

	<b>U.S. DEPARTMENT OF COMMERCE</b> Bureau of Industry and Security  <b>CWC SCHEDULE 1</b>  <b>DECLARATION OR REPORT</b>	DATE RECEIVED (Leave Blank)
--	--	--------------------------------

**CERTIFICATION FORM**

**Provide the information requested below in Questions C.1 through C.8.**

<b>C.1</b>	Name of Declaration or Report Point of Contact (D/R-POC):		
<b>C.2</b>	Company Name:		
<b>C.3</b>	Mailing Address:		
	City:	State:	Zip Code:
<b>C.4</b>	D/R-POC's Telephone Number:		ext.
	D/R-POC's Fax Number:		
	D/R-POC's E-mail Address:		
<b>C.5</b>	Date Submitted (YYYY-MM-DD):		
<b>C.6</b>	<b>CERTIFICATION</b>		

**I hereby certify that I have reviewed the attached documents and that, to the best of my knowledge and belief, the submitted information is true and complete.**

Name and title of responsible official (type or print):

Signature:

Date Signed (YYYY-MM-DD):

<b>C.7</b>	<p><b>TYPE OF DECLARATION OR REPORT</b> (check one box, except check two boxes for an amendment)</p> <p>INITIAL DECLARATION:</p> <p><input type="checkbox"/> Previously declared facility for production of more than 100 grams aggregate of Schedule 1 chemicals</p> <p><input type="checkbox"/> New facility or existing facility anticipating to produce more than 100 grams aggregate of Schedule 1 chemicals (YYYY): _____</p> <p><input type="checkbox"/> ANNUAL DECLARATION ON PAST ACTIVITIES (YYYY): _____</p> <p><input type="checkbox"/> ANNUAL REPORT ON EXPORTS AND IMPORTS (YYYY): _____</p> <p><input type="checkbox"/> COMBINED ANNUAL DECLARATION AND REPORT (YYYY): _____</p> <p><input type="checkbox"/> ANNUAL DECLARATION ON ANTICIPATED ACTIVITIES (YYYY): _____</p> <p><input type="checkbox"/> AMENDMENT</p>
------------	--

<b>C.8</b>	<p><b>Forms Submitted</b></p> <p>Check all forms that are included:</p> <p> <input type="checkbox"/> FORM 1-1                   <input type="checkbox"/> FORM 1-2                   <input type="checkbox"/> FORM 1-2A                   <input type="checkbox"/> FORM 1-2B                   <input type="checkbox"/> FORM 1-3                   <input type="checkbox"/> FORM 1-4                   <input type="checkbox"/> FORM A  <input type="checkbox"/> FORM B             </p>
------------	---

## CERTIFICATION FORM Schedule 1 Declaration or Report

Declaration and reporting requirements are set forth in Part 712 of the CWC Regulations (15 CFR 712).

A separate Certification Form is required for:

- a) each Schedule 1 facility that has declaration requirements (declared facility);
- b) each Schedule 1 facility with reporting requirements (undeclared facility); and
- c) each trading company with Schedule 1 reporting requirements.

NOTE: If you submit a combined declaration and report, you must submit only one Certification form.

This form serves several purposes, including:

- a) identifying a Point of Contact;
- b) certifying the accuracy of the submitted declaration;
- c) identifying the type of declaration submitted; and
- d) listing the declaration forms submitted.

**Questions C.1 through C.5 Name of Declaration or Report Point of Contact (D/R-POC) and Other Requested Information:**

Designate a D/R-POC. The D/R-POC should be a person whom the Department of Commerce may contact for: (1) the purposes of clarification of information provided in the declaration or report; and (2) for general information. The D/R-POC need not be the person who prepares the forms or signs the Certification Form.

**Question C.6 Certification:** The Certification must be signed and dated by the owner, the operator, or a senior management official who certifies the accuracy and completeness of the information submitted. The Certification signature block must contain an original signature.

**Question C.7 Type of Declaration or Report:** Check the box for the type of declaration or report being submitted (e.g., initial, past activities, anticipated activities, exports and imports, combined declaration and report, and amendments) and indicate the year for which the information applies.

