unlocked cabinets in secured rooms or buildings on either U.S. Government-owned or leased facilities. (4) Retention and Disposal -- ordinarily disposed of one year after termination of special use permit.

**System manager(s) and address:** Associate Director, Park Systems Management, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under 'Records Location'.

**Record access procedures:** To see your records write the Systems Manager or the offices cited under 'Records Location'. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/FNP-2

**System name:** Land Acquisition & Relocation Files -- Interior, NPS-2.

**System location:** All project offices and regional land offices of the National Park Service. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Owners and tenants of land within National Parks.

**Categories of records in the system:** Contains Property Owners' and Tenants' names, assigned tract numbers, addresses, title evidence, appraisals, negotiators' reports, property plats, all documents relative to acquisition of properties by direct purchase, donation, or condemnation proceedings, general correspondence, relocation claims with supporting documents and payments.

**Authority for maintenance of the system:** 16 U.S.C. 1, 44 U.S.C. 3101, and 42 U.S.C. 4651.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is for (1) land acquisition and relocation purposes. Disclosures outside the Department of the Interior may be made (1) to authorized title companies and closing agents for title policies and closings, (2) to the U. S. Department of Justice for preliminary and final title opinions and condemnation proceedings, (3) to the U. S. Department of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license. (6) Disclosures from the record of an individual may be made in response to an inquiry from a Congressional office at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by tract and generally cross-indexed alphabetically by landowner's name. (3) Safeguards -- stored in lockable metal file cabinets or unlocked cabinets in secured rooms or buildings on either U.S. Government-owned or leased facilities. (4) Retention and Disposal -- pertinent land acquisition documents retired to park superintendents' offices and Division of Lands, Washington, when land acquisition matters complete and remainder of file disposed of. Reserved tract relocation files retained at Regional Lands Offices or Division of Land Acquisition, Washington. Pertinent relocation documents filed with NPS finance office and remainder of files disposed of one year after all claims processed for payment.

**System manager(s) and address:** Chief, Division of Land Acquisition, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the regional land offices cited under 'Records Location'.

**Record access procedures:** To see your records, write the Systems Manager or the land acquisition-offices cited under 'Records Location'. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Title Companies, Mapping Contractors, Contract Appraisers, Individuals on whom tract files are maintained.

**Systems exempted from certain provisions of the act:** The Privacy Act does not entitle an individual to have access to any information compiled in reasonable anticipation of a civil action or proceeding.

#### INTERIOR/FNP-3

**System name:** Land Acquisition Management Information System -- Interior, NPS-3.

**System location:** Division of Land Acquisition, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Categories of individuals covered by the system:** Owners of land within National Parks.

**Categories of records in the system:** Management and monitoring of active land acquisition projects. Contains records for each tract acquired, scheduling and progress data, landowners' names and addresses and descriptive data on each tract.

**Authority for maintenance of the system:** 16 U.S.C. 1, 44 U.S.C. 3101, and 42 U.S.C. 4651.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is for (1) land acquisition statistics for NPS personnel, Congressional or public information. Disclosure outside the department

of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license. (3) Disclosures from the record of an individual may be made in response to an inquiry from a Congressional office at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- computerized. (2) Retrievability -- indexed by tract number but retrievable by tract number or landowner's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51. (4) Retention and Disposal -- maintained until superseded by updated or revised version.

**System manager(s) and address:** Chief, Branch of Coordination & Control, Division of Land Acquisition, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Project and Regional Offices.

**Systems exempted from certain provisions of the act:** The Privacy Act does not entitle an individual to have access to any information compiled in reasonable anticipation of a civil action or proceeding.

#### INTERIOR/FNP-4

**System name:** Travel Records -- Interior, NPS-4.

**System location:** (1) Office of the Chief Finance Officer, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240. (2) All regional offices of the National Park Service. (3) Input documents prepared in substantially all facilities of the National Park Service. (See Appendix for regional and other office addresses.)

**Categories of individuals covered by the system:** NPS employees who travel on official business.

**Categories of records in the system:** Traveler's name, address, organization number, amounts of travel funds advanced and/or vouchered, and itinerary.

**Authority for maintenance of the system:** 5 U.S.C. 5701, et seq., 16 U.S.C. 1, 44 U.S.C. 3101, FPM R 101-7, GAO Titles 5 and 7.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is for (1) travel advance control, control of GTR's and preparation of travel authorizations and vouchers. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on prescribed standard forms. (2) Retrievability -- travel advance cards, outstanding GTR's and itineraries are filed alphabetically. Travel authorizations and vouchers are filed numerically, but cross-referenced on the preceding documents. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Retention and Disposal -- Travel records are retained in office of origin three years; then sent to Federal Records Center.

**System manager(s) and address:** Chief Finance Officer, Washington Office (See Location).

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Individual on whom the record is maintained and respective travel office.

#### INTERIOR/FNP-5

**System name:** Retirement Record -- Interior, NPS-5.

**System location:** Office of the Chief Finance Officer, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Categories of individuals covered by the system:** Employees and former employees of NPS.

**Categories of records in the system:** Name, Federal employment history, and retirement contribution of all NPS employees.

**Authority for maintenance of the system:** 5 U.S.C. 8301, et seq., 16 U.S.C. 1 and 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is (1) to keep current the employee's Federal employment history and retirement contribution. Disclosures outside the Department of the Interior may be made (1) to the Civil Service Commission in connection with administration of the Civil Service Retirement System, (2) to another Federal agency for the record of an employee who has transferred to that agency, (3) to the U. S. Department of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Maintained on SF-2806. (2) Retrievability -- Filed alphabetically. (3) Safeguards -- Maintained with safeguards meeting requirements of 43 CFR 2.51 for manual records. (4) Retention and Disposal -- The original SF-2806 is retained until termination of NPS employment. Upon retirement or upon transfer to a Federal agency outside Interior, the original SF-2806 is sent to CSC, and a reference copy is kept for five years. Upon transfer within Interior, the original SF-2806 is sent to the receiving agency, and a reference copy is kept for five years.

**System manager(s) and address:** Chief Finance Officer, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Individual on whom the record is maintained, and pay and personnel records.

#### INTERIOR/FNP-6

**System name:** Audiovisual Performance Selection Files -- Interior, NPS-6.

**System location:** Division of Audiovisual Arts, Harpers Ferry Center, National Park Service, Harpers Ferry, West Virginia 25425.

**Categories of individuals covered by the system:** Actors, actresses, and narrators who have been used in NPS productions.

**Categories of records in the system:** Voice samples and photographs.

**Authority for maintenance of the system:** 16 U.S.C. 1, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is (1) to evaluate voice and photographic quality and to select performers and narrators for NPS productions. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on magnetic tape and in a manual photo index file. (2) Retrievability -- indexed by name of individual. (3) Safeguards -- maintained in accordance with the requirements of 43 CFR 2.51 for manual records. (4) Retention and Disposal -- destroyed when replaced by more recent tape or photograph.

**System manager(s) and address:** Chief, Division of Audiovisual Arts, Harpers Ferry Center, National Park Service, Harpers Ferry, West Virginia 25425.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/FNP-7

**System name:** National Park Service Historical Library -- Interior, NPS-7.

**System location:** Harpers Ferry Center, National Park Service, Harpers Ferry, West Virginia 25425.

**Categories of individuals covered by the system:** Present and retired employees of the NPS and its Associates.

**Categories of records in the system:** Interviews of historical recollections.

**Authority for maintenance of the system:** 16 U.S.C. 1, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is (1) evaluation of historical documents. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license. (3) Disclosures from the record of an individual may be made in response to an inquiry from a Congressional office at the request in response to an inquiry from a Congressional office at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on magnetic tapes or paper documents. (2) Retrievability -- indexed by name of interviewee. (3) Safeguards -- maintained in accordance with requirements of 43 CFR 2.51 for manual records. (4) Retention and Disposal -- retained indefinitely.

**System manager(s) and address:** Chief of Historical Library, Harpers Ferry Center, National Park Service, Harpers Ferry, West Virginia 25425.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Individual on whom record is maintained and recollections of others.

#### INTERIOR/FNP-8

**System name:** Property and Supplies Accountability -- Interior, NPS-8.

**System location:** All National Park Service facilities. (See Appendix for addresses.)

**Categories of individuals covered by the system:** NPS employees, contractors, and contract employees.

**Categories of records in the system:** Contains the user's name and description of the accountable property or supply.

**Authority for maintenance of the system:** 40 U.S.C. 483(b).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is (1) to identify the responsible individual for accountability of property and supplies. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign Agencies responsible for investigating or prosecuting the violation or for for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- accountable capitalized property maintained on computer with subsequent inventory listings furnished to individuals. Inventory listings and hand receipts for other property and supplies maintained manually in file folders arranged by individual names. (2) Retrievability -- indexed by name of individual. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for computerized and manual records. (4) Disposal -- record destroyed when property is returned to stock or when individual is transferred.

**System manager(s) and address:** Chief, Division of Contracting and Property Management, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the offices cited under 'Records Location'.

**Record access procedures:** To see your records write to the offices cited under 'Records Location'. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** Write the Systems Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/FNP-9

**System name:** Advisory Council on Historic Preservation Membership -- Interior, NPS-9.

**System location:** Suite 1030, 1522 K Street, NW, Washington, D.C. 20005.

**Categories of individuals covered by the system:** Past and present Advisory Council members.

**Categories of records in the system:** Contains biographical information, personnel papers, and travel record for individual members.

**Authority for maintenance of the system:** 16 U.S.C. 470i, P.L. 89-665, P.L. 91-243.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is (1) to maintain the necessary records on expenses of members for the conduct of council business and to provide public information on the individual members of the council. Disclosures outside the Department of Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license. (4) Disclosures from the record of an individual may be made in response to an inquiry from a Congressional office at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- standard letter file. (2) Retrievability -- indexed by name. (3) Safeguards -- maintained with safeguards meeting requirements of 43 CFR 2.51 for manual records. (4) Retention and Disposal -- retained indefinitely.

**System manager(s) and address:** Administrative Officer, Advisory Council on Historic Preservation, Suite 1030, 1522 K Street, NW, Washington, D.C. 20005.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Records Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/FNP-10

**System name:** Central Files -- Interior, NPS--10.

**System location:** Mail and Records, Office Services Branch, National Park Service, U. S. Department of the Interior, Washington, D. C.

**Categories of individuals covered by the system:** Members of Congress, NPS employees, and private citizens who have corresponded with the Park Service.

**Categories of records in the system:** Cross-reference copies of replies to correspondence received in the Washington Office from individuals on any given subject.

**Authority for maintenance of the system:** 16 U.S.C. 1 and 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is (1) to use a cross-reference in locating original correspondence, and to determine the action office that replied. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained manually in file folders. (2) Retrievability -- by name of individual. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. Branch employees service requesters during duty hours. (4) Retention and Disposal -- records are retired to the Federal Records Center four years after the close of each two year filing period.

**System manager(s) and address:** Chief, Branch of Mail and Records (Same as Location).

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/FNP-11

**System name:** Congressional Correspondence, Advisory Council on Historic Preservation -- Interior, NPS--11.

**System location:** Suite 1030, 1522 K Street, NW, Washington, D.C. 20005.

**Categories of individuals covered by the system:** Representatives and Senators with whom the Advisory Council has corresponded.

**Categories of records in the system:** Contains copies of correspondence between Advisory Council and Congressional offices.

**Authority for maintenance of the system:** 16 U.S.C. 470i, P.L. 89-665 and P.L. 91-243.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is (1) to the Advisory Council staff for retrieval of correspondence between the Advisory Council and Congressional offices on Advisory Council business. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- originals and

carbon copies maintained in standard letter file. (2) Retrievability -- indexed by name. (3) Safeguards -- maintained with safeguards meeting requirements of 43 CFR 2.51 for manual records. (4) Retention and Disposal -- retained indefinitely.

**System manager(s) and address:** Congressional Liaison Officer, Advisory Council on Historic Preservation, Suite 1030, 1522 K Street, NW, Washington, D.C. 20005.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager.

**Record access procedures:** To see your records write the System Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Individual on whom record is maintained and Congressional correspondence.

#### INTERIOR/FNP-12

**System name:** U.S. Park Police Personnel Photograph File -- Interior, NPS--12.

**System location:** Inspection Branch, United States Park Police Headquarters, 1100 Ohio Drive, S.W., Washington, D.C. 20242.

**Categories of individuals covered by the system:** All U.S. Park Police Officers.

**Categories of records in the system:** Photograph, name and physical description of U.S. Park Police Officers.

**Authority for maintenance of the system:** P.L. 80-447, as amended by P.L. 91-383, Section 4.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is (1) for management to aid in the investigation of personnel complaints. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- in a 5 x 8 Cardex File. (2) Retrievability -- by individual name. (3) Safeguards -- maintained with safeguards meeting requirements of 43 CFR 2.51 for manual records. (4) Retention and Disposal -- after an Officer leaves the Park Police his photograph is properly disposed of.

**System manager(s) and address:** Chief of Police, United States Park Police (See Location).

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records, write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Personnel files and ID photographs.

#### INTERIOR/FNP-13

**System name:** Concessioners -- Interior, NPS--13.

**System location:** Division of Concessions Management, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Categories of individuals covered by the system:** Individuals who are NPS concessioners, (System also contains records on corporations and other business entities holding concession contracts which are not subject to the Privacy Act).

**Categories of records in the system:** Concessioners' names, addresses, types of services provided.

**Authority for maintenance of the system:** 16 U.S.C. 20.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is (1) to maintain a mailing list of concessioners for management information. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when re-

lated to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or Department of the Interior may be made (1) to the U. S. Department statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form on index cards; printed listings maintained on 8 x 10 1/2 paper for distribution. (2) Retrievability -- indexed by park and concessioner's name. (3) Safeguards -- maintained with safeguards meeting requirements of 43 CFR 2.51 for manual records. (4) Retention and Disposal -- maintained on current basis; printed listings updated annually.

**System manager(s) and address:** Chief, Branch of Contracts, Division of Concessions Management, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/FNP-14

**System name:** Concessioner Financial Statement and Audit Report Files -- Interior, NPS-14.

**System location:** Division of Concessions Management, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Categories of individuals covered by the system:** Individuals who are NPS concessioners. (System also contains records, not subject to the Privacy Act, on corporations and other business entities holding concession contracts.)

**Categories of records in the system:** Concessioners names and addresses, annual financial reports, audit reports, and related financial data.

**Authority for maintenance of the system:** 16 U.S.C. 20.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is (1) to management for contract compliance and information. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by park and concessioner's name. (3) Safeguards -- maintained with safeguards meeting requirements of 43 CFR 2.51 for manual records. (4) Retention and Disposal -- retired to Federal Records Center after five years.

**System manager(s) and address:** Chief, Branch of Financial Management, Division of Concessions Management, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** NPS Concessioners, Office of Audit and Investigation.

#### INTERIOR/FNP-15

**System name:** Concessions Management Files -- Interior, NPS-15.

**System location:** Division of Concessions Management, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Categories of individuals covered by the system:** NPS concessioners, and prospective concessioners. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Correspondence and related data concerning award of contracts, negotiation of contracts, and operations pursuant to contracts.

**Authority for maintenance of the system:** 16 U.S.C. 20.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is (1) to management for contract compliance and interpretation. Disclosures outside the department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) OF information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by park and concessioner's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Retention and Disposal -- retired to Federal Records Center after seven years or expiration of contract, as appropriate.

**System manager(s) and address:** Chief, Branch of Contracts, Division of Concessions Management, (see Location).

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Individual on whom record is maintained, park and regional offices.

#### INTERIOR/FNP-16

**System name:** Position and Manpower Reporting System (PMRS) -- Interior, NPS-16.

**System location:** Chief, Office of Programming and Budgeting, National Park Service, U. S. Department of the Interior, Washington, D. C. 20240

**Categories of individuals covered by the system:** All NPS employees.

**Categories of records in the system:** About 30 data elements on positions including personal and employment information.

**Authority for maintenance of the system:** 16 U.S.C. 1, 5 U.S.C. 301, 43 U.S.C. 1457, OMB Circular A-11.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is (1) to issue reports on authorized positions and data related to positions and the incumbents. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on



lape. (2) Retrievability -- indexed alphabetically by name and by position number and organization code. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for automated records. (4) Retention and Disposal -- when incumbent leaves position, all personal information is purged.

**System manager(s) and address:** Chief, Division of Programming and Budget, (See Location).

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Department of the Interior Integrated Personnel and Payroll System.

**INTERIOR/FNP-17**

**System name:** Employee Financial Irregularities -- Interior, NPS--17.

**System location:** Office of the Chief Finance Officer, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Categories of individuals covered by the system:** Employees and former employees of NPS.

**Categories of records in the system:** NPS employees or former employees with actual or claimed employment related financial irregularities.

**Authority for maintenance of the system:** 16 U.S.C. 1 and 44 U.S.C. 3101

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is (1) to aid management in seeking recovery of funds stolen or otherwise misappropriated. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation, or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on letter memos, memos for record, and investigation reports conducted by park Administration, Secretary's Office, U.S. Secret Service, or FBI. (2) Retrievability -- cases filed alphabetically. (3) Safeguard -- maintained with safeguards meeting requirements of 43 CFR 2.51 for manual records. (4) Retention and Disposal -- case file is maintained 10 years after final disposition.

**System manager(s) and address:** Chief Finance Officer, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Record source categories:** Individual on whom record is maintained and correspondence from financial organizations.

**Systems exempted from certain provisions of the act:** Under the specific exemption authority provided by 5 U.S.C. 552a(k)(2), the Department of the Interior has adopted a regulation, 43 CFR 2.79(b), which exempts this system from the provisions of 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H) and (I) and (f) and the portions of 43 CFR, Part 2, Subpart C which implement these provisions. The reasons for adoption of this regulation are set out at 40 FR 37217 (August 26, 1975).

**INTERIOR/FNP-18**

**System name:** Collection, Certifying and Disbursing Officers, and Imprest Fund Cashiers -- Interior, NPS--18.

**System location:** (1) Office of the Chief Finance Officer, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240. (2) All regional offices, and area offices with the above functions. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Employees of NPS with the above funds handling titles.

**Categories of records in the system:** Name, address, title, and dates of appointment and cancellation of same.

**Authority for maintenance of the system:** 16 U.S.C. 1 and 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is (1) the control of funds handling appointments. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on letter memos or prescribed standard forms in OPF's and in responsible offices files. (2) Retrievability -- OPF's are filed alphabetically, and respective office files are in title sequence. (3) Safeguard -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Retention and Disposal -- standard retention procedure is followed for OPF copies. Individual office files are maintained for active appointments only.

**System manager(s) and address:** Chief Finance Officer (See Location).

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Individual on whom the record is maintained.

**INTERIOR/FNP-19**

**System name:** Law Enforcement Statistical Reporting System, incident card reference and related files -- Interior, NPS--19.

**System location:** (1) U.S. Park Police, 1100 Ohio Drive, S.W., Washington, D.C. 20242. (2) National Park areas (See Appendix for addresses.)

**Categories of individuals covered by the system:** Individual complainants in criminal cases, individuals investigated or arrested for criminal or traffic offenses, or involved in motor vehicle accidents or certain types of non-criminal incidents.

**Categories of records in the system:** Name of individual, date and case number of incident, and report of incident.

**Authority for maintenance of the system:** 16 U.S.C. 1.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (1) to identify incidents in which individuals were involved, (2) to retrieve the report for information for the individual involved, such as accident reports and reports of found property, (3) to aid NPS law enforcement officers on a need to know basis. Disclosures outside the Department of the Interior may be made (1) to law enforcement officers from other agencies in their work on a need to know basis, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation, or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- manual records. (2) Retrievability -- manual, by name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Retention and Disposal -- records are maintained for various lengths of time, depending on the seriousness of the incident.

**System manager(s) and address:** Associate Director, Park Systems Management, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Record source categories:** Incident information obtained from individual on whom information is maintained, witnesses and investigating officials.

**Systems exempted from certain provisions of the act:** Under the general exemption authority provided by 5 U.S.C. 552a(j)(2), the Department of the Interior has adopted a regulation, 43 CFR 2.79(a), which exempts this system from all of the provisions of 5 U.S.C. 552a and the regulations in 43 CFR, Part 2, Subpart C, except subsections (b), (c)(1) and (2), (e)(4)(A) through (F), (e)(6), (7), (9), (10) and (11) and (I) of 5 U.S.C. 552a and the portions of the regulations in 43 CFR, Part 2, Subpart C implementing these subsections. The reasons for adoption of this regulation are set out at 40 FR 37217 (August 26, 1975).

#### INTERIOR/FNP-20

**System name:** Payroll -Interior, NPS -- 20.

**System location:** (1) Division of Finance, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240. (2) All regional offices and field areas of the National Park Service. (See appendix for addresses.)

**Categories of individuals covered by the system:** All NPS employees.

**Categories of records in the system:** Name, social security number and employee number, grade, step, and salary; organization (code), retirement or FICA data as applicable; Federal, State, and local tax deductions, as appropriate; IRS tax lien data; savings bond and charity deductions; regular and optional Government life insurance deductions; health insurance deduction and plan or code; cash award data; jury duty data; military leave data; pay differentials; quarters deductions; allotments, by type and amount; financial institution code and employee account number; leave status and leave data of all types (including annual, compensatory, jury duty, maternity, military, retirement disability, sick, transferred, and without pay); time and attendance records, including number of regular, overtime, holiday, Sunday, and other hours worked; pay period number and ending date; cost of living allowances; mailing address; co-owner and/or beneficiary of bonds, marital status and number of dependents; and 'Notification of Personnel Action'. The individual records listed herein are included only as pertinent or applicable to the individual employee.

**Authority for maintenance of the system:** 5 U.S.C. 5101, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is (1) to maintain fiscal operations for payroll, leave, insurance, tax, retirement and cost programs. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of the Treasury for preparation of payroll checks and payroll deduction and other checks to Federal, State, and local government agencies, non-governmental organizations and individuals, (2) to the Internal Revenue Service and to State, commonwealth, territorial and local governments for tax purposes, (3) to the U. S. Civil Service Commission in connection with the Civil Service Retirement System, (4) to another Federal agency to which an employee has transferred, (5) to the U. S. Department of Justice when related to litigation or anticipated litigation, (6) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation, or for enforcing or implementing the statute, rule, regulation, order or license; (7) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- automated records maintained on microfilm and hard copy; manually prepared input documents maintained on various sized cards and coding sheets. (2) Retrievability -- indexed by name, social security number, and organizational code. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51. (4) Retention and Disposal -- retained on site until after GAO audit, then disposed of, or transferred to Federal Records Storage Centers in accordance with the fiscal records program approval by GAO, as appropriate, or General Record Schedules of GSA.

**System manager(s) and address:** Chief Finance Officer, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under 'Records Location'.

**Record access procedures:** To see your records write the Systems Manager or the offices cited under 'Records Location.' Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Time and Attendance Records and an automated version of the Standard Form 50, 'Notification of Personnel Action, Form 9-500'.

#### INTERIOR/FNP-21

**System name:** Visitor Statistical Survey Forms -- Interior, NPS -- 21.

**System location:** Various National Park Service areas within the NPS system. (See Appendix for addresses).

**Categories of individuals covered by the system:** Visitors to NPS administered areas who have participated in surveys conducted during their visits to the areas or via mail or telephone as a result of their visit.

