

Formative Research on Community-Level Factors that Promote the Primary Prevention of Adverse Childhood Experiences (ACEs) and Opioid Misuse Among Children, Youth, and Families in Tribal American Indian and Alaska Native (AI/AN) Communities  
OMB Control number 0920-1351 expiration date 10/31/2024

**Proposed Changes**  
May 12, 2022

**Overview**

We are requesting non-substantive changes in the demographic survey related to the feedback received from the tribal communities engaged in the research study. The changes are outlined below and in Attachment I. Note that the request does not include changes to the currently approved burden and/or costs.

**Project Description**

- **Goal of the study:** The goal of this Information Collection Request (ICR) is to conduct formative qualitative studies to identify community-level protective factors (e.g., intergenerational connection to Tribal Elders) and primary prevention strategies across a range of Tribal communities (i.e., urban and rural/reservation) to prevent adverse childhood experiences (ACEs) and opioid misuse.
- **Intended use of the resulting data:** To identify ways to prevent ACEs and opioid misuse before they occur, provide information regarding best available evidence at the outer levels of the social ecology, and extend the National Center for Injury Prevention and Control's current investments on identifying community-level resources, supports, and prevention strategies for children and their families in Tribal communities.
- **Methods to be used to collect:** A qualitative study will be used to collect data using in-person (or virtual) semi-structured focus groups/interviews. A brief demographic survey will be included. Sampling will be purposive and include key respondent groups (i.e., young adults, parents, and caregivers of American Indian/Alaska Native (AI/AN) children, Tribal Elders, and community leaders and service providers) in Tribal urban and rural/reservation communities.
- **The subpopulation to be studied:** Local people (e.g., parents and caregivers of AI/AN children, Tribal Elders) living in Tribal urban and rural/reservation communities, including adults 18 years or older affected by the opioid epidemic.
- **How data will be analyzed:** Data will be analyzed using well-established qualitative analysis methods, such as coding interviews for themes about perceptions of community level protective factors (e.g., access to primary prevention services).

**Non-Substantive Changes**

Based on feedback from engagement with tribal communities, we request two types of non-substantive changes to the demographic survey (Attachment I): (a) change to the way item is asked and (b) deletion/removal of item. See below including rationale for revisions.

### Change to Burden and/or Cost

These non-substantive changes do not include changes to the currently approved burden and/or costs.

#### A. Change to the way item is asked

Demographic survey item	Change to the way item is asked
7) Do you currently describe yourself as male, female, or transgender?	Revised 7) What is the gender identity you best identify with? _____ <input type="checkbox"/> Prefer not to answer
9) Which categories or category best describe your race? Check all that apply. <input type="checkbox"/> American Indian or Alaska Native <input type="checkbox"/> Asian <input type="checkbox"/> Black or African American <input type="checkbox"/> Hispanic or Latino <input type="checkbox"/> Native Hawaiian or Other Pacific Islander <input type="checkbox"/> White <input type="checkbox"/> I don't know <input type="checkbox"/> Prefer not to answer	Revised 9) In your own words, if you could describe your race or origin in any way you wanted, how would you describe yourself? _____ <input type="checkbox"/> Prefer not to answer

#### For demographic survey item on gender identity, rationale for revising by the tribal communities:

- Original response options are not inclusive of the many ways community members self-identify. The tribal partner sites want to respect the ways in which people self-identify and not force participants into a prescribed box. If someone feels like the response options do not represent their identity, they may opt to skip the question which limits the ability to collect quality data about the communities participating in the study. Moreover, the way the question is currently designed, does not allow participants to select more than one response option. For example, a transgender woman would not be able to accurately describe her gender.

#### For demographic survey item on race, rationale for revising by the tribal communities:

- Tribal partner site representatives felt that the race and ethnicity questions were not representative of how they self-identify. Particularly for individuals that identify as Latinx and American Indian/Alaska Native. The ethnicity question was confusing on its own. Given the diversity of the communities involved in the study, it feels most appropriate to allow space for participants to self-identify.

