## SUPPORTING STATEMENT FOR Declaration of Financial Support OMB Control No.: 1615-0014 COLLECTION INSTRUMENT(S): Form I-134

## A. Justification

Explain the circumstances that make the collection of information necessary.
 Identify any legal or administrative requirements that necessitate the collection.
 Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.

Section 212(d)(5)(A) of the Immigration and Nationality Act (INA), authorizes the Secretary to "parole into the United States temporarily under such conditions as [the Secretary] may prescribe only on a case-by-case basis for urgent humanitarian reasons or significant public benefit any alien applying for admission to the United States . . . ." Additionally, under section 214 and 248 of the INA, the Secretary is authorized to prescribe conditions in granting extension of stay and change of status to nonimmigrants.

Certain individuals seeking parole, extension of stay, change of status, or immigrant visas abroad must demonstrate that they have sufficient financial resources available to them for the duration of their temporary stay in the United States.

2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.

The U.S. Department of Homeland Security (DHS) and consular officers of the Department of State (DOS) use Form I-134 to determine whether, at the time of the beneficiary's application, petition, or request for certain immigration benefits, that beneficiary has sufficient financial support to pay for expenses for the duration of their temporary stay in the United States.

3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.

This information collection provides the most efficient means for gathering and processing information about whether certain aliens have financial support to pay for expenses that arise during the alien's temporary stay in the United States. Form I-134 is available as a fillable PDF on the USCIS website at uscis.gov/i-134. The form can be completed electronically, printed, signed, and submitted to U.S. Citizenship and

Immigration Services (USCIS) by mail. If submitting this form to DOS, see <a href="https://www.travel.state.gov">www.travel.state.gov</a> for more information on filing.

Currently, Form I-134 can be filed electronically online only by certain individuals applying for parole into the United States for urgent humanitarian reasons or significant public benefit, as designated by USCIS.

4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.

A search of USCIS' automated forms tracking system revealed no duplication. There is no similar data collected.

5. If the collection of information impacts small businesses or other small entities (Item 5 of OMB Form 83-I), describe any methods used to minimize burden.

This collection of information does not have an impact on small businesses or other small entities.

6. Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.

If the information is not collected, USCIS would not be able to determine whether certain aliens seeking to come to the United States have financial support to cover expenses for the duration of their stay in the United States.

- 7. Explain any special circumstances that would cause an information collection to be conducted in a manner:
  - Requiring respondents to report information to the agency more often than quarterly;
  - Requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;
  - Requiring respondents to submit more than an original and two copies of any document;
  - Requiring respondents to retain records, other than health, medical, government contract, grant-in-aid, or tax records for more than three years;
  - In connection with a statistical survey, that is not designed to produce valid and

reliable results that can be generalized to the universe of study;

- Requiring the use of a statistical data classification that has not been reviewed and approved by OMB;
- That includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or
- Requiring respondents to submit proprietary trade secret, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.

This information collection is conducted in a manner consistent with the guidelines in 5 CFR 1320.5(d)(2).

8. If applicable, provide a copy and identify the data and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.

Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.

Consultation with representatives of those from whom information is to be obtained or those who must compile records should occur at least once every 3 years - even if the collection of information activity is the same as in prior periods. There may be circumstances that may preclude consultation in a specific situation. These circumstances should be explained.

USCIS did not publish a notice in the Federal Register. This submission is made under 5 CFR 1320.13.

9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.

USCIS does not provide any payment for benefit sought.

10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation or agency policy.

There is no assurance of confidentiality.

This collection is covered under the following Privacy Impact Assessments:

- DHS/USCIS/PIA-051 Case and Activity Management for International Operations (CAMINO);
- DHS/USCIS/PIA-003 Integrated Digitization Document Management Program (IDDMP);
- DHS/USCIS/PIA-056(a) USCIS Electronic Information System (USCIS ELIS); and.
- DHS/USCIS/PIA-071 myUSCIS Account Experience.

The collection is covered under the following System of Records Notices:

- DHS/USCIS-001 Alien File, Index, and National File Tracking System of Records November 21, 2013, 78 FR 69864;
- DHS/USCIS-007 Benefits Information System September 29, 2008, 73 FR 56596; and
- DHS/USCIS-018 Immigration Biometric and Background Check July 31, 2018, 83 FR 36950.
- 11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.

This information collection contains questions that are of a sensitive nature. Respondents must provide information and records about personal income and financial resources. This information is necessary to establish that an alien has sufficient income or financial resources to pay for expenses during their temporary stay in the United States.

- 12. Provide estimates of the hour burden of the collection of information. The statement should:
  - Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. Unless directed to do so, agencies should not conduct special surveys to obtain information on which to base hour burden estimates. Consultation with a sample (fewer than 10) of potential respondents is desirable. If the hour burden on respondents is expected to vary widely because of differences in activity, size, or complexity, show the range of estimated hour burden, and explain the reasons for the variance. Generally, estimates should not include burden hours for customary and usual business practices.

- If this request for approval covers more than one form, provide separate hour burden estimates for each form and aggregate the hour burdens in Item 13 of OMB Form 83-I.
- Provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here. Instead, this cost should be included in Item 14.