**Categories of records in the system:** Names, some addresses, some telephone numbers, and information obtained during the surveys on completed questionnaires or by in-person or telephone interviews, or both. The survey information includes experiences, ideas, and expressions collected voluntarily from the visitors on what they think of the area's resources, facilities, and area programs. The responses are treated confidentially and are used only to compile statistical information.

**Authority for maintenance of the system:** Federal Reports Act of 1942, 44 U.S.C. 3501 - 3511, Federal Records Act of 1950, 44 U.S.C. 2904 and 3102, and OMB Circular A-40 Revised May 3, 1973.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (1) to evaluate existing management programs through statistical analysis of the replies furnished by the visitors, (2) to develop new thrusts that might be suggested by the visitors' comments. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- usually maintained in file folders. (2) Retrievability -- sometimes filed alphabetically by name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Retention and Disposal -- when personal data included, questionnaires and interview sheets are usually destroyed after aggregation of responses so that individual identification will no longer be possible. Others are retained until final completion of the survey and then destroyed.

**System manager(s) and address:** Chief, Statistical Unit, National Park Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the offices cited under 'Records Location'.

**Record access procedures:** To see your records write to the offices cited under 'Records Location.' Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/FOR-1

**System name:** Congressional Correspondence File -- Interior, BOR -- 1.

**System location:** Bureau of Outdoor Recreation, U.S. Department of the Interior Washington, D.C. 20240.

**Categories of individuals covered by the system:** Members of Congress with whom BOR corresponds.

**Categories of records in the system:** Responses to Congressional inquiries; copies of individual LWCF grant-in-aid notifications sent to each Representative and Senator; miscellaneous briefing statements.

**Authority for maintenance of the system:** 16 U.S.C. 4601 to 4601-3; 16 U.S.C. 4601-4 to 4601-11, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) easy retrieval for immediate response to telephone inquiries from members of Congress, staff members or constituents, on matters concerning L&WCF grant notifications. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, or appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- the records are stored in paper form. (2) Retrievability -- the system is indexed alphabetically by States and by Members of Congress from each State. (3) Safeguards -- these records will be maintained in accordance with the security guidelines established by the Department of the Interior. (4) Retention and Disposal -- system is maintained for current and immediate past sessions of Congress. All material predating these periods is disposed of.

**System manager(s) and address:** Staff Assistant, Office of Congressional Affairs, Bureau of Outdoor Recreation, Washington, D.C. 20240.

**Notification procedure:** All inquiries should be directed to the System Manager. See 43 CFR 2.60 for content requirements.

**Record access procedures:** Request for access should be directed to the System Manager, Bureau of Outdoor Recreation, Washington, D.C. 20240. See 43 CFR 2.63 for content requirements.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** (1) Incoming - Members of Congress, Member's staff, Committee staff, constituents. (2) Outgoing - Department of the Interior personnel involved in program areas about which inquiries are made. (3) Federal grant-in-aid notification forms.

#### INTERIOR/FOR-2

**System name:** Payroll System -- Interior, BOR--2.

**System location:** (1) Department of the Interior, Bureau of Outdoor Recreation, 603 Miller Court, Denver, Colorado. (2) Department of the Interior, Bureau of Outdoor Recreation, Washington, D.C. 20240. (3) Input documents supplied by all Regional Offices of the Bureau of Outdoor Recreation (See Appendix for addresses).

**Categories of individuals covered by the system:** Payroll and leave information for individual current and former employees of the Bureau of Outdoor Recreation.

**Categories of records in the system:** (1) Payroll copy of personnel action (S.F. 50) including whether employee is subject to retirement deductions. (2) W-4 tax withholding certificate. (3) State tax withholding certificate. (4) Health Benefit form. (5) Life insurance form. (6) United Givers Fund. (7) United States Savings Bond Authorizations. (8) Union dues withholding authorization. (9) Allotment and assignment of pay authorization. (10) Deduction for refund of travel advances when required. (11) Net check - designated agent or mailed to bank. (12) Time and Attendance Reports, bi-weekly showing time worked, leave taken, fiscal year, appropriation, organization, and program work code chargeable. (13) Change in earnings and/or deduction bi-weekly. (14) Employees individual master file. (15) Payroll register bi-weekly showing gross amount paid, amounts deducted and net pay. (16) Earnings record for calendar year showing amount paid in gross for each bi-weekly period or by a supplemental payroll showing amounts deducted and net pay for the full calendar year. (17) Retirement records (S.F. 2806) unless they have been forwarded to another agency or the

Civil Service Commission. (18) Report of wages taxable under the Federal Insurance Contribution Act. (19) Other related payroll and leave documentation.

**Authority for maintenance of the system:** 5 U.S.C. 5101, et seq., Section 113 of the Budget and Accounting Procedures Act of 1950, as amended, 31 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to compute gross earnings; (b) to make deductions as applicable for items listed under category of records; (c) to have net pay checks issued to employees; (d) to furnish information to state agencies as requested for former employees applying for unemployment benefits. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (4) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are maintained on magnetic tapes, disks, folders, and print-out forms. (2) Retrievability -- records are indexed by name, social security number, and date of birth. (3) Safeguards -- access to and use of these records are limited to those persons whose official duties require such access. Personnel screening is employed to prevent unauthorized disclosure. (4) Retention and Disposal -- in accordance with General Records Schedule 2, where applicable and as approved by the General Accounting Office.

**System manager(s) and address:** (1) Accounting Officer, Bureau of Outdoor Recreation, Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (2) For former Federal employees: The same as above except if the information requested has been retired to the Federal Records Center or the Civil Service Commission they will be advised.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** (1) Personnel System. (2) Agency Officials. (3) Action taken by individual to whom record applies.

#### INTERIOR/FOR-3

**System name:** Management by Objectives -- Interior, BOR--3.

**System location:** (1) Bureau of Outdoor Recreation, Department of the Interior, Washington, D.C. 20240. (2) All Regional Offices of the Bureau of Outdoor Recreation. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Bureau of Outdoor Recreation employees who have identified a specific program objective to be accomplished.

**Categories of records in the system:** Individual employees and manager names. Program Objective Statement. Man Days and Material Costs. Statements of measurement. Progress review statements. Tasks required to accomplish objectives. Dates.

**Authority for maintenance of the system:** 16 U.S.C. 4601 to 4601-3; 16 U.S.C. 4601-4 to 4601-11, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) Project Management; (b) coordination and integration of BOR work plans with other participating Federal/State agencies. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation.

tion or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- paper, binders, file cabinets. (2) Retrievability -- by objective identification number, organization name, and individual employee name. (3) Safeguards -- standard file cabinet. (4) Retention and Disposal -- records are maintained until completion of project.

**System manager(s) and address:** Director, Bureau of Outdoor Recreation, Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Inquiries should be directed to (1) the Chief, Office of Systems Management, Bureau of Outdoor Recreation, Washington, D.C. 20240 for Washington office employees; (2) Regional Director, appropriate Regional Office listed in the appendix for Regional Office employees. See 43 CFR 2.60.

**Record access procedures:** Requests for access should be addressed as follows: (1) Washington Office employees should contact the Assistant Director for Management and Budget, Department of the Interior, Bureau of Outdoor Recreation, Washington, D.C. 20240; (2) Regional Office employees should contact the Regional Director at the appropriate Regional Office listed in the Appendix. See 43 CFR 2.63.

**Contesting record procedures:** Petitions for correction should be addressed as follows: (1) Washington Office employees should contact the Assistant Director for Management and Budget, Department of the Interior, Bureau of Outdoor Recreation, Washington, D.C. 20240; (2) Regional Office employees should contact the Regional Director at the appropriate Regional Office listed in the Appendix. See 43 CFR 2.71.

**Record source categories:** (1) Individual employees. (2) Supervisors. (3) Cooperating individuals. (4) Participating organizations.

#### INTERIOR/FOR-4

**System name:** Motor Vehicle Operations Program -- Interior, BOR--4.

**System location:** Bureau of Outdoor Recreation, Department of the Interior, Washington, D.C. 20240. (2) All Bureau of Outdoor Recreation Regional Offices (see Appendix for addresses).

**Categories of individuals covered by the system:** Bureau of Outdoor Recreation employees who are assigned U.S. Government Motor Vehicle Operator Permits for temporary use.

**Categories of records in the system:** Information identifying the employee such as: name, sex, birth date, color of hair, color of eyes, height, weight, birthplace, social security number, accident summary, accident reports, driver's license number, date issued, date expires, types of vehicle operated, corrective lenses, and hearing aids.

**Authority for maintenance of the system:** Section 211j of the Federal Property and Administrative Services Act of 1949, as amended, 40 U.S.C. 491j.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) authorize a person to operate a government vehicle. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- applications and permits are maintained in paper form housed in filing cabinet. (2) Retrievability -- permits are by card number. Accident reports are by calendar year. (3) Safeguards -- completed forms maintained in Official Personnel Folder in locked cabinets. (4) Disposal -- permits are issued for a period of three years--then destroyed.

**System manager(s) and address:** Personnel Management Specialist, Bureau of Outdoor Recreation, Washington, D.C. 20240.

**Notification procedure:** Inquiries should be directed to: (1) System Manager, Bureau of Outdoor Recreation, Washington, D.C. 20240,

for Washington Office employees; and, (2) Administrative Officer, appropriate Regional Office listed in the Appendix, for Regional Office employees. See 43 CFR 2.60.

**Record access procedures:** Requests for access should be addressed as follows: (1) Washington Office employees should contact the System Manager; (2) Regional employees should contact the appropriate Administrative Officer at the location listed in the Appendix. See 43 CFR 2.63.

**Contesting record procedures:** Petitions for correction should be addressed as follows: (1) Washington Office employees should contact the System Manager; (2) Regional employees should contact the appropriate Administrative Officer at the location listed in the Appendix.

**Record source categories:** Individual, Agency Officials, local and State authorities.

#### INTERIOR/FOR-5

**System name:** Financial Management System -- Interior, BOR--5.

**System location:** (1) Bureau of Outdoor Recreation, Department of the Interior, Washington, D.C. 20240. (2) All Regional Offices of the Bureau of Outdoor Recreation (See Appendix for addresses.) (3) Bureau of Mines, ADP Division, Denver Federal Center, Denver, Colorado.

**Categories of individuals covered by the system:** Present and past employees of the Bureau of Outdoor Recreation.

**Categories of records in the system:** Work performed by employees.

**Authority for maintenance of the system:** 16 U.S.C. 4601 to 4601-3; 16 U.S.C. 4601-4 to 4601-11, et seq., 31 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) Labor Cost History; (b) work load analysis; (c) Project Management; (d) Manpower Analysis. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- computer disk, mag-tape, paper records. (2) Retrievability -- by individual name, project identification number, program work code, organization. (3) Safeguards -- physical security of ADP aspects; file cabinets for paper copy. (4) Retention -- three years.

**System manager(s) and address:** Chief, Office of Systems Management, Department of the Interior, Bureau of Outdoor Recreation, Washington, D.C. 20240.

**Notification procedure:** Inquiries should be directed to: (1) System Manager, Bureau of Outdoor Recreation, Washington, D.C. 20240 for Washington Office employees; (2) Regional Director, appropriate Regional Office listed in the appendix for Regional Office employees. See 43 CFR 2.60.

**Record access procedures:** Requests for access should be addressed as follows: (1) Washington Office employees should contact the System Manager; (2) Regional Office employees should contact the appropriate Regional Director at the location listed in the Appendix. See 43 CFR 2.63.

**Contesting record procedures:** Petitions for amendment should be addressed as follows: (1) Washington Office employees should contact the System Manager; (2) Regional Office employees should contact the appropriate Regional Director at the location listed in the Appendix. See 43 CFR 2.71 for content requirements for petitions.

**Record source categories:** Payroll System.

#### INTERIOR/FOR-6

**System name:** Property Hand Receipt File -- Interior, BOR--6.

**System location:** (1) Bureau of Outdoor Recreation, Department of the Interior, Washington, D.C. 20240. (2) All Bureau of Outdoor Recreation Regional Offices (See Appendix for addresses.)

**Categories of individuals covered by the system:** Department of Interior employees who are assigned Bureau of Outdoor Recreation Personal Property for temporary or short-term use.

**Categories of records in the system:** Information which identifies the property being assigned to an individual; such as, name of item, model number, serial number, property number, or other descriptive detail. Also the date(s) the item was issued and/or returned and the signature of the recipient.

**Authority for maintenance of the system:** Section 202(b) of the Federal Property and Administrative Services Act of 1949, as amended, 40 U.S.C. 483(b).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) control of U. S. Government property consigned to an individual employee for temporary or short-term use; such as, hand calculators, transportation request books, rent-a-car cards, cameras, tape recorders, dictating equipment, and other portable items for which accountability records are required. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- the records are maintained in a 3x5 card box file. (2) Retrievability -- the system is indexed alphabetically by the name of the individual to whom the items are consigned. (3) Safeguards -- records are located in lockable metal file cabinets with access limited to those persons whose official duties require access. (4) Retention and Disposal -- each hand receipt is voided upon return of the property in satisfactory condition; retained on file for one year, then destroyed.

**System manager(s) and address:** Management Officer, Bureau of Outdoor Recreation, Washington, D.C. 20240.

**Notification procedure:** All inquiries should be directed to the System Manager. See 43 CFR 2.60.

**Record access procedures:** Requests for access should be directed to the Management Officer, Bureau of Outdoor Recreation, Washington, D.C. 20240, or to the Administrative Officer at the applicable Regional location listed in the Appendix. See 43 CFR 2.63.

**Contesting record procedures:** Petitions for correction should be directed to the System Manager. See 43 CFR 2.71.

**Record source categories:** (1) Individual to whom the record pertains. (2) Agency officials. (3) Property items.

#### INTERIOR/FOR-7

**System name:** Travel and Transportation Automated Accounting System -- Interior, BOR--7.

**System location:** (1) Bureau of Outdoor Recreation, Department of the Interior, Washington, D.C. 20240. (2) All Regional Offices, Bureau of Outdoor Recreation (See Appendix for addresses.)

**Categories of individuals covered by the system:** Current and former employees of the Bureau who travel on official business, including travel of employees and their dependents in connection with a permanent change of station.

**Categories of records in the system:** (1) Travel Authorizations. (2) Travel Advances. (3) Transportation Requests. (4) Travel Vouchers claiming reimbursement for travel expenses while on temporary duty. (5) Travel Vouchers covering reimbursement in connection with permanent changes of station for transportation and per diem of employee and dependents. (6) These vouchers may also include reimbursement for shipment of household goods, advance househunting trip, real estate transactions, and other related transportation and travel records.

**Authority for maintenance of the system:** 5 U.S.C. 5701-5709, 5721-5733, 5742b and 5722, Executive Order 11609 (July 22, 1971).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to provide posting media for the accounting system; (b) to support payments, obligations, and other financial transactions; (c) provide support to the accountable officers accounts. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or imple-

menting the statute, rule, regulation, order or license; (3) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (4) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records are maintained in file folders, paper tape, and punched cards. (2) Retrievability -- copies and/or originals are filed alphabetically by name. (3) Safeguards -- access to and use of these records are limited to those persons whose official duties require such access. (4) Retention and Disposal -- in accordance with General Records Schedule 9 where applicable and as approved by the General Accounting Office and GSA.

**System manager(s) and address:** Accounting Officer, Bureau of Outdoor Recreation, Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** (1) Individual employees. (2) Supervisors, Managers. (3) Agency officials. (4) Travel Regulations. (5) Common Carrier Companies.

#### INTERIOR/FWS-1

**System name:** Labor Cost Information Records -- Interior, FWS--1.

**System location:** Denver Service Center, U.S. Fish and Wildlife Service, Department of the Interior, P.O. Box 25346, Denver Federal Center, Denver, Colorado 80225.

**Categories of individuals covered by the system:** All employees of the U.S. Fish and Wildlife Service.

**Categories of records in the system:** Contains time and cost data by organization, by employee.

**Authority for maintenance of the system:** Budget and Accounting Procedures Act of 1950, 64 Stat. 832.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to serve as a tool in the financial and manpower management of service programs. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to on or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; and (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on microfilm (one microfilm for each biweekly pay period) with a computer printout produced quarterly and distributed to managers at field stations, area offices and Regional and Washington Office divisions and offices. (2) Retrievability -- by organization, by employee. (3) Safeguards -- maintained in accordance with the provisions of 43 CFR 2.51. (4) Disposal -- made in accordance with FPMR 101-11.4 dated August 1, 1974.

**System manager(s) and address:** Director, Denver Service Center, U.S. Fish and Wildlife Service, Department of the Interior, P.O. Box 25346, DFC, Denver, Colorado 80225.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Time and Attendance Records maintained by Timekeepers.

#### INTERIOR/FWS- 2

**System name:** Travel Records -- Interior, FWS--2.

**System location:** Denver Service Center, U.S. Fish and Wildlife Service, Department of the Interior, P.O. Box 25346, Denver Federal Center, Denver, Colorado 80225. (2) Input documents supplied by all facilities of the U.S. Fish and Wildlife Service. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Individuals who have performed official travel for the U.S. Fish and Wildlife Service.

**Categories of records in the system:** Contains authorizations to perform travel, travel advance records, and vouchers claiming reimbursement for expenses incurred in the performance of travel.

**Authority for maintenance of the system:** 5 U.S.C. 5701-5709; 5 U.S.C. 5721-5733 and 20 U.S.C. 905(a); 5 U.S.C. 5722 and 5 U.S.C. 5742(b); and 5 U.S.C. 4111(b).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to form the legal basis for the disbursement of federal funds. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; and (5) to Federal, State, or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form on 8 x 10 1/2 and 8 x 5 forms in file folders. (2) Retrievability -- indexed by name of traveler. (3) Safeguards -- maintained in accordance with the provisions of 43 CFR 2.51. (4) Disposal -- made in accordance with FPMR 101-11.4 dated August 1, 1974.

**System manager(s) and address:** Director, Denver Service Center, U.S. Fish and Wildlife Service, Department of the Interior, P.O. Box 25346, DFC, Denver, Colorado 80225.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Office initiating the travel authorization and individual on whom the record is maintained.

#### INTERIOR/FWS- 3

**System name:** Security File -- Interior, FWS-- 3.

**System location:** Office of Safety and Security, U. S. Fish and Wildlife Service, Main Interior Building, 18th and C Streets, N. W., Washington, D. C. 20240.

**Categories of individuals covered by the system:** Occupants of critical-sensitive and non-critical sensitive positions.

**Categories of records in the system:** Contains notice of level of security clearance granted to the individual or notice of favorable full-field report as well as SF-86 supplied by individual, as appropriate.

**Authority for maintenance of the system:** Executive Order 10450.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to (a) document security clearances granted to individuals, and (b) to document suitability determinations for Federal employment. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; and (5) to Federal, State, or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- file maintained in individual folders. (2) Retrievability -- folders identified by employee name. (3) Safeguards -- folders contained in locked cabinet. (4) Retention and Disposal -- destroyed when clearance requirement no longer exists or when employee separates.

**System manager(s) and address:** Chief, Office of Safety and Security, U. S. Fish and Wildlife Service, Main Interior Building, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Information supplied by individual and the U.S. Civil Service Commission.

#### INTERIOR/FWS- 4

**System name:** Tort Claim Records -- Interior, FWS--4.

**System location:** (1) Division of Contracting and General Services, U.S. Department of the Interior, Fish and Wildlife Service, Washington, D.C. 20240. (2) regional offices of Fish and Wildlife Service. (See Appendix for regional addresses).

**Categories of individuals covered by the system:** Claimants for damages to personal property or personal injury.

**Categories of records in the system:** Contains information regarding the individual who is required to evaluate a claim for damage to personal property or personal injury, i.e., name, address, insurance company, estimates of repair costs, accident reports by Government officials, law enforcement officials, attorneys, hospital and doctors' reports and bills for service, statements from witnesses.

**Authority for maintenance of the system:** Federal Tort Claims Act (28 U.S.C. 2671-2680)

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is for evaluation by tort claims officers, attorneys in the Office of the Solicitor, Department of the Interior. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated

litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in paper form, 8 x 10 1/2, in 'Tort Claim' files. (2) Retrievability -- by name of claimant. (3) Safeguards -- maintained in compliance with provisions of 43 CFR 2.51. (4) Retention and Disposal -- disposed four years after settlement of claim. Record copies held by Office of the Solicitor, Department of the Interior.

**System manager(s) and address:** Chief, Division of Contracting and General Services, U.S. Fish and Wildlife Service, Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors with respect to records located in the office for which each is responsible. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors, with respect to records located in the office for which each is responsible. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual submitting claim; investigative reports, including statements from witnesses; medical reports.

**Systems exempted from certain provisions of the act:** The Privacy Act does not entitle an individual to access to information compiled in reasonable anticipation of a civil action or proceeding.

#### INTERIOR/FWS- 5

**System name:** National Wildlife Refuge Special Use Permits -- Interior, FWS-5.

**System location:** Regional offices of the Fish and Wildlife Service and National Wildlife Refuges. (See Appendix for addresses).

**Categories of individuals covered by the system:** Applicants for special use permits and cooperative farm agreements on Service lands.

**Categories of records in the system:** Contains the name, address of cooperative/permittees, types of special uses, period of use, and any special conditions.

**Authority for maintenance of the system:** The National Wildlife Refuge System Administration Act of 1966 (16 U.S.C. 688dd-ee). See 50 CFR, Parts 29 and 32.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is identification of personnel having special use permits and cooperative farming agreements on National Wildlife Refuges. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on 8 x 10 1/2 inch paper. (2) Retrievability -- indexed by name. (3) Safeguards -- records are maintained in accordance with the provisions of 43 CFR 2.51. (4) Retention and Disposal -- special use permits and cooperative farming agreements are usually maintained not more than one year following the period of use.

**System manager(s) and address:** Chief, Division of Wildlife Refuges, Fish and Wildlife Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors or refuge managers, with respect to records located in the office or facility for which each is responsible. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors or refuge managers, with respect to records located in the office or facility for which each is responsible. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/FWS- 6

**System name:** Hunting and Fishing Survey Records -- Interior, FWS-6.

**System location:** Division of Federal Aid, Fish and Wildlife Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Categories of individuals covered by the system:** Individuals selected at random to supply information concerning wildlife associated recreation.

**Categories of records in the system:** Contains days of participation and expenditures of individuals participating in hunting, fishing and nonconsumptive wildlife activities.

**Authority for maintenance of the system:** Federal Property and Administrative Services Act of 1949, as amended; the Fish and Wildlife Act of 1956 (16 U.S.C. 742a-742j); the Federal Aid in Wildlife and Fish Restoration Acts of 1937 and 1950, as amended, 16 U.S.C. 777-777k, 669-669i.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is the development of statistical analyses to assist in the management of the Nation's wildlife resources. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order, or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- magnetic tape. (2) Retrievability -- indexed by identification number. (3) Safeguards -- maintained in accordance with the provisions of 43 CFR 2.51. (4) Retention and Disposal -- records maintained until summary analyses are completed, after which the names and addresses will be destroyed (January 1, 1978).

**System manager(s) and address:** Chief, Division of Federal Aid, Fish and Wildlife Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/FWS- 7

**System name:** Water Development Project and/or Effluent Discharge Permit Application Review -- Interior, FWS--7.

**System location:** (1) Division of Ecological Services, Fish and Wildlife Service, U.S. Department of the Interior, Washington, D.C. 20240. (2) All regional, area, and field offices of the Division of Ecological Services (See Appendix for addresses).

