
Consent to Participate in Human Research Study

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Overview of the Research Study

Let me tell you why I am here. I am working with the [INSERT EHS-NET SITE NAME] and the U.S. Centers for Disease Control and Prevention on research looking at sick worker management practices in restaurants. In research studies, restaurant workers have reported working while sick. We are looking to see what current practices are in place to keep them from potentially contaminating the food or restaurant.

Description of the Research

I am going to ask you some questions about your restaurant and its sick worker procedures. You can choose not to answer any of the questions.

Potential Risks and Discomforts/Voluntary Participation

Your restaurant was picked at random to be a part of this research. Participation is voluntary. You can choose to stop at any time. Whether you are a part of the study will not affect your restaurant's rating on any health inspection. I may see something that is an imminent health hazard, like no power or water, or sewage backing up. If so, I will need to stop and report the problem to the health department.

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Potential Benefits/Compensation/Reimbursements

Your taking part will be valuable in understanding some of the tough issues that restaurants face, so we ask you to be as open and honest as you can. You will receive no compensation or reimbursement for you participation. Also, if you have food safety related questions, I will answer them for you.

Confidentiality of Your Information

We will combine your information with information from other restaurants in other states. I won't collect or record your name. Your responses will not be shared with your employer, supervisor, or restaurant owner. While I do have your restaurant name and address, it will remain with me and be destroyed at the end of the study. The data we collect will only be recorded with a coded identifier for the restaurant.

[IF NEEDED INSERT ANY SITE SPECIFIC IRB REQUIRED STATEMENTS HERE]

Future Use of Your Information

After we remove identifiers such as your restaurant's name, we may use the data collected for future research or share it with other researchers without your additional informed consent.

Costs to You

For intervention restaurants

The interview should take about 20 minutes. After the interview, I would like to provide you with a toolkit for developing or enhancing your current ill worker policies. I will explain a bit about how you may use it. This should take about 30 minutes. I would then like to spend about a half hour observing workers handling food in your kitchen.

I will also plan to make another visit in three to six months to again conduct the interview and observe the kitchen to see if anything has changed. This will be done at a convenient time for you.

For control restaurants

The interview portion should take about 20 minutes. I would then like to spend about a half hour observing workers handling food in your kitchen.

I will also plan to make another visit in three to six months to again conduct the interview and observe the kitchen to see if anything has changed. This will be done at a convenient time for you. We may find useful information from our research by then. If so, I will share it with you. We may also call you for a last, short, follow-up interview several months after our second visit.

Termination of Participation

For all restaurants

I really appreciate your time today. Do you have any questions? If you have any questions at a later time, would like a summary of the study's findings or would like to withdraw from the study, you may contact myself. Please see my contact information below.

Who to Contact about the	Research	
If you have questions or concern	ns about this research or believe you have a research-related	
injury, please contact:		
[INSERT EHS-NET SITE SPECIFIC (-	
[IF NEEDED - INSERT SITE SPECI	FIC IRB CONTACT INFORMATION HERE]	
We expect to have all of the dat	ta summarized in about a year and a half. Again, we appreciate	
your taking part in this research	ı. It will help inform national food safety efforts.	
With all of this being said do yo	ou agree to take part in this research? By saying yes, you are	
providing verbal informed conse		
-		
• Yes Great, let's get started!	O No (Then stop) Thank you for your time today.	
DEMOGRAPHIC / CLASSIFICATIO	<u>''N</u>	
'd like to ask you some questio	ns about yourself and this restaurant. Please be as open and honest	as
ossible, the results will be mer	rged with information from other restaurants and no specific identify	/ing
nformation from this restauran	nt will be reported. The first few questions are about your experienc	e?
. How many years have you v	vorked in food service?	
	S ○ 6-10 years ○ 11-15 years ○ More than 15 years	
O Unsure O Refused	To to yours of the yours of their than to yours	
2. Have you ever had food safe	ety training?	
O Yes O No O Unsure O Refu		
	d in food safety such as by passing an ANSI-accredited program such	as
	al Registry of Food Safety Professionals, 360Training, Above	us
	om, or The Always Food Safe Company)?	
•	ounty/Local Certification O Unsure O Refused	
I. How long have you been em	•	
• •	S O 6-10 years O 11-15 years O More than 15 years O Unsure	O Pofuso
		• Refuse
What title would best descri		•
	nt Manager O Kitchen Manager O Owner O Shift Supervisor	
O General Manager OAssistan		•
	_ O Unsure O Refused	•
O General Manager OAssistan Other:		
O General Manager OAssistan Other: RESTAURANT DEMOGRAPHIC / C	CLASSIFICATION	
O General Manager OAssistan Other: RESTAURANT DEMOGRAPHIC / Colony, I'd like to ask some genera	CLASSIFICATION al questions about this restaurant.	
O General Manager OAssistan Other: RESTAURANT DEMOGRAPHIC / C Now, I'd like to ask some general o. Is this an independently own	CLASSIFICATION	
O General Manager OAssistan Other: RESTAURANT DEMOGRAPHIC / Colony, I'd like to ask some genera	CLASSIFICATION al questions about this restaurant.	