		A	В	C (=AxB)	D	E (=CxD)	F	(=ExF)
Type of Respondent	Form Name / Form Number	#. of Respondents	#. of Responses per Respondent	# of Response s	Avg. Burden per Response (in hours)	Total Annual Burden (in hours)	Avg. Hourly Wage Rate*	Total Annual Respondent Cost
Individuals and Households	Declaration of Financial Support, Form I-134 (paper)	2,500	1	2,500	2	5,000	\$37.55	\$187,756
Individuals and Households	Declaration of Financial Support, Form I-134 (e-File)	50,000	1	50,000	1.83	91,500	37.55	\$3,435,825
Total				52,500		96,500		\$3,623,581

<sup>\*</sup> The above Average Hourly Wage Rate is the May 2019 Bureau of Labor Statistics average wage for All Occupations of \$25.72 times the wage rate benefit multiplier of 1.46 (to account for benefits provided) equaling \$37.55. The selection of "All Occupations" was chosen because respondents to this collection could be expected from any occupation.

- 13. Provide an estimate of the total annual cost burden to respondents or record keepers resulting from the collection of information. (Do not include the cost of any hour burden shown in Items 12 and 14).
  - The cost estimate should be split into two components: (a) a total capital and start-up cost component (annualized over its expected useful life); and (b) a total operation and maintenance and purchase of services component. The estimates should take into account costs associated with generating, maintaining, and disclosing or providing the information. Include descriptions of methods used to estimate major cost factors including system and technology acquisition,

<sup>\*\*</sup> The estimated number of respondents includes receipts of Form I-134 by both USCIS and Department of State.

expected useful life of capital equipment, the discount rate(s), and the time period over which costs will be incurred. Capital and start-up costs include, among other items, preparations for collecting information such as purchasing computers and software; monitoring, sampling, drilling and testing equipment; and record storage facilities.

- If cost estimates are expected to vary widely, agencies should present ranges of cost burdens and explain the reasons for the variance. The cost of purchasing or contracting out information collection services should be a part of this cost burden estimate. In developing cost burden estimates, agencies may consult with a sample of respondents (fewer than 10), utilize the 60-day pre-OMB submission public comment process and use existing economic or regulatory impact analysis associated with the rulemaking containing the information collection, as appropriate.
- Generally, estimates should not include purchases of equipment or services, or portions thereof, made: (1) prior to October 1, 1995; (2) to achieve regulatory compliance with requirements not associated with the information collection; (3) for reasons other than to provide information or keep records for the government; or, (4) as part of customary and usual business or private practices.

There are no capital, start-up, operational or maintenance costs associated with this collection of information. There is no fee cost to respondents for filing these requests. USCIS, however, estimates that respondents will incur an estimated cost of \$4.25 average postage cost to each respondent to submit the completed package to USCIS.

Postage to mail completed package (52,500 x 4.25 average postage) = 223,125 (total annual cost burden to respondents).

14. Provide estimates of annualized cost to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information. Agencies also may aggregate cost estimates from Items 12, 13, and 14 in a single table.

USCIS establishes its fees using an activity-based costing model to assign costs to an adjudication based on its relative adjudication burden and use of USCIS resources. Fees are established at an amount that is necessary to recover these assigned costs, plus an amount to recover unassigned overhead (which includes the suggested average hourly rate for clerical, officer, and managerial time with benefits) and immigration benefits provided for free. USCIS uses the fee associated with an information collection as a reasonable measure of the collection's costs to USCIS, since these fees are based on resource expenditures related to the benefit in question. In addition, this figure includes the estimated overhead cost for printing, stocking, distributing and processing of this

form.

**The estimated cost to the Government is \$3,394,125.** This figure is calculated by multiplying the estimated number of respondents (52,500) by the time required to adjudicate the form (1 hour), which is multiplied by the average hourly rate of USCIS adjudicators (\$64.65), for a total of \$3,394,125.

## 15. Explain the reasons for any program changes or adjustments reporting in Items 13 or 14 of the OMB Form 83-I.

In this emergency revision action, USCIS is adding questions and clarifying instructions to expand filing options for certain individuals applying for parole. By adding e-file capability, USCIS should be able to streamline processing efficiencies of this population. Additionally, there is an increase of 50,000 respondents using this form to apply for parole.

USCIS has made substantive revisions to Form I-134 and its Instructions. The full scope of changes is identified in the Tables of Changes submitted with this information collection request.

Data collection Activity/Instru -ment (in hours)	Program Change (hours currently on OMB Inventory)	Program Change (New)	Difference	Adjustment (hours currently on OMB Inventory)	Adjustmen t (New) [new minus current]	Difference
Form I-134 (paper)				5,000	5,000	0
Form I-134 (e- File)				0	91,500	91,500
Total(s)				5,000	96,500	91,500

USCIS is reporting an increase of 91,500 hours in the estimated annual hour burden to respondents for this collection of information. This increase is the result of an increase in number of respondents and the addition of e-filing capability.

Data collection Activity/Instru- ment (in hours)	Program Change (Cost currently on OMB Inventory)	Program Change (New)	Difference	Adjustmen t (Cost currently on OMB Inventory)	Adjustment (New) [new minus current]	Difference
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Form I-134 (paper filed)		\$10,625	\$10,625	\$0
Form I-134 (online filing)		\$0	\$212,500	\$212,500
Total(s)		\$10,625	\$223,125	\$212,500

USCIS is reporting an increase of \$212,500 in the estimated annual cost burden to respondents for this collection of information. This increase is the result of a large increase in the number of respondents and the addition of e-filing capability.

16. For collections of information whose results will be published, outline plans for tabulation, and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.

This information collection will not be published for statistical purposes.

17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.

USCIS will display the expiration date for OMB approval of this information collection.

18. Explain each exception to the certification statement identified in Item 19, "Certification for Paperwork Reduction Act Submission," of OMB 83-I.

USCIS does not request an exception to the certification of this information collection.

**B.** Collections of Information Employing Statistical Methods.

There is no statistical methodology involved with this collection.