



U.S. DEPARTMENT OF COMMERCE
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION
NATIONAL MARINE FISHERIES SERVICE

OMB No.
0648-0304
Expires: 12/31/2021

HIGH SEAS FISHING PERMIT APPLICATION

Submit this application to the NMFS Regional Administrator with whom you normally interact on fisheries matters or to the Director of the Office of International Affairs. Addresses are contained herein. Include payment of \$56, copy of the vessel's U.S. Coast Guard documentation or state registration, and a color photograph of the fishing vessel.

SECTION 1. VESSEL INFORMATION

| | | |
|-------------------------------------|------------------|---|
| USCG Doc. or State Registration No. | Vessel Name | Radio Call Sign |
| Crew Size (including officers) | Shaft Horsepower | Refrigeration Type (Check only one) <input type="checkbox"/> ICE <input type="checkbox"/> BRINE <input type="checkbox"/> BLAST <input type="checkbox"/> PLATE <input type="checkbox"/> TUNNEL <input type="checkbox"/> RSW OTHER (please specify): _____ |

SECTION 2. VESSEL OWNERSHIP INFORMATION

Managing Owner as shown on U.S. Coast Guard Form 1270 or State Registration (person or company). If more than one, please attach required information for each owner on a separate paper.

| | | | | |
|---|-------|--|--------------------------|-----------------------------|
| Owner's Name, Last | First | Middle | Suffix | Taxpayer Identification No. |
| Company Name, if vessel is owned by a business entity | | Company State and Date of Formation (mm/dd/yyyy) | | Date of Birth (mm/dd/yyyy) |
| Business Address | | Phone No. | Fax No. | |
| City and State | | Zip code | Email Address (optional) | |

SECTION 3a. VESSEL MANAGER INFORMATION

If a business is in charge of managing the operations of the vessel, enter the information for the business below.

| | | |
|------------------|--|-----------------------------|
| Company Name, | Company State and Date of Formation (mm/dd/yyyy) | Taxpayer Identification No. |
| Business Address | Phone No. | Fax No. |
| City and State | Zip code | Email Address (optional) |

SECTION 3b. VESSEL OPERATOR INFORMATION

Enter information for the master on board the vessel. Use additional sheets if there are more than one vessel operators.

| | | | | |
|-----------------------|---------|--------------------------|----------------------------|-----------------------------|
| Operator's Name, Last | First | Middle | Suffix | Taxpayer Identification No. |
| Business Address | | | Date of Birth (mm/dd/yyyy) | |
| City and State | | | Zip code | |
| Phone No. | Fax No. | Email Address (optional) | | |

FOR OFFICIAL USE ONLY

Date Received:

Check/Money Order Number:

Reviewer:

SECTION 4. VESSEL STATUS If yes, please refer to additional instructions below.

Has the vessel identified above flown the flag of another nation within the last three years? YES NO

If yes, provide the following information for each period during which the vessel operated under other than the U.S flag: period beginning and end dates (mm-dd-yyyy); vessel name, flag, international radio call sign, and homeport; owner name, date of birth, address, phone, and fax; and operator name, date of birth, address, phone, and fax. Provide the information on a separate sheet of paper.

Has the vessel identified above, under its current name/flag or any previous names/flags, had any permit or license suspended or revoked within the past three years? YES NO

If yes, list and attach on a separate sheet of paper the circumstances surrounding each such instance and provide an explanation of the current status of the suspension or revocation including whether there has been a change in ownership of the vessel.