The INITIAL DECLARATION provides a technical description of the declared Schedule 1 facility or its relevant parts. An initial declaration is required if your facility produced or is anticipating to produce more than 100 grams

aggregate of Schedule 1 chemicals. You are required to submit a current technical description of your facility or its relevant parts, including a narrative statement and detailed diagrams of the declared area(s). There are two types of Initial Declarations:

1) A facility previously declared in February 2000 that produced more than 100 grams aggregate of Schedule 1 chemicals. NOTE: If you anticipate to make significant changes (e.g., relocation of the facility or its relevant parts, expansion of declared areas) to your initial declaration, you must submit an amended declaration describing such changes not less than 200 days before you implement such changes.

2) A newly established facility or a previously undeclared existing facility that anticipates it will produce more than 100 grams aggregate of Schedule 1 chemicals. NOTE: You are required to submit your Initial Declaration 200 days in advance of commencing such production.

The ANNUAL DECLARATION ON PAST ACTIVITIES provides information on the production, consumption, storage, and domestic transfer of Schedule 1 chemicals by a declared Schedule 1 facility for the previous calendar year. A facility must submit an ANNUAL DECLARATION ON PAST ACTIVITIES if the facility produced more than 100 grams aggregate of Schedule 1 chemicals.

The ANNUAL REPORT OF EXPORTS AND IMPORTS provides detailed information on exports and imports of Schedule 1 chemicals to or from other States Parties.

The COMBINED ANNUAL DECLARATION AND REPORT provides production data required for an Annual Declaration on Past Activities and export and import data required for an Annual Report on Exports and Imports.

The ANNUAL DECLARATION ON ANTICIPATED ACTIVITIES provides information on anticipated production in the next calendar year of each Schedule 1 chemical and the purposes for which each will be used. You must submit this declaration if you anticipate producing more than 100 grams aggregate of Schedule 1 chemicals at your previously declared facility in the next calendar year.

**Question C.8 Forms Submitted:** Check the appropriate box for each form that is included in the submission.

<h2 style="margin: 0;">CWC SCHEDULE 1 DECLARATION OR REPORT</h2>		FACILITY OR TRADING COMPANY NAME:
		U.S. FACILITY OR TRADING COMPANY CODE (once assigned):

**FORM 1-1 SCHEDULE 1 FACILITY OR TRADING COMPANY IDENTIFICATION**

**Submit this form for each declared Schedule 1 facility, undeclared Schedule 1 facility, or trading company. You must submit this form for each type of declaration or report (initial, past activities, anticipated activities, exports and imports, combined, and amendments).**

<b>1-1.1</b>	Owner:		
	Telephone Number:	Fax Number:	
<b>1-1.2</b>	Operator:		
	Telephone Number:	Fax Number:	
<b>1-1.3</b>	Building Name or Structure Number:		
<b>1-1.4</b>	Street Address:		
	City:	State:	Zip Code:
<b>1-1.5</b>	<b>Provide the geographic coordinates of the center of the facility (see Supplement No. 1).</b>		
	a. Latitude (Deg/Min/Sec/N):		
	b. Longitude (Deg/Min/Sec/W):		
<b>1-1.6</b>	<b>For the Initial Declaration (declared facilities only) check the appropriate box and provide the required information as an attachment to Form A.</b> <input type="checkbox"/> Detailed Technical Description of Facility <input type="checkbox"/> Changes to the Detailed Technical Description		

**Designation of Inspection Point of Contact (I-POC) for inspection notifications involving this facility.  
NOTE: The Department of Commerce must be able to reach the I-POCs at all hours through the telephone numbers shown below.**

<b>1-1.7.1</b>	Name of I-POC:		
	I-POC's Primary Telephone Number:	ext.	
	I-POC's Alternate Telephone Number:	ext.	
	I-POC's Fax Number:		
	I-POC's E-mail Address:		
<b>1-1.7.2</b>	Name of Alternate I-POC (optional):		
	I-POC's Primary Telephone Number:	ext.	
	I-POC's Alternate Telephone Number:	ext.	
	I-POC's Fax Number:		
	I-POC's E-mail Address:		

## FORM 1-1 Schedule 1 Facility or Trading Company Identification

Declaration and reporting requirements are set forth in Part 712 of the CWC Regulations (15 CFR 712).

