

1U.S. Department of Energy  
 WEATHERIZATION ASSISTANCE PROGRAM ENHANCEMENT AND INNOVATION (E&I)  
**QUARTERLY PROGRAM REPORT**

Grantee: \_\_\_\_\_ Budget period: / / - / / Grant Number: \_\_\_\_\_

**I. GRANT OUTLAYS - FUNDS SUBJECT TO DOE PROGRAM RULES (rounded to the nearest dollar)**

	Reporting Period	/ - /	/ - /	/ - /	/ - /	Total To Date
	Quarter	Q1	Q2	Q3	Q4	
<b>A. OUTLAYS BY FUND SOURCE</b>						
DOE						
Other funds included in grant budget, section A						
Total Grant Outlays						
<b>B. OUTLAYS BY FUNCTION</b>						
Administration						
Health and Safety						
Training and Technical Assistance						
Program Operations						
Liability Insurance						
Financial Audits						
Vehicles and Equipment						
Total Grant Outlays						
<b>C. OUTLAYS IN UNDERSERVED COMMUNITIES</b>						
Total DOE funds						
Job training funds						
Other funds included in grant budget, section A						
Total Grant Outlays						

Notes: Total grant outlays must equal outlays reported on the Federal Financial Report, line 10.e.

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**II. GRANT PRODUCTION**

Quarter	Q1	Q2	Q3	Q4	Total to Date
A. TOTAL E&I UNITS (includes other funds if included in DOE budget) – Planned ##					
1. UNITS IN UNDERSERVED COMMUNITIES – Planned ##					
2. UNITS BY LEVEL OF HOUSEHOLD INCOME					
Up to 50%					
51 – 100%					
101 – 150%					
151 – 200%					
3. UNITS BY TYPE					
Owner-Occupied Single Family					
Renter-Occupied Single Family					
Owner-Occupied 2-4 units per site					
Renter Occupied 2-4 units per site					
Multifamily, 5 or more units per site					
Owner-Occupied Manufactured Home					
Renter-Occupied Manufactured Home					
Shelter					
4. UNITS BY PRIMARY HEATING FUEL**					
Natural Gas					
Fuel Oil					
Electricity					
Propane/LPG					
Kerosene					
Wood					
Other Fuel					
No Heating System					
5. UNITS BY OCCUPANCY					
Elderly-Occupied					
Disabled-Occupied					
Native American-Occupied					
Children-Occupied					
High Residential Energy User					
Household with a High Energy Burden					
B. LEVERAGED UNITS (units completed with other funds not included in DOE budget)					
C. TOTAL PEOPLE ASSISTED WITH GRANT FUNDS (all funds in approved budget)					
Elderly					

Persons with Disabilities					
Native Americans					
Children					

\*\* "Primary Heating Fuel" is the fuel that provides the most space heat and/or cooling in the home.

**III. E&I METRICS**

	Quarter	Q1	Q2	Q3	Q4	Total to Date
A. Client Education (total hours)						
B. Number of Minority Serving Institutions, Minority Business Enterprises, Minority Owned Businesses, Woman Owned Businesses, Veteran Owned Businesses Contracting with Grantee						
C. WEATHERIZATION-READINESS						
1. Number of units rehabilitated to be weatherization-ready – Planned ##						
Owner-Occupied Single Family						
Renter-Occupied Single Family						
Owner-Occupied 2-4 units per site						
Renter Occupied 2-4 units per site						
Multifamily, 5 or more units per building						
Owner-Occupied Manufactured Home						
Renter-Occupied Manufactured Home						
Shelter						
2. Deferral Reason (# of Units)						
Roof Repair or Replacement						
Electrical Repair						
Plumbing Repair						
Remediation of Environmental Hazard						
Other						
3. Number of units made electrification-ready						
Owner-Occupied Single Family						
Renter-Occupied Single Family						
Owner-Occupied 2-4 units per site						
Renter Occupied 2-4 units per site						
Multifamily, 5 or more units per building						
Owner-Occupied Manufactured Home						
Renter-Occupied Manufactured Home						
Shelter						
D. ENHANCE INDOOR ENVIRONMENTS						
1. Health and Safety Measures Installed (# of units)						
Mold and Moisture Remediation						

