



## REPLACEMENT DOCUMENT (CITES/ESA/MMPA/WBCA/LACEY ACT)

☐ New ☐ Reissue/Renew ☐ Amendment



Complete Sections **A** or **B**, and C, D, and E of this application. U.S. address may be required in Section C.\*\*

<b>A. Complete if applying as an individual</b>							
1.a. Last name		1.b. First name		1.c. Middle name or initial		1.d. Suffix	
2. Date of birth (mm/dd/yyyy)		5.a. Telephone number		5.b. Alternate telephone number		6. E-mail address	

<b>B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution</b>							
1.a. Name of business, agency, Tribe, or institution			1.b. Doing business as (dba)				
2. Tax identification no.		3.a. Description of business, agency, Tribe, or institution			3.b. Website URL (if applicable)		
4.a. Principal officer (P.O.) last name		4.b. P.O. first name		4.c. P.O. middle initial		4.b. P.O. Title	
5. Primary contact name				6. Primary e-mail address			
7.a. Business telephone number			7.b. Alternate phone no.			8.a. Primary contact telephone no.	

<b>C. All applicants complete address information</b>					
1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes)					
1.b. City		1.c. State	1.d. Zip code/Postal code	1.e. County/Province	1.f. Country
2.a. Mailing Address (include if different than physical address; include name of contact person if applicable)					
2.b. City		2.c. State	2.d. Zip code/Postal code	2.e. County/Province	2.f. Country

<b>D. All applicants MUST complete</b>	
1. Include a check or money order, payable to the U.S. FISH AND WILDLIFE SERVICE, a <b>nonrefundable processing fee</b> ( <b>see the fee schedule</b> ). Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – <b>attach documentation of fee exempt status as outlined in instructions</b> . (50 CFR 13.11(d))	
2. If you are requesting a reissue/renew/amendment, what is your permit/file number?	
3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.	
The individual/principal officer of the business must print and sign the application. (No photocopied or stamped signatures)	
Date (mm/dd/yyyy)	

\*\* Click here for additional instructions on completing the above form ([link to instruction page](#)). See the last page for information on the Privacy Act, Paperwork Reduction Act, Estimated Burden, and Freedom of Information Act aspects of this application form.

**Mail your application(s) to Division of Management Authority, Branch of Permits, MS:IA 5275 Leesburg Pike, Falls Church, VA 22041-3803.**

## E. REPLACEMENT DOCUMENT (CITES/ESA/MMPA/WBCA/LACEY ACT)

**NOTE 1:** Use this application to request a replacement document if your currently valid permit has been lost or misplaced (for this application, all permits, registrations, and certificates are referred to as a permit).

**NOTE 2:** The application **must** be submitted to the office that issued the initial permit.

Provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you submit, indicate the application question number you are addressing.

1. Name and address where you wish the permit to be mailed, **if different from page 1**. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via regular mail through the U.S. Postal Service.
  
2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?
  
3. Have you or any of the owners of the business (if applying as a business, corporation, or institution), been assessed a civil penalty or convicted of any criminal provision of any statute or regulation relating to the activity for which the application is filed; been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act; forfeited collateral; OR are currently under charges for any violation of the laws mentioned above?

No      Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court, and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. Identify the permit number (found in the upper right corner) of the permit needing replacement, if known:
  
5. Current location of specimen (include address and country):
  
6. If the shipment has already occurred (i.e., the document was lost or damaged in transit), provide copies of:
  - a. Cancelled CITES export or re-export document validated by OLE;
  - b. For wildlife, cleared Declaration for Importation or Exportation of Fish or Wildlife (Form 3-177); **AND**

- c. Any correspondence you had with the shipper or importing country's Management Authority concerning the shipment.

\*Please be aware that if the permit was lost in transit, the issued permit will be forwarded to the Management Authority of the foreign country.

- 7. If the shipment has **not** occurred and the original permit no longer exists, submit a signed, dated, and notarized statement that:
  - a. Refers to the permit number and describes the circumstances that resulted in the loss or destruction of the original permit;
  - b. Includes a statement that affirms the shipment did not occur;
  - c. You are requesting a replacement U.S. CITES permit;
  - d. If found, the original permit will be returned to the Division of Management Authority.
- 8. If the shipment has **not** occurred and the original permit exists but has been damaged, submit the original damaged permit and a signed, dated, and notarized statement that:
  - a. Describes the circumstances that resulted in the permit being damaged;
  - b. Includes a statement that affirms the shipment did not occur;
  - c. You are requesting a replacement U.S. CITES permit.

**All international shipment(s) must be through a designated port. [A list of designated ports](#) (where an inspector is posted) is available. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).**

## CITES Permit Offices

**NOTE:** The application **must** be submitted to Division of Management Authority or to the Office of Law Enforcement in the region where the applicant is located.

