



United States
ENVIRONMENTAL PROTECTION AGENCY

OMB Control No. 2070-0020
Expires on MM/DD/YYYY

Washington, DC 20460

Notice of Arrival of Pesticides and Devices

Send Completed Form to Appropriate Regional Office Listed in the Instructions for this Form.

Note: Read Instructions before completing form.

Part 1: To Be Completed by Importer of Record or Licensed Customs Broker

1. Name and Complete Address of Licenced Customs Broker

[Empty text box for customs broker address]

2. Name and Complete Address of Importer of Record

[Empty text box for importer of record address]

Return Form to this Address

Return to this Address

3. Name and Complete Address of Shipper

[Empty text box for shipper address]

4. EPA Registration Number

[Empty text box for EPA registration number]

5. EPA Producer Establishment No.

[Empty text box for EPA producer establishment number]

6. Brand Name of Product

[Empty text box for brand name of product]

7. Active Ingredients and Percentage of Each

[Empty text box for active ingredients and percentages]

8. Unit Size

[Empty text box for unit size]

9. Quantity

[Empty text box for quantity]

10. Total Net Weight

[Empty text box for total net weight]

11. Country of Origin

[Empty text box for country of origin]

12. Port of Entry

[Empty text box for port of entry]

13. Name and Complete Address of Carrier

[Empty text box for carrier name and address]

14. Entry Number

[Empty text box for entry number]

15. Anticipated Entry Date

[Empty text box for anticipated entry date]

17. Location of Goods for Examination after Importation

[Empty text box for location of goods]

16. I assert that information constituting Confidential Business Information is shown in the above blocks numbered: (Note: Blocks 4, 5, 6 and 7 are not entitled to CBI treatment--see instructions.)

[Empty text box for CBI assertion]

18. [Optional] For pesticides without an EPA Registration No., select all that apply: (The following information may expedite the processing of the Notice of Arrival.)

18a. A pesticide transferred between registered establishments operated by the same producer. Domestic Producer Establishment Number: \_\_\_\_\_

18b. A pesticide transferred between registered establishments not operated by the same producer. Domestic Producer Establishment Number: \_\_\_\_\_ EPA Registration Number of Product being Formulated, if applicable: \_\_\_\_\_

18c. A pesticide distributed under an Experimental Use Permit. Experimental Use Permit Number: \_\_\_\_\_

EPA Registration Number:	Product Name:
Total Net Weight:	Entry Number:

18d. A Pesticide distributed for research and development purposes without an Experimental Use Permit.

18e. A pesticide transferred solely for export. Domestic Producer Establishment Number: \_\_\_\_\_

18f. A pesticide distributed under an emergency exemption. Emergency Exemption Number: \_\_\_\_\_

18g. A pesticide transferred for the purposes of disposal.

18h. Other. Provide an explanation including the intended use and a description of why the product is being imported into the United States in Block 19 -- Remarks.

19. Remarks. Provide additional information here. It is recommended that a copy of the label affixed to the product be submitted with the NOA.

### Certification

I certify that the statements I made on this form and all attachments thereto are true, accurate and complete. I acknowledge that any knowingly false or misleading statement may be punishable by fine or imprisonment or both under applicable law.

20. Printed Name of Importer of Record or Licensed Customs Broker		Telephone No.:	
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21. Signature of Importer of Record or Licensed Customs Broker:		Date:	
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### Part II: To Be Completed by U.S. Environmental Protection Agency

Action to be taken by U.S. Customs and Border Protection:

Release Shipment     Detain for inspection     Release shipment to consignee under bond. Shipment must be held intact pending inspection.

Other. (Specify)

Remarks:

Signature and Title of EPA Official:	Date:
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### Part III: To Be Completed by U.S. Customs and Border Protection

The information shown in Part I was compared with the entry papers for this shipment and no discrepancies were noted. The shipment was handled as instructed by EPA in Part II. Any deviations should be brought to the attention of EPA before releasing the shipment and should be noted in "Remarks."