Other

○ Cafe'/Bistro ○ Fast Food ○ Fast Casual ○ Buffet ○ Family Style ○ Fine Dining

8.	Approximately how long has this restaurant been in business at this location? (can be estimated in number of years)
O	How long: yr / mo O Unsure O Refused If unsure/refused go to 8a else go to 9
	a. Would you estimate it has been 10 or more years?
O	Yes O No
9.	Approximately how many meals are served on an average day? (can be estimated using number of customers or ticket orders)
O	Meals: O Unsure O Refused
10	. How many people work here including employees and managers?
O	Total staff: O Unsure O Refused

 11 In general what i	s the average length	of employmer	nt for:		
	gers:yr / mo				
_	yr / mo				
	ant have a Certified K			nny? (if no CKM en	ter 0)
	O Unsure	_			·
a. How o	ften is there a Certific	ed Kitchen Ma	nager present durin	g hours of operati	on?
O All the time OMo	ost of the time 🔾 Sor	ne of the time			
O Rarely O Never O	Unsure O Refused	d			
ILL WORKER POLICY					
	sk you some questior employees about any				
•	f they look sick \square Yes	-		O Unsure O	Refused
	equired to let a manag		•		
	ure O Refused O Oth				
15. Does this restaura	ant have a policy abo	ut what to do	if an employee is sid	:k?	
O Yes ONo O Ur	nsure O Refused I	f yes →Contini	ue to question 15a, E	lse go to Question	16
a. Is the p	policy written or verb	al?			
	☐ Unsure ☐ Refu				
b. Are en	nployees trained on t	his policy?			
O Yes ONo O Ur	isure O Refused	If No, Un	sure, Refused → Go	to question 15c	
i.	How are employees	trained on the	e policy? (Check all tha	t apply)	
\square Posted policies \square P	Provided with policy m	nanual □Part o	of initial training □fr	om co-workers	
Employee reporting a	greement				
□Other			🗆 Uns	ure 🗌 Refused	
c. I'm goi	ing to list some symp	toms and illne	sses, please say yes	or no if the sympt	om or illness is
covere	ed by the policy, and v	what, if any, a	ctions are taken for	that specific symp	tom or illness.
Interviewer may need	l to prompt with do yo	ou send them h	ome or not let them	work with food?	
Interviewer mark the	right two boxes if the	re is a local red	quirement for the spe	ecific provision and	if they are in
compliance with the p	provision. If a respond	dent answers n	o for a symptom/illn	ess then go to the	next
symptom/illness.					

Condition	Symptom	Are workers	Is it a	If a requirement:
	covered by	excluded or	requirement?	Is the restaurant
	policy	restricted if they		in compliance?

