Complete Sections **A or B**, and C, D, and E of this application. U.S. address may be required in Section C.\*\*

| 1. **Complete if applying as an individual** | | | | | | |
| --- | --- | --- | --- | --- | --- | --- |
| 1.a. Last name | | | 1.b. First name | | 1.c. Middle name or initial | 1.d. Suffix |
| 2 Date of birth (mm/dd/yyyy) | 5.a. Telephone number | 5.b. Alternate telephone number | | 6. E-mail address | | |

| 1. **Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution** | | | | | |
| --- | --- | --- | --- | --- | --- |
| 1.a. Name of business, agency, Tribe, or institution | | | 1.b. Doing business as (dba) | | |
| 2. Tax identification no. | 3.a. Description of business, agency, Tribe, or institution | | | | 3.b. Website URL (if applicable) |
| 4.a. Principal officer (P.O.) last name | 4.b. P.O. first name | | 4.c. P.O. middle initial | | 4.b. P.O. Title |
| 5. Primary contact name | | | 6. Primary e-mail address | | |
| 7.a. Business telephone number | | 7.b. Alternate phone no. | | 8.a. Primary contact telephone no. | |

| 1. **All applicants complete address information** | | | | |
| --- | --- | --- | --- | --- |
| 1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes) | | | | |
| 1.b. City | 1.c. State | 1.d. Zip code/Postal code | 1.e. County/Province | 1.f. Country |
| 2.a. Mailing Address (include if different than physical address; include name of contact person if applicable) | | | | |
| 2.b. City | 2.c. State | 2.d. Zip code/Postal code | 2.e. County/Province | 2.f. Country |

| 1. **All applicants MUST complete** |
| --- |
| 1. Include a check or money order, payable to the U.S. FISH AND WILDLIFE SERVICE, **a nonrefundable processing fee (see the fee schedule)**. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – ***attach documentation of fee exempt status as outlined in instructions.*** (50 CFR 13.11(d)) |
| 1. If you are requesting a reissue/renew/amendment, what is your permit/file number? |
| 1. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.   The individual/principal officer of the business must print and sign the application. (No photocopied or stamped signatures) Date (mm/dd/yyyy) |

\*\* Click here for additional instructions on completing the above form (link to instruction page). See the last page for information on the Privacy Act, Paperwork Reduction Act, Estimated Burden, and Freedom of Information Act aspects of this application form.

**Mail your application(s) to Division of Management Authority, Branch of Permits, MS:IA 5275 Leesburg Pike, Falls Church, VA 22041-3803.**

**E. Re-Export of Wildlife (**[**CITES**](http://www.cites.org/)**)**

**NOTE 1:** **If you are re-exporting CITES Appendix II or III specimens that have not been altered or changed since they were originally exported into the United States (e.g., python skin boots were imported and are now being re-exported), the application can be submitted either to the Division of Management Authority or the Office of Law Enforcement (OLE). Applications that are submitted to OLE must be submitted to an OLE office in the region where the applicant is located or where their Import/Export license was issued. (see pages 6 and 7 for addresses).**

Items that are: **Pre-Convention** (removed from the wild before CITES applied to the species);

**Have been altered after imported** (e.g., tanned python skins converted into boots);

**Covered under the Endangered Species Act** (ESA);

**Covered under the Wild Bird Conservation Act** (WBCA);

**Covered under the Marine Mammal Protection Act** (MMPA); or

**Covered under the Migratory Bird Treaty Act** (MBTA)

**MUST be submitted to the Division of Management Authority** (DMA).

**NOTE 2**: If you are a Customs broker or a freight forwarder applying on behalf of your client, you must attach documentation that shows you have Power of Attorney to act on your client’s behalf.

Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use separate sheets of paper. On all attachments or separate sheets you submit, indicate the application question number you are addressing. If applying for more than one specimen, be sure to indicate which specimen is being addressed in each response.

