



**U.S. DEPARTMENT OF THE TREASURY  
INDIVIDUAL COMPLAINT OF EMPLOYMENT DISCRIMINATION FORM INSTRUCTIONS  
TDF 62-03.5 (REV. 11/2015 EDITION)**

**(Read the following instructions carefully before you complete this form)  
(Please complete all items on the complaint form)**

**GENERAL:** This form is to be used to file a formal complaint of discrimination if you are an applicant for employment with the Department of the Treasury, or a present or former Department of the Treasury employee and:

- 1) believe you have been discriminated against because of your **race, color, religion, sex (including pregnancy, sexual orientation and gender identity), national origin, age** (40 years or older at the time of the event giving rise to your claim), **disability, protected genetic information**, or in **reprisal** for opposition to activities protected by civil rights statutes or participating in the EEO process, or
- 2) believe you have been discriminated against because of your **parental status**. Your claim is not covered under a statutory basis, but will be processed under a parallel procedure.

**IMPORTANT NOTE:** In certain situations, the information provided in Part III of the attached complaint form may be used in lieu of an affidavit in the investigation of your complaint. Accordingly, the information you provide in this part should be brief, clear, and complete.

**WHEN TO FILE:** In accordance with 29 CFR 1614.106, your formal complaint must be filed **within 15 calendar days** of the date you received the Notice of Right to File a Discrimination Complaint form from your EEO Counselor. You must sign and date your complaint. If you are represented **by an attorney**, the attorney may sign the complaint on your behalf.

This time limit may be extended:

- 1) if you show that you were not notified of the time limits and were not otherwise aware of them, or
- 2) if you were prevented by circumstances beyond your control from submitting the matter within the time limit, or
- 3) for other reasons considered sufficient by the Department.


**REPRESENTATION:** You may have a representative of your own choosing at all stages of the processing of your complaint. However, your representative will be disqualified if such representation would conflict with the official or collateral duties of the representative. No EEO Counselor, EEO Investigator or EEO Officer may serve as a representative. (*Your representative need not be an attorney, but only an attorney representative may sign the complaint on your behalf.*)

**WHERE TO FILE:** In accordance with 29 CFR 1614.106(c), your written complaint must be signed by you or your attorney. The complaint should be filed with the Department of the Treasury. (Filing instructions are contained in the "Notice of Right to File" letter, which was provided by your EEO Counselor.) Keep a copy of the completed complaint form for your records.

## PRIVACY ACT STATEMENT

1. **FORM NUMBER/TITLE/DATE:** Department of the Treasury Form Number TDF 62-03.5, Individual Complaint of Employment Discrimination with the Department of the Treasury (10/15 Edition).
2. **AUTHORITY:** 29 U.S.C. § 206(d), 29 U.S.C. § 791, 42 USC § 2000e; 42 U.S.C. § 2000ff-2; 29 U.S.C. § 633a; 5 U.S.C. § 1303-1304; 5 CFR § 5.2-5.3; 29 CFR § 1614.105, .107; Executive Order 11478, as amended; Executive Order 13152; and Management Directive 110 (August 2015).
3. **PRINCIPAL PURPOSES:** The purpose of this complaint form, whether recorded initially on the form or taken from a letter from the Complainant, is to record the filing of a formal written complaint of employment discrimination with the Department of the Treasury on the grounds of race, color, religion, sex (including pregnancy or LGBT), national origin, age, disability, protected genetic information, parental status, or retaliation. Information provided on this form will be used by the Department of the Treasury to determine whether the complaint was timely filed and whether the allegations in the complaint are within the purview of 29 CFR Part 1614, or the Executive Orders listed in item 2 above, to provide a factual basis for investigation of the complaint, and to reach a decision on the complaint. This form may also be used to record an amendment request or additional evidence for an open, pending complaint.
4. **ROUTINE USES:** Disclosures may be made consistent with the routine uses published in applicable Systems of Record Notices, including EEOC/GOVT-1 and Treasury .013. These routine uses include:
  - a. to respond to a request from a Member of Congress regarding the status of the complaint or appeal;
  - b. to respond to a court subpoena and/or to refer to a district court in connection with a civil suit;
  - c. to disclose information to authorized officials or personnel to adjudicate a complaint or appeal; or
  - d. to disclose information to another Federal agency or to a court or third party in litigation when the Government is party to a suit before the court.
5. **WHETHER DISCLOSURE IS MANDATORY OR VOLUNTARY, AND EFFECT ON INDIVIDUAL BY NOT PROVIDING INFORMATION:** Formal complaints of employment discrimination must be in writing, signed by the Complainant (or attorney representative), and must identify the parties and action or policy at issue. Failure to comply may result in the Department of the Treasury dismissing the complaint. It is not mandatory that this form be used to provide the requested information.
6. **PAPERWORK REDUCTION ACT STATEMENT:** In accordance with the Paperwork Reduction Act of 1995, The Department of the Treasury may not conduct or sponsor, and the respondent is not required to respond to this collection of information unless it displays a valid OMB Control Number. The valid OMB Control Number for this information collection is 1505-XXXX. The collection of this information is voluntary. However, the information is necessary to determine if your complaint of employment discrimination is acceptable for further processing in accordance with EEOC, 29 C.F.R. §1614. The time required to complete this information collection is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing the form. Send comments regarding this burden estimate or any other aspects of this collection, including suggestions for reducing this burden, to Department of the Treasury, Office of Civil Rights and Diversity, 1500 Pennsylvania Avenue, N.W., Washington, DC 20220.

