

Proposed 2023 CMS-10630 (Prior to 60-Day Comment Period)	Summary of Changes in Proposed CMS-10630 (Following 60-Day Comment Period)	Type of Change	Explanation of Changes	Burden Impact
AttachmentIPACEAuditProtocol, Initial Documentation and Data Submissions	<ul style="list-style-type: none"> Removed requests for self-evaluations and audits conducted as part of the compliance program Modified request for monitoring and tracking reports by limiting it to 30 participants selected by CMS Removed request for an Observation Participant List (Audit Engagement Letter, Attachment IV) 	Modify	Modified documentation requests to reduce burden based on comments received	High (reduction)
AttachmentIPACEAuditProtocol, Audit Elements, Provision of Services	<ul style="list-style-type: none"> Made minor changes to sample case documentation section for medical records 	Modify	Clarified instructions	None
AttachmentIPACEAuditProtocol, Compliance and Quality Improvement	<ul style="list-style-type: none"> Removed request for self-evaluations and audits conducted as part of the compliance program Clarified that documentation of investigations into compliance issues must include the results of those investigations 	Modify	Modified documentation request to reduce burden based on comments received	Moderate (reduction)
AttachmentIPACEAuditProtocol, Appendix A, Table 1: Service Determination Requests (SDR) Record Layout <ul style="list-style-type: none"> 23 columns in the Record Layout 	<ul style="list-style-type: none"> Modified 1 data field to clarify instructions 	Modify	Clarified instructions based on comments received	None
AttachmentIPACEAuditProtocol, Appendix A, Table 2: Appeal Requests (AR) Record Layout <ul style="list-style-type: none"> 18 columns in the Record Layout 	<ul style="list-style-type: none"> Modified 1 data field to clarify instructions 	Modify	Clarified instructions based on comments received	None
AttachmentIPACEAuditProtocol, Appendix A, Table 4: List of Personnel (LOP) Record Layout <ul style="list-style-type: none"> 11 columns in the Record Layout 	<ul style="list-style-type: none"> Added 1 new data field Removed 2 data fields 	Modify	Modified data request to reduce burden based on comments received	Low (reduction)
AttachmentIPACEAuditProtocol, Appendix A, Table 5: List of Participant Medical Records (LOPMR) Record Layout <ul style="list-style-type: none"> 42 columns in the Record Layout 	<ul style="list-style-type: none"> Removed 1 data field Modified 3 data fields to clarify instructions 	Modify	Clarified instructions based on comments, Modified data request to reduce burden based on comments received	Low (reduction)

Proposed 2023 CMS-10630 (Prior to 60-Day Comment Period)	Summary of Changes in Proposed CMS-10630 (Following 60-Day Comment Period)	Type of Change	Explanation of Changes	Burden Impact
AttachmentIPACEAuditProtocol, Appendix A, Table 6: On-Call (OC) Record Layout <ul style="list-style-type: none"> 10 columns in the Record Layout 	<ul style="list-style-type: none"> Removed 1 data field 	Modify	Modified data request to reduce burden based on comments received	Low (reduction)
AttachmentIPACEAuditProtocol, Appendix A, Table 7: Contracted Entities and Providers (CEP) Record Layout. <ul style="list-style-type: none"> 7 columns in the Record Layout 	<ul style="list-style-type: none"> Removed 1 data field Modified 3 data fields to clarify instructions and rename 1 data field. 	Modify	Modified data request to reduce burden based on comments received	Low (reduction)
Attachment_IV_Obs_ParticipantList	<ul style="list-style-type: none"> Removed Attachment_IV_Obs_ParticipantList 	Modify	Modified data request to reduce burden based on comments received	High (reduction)
AttachmentVAuditSurvey	<ul style="list-style-type: none"> Renamed attachment to "AttachmentIVAuditSurvey" 	Modify	Technical Change	None
AttachmentVICorrectiveActionPlanProcess	<ul style="list-style-type: none"> Renamed attachment to "AttachmentVICorrectiveActionPlanProcess" 	Modify	Technical Change	None
AlertIDT1P14 Impact Analysis <ul style="list-style-type: none"> 22 columns on participant impact tab 	AlertIDT1P14 Impact Analysis: <ul style="list-style-type: none"> Modified 2 columns on the participant impact tab 	Modify	Minor wording changes for clarity	None
Appeals1P651P661P681P73 Impact Analysis <ul style="list-style-type: none"> 42 columns on the participant impact tab 	Appeals1P651P661P681P73 Impact Analysis: <ul style="list-style-type: none"> Modified 6 columns on the participant impact tab 	Modify	Minor wording changes for clarity	None
Assessments1P491P50 Impact Analysis <ul style="list-style-type: none"> 31 columns on the participant impact tab 	Assessments1P491P50 Impact Analysis: <ul style="list-style-type: none"> Modified 4 columns on the participant impact tab 	Modify	Minor wording changes for clarity	None
CoordinationofCare1P95 <ul style="list-style-type: none"> 25 columns on the participant impact tab 	CoordinationofCare1P95: <ul style="list-style-type: none"> Modified the instructions tab Modified 5 columns on the participant impact tab 	Modify	Modified data request to reduce burden based on comments received, Wording changes for clarity	Low (reduction)
Effectuation1P021P111P30 Impact Analysis <ul style="list-style-type: none"> 36 columns on the participant impact tab 	Effectuation1P021P111P30 Impact Analysis: <ul style="list-style-type: none"> Modified the instructions tab Modified 8 columns on the participant impact tab 	Modify	Minor wording changes for clarity	None