**A continued. Change to the way item is asked**

Demographic survey item	Change to the way item is asked
<p>11) Which is the main tribe you identify with? List name of tribe. List: _____</p>	<p>Revised 11) What tribe(s) do you identify with? List names of tribe(s). List: _____</p> <p><input type="checkbox"/> Prefer not to answer</p>
<p>15) Would you say your health is excellent, very good, good, fair, or poor? <input type="checkbox"/> Excellent <input type="checkbox"/> Very good <input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor <input type="checkbox"/> I don't know <input type="checkbox"/> Prefer not to answer</p>	<p>Revised 15) In the last two weeks, would you say your physical health was excellent, very good, good, fair, or poor (in the way you understand it)? <input type="checkbox"/> Excellent <input type="checkbox"/> Very good <input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor <input type="checkbox"/> I don't know <input type="checkbox"/> Prefer not to answer</p> <p>In the last two weeks, would you say your emotional health was excellent, very good, good, fair, or poor? <input type="checkbox"/> Excellent <input type="checkbox"/> Very good <input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor <input type="checkbox"/> I don't know <input type="checkbox"/> Prefer not to answer</p> <p>In the last two weeks, would you say your cultural and spiritual health was excellent, very good, good, fair, or poor? <input type="checkbox"/> Excellent <input type="checkbox"/> Very good <input type="checkbox"/> Good <input type="checkbox"/> Fair <input type="checkbox"/> Poor <input type="checkbox"/> I don't know <input type="checkbox"/> Prefer not to answer</p>

**B. Deletion/removal of item** (noted by ~~strikethrough~~).

Demographic survey item	Deletion/removal of item
<p>2) What is the highest level of education you have completed?</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> No formal education</li> <li><input type="checkbox"/> Grade school</li> <li><input type="checkbox"/> High school or equivalent</li> <li><input type="checkbox"/> 2-year junior or community college</li> <li><input type="checkbox"/> Vocational, business, or trade school</li> <li><input type="checkbox"/> 4-year college or university</li> <li><input type="checkbox"/> Graduate or professional school</li> <li><input type="checkbox"/> I don't know</li> <li><input type="checkbox"/> Prefer not to answer</li> </ul>	<p><del>2) What is the highest level of education you have completed?</del></p> <ul style="list-style-type: none"> <li><del><input type="checkbox"/> No formal education</del></li> <li><del><input type="checkbox"/> Grade school</del></li> <li><del><input type="checkbox"/> High school or equivalent</del></li> <li><del><input type="checkbox"/> 2-year junior or community college</del></li> <li><del><input type="checkbox"/> Vocational, business, or trade school</del></li> <li><del><input type="checkbox"/> 4-year college or university</del></li> <li><del><input type="checkbox"/> Graduate or professional school</del></li> <li><del><input type="checkbox"/> I don't know</del></li> <li><del><input type="checkbox"/> Prefer not to answer</del></li> </ul>
<p>3a) What is your best estimate of your household's current <b>total annual income</b> from all sources before taxes? (Include money from jobs, social security, retirement income, per capita payments, unemployment payments, public or tribal assistance such as housing supplements and so forth. Also include income from interest, dividends, net income from business, farm, or rent and any other money income).</p> <p>[Write amount] \$ _____</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> I don't know</li> <li><input type="checkbox"/> Prefer not to answer</li> </ul>	<p><del>3a) What is your best estimate of your household's current <b>total annual income</b> from all sources before taxes? (Include money from jobs, social security, retirement income, per capita payments, unemployment payments, public or tribal assistance such as housing supplements and so forth. Also include income from interest, dividends, net income from business, farm, or rent and any other money income).</del></p> <p><del>[Write amount] \$ _____</del></p> <ul style="list-style-type: none"> <li><del><input type="checkbox"/> I don't know</del></li> <li><del><input type="checkbox"/> Prefer not to answer</del></li> </ul>
<p>3b) If you responded to item 3a with "I don't know" or "Prefer not to answer," please indicate the range that best estimates your household's total annual income.</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Less than \$20,000</li> <li><input type="checkbox"/> \$20,001 to \$30,000</li> <li><input type="checkbox"/> \$30,001 to \$40,000</li> <li><input type="checkbox"/> \$40,001 to 50,000</li> <li><input type="checkbox"/> \$50,001 to \$60,000</li> <li><input type="checkbox"/> \$60,001 to \$70,000</li> <li><input type="checkbox"/> \$70,001 to \$80,000</li> <li><input type="checkbox"/> \$80,001 to \$90,000</li> <li><input type="checkbox"/> \$90,001 to \$100,000</li> <li><input type="checkbox"/> \$100,000 to \$135,000</li> <li><input type="checkbox"/> Higher than \$135,000</li> <li><input type="checkbox"/> I don't know</li> <li><input type="checkbox"/> Prefer not to answer</li> </ul>	<p><del>3b) If you responded to item 3a with "I don't know" or "Prefer not to answer," please indicate the range that best estimates your household's total annual income.</del></p> <ul style="list-style-type: none"> <li><del><input type="checkbox"/> Less than \$20,000</del></li> <li><del><input type="checkbox"/> \$20,001 to \$30,000</del></li> <li><del><input type="checkbox"/> \$30,001 to \$40,000</del></li> <li><del><input type="checkbox"/> \$40,001 to 50,000</del></li> <li><del><input type="checkbox"/> \$50,001 to \$60,000</del></li> <li><del><input type="checkbox"/> \$60,001 to \$70,000</del></li> <li><del><input type="checkbox"/> \$70,001 to \$80,000</del></li> <li><del><input type="checkbox"/> \$80,001 to \$90,000</del></li> <li><del><input type="checkbox"/> \$90,001 to \$100,000</del></li> <li><del><input type="checkbox"/> \$100,000 to \$135,000</del></li> <li><del><input type="checkbox"/> Higher than \$135,000</del></li> <li><del><input type="checkbox"/> I don't know</del></li> <li><del><input type="checkbox"/> Prefer not to answer</del></li> </ul>
<p>4) How many people (adults and children under the age of 18) currently live in your household? [Write number] _____</p>	<p><del>4) How many people (adults and children under the age of 18) currently live in your household? [Write number] _____</del></p>