Remarks:

Signature of District Director of Customs:	Date:
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Paperwork Reduction Act Notice: "This collection of information is approved by OMB under the Paperwork Reduction Act, 44 U.S.C. 3501 et seq. (OMB Control No. 2070-0020). Responses to this collection of information are mandatory (19 CFR 12). An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The public reporting and recordkeeping burden for this collection of information is estimated to be approximately 26 minutes per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates and any suggested methods for minimizing respondent burden to the Regulatory Support Division Director, U.S. Environmental Protection Agency (2821T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address."

# Instructions

**U.S. Customs and Border Protection (CBP) Regulations.** 19 CFR 12.112 requires an importer desiring to import pesticides or devices into the United States to submit EPA Form 3540-1, Notice of Arrival of Pesticides and Devices (NOA) to the U.S. Environmental Protection Agency (EPA) **prior to the arrival** of the shipment in the United States. The NOA will be used by:

**1. Importer of Record or Licensed Customs Broker:** The importer of record or licensed customs broker must complete Part I of the NOA form. A separate NOA must be submitted for each pesticide or device in each shipment prior to its arrival at the port of entry. To expedite the handling of pesticide or device shipments, submit NOA(s) to the EPA office listed below having jurisdiction over the state/territory of the port of entry. It is recommended that all applicable blocks in Part I be completed prior to submission to EPA, and that a copy of the label affixed to the product be submitted with each NOA. Additional supporting documentation (e.g. CBP Form 7501, 3461, or 3461 ALT) for validating information provided may also be included with the submission. When providing an address, the complete address should include the mailing location, e-mail address, and telephone number of the contact person.

**2. EPA:** EPA will complete Part II, indicating the disposition of the shipment, sign and return the NOA(s) to the importer or agent for presentation to CBP at the time of entry.

**3. CBP:** CBP will compare the information shown on the NOA(s) with the entry documents and the shipment, bringing any discrepancies to EPA's attention before the shipment is released, and noting discrepancies in the Remarks section. If the importation is not handled by CBP in the manner instructed in Part II, this should also be noted in the Remarks.

**1. Name and Complete Address of the Licensed Customs Broker.** Person (such as private individual, partnership, association or corporation) who is licensed, regulated and empowered by CBP to assist and transact on behalf of others (importers and exporters) in meeting Federal requirements governing imports and exports (customs business).

**2. Name and Complete Address of the Importer of Record.** Owner, purchaser, or when designated by the owner, purchaser, or consignee, an authorized agent acting on importer's behalf. The importer of record is the individual or firm liable for payment of all duties and meeting all statutory and regulatory requirements incurred as a result of importation.

**3. Name and Complete Address of Shipper.** The name and address of person primarily responsible for exporting the pesticide to the United States.

**4. EPA Registration No.** The pesticide product registration number assigned at the time of registration that identifies the product. The product registration number must be an approved EPA Registration No. as it appears on the pesticide product label. If the pesticide does not have an EPA registration number, it is recommended that "OTHER" be written in block 4 and block 18 be completed. If the product is a device, write the word "DEVICE" in block 4.

**5. EPA Producer Establishment No.** The EPA Producer Establishment No. that appears on the pesticide or device product label. The EPA Producer Establishment No. on the product label is the final establishment where the product was produced prior to shipment to the U.S. Foreign establishments that produce pesticides or devices for distribution in the United States are required to have an EPA-registered producer establishment number.

**6. Brand Name of Product.** Name of the product as it appears on the label at the time of import.

**7. Active Ingredients and Percentage of Each.** List each active ingredient by percentage of each. If block 4 contains an EPA Reg. No. or the product is a device, this block may be left blank. To expedite review of the NOA, it is recommended that each active ingredient and percentage be listed to ensure accuracy of information.

