

## **B. STATE PLAN FOR TITLE IV-E OF THE SOCIAL SECURITY ACT: PREVENTION SERVICES AND PROGRAMS**

### **STATE OF \_\_\_\_\_**

U.S. Department of Health and Human Services  
Administration for Children and Families  
Children's Bureau  
November 2018

- SECTION 1. Service description and oversight
- SECTION 2. Evaluation strategy and waiver request
- SECTION 3. Monitoring child safety
- SECTION 4. Consultation and coordination
- SECTION 5. Child welfare workforce support
- SECTION 6. Child welfare workforce training
- SECTION 7. Prevention caseloads
- SECTION 8. Assurance on prevention program reporting
- SECTION 9. Child and family eligibility for the title IV-E prevention program

- ATTACHMENT I: State title IV-E prevention program reporting assurance
- ATTACHMENT II: State request for waiver of evaluation requirement for a well-supported practice
- ATTACHMENT III: State assurance of trauma-informed service-delivery
- ATTACHMENT IV: State annual maintenance of effort (MOE) report

As a condition of the receipt of Prevention Services and Program funds under title IV-E of the Social Security Act (hereinafter, the Act), the

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(Name of State Agency)

submits here a plan to provide, in appropriate cases, Prevention Services and Programs under title IV-E of the Act and hereby agrees to administer the programs in accordance with the provisions of this plan, title IV-E of the Act, and all applicable Federal regulations and other official issuances of the Department. This Pre-print is provided as an option for title IV-E agencies to use over the course of the five years that the Prevention Services and Programs Plan is in effect.

The state agency understands that if and when title IV-E is amended or regulations are revised, a new or amended plan for title IV-E that conforms to the revisions must be submitted.

Federal Regulatory/Statutory References <sup>1</sup>	Requirement	State Regulatory, Statutory, and Policy References and Citations for Each
<b>Section 1. Services Description and Oversight</b>		
<b>471(e)(1)</b>	<p>A. SERVICES.</p> <p>The state agency provides the following services or programs for a child and the parents or kin caregivers of the child when the need of the child, such a parent, or such a caregiver for the services or programs are directly related to the safety, permanence, or well-being of the child or to preventing the child from entering foster care:</p> <ol style="list-style-type: none"> <li>1. MENTAL HEALTH AND SUBSTANCE ABUSE PREVENTION AND TREATMENT SERVICES.—Mental health and substance abuse prevention and treatment services provided by a qualified clinician for not more than a 12-month period that begins on any date described in paragraph (3) of Section 471(e) with respect to the child.</li> <li>2. IN-HOME PARENT SKILL-BASED PROGRAMS.—In-home parent skill-based programs for not more than a 12-month period that begins on any date described in paragraph (3) of Section 471(e) with respect to the child and that include parenting skills training, parent education, and individual and family counseling.</li> </ol>	
<b>471(e)(5)(B)(i)</b>	<p>B. OUTCOMES. The state agency provides services and programs specified in paragraph 471(e)(1) is expected to improve specific outcomes for children and families.</p>	
<b>471(e)(5)(B)(iii)(I)(IV)</b> <b>471(e)(4)(B)</b>	<ol style="list-style-type: none"> <li>1. the services or programs selected by the state, and whether the practices used are promising, supported, or well supported;</li> <li>2. how the state plans to implement the services or programs, including how implementation of the services or programs will be continuously monitored to ensure fidelity to the practice model and to determine outcomes achieved and how information learned from the monitoring will be used to</li> </ol>	Attachment III

<sup>1</sup> Statutory references refer to the Social Security Act. Regulatory references refer to Title 45 of the Code of Federal Regulations (CFR).

Federal Regulatory/Statutory References	Requirement	State Regulatory, Statutory, and Policy References and Citations for Each
	refine and improve practices; 3. how the state selected the services or programs; 4. the target population for the services or programs; 5. an assurance that each prevention or family service or program provided by the state meets the requirements at section 471(e)(4)(B) of the Act related to trauma-informed service-delivery (states must submit Attachment III for each prevention or family service or program); and 6. how each service or program provided will be evaluated.	
<b>Section 2. Evaluation strategy and waiver request</b>		
<b>471(e)(5)(B)(iii)(V)</b>	A. PRACTICES. With respect to the prevention family services and programs specified in subparagraphs (A) and (B) of paragraph 471(e)(1), information on the specific practices state plans to use to provide the services or programs, including a description of how each service or program provided will be evaluated through a well-designed and rigorous process, which may consist of an ongoing, cross-site evaluation approved by the Secretary, unless a waiver is approved for a well-supported practice; and	
<b>471(e)(5)(C)(ii)</b>	B. REQUEST FOR WAIVER OF WELL DESIGNED, RIGOROUS EVALUATION OF SERVICES AND PROGRAMS FOR A WELL-SUPPORTED PRACTICE. The state must provide evidence of the effectiveness of the practice to be compelling and the state meets the continuous quality improvement requirements included in subparagraph 471(e)(5)(B)(iii)(II) with regard to the practice.	Attachment II
<b>Section 3. Monitoring child safety</b>		
<b>471(e)(5)(B)(ii)</b>	The state agency monitors and oversees the safety of children who receive services and programs specified in paragraph 471(e)(1), including through periodic risk assessments throughout the 12-month period in which the services and programs are provided on behalf of a child and reexamination of the prevention plan maintained for the child	