Suspected Asbestos Testing and Treatment					
Address Occupant Health Conditions					
Lead Paint Testing and Remediation					
Accessibility Improvements					
Other					
<b>E. WORKFORCE DEVELOPMENT</b>					
1. Total number of Full Time Equivalent (FTE) workers hired – Planned ##					
2. Total number of FTE workers retained – Planned ##					
3. Number of FTE workers hired by demographic					
Minorities					
Women					
Veterans					
Persons with Disabilities					
Socioeconomically Disadvantaged					
4. Number of FTE workers trained or certified by demographic					
Minorities					
Women					
Veterans					
Persons with Disabilities					
Socioeconomically Disadvantaged					
5. Number of FTE workers retained by demographic					
Minorities					
Women					
Veterans					
Persons with Disabilities					
Socioeconomically Disadvantaged					
<b>F. RENEWABLE ENERGY &amp; NEW TECHNOLOGY</b>					
1. Technologies Installed (# of units)					
Solar Photovoltaic					
Solar Water Heater					
On-demand Water Heater					
Heat Pump Water Heater					
Air or Ground Source Heat Pump (heating and cooling)					
Home Energy Management System					
Smart Devices					
Other					
2. Clean Energy - Total Installed Capacity (Kw)					
3. Clean Energy – Total Installed Capacity in Underserved Communities (Kw)					

G. ENERGY BURDEN					
1. Total Annual Household Energy Expenditures (in dollars)					
2. Total Annual Household Energy Expenditures in Underserved Communities (in dollars)					

**IV. COMMENTS**

Submitted by \_\_\_\_\_ Date \_\_\_\_\_

Type name \_\_\_\_\_

Title \_\_\_\_\_

### **OMB Burden Disclosure Statement**

Public reporting burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

U.S. Department of Energy  
WEATHERIZATION ASSISTANCE PROGRAM ENHANCEMENT AND INNOVATION (E&I)  
**QUARTERLY PROGRAM REPORT**  
INSTRUCTIONS

The Quarterly Program Report format is designed to gather production and expenditure data. This report is to be submitted quarterly to the applicable Department of Energy 30 days after the end of the reporting period.

I. GRANT OUTLAYS - FUNDS SUBJECT TO DOE E&I PROGRAM RULES

A. By fund source: Outlays this quarter and program year to date for

1. DOE funds
2. All other funds listed in the grant budget, Section A, including federal, state, local, and private funds that are *part of the approved DOE grant budget*.

B. By function: Outlays this quarter and program year to date for each function in the DOE grant budget, section B. *Total must equal total in A.2 above.*

C. In underserved communities: Outlays in underserved communities this quarter and program year to date for

1. Total DOE funds
2. T&TA funds
3. All other funds listed in the grant budget, Section A, including federal, state, local, and private funds that are *part of the approved DOE grant budget*.

II. GRANT PRODUCTION

*Enter figures only for the quarter being reported except to correct previous quarter information.*

A. E&I units completed *this quarter* with all funds included in the approved DOE grant budget, all sources.

1. Units in underserved communities: Total number of units completed this quarter in underserved communities. The sum should be less than or equal to the total units completed with funds in the approved DOE budget.
2. Units by level of household income: Number of completed units this quarter by level specified. The sum should equal total units completed with funds in the approved DOE budget.
3. Units by type: Number completed this quarter by type specified. The sum should equal total units completed with funds in the approved DOE budget. A completed unit in a building containing five or more units should be reported as a Multi-Family unit. A completed unit in a building containing four units or less should be reported under one of the two Single-Family categories.
4. Units by primary heating fuel: Number of units completed by category of primary heating fuel. Primary heating fuel is the fuel that provides the most space heat in the home.
5. Units by occupant: Number of units completed by category of occupant. The sum will not equal total units completed.

B. Persons assisted with funds included in the DOE grant budget, all sources: Total and by category. Sum of persons by category will not equal total persons assisted.

C. Leveraged units completed with other funds not included in the DOE budget, provided any part of the definition of an E&I unit has been met.

III. E&I METRICS

A. Client Education: Total hours provided this quarter.

B. Number of MBEs and Minority, Women or Veteran-Owned Businesses: Number of new contracts executed by Grantee this quarter, and year to date.

C. Weatherization-Readiness: E&I Metrics for Grantees with awards to make homes weatherization-ready. This is a heading, no data reported in this row.

