# eCustodian Application to Participate – Digital Collateral Program

### U.S. Department of Housing and Urban Development Government National Mortgage Association

OMB Approval Number 2503-xxxx Exp. (xx/xx/xxxx)

Public Reporting Burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

The information requested on this form is required by Sec. 306(g) of the National Housing Act, or by Ginnie Mae Handbook 5500.3. The information collected assists Ginnie Mae in determining which Document Custodians should be approved to participate in the Ginnie Mae Digital Collateral Program. It is used to help Ginnie Mae minimize its risk. Applicants are not required to respond to this collection unless a currently valid approved OMB control number is displayed on the form.

**Instructions**: Pursuant to Section 2210.00 of the Ginnie Mae Digital Collateral Guide ("eGuide," Appendix V-07 of the MBS Guide), an institution must apply for and receive Ginnie Mae approval to act as an eCustodian of loan files corresponding to a Digital Pool or Loan Package by submitting this eCustodian Application to Participate in the Digital Collateral Program ("Application"), including all corresponding attachments, via email to DCPA@hud.gov. The application must be signed by an officer of the Document Custodian, and submitted via email to DCPA@hud.gov. Please note that any capitalized terms, abbreviations, acronyms, or initialisms contained in this Application shall have the meaning provided in the Glossary of the eGuide or the MBS Guide, unless otherwise defined.

### Section A. General Information

1a. Custodian Organization Name ("Applicant")

1b. Custodian Doing Business As Name (if applicable)

1c. Ginnie Mae Custodian#

- 2a. Applicant Contact Person Name
- 2b. Applicant Contact Person Phone Number
- 2c. Applicant Contact Person Email

## **Section B. Joint Application**

1a. Is the Applicant's submission a joint application with an elssuer Applicant as described in Section 2180.00 of the eGuide? If "Yes", please provide the name of the elssuer Applicant, but please note, both the elssuer and eCustodian applications must be completed and submitted.

Yes No

### Section C. Custodian Information

1a. Will the Applicant utilize its own eVault to view copies of eNotes and, if applicable to its arrangements with the elssuer, store Authoritative Copies of eNotes, or will it rely upon the elssuer to provide it with access to the elssuer's eVault?

a)Applicant will utilize its own eVault

b)Applicant will utilize the elssuer's eVault

1b.Please identify the provider of the Qualified eVault referenced in 1a that meets the requirements of Section 2600.00 of the eGuide.
2a. Please provide the Applicant's MERS® Org ID that corresponds with its active MERS® System Membership and MERS® eRegistry Participant status, pursuant to the requirements set forth in Section 2260.00 of the eGuide.
2b. Does the Applicant have any active, uncured, or unremediated violations of the MERS® System Rules of Membership? If "Yes", please provide a description of each violation and its status, either below or in a separate attachment to this Application
Yes No
3a. Please provide a general description of the Applicant's experience, staff, processes, and systems as applicable to fulfilling the eCustodian and Certification requirements described in Sections 2200.00 and 5000.00 of the eGuide, either below or in a separate attachment to this Application.
3b. Please provide the names, titles, contact information (phone number and email), and relevant experience of the key personnel that the Applicant will dedicate to this initiative to collaborate with Ginnie Mae during the initial phases of the Digital Collateral Program, as described in Section 2300.00 of the eGuide, either below or in a separate attachment to this Application.

### **Section D. Applicant Certification**

I hereby certify that all of the information I have provided on this Application and in any accompanying documentation is true and accurate to the best of my knowledge and belief. Each Applicant warrants that while this Application is pending action by Ginnie Mae, the Applicant will notify Ginnie Mae in writing of any material change that could affect the Application decision.

Applicant Signature:	Date:
Name:	
Title:	

The knowing submission of a false, fictitious, or fraudulent certification may be subject to criminal and civil penalties, including confinement for up to 5 years, fines, and civil penalties. 12 U.S.C. § 1723i, 18 U.S.C. §§ 287, 1001 and 31 U.S.C. § 3729.