

3. Have you or your client (if a broker applying on behalf of your client), been assessed a civil penalty or convicted of any criminal provision of any statute or regulation relating to the activity for which the application is filed; been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act; forfeited collateral; OR are currently under charges for any violation of the laws mentioned above?

No Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court, and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. What is the purpose of the export?

____ Commercial ____ Personal ____ Exhibition
____ Scientific ____ Breeding ____ Other (specify: _____)

5. **Applicants residing or located outside the United States conducting commercial activities.** The name, physical address and telephone number of your agent that is located in the United States who will maintain your records for five (5) years from the expiration of the permit (50 § 13.12 and 13.46 requires this information). U.S. address (not a P.O. Box) for foreign applicant.

Name:

Street Address:

City:

State/Province, Country, Postal Code:

6. For EACH animal or specimen to be exported, provide (a table is provided on page 6 for your convenience):
- Scientific name (genus, species, and, if applicable, subspecies) and common name
 - Birth or hatch date or approximate age
 - Gender, if known
 - Description (e.g., live or dead, tattoo#, band #, ISIS #, studbook #, size, weight, skull, mount; for parts/products – type, quantity, and container)
 - Specific location of where, when, and by whom (name and address) the animal or specimen was removed from the wild;
 - Describe of the method of removal from the wild;
 - Purpose of removal and, if alive, length or approximate length of time held in captivity;
 - Copy of any permits, licenses, or other documents from the State or local wildlife authorities required to remove the specimen(s) from the wild;
 - Documentation showing that the specimens were legally obtained by the applicant (e.g., transfer documents, sales receipts); and
 - Copies of any applicable State permits or licenses that are required to house, maintain, breed, and/or sell these specimens (contact your State for information).

k. For Alaskan native handicrafts, provide the name of the artist and Tribal affiliation.

If your specimen is American alligator or furbearer skin that must be tagged with a CITES tag, provide a list of the complete CITES Tag numbers [e.g. FL MIS 0712345] or Replacement Tag numbers [e.g. FWS REPL 0123456]. Tags must be inserted through the skins and permanently locked in place at the time of export. **NOTE:** Replacement tags must be obtained before submitting your application (broken tags must be surrendered at the time of requesting replacement tags) [See 50 § 23.69(c)].

NOTE: When products made from skins are exported, you may be requested to surrender the tags from the skins used in manufacture; therefore, do not destroy or dispose of tags until after the application process is complete.

7. Current location of specimen(s) (address – City, State/Province, country):

8. Is this shipment is a sample collection being exported for temporary exhibition or display purposes and is accompanied by a valid U.S. ATA carnet number?

NO _____ YES _____ - provide ATA carnet document number _____

9. Provide the name and address of person/facility receiving the shipment (if self, provide address abroad).

Name:

Street Address:

City:

State/Province, Country, Postal Code:

10. For the export of migratory birds, their parts, nests, or eggs (50 § 10.13), provide copies of the Federal and State permits or licenses authorizing possession, acquisition, or disposition of such birds, their parts, nests, or eggs.

11. The transport conditions must comply with the [CITES Guidelines for Transport of Live Animals](#) or, in the case of air transport, with the [International Air Transport Association \(IATA\)](#) live animal regulations (contact airline for information). As such, describe:

a. The type, size, and construction of any shipping container; and

b. The arrangements for watering or otherwise caring for the wildlife during transport.

12. For the non-commercial export of Appendix-I species, provide a copy of the CITES import permit, or evidence one will be issued by the Management Authority of the country to which you plan to export the specimen(s). In accordance with Article III of the CITES treaty, it is required that import permits are issued before the corresponding export permit.

13. If you are a CITES-Registered Commercial Operation for Appendix I wildlife, no CITES import is required. Please provide the name and registration number of the operation:

All international shipment(s) must be through a designated port. A [list of designated ports](#) (where an inspector is posted) is available. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

For EACH animal or specimen to be exported, provide (refer to p.3 question 6)

a. Scientific name (genus, species, and, if applicable, subspecies) and common name

b. Birth or hatch date or approximate age

c. Gender, if known

d. Description (e.g., live or dead, tattoo#, band #, ISIS #, studbook #, size, weight, skull, mount; for parts/products – type, quantity, and container)

e. Specific location of where, when, and by whom (name and address) the animal or specimen was removed from the wild;

f. Describe of the method of removal from the wild;

g. Purpose of removal and, if alive, length or approximate length of time held in captivity;

h. Copy of any permits, licenses, or other documents from the State or local wildlife authorities required to remove the specimen(s) from the wild;

i. Documentation showing that the specimens were legally obtained by the applicant (e.g., transfer documents, sales receipts); and

j. Copies of any applicable State permits or licenses that are required to house, maintain, breed, and/or sell these specimens (contact your State for information).

k. For Alaskan native handicrafts, provide the name of the artist and Tribal affiliation.

NOTICES

PRIVACY ACT STATEMENT

Authority: The information requested is authorized by the following: the Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22; the Endangered Species Act (16 U.S.C. 1531-1544), 50 CFR 17; the Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21; the Marine Mammal Protection Act (16 U.S.C. 1361, et seq.), 50 CFR 18; the Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15; the Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16; Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), 50 CFR 23; General Provisions, 50 CFR 10; General Permit Procedures, 50 CFR 13; and Wildlife Provisions (Import/export/transport), 50 CFR 14.

Purpose: The collection of contact information is to verify the individual has an eligible permit to conduct activities which affect protected species. This helps FWS monitor and report on protected species and assess the impact of permitted activities on the conservation and management of species and their habitats.

Routine Uses: The collected information may be used to verify an applicant's eligibility for a permit to conduct activities with protected wildlife; to provide the public and the permittees with permit related information; to monitor activities under a permit; to analyze data and produce reports to monitor the use of protected wildlife; to assess the impact of permitted activities on the conservation and management of protected species and their habitats; and to evaluate the effectiveness of the permit programs. More information about routine uses can be found in the System of Records Notice, Permits System, FWS-21.

Disclosure: The information requested in this form is voluntary. However, submission of requested information is required to process applications for permits authorized under the listed authorities. Failure to provide the requested information may be sufficient cause for the U.S. Fish & Wildlife Service to deny the request.

PAPERWORK REDUCTION ACT STATEMENT

We are collecting this information subject to the Paperwork Reduction Act (44 U.S.C. 3501) in order provide the U.S. Fish and Wildlife Service the information necessary, under the applicable laws governing the requested activity, for which a permit is requested. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the applicable laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OMB has approved this collection of information and assigned Control No. 1018-0093.

ESTIMATED BURDEN STATEMENT

We estimate public reporting for this collection of information averages 36 minutes (electronically) to 45 minutes (paper-based), including time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. Direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, Fish and Wildlife Service, U.S. Department of the Interior, 5275 Leesburg Pike, MS: PRB/PERMA (JAO), Falls Church, VA 22041-3803, or via email at Info_Coll@fws.gov. Please do not send your completed form to this address.