Proposed 2023 CMS-10630 (Prior to 60-Day Comment Period)	Summary of Changes in Proposed CMS-10630 (Following 60-Day Comment Period)	Type of Change	Explanation of Changes	Burden Impact
EmergencyCare1P07 Impact Analysis: <ul style="list-style-type: none"> 27 columns on the participant impact tab 	EmergencyCare1P07 Impact Analysis: <ul style="list-style-type: none"> Modified 1 column on the participant impact tab 	Modify	Minor wording changes for clarity	None
Grievances1P311P751P77 Impact Analysis <ul style="list-style-type: none"> 29 columns on the participant impact tab 	Grievances1P311P751P77 Impact Analysis: <ul style="list-style-type: none"> Modified 6 columns on the participant impact tab 	Modify	Minor wording changes for clarity	None
HomeCare1P02 Impact Analysis <ul style="list-style-type: none"> 16 columns on participant impact tab 	HomeCare1P02 Impact Analysis: <ul style="list-style-type: none"> Modified 2 columns on the participant impact tab 	Modify	Minor wording changes for clarity	None
MedErrors1P02 Impact Analysis <ul style="list-style-type: none"> 22 columns on participant impact tab 	MedErrors1P02 Impact Analysis: <ul style="list-style-type: none"> Modified 2 columns on the participant impact tab 	Modify	Minor wording changes for clarity	None
Personnel Impact Analysis <ul style="list-style-type: none"> 25 columns on the participant impact tab 	Personnel Impact Analysis: <ul style="list-style-type: none"> Modified 6 columns on the participant impact tab 	Modify	Minor wording changes for clarity	None
ProvisionofServices1P02 Impact Analysis <ul style="list-style-type: none"> 19 columns on the participant impact tab 	ProvisionofServices1P02 Impact Analysis: <ul style="list-style-type: none"> Modified the instructions tab Modified 1 column on the participant impact tab 	Modify	Minor wording changes for clarity	None
RequiredServices1P93 <ul style="list-style-type: none"> 29 columns on the participant impact tab 	RequiredServices1P93 <ul style="list-style-type: none"> Modified 14 columns on the participant impact tab 	Modify	Minor wording changes for clarity	None
Restraints1P09 Impact Analysis <ul style="list-style-type: none"> 26 columns on the participant impact tab 	Restraints1P09 Impact Analysis: <ul style="list-style-type: none"> Modified 3 columns on the participant impact tab 	Modify	Minor wording changes for clarity	None
SDRIDentification1P76 Impact Analysis <ul style="list-style-type: none"> 21 columns on participant impact tab 	SDRIDentification1P76 Impact Analysis: <ul style="list-style-type: none"> Modified 2 columns on the participant impact tab 	Modify	Minor wording changes for clarity	None
SDRs1P601P611P85 Impact Analysis <ul style="list-style-type: none"> 27 columns on participant impact tab 	SDRs1P601P611P85 Impact Analysis: <ul style="list-style-type: none"> Modified 5 columns on the participant impact tab 	Modify	Minor wording changes for clarity	None
SpecialistRecommendations1P14 <ul style="list-style-type: none"> 24 columns on participant impact tab 	SpecialistRecommendations1P94 <ul style="list-style-type: none"> Renamed to “SpecialistRecommendations 1P94” Modified 12 columns on the participant impact tab 	Modify	Renamed document, Minor wording changes for clarity	None

Proposed 2023 CMS-10630 (Prior to 60-Day Comment Period)	Summary of Changes in Proposed CMS-10630 (Following 60-Day Comment Period)	Type of Change	Explanation of Changes	Burden Impact
SrvcRestrict1P90 Impact Analysis <ul style="list-style-type: none"> • 19 columns on the participant impact tab 	SrvcRestrict1P90 Impact Analysis: <ul style="list-style-type: none"> • Modified 1 column on the participant impact tab 	Modify	Minor wording changes for clarity	None
WoundCare1P02 Impact Analysis: <ul style="list-style-type: none"> • 24 columns on the participant impact tab 	WoundCare1P02 Impact Analysis: <ul style="list-style-type: none"> • Modified 1 column on the participant impact tab 	Modify	Minor wording changes for clarity	None