Submit this form for each declared Schedule 1 facility, undeclared Schedule 1 facility, or trading company. Declared Schedule 1 facilities must submit this form for each type of declaration (initial, past activities, combined annual declaration and report, anticipated activities, and amended) and complete questions 1-1.1 through 1-1.7 as appropriate. Undeclared Schedule 1 facilities and trading companies must complete questions 1-1.1 through 1-1.4 to satisfy their annual export and import reporting requirements.

Submit Form A to identify any additional information (e.g., site diagrams, maps, drawings, chemical structural formulas) you submit with your completed forms package.

For the INITIAL DECLARATION, submit the Certification Form, this Form 1-1, and Form A. You must also provide and attach to Form A a technical description of your facility or its relevant parts. On Form 1-1, you must complete questions 1-1.1 through 1-1.7.

For the ANNUAL DECLARATION ON PAST ACTIVITIES, submit the Certification Form, Form 1-1, Forms 1-2, 1-2A, 1-2B, 1-3, and Form A, as appropriate. On Form 1-1, you must complete questions 1-1.1 through 1-1.5, and 1-1.7.

For the ANNUAL REPORT ON EXPORTS AND IMPORTS, submit the Certification Form, Form 1-1, and Form 1-3. On Form 1-1, you must complete questions 1-1.1 through 1-1.4.

For the COMBINED ANNUAL DECLARATION AND REPORT, submit the Certification Form, Form 1-1, Form 1-2, (Form 1-2A and 1-2B as appropriate) and Form 1-3. On Form 1-2, you must complete questions 1-1.2 through 1-1.5, and 1-1.7.

For the ANNUAL DECLARATION ON ANTICIPATED ACTIVITIES, submit the Certification Form, Form 1-1, and Form 1-4. On Form 1-1, you must complete questions 1-1.1 through 1-1.5, and 1-1.7.

For amended declarations or reports, submit the Certification Form, Form 1-1, and the applicable form(s) being amended. If you are changing the technical description of your facility previously submitted with your Initial Declaration, you must complete 1-1.6 as well as all other questions on this Form 1-1.

All submitters should assign a unique name to the facility or trading company, and record this name in the appropriate box in the upper right-hand corner of Form 1-1. The Department of Commerce will provide each submitter with a unique code. This code, hereafter referred to as a "U.S. Facility or Trading Company Code," will be the primary means of identifying a facility after the Initial Declaration or the first Report on Exports and Imports is submitted and the Department of Commerce assigns a code. The submitter should use this code on all future declarations or reports.

Questions 1-1.1 through 1-1.4: Provide the name and telephone and fax numbers of the owner and the operator or the occupant or the agent in charge of the facility or the trading company. Provide the Building Name or Structure Number of the facility or the trading company and the address. **DO NOT PROVIDE A POST OFFICE BOX.**

Question 1-1.5: Provide the latitude and longitude for the center of the facility using the following formats (see Supplement No. 1 for guidelines on determining geographic coordinates):

Latitude:           00(degree)/00(minutes)/00(seconds)/N  
Longitude:        000(degree)/00(minutes)/00(seconds)/W

NOTE: If your geographic coordinates do not represent the center of the facility, you must clearly explain in your detailed technical description the location of your coordinates (e.g., Room 2 in Building 7). You should name this section of your detailed technical description "Geographic Coordinates."

Question 1-1.6: Check the appropriate box to indicate if you are providing a detailed technical description of your facility or its relevant parts as part of your Initial Declaration, or changes to the technical description previously submitted. For the Initial Declaration, you must submit a current detailed technical description of your facility or its relevant parts, including: (1) narrative statement; and (2) detailed diagrams of the declared area(s). Attach this information to Form A.

Questions 1-1.7.1 & 1-1.7.2: Designate an Inspection Point of Contact (I-POC) and provide telephone and fax numbers, and an e-mail address so the Department of Commerce may notify you of inspections of your facility. You may also designate an Alternate I-POC. The Department of Commerce must be able to contact the I-POCs on a 24 hour basis.