**Categories of individuals covered by the system:** Individuals who apply for permits from other regulatory agencies including the Corps of Engineers, and the Environmental Protection Agency. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Contains some public notices or permit applications from regulatory agencies which give name, address, and description of work that the applicant is requesting authorization to perform. In order to adequately evaluate the proposed project's effect on fish and wildlife resources, additional project information is at times requested and therefore on file. Environmental impact statements and environmental assessments on some proposed projects also are on file.

**Authority for maintenance of the system:** Fish and Wildlife Coordination Act, as amended (16 U.S.C. 661-666c; 48 Stat. 401).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to review and comment on permit applications. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on 8 x 10 1/2 inch paper in file folders. (2) Retrievability -- indexed by State, name of applicant, and public notice number. (3) Safeguards -- maintain records in accordance with provisions of 43 CFR 2.51. (4) Retention and Disposal -- varies in each office location but generally held from two to five years and then either sent to Records Center or destroyed.

**System manager(s) and address:** Chief, Division of Ecological Services, Fish and Wildlife Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors, area directors and managers, field supervisors, and biologists-in-charge, with respect to records located in the office or facility for which each is responsible. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors, area directors and managers, field supervisors and biologists-in-charge, with respect to records located in the office or facility for which each is responsible. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Regulatory agency from which permit is requested, State, and the individual on whom the record is maintained.

#### INTERIOR/FWS- 8

**System name:** Fish Disease Inspection Report -- Interior, FWS--8.

**System location:** (1) Division of Fish Hatcheries, Fish and Wildlife Service, U.S. Department of the Interior, Washington, D.C.

20240. (2) Regional offices of Fish and Wildlife Services. (See Appendix for Regional addresses).

**Categories of individuals covered by the system:** Commercial trout farmers who request that their fish be inspected for known fish diseases. The majority of the commercial trout farmers are business establishments, however, there may be some private individuals involved. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Name and address of requester and information concerning disease.

**Authority for maintenance of the system:** 18 U.S.C. 42-44, 3054, 3112, 62 Stat. 687, 83 Stat. 281, Fish and Wildlife Act of 1956, 16 U.S.C. 742A-742L; Fish and Wildlife Coordination Act, 16 U.S.C. 661-666C.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of fish disease inspection records maintained in the system is to certify the disease status of populations of fish in the case of transferring, marketing, or distribution control. Disclosures outside the Department of the Interior may be made (1) to the appropriate agency or agencies, whether Federal, State, local or foreign, charged with the responsibility of investigating or prosecuting a violation or potential violation of a statute, regulation, rule, order or license, whether civil, criminal or regulatory; or charged with enforcing or implementing the statute, rule, regulation, order or license violated or potentially violated; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on 8 x 10 1/2 inch Standard Form 3-226. (2) Retrievability -- indexed by name. (3) Safeguards -- records maintained in accordance with the provisions of 43 CFR 2.51. (4) Retention and Disposal -- indefinite.

**System manager(s) and address:** Chief, Division of Fish Hatcheries, Fish and Wildlife Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors with respect to records located in the office for which each is responsible. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors with respect to records located in the office for which each is responsible. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual or entity that requests the inspection.

#### INTERIOR/FWS- 9

**System name:** Farm Pond Stocking Program -- Interior, FWS--9.

**System location:** Regional offices of the Fish and Wildlife Service and National Fish Hatcheries (See Appendix for addresses).

**Categories of individuals covered by the system:** Applicants for stocking private farm ponds with fish.

**Categories of records in the system:** Name, address, size of pond, species of fish requested and other information needed to evaluate application.



**Authority for maintenance of the system:** Ponds are stocked in conjunction with the Agricultural Stabilization and Conservation Programs of the Department of Agriculture. Fish and Wildlife Coordination Act, 16, U.S.C. 661-666C.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to insure that the stocking policy is maintained and to keep track of where various species have been stocked. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- the records are maintained on 3 1/2 x 9 inch cards. (2) Retrievability -- indexed by name. (3) Safeguards -- records are maintained in accordance with the provisions of 43 CFR 2.51. (4) Retention and Disposal -- destroyed after ten years.

**System manager(s) and address:** Regional directors (See Appendix for addresses).

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the System Managers, with respect to records located in their offices, and to Hatchery Managers with respect to records located in the facility for which each is responsible. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager, with respect to records located in their offices, and to Hatchery Managers, with respect to records located in the facility for which each is responsible. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/FWS-10

**System name:** National Fish Hatchery Special Use Permits -- Interior, FWS-10.

**System location:** Regional offices of Fish and Wildlife Service and National Fish Hatcheries where records are maintained (See Appendix for addresses).

**Categories of individuals covered by the system:** Individuals who have made application for special use permits on National Fish Hatcheries.

**Categories of records in the system:** Contains the name, address of permittees, types of special uses, period of use, and any special conditions.

**Authority for maintenance of the system:** 16 U.S.C. 460k-3; 16 U.S.C. 664. See 50 CFR 70, 71.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to limit and control the use of the property at the National Fish Hatcheries. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on 8 x 10 1/2 inch paper. (2) Retrievability -- indexed by name. (3) Safeguards -- records are maintained in accordance with the provisions of 43 CFR 2.51. (4) Retention and Disposal -- destroyed after one year following period of use.

**System manager(s) and address:** Chief, Division of Fish Hatcheries, Fish and Wildlife Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors and Hatchery Managers, with respect to records located in the office or facility for which each is responsible. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors and Hatchery Managers, with respect to records located in the office or facility for which each is responsible. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/FWS-11

**System name:** Real Property Records -- Interior, FWS-11.

**System location:** Regional Offices, Area Offices and Alaska Area Office (see Appendix for addresses).

**Categories of individuals covered by the system:** Landowners, tenants and permittees.

**Categories of records in the system:** Records consist of individual files, i.e., title file; case file; and correspondence file. Along with this material is the corresponding tract appraisal report. The title file contains title evidence, original instrument of conveyance, copy of acquisition contract, title curative and closing data, title opinions, survey description and plat, payment vouchers, and appraisal summary. The case file contains a copy of the acquisition contract, copy of instrument of conveyance, closing data, survey description and plat, payment vouchers and appraisal summary. The correspondence file contains all general correspondence, negotiator's contacts and all material in connection with relocation assistance, permits or outgrants when appropriate. The appraisal report consists of the property description, local market data including comparable sales information, location maps and an analysis of value.

**Authority for maintenance of the system:** Land acquisition and disposal authorities are as follows: Migratory Bird Conservation Act, as amended (16 U.S.C. 715 et seq.); Migratory Bird Hunting Stamp Act, as amended (16 U.S.C. 718 et seq.); Fish and Wildlife Act of 1956, as amended (16 U.S.C. 742a et seq.); Fish and Wildlife Coordination Act, as amended (16 U.S.C. 661-666c); Recreational Use of Conservation Areas Act, as amended (16 U.S.C. 460k-460k-4); Colorado River Storage Project Act, as amended (43 U.S.C. 620g); Endangered Species Act of 1973 (16 U.S.C. 1531-1543); National Wildlife Refuge System Administration Act, as amended (16 U.S.C. 668dd-668ee); Act of May 19, 1948 (PL 80-537), as amended (16 U.S.C. 667b-667d); Federal Property and Administrative Services Act of 1949, as amended (41 U.S.C. 471 et seq.); and the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (40 U.S.C. 4601 et seq.).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) transfer of pertinent documents to authorized title companies or abstractors to obtain title evidence for closings; (b) transfer of pertinent documents to Regional Solicitors and the U. S. Department of Justice for title opinions and condemnation purposes; (c) for use of appraisal information in negotiations; (d) for permit and outgrant purposes; (e) reporting lands as excess to the General Services Administration for transfer or disposal. Disclosures outside the Department of the Interior may be made (1) to the Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; and (3) Congressional inquiry.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** Maintained with safeguards in accordance with the provisions of 43 CFR 2.51. Title File - in a legal size binder and stored as permanent record in appropriate GSA records centers in the vicinity of regional offices. Case File - in most cases in letter sized file folders in the various regional offices. Correspondence File - in letter size folder in the various regional offices until cases are closed, then filed in GSA record centers and destroyed after two years.

**System manager(s) and address:** Chief, Division of Realty, Fish and Wildlife Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors, with respect to records located in the offices for which each is responsible. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors, with respect to records located in the office for which each is responsible. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Public records, other governmental contacts, community contacts, and named individuals.

#### INTERIOR/FWS-12

**System name:** Fish Tag Returns -- Interior, FWS-12.

**System location:** (1) National Reservoir Research Program Office, Fayetteville, Arkansas. (2) South Central Reservoir Investigations, Fayetteville, Arkansas. (3) Multi-Outlet Reservoir Studies, Arkadelphia, Arkansas. (4) Southeast Reservoir Investigations, Clemson, South Carolina.

**Categories of individuals covered by the system:** Recreational fishermen who fish on reservoirs of the White, Caddo, and Keowee Rivers and tributaries.

**Categories of records in the system:** A precise record of date, time, place fish captured as well as specific biological data taken concerning the fish (length, weight, sex (when possible), age).

**Authority for maintenance of the system:** Fish and Wildlife Act of 1956 (16 U.S.C. 742a-742j; 70 Stat. 1119).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records is to (a) estimate population of large-mouth bass and other reservoir species of recreational, commercial and esthetic importance and (b) determine age structure of these species. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- envelopes; 8 x 10 1/2 inch questionnaires all in storage cabinets and file cabinets. (2) Safeguards -- files are maintained in locked cabinets and rooms. (3) Retention and Disposal -- personal data retained only until fisheries data has been extracted; tags (with no individual person's identity) may be retained up to five years. Some cards and questionnaires may be stored up to five years at a GSA Records Center.

**System manager(s) and address:** Director, National Reservoir Program, Fayetteville, Arkansas.

**Notification procedure:** (1) DeGray Reservoir, Leader, Multi-Outlet Reservoir Studies, Arkadelphia, Arkansas. (2) Keowee and Jocassee Reservoirs, Chief, Southeast Reservoir Investigations, Clemson, South Carolina. (3) Bull Shoals and Beaver Reservoirs, Chief, South Central Reservoir Investigations, Fayetteville, Arkansas. See 43 CFR 2.60 for submission requirements.

**Record access procedures:** Same as the above. See 43 CFR 2.63 for submission requirements.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/FWS-13

**System name:** North American Breeding Bird Survey -- Interior, FWS-13.

**System location:** Patuxent Wildlife Research Center, Laurel, Maryland.

**Categories of individuals covered by the system:** Volunteers from general public (about 1,500 people).

**Categories of records in the system:** Birds observed along roadsides at predetermined locations.

**Authority for maintenance of the system:** Fish and Wildlife Act of 1956 (16 U.S.C. 742a-742j; 70 Stat. 1119).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is the annual monitoring of migratory bird populations to determine trends in their abundance. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- magnetic tapes or disks and 8 x 10 1/2 inch original data sheets. (2) Retrievability -- by computer or hand sort. (3) Safeguards -- records maintained in accordance with the provisions of 43 CFR 2.51. (4) Retention and Disposal -- none have been disposed of in the nine years of the survey. They are a historic record of bird abundance.

**System manager(s) and address:** Director, Migratory Bird and Habitat Research Laboratory, Patuxent Wildlife Research Center, Laurel, Maryland.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/FWS-14

**System name:** Great Lakes Commercial Fisheries Catch Records -- Interior, FWS-14.

**System location:** Great Lakes Fishery Laboratory, Ann Arbor, Michigan.

**Categories of individuals covered by the system:** Commercial fishermen operating on any of the Great Lakes. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Complete record of daily fishing operations including time, location, vessel name, gear used, names of fishermen, and weights and values by weight of fish of each species.

**Authority for maintenance of the system:** Fish and Wildlife Act of 1956 (16 U.S.C. 742a-742i; 70 Stat. 1119).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to determine population levels of species of fish of commercial, recreational and esthetic interest and (b) to determine effects of man's activities on these species. Disclosures outside the Department of the Interior may be made (1) to the National Marine Fisheries Service, U. S. Department of Commerce for economic evaluations of Great Lakes commercial fisheries and for publication in its Standard Statistical Digests and related media as provided in a cooperative agreement, (2) to the Departments of Natural Resources or Conservation of the States of Illinois, Indiana, Michigan, Minnesota, New York, Ohio, Pennsylvania and Wisconsin for resource assessment, effective fishery management, economic and social considerations, regulatory purposes, and for ongoing biological research, (3) to the U. S. Department of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, and (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual and Computer. Records kept in storage cabinets, 11x 17 inch questionnaires, 11x14 inch computer printouts, punched cards and magnetic tape. (2) Safeguards - Basic record kept as long as required to extract impersonal data; records are accessed at various times to obtain data for new analyses. A limited number may be retained (for up to five years) at a GSA Records Center.

**System manager(s) and address:** Director, Great Lakes Fisheries Laboratory.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/FWS-15

**System name:** American Attitudes Toward Animals -- Interior, FWS-15.

**System location:** The Gallup Organization, 53 Bank Street, Princeton, New Jersey (INT. DEPT. Contract No. 14-16-008-781).

**Categories of individuals covered by the system:** One random sample of 500 people from the general public drawn in about March - April, 1975.

**Categories of records in the system:** Personal interviews using a predetermined set of questions on attitudes and interests in wildlife. Some questions are of a personal nature. Confidentiality is promised by Gallup and the contacted person. No answers will be related to an individual. FWS will not have access to the names of individuals.

**Authority for maintenance of the system:** Fish and Wildlife Act of 1956 (16 U.S.C. 742a-742i; 70 Stat. 1119).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to make policy decisions with respect to attitudes in general. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an

inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Standard Interview Form. (2) Retrievability -- names of individuals not given by Gallup Poll to either contractor or FWS. Summary of answers obtained by computer. (3) Safeguards -- Gallup Poll alone has names of individuals and they maintain the identification of the individuals in total confidence. (4) Retention and Disposal -- detailed records kept for one year and destroyed by Gallup Organization.

**System manager(s) and address:** Chief, Branch of Migratory Birds, Division of Population Ecology Research, U.S. Fish and Wildlife Service, U.S. Department of the Interior, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/FWS-16

**System name:** Waterfowl Hunter Attitude Study -- Interior, FWS-16.

**System location:** National Analysts Inc., 400 Market Street, Philadelphia, Pennsylvania (INT. DEPT. Contract No. 14-16-008-648).

**Categories of individuals covered by the system:** A subsample of names and addresses drawn from a larger sample of 'duck stamp' purchasers in the 1974-75 hunting season. Names and addresses will be supplied to National Analysts from the files of Office of Migratory Bird Management, Patuxent Wildlife Research Center. This use of the file will occur one time only as part of a research project.

**Categories of records in the system:** About 75 questions to be asked concerning their personal interest, attitudes, statistics, knowledge, and commitment to waterfowl hunting.

**Authority for maintenance of the system:** Fish and Wildlife Act of 1956 (16 U.S.C. 742a-742i; 70 Stat. 1119).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (1) the waterfowl hunting regulation E 8 and the (2) the adequacy of current management of waterfowl hunting used by service employees. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Multipage 8 1/2 x 11' questionnaire. Answers only placed in computer. (2) Retrievability -- by hand sort of questionnaires - answers by computer. (3) Safeguards -- National Analysts pledges confidentiality on names of contacts and their responses to questions. A report will be prepared by National Analysts and it will not relate responses to individuals. (4) Retention and Disposal -- all information will be turned over to FWS upon completion of study. Records will be destroyed after total debriefing.

**System manager(s) and address:** Chief, Branch of Migratory Birds, Division of Population Ecology Research, Room 560, Matomic Building, Washington, D.C. 20240. Telephone: 202-343-5729.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/FWS-17

**System name:** Diagnostic-Extension Service Records -- Interior, FWS-17.

**System location:** (1) Eastern Fish Disease Laboratory, R.D. 1, Box 17, Kearneysville, West Virginia 25430. (2) Fish Farming Experimental Station, P.O. Box 860, Stuttgart, Arkansas 72160. (3) National Fish and Wildlife Health Laboratory, University of Wisconsin, Department of Veterinary Science, 1655 Linden Drive, Madison, Wisconsin 53703. (4) Western Fish Disease Laboratory, Bldg. 204, Naval Support Activity, Seattle, Washington 98115.

**Categories of individuals covered by the system:** Federal, State employees involved in fish and wildlife production and fishery wildlife management, private fish farmers, fish hobbyists.

**Categories of records in the system:** Results of diagnostic examinations, related information and recommendations.

**Authority for maintenance of the system:** Fish and Wildlife Act of 1956 (16 U.S.C. 742a-742i; 70 Stat. 1119), Fish and Wildlife Coordination Act (16 U.S.C. 661-666c).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) internal use for identifying regional and national fish and wildlife disease patterns, occurrence and importance (b) as an aid in developing research priorities and (c) for comparison of pathological agents, disease pathology. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- bound books, forms in file folders. (2) Retrievability -- by accession number. (3) Safeguards -- as prescribed in 43 CFR 2.51. (4) Retention and Disposal -- indefinite.

**System manager(s) and address:** Appropriate laboratory Director.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individuals, companies, or their representatives on whom the records are maintained; Federal and State employees.

#### INTERIOR/FWS-18

**System name:** Animal Damage Control Authorization Records -- Interior, FWS-18.

**System location:** (1) Animal Damage Control Office, U.S. Fish and Wildlife Service, Room 546, Matomic Building, 1717 H Street, NW., Washington, D.C. 20240. (2) Regional offices of the Fish and Wildlife Service (See Appendix for regional addresses).

**Categories of individuals covered by the system:** Livestock producers, livestock feeders, poultry producers, farmers and suburban and rural residents who request help in reducing wild bird or mammal damage to their property, pets or livestock. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Contains name, address, ranch or farm acreage and location and number of poultry or livestock owned, number of livestock damaged present and previous year. Contains signature of individual requesting assistance and agreeing to control methods and dates.

**Authority for maintenance of the system:** Act of March 2, 1931 (46 Stat. 1468; 7 U.S.C. 426-426b); Federal Insecticide, Fungicide, and Rodenticide Act as amended (7 U.S.C. 135-135k); and migratory Bird Treaty Act of 1918, as amended (16 U.S.C. 703-711; 40 Stat. 755).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to document the use of service animal damage control (ADC) devices; (b) to gather data of predator, rodent, and bird damage; (c) to monitor service field employees' use of ADC devices and techniques; (d) to help determine the success of control devices; (e) to request and permit the service to conduct ADC work on the signator's lands; (f) to help protect the Government from tort claims, charges of trespass and other misunderstandings. Disclosures outside the Department of the Interior may be made (1) to cooperating Federal, State, county, and local governmental units; and cooperating private associations and organizations for administrative purposes, provided that such governmental units and cooperating organizations and associations have provided advance written assurance that the record will be used solely as a statistical research or reporting record and that such record will not be transferred in a form that is individually identifiable; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; and (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on an 8 x 10 1/2 inch form. (2) Retrievability -- indexed by name. (3) Safeguards -- records are maintained in a standard office filing cabinet and office is locked when personnel are not present. (4) Retention and Disposal -- statistical records maintained for three years and then destroyed. Agreement records received each year and old agreement destroyed.

**System manager(s) and address:** Program Coordinatory, Animal Damage Control, U.S. Fish and Wildlife Service, Room 546, Matomic Building, 1717 H Street, NW., Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to

**Record access procedures:** A request for access shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors with respect to records located in the office for which each is responsible. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained and Service field personnel.

#### INTERIOR/FWS-19

**System name:** Endangered Species Licensee System -- Interior, FWS-19.

**System location:** (1) Division of Law Enforcement, U.S. Fish and Wildlife Service, 1612 K Street, NW., Washington, D.C. 20240. (2)

Law Enforcement District Offices of the Fish and Wildlife Service (See Appendix for addresses).

**Categories of individuals covered by the system:** Individuals who request a license to import or export fish and/or wildlife or products thereof. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Contains name, address, date of birth, height, weight, color of hair and eyes, business phone number, occupation and social security number of individual requesting license. Businesses are identified by type, name and title and phone number of principal officer and State of incorporation, if applicable.

**Authority for maintenance of the system:** Endangered Species Act of 1973 (16 U.S.C. 1531(d); 80 Stat. 884).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to identify licensees authorized to import or export fish and/or wildlife or products thereof, disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) publication in the Federal Register, as required by law.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in 8 x 10 1/2" file folders. (2) Retrievability -- indexed by name. (3) Safeguards -- maintained in segregated area secured by a locking device in accordance with 43 CFR 2.51. (4) Retention and Disposal -- indefinite.

**System manager(s) and address:** Chief, Division of Law Enforcement, U.S. Fish and Wildlife Service, P.O. Box 19183, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the System Manager, with respect to records located in the Washington office, and to Special Agents in Charge, Law Enforcement Districts 1-13, with respect to records located in the district for which each is responsible. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager, with respect to records located in the Washington office, and to Special Agents in Charge, Law Enforcement Districts 1-13, with respect to records located in the district for which each is responsible. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom file is being maintained.

**Systems exempted from certain provisions of the act:** Under the specific exemption authority provided by 5 U.S.C. 552 (k)(2), the Department of the Interior has adopted a regulation, 43 CFR 2.79(b), which exempts this system from the provisions of 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H) and (I) and (f) and the portions of 43 CFR Part 2, Subpart C which implement these provisions. The reasons for adoption of this regulation are set out at 40 FR 50432 (October 29, 1975).

#### INTERIOR/FWS-20

**System name:** Investigative Case File System -- Interior, FWS--20.

**System location:** (1) Division of Law Enforcement, U.S. Fish and Wildlife Service, 1612 K Street, NW., Washington, D.C. (2) Law Enforcement District Offices of the Fish and Wildlife Service (See Appendix for addresses).

**Categories of individuals covered by the system:** Subjects of investigation relative to violation of fish and wildlife laws.

**Categories of records in the system:** Contains name and address, place and date of birth plus other available data identifying the subjects of investigation in violation of the fish and wildlife laws as well as other information incidental to these investigations all of which carry criminal sanctions.

**Authority for maintenance of the system:** Assault Act (18 U.S.C. 111), Bald Eagle Act (16 U.S.C. 668-668d), Black Bass Act (16 U.S.C. 851-856), Lacey Act (18 U.S.C. 42,44), National Wildlife Refuge System Administration Act (16 U.S.C. 668dd-668ee), Migratory Bird Hunting Stamp Act (16 U.S.C. 718-718h), Migratory Bird Treaty Act (16 U.S.C. 703-711), Endangered Species Act (16 U.S.C. 1531-1543), Marine Mammal Act (16 U.S.C. 1361-1407), Upper Mississippi Refuge Act (16 U.S.C. 721-731), Bear River Refuge Act (16 U.S.C. 690), Fish and Wildlife Recreation Act (16 U.S.C. 460k-460k-4), Airborne Hunting Act (16 U.S.C. 742j) and Tariff Classification Act (19 U.S.C. 1527).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to include all investigative and enforcement information reported to and investigated by the Division of Law Enforcement, U. S. Fish and Wildlife Service. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in 8 x 10 1/2" file folders. (2) Retrievability -- indexed by name. (3) Safeguards -- maintained in segregated area secured by a locking device in accordance with 43 CFR 2.51. (4) Retention and Disposal -- indefinite.