SECTION 5. VESSEL TYPE

Check **ONE** box that best describes your vessel's type when fishing on the high seas.

| | | |
|--|--|---|
| <p>TRAWLERS</p> <p><input type="checkbox"/> 0110 – Stern trawler</p> <p><input type="checkbox"/> 0122 – Stern trawlers wet-fish</p> <p><input type="checkbox"/> 0121 – Stern trawlers freezer</p> <p><input type="checkbox"/> 0120 – Stern trawlers factory</p> <p><input type="checkbox"/> 0199 – Trawler, other</p> <p>PURSE SEINERS</p> <p><input type="checkbox"/> 0228 – Tuna purse seiner</p> <p><input type="checkbox"/> 0229 – Purse Seiner, other</p> | <p>GILL NETTERS</p> <p><input type="checkbox"/> 0410 – Drift netter</p> <p><input type="checkbox"/> 0490 – Gill netter, other</p> <p>LONGLINERS</p> <p><input type="checkbox"/> 623 – Freezer Longliner</p> <p><input type="checkbox"/> 0624 – Factory Longliner</p> <p><input type="checkbox"/> 0626 – Tuna Longliner</p> <p><input type="checkbox"/> 0627 – Longliner, Other</p> | <p>OTHER LINERS</p> <p><input type="checkbox"/> 0705 – Squid Jigging Line Vessel</p> <p><input type="checkbox"/> 0710 – Handliner</p> <p><input type="checkbox"/> 0720 – Pole and Line Vessel</p> <p><input type="checkbox"/> 0730 – Troller</p> <p>OTHER VESSELS</p> <p><input type="checkbox"/> Bunker</p> <p><input type="checkbox"/> Fish Carrier</p> <p><input type="checkbox"/> Support Vessel, Other*</p> <p>Write support vessel type here: _____</p> |
|--|--|---|

SECTION 6. AUTHORIZED HIGH SEAS FISHING ACTIVITIES

Select the fishery(ies) for which you will actually fish. You are responsible for obtaining any permits associated with the authorized fisheries and meeting the reporting requirements. *This form must be sent to the office corresponding with the fishery(ies) you select.*

| Fishery | Submit form to | NMFS Address |
|---|--|--|
| <input type="checkbox"/> Pacific Highly Migratory Species Fisheries – 50 CFR 660, Subpart K <input type="checkbox"/> Eastern Pacific Tuna Fisheries – 50 CFR 300, Subpart C <input type="checkbox"/> South Pacific Albacore Troll Fishing | National Marine Fisheries Service West Coast Regional Office ATTN: Permits National Marine Fisheries Service | 501 West Ocean Blvd. Suite 4200 Long Beach, CA 90802-4213 Email: wcr-permits@noaa.gov Phone: (562) 980-4001; Fax: (562) 980-4047 |
| <input type="checkbox"/> Western Pacific Pelagic Fisheries – 50 CFR 665, Subpart F <input type="checkbox"/> South Pacific Tuna Fisheries – 50 CFR 300, Subpart D | National Marine Fisheries Service Pacific Islands Regional Office ATTN: Permits | 1845 Wasp Blvd., Bldg 176 Honolulu, HI 96818 Email: piro-permits@noaa.gov Phone: (808) 725-5000; Fax: (808) 725-5215 |
| <input type="checkbox"/> Atlantic Highly Migratory Species – 50 CFR 635 | National Marine Fisheries Service Southeast Region Constituency Services Branch <i>Can also be sent to the Northeast Region (see below address)</i> | 263 13th Avenue South St. Petersburg, FL 33701 Phone: (727) 824-5326; Fax: (727) 551-5747 |
| <input type="checkbox"/> Antarctic Marine Living Resources – 50 CFR 300, Subpart G | National Marine Fisheries Service Office of International Affairs and Seafood Inspection | 1315 East-West Hwy (F/IS) Silver Spring, MD 20910 Phone: (301) 427-8350; Fax: (301) 713-2313 |
| <input type="checkbox"/> Northwest Atlantic Fishery | National Marine Fisheries Service Greater Atlantic Region | 55 Great Republic Drive Gloucester, MA 01930-2276 Phone: (978) 281-9300; Fax: (978) 281-9333 |

SECTION 7. COLOR PHOTOGRAPH OF VESSEL

Provide a photograph of the vessel in its current form and appearance, following the attached instructions. Indicate whether an electronic or paper photograph is being provided. Enter the date the photograph was taken. Photographs of the vessel can be emailed to the email address in Section 6 above.