<h2>CWC SCHEDULE 1 DECLARATION</h2>	FACILITY NAME:	
	U.S. FACILITY CODE (once assigned):	
<b>FORM 1-2 DECLARATION ON SCHEDULE 1 CHEMICAL ACTIVITIES AT THE FACILITY DURING THE PREVIOUS YEAR</b>		
<b>Submit this form for each Schedule 1 chemical produced, stored, and/or consumed by, and domestically transferred from the declared Schedule 1 facility.</b>		
CAS REGISTRY NO.:	CHEMICAL NAME:	
Check this box if you have attached a structural formula for this chemical to Form A. <input type="checkbox"/>		
<b>1-2.1</b>	<b>Quantity Produced:</b> _____ grams <b>NOTES: 1. You may not produce a Schedule 1 chemical for protective purposes.                  2. If this Schedule 1 chemical was produced, also submit Form 1-2A.</b>	
<b>1-2.2</b>	<b>Quantity Consumed:</b> _____ grams <b>Purpose(s) of Consumption:</b> <input type="checkbox"/> RESEARCH <input type="checkbox"/> PHARMACEUTICAL <input type="checkbox"/> WASTE DISPOSAL <input type="checkbox"/> MEDICAL <input type="checkbox"/> PRODUCTION OF OTHER SCHEDULE 1 CHEMICAL <input type="checkbox"/> PROTECTIVE	
<b>1-2.3</b>	<b>Maximum quantity stored at any time during the previous calendar year:</b>	grams
<b>1-2.4</b>	<b>Quantity stored at previous calendar year end (as of 12-31)</b>	grams
<b>1-2.5</b>	a. Was the chemical transferred to other facilities in the U.S. during the previous calendar year? <input type="checkbox"/> YES <input type="checkbox"/> NO	
	b. If yes, give the total quantity transferred (also submit Form 1-2B):	grams

## FORM 1-2 Declaration on Schedule 1 Chemical Activities at the Facility during the Previous Year

Declaration and reporting requirements are set forth in Part 712 of the CWC Regulations (15 CFR 712).

If you produced more than 100 grams aggregate of Schedule 1 chemicals during the previous calendar year, you are a declared Schedule 1 facility and must submit Form 1-2. Note: Undeclared Schedule 1 facilities and trading companies that have reporting requirements for exports or imports of Schedule 1 chemicals are not required to submit Form 1-2.

FOR THE ANNUAL DECLARATION ON PAST ACTIVITIES, you must submit a separate Form 1-2 for each Schedule 1 chemical you produced, consumed, stored and/or transferred domestically from your facility in any quantity during the previous calendar year.

Submit Form A to identify any additional information (e.g., chemical name, chemical structural formula) you submit with your completed forms package.

In the upper right-hand corner of this form, enter the facility name or U.S. facility code (once assigned) that you entered on Form 1-1 (Schedule 1 Facility or Trading Company Identification).

For each Schedule 1 chemical being declared, provide the CAS Registry Number, if assigned, and the Chemical Name. If the chemical is not specifically listed by Chemical Name and CAS Registry Number in Supplement No. 1 to Part 712 of the CWC Regulations (15 CFR 712), you must attach the structural formula of the chemical to Form A. Check the box to indicate that you have attached the structural formula.

Question 1-2.1: Identify the quantity of the Schedule 1 chemical produced at the facility during the previous calendar year. Report the quantity of the chemical to the nearest gram.

NOTE:

- 1) You may not produce Schedule 1 chemicals for protective purposes.
- 2) If this Schedule 1 chemical was produced, you must also submit a Form 1-2 A.

Question 1-2.2: Identify the quantity of the Schedule 1 chemical consumed by the facility during the previous calendar year. Report the quantity of the chemical to the nearest gram. Check the appropriate box(es) to identify the purpose(s) for which the chemical was consumed.

Question 1-2.3: Identify the maximum quantity of the Schedule 1 chemical stored at any time at the facility during the previous calendar year. Report the quantity of the chemical to the nearest gram. The quantity reported should include all types of storage (e.g., tanks, process vessels, on-site shipping containers) at the declared facility.

Question 1-2.4: Identify the quantity of the Schedule 1 chemical stored at the facility at the end of the previous calendar year (i.e., the quantity stored on December 31 of the reporting year). Report the quantity of the chemical to the nearest gram.