Electronic submission of inventories, photographs, and receipts/invoices: If you wish to provide information electronically, please include a flash drive containing your information with your physical application.

1. Name and address where you wish the permit to be mailed, **if different from page 1**. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via regular mail through the U.S. Postal Service.
2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?
3. Have you or any of the owners of the business (if applying as a business, corporation, or institution), been assessed a civil penalty or convicted of any criminal provision of any statute or regulation relating to the activity for which the application is filed; been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act; forfeited collateral; OR are currently under charges for any violation of the laws mentioned above?

No Yes

If you answered “Yes” to Question 3, provide: a) the individual’s name; b) date of charge; c) charge(s); d) location of incident; e) court, and f) action taken for each violation. Please be aware that a “Yes” response does not automatically disqualify you from getting a permit.

1. Indicate the purpose of the re-export (check all that applies):

\_\_\_Commercial \_\_\_Botanical garden \_\_\_Personal \_\_\_ Zoo

\_\_\_Traveling Exhibition \_\_\_Scientific \_\_\_Medical (including biomedical research)

\_\_\_Educational \_\_\_Reintroduction/introduction

\_\_\_Breeding in captivity or Artificial Propagation

1. Provide the following information (you may use the attached corresponding inventory sheet) [Please do NOT include quarantine, immunization or health records unless they document transfer of ownership].
   1. Scientific Name (genus, species, subspecies);
   2. Common Name;
   3. Source (as listed on the foreign CITES permit used to import the specimen);
   4. Quantity;
   5. Description (if Live animals, provide a complete list of identifiers e.g. tattoo list, band list, etc);
   6. Gender (if Live):
   7. Country of origin and CITES export permit number:
   8. Country of origin CITES export permit issuance date:
   9. Country of last re-export CITES permit number (if applicable):
   10. Country of last re-export CITES permit issuance date (if applicable):
2. What is the current location of specimen(s) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. Provide:

a.\* a copy of the validated foreign CITES export or re-export document (cancelled by OLE) issued by the appropriate CITES office in the country from which the wildlife was imported, and

b.\* Wildlife Declaration (Form 3-177), stamped cleared by OLE, (provide either a hard copy or electronic release; not applicable for plants); OR

1. If you did not make the original import, provide a copy of the importer's documents outlined above and the invoice or other document that shows you acquired the wildlife from the original importer or history of transactions that demonstrate chain of ownership. **NOTE: Redacted documents can only be accepted if the information redacted is not needed to determine a legal chain of custody (e.g., declared value of wildlife, original exporter name provided a copy of the cleared form 3-177 is provided and traceable).  All other redactions will not be accepted.** For further instructions contact the permit issuing office directly.
2. If protected by the Wild Bird Conservation Act (WBCA), provide a copy of the WBCA import permit.

\*Permits cannot be issued without the documents being stamped cancelled and cleared by OLE.

1. Is this shipment a sample collection being exported for temporary exhibition or display purposes and accompanied by a valid ATA carnet?

\_\_\_NO \_\_\_YES; provide ATA carnet document number \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Application must be processed by DMA, see address on page 6)

1. Provide the name and address of person or facility receiving the shipment (if self, provide your address abroad).

Name:

Address:

City:

State/Province:

County, Postal Code:

1. **Applicants residing or located outside the United States conducting commercial activities.** The name, physical address and telephone number of your agent that is located in the United States who will maintain your records for five (5) years from the expiration of the permit. If the location is the same as Question 2, then indicate “same as Question 2". (50 § 13.12 and 13.46 requires this information).