		have this		
		symptom?		
1.Vomiting	O Yes O No	O E RO	O Yes O No	O Yes O No
2. Diarrhea	O Yes O No	OE RO	O Yes O No	O Yes O No
3.Jaundice (yellowish skin	O Yes O No	OE RO	O Yes O No	O Yes O No
and eyes)	3 163 3 140	JE KS	3 163 3 110	3 163 3 110
4. Sore throat with a fever	O Yes O No	O E RO	O Yes O No	O Yes O No
If only sore throat or fever				
check box below in other				
symptoms				
Lesions containing pus	O Yes O No	O E RO	O Yes O No	O Yes O No
Cough	O Yes O No	O E RO	O Yes O No	O Yes O No
Cold	O Yes O No	O E R O	O Yes O No	O Yes O No
Hepatitis A	O Yes O No	O R A C	O Yes O No	O Yes O No
Typhoid Fever	O Yes O No	O R A O	O Yes O No	O Yes O No
Salmonella	O Yes O No	O R A C	O Yes O No	O Yes O No
E. coli	O Yes O No	O R A C	O Yes O No	O Yes O No
Norovirus	O Yes O No	O R A C	O Yes O No	O Yes O No
Shigella spp	O Yes O No	O R A C	O Yes O No	O Yes O No
Any other illnesses or	O Yes O No	O E R O	O Yes O No	O Yes O No
symptoms				
Fever	O Yes O No	O E R O	O Yes O No	O Yes O No
Shortness of breath	O Yes O No	O E R O	O Yes O No	O Yes O No
Chills	O Yes O No	O E RO	O Yes O No	O Yes O No
Repeated shaking with	O Yes O No	O B R O	O Yes O No	O Yes O No
chills				
Muscle pain	O Yes O No	O E RO	O Yes O No	O Yes O No
Headache	O Yes O No	O E R O	O Yes O No	O Yes O No
Sore throat	O Yes O No	O E R O	O Yes O No	O Yes O No
New loss of taste or smell	O Yes O No	O R A O	O Yes O No	O Yes O No
Pink eye	O Yes O No	O R A C	O Yes O No	O Yes O No
COVID-19	O Yes O No	O E R O	O Yes O No	O Yes O No
Other:	O Yes O No	O R A C	O Yes O No	O Yes O No
Other:	O Yes O No	O R A C	O Yes O No	O Yes O No
Other:	O Yes O No	O E RO	O Yes O No	O Yes O No
Other:	O Yes O No	O E RO	O Yes O No	O Yes O No
Other:	O Yes O No	O E R O	O Yes O No	O Yes O No

d. If an employee is sent home or they call in sick, how do managers decide to let them return to work? (Check all that apply)

Work. (Check all that apply)	
\square Employee's decision \square 24 hrs symptom free \square 48 hrs symptom free \square >48 hrs symptom free	☐ Consult
regulatory authority \square Doctor's note \square Refer to food code/regulatory handout \square Other	:
O Unsure O Refused	
and the state of t	

e. Who does this policy apply to? (Check all that apply)

□ All	l employees □Kit	tchen staff	☐ Front of h	ouse staff $\ \square$ N	1anagers ☐ Unsi	ure Refused	
ch	_	d and indi	cate how long	the log is retair	sick? If available an ed on the site obse	_	
	es O No O Unsu	•		o ,			
	pproximately hov			e out sick over t	he past month?		
-	per of sick employ	_					
	• •				oms of illness in yo	our employees?	
	s O No O Unsur	•	-		If No \rightarrow go to quest		
			lo you look fo		Ø 4		
nose • Un	_	☐ Vomiting laise ☐ Ot	g □ Diarrhea [her:	□ Frequent trip		ver □ Pink eye 	□ Runny
O Ye	es 🤾 No 🔾 Unsu	re 🔾 Refu	sed		If No \rightarrow go to ques	stion 20	
a.	Have any of the					I Commonto	1
		Provision changed:	changed: Is it a	If the provision is not new: Is it stricter or more lenient?	What/how has it changed?	Comments:	
1.	. Managers actively look for illness	O Yes O No (If no, go to #2)	O Not new O New (If New, go to #2)	O Stricter O More lenient	Check all that apply Worker screening Illness info documentation Other		
2.	Requiring employees to report illness or symptoms	O Yes O No (If no, go to #3)	O Not new O New (If New, go to #3)	O Stricter O More lenient	Check all that apply Reporting agreement Automated screening Other		
3.	. What you do with sick employees	O Yes O No (If no, go to #4)	O Not new New (If New, go to #4)	O Stricter O More lenient	Check all that apply ☐ Exclude ☐ Restrict ☐ Other		
4.	. Symptoms or illnesses you look for	Yes No (If no, go	O Not new O New (If New, go	O Stricter O More lenient	Describe change:		