**System manager(s) and address:** Chief, Division of Law Enforcement, U.S. Fish and Wildlife Service, P.O. Box 19183, Washington, D.C. 20240.

**Systems exempted from certain provisions of the act:** Under the general exemption authority provided by 5 U.S.C. 552a(j)(2), Department of the Interior has adopted a regulation, 43 CFR 2.79(a), which exempts this system from all of the provisions of 5 U.S.C. 552a and the regulations in 43 CFR, Part 2, Subpart C, except subsections (b), (c)(1) and (2), (e)(4)(A) through (F), (e)(6), (7), (9), (10), (11) and (I) of 5 U.S.C. 5521 and the portions of the regulations in 43 CFR, Part 2, Subpart C implementing these subsections. The reasons for adoption of this regulation are set out at 40 FR 37217 (August 26, 1975). Under the specific exemption authority provided by 5 U.S.C. 552a(k), the Department of the Interior has adopted a regulation, 43 CFR 2.79(b), which exempts this system from the provisions of 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H) and (I) and (f) and the portions of 43 CFR Part 2, Subpart C which implement these provisions. The reasons for adoption of this regulation are set out at 40 FR 50432 (October 29, 1975).

#### INTERIOR/FWS-21

**System name:** Permits System -- Interior, FWS--21.

**System location:** (1) Division of Law Enforcement, U.S. Fish and Wildlife Service, 1612 K Street, NW., Washington, D.C. (2) Law Enforcement District Offices of the Fish and Wildlife Service (See Appendix for addresses).

**Categories of individuals covered by the system:** Applicants for permits to conduct certain activities in areas of fish and wildlife. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Contains the name, address, date of birth, height, weight, color of hair and eyes, business phone number, occupation and social security number of person applying for permit. Business agencies and institutions are identified by type, name, title and phone number of principal officer and State of incorporation, if applicable. Contains information on location of the activity and a briefing of the type of the proposed activity. May

also include the qualifications, educational background and experience of the applicant.

**Authority for maintenance of the system:** 16 U.S.C. 668a, 16 U.S.C. 1539, 16 U.S.C. 704-711, 16 U.S.C. 1371, 18 U.S.C. 42-44, and 19 U.S.C. 1527.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to identify holders of permits which authorizes otherwise illegal activity having to do with fish and/or wildlife. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) publication in the Federal Register, as required by law.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in 8 x 10 1/2" file folders. (2) Retrievability -- indexed by name. (3) Safeguards -- maintained in segregated area secured by a locking device in accordance with 43 CFR 2.51. (4) Retention and Disposal -- indefinite.

**System manager(s) and address:** Chief, Division of Law Enforcement, U.S. Fish and Wildlife Service, P.O. Box 19183, Washington, D.C.

**Systems exempted from certain provisions of the act:** Under the specific exemption authority provided by 5 U.S.C. 552a(k)(2), the Department of the Interior has adopted a regulation, 43 CFR 2.79(b), which exempts this system from the provisions of 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H) and (I) and (f) and the portions of 43 CFR, Part 2, Subpart C which implement these provisions. The reasons for adoption of this regulation are set out at 40 FR 37217 (August 26, 1975).

#### INTERIOR/FWS-22

**System name:** U.S. Deputy Game Warden -- Interior, FWS--22.

**System location:** Regional offices of the Fish and Wildlife Service. (See Appendix for addresses).

**Categories of individuals covered by the system:** Applicants for U.S. Deputy Game Warden Commissions.

**Categories of records in the system:** Contains the name, address, date and place of birth, social security number, height, weight, color of hair and eyes of applicants for U.S. Deputy Game Warden Commissions.

**Authority for maintenance of the system:** Fish and Wildlife Act of 1956 (16 U.S.C. 742a-742i; 70 Stat. 1119).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to identify holders of and applicants for U. S. Deputy Game Warden commissions. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; and, (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in 8 x 10 1/2" file folders. (2) Retrievability -- indexed by name. (3) Safeguards -- maintained in segregated area secured by a locking device in accordance with 43 CFR 2.51. (4) Retention and Disposal -- destroyed after Commission expires.

**System manager(s) and address:** Chief, Division of Personnel Management and Organization, U.S. Fish and Wildlife Service, Room 3455, Main Interior Building, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors, with respect to records located in the office for which each is responsible. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors, with respect to records located in the office for which each is responsible. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individuals on whom the file is being maintained.

#### INTERIOR/FWS-23

**System name:** Motor Vehicle Permit Log -- Interior, FWS--23.

**System location:** (1) Division of Personnel Management and Organization, U.S. Fish and Wildlife Service, 1717 H Street, NW, Washington, D.C. 20006. (2) Regional offices (See Appendix for Regional addresses).

**Categories of individuals covered by the system:** Employees who require Government Vehicle Operator Permit.

**Categories of records in the system:** Log reflecting employee name, driver's license number, duty station location, date of issue of permit and date permit expires.

**Authority for maintenance of the system:** Federal Property and Administrative Service Act of 1949, (40 USC 471), as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is a ready reference of names of holders of motor vehicle permits and date when permit must be renewed. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; and, (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained as simple log in loose-leaf binder. (2) Retrievability -- maintained by date and in alphabetical order. (3) Safeguards -- log maintained as information system for personnel staff with normal non-security confidential procedures meeting requirements of 43 CFR 2.51. (4) Retention and Disposal -- maintenance of log on a continuing basis consistent with need of the individual to have a current permit.

**System manager(s) and address:** Chief, Division of Personnel Management and Organization, U.S. Fish and Wildlife Service, Room 3455, Main Interior Building, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors, with respect to records located in the office for which each is responsible. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager, with respect to records located in the Washington office, and to regional directors, with respect to records located in the office for which each is responsible. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/FWS-24

**System name:** Payroll -- Interior, FWS--24.

**System location:** (1) Division of Financial and Management Systems, U. S. Fish and Wildlife Service, Main Interior Building, 18th and C Streets, N. W., Washington, D. C. 20240 (2) Input information supplied by all facilities of the U. S. Fish and Wildlife Service (see Appendix for addresses).

**Categories of individuals covered by the system:** All paid employees in the Service.

**Categories of records in the system:** Pay, leave and cost distribution records, including deductions for bonds, insurance, income taxes, allotments to financial institutions, overtime authorizations, and shift schedules.

**Authority for maintenance of the system:** 5 U.S.C. 5101, et seq., 31 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) fiscal operations for payroll, leave, insurance, tax, retirement and cost programs; (b) disclosure to the Department of the Treasury for preparation of (1) payroll checks and (2) payroll deduction and other checks to Federal, State and local government agencies, non-governmental organizations and individuals; (c) disclosure to the Internal Revenue Service and to State, Commonwealth, Territorial and local governments for tax purposes; (d) disclosure to the Civil Service Commission in connection with retirement, life insurance and health insurance accounts; (e) disclosure to another Federal agency to which an employee has transferred. Disclosures outside the Department of the Interior may be made (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, contract, grant or other benefit; and (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in 8" x 10 1/2" folders and on computer media. (2) Retrievability -- identified by employee social security number. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51. (4) Retention and Disposal -- one year from date employee separates.

**System manager(s) and address:** Chief, Division of Financial and Management Systems, U. S. Fish and Wildlife Service, Room 3347, Main Interior Building, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained, and supervisors.

#### INTERIOR/FWS-25

**System name:** Contract and Procurement Records -- Interior, FWS--25.

**System location:** (1) Division of Contracting and General Services, Fish and Wildlife Service, U.S. Department of the Interior, Washington, D.C. 20240. (2) Regional offices of Fish and Wildlife Service. (See Appendix for regional addresses).

**Categories of individuals covered by the system:** Individuals submitting unsolicited proposals or replying to solicitations for bids. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Contains information regarding the individual which would be required to evaluate contract proposals, i.e., name, age, education, experience, references, and possible other pertinent information.

**Authority for maintenance of the system:** Federal Property and Administrative Act of 1949, as amended. Fish and Wildlife Act of 1956, 16 U.S.C. 742a-742i; 70 Stat. 1119.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is evaluation by contracting officers and technical representatives of operating (requesting) Division or Office placing name and address on bidders list for use in sending out future solicitations. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on paper form, 8 x 10 1/2", in either the "Bidders Mailing List" file or a "Contract Folder." (2) Retrievability -- by name and contract number. (3) Safeguards -- records maintained in compliance with provisions of 43 CFR 2.51. (4) Retention and Disposal -- Bidders Mailing List -- until individual requests withdrawal or solicitation returned unanswered. Destroyed immediately. Contract Files: Four years after closing of file.

**System manager(s) and address:** Chief, Division of Contracting and General Services, Fish and Wildlife Services, U.S. Department of the Interior, Washington, D.C. 20240, and regional directors (See Appendix for regional addresses).

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual submitting proposals for consideration.

#### INTERIOR/FWS-26

**System name:** Migratory Bird Population and Harvest Systems -- Interior, FWS -- 26.

**System location:** (1) Office of Migratory Bird Management, U.S. Fish and Wildlife Service, U.S. Department of the Interior, Patuxent Wildlife Research Center, Laurel, Maryland 20811. (2) Minor portions of the files comprising the system are found in the Washington Office, Regional Offices, and field offices of the U.S. Fish and Wildlife Service; offices of State conservation agencies, and other Federal and State agencies; universities; national, regional and local conservation organizations; and individuals who contribute to the collection of population and harvest information which is eventually transferred to the Office of Migratory Bird Management, Laurel, Maryland, for storage.

**Categories of individuals covered by the system:** The system contains information on: (1) Persons applying for bird banding permits. (2) Persons issued bird banding permits. (3) Persons reporting upon banded birds encountered in the wild. (4) Persons participating in migratory bird population surveys. (5) Persons submitting harvest data for migratory game birds taken under hunting regulations. (6) Unidentified persons observed in the field hunting migratory game birds.

**Authority for maintenance of the system:** Migratory Bird Treaty Act of July 3, 1918 (16 U.S.C. 703-711; 40 Stat. 755).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records maintained in the system are (a) data are routinely used by biologists and/or law enforcement offices of the U. S. Fish and Wildlife Service, Canadian Wildlife Service, Mexican Department Fauna Silvestre, and State and Provincial Conservation Agencies to further understanding, protection, management and utilization of the North American migratory bird resource; (b) portions of the data files including name and address listings are used for research purposes and for guidance, planning and coordination of research on migratory birds; (c) reports of summarized and analyzed data originating from banding and surveys are analyzed and published by the U. S. Fish and Wildlife Service and other cooperating agencies; studies containing analyzed migratory bird population and harvest data also appear in the technical literature involving the records or the subject matter of the records. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information that may aid investigations or indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit; (6) to the Canadian Wildlife Service, Environmental Management Service, Department of the Environment, Ottawa, Ontario, Canada K1A 0H3 and Mexican Direccion General Fauna Silvestre, Aquiles Serdan 28-70, Pisa, Mexico 3, DF, Mexico, as part of cooperative agreements; (7) routinely or upon request all or portions of the files, including name and address listings, are supplied to cooperators and researchers in other Federal, State, and local agencies; members of national, regional or local conservation organizations, university researchers and private individuals who establish a bona fide need for the information; (8) to contributors of band recovery information related to the bander and banding of the banded bird they reported, likewise, contributors of survey and harvest information are sometimes supplied with reports of populations and harvest surveys to which they had contributed. (Routine uses (1) and (2) apply only to those individuals in nos. (1) and (2) of the above paragraph 'Categories of individuals covered by the system,' and not to individuals who supply voluntary information to aid the Service in migratory bird management and research).

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- records comprising the various files of the system are maintained on paper forms and correspondence, punched and/or interpreted cards, magnetic computer tape, computer printouts or listings, magnetic disc packs, microfilm, and microfiche cards. Summarized information is found in unpublished and published reports. (2) Retrievability -- the files comprising the system are indexed in various ways depending upon the nature and use of the record. Files may be arranged by type of survey, species of migratory birds, cooperators, time periods, geographical areas, and biological characteristics of the banded, observed, or harvested bird. Most of the files are oriented to the migratory bird resource and its utilization; the identity of the individual person is retained only for edit, banding authority compliance; response, and acknowledgement purposes. In the banding files the identity of the bander is permanently obtainable through interpretation of the permit number. Inasmuch as banding and other surveys of migratory birds and their harvest are based upon statistical sampling of a larger universe, the identity of individuals is

usually deleted early in the analysis. (3) Safeguards -- records comprising the system are stored within the fenced and locked premises of the Patuxent Wildlife Research Center, Laurel, Maryland. The records themselves are stored within a locked building and the master computer files are retained in a locked vault. Only authorized individuals have access to these records. Magnetic tape files containing records of banded birds are stored for computer processing at the Washington Computer Center of the Department of the Interior. Current versions of banding tape files are stored in a locked, fire and explosion proof vault. Backup versions are stored in a library that must be entered through two locked doors. All requests for data, beyond those routinely supplied to cooperators, are reviewed by the Assistant Chief, Office of Migratory Bird Management, Laurel, Maryland. Care is taken to insure that the requesting individual has a legitimate need for the information and that the information which is supplied is limited to his specific needs. Furthermore, care is taken to protect the proprietary rights of researchers to first use of data arising from their personal banding efforts; persons requesting data generated by other researchers are instructed to secure clearance for use of the data with the original bander. Policies regarding the release and use of bird banding data are developed in consultation with the Canadian Wildlife Service and other cooperators. Means for developing improved safeguards for the computer record files at Laurel, and policies regarding release of data from the files maintained there is presently under study. (4) Retention and Disposal -- some records are maintained only for a few days until the data can be transferred to other media, such as computer tapes or microfilm, for permanent storage. Because of the great scientific value attached to some of the files, such as those comprising the North American Bird Banding Program, source documents of banding are retained indefinitely; this is done to facilitate the possible correction and edit of those records. In contrast, source documents for other files are periodically destroyed by recycling. Computer tabulations are retained for five years or until such time as they have been updated. A system to catalog computer files is being developed; this system will insure that obsolete files are destroyed while insuring the retention of those required for current or future use.

**System manager(s) and address:** Chief, Office of Migratory Bird Management, U.S. Fish and Wildlife Service, Room 2247, Main Interior Building, Washington, D.C.

**Notification procedure:** An individual desiring to know whether the system contains information relating to himself may address his inquiry to the System Manager. An individual seeking information about the system should provide his complete name and address, and if possible, indicate the nature of the data file (banding, population surveys, migratory game bird harvests, etc.) in which he is most interested.

**Record access procedures:** Inquiries concerning access to and correction of records in the system may be directed to the System Manager.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and meet the requirements of 43 CFR 2.71

**Record source categories:** The files comprising the Migratory Bird Populations and Harvest System evolve from several diverse groups of people. These may be generally categorized as (1) persons applying for authority to band birds, (2) persons granted authority to band birds, (3) persons reporting encounters with banded birds, (4) persons supervising or participating in various population surveys of migratory birds, (5) persons purchasing migratory bird hunting stamps, and (6) persons who hunt migratory game birds or otherwise utilize the migratory game bird resource.

#### INTERIOR/FWS-27

**System name:** Correspondence Control System -- Interior, FWS-27.

**System location:** Office of the Director, U.S. Fish and Wildlife Service, Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** U.S. Senators and Representatives, Governors of States, members of the public.

**Categories of records in the system:** Correspondence.

**Authority for maintenance of the system:** 5 U.S.C. 301, 43 U.S.C. 1467, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the



records is to provide control for prompt handling of priority correspondence by the U. S. Fish and Wildlife Service. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Maintained in manual form in file folders. (2) Retrievability -- Indexed alphabetically by name of congressman or letter writer and number assigned to correspondence. (3) Safeguards -- Maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- Copies are destroyed after one year.

**System manager(s) and address:** Chief, Correspondence Services Office, U.S. Fish and Wildlife Service, Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Same as above. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester and must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment may be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Individual from whom incoming letter was received.

#### INTERIOR/FWS-28

**System name:** Avitrol Authorization Records -- Interior, FWS--28.

**System location:** Wildlife Services Office, U. S. Fish and Wildlife Service, 11 North Pearl Street, P.O. Box 150, Albany, New York 12201.

**Categories of individuals covered by the system:** Aerial applicators of Avitrol for treating corn to prevent blackbird damage in cornfields.

**Categories of records in the system:** Contains name, address, home and business telephone number, type of aircraft, New York State applicator's registration number and signature of applicant affirming that he or she has read and understands the Environmental Protection Agency registration label. The applicant also agrees to submit to the State Supervisor, Division of Wildlife Services, prior to treatment, a list of the number and location of fields to be treated.

**Authority for maintenance of the system:** Act of March 2, 1931 (46 Stat. 1468; 7 U.S.C. 426-426b; Federal Insecticide, Fungicide and Rodenticide Act as amended (7 U.S.C. 135-135k); and Migratory Bird Treaty Act of 1918, as amended (16 U.S.C.) 703-711; 40 Stat. 755.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is for documentation of the qualifications for aerial applicators of Avitrol-treated grain to prevent blackbird damages while minimizing the hazard to non-target species of birds. Disclosures outside the Department of the Interior may be made, (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, and (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Maintained on an 3 x 10-1/2 inch form. (2) Retrievability -- Indexed by name. (3) Safeguards -- Records are maintained in a standard office filing cabinet and office is locked when personnel are not present. (4) Disposal -- Made in accordance with FPMR 101-11.4, dated August 1, 1974.

**System manager(s) and address:** State Supervisor, U. S. Fish and Wildlife Service, 11 North Pearl Street, P. O. Box 150, Albany, New York 12201.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the System Manager. A written, signed request stating that the requestor seeks information concerning records pertaining to him is required. See 43 CFR 2.60. The system manager. A written, signed request stating that the requestor seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the Systems Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/FWS-29

**System name:** Animal Damage Control Non-Federal Personnel Records-- Interior, FWS--29.

**System location:** Animal Damage Control State Supervisors (See Appendix for addresses.)

**Categories of individuals covered by the system:** State employees, cooperative employees paid by a cooperator, and private corporation employees (in Idaho). The number of individuals covered are: State-159, Cooperative-221, Corporation-6 (in Idaho).

**Categories of records in the system:** (1) Supervisor's Record of Employees (Non-Federal)--Recruitment forms, medical forms, security records, life and health insurance forms, military service records, motor vehicle exam records, education and skills records, training records, disciplinary and suspension records, letters of commendation; (2) Payroll Records--Time and attendance records, State retirement records, social security records, workman's compensation insurance records, leave records, salary and expense cost records; (3) Travel Expense and Mileage Report; (4) Animal Damage Control Records--Hunter and trapper (district field assistant) records on animals taken, weekly itinerary and report of activity of trappers and hunters.

**Authority for maintenance of the system:** Act of March 2, 1931 (46 Stat. 1468; 7 U.S.C. 426-426b); Federal Insecticide, Fungicide and Rodenticide Act as amended 87 U.S.C. 135-135); and Migratory Bird Treaty Act of 1918, as amended (16 U.S.C. 703-711; 40 Stat. 755).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to (a) serve as a tool for the State supervisors in the financial and manpower management of Animal Damage Control programs (b) form the legal basis for the disbursement of funds and (c) form basis for preparation of statistical reports. Disclosures outside the Department of the Interior may be made, (1) for administrative uses by cooperating Federal, State, county, and local governmental units, and cooperating private organizations and associations, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, and (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Maintained in folders in files; (2) Retrievability -- By employee name; (3) Safeguards -- Records and forms are maintained in a standard office filing cabinet and office is locked when authorized personnel are not present; (4) Disposal -- Non-record administrative material and disposed 30 days after employment terminates or in compliance with State regulations on disposal of payroll records subject to audit.

**System manager(s) and address:** Chief, Division of Animal Damage Control, U. S. Fish and Wildlife Service, Room 546, Matomic Building, 1717 H Street, N. W., Washington, D. C. 20240.

**Notification procedure:** Inquiries regarding existence of records shall be addressed to the State Supervisor, Animal Damage Control of the particular State wherein the records are maintained. A writ-

ten signed request stating that the requester seeks information concerning records pertaining to him is requested. See 43 CFR 2.60.

**Record access procedures:** A written request for access signed by the requester shall be addressed to the appropriate State Supervisor. The request must meet the content requirement of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the Systems Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/LBR-1

**System name:** Accidents -- Interior, Reclamation--1.

**System location:** All facilities of the Bureau of Reclamation listed in Appendix except numbers 9(g) and 9(h).

**Categories of individuals covered by the system:** Individuals having an accident either by a motor vehicle, drowning, or any other form of accident.

**Categories of records in the system:** Reports of serious accident, fatalities, and motor vehicle accidents.

**Authority for maintenance of the system:** Executive Order 11807.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to analyze the facts and circumstances surrounding each accident for cause, (b) for compilation of statistical data, (c) adjudicating tort, appropriation act, and employee claims. Disclosures outside the Department of the Interior may be made (1) Office of Worker's Programs when a Federal employee has an accident; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (6) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/LBR-2

**System name:** Accounts Receivable -- Interior, Reclamation--2.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation Offices numbered 2, 3, 4 (a thru j), 5, 6 (a thru i), 7 (a thru k), 8 (a thru h) in Appendix.

**Categories of individuals covered by the system:** Individuals paying monies to the Bureau. (The records contained in this system which

pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Bills for collection to support an accounts receivable in the Bureau's accounting system.

**Authority for maintenance of the system:** 31 U.S.C. 952, 7 GAO 22 - Policy and Procedures Manual for Guidance of Federal Agencies.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are for documentation supporting Bureau's accounts receivable. Disclosures outside the Department of the Interior may be made (1) to the Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by name of individual. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- retained three years in Regional office and three years in Denver Records Center. (4) Disposal -- In accordance with approved retention and disposal schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** From individuals who have entered into contracts or agreements with the Bureau to repay monies owed the Bureau.

#### INTERIOR/LBR-3

**System name:** Attendance at Meetings -- Interior, Reclamation--3.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) General Services Branch, Bureau of Reclamation, Engineering and Research Center, P.O. Box 25007, Bldg. 67, Denver Federal Center, Denver, Colorado 80225. (3) Reclamation offices numbered 3(a thru i), 4(a thru j), 5, 6(a thru i), 7(a thru k), 8 in Appendix.

**Categories of individuals covered by the system:** Bureau employees attending outside meetings.

**Categories of records in the system:** Request for approval of attendance at meeting by Form 7-1695 and related correspondence.

**Authority for maintenance of the system:** 43 U.S.C. 373, 373a, 1457, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to document attendance at meetings and provide opportunity for administrative overview of current organizational policy requirements. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that in-

dividual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by name of individual. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- two years. (4) Disposal -- In accordance with approved retention and disposal schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location (above) servicing the facility at which he is (or was) employed. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual employees.

#### INTERIOR/LBR- 4

**System name:** Audiograms (Hearing Test Record) -- Interior, Reclamation-4.

**System location:** Bureau of Reclamation offices numbered 3(a thru i), 4(a thru j), 6(a thru i) in Appendix.

**Categories of individuals covered by the system:** Federal employees who must work regularly in areas where noise levels exceed 90dBA.