1. Number of units rehabilitated: Number of units rehabilitated to be weatherization-ready by unit type specified. The sum should be equal to or less than total units completed with funds in the approved DOE budget. A completed unit in a building containing five or more units should be reported as a Multi-Family unit. A completed unit in a building containing four units or less should be reported under one of the two Single-Family categories.

2. Deferral Reason: Number of units completed this quarter by reason specified. The sum may be higher than the total units completed with funds in the approved DOE budget, if units have multiple deferral reasons.

3. Number of units made electrification-ready: Number of units rehabilitated to be electrification-ready by unit type specified. The sum be equal to or less than total units completed with funds in the approved DOE budget. A completed unit in a building containing five or more units should be reported as a Multi-Family unit. A completed unit in a building containing four units or less should be reported under one of the two Single-Family categories.

D. Enhancing Indoor Environments: E&I Metrics for Grantees with awards to enhance indoor environments. This is a heading, no data reported in this row.

1. Health and Safety Measures Installed: Number of units completed this quarter by the specified measure category. Units may receive

multiple measures so the sum may be higher than the total units completed with funds in the approved DOE budget.

E. Workforce development: E&I Metrics for Grantees with awards to hire, train and retain weatherization workers. This is a heading, no data reported in this row.

1. Total number of FTE workers hired: Sum of FTE workers hired this quarter.

2. T&TA outlays in underserved communities: Total T&TA funds expended this quarter that benefited workers located or working in underserved communities. Sum must not exceed T&TA outlays reported in I. GRANT OUTLAYS B. Training and Technical Assistance above.

3. Total number of FTE workers retained: Sum of FTE workers reported as hired under this E&I grant award and retained this quarter.

4. Number of workers hired by demographic: Number of Full Time Equivalent (FTE) workers hired this quarter by the categories specified. Workers may be counted in multiple categories and sum may exceed total workers hired. This is a heading, no data reported in this row.

5. Number of workers trained by demographic: Number of FTE workers that completed a training and/or earned a certification this quarter by the categories specified. Workers may be counted in multiple categories and sum may exceed total workers hired. This is a heading, no data reported in this row.

6. Number of workers retained by demographic: Number of FTE workers reported as hired under this E&I award and retained this quarter by the categories specified. Workers may be counted in multiple categories and sum may exceed total workers retained. This is a heading, no data reported in this row.

F. Renewable Energy and New Technology: E&I Metrics for Grantees with awards to install renewable energy and new technologies. This is a heading, no data reported in this row.

1. Technologies installed: Number of units receiving renewable energy and new technologies, by technologies specified. The sum may be higher than the total units completed with funds in the approved DOE budget, if units receive multiple technologies.

2. Clean Energy – Total Installed Capacity: Report the total installed capacity for jobs reported as complete this quarter, in kilowatts.

3. Clean Energy – Total Installed Capacity in Underserved Communities: Report the total installed capacity for jobs reported as complete this quarter in underserved communities, in kilowatts.

G. Energy Burden: E&I Metrics for Grantees with awards to install measures in eligible households. This is a heading, no data reported in this row.

1. Total Annual Household Energy Expenditures: For units completed this quarter, report the sum of each household's annual energy use expenses (in dollars) prior to installation of any E&I measures.

2. Total Annual Household Energy Expenditures: For units completed in underserved communities this quarter, report the sum of each household's annual energy use expenses (in dollars) prior to installation of any E&I measures.

#### IV. COMMENTS

Include here any additional information needed to clarify grant outlays and production reported this quarter.

Submitted by: Signature of the person submitting the report.

Date signed.

Typed name and title of the submitter.

#### PAPERWORK REDUCTION ACT BURDEN DISCLOSURE STATEMENT

This data is being collected to be used by program staff to track Community Scale recipients' activities, their progress in achieving scheduled milestones, and funds expended. The data you supply will be used to enable program staff to provide required or requested information on program activities to OMB, Congress, and the public.

Public reporting burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of the Chief Information Officer, Enterprise Policy Development & Implementation Office, IM-22, Paperwork Reduction Project 1910-5157, U.S. Department of Energy, 1000 Independence Ave SW, Washington, DC, 20585-1290; and to the Office of Management and Budget (OMB), OIRA, Paperwork Reduction Project 1910-5157, Washington, DC 20503.

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*Submission of this data is required.*