If the vessel's form or appearance materially changes (including, but not limited to, the vessel is painted another color, the vessel is renamed, or the vessel undergoes a structural modification), you must submit to NMFS a new photograph of the vessel within 15 days of the change).

Date photograph taken: _____(mm/dd/yyyy)

Electronic photograph emailed separately Paper photograph enclosed with this application

SECTION 8. SIGNATURE

A non-refundable application fee of \$56.00 in the form of a check or money order made payable to "U.S. Department of Commerce – NOAA" must accompany each application. Mail the application, payment, copy of the vessel's U.S. Coast Guard documentation or state registration, and a color vessel photograph to the Regional Administrator identified in Section 6 above.

By signing this application, the undersigned owner or operator of the vessel identified above states, under penalty of perjury, that all information set forth within this application is true and correct.

| | | |
|-----------|------------------------------|------|
| | | |
| Signature | Name (print legibly or type) | Date |

Submission of application information is mandatory in order to be considered for a permit and is used to determine if a permit should be issued. The public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining data needed, and completing and reviewing the information. Send comments regarding this burden estimate or suggestions for reducing this burden to: NMFS, Office of International Affairs and Seafood Inspection, 1315 East West Highway, Silver Spring, MD 20910. Notwithstanding any other provision of law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Disclosure of your Taxpayer Identification Number [Social Security Number (SSN) or Employer Identification Number] is mandatory. Statutory provisions enacted by the Debt Collection Improvement Act of 1996 (Public Law 104-134) require that persons doing business with the Federal Government, including those applying for licenses or permits, must present their Taxpayer Identification Number. SSNs will remain confidential and will be protected from disclosure in accordance with applicable law.

Public Burden Statement

A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995 unless the information collection has a currently valid OMB Control Number. The approved OMB Control Number for this information collection is 0648-0304. Without this approval, we could not conduct this survey/information collection. Public reporting for this information collection is estimated to be approximately 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. All responses to this information collection are voluntary/mandatory/required to obtain benefits. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to the National Marine Fisheries Service Name, Attn: Foreign Affairs Specialist Kent Laborde at kent.laborde@noaa.gov.

INSTRUCTIONS FOR THE PERMIT APPLICATION FOR COMMERCIAL FISHING ON THE HIGH SEAS

GENERAL INFORMATION

A permit is required for commercial fishing vessels that operate on the high seas. Consult the U.S. Code of Federal Regulations (Title 50 CFR Part 300 Subparts A and R) for application requirements, permit eligibilities, and related information. Complete all sections of the application form. This information is being collected by the National Marine Fisheries Service (NMFS) to ensure accurate records are available concerning U.S. fishing vessels participating in fishing on the high seas, consistent with the High Seas Fishing Compliance Act.

The owner or operator of a vessel issued a permit under this Act, or for which such permit is required, must have a NMFS type-approved enhanced mobile transceiver unit (EMTU) installed on board the vessel, and must ensure that the EMTU is operational and properly reporting positions to NMFS as required.

Applications should be typed or hand written in ink. Incomplete or illegible applications will not be accepted. The non-refundable application fee is \$56. A check or money order payable to the "U.S. Department of Commerce – NOAA" must accompany each application. Mail the application, payment, and all required supporting information to to the Regional Administrator with whom you normally interact, as noted in Section 6 below.

Owners or operators of U.S. vessels used for commercial fishing for highly migratory species (HMS) on the high seas in the convention area of the Western and Central Pacific Fisheries Commission (WCPFC) are required to obtain a NMFS-issued fishing authorization called a "WCPFC Area Endorsement" in addition to the permit for fishing on the high seas. The application for the WCPFC Area Endorsement can be obtained from NMFS Pacific Islands Regional Office (address is below under Section 6). The WCPFC Area Endorsement is issued by the Regional Administrator of NMFS Pacific Islands Region as an endorsement on the permit issued under the High Seas Fishing Compliance Act. Any vessels receiving fish from another fishing vessel or bunkering or otherwise supplying or supporting a vessel that is harvesting HMS, as well as those vessels harvesting HMS, are subject to this requirement.