**Categories of records in the system:** Audiogram completed on hearing test by trained Federal Audiometric Technician. The audiogram is then reviewed by an Audiologist. Appropriate personnel action is taken whenever it is determined that an employee is highly susceptible to noise-induced hearing loss, or whenever a significant job-connected hearing loss is indicated.

**Authority for maintenance of the system:** Executive Order 11807.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for interpretation to take appropriate action to abate noise exposure hazard to employee. Disclosure outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State, or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by name of individual. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- In accordance with approved retention and disposal schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location (above)

servicing the facility at which he is (or was) employed. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Records obtained by trained Federal Audiometric Technicians and from individual employee.

#### INTERIOR/LBR- 5

**System name:** Claims -- Interior, Reclamation--5.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a thru l), 4(a thru i), 5(a thru i), 6(a thru i), 7 (a thru k), 8 (a thru h), 9 (a, c, e, f) in Appendix.

**Categories of individuals covered by the system:** Individuals who have filed Tort, Federal Employee, or Irrigation Claims.

**Categories of records in the system:** Contains records concerning claims, including the claims and supporting information submitted by the claimant, information developed concerning the claim and a record of the disposition of the claim if processing of the claim is complete.

**Authority for maintenance of the system:** (1) Federal Tort Claims Act, 28 U.S.C. 240-2680, (2) military personnel and civilian employees' Claims Act, 31 U.S.C. 240-243, (3) Public Works for Water and Power Development and Atomic Energy Commission Appropriation Act, Public Law 93-393, 88 Stat. 782, (4) Annual Public Works Appropriation Act of 1976, Public Law 94-180, 89 Stat. 1035, and (5) Act of July 12, 1976, 90 Stat. 889.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to analyze the facts and circumstances surrounding each accident, (b) for compilation of statistical data, (c) adjudicating tort, appropriation act, and employee claims. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location (above). See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Claimant, Investigations conducted by Reclamation offices and contractors, offices of the Department of the Interior, State or local governments.

**Systems exempted from certain provisions of the act:** The Privacy Act does not entitle an individual to access to information compiled in reasonable anticipation of a civil action or proceeding.

## INTERIOR/LBR-6

**System name:** Collection Contracts -- Interior, Reclamation--6.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(c thru j), 5(a thru d), 7(a thru h), 8(a thru h), 9(a,c,e) in Appendix.

**Categories of individuals covered by the system:** Individuals who lease, rent, or buy from the Bureau of Reclamation under a collection contract or agreement.

**Categories of records in the system:** Individual collection documents with related copies of bills and correspondence.

**Authority for maintenance of the system:** 31 U.S.C. 952, 7 GAO 11 - Policy and Procedures Manual for Guidance of Federal Agencies.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are for (a) for the administration and negotiation of documents to collect money due the Bureau of Reclamation. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by name of individual. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- transfer to Federal Records Center, Denver. Held in office three years after completion and settlement, then transfer with Accountable Officer's Records to the Records Center, Denver. (4) Disposal -- In accordance with approved retention and disposal schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

## INTERIOR/LBR-7

**System name:** Concessions -- Interior, Reclamation--7.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a,c,g,i), 5, 7 (a thru k), 9 in Appendix.

**Categories of individuals covered by the system:** Individual concessionaire and applicants. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Individual rental or lease agreements with individuals providing service or concessions at Bureau camps and headquarters.

**Authority for maintenance of the system:** Reclamation Law of 1902, as amended, 43 U.S.C. 371, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is administration of Reclamation facilities. Disclosures out-

side the Department of the Interior may be made (1) to State or local government agencies for taxation purposes; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

## INTERIOR/LBR-8

**System name:** Driver's License -- Interior, Reclamation--8.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3 (a thru l), 4 (a thru j), 5, 6 (a thru i), 7 (a thru k), 8 in Appendix.

**Categories of individuals covered by the system:** Reclamation employees authorized to operate Government-owned vehicles.

**Categories of records in the system:** Name of individual holding license and expiration date of license.

**Authority for maintenance of the system:** 40 U.S.C. 471 et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to administer driver license program. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location (above) servicing the facility at which he is (or was) employed. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/LBR-9

**System name:** Foreign Visitors and Observers -- Interior, Reclamation--9.

**System location:** General Services Branch, Engineering and Research Center, Bureau of Reclamation, P.O. Box 25007, Bldg. 67, Denver Federal Center, Denver, Colorado 80225.

**Categories of individuals covered by the system:** Citizens who may accompany foreigners on domestic trips.

**Categories of records in the system:** Contains name and rank of traveller.

**Authority for maintenance of the system:** 43 U.S.C. 373, 373a, 1457, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is internal administration. Disclosures outside the Department of the Interior may be made (1) to news media as a contact; (2) to request or who may need to contact foreign visitors in case of emergency; (3) to the U. S. Department of Justice when related to litigation or anticipated litigation; (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- on correspondence. (2) Retrievability -- by name. (3) Safeguards -- stored with safeguards meeting the requirements of 43 CFR 2.51. (4) Retention and Disposal -- Records Management Handbook No. 2. (4) Disposal -- In accordance with approved retention and disposal schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the office listed under Location above. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/LBR-10

**System name:** Identification Cards -- Interior, Reclamation--10.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3 (a thru l), 4 (a thru j), 5, 6 (a thru i), 7 (a thru k), 8 (a thru h), in Appendix.

**Categories of individuals covered by the system:** Individual Reclamation employees.

**Categories of records in the system:** Register of names to whom cards have been issued.

**Authority for maintenance of the system:** 5 U.S.C. 3101, 43 U.S.C. 373, 373a, 1467, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to maintain control of identification cards issued. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or an-

anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license; to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location (above) servicing the facility at which he is (or was) employed. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/LBR-11

**System name:** Individual Record of Issues -- Interior, Reclamation--11.

**System location:** (1) General Services Branch, Bureau of Reclamation, Engineering and Research Center, P.O. Box 25007, Bldg. 67, Denver Federal Center, Denver, Colorado 80225. (2) Reclamation offices numbered 6(a thru i) in Appendix.

**Categories of individuals covered by the system:** Every employee of Reclamation located at the Engineering and Research Center and upper Colorado Region.

**Categories of records in the system:** Contains record of I.D., passes, drivers licenses, and keys issued to Reclamation employees.

**Authority for maintenance of the system:** 5 U.S.C. 3101, 43 U.S.C. 373, 373a, 1457, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to collect I.D.'s, passes, drivers licenses, and keys when employees terminate employment; (b) to record I.D. numbers and drivers license numbers and expiration dates. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of the individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- on 5 x 8 cards. (2) Retrievability -- indexed by name. (3) Safeguards -- records are stored in metal cabinets. (4) Retention and Disposal -- records are maintained for the active life and then destroyed.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may request whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location (above). See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirement of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/LBR-12

**System name:** Inventions and Patents -- Interior, Reclamation--12.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) General Services Branch, Bureau of Reclamation, Engineering and Research Center, P.O. Box 25007, Bldg. 67, Denver Federal Center, Denver, Colorado.

**Categories of individuals covered by the system:** Reclamation employees who have been granted patents or who are seeking patents.

**Categories of records in the system:** Contains name, organizational segment of inventor. Contains brief description of invention.

**Authority for maintenance of the system:** 5 U.S.C. 3101, 43 U.S.C. 373, 373a, 1457, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to administer internal program for determining rights of employee to invention and status of securing patent for invention. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- correspondence. (2) Retrievability -- by name. (3) Safeguards -- maintained with security meeting requirements of 43 CFR 2.51. (4) Retention and Disposal -- Records Management Handbook No. 2. (4) Disposal -- In accordance with approved retention and disposal schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location (above) which services the facility at which he is (or was) employed. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/LBR-13

**System name:** Irrigation Management Service -- Interior, Reclamation--13.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3 (a, b, g, i) in Appendix.

**Categories of individuals covered by the system:** Individuals receiving irrigation waters from Reclamation constructed facilities.

**Categories of records in the system:** Computer input, storage, and output concerning water usage.

**Authority for maintenance of the system:** 43 U.S.C. 371, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to assist farmers on Federal Projects in the selection of the proper amounts and timing of irrigation deliveries. Disclosures outside the Department of the Interior may be made (1) to the U. S.

Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- On computer media. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for computer and manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/LBR-14

**System name:** Land Exchange -- Interior, Reclamation--14.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a,c,g,j), 5(d), 7(a thru k), 8(a thru h) in Appendix.

**Categories of individuals covered by the system:** Individual exchange for Bureau of Reclamation purposes.

**Categories of records in the system:** General subject of exchange of certain unpatented or private land by certain qualified applicants whose lands have been determined to be insufficient to support a family. Exchange of land by the Bureau of project purposes.

**Authority for maintenance of the system:** 43 U.S.C. 371, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to maintain a history of land exchange and to protect individual rights. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in file folders by appropriate file codes. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51. (4) Disposal -- records are disposed of periodically in accordance with established Bureau schedules. (4) Disposal -- In accordance with approved retention and disposal schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Information comes from the individual as well as the office involved.

#### INTERIOR/LBR-15

**System name:** Land Settlement Entries -- Interior, Reclamation--15.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a, c, g, j), 4(f), 5(d), 7(a thru k), 8(g) in Appendix.

**Categories of individuals covered by the system:** Individual applicants who wish to settle on lands on Federal Reclamation Project.

**Categories of records in the system:** (1) Applications including information as to character of individual applicant, veteran preference right, farming experience, assets (financial), health, appeals, and data concerning homesteading and applicant meeting requirements. (2) Notice to Land Office that homesteader has fulfilled requirements. (3) Data with Department of Agriculture as to applicability for loan.

**Authority for maintenance of the system:** (1) Section 4, Fact Finders Act of December 5, 1924 (43 Stat. 702), (2) Section 3, Reclamation Act of June 17, 1902 (1093-32 Stat. 388 and amendment); (3) Act of March 1, 1921, (102-41 Stat. 1202), (4) Act of April 7, 1921 (125-42 Stat. 492), (5) Section 44, The Omnibus Adjustment Act (44 Stat. 636), (6) Farm Unit Exchange Act of August 13, 1953 (428-67 Stat. 566).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to administer settler program and to determine if applicant is qualified to farm unit. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security-clearance, license, contract, grant or other benefit; (5) to Federal, State, or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location (above). See 43 CFR 2.63.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/LBR-16

**System name:** Litigation -- Interior, Reclamation--16.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a thru j), 4(a thru j), 5(a thru d), 6(a thru i), 7(a thru k) in Appendix.

**Categories of individuals covered by the system:** Individuals against whom Bureau of Reclamation has brought suit or who have brought suit against the Bureau of Reclamation.

**Categories of records in the system:** Correspondence and copies of documents from appropriate legal entities concerning condemnations of land, environmental suits to prohibit construction activities, and any information developed concerning any specific litigation.

**Authority for maintenance of the system:** (1) Section 7, Reclamation Act of 1902 (32 Stat. 389, 43 U.S.C. 421), (2) Pertinent provisions of Title 28, U.S.C. (3) Administrative Procedure Act, Chapter 7, Title 5, U.S.C.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) show record of ownership of lands and right-of-way required for reclamation purposes; (b) for record of final disposition of case. Disclosures outside the Department of the Interior may be made (1) to another Federal agency, a State or local government body having partial or complete jurisdiction over the claim or related claims; (2) to State or local government agencies for taxation purposes; (3) to the U. S. Department of Justice when related to litigation or anticipated litigation; (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- Maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location (above). See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained. Investigations conducted by Reclamation, offices of the Department of the Interior, State, and local governments.

**Systems exempted from certain provisions of the act:** The Privacy Act does not entitle an individual to access to information compiled in reasonable anticipation of a civil action or proceeding.

#### INTERIOR/LBR-17

**System name:** Lands - Leases, Sales, Rentals, and Transfers -- Interior, Reclamation--17.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a,c,e,f,g,h,i), 4(a thru i), 5(a thru d), 6(a thru i), 7(a thru k), 8(a,b,c,g), 9(a,e) in Appendix.

**Categories of individuals covered by the system:** (1) Individuals who are applicants to lease, purchase, rent, or transfer lands. (2) Individuals who are successful applicants.

**Categories of records in the system:** Notice of Availability of lands (advertising) requests, contracts, renewals, and other supporting data concerning the sale, lease, rental, or transfer of Reclamation-owned lands.

**Authority for maintenance of the system:** (1) Taylor Grazing Act of June 28, 1934; (2) Uniform Relocation Assistance and Land Acquisition Policy Act of 1970; (3) Sale of Unproductive Public Land Act of May 16, 1930; (4) Section 4 of the Columbia Basin Project Act of March 10, 1943; (5) Disposal of Small Tracts Act of March 31, 1950; (6) Federal Property and Administrative Act of 1949 and amendments; (7) Act of February 2, 1911; (8) Act of May

20 1920; (9) Reclamation Project Act of 1939; (10) Act of June 11, 1910.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) make known to interested individuals lands available for lease, sale, or rental; (b) to administer leases, sales, rentals, and transfers. Disclosures outside the Department of the Interior may be made (1) to appropriate Federal agency if it concerns other than reclamation lands; (2) to various counties for possessory interest tax; (3) anticipated litigation; (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location (above). See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained. County Recorder, Title companies, surveyors, and appraisers.

#### INTERIOR/LBR-18

**System name:** Lease of Housing -- Interior, Reclamation--18.

**System location:** Bureau of Reclamation offices numbered 3(a,c,e, f,g,j,l), 5, 6(a thru i), 7(a thru k), 8(a thru h), 9(b,c,e) in Appendix.

**Categories of individuals covered by the system:** Individuals who reside in Reclamation-owned housing.

**Categories of records in the system:** Miscellaneous requests, agreements, and pertinent records.

**Authority for maintenance of the system:** Reclamation Law of 1902, as amended, 43 U.S.C. 371, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to administer leasing and utilization of housing. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies here necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- completed file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location (above). See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/LBR-19

**System name:** Mineral Location Entries -- Interior, Reclamation--19.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3, 5(a thru d), 7(a thru k) in Appendix.

**Categories of individuals covered by the system:** Individual who has mineral entry on land Reclamation needs for Reclamation purposes.

**Categories of records in the system:** Data concerning entry and final disposition of claim.

**Authority for maintenance of the system:** Act of April 23, 1932, 47 Stat. 136.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to determine disposition of claims. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location (above). See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/LBR-20

**System name:** Movable Property ADP Records -- Interior, Reclamation--20.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a thru l), 4(a thru j), 6(a thru i), 7(a thru k), 8(a thru h), in Appendix.



**Categories of individuals covered by the system:** Individual employees who are responsible for movable property.

**Categories of records in the system:** General file material and ADP printouts for Property Management.

**Authority for maintenance of the system:** 40 U.S.C. 483(b).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to identify responsible individuals for accountability of movable property. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location (above) servicing the facility at which he is (or was) employed. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/LBR-21

**System name:** Movable Property Individual Responsibility -- Interior, Reclamation--21.

**System location:** General Services Branch, Engineering and Research Center, P.O. Box 25007, Bldg. 67, Denver Federal Center, Denver, Colorado 80225.

**Categories of individuals covered by the system:** Division, branch, and section head personnel, also some secretaries and technicians authorized to sign for property.

**Categories of records in the system:** Contains employee number assigned to individuals by the Property and Purchasing Branch. Contains a list of equipment assigned to the individual.

**Authority for maintenance of the system:** 40 U.S.C. 483(b).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to account for all property charged to individuals; (b) to record acquisition-relinquishments and other transactions. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on

computer. (2) Retrievability -- by employee number. (3) Safeguards -- records are stored in metal cabinets. (4) Retention and Disposal -- records are maintained between inventories and then destroyed. (4) Retention and Disposal -- In accordance with approved retention and disposal schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location (above). See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained and the Property and Purchasing Branch.

#### INTERIOR/LBR-22

**System name:** Oil and Gas Applications -- Interior, Reclamation--22.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a thru i), 5(a thru d), 6(a thru i), 7(a thru k), 8(a thru h), 9(c) in Appendix.

**Categories of individuals covered by the system:** Individuals who have filed for Oil and Gas Leases.

**Categories of records in the system:** Applications under the Mineral Leasing Act of February 25, 1920, as amended, 30 U.S.C. 181, et seq. Applications are identified by Bureau of Land Management serial numbers.

**Authority for maintenance of the system:** 30 U.S.C. 181, et seq., 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for proof and purpose of applications, and land status information. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location (above). See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/LBR-23

**System name:** Parking -- Interior, Reclamation--23.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) General Services Branch, Bureau of Reclamation, En-

Engineering and Research Center, P.O. Box 25007, Bldg. 67, Denver Federal Center, Denver, Colorado 80225.

**Categories of individuals covered by the system:** Employees in grade GS-12 and above. Also handicapped individuals and individuals and employees with heart problems.

**Categories of records in the system:** Contains name, grade, and computation date of the individual GS-12 and above. Contains name of handicapped or heart patient. Contains list of employees riding in car pool.

**Authority for maintenance of the system:** 40 U.S.C. 471, et seq., FMC 74-1, FPMR Temporary Regulation D-43.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to assign parking space. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- on 5 x 8 cards. (2) Retrievability -- by name. (3) Safeguards -- kept on file in the Property and Purchasing Branch in metal cabinets. (4) Retention and Disposal -- cards are destroyed upon the departure of the individual assigned the space.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office in which the records are located. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/LBR-24

**System name:** Payroll, Attendance and Leave Records (PAYPERS) -- Interior, Reclamation--24.

**System location:** (1) Division of Management Support, Engineering and Research Center, P.O. Box 25007, Denver Federal Center, Denver Colorado 80225. (2) Input documents supplied by Commissioner's Office, Washington, D.C., all Regional and Field Offices. (See Appendix for addresses.)

**Categories of individuals covered by the system:** All Reclamation employees with permanent, temporary, or indefinite appointments are maintained in the active files. Pay and leave information on all Reclamation employees who were paid during the year until the end of the calendar year.

**Categories of records in the system:** An individual record is maintained and updated for each employee biweekly and lists basic historical and current pay, leave, and personnel data.

**Authority for maintenance of the system:** 5 U.S.C., 5101, et seq., 31 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for leave records and to prepare payrolls. Disclosures outside the Department of the Interior may be made (1) to the Department of the Treasury for preparation of payroll checks and payroll deduction and other checks to Federal, State and local government agencies, non-governmental organizations and individuals; (2) to the Internal Revenue Service and to State, Commonwealth, Territorial and local governments for tax purposes; (3) to the Civil Service Commission in connection with the Civil Service Retirement system; (4) to other Federal agencies to which employees have transferred; (5) to the U. S. Department of Justice when related to litigation or anticipated litigation; (6) of information

indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation order or license; (7) from the record of an individual in response to an Inquiry from a Congressional office made at the request of that individual; (8) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (9) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on computer media, with input forms and printed outputs in manual form. (2) Retrievability -- indexed by name and identifying number of the employee. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for computer and manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him from the System Manager or the head of the office at which he is (or was) employed. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed the same as Notification. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Individual employees, timekeepers and supervisors.

#### INTERIOR/LBR-25

**System name:** Personal Author Reports -- Interior, Reclamation--25.

**System location:** General Services Branch, Bureau of Reclamation, Engineering and Research Center, P.O. Box 25007, Bldg. 67, Denver Federal Center, Denver, Colorado 80225.

**Categories of individuals covered by the system:** Individuals writing technical reports of interest to Reclamation.

**Categories of records in the system:** Contains name of author and other biographical information.

**Authority for maintenance of the system:** 43 U.S.C. 373, 373a, 1457, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to research technical reports by authors. Disclosures outside the Department of the Interior may be made to the general public.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- manual records. (2) Retrievability -- indexed by name. (3) Retention and Disposal -- retained indefinitely. (4) Disposal -- In accordance with approved retention and disposal schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Document.

#### INTERIOR/LBR-26

System name: Photographic Files -- Interior, Reclamation--26.

System location: (1) General Services Branch, Bureau of Reclamation, Engineering and Research Center, P.O. Box 25007, Bldg. 67, Denver Federal Center, Denver, Colorado 80225. (2) Reclamation offices numbered 6(a thru i) in Appendix.

Categories of individuals covered by the system: Individuals earning awards or service pins and individuals doing research for reports.

Categories of records in the system: Contains photograph of individuals by name. Contains name, date, and research number with caption describing research event.

Authority for maintenance of the system: 43 U.S.C. 373, 373a, 1457.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The primary uses of the records are (a) in the administration of Bureau award and research programs. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: (1) Storage -- maintained on photographic print paper of various sizes. (2) Retrievability -- indexed by name and number. (3) Safeguards -- filed in metal file cabinets. (4) Retention and Disposal -- Records Management Handbook No. 2. (4) Retention and Disposal -- In accordance with approved retention and disposal schedules.

System manager(s) and address: Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

Notification procedure: An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location above. See 43 CFR 2.60.

Record access procedures: Same as Notification above. See 43 CFR 2.63.

Contesting record procedures: A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

Record source categories: Individual on whom the record is maintained and supervisors.

#### INTERIOR/LBR-27

System name: Publication Sales -- Interior, Reclamation--27.

System location: General Services Branch, Bureau of Reclamation, Engineering and Research Center, P.O. Box 25007, Bldg. 67, Denver Federal Center, Denver, Colorado 80225.

Categories of individuals covered by the system: Individuals purchasing Reclamation publications.

Categories of records in the system: Contains the name and address of individuals purchasing Reclamation publications together with the amount of money remitted for same.

Authority for maintenance of the system: 43 U.S.C. 373, 373a, 1457, 44 U.S.C. 3101, 31 U.S.C. 65, et seq.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The primary uses of the records are (a) to account for monies received for publications. Disclosures outside the Department of the Interior may be made (1) to the Government Printing Office for publications; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: (1) Storage -- maintained on

8 x 10 1/2 forms and correspondence. (2) Retrievability -- indexed by name. (3) Safeguards -- records are stored on open shelf files. (4) Retention and Disposal -- records are maintained in accordance with Records Management Handbook No. 2. (4) Retention and Disposal -- In accordance with approved retention and disposal schedules.

System manager(s) and address: Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

Notification procedure: An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location above. See 43 CFR 2.60.

Record access procedures: Same as Notification above. See 43 CFR 2.63.

Contesting record procedures: A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

Record source categories: Individual on whom the record is maintained.

#### INTERIOR/LBR-28

System name: Real Property and Right-of-Way Acquisitions -- Interior, Reclamation -- 28.

System location: (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a thru j), 4(a thru j), 5(a thru d), 6(a thru i), 7(a thru k), 8(a thru h), 9(a,b,e) in Appendix.

Categories of individuals covered by the system: Individual landowners from whom Bureau of Reclamation has purchased or condemned land, exercised reserved right-of-way, or received donation deeds, or from whom the Bureau is in the process of acquiring land or interests therein.

Categories of records in the system: Contains records concerning acquisition of land or right-of-way, including correspondence, appraisal reports, land descriptions, releases of prior liens, contracts to purchase, agreements between landowners and Reclamation, Notice of Exercise of Right-of-Way, payment data, copies of condemnation actions, and other supporting data required in specific transactions.

