



Comptroller of the Currency  
Administrator of National Banks

**Survey**

**Minority-Owned Institution**

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**OMB Control No. 1557-  
0236**

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# **Minority-Owned Institution Survey**

PAPERWORK REDUCTION ACT STATEMENT: An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number.



## Minority-Owned Institution

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#### **Institution Identification**

1 Name of Institution			2 Bank Charter Number		
3 City			4 State	5 Minority Classification	6 Institution Contact
7 Phone Number			8 Email Address		

#### **Technical Assistance**

The GAO defines technical assistance as “one-on-one assistance that a regulator may provide to a bank.” For example a regulator may advise a bank on compliance with a particular statute or regulation. Regulators may also provide technical assistance that is related to deficiencies identified in safety and soundness or compliance investigations. Please respond to the following questions applying the GAO definition.

Has your institution requested technical assistance from the OCC in the past?

No \_\_\_

Yes \_\_\_

If yes, what technical assistance did you request? \_\_\_\_\_

Has your institution received technical assistance from the OCC in the past?

No \_\_\_

Yes \_\_\_

If yes, was the technical assistance beneficial?

No \_\_\_

Yes \_\_\_

Please explain. \_\_\_\_\_

How can the OCC provide better technical assistance to your institution?

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#### **Outreach**

The OCC provides outreach to banks in various formats (e.g., telephone seminars; director workshops; Meet-the-Comptroller



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meetings; roundtable discussions on emerging issues, hot topics and best practices; and interagency events). To help the OCC determine the effectiveness of its outreach initiatives, please answer the following:

What information, training, or topics would you recommend be addressed in OCC outreach?

\_\_\_\_\_

What would be the most effective method(s) for delivering useful information, training, or topics to you and your bank?

1. Webinars
2. Instructor-led training
3. Telephone conferences
4. PowerPoint presentations
5. Other: \_\_\_\_\_

Throughout the year, the OCC sponsors director workshops focusing on risk management, compliance risk, and credit risk. Did you or your directors attend any workshops in 2008?

No \_\_\_\_\_  
Yes \_\_\_\_\_

If No, what were the barriers to your attending?

1. Scheduling conflict
2. Inconvenient location
3. The budget
4. Lack of need
5. Other: \_\_\_\_\_

If Yes, what workshop(s) did you attend?

1. A New Director's Challenge: Mastering the Basics
2. Credit Risk: A Director's Focus
3. Directors: Where Is the Risk in Your Bank?
4. Compliance Risk: What Directors Need to Know

Was/Were the workshop(s) useful?

No \_\_\_\_\_  
Yes \_\_\_\_\_

Please explain. \_\_\_\_\_



# Minority-Owned Institution

## Survey

<b>General Assessment Statements</b>		Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree	Unable to Rate
Please indicate your level of agreement with the following statements.							
<b>Customer Base and Market</b>							
OCC examiners understand how my institution's customer base and market impact its condition.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
In reaching their supervisory conclusions, OCC examiners have appropriately considered my institution's customer base and market.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Business Strategy and Resources</b>							
OCC examiners understand how my institution's business strategy and resources impact its condition.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
In reaching their supervisory conclusions, OCC examiners have appropriately considered my institution's business strategy and resources.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Technical Assistance</b>							
The OCC provides technical assistance commensurate with my institution's needs.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
The technical assistance provided by the OCC has had a positive impact on my institution's condition.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>OCC Supervisory Policies and Guidance</b>							
OCC supervisory policies and guidance appropriately address the issues unique to minority-owned national banks.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Examiners appropriately consider issues unique to minority-owned institutions in applying OCC supervisory policies and guidance.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>Examiner Training and Guidance</b>							
OCC provides its examiners with the training and guidance necessary to effectively supervise my bank.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

If you have any additional comments or suggestions regarding how the OCC can better serve and support minority-owned institutions, please include them below.

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Thank you for participating in this survey. Your feedback is important to our ongoing efforts to provide high quality bank supervision to minority-owned institutions banks.