Question 1-2.5: Identify whether this facility transferred the Schedule 1 chemical **to other facilities in the United States** during the previous calendar year, and the aggregate quantity (rounded to the nearest gram) involved in the transfers. If transfers within the United States did occur, also complete form 1-2B for each transaction. NOTE: Do NOT check the "yes" box in question 1-2.5 if your company exported (i.e., transferred the chemical to a recipient outside the United States) the Schedule 1 chemical. Exports should be recorded on Form 1-3.

<b>CWC SCHEDULE 1 DECLARATION</b>		FACILITY NAME:	
		U.S. FACILITY CODE (once assigned):	
<b>FORM 1-2A DECLARATION ON SCHEDULE 1, 2, AND 3 PRECURSOR CHEMICAL(S) USED TO PRODUCE A SCHEDULE 1 CHEMICAL</b>			
<b>Submit this form for each Schedule 1 chemical produced by the declared Schedule 1 facility using one or more precursor chemicals listed on Schedules 1, 2, or 3.</b>			
CAS REGISTRY NO.:		CHEMICAL NAME:	
<b>Provide the following information for each precursor chemical used by this facility to produce this Schedule 1 chemical.</b>			
<b>1-2A.1</b>	a. Precursor Chemical Name:	b. CAS Registry No.:	c. Quantity Used  grams
<b>1-2A.2</b>	a. Precursor Chemical Name:	b. CAS Registry No.:	c. Quantity Used  grams
<b>1-2A.3</b>	a. Precursor Chemical Name:	b. CAS Registry No.:	c. Quantity Used  grams
<b>1-2A.4</b>	a. Precursor Chemical Name:	b. CAS Registry No.:	c. Quantity Used  grams
<b>1-2A.5</b>	a. Precursor Chemical Name:	b. CAS Registry No.:	c. Quantity Used  grams
<b>1-2A.6</b>	a. Precursor Chemical Name:	b. CAS Registry No.:	c. Quantity Used  grams
<b>1-2A.7</b>	a. Precursor Chemical Name:	b. CAS Registry No.:	c. Quantity Used  grams
<b>1-2A.8</b>	a. Precursor Chemical Name:	b. CAS Registry No.:	c. Quantity Used  grams
<b>1-2A.9</b>	a. Precursor Chemical Name:	b. CAS Registry No.:	c. Quantity Used  grams

## **FORM 1-2A Declaration on Schedule 1, 2, and 3 Precursor Chemical(s) Used to Produce Schedule 1 Chemical**

Submit this form for each Schedule 1 chemical you produced at your declared Schedule 1 facility using one or more Schedule 1, 2 or 3 precursor chemicals. Do not submit a Form 1-2A for a Schedule 1 chemical unless you provided production information in response to question 1-2.1 on Form 1-2.

For each Schedule 1 chemical being declared, provide the CAS Registry Number, if assigned, and the Chemical Name. NOTE: This is the same information as provided in Form 1-2 above.

Question 1-2A.x.a: Identify the Schedule 1, 2, or 3 precursor chemical(s) used by this facility to produce the Schedule 1 chemical.

Question 1-2A.X.B: Provide the corresponding CAS Registry Number for the precursor chemical.

Question 1-2A.x.c: Identify the quantity to the nearest gram of the Schedule 1, 2, or 3 precursor chemical used to produce the Schedule 1 chemical.



<h2 style="margin: 0;">CWC SCHEDULE 1 DECLARATION</h2>		FACILITY NAME:  U.S. FACILITY CODE (once assigned):
--	--	---

**FORM 1-2B DECLARATION ON TRANSFERS OF SCHEDULE 1 CHEMICAL TO OTHER FACILITIES IN THE UNITED STATES**

**Submit this form for each Schedule 1 chemical that was transferred to another facility in the United States during the previous calendar year.**

CAS REGISTRY NO.:	CHEMICAL NAME:
-------------------	----------------

Check this box if you have attached a structural formula for this chemical to Form A.