		to #5)	to #5)				
5.	Any other	O Yes					
	policies I	O No					
	haven't						
	mentioned						
	changed?						
а	. What		O Not new	O Stricter	Describe chan	ge:	
Da	changed? scribe:		O New	O More			
De	scribe:		(If New, go to #b)	lenient			
h	What changed?		O Not new	O Stricter	Describe chan	ae.	
	scribe:		O New	O More	Bescribe chari	<i>3</i> c.	
			(If New, go	lenient			
			to #c)				
c. '	What changed?		O Not new	O Stricter	Describe chan	ge:	
De	scribe:		O New	O More			
				lenient			
ILL WC	ORKER PRACTICES	<u>S</u>					
21. Wl □ Wo	d now like to asl nat do managers rk short-staffed ger finds replace	do if an e □ Manag	e mployee cal ger fills in for	ls in sick? (Check employee □ E	all that apply) Employee finds r		□ _ □ Other:
	<u>-</u>		•			sure O Refused	1
Intervi	-	ght two b	-	-		sick workers from we ecific provision and if	_
	Process			In place?	Is it a	If a requirement:	
				[requirement ?	Are they in compliance?	

a. Paid sick leave	O Yes O No	O Yes O No	O Yes O No
b. On-call employee schedule	O Yes O No	O Yes O No	O Yes O No
c. Employer-paid immunizations	O Yes O No	O Yes O No	O Yes O No
(e.g. Hepatitis A)			
d. Letting employees make up	O Yes O No	O Yes O No	O Yes O No
shifts			
Other:	O Yes O No	O Yes O No	O Yes O No
Other:	O Yes O No	O Yes O No	O Yes O No
Other:	O Yes O No	O Yes O No	O Yes O No

23. Have these practices changed since January .	2020: (Only ask on Initial visit)
O Yes O No O Unsure O Refused	If No \rightarrow go to question 24

a. Have any of the following practices changed?

	Practice	If practice	If practice is not	What/how has it	Comments:
	changed:	changed, Is	new/has changed: Is	changed?	
		practice new?	it stricter or more		
	<u> </u>	<u> </u>	lenient?		
,	O Yes	O Not new	O Stricter	Check all that apply	
operate when	O No	O New	O More lenient	☐ Work short	
an employee	(If no, go	(If New, go		staffed	
calls in sick	to #2)	to #2)		□ Depends on	
				how sick	
				☐ Other	
2. Any	O Yes	O Not new	O Stricter	Check all that apply	
practices that	O No	O New	O More lenient	☐ Emp arrange	
-	(If no, go	(If New, go		coverage	
1 -		to #3)		☐ Manager	
to keep sick	10 110)	10 11 07		arrange coverage	
workers from				☐ Have on call	
				☐ Paid sick leave	
working				☐ Employer	
				subsidized	
				immunizations	
				☐ Make up shifts	
				☐ Other	
	O 1/				
l ,	O Yes				
•	O No				
haven't					
mentioned					
changed?					
a. What		O Not new	○ Stricter	Describe change:	
changed?		O New	O More lenient		
Describe:		(If New, go			
		to #b)			
b. What		O Not new	○ Stricter	Describe change:	
changed?		O New	O More lenient		
Describe:					
Describe.					

24. In your opinion, if this restaurant were to adopt a practice to keep sick workers from working, which of the following practices would most likely be adopted by this restaurant? If practice is already occurring check the already occurring box and do not read that answer choice.

O Yes O No O Unsure O Refused

	a. Paid sick leave or additional paid sick leave if you already provide sick leave	○ Would adopt ○ Already occurring ○ Unsure	
	b. Maintaining an on-call employee schedule	○ Would adopt ○ Already occurring ○ Unsure	
	c. Paying for employee immunizations	○ Would adopt ○ Already occurring ○ Unsure	
	d. Allowing employees to make up missed shifts	○ Would adopt ○ Already occurring ○ Unsure	
	e. Or are there other provisions that you would consider	○ Would adopt ○ Already occurring ○ Unsure	
	f. Are there any others the restaurant might adopt? Describe:	O Yes O No O Unsure	
C	None O Unsure O Refused		_
25.	Who in the restaurant would be able to make chang apply)	es to this restaurant's ill worker policy? (Check	all that
	Owner \square General manager \square Corporate office \square Other	er:	☐ Unsure
	Refused O This is	s the person being interviewed	
CLE	EANING PRACTICES/GOOD HYGIENIC PRACTICES		
- w	ould now like to ask a few questions about this resta	urant's cleaning procedures	
	Are there written policies or checklists for cleaning o		
	Yes-written O Yes-verbal O No O Unavailable O U	· · · · · · · · · · · · · · · · · · ·	
_	a. Are there specific policies to address clear		
		<u> </u>	