Authority for maintenance of the system: (1) Reclamation Act of 1902, as amended, and acts supplemental thereto, 43 U.S.C. 371, et seq. (2) Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, 42 U.S.C. 4651, et seq. (3) Act of August 30, 1890 (26 Stat. 391), as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The primary uses of the records are (a) to maintain record of ownership of lands and rights-of-way acquired for Reclamation purposes. Disclosures outside the Department of the Interior may be made (1) to transfer administration of the land for transmission of power, recreation, fish and wildlife activities, and other purposes as required to another Federal agency, State and local government; (2) to transmit deeds to local County government to record ownership data; (3) to furnish copy of deed to appropriate irrigation district operating the irrigation system to advise right-of-way available in operating the system; (4) to transmit documents to Department of Justice for title opinion; (5) to the U. S. Department of Justice when related to litigation or anticipated litigation; (6) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (7) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

System manager(s) and address: Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual land owners, County Recorders, title companies.

**Systems exempted from certain provisions of the act:** The Privacy Act does not entitle an individual to access to information prepared in reasonable anticipation of a civil action or proceeding.

#### INTERIOR/LBR-29

**System name:** Right-of-Way Applications -- Interior, Reclamation-29.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a thru j), 5(a thru d), 7(a thru k), 8(a thru h), 9 in Appendix.

**Categories of individuals covered by the system:** Applicants for right-of-way may or may not be identified by Bureau of Land Management serial numbers, Land description, value, and instrument of ownership.

**Categories of records in the system:** Applications for right-of-way.

**Authority for maintenance of the system:** Reclamation Act of 1902, as amended, and acts supplementary thereto, 43 U.S.C. 371, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to maintain land status information and proof of right-of-way permits for legal purposes. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained, County Recorders, and Title companies.

#### INTERIOR/LBR-30

**System name:** Safe Driving Records -- Interior, Reclamation-30.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 2, 3(a, b, c, e, f, g), 4(a thru j), 5(a thru d), 6(a thru i), 7(a thru k), 8(a thru h) in Appendix.

**Categories of individuals covered by the system:** Reclamation employees driving Government-owned vehicles.

**Categories of records in the system:** Driving record of Reclamation employees.

**Authority for maintenance of the system:** 43 U.S.C. 373, 373a, 1457, Executive Order 11807.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is for reporting purposes and for safe driving awards. Disclosures outside the Department of the Interior may be made to (1) National Safety Council for issuance of safe driving awards; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (6) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location (above) servicing the facility at which he is (or was) employed. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/LBR-31

**System name:** Sale of Power to Individuals -- Interior, Reclamation-31.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 5, 6(a thru i), 9(b) in Appendix.

**Categories of individuals covered by the system:** Individuals purchasing power from the Government.

**Categories of records in the system:** Contains executed contracts, agreements and all contract amendments, extension and other pertinent correspondence.

**Authority for maintenance of the system:** Reclamation Act of June 17, 1902, as amended, and acts supplementary thereto, 43 U.S.C. 371, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for power accounting. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file

maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Individual receiving power meter readings.

#### INTERIOR/LBR-32

**System name:** Special Use Applications, Licenses, and Permits -- Interior, Reclamation--32.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a thru j), 4(a thru j), 5(a thru d), 6(a thru i), 7(a thru k), 9(a,b,c) in Appendix.

**Categories of individuals covered by the system:** Individuals who secure licenses and permits concerning Reclamation owned facilities.

**Categories of records in the system:** (1) Applications, (2) Licenses, (3) Permits, (4) Miscellaneous supporting data.

**Authority for maintenance of the system:** (1) Reclamation Law of 1902, as amended, and acts supplemental thereto, 43 U.S.C. 371, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to administer Reclamation-owned lands and facilities; (b) to allow individuals, firms or other Government entities to use Reclamation lands for special limited uses. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/LBR-33

**System name:** Speeches -- Interior, Reclamation--33.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) General Services Branch, Bureau of Reclamation, Engineering and Research Center, P.O. Box 25007, Bldg. 67, Denver Federal Center, Denver, Colorado 80225. (3) Reclamation offices numbered 3(a thru l), 5, 6(a thru i), 8(a thru h), 9 in Appendix.

**Categories of individuals covered by the system:** Bureau of Reclamation employees.

**Categories of records in the system:** Copies of speeches delivered by Bureau employees generally on the subject of Reclamation activities.

**Authority for maintenance of the system:** 43 U.S.C. 373, 373a, 1457, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for reference purposes by Public Relations Officer.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- paper copies maintained in file folders. (2) Retrievability -- classified by subject code and arranged in alphabetical order by name of individual and office in which employee is employed. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51. (4) Retention and Disposal -- permanent retention for copies of speeches held in office speaker is employed. (4) Retention and Disposal -- In accordance with approved retention and disposal schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location (above) servicing the facility at which he is (or was) employed. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/LBR-34

**System name:** Thefts Listing -- Interior, Reclamation--34.

**System location:** General Services Branch, Engineering and Research Center, P.O. Box 25007, Bldg. 67, Denver Federal Center, Denver, Colorado 80225.

**Categories of individuals covered by the system:** Individuals reporting loss or theft.

**Categories of records in the system:** Contains name, room number, telephone extension of person reporting loss of materials through theft.

**Authority for maintenance of the system:** 5 U.S.C. 3101, 43 U.S.C. 373, 373a, 1457, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to document reporting of loss or theft. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- manual. (2) Retrievability -- by name of reporting individual. (3) Safeguards -- in locked desk and locked cabinets. (4) Retention and Disposal -- Records Management Handbook No. 2. (4) Retention and Disposal -- In accordance with approved retention and disposal schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the offices listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/LBR-35

**System name:** Travel Approval Authorizations and Reports -- Interior, Reclamation--35.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a thru l), 4(a thru j), 5, 6(a thru i), 7(a thru k).

**Categories of individuals covered by the system:** Reclamation employees authorized to travel.

**Categories of records in the system:** Travel authorization.

**Authority for maintenance of the system:** 5 U.S.C. 5701, et seq., 7 GAO 22 - Policy and Procedures Manual for guidance of Federal Agencies.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to authorize employees to travel on official business. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location (above) servicing the facility at which he is (or was) employed. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/LBR-36

**System name:** Travel Voucher Records -- Interior, Reclamation--36.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) General Services Branch, Bureau of Reclamation, Engineering and Research Center, P.O. Box 25007, Bldg. 67, Denver Federal Center, Denver, Colorado 80225. (3) Reclamation offices

numbered 3, 6(a thru i), 7(a thru k), 8(a thru h), 9(a thru h) in Appendix.

**Categories of individuals covered by the system:** Individual Reclamation employees who have traveled on official business.

**Categories of records in the system:** Payment data for travel performed.

**Authority for maintenance of the system:** 5 U.S.C. 5701, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for payment of travel and transportation. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the office listed under Location (above) servicing the facility at which he is (or was) employed. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/LBR-37

**System name:** Trespass Cases -- Interior, Reclamation--37.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a thru l), 5(a thru d), 7(a thru k), 9(b, c) in Appendix.

**Categories of individuals covered by the system:** Individual who trespasses on Government-owned property.

**Categories of records in the system:** Contains correspondence re trespass, interim problems, and final disposition of case.

**Authority for maintenance of the system:** (1) Reclamation Act of 1902, as amended, and acts supplementary thereto, 43 U.S.C. 371, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to document final disposition of trespass. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by in-

dividual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Systems exempted from certain provisions of the act:** Under the specific exemption authority provided by 5 U.S.C. Interior, Bureau of Reclamation, Washington, D.C. 20240. 552a(k)(2), the Department of the Interior has adopted a regulation, 43 CFR 2.79(b), which exempts this system from the provisions of 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H) and (I) and (f) and the portions of 43 CFR, Part 2, Subpart C which implement these provisions. The reasons for adoption of this regulation are set out at 40 FR 37217 (August 26, 1975).

#### INTERIOR/LBR-38

**System name:** Water Right Applications -- Interior, Reclamation--38.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a,c,g,h,j), 4(a thru j), 5(d), 7(a thru k), 9(c) in Appendix.

**Categories of individuals covered by the system:** Individual homesteaders who are eligible to receive water right certificates.

**Categories of records in the system:** Individual water right applications and supporting papers.

**Authority for maintenance of the system:** (1) Reclamation Act of 1902, as amended, and acts supplementary thereto, 43 U.S.C. 371, et seq. (2) Act of August 9, 1912 (37 Stat. 265), and any acts amendatory or supplementary thereto.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for administration and negotiation of water right applications with individual landowners; (b) to entitle purchaser of water right certificate to final water right certificate upon completion of statutory requirements. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom the record is maintained.

#### INTERIOR/LBR-39

**System name:** Water Rights Acquisition -- Interior, Reclamation--39.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a,c,g,h,i), 4(a thru j), 6(a thru i), 7(a thru k) in Appendix.

**Categories of individuals covered by the system:** Material pertaining to acquisition by the Bureau, by purchase or donation, etc., of water rights by others.

**Categories of records in the system:** Individual water service and agreements on diversion of water, along with related correspondence.

**Authority for maintenance of the system:** Reclamation Act of 1902, Section 8, 43 U.S.C. 372, 383.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for administration and negotiation of individual water service and agreements on diversion of water. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by name of individual or landowner. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- none - no official schedule. (4) Disposal -- In accordance with approved retention and disposal schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual landowners.

#### INTERIOR/LBR-40

**System name:** Water Sales and Delivery Contracts -- Interior, Reclamation--40.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a,c,g,j), 4(a thru j), 5(a thru d), 6(a thru i), 7(a thru k), 8(a thru h), 9(c) in Appendix.

**Categories of individuals covered by the system:** Individuals who purchase excess water, water from unassigned reservoir space for irrigation or domestic use.

**Categories of records in the system:** Requests for water, contracts for individuals to receive water and pertinent correspondence.

**Authority for maintenance of the system:** (1) The Reclamation Project Act of June 17, 1902 (Ch. 1093, 32 Stat. 388), and acts amendatory thereof and supplementary thereto, particularly the Reclamation Project Act of August 4, 1939 (Ch. 418, 53 Stat. 1187). (2) Reclamation Extension Act of August 13, 1914. (3) The Omnibus Adjustment Act of May 25, 1926. (4) Section 2 of the Rivers and Harbors Act of August 26, 1937 (Ch. 832, 50 Stat. 844). (5) Reclamation Project Act of 1939, (6) Flood Control Act of 1944, Section 8.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) administration and negotiation of water sales contracts with individual landowner; (b) to sell water from Corps of Engineers dam and reservoir project. Disclosures outside the Department of the Interior may be made to State of California Water Resources Control Board for settlement of water rights; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing

the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/LBR-41

**System name:** Permits -- Interior, Reclamation--41.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(a thru j), 5(a thru d), 6(a thru i), 7(a thru k) in Appendix.

**Categories of individuals covered by the system:** (1) Individual who permits Reclamation employees to enter on his land. (2) Individual with permits to enter Bureau land or facilities.

**Categories of records in the system:** Written permission to enter private lands or Reclamation lands and facilities; permission to erect and maintain structures.

**Authority for maintenance of the system:** (1) Reclamation Project Act of June 17, 1902, as amended, and supplemental laws, 43 U.S.C. 371, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** (1) To allow Reclamation employees to perform required work on private lands. (2) To allow individuals to erect and maintain structures, on Bureau facilities or land. (3) Land status information, proof of permit and legal applications. (4) Transfer to U.S. Department of Justice in event of litigation involving the records or the subject matter of the records. (5) Transfer, in the event there is indicated a violation or potential violation of a statute, regulation, rule, order or license, whether civil, criminal, or regulatory in nature, to the appropriate agency or agencies, whether Federal, State, local or foreign, charged with the responsibility of investigation or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, order or license violated or potentially violated.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained and County Recorders.

#### INTERIOR/LBR-42

**System name:** Recordable Contracts -- Interior, Reclamation--42.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3(c,g), 4(a thru j), 7(a thru e), 8(a thru h), 9(c,d) in Appendix.

**Categories of individuals covered by the system:** Individual landowner who wishes to receive irrigation water from a Reclamation project.

**Categories of records in the system:** Appraisal and reappraisal documents; contracts agreeing to sell land at appraisal price; miscellaneous correspondence and data supporting appraisals and reappraisals.

**Authority for maintenance of the system:** (1) Interior Appropriation Act for 1927-277, 44 Stat. 453; (2) Omnibus Adjustment Act, Sec. 46, 44 Stat. 636; (3) Columbia Basin Project Act: Sec. 2, 14, 57 Stat. 14; 1048, 64 Stat. 1037; 1060, 64 Stat. 1074; (4) Water Delivery After Death of Spouse Public Law 86-684, 74 Stat. 732; (5) Reclamation Act of 1902 and amendments; (6) Water Conservation and Utilization Act of October 14, 1940-54 Stat. 1119; (7) Small Reclamation Project Act; (8) Act of August 9, 1912; and (9) Act of July 11, 1956.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to determine value of excess land without reference to proposed construction of irrigation works; (B) to determine eligibility of land to receive water; (c) to establish eligibility of landowner to receive federal-financed project water. Disclosures outside the Department of the Interior may be made (1) to various counties for recording of agreement pertaining to sale of excess lands; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed in Appendix A.

**Record access procedures:** Same as Notification above.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/LBR-44

**System name:** Vendor Payment Records--Interior, Reclamation -- 44.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) Reclamation offices numbered 3, 4(a thru i), 5(a thru d), 7(a thru k), 9(a thru e) in Appendix.

**Categories of individuals covered by the system:** Individual who furnishes supplies or services. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records



concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Contains name, service rendered, payments made, and supporting records.

**Authority for maintenance of the system:** 40 U.S.C. 486(c), 31 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for documentation to support disbursement of funds. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Complete file maintained in manual form in file folders. (2) Retrievability -- By individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- In accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under location above. See 43 CFR 2.60.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/LBR-45

**System name:** Equipment, Supply and Service Contracts--Interior, Reclamation -- 45.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) General Services Branch, Bureau of Reclamation, Engineering and Research Center, P.O. Box 25007, Bldg. 67, Denver Federal Center, Denver, Colorado 80225. (3) Reclamation offices numbered 4(a thru i), 5(a thru d), 6(a thru i), 7(a thru k), 9(a, b, e) in Appendix.

**Categories of individuals covered by the system:** Individuals who have entered into contracts with the agency for equipment, supplies, and services. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** Contracts for the procurement of equipment, supplies, materials, and services; including reports of compliance or noncompliance to labor and other laws governing contract administration.

**Authority for maintenance of the system:** Reclamation Act of June 17, 1902, as amended, 43 U.S.C. 371, et seq., 40 U.S.C. 486(c), 31 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for administration of the contract. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order of license, to appropriate

Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Maintained in manual form in file folders. (2) Retrievability -- Indexed by name of individual or by purchase order number. (3) Safeguards -- Maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- 3 years after final settlement. (4) Disposal -- In accordance with approved retention and disposal schedules. disposal schedules.

**System manager(s) and address:** From individuals.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60 for submission requirements.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63 for submission requirements.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

#### INTERIOR/LBR-46

**System name:** Employee Trip Reports--Interior, Reclamation -- 46.

**System location:** (1) Division of General Services, Bureau of Reclamation, U.S. Department of the Interior, Washington, D.C. 20240. (2) General Services Branch, Bureau of Reclamation, Engineering and Research Center, P.O. Box 25007, Bldg. 67, Denver Federal Center, Denver, Colorado 80225. (3) Reclamation offices numbered 5(a thru d), 7(a thru k) in Appendix.

**Categories of individuals covered by the system:** Staff personnel who have been authorized to review construction, maintenance, land matters, administrative duties, etc.

**Categories of records in the system:** Reporting condition in other offices as well as field offices for informational or historical purposes.

**Authority for maintenance of the system:** 43 U.S.C. 373, 373a, 1457, 44 U.S.C. 3101.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to document suggested improvements in operations or structure modifications. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Maintained in file folders and classified by the subject of purpose of the field trip. (2) Retrievability -- By individual name. (3) Safeguards -- Maintained with safeguards meeting requirements of 43 CFR 2.51. (4) Disposal -- In accordance with approved retention and

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location above. See 43 CFR 2.60 for submission requirements.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63 for submission requirements.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** From the employee taking the trip.

#### INTERIOR/LBR-47

**System name:** Employees' Compensation Records -- Interior, Bureau of Reclamation -- 47.

**System location:** All offices listed in Appendix, except numbers 8(a thru h) and 9(a thru h).

**Categories of individuals covered by the system:** Reclamation employees.

**Categories of records in the system:** Copies of time and attendance reports and copies of information concerning injuries to Federal employees.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101, 8101, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for reference to reply to employee requests. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual; (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit; (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- complete file maintained in manual form in file folders. (2) Retrievability -- by individual's name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- in accordance with approved Retention and Disposal Schedules.

**System manager(s) and address:** Chief, Division of General Services, U.S. Department of the Interior, Bureau of Reclamation, Washington, D.C. 20240.

**Notification procedure:** An individual may inquire whether or not the system contains a record pertaining to him by addressing a written request to the head of the appropriate office listed under Location (above) at which he is (or was) employed. See 43 CFR 2.60 for submission requirements.

**Record access procedures:** Same as Notification above. See 43 CFR 2.63 for submission requirements.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual on whom record is maintained.

#### INTERIOR/LLM-1

**System name:** Mining Claim Title Clearance -- Interior, BLM--1.

**System location:** The Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado, 80225.

**Categories of individuals covered by the system:** Owners of record of mining claims on national resource lands.

**Categories of records in the system:** The record contains the name of the owner of record, name, acreage and location of the claim.

**Authority for maintenance of the system:** 30 U.S.C. 601, 611.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to identify owners of record of mining claims on National Resource lands and (b) to establish the chain of title of ownership transfers between private individuals to validate the owner of record. Disclosures outside the Department of the Interior may be made, (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Computer, Mag-tape. (2) Retrievability -- Indexed by name of owner and name of claim. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Not authorized.

**System manager(s) and address:** Chief, Division of Standards and Technology, U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Research by BLM employees and applicants for patent of mining claims.

#### INTERIOR/LLM-2

**System name:** Range Management System -- Interior, BLM--2.

**System location:** U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado, 80225.

**Categories of individuals covered by the system:** Individuals owning grazing leases and permits issued by BLM.

**Categories of records in the system:** The record contains the lessee's or permittee's name, address, description of the area, and the Bureau's assigned case file number.

**Authority for maintenance of the system:** 43 U.S.C. 315, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to identify the permittees and lessees authorized to graze lands administered by the Bureau of Land Management. (b) To print statements of grazing preference, grazing authorizations, billings for grazing fees due, and other reports, and (c) to provide information concerning the grazing permittees and lessees for administrative and public use. Disclosures outside the Department of the Interior may be made, (1) to a member of the general public in response to a specific request for pertinent information, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order, permit, or lease, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order, permit, or lease, (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Computer, Mag-tape. (2) Retrievability -- Indexed by name of lessee, case file number, and land description. (3) Safeguard -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed fifteen years after termination of lease.

**System manager(s) and address:** Chief, Division of Standards and Technology, U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Lessees.

#### INTERIOR/LLM-3

**System name:** Mineral Lease Management -- Interior, BLM--3.

**System location:** U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Categories of individuals covered by the system:** Individuals owning mineral leases issued by BLM.

**Categories of records in the system:** The record contains the lessee's name, address, description of the area leased, and the Bureau's assigned case file number.

**Authority for maintenance of the system:** 30 U.S.C. 181, 221.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to identify ownership of mineral leases and the amount of acreage leased by each individual, (b) to mail courtesy bills for rental due and receipts of payment, and (c) to document for administrative use, information for the management, disposal and use of National Resource lands and resources. Disclosures outside the Department of the Interior may be made, (1) to a member of the general public in response to a specific request for pertinent information, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Computer, Mag-tape. (2) Retrievability--Indexed by name of lessee, case file number, and land description. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed fifteen years after termination of lease.

**System manager(s) and address:** Chief, Division of Budget and Finance, U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Lessees

#### INTERIOR/LLM-4

**System name:** Coal Lease Data System -- Interior, BLM-4.

**System location:** U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Categories of individuals covered by the system:** Individuals owning federal coal leases issued by BLM.

**Categories of records in the system:** The record contains the lessee's name, address, description of the area leased, and the Bureau's assigned case file number.

**Authority for maintenance of the system:** 30 U.S.C. 181, 201.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to identify ownership of Federal Coal Leases and (b) to document for administrative use information for the management, disposal, and use of National Resource lands and resources. Disclosures outside the Department of the Interior may be made (1) to a member of the general public in response to a specific request for pertinent information, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating rule, regulation, order or license, (4) FROM the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained on mag-tape. (2) Retrievability -- indexed by name of lessee, case file number, and land description. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for compu-

terized records. (4) Disposal -- record destroyed fifteen years after termination of lease.

**System manager(s) and address:** Minerals Staff Leader, U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Lessees.

#### INTERIOR/LLM-5

**System name:** Alaska Native Claims -- Interior, BLM-5.

**System location:** Alaska State Office, U. S. Department of the Interior, Bureau of Land Management, 555 Cordova Street, Anchorage, Alaska 99501

**Categories of individuals covered by the system:** Claimants under the Alaska Native Claims Act.

**Categories of records in the system:** The record contains the claimants name, address, description of the area claimed and the Bureau's assigned case file number.

**Authority for maintenance of the system:** Alaska Native Claims Act, PL 92-203.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to process claims for rights and interests in National Resource lands, (b) for recordation of adjudicative actions pertaining to the claims and (c) to index documentations in case files supporting administrative actions and notations made on land status records. Disclosures outside the Department of the Interior may be made (1) to a member of the general public in response to a specific request for pertinent information, (2) to appropriate federal agencies when concurrence or supporting information is required prior to granting a right or interest in National Resource lands and resources, (3) to the U. S. Department of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Computer, Mag-tape. (2) Retrievability -- Indexed by name of claimant, case number, and land description. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Not authorized.

**System manager(s) and address:** Chief, Division of Management Services, U. S. Department of the Interior, Bureau of Land Management, 555 Cordova Street, Anchorage, Alaska 99501.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Claimants, BIA, and BLM determinations.

#### INTERIOR/LLM-6

**System name:** Mineral Surveyor Appointment File -- Interior, BLM-6.

**System location:** Division of Cadastral Survey (420), U. S. Department of the Interior, Bureau of Land Management, 2239 20th Street, N. W., Washington, D. C. 20240.

**Categories of individuals covered by the system:** Applicants for a Mineral Surveyor appointment and holders of an appointment.

**Categories of records in the system:** Contains the name and biographical information for qualification of each applicant and data on the granting or rejection of each application for appointment.

**Authority for maintenance of the system:** 30 U.S.C. 39.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to process applications for appointment and (b) to issue notices of appointments. Disclosures outside the Department of the Interior may be made (1) to a member of the general public in response to a request for identification of appointed mineral surveyors within a particular state, (2) to Federal, State or local agencies when necessary to obtain information relevant to the application for appointment, (3) to the U. S. Department of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders arranged alphabetically by name. (2) Retrievability -- Indexed by name of applicant or appointee. (3) Safeguard -- In accordance with 43 CFR 2.51. (4) Disposal -- File destroyed fifty years after administrative need has been completed.

**System manager(s) and address:** Chief, Division of Cadastral Survey (420), U. S. Department of the Interior, Bureau of Land Management, 1129 20th Street, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Applicants.