**Provide the following information for each domestic transfer of this Schedule 1 chemical.**

<b>1-2B.1</b>	a. Name of recipient company:		
	b. Street Address:		
	City:	State:	Zip Code:
	c. Quantity:	grams	
	d. Purpose(s): <input type="checkbox"/> RESEARCH <input type="checkbox"/> PHARMACEUTICAL <input type="checkbox"/> WASTE DISPOSAL <input type="checkbox"/> MEDICAL <input type="checkbox"/> PRODUCTION OF OTHER SCHEDULE 1 CHEMICAL <input type="checkbox"/> PROTECTIVE		

<b>1-2B.2</b>	a. Name of recipient company:		
	b. Street Address:		
	City:	State:	Zip Code:
	c. Quantity:	grams	
	d. Purpose(s): <input type="checkbox"/> RESEARCH <input type="checkbox"/> PHARMACEUTICAL <input type="checkbox"/> WASTE DISPOSAL <input type="checkbox"/> MEDICAL <input type="checkbox"/> PRODUCTION OF OTHER SCHEDULE 1 CHEMICAL <input type="checkbox"/> PROTECTIVE		

<b>1-2B.3</b>	a. Name of recipient company:		
	b. Street Address:		
	City:	State:	Zip Code:
	c. Quantity:	grams	
	d. Purpose(s): <input type="checkbox"/> RESEARCH <input type="checkbox"/> PHARMACEUTICAL <input type="checkbox"/> WASTE DISPOSAL <input type="checkbox"/> MEDICAL <input type="checkbox"/> PRODUCTION OF OTHER SCHEDULE 1 CHEMICAL <input type="checkbox"/> PROTECTIVE		

If additional pages of Form 1-2B for this chemical are attached, indicate the total number of pages as follows:  
 page \_\_\_\_\_ of \_\_\_\_\_ (e.g., page x of y)

## FORM 1-2B Declaration on Transfers of Schedule 1 Chemical to Other Facilities in the United States

Declaration and reporting requirements are set forth in Part 712 of the CWC Regulations (15 CFR 712).

Submit Form 1-2B to declare each domestic transfer of a Schedule 1 chemical to another facility in the United States during the previous calendar year. You must separately declare each transfer of a Schedule 1 chemical to another facility during the previous year (e.g., if you made four transfers of the same Schedule 1 chemical to the same company in the previous year, you must separately declare each of those four transfers).

Do not submit this form unless you answered "yes" to question 1-2.5 on Form 1-2 for this Schedule 1 chemical. Form 1-2B, if applicable, is part of your ANNUAL DECLARATION ON PAST ACTIVITIES.

Submit Form A to identify any additional information (e.g., chemical name, chemical structural formula) you submit with your completed forms package.

In the upper right-hand corner of this form, enter the facility name or U.S. facility code (once assigned) that you entered on Form 1-1 (Schedule 1 Facility or Trading Company Identification).

For each schedule 1 chemical being declared, provide the CAS Registry Number, if assigned, and the Chemical Name. If the

chemical is not specifically listed by Chemical Name and CAS Registry Number in Supplement No. 1 to Part 712 of the CWC Regulations (15 CFR 712), you must attach the structural formula of the chemical to Form A. Check the box to indicate that you have attached the structural formula.

Question 1-2B: Provide the information in Question 1-2B.x.a through 1-2B.x.d for each domestic transfer of this Schedule 1 chemical.

Question 1-2B.x.a: Enter the name of the company that received the Schedule 1 chemical.

Question 1-2B.x.b: Enter the address of the recipient company listed in Question 1-2B.X.a. DO NOT PROVIDE A POST OFFICE BOX.

Question 1-2B.x.c: Enter the quantity of the transaction in grams.

Question 1-2B.x.d: Check the purpose intended for the transferred Schedule 1 chemical.

If there is insufficient space to record all of your domestic transfers, use additional copies of Form 1-2B. Indicate the page number of each additional page and total the number of pages of Form 1-2B for this chemical using the format "page x of y" (as described at the bottom of this form).

<h2 style="margin: 0;">CWC SCHEDULE 1 DECLARATION OR REPORT</h2>	FACILITY NAME OR TRADING COMPANY:
	U.S. FACILITY OR TRADING COMPANY CODE (once assigned):

FORM 1-3 DECLARATION OR REPORT ON SCHEDULE 1 CHEMICAL EXPORTED TO  
OR IMPORTED FROM OTHER STATES PARTIES

CAS REGISTRY NO.:	CHEMICAL NAME:
-------------------	----------------

Check this box if you have attached a structural formula for this chemical to Form A.