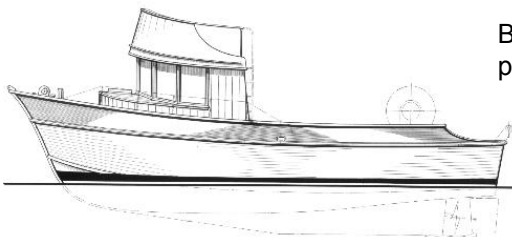
COLOR PHOTOGRAPH OF THE VESSEL

Include with this application a full-color photograph of the vessel in its current form and appearance, in either electronic or paper format. If the appearance of the vessel materially changes after this form and the photograph have been submitted (including, but not limited to, the vessel is painted another color, the vessel is renamed, or the vessel undergoes a structural modification), you must inform NMFS and submit a new photograph of the vessel within 15 days of the change.

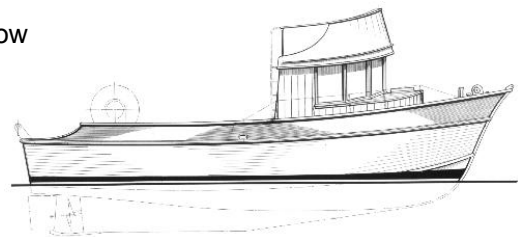
The photograph must meet the following specifications: The photograph must show a bow-to-stern side-view of the vessel, clearly and legibly displaying the vessel name and markings, and such markings must be in accordance with the vessel identification requirements of 50 CFR Part 300 Subpart R. Paper photographs must be at least 5 by 7 inches and no greater than 8½ by 11 inches in size. Electronic photographs must:

- (1) be in either jpg or tiff file formats;
- (2) have a resolution of at least 300 pixels per inch at a size of 5 by 7 inches; and
- (3) be of a file size no greater than 2 megabytes (MB).

Paper photographs may be submitted together with this form. Write clearly on the back of the photograph or a separate piece of paper the following information: "High Seas Fishing Permit," name of applicant, name of vessel, vessel's documentation or registration number, and date photograph was taken (mm/dd/yyyy). Electronic photographs may be sent via email to the addresses noted in Section 6 of the application. Identify the message subject as: "Vessel Photograph for High Seas Fishing Permit" and include in the message body the following information: name of the applicant, name of vessel, vessel's documentation or registration number, and date photograph was taken (mm/dd/yyyy).



Bow-to-stern or stern-to-bow
photos are acceptable.



APPLICATION INSTRUCTIONS

SECTION 1. VESSEL INFORMATION

Enter the U.S. Coast Guard Official (USCG) Documentation Number assigned to the vessel. Attach a copy of the vessel's current documentation (Form CG-1270). If the vessel is not USCG documented, enter the vessel's current state registration number and attach a copy of the vessel's current state registration. Insure that all photocopies are completely legible.

Enter the current name of the vessel.

Enter the radio call sign currently assigned to the vessel.

Enter the total number of crew members and officers the vessel normally carries.

Enter shaft horsepower.

Indicate refrigeration type. If selecting "OTHER," write in the refrigeration type.

SECTION 2. VESSEL OWNERSHIP INFORMATION

If the vessel is owned by a corporation or limited liability company, enter the company name and the jurisdiction (state) where the company was formed and the date of formation (such as the date the articles of incorporation or registration documents were filed), taxpayer identification number, business address, and phone and fax numbers.

If the vessel is owned by individual(s) or a partnership, provide each owner's full legal name, date of birth, business address, telephone number, and fax number. Inclusion of an email address is optional.

If there is more than one owner, attach the required information for each additional owner on a separate paper.