<input type="checkbox"/> Prefer not to answer	<input checked="" type="checkbox"/> Prefer not to answer
<p>6) What sex were you assigned at birth, on your original birth certificate?</p> <input type="checkbox"/> Female <input type="checkbox"/> Male <input type="checkbox"/> I don't know <input type="checkbox"/> Prefer not to answer	<p><del>6) What sex were you assigned at birth, on your original birth certificate?</del></p> <input checked="" type="checkbox"/> Female <input checked="" type="checkbox"/> Male <input checked="" type="checkbox"/> I don't know <input checked="" type="checkbox"/> Prefer not to answer
<p>8) Which of the following options best describes your ethnicity?</p> <input type="checkbox"/> Hispanic or Latino <input type="checkbox"/> Not Hispanic or Latino <input type="checkbox"/> I don't know <input type="checkbox"/> Prefer not to answer	<p><del>8) Which of the following options best describes your ethnicity?</del></p> <input checked="" type="checkbox"/> Hispanic or Latino <input checked="" type="checkbox"/> Not Hispanic or Latino <input checked="" type="checkbox"/> I don't know <input checked="" type="checkbox"/> Prefer not to answer
<p>10) How many tribal nations do you identify with?</p> <input type="checkbox"/> One tribe <input type="checkbox"/> Two tribes <input type="checkbox"/> Three or more tribes <input type="checkbox"/> I don't know <input type="checkbox"/> Prefer not to answer	<p><del>10) How many tribal nations do you identify with?</del></p> <input checked="" type="checkbox"/> One tribe <input checked="" type="checkbox"/> Two tribes <input checked="" type="checkbox"/> Three or more tribes <input checked="" type="checkbox"/> I don't know <input checked="" type="checkbox"/> Prefer not to answer
<p>12) Which is the second tribe you identify with? List name of tribe. List: _____</p>	<p><del>12) Which is the second tribe you identify with? List name of tribe. List: _____</del></p>
<p>13) Are you enrolled in any tribal nation?</p> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> I don't know <input type="checkbox"/> Prefer not to answer	<p><del>13) Are you enrolled in any tribal nation?</del></p> <input checked="" type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input checked="" type="checkbox"/> I don't know <input checked="" type="checkbox"/> Prefer not to answer
<p>14) In which tribal nation are you enrolled? List name of tribal nation. List: _____</p>	<p><del>14) In which tribal nation are you enrolled? List name of tribal nation. List: _____</del></p>