**8. Unit Size.** Net weight or net contents as identified on the product label of the pesticide or device in the immediate container, not including the wrapper or other packaging materials.

**9. Quantity.** Number of units.

**10. Total Net Weight.** Unit size multiplied by quantity.

**11. Country of Origin.** The country of manufacture, production, or formulation of the pesticide or device of foreign origin entering the United States.

**12. Port of Entry.** The port of entry where the pesticide or device offered for import is processed for entry into the United States. Ports of entry are designated locations where CBP enforces the import and export laws and regulations of the United States federal government. Ports of entry are under the jurisdiction of a CBP port director.

**13. Name and Complete Address of the Carrier.** The carrier is a person or carrier service (rail, ship, airline, trucking) who undertakes to transport goods or merchandise to and across the U.S. border.

**14. Entry Number.** The 11-character entry number using the format XXX-NNNNNNN-N, including the hyphens.

**15. Anticipated Entry Date.** Anticipated date of entry into the United States or anticipated date when the pesticide or device will arrive within the port of entry limits.

**16. Confidential Business Information (CBI) designation.** Please note that the information provided in blocks 4,5, 6, and 7 is not entitled to confidential treatment under section 7(d) of FIFRA and under labeling requirements for pesticides at 40 CFR 156.10. Information provided in those blocks will be made public with no further notice.

**17. Location of Goods for Examination after Importation.** Enter the physical address of the location of goods for examination after importation. In the case of unregistered pesticides products imported between establishments operated by the same or different producer(s), enter the EPA establishment number for the importing registered establishment.

**18. Importation of Pesticides without an EPA Registration No.** It is recommended that block 18 be completed for pesticides without an EPA Registration No. Check all that apply and provide additional information as indicated. The Domestic Producer Establishment No. is the EPA registered establishment where the imported product is transported to after release by Customs, if applicable.

**19. Remarks.** This block may be used to provide additional information. It is recommended that a copy of the label affixed to the product be submitted with the NOA.

**20. Printed Name of Importer of Record or Licensed Customs Broker.** Print name and telephone number of the Importer of Record or Licensed Customs Broker.

**21. Signature of Importer of Record or Licensed Customs Broker.** Signature of Importer of Record or Licensed Customs Broker.

<b>EPA Regional Offices</b>	<b>States and Ports of Entry Locations in the U.S.</b>	<b>EPA Regional Offices</b>	<b>States and Ports of Entry Locations in the U.S.</b>
EPA Region 1 5 Post Office Square Suite #100 (OES 05-4) Boston, MA 02109-3912	CT, MA, ME, NH, RI, VT	EPA Region 6 1445 Ross Avenue Suite #1200 (6PD-P) Dallas, TX 75202-2733	AR, LA, NM, OK, TX
EPA Region 2 2890 Woodbridge Avenue MS-500, Bldg #205 Edison, NJ 08837-3679	NJ, NY, PR, VI	EPA Region 7 11201 Renner Boulevard (WWPD/TOPE) Lenexa, KS 66219-9601	IA, KS, MO, NE
EPA Region 3 1650 Arch Street PAPB/LCD (3LC32) Philadelphia, PA 19103-2029	DE, DC, MD, PA, VA, WV	EPA Region 8 1595 Wynkoop Street Denver, CO 80202-1129	CO, MT, ND, SD, UT, WY
EPA Region 4 61 Forsyth Street, SW (APT-MD) Atlanta, GA 30303-8960	AL, FL, GA, KY, MS, NC, SC, TN	EPA Region 9 75 Hawthorne Street (CED-5) San Francisco, CA 94105-3901	AS, AZ, CA, GU, HI, MP, NV, Trust Territories
EPA Region 5 77 West Jackson Boulevard. (LC-8J) Chicago, IL 60604-3507	IL, IN, OH, MI, MN, WI	EPA Region 10 1200 Sixth Avenue Suite 900 (OCE-084) Seattle, WA 98101-3140	AK, ID, OR, WA