If there is a requirement for this policy mark here \Box

i. Does the policy include ho	w to clean up vomit or diarrhea?		
O Yes O No O Unsure O Refus	ed		
1. Does it include how	to disinfect the area?		
○ Yes ○ No ○ Unsure	O Refused If No, Unsure, Refused \rightarrow Go to 26b		
a. Do you kno	w what type of sanitizer is used? Verify on site observation and		
record type	of sanitizer and concentration used		
O Yes O No O Unsure O Refused			
b. Do employees use any personal p	rotective equipment while cleaning these incidents?		
Yes \bigcirc No \bigcirc Unsure \bigcirc Refused If No, Unsure, Refused \rightarrow Go to question 27			
i. What type of equipment i	s used? (Check all that apply)		
☐ Face mask ☐ Respirator ☐ Single-use gloves [\square Disposable apron \square Shoe covers \square		
Disposable coveralls □ Other:	O Unsure O Refused		

s O No O Unsure on the se				f No → go to quest	ion 28
		If procedure changed: Is procedure new?	If procedure is not new: Is it stricter or more lenient?	What/how has it changed?	Comments
1. Cleaning protocols	O Yes O No (If no, go to #2)	O Not new O New (If New, go to #2)	O Stricter O More lenient	Check all that apply ☐ Developed ☐ Written ☐ Disinfect ☐ Frequency ☐ Areas covered ☐ Other	
2. Type of PPE that s used	O Yes O No (If no, go to #3)	O Not new O New (If New, go to #3)	O Stricter O More lenient	Check all that apply Respirator used Facemask used Gloves used Apron/Gown Shoe covers Other	
3. Any other procedures changed that I haven't mentioned?	O Yes O No		-		
a. What changed? Describe:		O Not new O New (If New, go to #b)	O Stricter O More lenient	Describe change:	
b. What changed? Describe:		O Not new O New	O Stricter O More lenient	Describe change:	
nat happens to food ard Cover the food					arrhea? (Check all

29. To the best	of your knowledge when was the last time that this re	estaurant had an incident of vomiting or	
	at required cleaning?	•	
O Not had one	e O 0-3 months O >3-6 months O >6-12 months O >	1 year O Unsure O Refused	
MANAGER BELI	<u>EFS</u>		
I realize that I h	nave asked you quite a few questions about this resta	urant's sick worker policies, but now I	
would like to fi	nish with a couple of questions about your opinions.	For these statements please answer on a	
scale of 1 - con	npletely disagree to 5 – completely agree.		
30. An employe	ee calling in sick creates a minor problem for the runn	ing of my restaurant.	
O Score	(1 – Completely disagree – 5 – Completely agree	O Unsure O Refused	
31. If employee	es wash their hands more than normal it is okay to wo	rk while sick.	
O Score	(1 – Completely disagree – 5 – Completely agree	O Unsure O Refused	
32. Employees	call in sick because they want a day off, not because t	hey are actually ill.	
O Score	(1 – Completely disagree – 5 – Completely agree	O Unsure O Refused	
33. If we cook t	the food it will destroy any germs on the food that ma	y have come from a sick worker.	
O Score	(1 – Completely disagree – 5 – Completely agree	O Unsure O Refused	
Thank you for y	your time and participation. The results of this survey	will be combined with results from othe	
surveys to prov	vide an overall picture of how restaurants are handling	g ill employees.	
EHS-Net Site: _			
Establishment (Code Number:		
Visit #:			
Group: O Inte	ervention O Control		
Was an interve	ntion provided on this visit: • Yes • No		
Additional Note	es:		