Mini Supporting Statement A

Cancer Prevention Fellowship Program Fellowship Program Application (NCI)

Sub-study under, "Generic Clearance for Application Information for Fellowship, Internships, Training Programs, and Specialty Positions (National Cancer Institute)"

OMB No. 0925-0761

Expiration Date: 07/31/2025

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List of Attachments

Attachment 1: Fellowship application – applicant perspective Attachment 2: Fellowship application – referee perspective Attachment 3: Fellowship application – advisor perspective Attachment 4- System generated emails Attachment 5- Privacy Act Memo

Attachment 6- PIA

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A.1 Circumstance Making the Collection of Information Necessary

The CPFP supports NCI's goal of training cancer researchers for the 21st century. The CPFP supports that goal by providing state-of-the-art cancer prevention and control training to a cadre of scientists and clinicians. The legal authority granted to NIH to train future biomedical scientists comes from several sources. Title 42 of the U.S. Code, Sections 241 and 282(b)(13) authorize the Director, NIH, to conduct and support research training for which fellowship support is not provided under Part 487 of the Public Health Service (PHS) Act (i.e., National Research Service Awards) and that is not residency training of physicians or other health professionals. Sections 405(b)(1)(C) of the PHS Act and 42 U.S.C. Sections 284(b)(1)(C)] and 285-287 grant this same authority to the Director of each of the Institutes/Centers at NIH.

The CPFP recruits' fellows and participants annually through web-based application systems. The application system allows people to apply to the fellowship program. The application system also provides tools to the NCI CPFP to process applications and evaluate the applicant pools.

A.2 Purpose and Use of the Information Collection

Fellows and participants are identified and enrolled in the program through the CPFP application system. The purpose of the applications (Attachment 1) is to assure that prospective trainees to the CPFP meet basic eligibility requirements; to assess their potential as future scientists; to determine where mutual research interests exist, and to decide which applicants will be eligible and invited to attend. To receive due consideration, the prospective trainee must complete all required application fields (Attachment 1), and contributors must provide information about the applicant. The contributors will submit short forms and recommendations (Attachment 2 and Attachment 3) for the program to assess applicant potential as cancer researchers. The contributor is typically a professor but can also be a dissertation committee member, advisor, or supervisor.

CPFP has been using the application forms to collect information since 2005. The CPFP application utilizes many of the following information fields:

- Personal information (name, date of birth, fluencies);
- Eligibility information (citizenship, certification questions, previous or current affiliation with NIH, trainee status);
- Contact information (mailing, e-mail, phone for current, permanent, and future address);
- Training program selection;
- Scientific discipline interests (research interests, medical entity/disease);
- Educational history (university, academic major, attendance dates, degree awarded/anticipated, transcripts);
- Employment history and interests (type of employment, organization, department, address, title);

- Reference information (name, contact information);
- Resume components (CV, research experience, publications, presentations, awards/honors, extracurricular activities, personal statement);
- Sensitive information (gender, race, ethnicity)
- Justification for applying to the program
- Identification information for non-U.S. citizens (passport biography, visa documentation)

Since 2019, we have received a total of 186 Fellowship Program applications. These applications came directly to the CPFP and allowed for circulation and review of applications by CPFP leadership and members of the CPFP Scientific Education Committee (SEC). In addition to the review of application data for acceptance into our program, data was used to inform programmatic marketing decisions, conduct qualitative and quantitative analyses of the personal statements and research interests, and draft reports on the state of the program to NCI leadership.

A.3 Use of Information Technology and Burden Reduction

Prospective trainees must apply directly to CPFP for admission. The application is web-based and accessible through the CPFP: <u>applications.cpfp.cancer.gov</u>. The applicant will receive automated emails confirming receipt and prompting further action (Attachment 4). In addition, the online application system triggers emails sent to the various contributors involved with the Fellowship Application (Attachment 4).

The NCI Privacy Act Coordinator was consulted and determined that a Privacy Impact Assessment (PIA) is required. **(Attachment 6)**.

A.4 Efforts to Identify Duplication and Use of Similar Information

This information will not be collected anywhere else and is unique to this program.

A.5 Impact on Small Businesses or Other Small Entities

No small businesses or other small entities are affected.

A.6 Consequences of Collecting the Information Less Frequent

This information is collected on an annual basis. Without the approval to collect applications specific to the program's needs, the CPFP would be compromised in its ability to identify highly qualified trainees and unable to carry out programmatic activities.

A.7 Special Circumstances Relating to the Guidelines of 5 CFR 1320.5

This information collection is consistent with these guidelines.

A.8 Comments in Response to the Federal Register Notice and Efforts to Consult Outside Agency

N/A

A.9 Explanation of Any Payment or Gift to Respondents

Neither payments nor gifts will be provided to respondents.