#### INTERIOR/LLM- 7

**System name:** Land and Resource Case File -- Interior, BLM-- 7.

**System location:** The BLM Offices listed in the Appendix, Parts B and C.

**Categories of individuals covered by the system:** Individuals who filed applications under appropriate statutes for national resource lands (public lands) or the resources in or on such lands and individuals from whom an interest has been acquired.

**Categories of records in the system:** The records contain the applicant's name, address, his qualification under the statute and regulations involved and other detailed information required by the regulations under which the application is filed, i.e., the extent of his oil and gas or other mineral holdings in national resource lands.

**Authority for maintenance of the system:** The various statutes under which applications are filed are listed in the regulations in Title 43 of the Code of Federal Regulations.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are, (a) to process applications for rights and interests in National Resource lands, (b) for recordation of adjudicative actions pertaining to the application, (c) to index documentations in case files supporting administrative actions and notations made on land status records, and (d) for recordation of acquisitions. Disclosures outside the Department of the Interior may be made, (1) to appropriate Federal agencies when concurrence is required prior to granting or acquiring a right or interest in lands or resources, (2) to a Federal, State or local agencies or a member of the general public in response to a specific request for pertinent information, (3) to the U. S. Department of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders arranged by State, type of case and case number. (2) Retrievability -- Indexed by name of claimant and serial number. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed fifteen years after the right is extinguished. If a permanent right is granted the record is permanently retained.

**System manager(s) and address:** Assistant Director - Technical Services, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under Records Location.

**Record access procedures:** To see your records write to the Systems Manager or to the offices cited under Record Location. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Applicants. Investigations conducted by BLM or other offices of the Department.

#### INTERIOR/LLM- 8

**System name:** Aircraft Passenger Manifest Records - Fire Control -- Interior, BLM--8.

**System location:** U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225; Boise Interagency Fire Center, BLM, 3905 Vista Avenue, Boise, Idaho 83705 and all BLM Offices listed in the Appendix, Part B.

**Categories of individuals covered by the system:** Individuals moved on government or chartered aircraft to or from a fire area.

**Categories of records in the system:** The record contains the individual's name, social security number, address and boarding point.

**Authority for maintenance of the system:** 16 U.S.C. 594; 31 U.S.C. 686; 42 U.S.C. 1856; 43 U.S.C. 315; 1181, and 1361.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to identify individuals moved to or from a fire area. Disclosures outside the Department of the Interior may be made (1) to Federal, State or local agencies to verify the movement of an individual to or from a fire area, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders, arranged by flight and alphabetically by name. (2) Retrievability -- Indexed by name of passenger on flight manifest. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed seven years after flight.

**System manager(s) and address:** Assistant Director - Technical Services, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under Records Location.

**Record access procedures:** To see your records write to the Systems Manager or the offices cited under Records Location. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Passenger on aircraft.

#### INTERIOR/LLM- 9

**System name:** Property and Supplies Accountability -- Interior, BLM--9.

**System location:** All BLM Offices listed in the Appendix; the Boise Interagency Fire Center, BLM, 3905 Vista Avenue, Boise, Idaho 83705 and U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Categories of individuals covered by the system:** Individuals who are accountable for property and supplies.

**Categories of records in the system:** The record contains the user's name and description of the accountable property or supply.

**Authority for maintenance of the system:** 40 U.S.C. 483(b).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to identify responsible individuals for accountability of property and supplies. Disclosures outside the Department of the Interior may be made, (1) to federal, State, or local agencies to recover accountable property or supplies, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders, arranged alphabetically by name. (2) Retrievability -- Indexed by name of individual. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal - Record destroyed when property is returned to stock.

**System manager(s) and address:** Assistant Director - Administration, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under Records Location.

**Record access procedures:** To see your records write to the Systems Manager or to the offices cited under Records Location. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Individuals.

#### INTERIOR/LLM-10

**System name:** Vehicle Use Authorization -- Interior, BLM--10.

**System location:** All BLM Offices listed in the Appendix; the Boise Interagency Fire Center, BLM, 3905 Vista Avenue, Boise, Idaho 83705 and U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Categories of individuals covered by the system:** Individuals who have been authorized to use government vehicles for official business and for use between residence and place of employment.

**Categories of records in the system:** The record contains the employee's name and purpose for use of vehicles.

**Authority for maintenance of the system:** 40 U.S.C. 491(1).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to maintain records of authorized uses of government vehicles. Disclosures outside the Department of the Interior may be made (1) to Federal, State or local agencies to verify an authorized use, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license; (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders arranged alphabetically by name. (2) Retrievability -- Indexed by name of employee. (3) Safeguards -- In accordance with

CFR 43 2.51. (4) Disposal - Record destroyed two years after return of vehicle.

**System manager(s) and address:** Assistant Director - Administration, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under Records Location.

**Record access procedures:** To see your records write to the Systems Manager or to the offices cited under Records Location. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** BLM employees.

#### INTERIOR/LLM-11

**System name:** Identification Cards and Passes -- Interior, BLM--11.

**System location:** All BLM Offices listed in the Appendix; the Boise Interagency Fire Center, BLM, 3905 Vista Avenue, Boise, Idaho 83705 and U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Categories of individuals covered by the system:** Individuals issued a pass or identification card.

**Categories of records in the system:** The record contains the individual's name, telephone number, organization locations and assigned number of the pass or identification card. When a fire fighter or fire support personnel is identified, the record contains the individual's fire specialty and information for emergency contact.

**Authority for maintenance of the system:** 5 U.S.C. 301, 3101, 43 U.S.C. 1457.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to maintain records of employees and other individuals issued passes and identification cards and (b) to identify qualified trained fire fighters. Disclosures outside the Department of the Interior may be made (1) to Federal, State or local agencies to identify qualified fire fighters, law enforcement officers and special investigators, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, control registers and telephone directive listings for special purposes. (2) Retrievability -- Indexed by name of employee and control number. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record maintained current.

**System manager(s) and address:** Assistant Director - Administration, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under Records Location.

**Record access procedures:** To see your records write to the Systems Manager or to the offices cited under Records Location. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** BLM employees.

#### INTERIOR/LLM-12

**System name:** Manpower Management -- Interior, BLM--12.

**System location:** U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Categories of individuals covered by the system:** BLM employees.

**Categories of records in the system:** The record contains the employee's name, social security number, and organization location.

**Authority for maintenance of the system:** 5 U.S.C. 5301.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is for the management of manpower and position organization control. Disclosures outside the Department of the Interior may be made, (1) from the record for reports to appropriate Federal and State agencies, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Computer, Mag-tape. (2) Retrievability -- Indexed by name of employee, social security number, position number, and organization location. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record maintained on a current basis.

**System manager(s) and address:** Chief, Division of Manpower and Organization, U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Notification of personnel actions and organization changes.

#### INTERIOR/LLM-13

**System name:** Safety Management Information -- Interior, BLM--13.

**System location:** U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Categories of individuals covered by the system:** BLM employees involved in a work related accident, private individuals involved in a BLM employee related accident, and private individuals involved in an accident on national resource lands or facilities.

**Categories of records in the system:** The record contains the name of the person involved, social security number, address, nature of the accident, injuries and property damage, if any, witnesses, and control number.

**Authority for maintenance of the system:** 5 U.S.C. 7902, 28 U.S.C. 2671-2680, 31 U.S.C. 240-243.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to document information for the Department of the Interior automated system for accident reporting. Disclosures outside the Department of the Interior may be made, (1) to the Department of Labor in the event there is a claim for compensation, (2) from the record to individuals and companies involved, responsible or sureties, (3) to the U. S. Department of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders arranged alphabetically by name. (2) Retrievability -- Indexed by name of person involved in an accident, location, date, and control number. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed when administrative needs have been extinguished.

**System manager(s) and address:** Assistant Director - Technical Services, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Persons involved, witnesses to an accident, and investigations by BLM employees and other authorities.

#### INTERIOR/LLM-14

**System name:** Security Clearance Files -- Interior, BLM--14.

**System location:** U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Categories of individuals covered by the system:** BLM employees.

**Categories of records in the system:** The record contains the employee's name, degree of security clearance, and location of employment.

**Authority for maintenance of the system:** E.O. 10450.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to identify employees having security clearance and their degree of security clearance. Disclosures outside the Department of the Interior may be made, (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit, (5) to Federal, State or local agencies where necessary to obtain information relevant to the hiring or retention of an employee, or the issuance of a security clearance, contract, license, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders arranged alphabetically by name. (2) Retrievability -- Indexed by name of employee. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed when administrative need is extinguished.

**System manager(s) and address:** Assistant Director - Administration, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Employee, personnel file and investigations by BLM or other agencies.

#### INTERIOR/LLM-15

**System name:** Correspondence Control -- Interior, BLM--15.

**System location:** U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Categories of individuals covered by the system:** Individuals and Congressmen who have corresponded with BLM and whose correspondence has been placed under control.

**Categories of records in the system:** The record contains the correspondent's name, address, subject matter, and control number.

**Authority for maintenance of the system:** 5 U.S.C. 301, 43 U.S.C. 1457, 44 U.S.C. 3101, Reorganization Plan 3 of 1950.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to identify correspondents and their subject matter of interest. Disclosures outside the Department of the Interior may be made, (1) to a member of the general public or a Federal official in response to specific requests for pertinent information, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (5) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, index cards arranged for each year alphabetically by name. (2) Retrievability -- Indexed by name of correspondent. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed seven years after response.

**System manager(s) and address:** Assistant Director - Legislation and Planning, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Correspondents.

#### INTERIOR/LLM-16

**System name:** Mineral and Vegetal Material Sales -- Interior, BLM--16.

**System location:** U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Categories of individuals covered by the system:** Purchasers of mineral and vegetal materials.

**Categories of records in the system:** The record contains the purchaser's name, address, description of the material purchased, quantity, sales price, and the Bureau's assigned sales number.

**Authority for maintenance of the system:** 16 U.S.C. 617, 30 U.S.C. 601, 43 U.S.C. 1181a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to identify for administrative purposes lands. Disclosures outside the Department of the Interior may be made (1) to a member of the general public in response to a specific request for pertinent information, (2) from the record for a semi-annual report to Congress, (3) to the U. S. Department of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Computer, Mag-tape. (2) Retrievability -- Indexed by name of purchaser and sales number. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed two years after completion of sales contract.

**System manager(s) and address:** Chief, Division of Budget and Finance, U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Purchaser.

#### INTERIOR/LLM-17

**System name:** Payroll -- Interior, BLM--17.

**System location:** U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Categories of individuals covered by the system:** BLM employees.

**Categories of records in the system:** The record contains the employee's name, social security number, address, and pertinent data for calculation of payroll, payroll deductions, leave, and length of service.

**Authority for maintenance of the system:** 5 U.S.C. 5101, et seq., 31 U.S.C. 66a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for the recordation of pay data for the issuance of pay checks and (b) for the recordation of accumulated and used leave. Disclosures outside the Department of the Interior may be made, (1) to the Department of the Treasury for preparation of pay checks and checks for authorized deductions, (2) to the Internal Revenue Service and to State, commonwealth, territorial and local governments for tax purposes, (3) to the Civil Service Commission in connection with the Civil Service retirement system, (4) to another Federal agency to which an employee has transferred for accumulated leave, (5) to appropriate agencies, Federal and State for required reports, (6) to the U. S. Department of Justice when related to litigation or anticipated litigation, (7) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or or implementing the statute, rule, regulation, order or license, (8) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (9) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Computer, Mag-tape. (2) Retrievability -- Indexed by name of employee, social security number, and location. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record maintained on a current basis.

**System manager(s) and address:** Chief, Division of Budget and Finance, U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the System Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Employees and personnel records.

#### INTERIOR/LLM-18

**System name:** Criminal Case Investigation -- Interior, BLM--18.

**System location:** U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Categories of individuals covered by the system:** Individuals suspected of violation of Federal Law concerning National Resource lands, resources or facilities.

**Categories of records in the system:** The record contains investigative and arrest data.

**Authority for maintenance of the system:** Reorganization Plan No. 3 of 1946, Section 403(b), 5 U.S.C. 301, 43 U.S.C. 1457.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to accumulate investigative data to determine if there is an indication of a violation of a Federal law. Disclosures outside the Department of the Interior may be made, (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders, arranged alphabetically by name. (2) Retrievability -- Indexed by name of suspect and classified by violation of Federal Law. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Not authorized.

**System manager(s) and address:** Assistant Director - Technical Services, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Systems exempted from certain provisions of the act:** Under the specific exemption authority provided by 5 U.S.C. 552a(k)(2), the Department of the Interior has adopted a regulation, 43 CFR 2.79(b), which exempts this system from the provisions of 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H) and (I) and (f) and the portions of 43 CFR, Part 2, Subpart C which implement these provisions. The reasons for adoption of this regulation are set out at 40 FR 37217 (August 26, 1975).

#### INTERIOR/LLM-19

**System name:** Civil Trespass Case Investigations -- Interior, BLM-19.

**System location:** The BLM Offices listed in the Appendix, Part B.

**Categories of individuals covered by the system:** Individuals suspected of and confirmed trespass on National Resource lands.

**Categories of records in the system:** The record contains the individual's name, address, subject matter, control number, and data concerning possible civil trespass.

**Authority for maintenance of the system:** 43 U.S.C. 1201.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to accumulate investigative data to determine whether a trespass has been committed. Disclosures outside the Department of the Interior may be made, (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders, arranged alphabetically by name with cross-index by type and control number. (2) Retrievability -- Indexed by name of individual, subject matter, and control number. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Retention and Disposal -- Record destroyed fifty years after case if closed.

**System manager(s) and address:** Assistant Director - Technical Services, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under Records Location.

**Record access procedures:** To see your records write to the Systems Manager or to the offices cited under Records Location.

Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Systems exempted from certain provisions of the act:** Under the specific exemption authority provided by 5 U.S.C. 552a(k)(2), the Department of the Interior has adopted a regulation, 43 CFR 2.79(b), which exempts this system from the provisions of 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H) and (I) and (f) and the portions of 43 CFR, Part 2, Subpart C which implement these provisions. The reasons for adoption of this regulation are set out at 40 FR 37217 (August 26, 1975).

#### INTERIOR/LLM-20

**System name:** Employee Conduct Investigations -- Interior, BLM-20.

**System location:** U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Categories of individuals covered by the system:** BLM employees.

**Categories of records in the system:** The record contains the employee's name, work location, and subject matter.

**Authority for maintenance of the system:** 5 U.S.C. 7301, 43 U.S.C. 11, E.O. 11222.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to identify employee and subject matter of allegation and (b) to accumulate investigative data to determine the validity of the allegation. Disclosures outside the Department of the Interior may be made, (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or enforcing or implementing the statute, rule, regulation, order or license, (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual, (4) to a Federal agency which has requested information relevant or necessary to its hiring or retention of an employee, or issuance of a security clearance, license, contract, grant or other benefit.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders arranged alphabetically by name. (2) Retrievability -- Indexed by name of employee. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed seven years after case is closed.

**System manager(s) and address:** Associate Director, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Systems exempted from certain provisions of the act:** Under the specific exemption authority provided by 5 U.S.C. 552a(k)(2), the Department of the Interior has adopted a regulation, 43 CFR 2.79(b), which exempts this system from the provisions of 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H) and (I) and (f) and the portions of 43 CFR, Part 2, Subpart C which implement these provisions. The reasons for adoption of this regulation are set out at 40 FR 37217 (August 26, 1975), and (I), and (f).

#### INTERIOR/LLM-21

**System name:** Travel -- Interior, BLM-21.

**System location:** Division of Finance (520), U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240 and U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.



**Categories of individuals covered by the system:** Individuals authorized to travel at Government expense.

**Categories of records in the system:** Contains the authorization to travel to specified places, name of traveler, purpose and date of travel, estimated costs, mode of transportation and travel voucher showing actual expenses and itinerary.

**Authority for maintenance of the system:** 5 U.S.C. 5701, et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of records are (a) to process requests for and issue authorizations to travel at the government's expense and (b) to process expense vouchers upon completion of travel. Disclosures outside the Department of the Interior may be made, (1) to the U. S. Department of Justice when related to litigation or anticipated litigation, (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders arranged alphabetically by name and authorization number. (2) Retrievability -- Index by authorization number. (3) Safeguard -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed four years after travel is completed.

**System manager(s) and address:** Assistant Director - Administration, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under Records Location.

**Record access procedures:** To see your records write to the Systems Manager or to the offices cited under Records Location. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Bureau employees and individuals authorized to travel.

#### INTERIOR/LLM-22

**System name:** Financial Management -- Interior, BLM--22.

**System location:** U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Categories of individuals covered by the system:** Vendors and their designated payee who have sold items to BLM. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** The record contains the vendor's and payee's address, description of the items purchased, purchase price, and the purchase order number.

**Authority for maintenance of the system:** 43 U.S.C. 1201.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to designate payees to whom payments are to be made. Disclosures outside the Department of the Interior may be made (1) to Federal, State or local agencies in response to specific requests for pertinent information, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Computer, Mag-tape. (2) Retrievability -- Indexed by name of vendor and payee, and the purchase order number. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed one year after payment.

**System manager(s) and address:** Chief, Division of Budget and Finance, U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager.

**Record access procedures:** To see your records write to the Systems Manager. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Vendors and GSA purchase contracts.

#### INTERIOR/LLM-23

**System name:** Contract Files -- Interior, BLM--23.

**System location:** All BLM Offices listed in the Appendix; the Boise Interagency Fire Center, BLM, 3905 Vista Avenue, Boise, Idaho 83795 and U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50 Denver, Colorado 80225.

**Categories of individuals covered by the system:** Individuals who have contracted with BLM to supply goods or services and prospective suppliers and bidders. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations and other business entities. These records are not subject to the Privacy Act.)

**Categories of records in the system:** The record contains the contractor's name, address, subject matter, purchase order number and, when requested, statement of qualifications.

**Authority for maintenance of the system:** 40 U.S.C. 481.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to identify contractors, prospective suppliers, bidders and subject matter of interest. Disclosures outside the Department of the Interior may be made (1) to Federal, State or local agencies in response to specific requests for pertinent information, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of the individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders arranged alphabetically by name and goods or services furnished. (2) Retrievability -- Indexed by name of contractor and goods or services furnished. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed ten years after completion of contract.

**System manager(s) and address:** Assistant Director - Administration, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under Records Location.

**Record access procedures:** To see your records write to the Systems Manager or to the offices cited under Records Location. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Contractors and GSA purchase contract.

## INTERIOR/LLM-24

**System name:** Copy Fee Deposit -- Interior, BLM--24.

**System location:** All BLM State offices listed in the Appendix, Part B.

**Categories of individuals covered by the system:** Individuals who have deposited money with Bureau of Land Management to be used to pay for copies of records, lists, maps or other documents.

**Categories of records in the system:** The record contains the depositor's name mailing address and identification of items for which there is a standing order.

**Authority for maintenance of the system:** 31 USC 66 a.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to maintain individual accounts of advance copy fee deposits and (b) to maintain names of companies and individuals who have standing requests for copies of specific records each time they are produced, such as lists of parcels available for oil and gas simultaneous lease filings. Disclosures outside the Department of the Interior may be made, (1) to the U. S. Department (2) of information indicating a violation or potential violation of a statute, regulation rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, and (3) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders arranged alphabetically by name. (2) Retrievability -- Indexed by name of depositor. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed when account is closed.

**System manager(s) and address:** Assistant Director - Administration, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under Records Location.

**Record access procedures:** To see your records write to the Systems Manager or to the offices cited under Records Location. Describe as specifically as possible the records sought. If copies are desired indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Depositors.

## INTERIOR/LLM-25

**System name:** Outer Continental Shelf Lease Sale -- Interior, BLM--25.

**System location:** All Offices listed in the Appendix, Part C.

**Categories of individuals covered by the system:** Qualified bidders. (The records contained in this system which pertain to individuals contain principally proprietary information concerning sole proprietorships. Some of the records in the system which pertain to individuals may reflect personal information, however. Only the records reflecting personal information are subject to the Privacy Act. The system also contains records concerning corporations, other business entities and organizations. These records are not subject to the Privacy Act.)

**Categories of records in the system:** The record contains the bidder's name, assigned identification number, description of the area bid, percent of interest in bid, and the ranking of each bid.

**Authority for maintenance of the system:** 43 U.S.C. 1331.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the record is to identify successful bidders for each sale. Disclosures outside the Department of the Interior may be made (1) to the general public by the publication of information for all bids on each sale, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for en-

forcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Computer, Mag-tape. (2) Retrievability -- Indexed by name of bidder, bidder identification number, and description of area bid. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed after publication. New record created for each State.

**System manager(s) and address:** Official in charge of each Outer Continental Shelf Office. See Appendix, Part C for addresses.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under Records Location.

**Record access procedures:** To see your records write to the Systems Manager or to the offices cited under Records Location. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Bidders.

## INTERIOR/LLM-26

**System name:** Incentive and Honor Awards -- Interior, BLM--26.

**System location:** U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240; U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado 80225; Boise Interagency Fire Center, BLM, 3905 Vista Avenue, Boise, Idaho 83705, and all State Offices listed in the Appendix, Part B.

**Categories of individuals covered by the system:** BLM employees who have submitted a beneficial suggestion and individuals recommended or nominated for a performance or honor award.

**Categories of records in the system:** The record contains the person's name, file number, and biographic data for honor awards.

**Authority for maintenance of the system:** 5 U.S.C. 4502, 4503, 4504, and 4506.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to accumulate information concerning an individual to be used as a basis for awarding and/or honoring employees and non-employees. Disclosures outside the Department of the Interior may be made, (1) to nominate individuals for non-government awards, (2) to the U. S. Department of Justice when related to litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (4) from the record of an individual in response to an inquiry from a congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders arranged alphabetically by name and cross-index by control number. (2) Retrievability -- Indexed by name of individual and assigned file number. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Record destroyed two years after approval or four years after rejection.

**System manager(s) and address:** Assistant Director - Technical Services, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under Records Location.

**Record access procedures:** To see your records write to the Systems Manager or to the offices cited under Records Location. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Suggestions received from employees. Evaluations by supervisors for performance awards and from employees nominating persons for honor awards.

## INTERIOR/LLM-27

**System name:** Real Estate Appraiser Roster -- Interior, BLM--27.

**System location:** U. S. Department of the Interior, Bureau of Land Management, Denver Federal Center, Bldg. 50, Denver, Colorado, 80225, and all BLM State Offices Listed in the Appendix, Part B.

**Categories of individuals covered by the system:** Individuals, not regular federal employees, who have requested that they be listed by geographic areas on rosters of appraisers available to do contract appraisals for BLM.

**Categories of records in the system:** The record contains the appraiser's application to be placed on rosters and records of review of appraisals done by the appraiser for BLM.

**Authority for maintenance of the system:** 41 U.S.C. 252(c)(4).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary use of the records is to identify qualified appraisers available to do appraisals for a fee. Disclosures outside the Department of the Interior may be made, (1) to Federal, State or local agencies to private individuals or businesses where necessary to obtain information relevant to verification of qualifications, (2) to Federal, State or local agencies or to private individuals or businesses which have requested information relevant or necessary to its hiring or retention of an employee, (3) to the U. S. Department of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential violation of a statute, regulation rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, and (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders arranged alphabetically by name, (2) Retrievability -- Indexed by name of applicant, (3) Safeguards -- In accordance with 43 CFR 2.51, (4) Disposal -- Record destroyed when applicant ceases to be available.