### Division of Management Authority (DMA)

5275 Leesburg Pike, MS-IA  
Falls Church, VA 22041-3803

### Office of Law Enforcement (OLE)

#### Anchorage

U.S. Fish & Wildlife Service  
Office of Law Enforcement  
P.O. Box 190045  
4600 Postmark Drive, Suite NB207  
Anchorage, AK 99519  
Phone: (907) 271-6198  
Fax: (907) 271-6199

#### Atlanta

U.S. Fish & Wildlife Service  
Office of Law Enforcement  
4341 International Parkway, Suite #104  
Atlanta, GA 30354  
Phone: (404) 763-7959  
Fax: (404) 366-7031

#### Blaine, WA

U.S. Fish & Wildlife Service  
Office of Law Enforcement  
9925 Pacific Highway, Room 136  
Blaine, WA 98230  
Phone: (360) 332-5388  
Fax: (360) 332-3010

#### Chandler, AZ

U.S. Fish & Wildlife Service  
Office of Law Enforcement  
2545 W. Frye Road, Suite 8  
Chandler, AZ 85224-6273  
Phone: (480) 967-2007  
Fax: (480) 966-9766

#### Denver, CO

U.S. Fish & Wildlife Service  
Office of Law Enforcement  
Denver International Airport  
P.O. Box 492098  
Denver, CO 80249  
Phone: (303) 342-7430  
Fax: (303) 342-7433

#### Fairbanks

U.S. Fish & Wildlife Service  
Office of Law Enforcement  
1412 Airport Way  
Fairbanks, AK 99701  
Phone: (907) 456-2335  
Fax: (907) 456-2356

#### Guam

U.S. Fish & Wildlife Service  
Office of Law Enforcement  
415 Chalan San Antonio Road, Suite 209  
Tamuning, Guam 96913-3620  
Phone: (671) 647-6064  
Fax: (671) 647-6068

#### Honolulu, HI

U.S. Fish & Wildlife Service  
Office of Law Enforcement  
3375 Koapaka St., #B296  
Honolulu, HI 96819-1867  
Phone: (808) 861-8525  
Fax: (808) 861-8515

#### Los Angeles

U.S. Fish & Wildlife Service  
Office of Law Enforcement  
370 Amapola Ave., #114  
Torrance, CA 90501  
Phone: (310) 328-6307  
Fax: (310) 328-6399

#### Miami

U.S. Fish & Wildlife Service  
Office of Law Enforcement  
3701 NW 82nd Avenue, Ste #1  
Doral, Florida 33166  
Phone: (305) 526-2994 or 2620  
Fax: (305) 526-7480

#### New Orleans

Mail:  
U.S. Fish & Wildlife Service  
Office of Law Enforcement  
61387 Hwy 434  
Lacombe, LA 70445

#### Physical:

U.S. Fish & Wildlife Service  
Office of Law Enforcement  
2424 Edenborn, Room 100  
Metairie, LA 70001  
Phone: (504) 219-8870  
Fax: (504) 219-8868

#### Portland, OR

U.S. Fish & Wildlife Service  
Office of Law Enforcement  
P.O. Box 55206  
Portland, OR 97238  
Phone: (503) 231-6135  
Fax: (503) 231-6133

#### Rosemont, IL

U.S. Fish & Wildlife Service  
Office of Law Enforcement  
10600 Higgins Road, Suite 200  
Rosemont, IL 60018  
Phone: (847) 298-3250 Ext. 110  
Fax: (847) 298-7669

#### San Francisco

1633 Old Bayshore Highway, Suite 248  
Burlingame, CA 94010  
Phone: (650) 876-9078  
Fax: (650) 876-9701

#### Seattle

U.S. Fish and Wildlife Service  
Office of Law Enforcement  
17930 International Blvd. Suite 500  
Seatac WA 98188

#### Valley Stream, NY

U.S. Fish & Wildlife Service  
Office of Law Enforcement  
70 E. Sunrise Hwy, Suite 419  
Valley Stream, NY 11580  
Phone: (516) 825-3950  
Fax: (516) 825-3597

## NOTICES

### PRIVACY ACT STATEMENT

**Authority:** The information requested is authorized by the following: the Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22; the Endangered Species Act (16 U.S.C. 1531-1544), 50 CFR 17; the Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21; the Marine Mammal Protection Act (16 U.S.C. 1361, et seq.), 50 CFR 18; the Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15; the Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16; Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), 50 CFR 23; General Provisions, 50 CFR 10; General Permit Procedures, 50 CFR 13; and Wildlife Provisions (Import/export/transport), 50 CFR 14.

**Purpose:** The collection of contact information is to verify the individual has an eligible permit to conduct activities which affect protected species. This helps FWS monitor and report on protected species and assess the impact of permitted activities on the conservation and management of species and their habitats.

**Routine Uses:** The collected information may be used to verify an applicant's eligibility for a permit to conduct activities with protected wildlife; to provide the public and the permittees with permit related information; to monitor activities under a permit; to analyze data and produce reports to monitor the use of protected wildlife; to assess the impact of permitted activities on the conservation and management of protected species and their habitats; and to evaluate the effectiveness of the permit programs. More information about routine uses can be found in the System of Records Notice, Permits System, FWS-21.

**Disclosure:** The information requested in this form is voluntary. However, submission of requested information is required to process applications for permits authorized under the listed authorities. Failure to provide the requested information may be sufficient cause for the U.S. Fish & Wildlife Service to deny the request.

### PAPERWORK REDUCTION ACT STATEMENT

We are collecting this information subject to the Paperwork Reduction Act (44 U.S.C. 3501) in order provide the U.S. Fish and Wildlife Service the information necessary, under the applicable laws governing the requested activity, for which a permit is requested. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the applicable laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OMB has approved this collection of information and assigned Control No. 1018-0093.

### ESTIMATED BURDEN STATEMENT

We estimate public reporting for this collection of information to average 15 minutes, including time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. Direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, Fish and Wildlife Service, U.S. Department of the Interior, 5275 Leesburg Pike, MS: PRB (JAO/3W), Falls Church, VA 22041-3803, or via email at [Info\\_Coll@fws.gov](mailto:Info_Coll@fws.gov). Please do not send your completed form to this address.