Provide the following information on each export or import transaction.

1-3.1.1	a. <input type="checkbox"/> Export <input type="checkbox"/> Import (check one)	b. Name of recipient or source:		
	b. Street Address:		City, District:	
	State/Province:	Postal Code:	State Party (see Supplement No. 3):	
	d. Purpose(s) of export/import: <input type="checkbox"/> RESEARCH <input type="checkbox"/> PHARMACEUTICAL <input type="checkbox"/> WASTE DISPOSAL <input type="checkbox"/> MEDICAL <input type="checkbox"/> PRODUCTION OF OTHER SCHEDULE 1 CHEMICAL <input type="checkbox"/> PROTECTIVE			
	e. Quantity	grams	f. Date of transfer (YYYY-MM-DD):	
1-3.1.2	a. <input type="checkbox"/> Export <input type="checkbox"/> Import (check one)	b. Name of recipient or source:		
	b. Street Address:		City, District:	
	State/Province:	Postal Code:	State Party (see Supplement No. 3):	
	d. Purpose(s) of export/import: <input type="checkbox"/> RESEARCH <input type="checkbox"/> PHARMACEUTICAL <input type="checkbox"/> WASTE DISPOSAL <input type="checkbox"/> MEDICAL <input type="checkbox"/> PRODUCTION OF OTHER SCHEDULE 1 CHEMICAL <input type="checkbox"/> PROTECTIVE			
	e. Quantity	grams	f. Date of transfer (YYYY-MM-DD):	
1-3.1.3	a. <input type="checkbox"/> Export <input type="checkbox"/> Import (check one)	b. Name of recipient or source:		
	b. Street Address:		City, District:	
	State/Province:	Postal Code:	State Party (see Supplement No. 3):	
	d. Purpose(s) of export/import: <input type="checkbox"/> RESEARCH <input type="checkbox"/> PHARMACEUTICAL <input type="checkbox"/> WASTE DISPOSAL <input type="checkbox"/> MEDICAL <input type="checkbox"/> PRODUCTION OF OTHER SCHEDULE 1 CHEMICAL <input type="checkbox"/> PROTECTIVE			
	e. Quantity	grams	f. Date of transfer (YYYY-MM-DD):	

If additional pages of Form 1-3 for this chemical are attached, indicate the total number of pages as follows:  
 page \_\_\_\_\_ of \_\_\_\_\_ (e.g., page x of y)

## FORM 1-3 Declaration or Report on Schedule 1 Chemical Exported to or Imported From Other States Parties

Declaration and reporting requirements are set forth in Part 712 of the CWC Regulations (15 CFR 712).

Submit this form for each Schedule 1 chemical that you exported to or imported from another State Party to provide detailed information on each trade transaction. Use a separate Form 1-3 for each Schedule 1 chemical. NOTE: There is no exclusion or de minimis amount for declaring or reporting Schedule 1 chemical exports or imports.

Declared facility. If you are a declared Schedule 1 facility, submit this Form 1-3 as part of your COMBINED ANNUAL DECLARATION AND REPORT.

Undeclared facilities and trading companies. If you are an undeclared Schedule 1 facility or trading company, submit this Form 1-3 as part of your ANNUAL REPORT ON EXPORTS AND IMPORTS.

Submit Form A to identify any additional information (e.g., chemical name, chemical structural formula) you submit with your completed forms package.

In the upper right hand corner of this form, enter the facility or trading company name or U.S. Facility or Trading Company Code (once assigned) that you entered on Form 1-1 (Schedule 1 Facility or Trading Company Identification).

For each Schedule 1 chemical being declared, provide the CAS Registry Number, if assigned, and the Chemical Name. If the chemical is not specifically listed by Chemical Name and CAS

Registry Number in Supplement No. 1 to Part 712 of the CWC Regulations (15 CFR 712), you must attach the structural formula of the chemical to Form A. Check the box to indicate that you have attached the structural formula.

Question 1-3.1.x.a: Check the appropriate box to indicate whether this trade transaction was an export or an import.

Question 1-3.1.x.b: Enter the name of the recipient or source of the Schedule 1 chemical in the other State Party.