**U.S. address** (not a P.O. Box) **for foreign applicant.** (50 § 13.12 requires this information for issuance).

Name:

Address:

City:

State, Zip Code:

1. For live animals, the **transport conditions** must comply with the [CITES](http://www.cites.org/) Guidelines for Transport of Live Animals or, in the case of air transport, with the [International Air Transport Association (IATA)](http://www1.iata.org/index.htm) live animal regulations (contact airline for information). As such, describe:
   1. The type, size, and construction of any shipping container; and
   2. The arrangements for watering or otherwise caring for the wildlife during transport.
2. For the non-commercial export of **CITES Appendix-I species**, provide a copy of the CITES import permit or evidence one will be issued by the Management Authority of the country to which you plan to export the specimen(s). In accordance with Article III of the CITES treaty, it is required that import permits are issued before the corresponding export permit.

**All international shipment(s) must be through a designated port.** [**A list of designated ports**](http://www.fws.gov/le/designated-ports.html) **(where an inspector is posted) is available. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).**

**Re-export of Wildlife (CITES)**

**Inventory Page**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| |  | | --- | | a.  Scientific Name  (genus, species,  subspecies) | | b.  Common Name | c.  Source Code Listed on Country of Origin Permit | d.  Unit of Measure & Quantity | e.  Description of Specimen (include tattoo/band lists if applicable) | f.  Gender?  (Y/N) | g.  Country of Origin and Country of Origin Permit Number | h.  Country of Origin Export Permit Issuance Date | i.  CITES Country of Last Re-export and Re-export Permit No. (If applicable) | j.  Last Re-export Certificate Issuance Date (If applicable) |
| EXAMPLE:  *Caiman crocodilus fusus* | brown caiman | C | NO/1 | watch straps | N | CO/123345 | 07/05/1998 | CH/89076 | 07/05/2000 |
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| **Enter column totals for # animals/products etc.** |  |  |  |  |  |  |  |  |  |

**CITES Permit Offices**

**NOTE:** The application **must** be submitted to Division of Management Authority or to the Office of Law Enforcement in the region where the applicant is located.

**Division of Management Authority (DMA)**

5275 Leesburg Pike, MS-IA

Falls Church, VA 22041-3803

**Office of Law Enforcement (OLE)**

**Anchorage**

U.S. Fish & Wildlife Service

Office of Law Enforcement

P.O. Box 190045

4600 Postmark Drive, Suite NB207

Anchorage, AK 99519

Phone: (907) 271-6198

Fax: (907) 271-6199

**Atlanta**

U.S. Fish & Wildlife Service

Office of Law Enforcement

4341 International Parkway, Suite #104

Atlanta, GA 30354

Phone: (404) 763-7959

Fax: (404) 366-7031

**Blaine, WA**

U.S. Fish & Wildlife Service

Office of Law Enforcement

9925 Pacific Highway, Room 136

Blaine, WA 98230

Phone: (360) 332-5388

Fax: (360) 332-3010

**Chandler, AZ**

U.S. Fish & Wildlife Service

Office of Law Enforcement

2545 W. Frye Road, Suite 8  
Chandler, AZ 85224-6273   
Phone: (480) 967-2007  
Fax: (480) 966-9766

**Denver, CO**

U.S. Fish & Wildlife Service

Office of Law Enforcement

Denver International Airport

P.O. Box 492098

Denver, CO 80249

Phone: (303) 342-7430

Fax: (303) 342-7433

**Fairbanks**

U.S. Fish & Wildlife Service

Office of Law Enforcement

1412 Airport Way

Fairbanks, AK 99701

Phone: (907) 456-2335

Fax: (907) 456-2356

**Guam**

U.S. Fish & Wildlife Service

Office of Law Enforcement

415 Chalan San Antonio Road, Suite 209

Tamuning, Guam 96913-3620

Phone: (671) 647-6064

Fax: (671) 647-6068

**Honolulu, HI**

U.S. Fish & Wildlife Service

Office of Law Enforcement

3375 Koapaka St., #B296

Honolulu, HI 96819-1867

Phone: (808) 861-8525

Fax: (808) 861-8515

**Los Angeles**

U.S. Fish & Wildlife Service

Office of Law Enforcement

370 Amapola Ave., #114

Torrance, CA 90501

Phone: (310) 328-6307

Fax: (310) 328-6399

**Miami**

U.S. Fish & Wildlife Service

Office of Law Enforcement

3701 NW 82nd Avenue, Ste #1

Doral, Florida 33166

Phone: (305) 526-2994 or 2620

Fax: (305) 526-7480

**New Orleans**

Mail:

U.S. Fish & Wildlife Service

Office of Law Enforcement

61387 Hwy 434

Lacombe, LA 70445

Physical:

U.S. Fish & Wildlife Service

Office of Law Enforcement

2424 Edenborn, Room 100

Metairie, LA 70001

Phone: (504) 219-8870

Fax: (504) 219-8868

**Portland, OR**

U.S. Fish & Wildlife Service

Office of Law Enforcement

P.O. Box 55206

Portland, OR 97238

Phone: (503) 231-6135

Fax: (503) 231-6133

**Rosemont, IL**

U.S. Fish & Wildlife Service

Office of Law Enforcement

10600 Higgins Road, Suite 200

Rosemont, IL 60018

Phone: (847) 298-3250 Ext. 110

Fax: (847) 298-7669

**San Francisco**

1633 Old Bayshore Highway, Suite 248

Burlingame, CA 94010

Phone: (650) 876-9078

Fax: (650) 876-9701

**Seattle**

U.S. Fish and Wildlife Service

Office of Law Enforcement

17930 International Blvd. Suite 500

Seatac WA 98188

**Valley Stream, NY**

U.S. Fish & Wildlife Service

Office of Law Enforcement

70 E. Sunrise Hwy, Suite 419

Valley Stream, NY 11580

Phone: (516) 825-3950

Fax: (516) 825-3597

**NOTICES**

**PRIVACY ACT STATEMENT**

**Authority:** The information requested is authorized by the following: the Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22; the Endangered Species Act (16 U.S.C. 1531-1544), 50 CFR 17; the Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21; the Marine Mammal Protection Act (16 U.S.C. 1361, et seq.), 50 CFR 18; the Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15; the Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16; Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), 50 CFR 23; General Provisions, 50 CFR 10; General Permit Procedures, 50 CFR 13; and Wildlife Provisions (Import/export/transport), 50 CFR 14.

**Purpose:** The collection of contact information is to verify the individual has an eligible permit to conduct activities which affect protected species. This helps FWS monitor and report on protected species and assess the impact of permitted activities on the conservation and management of species and their habitats.

**Routine Uses:** The collected information may be used to verify an applicant’s eligibility for a permit to conduct activities with protected wildlife; to provide the public and the permittees with permit related information; to monitor activities under a permit; to analyze data and produce reports to monitor the use of protected wildlife; to assess the impact of permitted activities on the conservation and management of protected species and their habitats; and to evaluate the effectiveness of the permit programs. More information about routine uses can be found in the System of Records Notice, Permits System, FWS-21.

**Disclosure:** The information requested in this form is voluntary. However, submission of requested information is required to process applications for permits authorized under the listed authorities. Failure to provide the requested information may be sufficient cause for the U.S. Fish & Wildlife Service to deny the request.

**PAPERWORK REDUCTION ACT STATEMENT**

We are collecting this information subject to the Paperwork Reduction Act (44 U.S.C. 3501) in order provide the U.S. Fish and Wildlife Service the information necessary, under the applicable laws governing the requested activity, for which a permit is requested. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the applicable laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OMB has approved this collection of information and assigned Control No. 1018-0093.

**ESTIMATED BURDEN STATEMENT**

We estimate public reporting for this collection of information to average 30 minutes, including time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. Direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, Fish and Wildlife Service, U.S. Department of the Interior, 5275 Leesburg Pike, MS: PRB (JAO/3W), Falls Church, VA 22041-3803, or via email at [Info\_Coll@fws.gov](mailto:Info_Coll@fws.gov). Please do not send your completed form to this address.