SECTION 3. VESSEL MANAGER AND OPERATOR INFORMATION

Enter the name, date of birth, business address, telephone number, and fax number for the individual or partnership that has control over the operation of the vessel. If the vessel operator is a corporation or a limited liability company, enter the company name and the jurisdiction (state) where the company was formed and the date of formation (such as the date the articles of incorporation or registration documents were filed), and the taxpayer identification number. Inclusion of an email address is optional.

SECTION 4. VESSEL STATUS

Indicate whether the vessel has flown the flag of another nation within the last three years. Also indicate if the vessel, under its current or any previous names/flags, had any permit or license suspended or revoked within the past three years. Provide the additional information concerning the circumstances regarding the suspension or revocation. Indicate whether the suspension or revocation was related to a failure to comply with conservation and management measures.

SECTION 5. FISHING VESSEL TYPE

Check the box for the principal vessel type of your vessel. Only one type may be selected.

SECTION 6. AUTHORIZED HIGH SEAS FISHING ACTIVITIES

Fishing on the high seas must generally be associated with at least one fishery that is authorized by a Fishery Management Plan or an international regional fisheries organization. Indicate those fisheries for which you already hold a permit or have applied for a permit and under which you will actually fish. **You are responsible for meeting the reporting requirements for all you select.** Below are the laws and regulations that apply to the fisheries. The NMFS office to which you submit the application for a high seas permit is also listed below.

Pacific HMS Fisheries (50 CFR 660, Subpart K): Albacore troll fishing conducted in the North Pacific Ocean, tuna purse seine fishing, and tuna longline fishing (i.e., deep set fishing) by vessels with permits issued pursuant to 50 CFR 660, Subpart K – Pacific HMS Fisheries; Fishery Management Plan for West Coast HMS.

Eastern Pacific Tuna Fisheries (50 CFR 300, Subpart C): Tuna purse seine fishing in the eastern tropical Pacific Ocean regulated pursuant to 50 CFR 300, Subpart C – Eastern Pacific Tuna Fisheries and 50 CFR 216.24 – Taking and related acts incidental to commercial fishing operations by tuna purse seine vessels in the eastern tropical Pacific Ocean; Convention for the Establishment of an Inter-American Tropical Tuna Commission (IATTC), 1949; Tuna Conventions Act of 1950 (16 U.S.C. 951-961) as amended by the International Dolphin Conservation Act (IDCA) of March 3, 1999 (16 U.S.C. 1413).

South Pacific Albacore Troll Fishery: Albacore troll fishing conducted in the South Pacific Ocean.

The following office accepts High Seas Fishing Permit Applications for Pacific HMS Fisheries, Eastern Pacific Tuna Fisheries, and South Pacific Albacore Troll Fishery and can provide additional information on these fisheries, including information about reporting requirements:

Regional Administrator, West Coast Region National Marine Fisheries Service
ATTN: Permits
501 West Ocean Blvd., Suite 4200
Long Beach, CA 90802-4213
Phone: (562) 980-4030; Fax: (562) 980-4047; Email: wcr-permits@noaa.gov

Western Pacific Pelagic Fisheries (50 CFR 665, Subpart F): Fishing using troll, handline, or longline for Pacific pelagic management unit species regulated pursuant to 50 CFR 665, Subpart F – Western Pacific Pelagic Fisheries; FMP for Pelagic Fisheries of the Western Pacific Region.

South Pacific Tuna Fisheries (50 CFR 300, Subpart D): Tuna purse seine fishing in the South Pacific Ocean regulated pursuant to 50 CFR 300, Subpart D – South Pacific Tuna Fisheries; Treaty on Fisheries Between the Governments of Certain Pacific Island States and the Government of the United States of America (SPTT), 1987; South Pacific Tuna Act of 1988 (16 U.S.C. 973 *et seq.*).