Federal Regulatory/Statutory References	Requirement	State Regulatory, Statutory, and Policy References and Citations for Each
	under paragraph 471(e)(4) for the provision of the services or programs if the state determines the risk of the child entering foster care remains high despite the provision of the services or programs.	
<b>Section 4. Consultation and coordination</b>		
<b>471(e)(5)(B)(iv) and (vi)</b>	<p>A. The state must:</p> <ol style="list-style-type: none"> <li>1. engage in consultation with other state agencies responsible for administering health programs, including mental health and substance abuse prevention and treatment services, and with other public and private agencies with experience in administering child and family services, including community-based organizations, in order to foster a continuum of care for children described in paragraph 471(e)(2) and their parents or kin caregivers and</li> <li>2. describe how the services or programs specified in paragraph (1) of section 471(e) provided for or on behalf of a child and the parents or kin caregivers of the child will be coordinated with other child and family services provided to the child and the parents or kin caregivers of the child under the state plans in effect under subparts 1 and 2 of part B.</li> </ol>	
<b>Section 5. Child welfare workforce support</b>		
<b>471(e)(5)(B)(vii)</b>	<p>The state agency supports and enhances a competent, skilled, and professional child welfare workforce to deliver trauma-informed and evidence-based services, including—</p> <ol style="list-style-type: none"> <li>A. ensuring that staff is qualified to provide services or programs that are consistent with the promising, supported, or well supported practice models selected; and</li> <li>B. developing appropriate prevention plans, and conducting the risk assessments required under clause (iii) of section 471(e)(5)(B).</li> </ol>	
<b>Section 6. Child welfare workforce training</b>		

<b>Federal Regulatory/Statutory References</b>	<b>Requirement</b>	<b>State Regulatory, Statutory, and Policy References and Citations for Each</b>
<b>471(e)(5)(B)(viii)</b>	The state provides training and support for caseworkers in assessing what children and their families need, connecting to the families served, knowing how to access and deliver the needed trauma informed and evidence-based services, and overseeing and evaluating the continuing appropriateness of the services.	
<b>Section 7. Prevention caseloads</b>		
<b>471(e)(5)(B)(ix)</b>	The state must describe how caseload size and type for prevention caseworkers will be determined, managed, and overseen.	
<b>Section 8. Assurance on prevention program reporting</b>		
<b>471(e)(5)(B)(x)</b>	The state provides an assurance in Attachment I that it will report to the Secretary such information and data as the Secretary may require with respect to the provision of services and programs specified in paragraph 471(e)(1), including information and data necessary to determine the performance measures for the state under paragraph 471(e)(6) and compliance with paragraph 471(e)(7).	Attachment I
<b>Section 9. Child and family eligibility for the title IV-E prevention program</b>		
<b>471(e)(2)</b>	<p>A. CHILD DESCRIBED.—For purposes of the title IV-E prevention services program, a child is:</p> <ol style="list-style-type: none"> <li>1. A child who is a candidate for foster care (as defined in section 475(13)) but can remain safely at home or in a kinship placement with receipt of services or programs specified in paragraph (1) of 471(e).</li> <li>2. A child in foster care who is a pregnant or parenting foster youth.</li> </ol>	

Title IV-E Plan - State of \_\_\_\_\_

**PLAN SUBMISSION CERTIFICATION**

Instructions: This Certification must be signed and submitted by the official authorized to submit the title IV-E plan, and each time the state submits an amendment to the title IV-E plan.

I \_\_\_\_\_ (name) hereby certify that I am authorized to submit the title IV-E Plan on behalf of \_\_\_\_\_ (state). I also certify that the title IV-E plan was submitted to the governor for his or her review and approval in accordance with 45 CFR 1356.20(c)(2) and 45 CFR 204.1.

Date \_\_\_\_\_ (Signature)

\_\_\_\_\_  
(Title)

APPROVAL DATE:

\_\_\_\_\_

EFFECTIVE DATE:

\_\_\_\_\_

\_\_\_\_\_  
(Signature, Associate Commissioner, Children's Bureau)