**DETACH AND KEEP THIS PAGE WHEN YOU FILE YOUR COMPLAINT.**

Form No. TDF 62-03.5 (11/12/2015 Edition)	For Office Use Only:
 <b>INDIVIDUAL COMPLAINT OF EMPLOYMENT DISCRIMINATION WITH THE DEPARTMENT OF THE TREASURY</b>	Department Formal Case Number   Filing Date

**PART I: COMPLAINANT IDENTIFICATION**

<b>1. Name</b>			
Last Name	First Name	Middle Initial	
<b>2. Primary Contact Number (Include Area Code)</b>			
Phone	Best Time to Call: <input type="radio"/> Morning <input type="radio"/> Afternoon <input type="radio"/> Evening		
<b>3. Preferred Email Address</b>			
Email			
<b>4. Home Address (You must notify the Department of any changes of address or your complaint may be dismissed. Send updated information to: Office of Civil Rights and Diversity, Department of the Treasury, 1500 Pennsylvania Avenue NW, Washington, DC 20220.)</b>			
Street Address	City	State	ZIP
<b>5. If you are a current or former employee of the Federal government, list your most recent title, series, and grade.</b>			
Title	Series	Grade	
<b>6. Name and Address of Organization Where You Work (if a Treasury Employee)</b>			
Bureau and Business Unit		Office and Organizational Component	
Street Address	City	State	ZIP
<b>7. Employment Status in Relation to this Complaint:</b>			
<input type="radio"/> Applicant <input type="radio"/> Probationary <input type="radio"/> Career/Career Conditional <input type="radio"/> Former Employee <input type="radio"/> Retired <input type="radio"/> Other: _____			Date Left Treasury Employment (if applicable)

**PART II: DESIGNATION OF REPRESENTATIVE**

<b>8. You may represent yourself in this complaint or you may choose someone to represent you. Your representative does not have to be an attorney. You may change your designation of a representative at a later date, but you must notify the department immediately in writing of any change, and you must include the same information requested in this Part.</b>			
"I hereby designate _____ (Please Print Name) to serve as my representative during the course of this complaint. I understand that my representative is authorized to act on my behalf."			
<b>9. Representative's Mailing Address</b>			
Firm / Organization			
Street Address	City	State	ZIP
<b>10. Representative's Employer (If Federal Agency)</b>			
Employer			
<b>11. Representative's Telephone/Email Address</b>			
Phone	Email		

### PART III: ALLEGED DISCRIMINATORY ACTIONS

**12. Name and Address of Treasury Bureau that took the action at issue (if different than item 6.)**

Bureau and Business Unit		Office and Organizational Component	
Street Address	City	State	ZIP

**13. If your complaint involves nonselection for a position, please complete the below information. If you wish to allege more than one nonselection, list the same information for each additional nonselection under number 14.**

Position	Series	Grade
Vacancy Announcement Number	Date Learned of Nonselection	

**14. (A) Describe the action taken against you that you believe was discriminatory; (B) Give the date when the action occurred, and the name of each person responsible for the action; (C) Describe how you were treated differently than other employees or applicants; (D) Indicate what harm, if any, came to you in your work situation as a result of this action. (Evidence in support of your claim(s) should be provided to the investigator at a later stage. If you require more space to describe your allegations, please attach an additional page(s) to this form upon submission.)**

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15. Mark below ONLY the bases you believe were relied on to take the actions described in #14.

- |  |  |
|--|--|
| <input type="checkbox"/> Age (Date of Birth: _____ )   | <input type="checkbox"/> National Origin (Specify: _____ ) |
| <input type="checkbox"/> Race (State Race: _____ )   | <input type="checkbox"/> Disability                        |
| <input type="checkbox"/> Color (State Color: _____ )   | <input type="checkbox"/> Protected Genetic Information     |
| <input type="checkbox"/> Religion (State Religion: _____ )                                     | <input type="checkbox"/> Retaliation/Reprisal              |
| <input type="checkbox"/> Sex ( <input type="checkbox"/> Male <input type="checkbox"/> Female ) | (Date of Prior EEO Activity: _____ )                       |
| <input type="checkbox"/> Pregnancy   | <input type="checkbox"/> Parental Status                   |
| <input type="checkbox"/> Sexual Orientation  |  |
| <input type="checkbox"/> Gender Identity   |  |

16. What remedial or corrective action are you seeking to resolve this matter?

### PART IV: CONTACT

17. When did the *most recent* discriminatory event occur?

Date of Most Recent Event

18. When did you first become aware of the alleged discrimination?

Date of Awareness

19. When did you contact an EEO Counselor?

Date of EEO Contact

Name of EEO Counselor

EEO Counselor Phone or Email

20. Did you discuss all actions raised in item 14 with an EEO Counselor?

Yes  No

(If no, please explain)

21. When did you receive your **Notice of Right to File**?

Date Received Notice

22. If you contacted an EEO Counselor more than 45 days after the most recent alleged discriminatory event, or if you are filing this form more than 15 days after receiving the Notice of Right to File, please provide an explanation for the delay below and attach additional supporting documentation if necessary.

23. On this same matter, have you filed a grievance or appeal under:

Negotiated grievance procedure

Yes  No

Agency grievance procedure

Yes  No

MSPB appeal procedure

Yes  No

If you filed a grievance or appeal, provide date filed, case number, and present status.

Date Filed

Case Number

Present Status

### PART V: SIGNATURE

24. I certify that all of the statements made in this complaint are true, complete, and correct to the best of my knowledge and belief.

Signature of Complainant or Attorney Representative

Date