A.10 Assurance of Confidentiality Provided to Respondents

All information will be kept private to the extent allowable by law. Review committees within the Department of Health and Human Services (HHS) will be able to have access to the applications. These individuals are assigned login credentials, including strong passwords that conform to standards used by the NIH Center for Information Technology (CIT). The online tools these individuals use to access applicant data are restricted to CPFP-approved users. Applicants submit their information via password-protected platforms, as well. Additionally, contributors are sent a unique, randomly generated URL. This takes them to a particular page to upload the requested documents.

The CPFP website, applicant data, and online access tools are stored and hosted in the Information Management Services, Inc. (IMS) data center. The IMS data center and network are protected at all entry points by firewalls and intrusion detection devices. Physical controls such as user/group authorization, encryption of data at rest, and weekly security/virus scans are employed in the data center to ensure continued data security while at IMS. All IMS employees are required to complete yearly security training.

The Privacy Act is applicable as determined by the NIH Privacy Officer in the Privacy Act Memo (Attachment 5). The applicable System of Record Notice (SORN) is NIH Privacy Act SORN 09-25-0014; "Clinical Research: Student Records."

A.11 Justification for Sensitive Questions

No sensitive questions are contained in this information collection. Personally Identifiable Information (PII) is collected, including name, contact information, education, proof of citizenship which may include passport/visa information, and employment history. Federal regulations for the protection of human subjects do not apply to this activity. PII, such as passport information and employment verification letters, are purged at the end of each application cycle.

A.12 Estimated Annualized Burden Hours and Cost to Respondents

The total number of respondents is estimated at 632, with a total annual burden of 288 hours (Table A.12-1). Over a three-year information collection period, this amounts to an estimated 1,896 respondents and approximately 864 hours.

Form Name	Type of Respondent	Number of Respondents*	Number of Responses Per Respondent	Average Burden Per Response (in hours)	Total Annual Burden Hours
Fellowship Application	Individuals	116	1	1	116
Reference Recommendation Letters	Individuals	464	1	20/60	155
Letters of Assurance	Individuals	52	1	20/60	17
Totals	•		632		288

Table A.12.1 Estimated Annualized Burden Hours

Table A.12.2 A	Annualized Cost t	o the Respondents
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Type of Respondent	Total Annual Burden Hours	Hourly Wage Rate*	Respondent Cost	
Individuals -	116	\$28.01	\$3,249.16	
Student Applicants	110	\$20.01		
Individuals –	172	\$47.32	\$8,139.04	
Referee & Advisor	1/2	ψ47.52	\$0,135.04	
Total			\$11,388.20	

*The Mean Hourly Wage Rate was obtained from the Bureau of Labor Statistics for job code "All-Occupations" 00-0000 and job code "Life Sciences Teachers, Postsecondary" 25-1040, 25-1040, <u>https://www.bls.gov/oes/2021/May/oes_nat.htm#00-0000</u>.

A.13 Estimate of Other Total Annual Cost Burden to Respondents or Record Keepers

There are no additional costs to report.

A.14 Annualized Cost to the Federal Government

The annual cost to the Federal Government is estimated to be \$21,716.55 (Table A.14.1). The federal personnel is responsible for the review of data collected via the application mechanisms. The contractor's tasks include designing, implementing, and maintaining active systems.

Cost Descriptions	Grade/Step	Salary**	% of Effort	Fringe (if applicable)	Total Cost to Gov't
Federal Oversight					
Program Manager	15/7	\$176,300	3%		\$5,298.39
Scientist	14/6	\$147,272	3%		\$4,418.16
Contractor Cost					\$12,000
Travel					\$0
Other Cost					\$0
Total					\$21,716.55

Table A.14.1. Annualized Cost to the Federal Government

**The Salary in the table above is cited from: <u>https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/22Tables/html/DCB.aspx.</u>

A.15 Explanation for Program Changes or Adjustments

N/A

A.16 Plans for Tabulation and Publication and Project Time Schedule

Applications will be used to determine the eligibility of applicants for CPFP programs. The data from the application system will also be used for annual program assessments, reviews, and reports to NCI leadership. It is anticipated that the data may be analyzed better to understand the training needs of early-career scientists. The analysis will include de-identification of data, data cleaning, and a statistical method that is not yet determined. If findings are relevant and advance the fields of postdoctoral and cancer prevention training, results may be published.

Table A.16.1. Project Time Schedule

Activity	Months after OMB Approval	
Application system(s) accepting information	Months 0 – 10	
Analysis of information received	Months 4 - 10	
Summarize results	Months 11 - 12	

A.17 Reason(s) Display of OMB Expiration Date Is Inappropriate

There is no request for exemption from displaying the expiration date for OMB approval.

A.18 Exceptions to Certification for Paperwork Reduction Act Submissions

There are no exceptions to the Certification for Paperwork Reduction Act Submissions.