The following office accepts High Seas Fishing Permit Applications for Western Pacific Pelagic Fisheries and South Pacific Tuna Fisheries and can provide additional information on these fisheries, including information about reporting requirements:

National Marine Fisheries Service
Pacific Islands Regional Office
ATTN: Permits
1845 Wasp Blvd., Bldg. 176
Honolulu, HI 96818
Phone: (808) 725-5000; Fax: (808) 725-5215; Email: piro-permits@noaa.gov

Atlantic Highly Migratory Species (50 CFR 635): Fishing in the Atlantic Ocean for Atlantic tunas, swordfish and sharks by vessels (on the high seas, the vessels are primarily longline) with permits issued pursuant to Title 50 of the Code of Federal Regulations (CFR) Part 635 – Atlantic Highly Migratory Species (HMS); Fishery Management Plan (FMP) for Atlantic Tunas, Swordfish and Sharks; International Convention for the Conservation of Atlantic Tunas (ICCAT), 1969; Atlantic Tunas Convention Act of 1975 (16 U.S.C. 971 *et seq.*).

Applications for High Seas Fishing Permits to fish for Atlantic tunas, swordfish, and sharks should be sent to one of the following two offices:

Regional Administrator, Greater Atlantic Region
National Marine Fisheries Service
55 Great Republic Drive
Gloucester, MA 01930-2276
Phone: (978) 281-9300; Fax: (978) 281-9333

Regional Administrator, Southeast Region
National Marine Fisheries Service
Constituency Services Branch
263 13th Avenue South
St. Petersburg, FL 33701
Phone: (727) 824-5326; Fax: (727) 551-5747

For additional information on Atlantic HMS, including information about reporting requirements, contact:

National Marine Fisheries Service
Office of Sustainable Fisheries
Highly Migratory Species Management Division (F/SF1)
Silver Spring, MD 20910
Phone: (301) 427-8503; Fax: (301) 713-1917

Northwest Atlantic Fishery: Fishing in the Northwest Atlantic Ocean for species managed pursuant to the Convention on Future Multilateral Cooperation in the Northwest Atlantic Fisheries (NAFO) (16 U.S.C. 5601).

The following office accepts High Seas Fishing Permit Applications for the Northwest Atlantic Fishery and can provide additional information on this fishery, including information about reporting requirements:

Regional Administrator, Greater Atlantic Region
National Marine Fisheries Service
55 Great Republic Drive
Gloucester, MA 01930-2276
Phone: (978) 281-9300; Fax: (978) 281-9333

Antarctic Marine Living Resources (50 CFR 300, Subpart G): Fishing for species specified in a valid Antarctic Marine Living Resources (AMLR) harvesting permit, issued in accordance with 50 CFR 300.107, within the area of the Convention for the Conservation of Antarctic Living Marine Resources outside of Exclusive Economic Zones in the Southern Ocean. Fishing must be conducted only for the species specified in a valid AMLR vessel permit, which will also specify the area(s) of Convention waters authorized for fishing, validity period of the AMLR vessel permit and any other requirements and conditions of fishing deemed necessary; Convention for the Conservation of Antarctic Living Marine Resources, 1982; Antarctic Marine Living Resources Act of 1984, (16 U.S.C. 2431 *et seq.*).

The following office accepts High Seas Fishing Permit Applications for Antarctic Marine Living Resource fisheries and can provide additional information on these fisheries, including information about reporting requirements:

National Marine Fisheries Service
Office of International Affairs and Seafood Inspection
1315 East West Highway (F/IS)
Silver Spring, MD 20910
Phone: (301) 427-8350; Fax: (301) 713-2313

SECTION 7. COLOR PHOTOGRAPH OF VESSEL

Provide a photograph of the vessel in its current form and appearance, following the instructions on page 1. Indicate whether an electronic or paper photograph is provided and enter the date the photograph was taken.

SECTION 8. SIGNATURE

The application must be signed by the owner or operator of the vessel. Sign and print your name and enter the date the application was signed. Be sure to include the non-refundable application fee of \$56.00 in the form of a check or money order made payable to the "U.S. Department of Commerce – NOAA" along with the copy of the vessel's USCG documentation or state registration.