**System manager(s) and address:** Chief, Division of Appraisal (450), U. S. Department of the Interior, Bureau of Land Management, 1129 20th Street, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under Records Location.

**Record access procedures:** To see your records write to the Systems Manager or to the offices cited under Records Location. Describe as specifically as possible the records sought. If copies are desired indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Applicants and BLM employees.

## INTERIOR/LLM-28

**System name:** Adopt a Wild Horse -- Interior, BLM--28.

**System location:** U. S. Department of the Interior, Bureau of Land Management 18th and C Streets, N. W., Washington, D. C. 20240 and offices listed in Appendix, Part B.

**Categories of individuals covered by the system:** Applicants to obtain custody of a wild horse or burro.

**Categories of records in the system:** The record contains the applicant's identification and identification and qualifications to obtain custody of a wild horse or burro, the record of the disposition of the application and the cooperative agreement when custody is granted.

**Authority for maintenance of the system:** 16 U.S.C. 1333(b).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) to identify individuals who have applied to obtain custody of a wild horse or burro and (b) to document the rejection, suspension or granting of the request. Disclosures outside the Department of the Interior may be made, (1) to organizations and members of the general public as to the disposition of wild horses or burros, (2) to the U. S. Department of Justice when related to

litigation or anticipated litigation, (3) of information indicating a violation or potential violation of a statute, regulation rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, and (4) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Manual, file folders arranged alphabetically by name, (2) Retrievability -- Indexed by name, (3) Safeguards -- In accordance with 43 CFR 2.51, (4) Disposal -- Application destroyed upon completion of final action. Cooperative agreement destroyed upon termination of the agreement.

**System manager(s) and address:** Assistant Director - Resources, U. S. Department of the Interior, Bureau of Land Management, 18th and C Streets, N. W., Washington, D. C. 20240.

**Notification procedure:** To determine whether the records are maintained on you in this system, write to the Systems Manager or to the offices cited under Records Location.

**Record access procedures:** To see your records write to the Systems Manager or to the offices cited under Records Location. Describe as specifically as possible the records sought. If copies are desired indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write the Systems Manager.

**Record source categories:** Applicants.

## Interior/LLM-29

**System name:** Recordation of Mining Claims -- Interior, BLM--29.

**System location:** All BLM State offices listed in the Appendix, Part B.

**Categories of individuals covered by the system:** Individuals who have filed notices or certificates of location for mining claims, millsites, or tunnel sites and individuals who have filed notice of transfer after obtaining a possessory interest in a mining claim, millsite or tunnel site.

**Categories of records in the system:** The record contains the owner's name, mailing and resident address, identification and location of the mining claim, millsite or tunnel site, reference to the recordation in the county or local public recording office, verification of assessment or notice of intent to hold, and applications for patents with related documents.

**Authority for maintenance of the system:** 30 U.S.C. 22; 43 U.S.C. 1744.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (1) to record unpatented mining claims, millsites and tunnel sites, (2) to identify the owners, (3) to process applications for mineral surveys and patents (4) for recordation of adjudicative actions, and (5) to index documentations in case files supporting administrative actions and notations made on land and resource status records. Disclosure outside the Department of the Interior may be made, (1) to appropriate Federal agencies when location is within that agency's geographic area of responsibility, (2) to Federal, State or local agencies or a member of the general public in response to a specific request for pertinent information, (3) to the U.S. Department of Justice when related to litigation or anticipated litigation, (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license, (5) from the record of an individual in response to an inquiry from a Congressional office made at the request of that individual.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- Maintained manually in case file folders. (2) Retrievability -- Indexed by name and case serial number. (3) Safeguards -- In accordance with 43 CFR 2.51. (4) Disposal -- Records are permanently retained.

**System manager(s) and address:** Assistant Director - Technical Services, Department of the Interior, Bureau of Land Management, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** To determine whether records are maintained on you in this system, write to the Systems Manager or to the offices cited under System Location.

**Record access procedures:** To see your records write to the System Manager or to the offices cited under system location. Describe as specifically as possible the records sought. If copies are desired, indicate the maximum you are willing to pay.

**Contesting record procedures:** To request corrections or the removal of material from your files, write to the Systems Manager.

**Record source categories:** Owners of unpatented mining claims, millsites or tunnel sites and Department of the Interior employees.

#### INTERIOR/OHA-1

**System name:** Hearings and Appeals Files - Interior, OHA-1.

**System location:** (1) Office of Hearings and Appeals, 4015 Wilson Boulevard, Arlington, Virginia 22203. (2) All field facilities of the Office of Hearings and Appeals. (See appendix for addresses).

**Categories of individuals covered by the system:** Individual persons involved in hearings and appeals proceedings before the Hearings Division, Appeals Boards, and the Director, OHA.

**Categories of records in the system:** Information assembled in case files pertaining to hearings proceedings, and to appeals to the Department relating to: (a) contract disputes arising out of findings of fact or decisions by contracting officers of any bureau or office of the Department, or any field installation thereof, which are considered and decided finally for the Department by the Interior Board of Contract Appeals; (b) Indian probate matters, including determination of heirs and approval of wills, except as to members of the Five Civilized Tribes and Osage Indians and resolution of appeals to the Department in such matters; proceedings in Indian probate relating to Tribal acquisition of certain interests of decedents in trust and restricted lands; and appeals pertaining to administrative actions of BIA officials in cases involving determinations, findings and orders protested as a violation of a right or privilege of the appellant, which are considered and decided finally for the Department by the Interior Board of Indian Appeals; (c) appeals from decisions rendered by Departmental officials relating to the use and disposition of public lands and their resources and the use and disposition of mineral resources in certain acquired lands of the United States and in the submerged lands of the Outer Continental Shelf, which are considered and decided finally for the Department by the Interior Board of Land Appeals; (d) appeals from orders and decisions issued by Departmental officials and administrative law judges in proceedings relating to mine health and safety, which are considered and decided finally for the Department by the Interior Board of Mine Operations Appeals; (e) claims under the Alaska Native Claims Settlement Act which are considered and decided finally for the Department by the Alaska Native Claims Appeal Board; (f) wildlife civil penalty assessment hearings before administrative law judges of the OHA and appeals from their orders and decisions which are considered and decided finally for the Department by the Director, OHA, or ad hoc appeals boards appointed by him; (g) appeals from orders and decisions of Departmental bureaus pertaining to relocation assistance benefits claims, considered and decided finally for the Department by the Director, OHA, or ad hoc appeals boards appointed by him; (h) grievance proceedings involving employees of the Department, in which hearings are conducted and recommended decisions are prepared by OHA attorneys and administrative law judges under authority delegated by the Director, OHA; (i) proceedings and decisions by administrative law judges and the Director, OHA, final for the Department, pursuant to enforcement of Executive Order 11246, as amended, and rules, regulations and orders thereunder; (j) proceedings and decisions by administrative law judges and the Director, OHA, concerning nondiscrimination in Federally assisted programs in connection with which Federal financial assistance is extended under laws administered in whole or in part by the Department of the Interior-Effectuation of Title VI of the Civil Rights Act of 1964; (k) proceedings and decisions by administrative law judges and the Director, OHA, concerning nondiscrimination in activities conducted under permits, rights-of-way, public land orders, and other Federal authorizations granted or issued under Title II of the Trans-Alaska Pipeline Authorization Act.

**Authority for maintenance of the system:** (a) R.S. 2478, as amended, 43 U.S.C. sec. 1201; 5 U.S.C. sec. 301. (b) 5 U.S.C. sec. 301; secs. 1, 2, 36 Stat. 855, as amended, 856, as amended, sec. 1, 38 Stat. 586, 42 Stat. 1185, as amended, secs. 1, 2, 56 Stat. 1021,

1022; 25 U.S.C. 372, 373, 374, 373a, 373b; Act of December 31, 1970 (P.L. 91-627; 84 Stat. 1874; 25 U.S.C. 607), amending sec. 7 of the Act of August 9, 1946 (60 Stat. 968; 25 U.S.C. 607), with respect to trust or restricted land within the Yakima Reservation or within the area ceded by the Treaty of June 9, 1855 (12 Stat. 1951); Act of August 10, 197 (P.L. 92-377; 86 Stat. 530), with respect to trust or restricted lands within the Warm Springs Reservation or within the area ceded by the Treaty of June 25, 1855 (12 Stat. 37); Act of September 29, 1972 (P.L. 92-0443; 86 Stat. 744), with respect to trust or restricted land within the Nez Perce Indian Reservation or within the area ceded by the Treaty of June 11, 1855 (12 Stat. 957); R.S. 463, 465, 5 U.S.C. sec. 301, and 25 U.S.C. secs. 2 and 9. (c) R.S. 2478, as amended, 43 U.S.C. sec. 1201; 5 U.S.C. sec. 301; sec. 2, 48 Stat. 1270; 43 U.S.C. sec. 315a. (d) R.S. 2478, as amended, 43 U.S.C. sec. 1201; 5 U.S.C. sec. 301; secs. 9, 508, 80 Stat. 777, 83 Stat. 803; 30 U.S.C. 728, 957. (e) R.S. 2478, as amended, 43 U.S.C. sec. 1201; 5 U.S.C. sec. 301; 43 U.S.C. 1601-1624. (f) Lacey Act, 18 U.S.C. sec. 43; Endangered Species Act of 1973, 16 U.S.C. sec. 1531 et seq.; Endangered Species Conservation Act of 1969 (formerly) 16 U.S.C. sec. 668aa et seq.; Marine Mammal Protection Act of 1972, 16 U.S.C. sec. 1361 et seq.; Bald Eagle Protection Act, 16 U.S.C. sec. 668 et seq.; 5 U.S.C. sec. 301. (g) 42 U.S.C. secs. 4601-4655; 5 U.S.C. 301. (h) 5 U.S.C. 301; 5 CFR Part 771; 5 U.S.C. 1302, 3301, 3302, E.O. 10577; 3 CFR, 1954-1958 Comp., p. 218, E.O. 10987; 3 CFR, 1959-1963 Comp., p. 519. (i) 5 U.S.C. sec. 301; E.O. No. 11246 of September 24, 1965, as amended by E.O. No. 11375 of October 13, 1967, and 41 CFR 60-1.26(b), as amended September 30, 1972. (j) 43 CFR 17.8; 5 U.S.C. sec. 301; Title VI of the Civil Rights Act of 1964 (section 602, 42 U.S.C. 2000d-1); 43 CFR Part 17, including Appendix A thereto. (k) Sec. 403, P.L. 93-153, 87 Stat. 576, 5 U.S.C. sec. 301, and 43 CFR Part 27.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are for the adjudication and determination of issues in hearings and appeals proceedings. Disclosures outside the Department of the Interior may be made (1) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage - Maintained in manual form in file folders. (2) Retrievability - Indexed by name of appellant, claimant, etc., and by OHA docket number. (3) Safeguards - Maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal - Case materials returned to operating bureau or office after completion of OHA functions. Records of decisions not authorized for disposal.

**System manager(s) and address:** Director, Office of Hearings and Appeals, U.S. Department of the Interior, 4015 Wilson Boulevard, Arlington, VA 22203.

**Notification procedure:** System Manager or, with respect to records maintained in a field office for which he is responsible, an administrative law judge or chief administrative law judge in charge. A written and signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager or, with respect to records maintained in a field office for which he is responsible, an administrative law judge or chief administrative law judge in charge. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager must meet the requirements of 43 CFR 2.71.

**Record source categories:** Records in the system include information submitted by the appellants, claimants, and other persons involved in the hearings and appeals proceedings, as well as by the Government.

#### INTERIOR/SOL-1

**System name:** Litigation, Appeal and Case Files -- Interior, Office of the Solicitor-1.

**System location:** (1) Office of the Solicitor, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (2) All Regional and Field Offices of the Office of the Solicitor. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Individuals involved in litigation with the United States, or the Department of the Interior, officials or constituent units thereof; individuals involved in administrative proceedings before the Department, to which the Department is a party or in which it has an interest; individuals suspected of violations of criminal and civil statutes or regulations or orders the violation of which carries criminal penalties; individuals who have applied to the Department for permits, grants or loans; individuals who have appealed to the Office of the Solicitor from the decisions of other constituent units of the Department; individuals involved in negotiations, claims or disputes with the Department; individuals for whom the Department has performed legal services.

**Categories of records in the system:** Investigatory reports, opinions and memoranda of law, pleadings, motions, depositions, rulings, and other records necessary to the provisions of legal services.

**Authority for maintenance of the system:** 43 U.S.C. 1455.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are to provide legal services to the Department of the Interior. Disclosures outside the Department of the Interior may be made (1) to another Federal agency or a State or local government having a subject matter interest in the records; (2) to an individual or entity aligned with the United States or the Department of the Interior or any official or constituent unit thereof as a plaintiff, petitioner, defendant or respondent in any judicial or administrative proceedings; (3) to a court, magistrate or administrative tribunal in the course or presenting evidence thereto, or to opposing counsel in the course of settlement negotiations; (4) to the U. S. Department of Justice when related to litigation or anticipated litigation; (2) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- by case or individual name. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- subject to approved disposal schedule.

**System manager(s) and address:** Administrative Officer, Office of the Solicitor, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records shall be addressed to the System Manager or, with respect to the office for which he is responsible, a Regional or Field Solicitor. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access shall be addressed to the System Manager or, with respect to the office for which he is responsible, a Regional or Field Solicitor. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individuals, bureaus and offices of the Department, other Federal agencies, courts, administrative tribunals.

**Systems exempted from certain provisions of the act:** (1) The Privacy Act does not entitle an individual to access to information compiled in anticipation of a civil action or proceeding. (2) Under the specific exemption authority provided by 5 U.S.C. 552a(k)(2), the Department of the Interior has adopted a regulation, 43 CFR 2.79(b), which exempts this system (to the extent that it consists of investigatory material compiled for law enforcement purposes) from the provisions of 5 U.S.C. 552a(c)(3), (d), (e)(1), (e)(4)(G), (H) and (f) and portions of 43 CFR, Part 2, Subpart C which implement these provisions. The reasons for adoption of this regulation are set out at 40 FR 37217 (August 26, 1975).

#### INTERIOR/SOL- 2

**System name:** Claims Files -- Interior, Office of the Solicitor--2.

**System location:** (1) Office of the Solicitor, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (2) All Regional and Field Offices of the Office of the Solicitor. (See Appendix for addresses.)

**Categories of individuals covered by the system:** Individuals who have filed Tort, Federal Employee, Admiralty or Irrigation claims.

**Categories of records in the system:** Contains records concerning claims, including the claims and supporting information submitted by the claimant, information developed by the Department concerning the claim and a record of the disposition of the claim if processing of the claim is complete.

**Authority for maintenance of the system:** (1) Federal Tort Claims Act, 28 U.S.C. 2671-2680. (2) Military Personnel and Civilian Employees' Claims Act, 31 U.S.C. 240-243. (3) Public Works for Water and Power Development and Atomic Energy Commission Appropriation Act, P.L. 93-393, 88 Stat. 782. (4) Act of March 9, 1920, 46 U.S.C. 742, 747, 749, 750.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are for the adjudication of tort, Federal employee, admiralty and irrigation claims. Disclosures outside the Department of the Interior may be made (1) to another Federal agency or a State or local government body having partial or complete jurisdiction over the claim or related claims; (2) to the U. S. Department of Justice when related to litigation or anticipated litigation; (3) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation for for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form in file folders. (2) Retrievability -- indexed by name of claimant. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51 for manual records. (4) Disposal -- claim and investigative material returned to operating bureau or office after completion of processing. Records of decisions not authorized for disposal.

**System manager(s) and address:** Administrative Officer, Office of the Solicitor, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** System manager to, with respect to records maintained in the office for which he is responsible, a Regional or Field Solicitor. A written and signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager or, with respect to records maintained in the office for which he is responsible, a Regional or Field Solicitor. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment shall be addressed to the System manager and must meet the requirements of 43 CFR 2.71.

**Record source categories:** Claimants. Investigations conducted by Bureaus and Offices of the Department or State or local officials.

**Systems exempted from certain provisions of the act:** The Privacy Act does not entitle an individual to access to information compiled in reasonable anticipation of a civil action or proceeding.

#### INTERIOR/SOL- 3

**System name:** Patent Files -- Interior, Office of the Solicitor--3.

**System location:** Office of the Solicitor, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Categories of individuals covered by the system:** Inventors of Inventions arising from Government research and development programs; and applicants for Patents, Trademark Registrations, and Copyright Registrations.

**Categories of records in the system:** Certain titles of inventions, inventor's name, Department of the Interior's docket number, and Patent and Trademark Offices Serial Numbers and filing dates.

**Authority for maintenance of the system:** (1) 5 U.S.C. 301; (2) 43 U.S.C. 1457; and (3) 1963 and 1971 President's Patent Policy Statements.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are (a) for ongoing retrieval and docketing purposes and intraoffice management and (b) for the preparation of patent applications for submission to the U. S. Patent Office. Disclosures outside the Department of the Interior may be made (1) to the U. S. Patent Office; (2) to inventors and others directly concerned with the government funded research and development information concerning filing dates, serial numbers and patent or trademark registration numbers; (3) to the U. S. Department of Justice when related to litigation or anticipated litigation; (4) of information indicating a violation or potential violation of a statute, regulation, rule, order or license, to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violation or for enforcing or implementing the statute, rule, regulation, order or license.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in manual form. (2) Retrievability -- indexed by inventor's names, docket number, and serial number. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51. (4) Disposal -- records will be maintained indefinitely.

**System manager(s) and address:** Assistant Solicitor, Patents, Office of the Solicitor, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** A written request addressed to the System Manager stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Inventors, contractors, and U.S. Patent and Trademark Office.

**Systems exempted from certain provisions of the act:** The Privacy Act does not entitle an individual to access to information compiled

in reasonable anticipation of a civil action or proceeding.

#### INTERIOR/SOL- 4

**System name:** Workload Analysis -- Interior, Office of the Solicitor-4.

**System location:** (1) Office of the Solicitor, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240. (2) Regional and Field Offices of the Office of the Solicitor. (See Appendix for addresses.)

**Categories of records in the system:** Records concerning subject of assigned work and status of that work.

**Authority for maintenance of the system:** 43 U.S.C. 1455.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The primary uses of the records are for the management of workload of the Office of the Solicitor. Disclosures outside the Department of the Interior may be made (1) to the Office of Management and Budget in connection with preparation of the President's budget; (2) to another Federal agency having a subject matter interest in a case, proceeding or other matter described in the records; (3) to the U. S. Department of Justice when related to litigation or anticipated litigation.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:** (1) Storage -- maintained in both manual and computer form. (2) Retrievability -- indexed by organizational unit, may be retrieved by name of individual attorney. (3) Safeguards -- maintained with safeguards meeting the requirements of 43 CFR 2.51. (4) Disposal -- retained permanently.

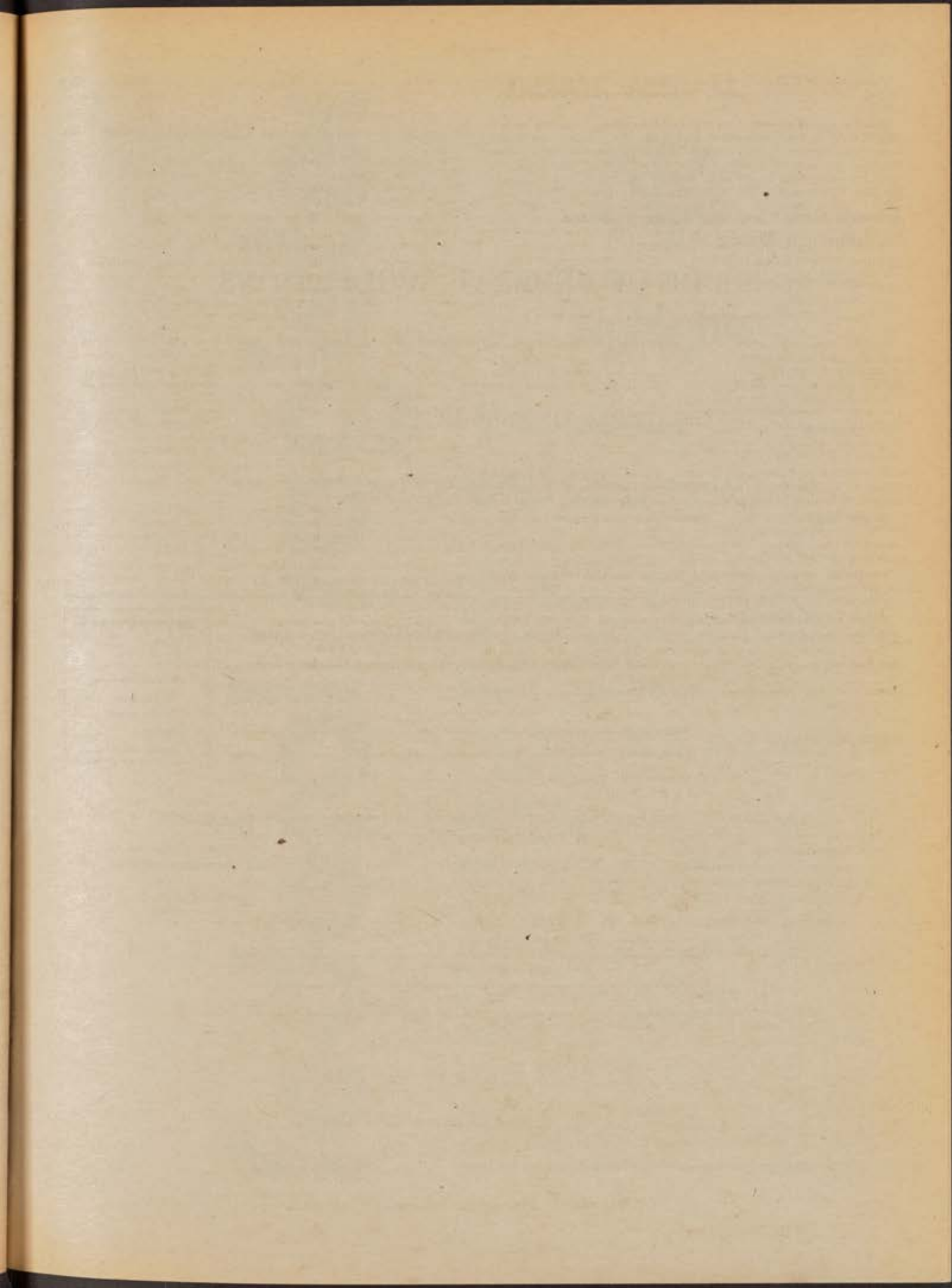
**System manager(s) and address:** Administrative Officer, Office of the Solicitor, U.S. Department of the Interior, 18th and C Streets, N.W., Washington, D.C. 20240.

**Notification procedure:** Inquiries regarding the existence of records should be addressed to the System Manager. A written, signed request stating that the requester seeks information concerning records pertaining to him is required. See 43 CFR 2.60.

**Record access procedures:** A request for access may be addressed to the System Manager. The request must be in writing and be signed by the requester. The request must meet the content requirements of 43 CFR 2.63.

**Contesting record procedures:** A petition for amendment should be addressed to the System Manager and must meet the content requirements of 43 CFR 2.71.

**Record source categories:** Individual attorneys.



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