Question 1-3.1.x.c: Enter the address of the recipient or source of the Schedule 1 chemical identified in b. above. Use the Destination Codes in Supplement 3 to identify the other State Party to the export or import.

Question 1-3.1.x.d: Check the appropriate box(es) to indicate the intended purpose(s) for the Schedule 1 chemical.

Question 1-3.1.x.e: Enter the quantity of the Schedule 1 chemical exported or imported in grams.

Question 1-3.1.x.f: Enter the date the Schedule 1 chemical cleared U.S. Customs for export or import.

If there is an insufficient amount of space to record all of your export and import transactions of this chemical, use additional copies of Form 1-3. Indicate the page number of each additional page and the total number of pages using the format "page \_ of \_" (as described at the bottom of this form).



## FORM 1-4 Declaration on Schedule 1 Anticipated Activities

Declaration and reporting requirements are set forth in Part 712 of the CWC Regulations (15 CFR 712).

Submit this form if you anticipate to produce Schedule 1 chemicals in excess of 100 grams aggregate at the declared facility in the next calendar year.

In the upper right hand corner of this form, enter the facility name or U.S. Facility Code (once assigned) that you entered on Form 1-1 (Schedule 1 Facility or Trading Company Identification).

For each Schedule 1 chemical being declared, provide the CAS Registry Number, if assigned, and the Chemical Name. If the chemical is not specifically listed by Chemical Name and CAS Registry Number in Supplement No. 1 to Part 712 of the CWC Regulations (15 CFR 712), you must attach the structural

formula of the chemical to Form A. Check the box to indicate that you have attached the structural formula.

Question 1-4.1.1: Provide the quantity of each Schedule 1 chemical that you anticipate to produce during the next calendar year.

Question 1-4.1.2: Check the appropriate box(es) to indicate the anticipated purposes for the Schedule 1 chemical.

NOTE: You may not produce Schedule 1 chemicals for protective purposes.

Question 1-4.1.3: Provide the starting and ending dates for each of the anticipated periods of production of the Schedule 1 chemical at this Schedule 1 facility.



## FORM A Attachments for Schedule 1 Facility or Trading Company

Declaration and reporting requirements are set forth in Part 712 of the CWC Regulations (15 CFR 712).

Submit this form to list any attachments containing additional information (e.g., site diagrams, structural formula for a Schedule 1 chemical).

As required by Form 1-1 for the **INITIAL DECLARATION**, you must use this form to list the documents that provide a detailed technical description of the declared facility or its relevant parts. The technical description for each declared facility or its relevant parts must include the following information: (1) narrative statement describing the facility; and (2) detailed diagram(s) of the declared areas. (Note: If the diagram includes any areas which are not declared, you should clearly distinguish between the declared and non-declared areas). Submission of additional attachments to further characterize the site and to clarify its exact location is on a voluntary basis. Note that undeclared Schedule 1 facilities and trading companies should also use Form A to provide attachments.

Insert all attachments into an envelope and staple the envelope to Form A.

**FACILITY NAME:** Enter the name of the facility or trading company, and if assigned, enter its U.S. Facility Code.

**FORM NUMBER:** In column 1, enter the form number to which the additional information refers.

**IDENTIFY THE ATTACHMENT WITH A UNIQUE NAME OR DESCRIPTION:** In column 2, assign a unique name and/or description to identify each attachment. For structural formulas, provide the CAS Registry Number, if assigned, and/or Chemical Name.



**CWC SCHEDULE 1  
FORM B**

**FORM B OPTIONAL COMMENTS**

<b>B.1.1</b>	Comments on form number _____, question number _____:
<b>B.1.2</b>	Comments on form number _____, question number _____:
<b>B.1.3</b>	Comments on form number _____, question number _____:
<b>B.1.4</b>	Comments on form number _____, question number _____:
<b>B.1.5</b>	Comments on form number _____, question number _____:
<b>B.1.6</b>	Comments on form number _____, question number _____:
<b>B.2.1</b>	General comments or suggestions:

## **FORMB Optional Comments**

Declaration and reporting requirements are set forth in Part 712 of the CWC Regulations (15 CFR 712).

Please use this form to record comments or suggestions. Use as many copies of this form as necessary. Submission of this form is optional, and the information provided will only be used by the Department of Commerce to improve the forms and the CWC reporting process.